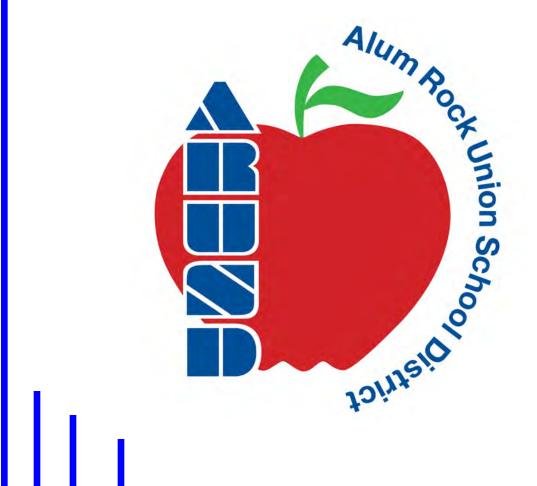
# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## **BOARD OF TRUSTEES**



Regular Board Meeting September 13, 2018

## **AMENDMENT 2**



## Thursday, September 13, 2018 AMENDMENT 2 - REGULAR BOARD MEETING AGENDA

Type: AMENDMENT 2 - REGULAR BOARD MEETING AGENDA

Time: 5:30 p.m.

Code: Regular #03-18/19

Location: ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT, 2930 Gay

Avenue, San Jose, CA 95127; Board Room.

#### 1. OPEN SESSION - CALL TO ORDER AND ROLL CALL

1.01 CALL TO ORDER / ROLL CALL -- BOARD VICE-PRESIDENT KAREN MARTINEZ.

1.02 ANNOUNCEMENT AND PUBLIC COMMENTS REGARDING ITEMS TO BE DISCUSSED IN CLOSED SESSION (Government Code Section 54957.7).

1.03 ADJOURNMENT TO CLOSED SESSION: The Board will adjourn to Closed Session at approximately 5:35 p.m. Open Session will resume approximately 60 minutes after the start of Closed Session.

#### 2. CLOSED SESSION

2.01 PUBLIC EMPLOYEE APPOINTMENT/EMPLOYMENT (Government Code Section 54957); Titles: (1) Principal; (2) Assistant Principal(s); and (3) Coordinator of School Mental Wellness Support Services, Student Services. DISCUSSION/ACTION.

2.02 PUBLIC EMPLOYEE DISCIPLINE/DISMISSAL/RELEASE (Government Code Section 54957). Title: Para-Educator, Special Education. DISCUSSION/ACTION.

#### 3. RECONVENE TO OPEN SESSION - DISTRICT OFFICE BOARD ROOM

- $3.01\ \text{CALL}\ \text{TO}\ \text{ORDER}\ /\ \text{ROLL}\ \text{CALL}\ /\ \text{PLEDGE}\ \text{OF}\ \text{ALLEGIANCE}\ \text{BY}\ \text{BOARD}\ \text{VICE-PRESIDENT}\ \text{KAREN MARTINEZ}.$
- 3.02 REPORT OF ACTION TAKEN IN CLOSED SESSION.
- 3.03 DISCUSSION AND/OR MODIFICATION(S) OF THE AGENDA. The Board may change the order of business including, but not limited to, an announcement that an agenda item will be considered out of order, that consideration of an item has been withdrawn, postponed, rescheduled or removed from the Consent Calendar for separate discussion and possible action.

#### 4. PUBLIC HEARING

4.01 PUBLIC HEARING: Public Hearing Regarding Sufficiency of Instructional Materials.

#### 5. SPECIAL RECOGNITION / PRESENTATION

5.01 SPECIAL RECOGNITION: Recognition and Acknowledgment of a Student Overcoming Adversity.

5.02 SPECIAL PRESENTATION: Extended Year Presentation.

#### 6. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD

6.01 "REQUESTS TO ADDRESS THE BOARD" provides members of the public an opportunity to speak to the Board about any matter under the jurisdiction of the Board and not otherwise on the agenda. Those who wish to address specific agenda items will have an opportunity to do so when that agenda item is introduced and presented during this meeting. Individuals wishing to speak are requested to proceed to the podium. There is a three-minute time limit for each speaker. The Board will automatically refer to staff any formal written requests that are brought before them at this time. Written matters may be placed on a future meeting.

#### 7. COMMENTS AND COMMUNICATION

- 7.01 Teamsters.
- 7.02 California School Employee's Association (CSEA).
- 7.03 Alum Rock Administrator's Association (ARAA).
- 7.04 Alum Rock Educator's Association (AREA).
- 7.05 Superintendent.
- 7.06 Board of Trustees/Communications/Comments.

#### 8. BOND / FACILITIES

- 8.01 INFORMATION: The Board will receive a written update on the status of Bond Projects.
- 8.02 INFORMATION/DISCUSSION: The Board will receive a written update on the Citizen's Bond Oversight Committee (CBOC).
- 8.03 INFORMATION: Restatement of Notice of Delay of Sale of Bond.

#### 9. CONTRACTS OVER \$100,000

9.01 ACTION: Approve Latino Film Institute Youth Cinema Project, Instructional Services, \$215,640 Per Year for 2 Years.

#### 10. SUPERINTENDENT/BOARD BUSINESS

- 10.01 DISCUSSION/ACTION: CSBA Manual Maintenance Plus Service for the period from July 1, 2018 through June 30, 2019. The amount is \$3,765. Payment must be received by Friday, September 28, 2018.
- 10.02 DISCUSSION/ACTION: CSBA Gamut Online Service for the period from July 1, 2018 through June 30, 2019. The amount is \$3,405. Payment must be received by Friday, September 28, 2018.
- 10.03 INFORMATION/DISCUSSION/ACTION: Develop a Policy that Protects Items/Places of Historical, Artistic, or Cultural Significance to the Alum Rock Community.
- 10.04 INFORMATION/DISCUSSION/ACTION: Resolution No. 09-18/19, LGBTQ+. A resolution to support the rights, freedoms and equality of those who are Lesbian, Gay, Bisexual, Transgender, and Queer (LGBTQ+).

- 10.05 DISCUSSION/ACTION: The Board will direct appropriate staff/counsel to respond to the Grand Jury Report. The District is legally required to respond no later than September 19, 2018.
- 10.06 CONSIDERATION/DISCUSSION/ACTION: Censure Board Member Trustee Khanh Tran.
- 10.07 INFORMATION/DISCUSSION/ACTION: The Mural de La Raza. The Board will direct administration to research the feasibility of recreating The Mural de La Raza at an appropriate ARUSD site. The Mural de La Raza, which until recently existed on the western exterior wall of 2048 Story Road, San Jose, CA 95122, was painted over. Administration, as part of their research, will reach out to Jose Meza, artist, who painted the mural and will provide a report and recommendation to the Board at the October 11, 2018 Board Meeting.

#### 11. INSTRUCTIONAL SERVICES

- 11.01 INFORMATION: Local Control Accountability Plan (LCAP) Timeline.
- 11.02 ACTION: Aptitud Community Academy at Goss Charter Renewal

#### 12. BUSINESS

- 12.01 INFORMATION/DISCUSSION: FISCAL EXPERT UPDATE. Dr. Donald Zimring, Fiscal Expert and Dr. William Gillaspie, Fiscal Advisor, in conjunction with Assistant Superintendent Kolvira Chheng, will provide a Fiscal Expert update to the Board.
- 12.02 INFORMATION/DISCUSSION: FCMAT UPDATE.
- 12.03 INFORMATION/ACTION: Unaudited Actual Financial Reports for 2017 2018 Report to the Board on Unaudited Actuals as Required by Law.

#### 13. HUMAN RESOURCES

13.01 INFORMATION Regarding Resignations.

#### **14. CONSENT CALENDAR**

- 14.01 ACTION: Approval of Minutes for the following meeting: August 9, 2018, Regular Board Meeting.
- 14.02 ACTION: Approve Contracts for Professional Services Firms.
- 14.03 ACTION: Approve Memorandum(s) of Understanding(s).
- 14.04 ACTION: Acceptance of Donations.
- 14.05 ACTION: Approval of Fundraising Activities.
- 14.06 ACTION: Acceptance of Vendor & Payroll Warrants
- 14.07 ACTION: Approve the Santa Clara County Treasury Investment Portfolio Status.
- 14.08 ACTION: Approve/Ratify Notices of Employment and Changes of Status/September 13, 2018/Human Resources Department.
- 14.09 ACTION: Approve Out of State Travel, Harvard Law School: Negotiation & Leadership Program, Cambridge, MA, October 14-18, 2018 for Hilaria Bauer, Vince Iwasaki, Tereasa Smith, Kolvira Chheng, Carlos Moran & Avtar Gill. Estimated cost \$32,682.

- 14.10 ACTION: Approve Out of State Travel, Harvard Law School: Negotiation and Leadership Program, Cambridge, MA, October 14-18, 2018 for Sandra Rivera, Ryan Jaegar, Alfonso Urango, Melody Todd & LeAnne Depew. Estimated cost \$27,175.
- 14.11 ACTION: Approve Out of State Travel, NEU National Conference, Las Vegas, NV, October 17-20, 2018 for Tracy Leathers, Alfredo Acosta, Shawn Lewis and Michael Weiler. Estimated cost \$6657.87.
- 14.12 ACTION: Approve Out of State Travel, VILs Coach Camp, Atlanta, GA, October 22-25, 2018 for Katherine Grunewald. No cost.
- 14.13 ACTION: Approve Education Protection Account (EPA) Spending Plan for 2018-19.
- 14.14 ACTION: Resolution No. 04-18/19 Creating a College Culture
- 14.15 ACTION: Resolution No. 05-18/19-Hispanic Heritage Month; September 15 October 15, 2018
- 14.16 ACTION: Resolution No. 06-18/19; Character Education Month October, 2018
- 14.17 ACTION: Resolution No. 07-18/19; Week of the School Administrator October 9-15, 2018.
- 14.18 ACTION: Approve Resolution No. 08-18/19 GANN Limit Calculations.
- 14.19 ACTION: School-sponsored Field Trip List.
- 14.20 ACTION: Application for Exemption from the Required Expenditures for Classroom Teachers' Salaries.

#### 15. FUTURE BOARD AGENDA REQUESTS

15.01 Requests from Board of Trustees and/or from the Public.

#### **16. ADJOURNMENT**

16.01 President adjourns the meeting.

Office of Superintendent of Schools

To the Board of Ti	rustees:	Date: August 21, 2018
Subject:	Public Hearing Regarding	Sufficienty of Instructional Materials
Staff Analysis:	resolution adopted in ord	0119 requires an annual Public Hearing and a er to obtain assurance from the Governing ent textbooks and instructional materials.
*PUBLIC HEARIN	G*	
Submitted by: Sai	0	ector of State and Federal Programs sistant Superintendent of Instructional Services
		istant Superintendent of Instructional Services
To the Board of Tr		Meeting: September 13, 2018
*PUBLIC HEARIN	rustees: G*	Meeting: September 13, 2018 Regular Board Meeting
*PUBLIC HEARIN	rustees: G*	Meeting: September 13, 2018
*PUBLIC HEARIN	rustees: G*	Meeting: September 13, 2018 Regular Board Meeting Hilaria Bauer, PN.D., Superintendent
To the Board of Tr *PUBLIC HEARIN  4.0  Agenda Placemen  Motion by:	rustees:  G*  t	Meeting: September 13, 2018 Regular Board Meeting Hilaria Bauer, PN.D., Superintendent



## Office of Superintendent of Schools

Board of Trustees:		September 6, 2018
Subject:	RECOGNITION AND ACKNOSTUDENT OVERCOMING A	
SPECIAL RECO	GNITION	
Submitted by:	Hilaria Bauer	Title: Superintendent
To the Board of Tro	ustees: Meeting:	September 13, 2018 Regular Board Meeting
SPECIAL RECO	9754 74 KE 75	laria Bauer, Ph.D., Superintendent
Motion by:	DISPOSITION BY BOAR	D OF TRUSTEES  Seconded by:
Approved:	Not Approved:	Tabled:



## Office of Superintendent of Schools

Γο the Board of Tr	ustees:		Date:	August 22, 2018
Subject:	Extended Year Pres	sentation		
Staff Analysis:	Extended year pre highlight the summ			mmer programming and ures.
Presentation/Info	rmation Only			
Submitted by: <u>Jas</u>	on Sorich	Title: <u>EdTecl</u>	h Administrator,	Academic Services
Approved by: Ren	e Sanchez	Title: Assista	ant Superintende	ent, Instructional Services
To the Board of Tr	ustees:	Meeting:	September 13, Regular Board I	
Presentation/Info	rmation Only	M	MILL	
Agenda Placement	Į	Hilaria Bauer	Rh.D.I Superint	endent
	DISPOSITIO	N BY BOARD O	OF TRUSTEES	
Motion by:		Seconded	by:	
	Not App	measuad:	Т	abled:

## Office of Superintendent of Schools

Board of Trustees:		September 6, 2018
Subject:	UPDATE ON BOND PROJECTS  The Board will receive a written update	on the status of Bond Projects.
INFORMATION		
Submitted by: <u>Hi</u>	laria Bauer, Ph.DTitle:Su	perintendent
To the Board of Tru		eptember 13, 2018 egular Board Meeting
	te	
Information/Upda	te	Bauer, Ph.D., Superintendent
	DISPOSITION BY BOARD OF	Bauer, Ph.D., Superintendent

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## Office of Superintendent of Schools

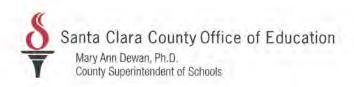
To the Board	of Trustees:	Date: August 30, 2018
Subject:	Citizen's Bond Oversight Com	nmittee (CBOC)
	The Board will receive a writ Committee.	ten update from the Citizen's Bond Oversight
***INFORMAT	TION/DISCUSSION***	
Submitted by:	Kolvira Chheng X Title:	Assistant Superintendent, Business Services
		T. 3 T. 4 T. 4 T. 7 T. 7 T. 7 T. 7 T. 7 T. 7
To the Board of	of Trustees: Meeting:	September 13, 2018 Regular Board Meeting
	of Trustees: Meeting:  N/DISCUSSION	
	N/DISCUSSION	
INFORMATIO	N/DISCUSSION	Regular Board Meeting
INFORMATIO 8.0 Agenda Plac	N/DISCUSSION  Z cement  DISPOSITION BY BO	Regular Board Meeting  Hilaria Bauer, Ph.D., Superintendent

8.03

Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

	Trustees:	Date: September 4, 2018
Subject:	Restatement of Notice of	Delay of Sale of Bond Pending
Background:	approved Resolution No. 0 Union Elementary School	held on August 9, 2018, the Board of Trustees 2-18/19, authorizing the issuance of Alum Rock District (Santa Clara County, California) election onds, series A in the amount of \$35 million.
	issued the attached letter in Bond Pending Resolution of the Office of the Attorney	anta Clara County Office of Education (SCCOE) tled, Restatement of Notice of Delay of Sale of Internal Control Deficiencies and Opinion from Seneral Affirming Stay and Rescind Authority of to Delay the Sale of Bonds.
**INFORMATIO	N**	
1 (Lean 18)	K	
Approved by:		
To the Board of		ng: September 13, 2018
To the Board of	Trustees: Meet	ng: September 13, 2018
To the Board of INFORMATION	Trustees: Meet	ng: September 13, 2018 Regular Board Meeting
To the Board of INFORMATION  2.03  Agenda Place	Trustees: Meet	ng: September 13, 2018 Regular Board Meeting  White Management Superintendent



#### August 24, 2018

Via Email and U.S. Mail

Mr. Esau Herrera, Board President Alum Rock Union Elementary School District 2930 Gay Avenue San Jose, CA 95127

RE: Restatement of Notice of Delay of Sale of Bond Pending Resolution of Internal Control Deficiencies and Opinion from the Office of the Attorney General Affirming Stay and Rescind Authority of County Office of Education to Delay the Sale of Bonds

Dear Mr. Herrera:

Please accept this letter as a restatement of the notice that the emergency stay and rescind authority of the Santa Clara County Superintendent of Schools which was exercised to delay the sale of \$35 million in general obligation bonds approved by the Alum Rock Union Elementary School District ("District") Governing Board pursuant to Resolution No. 02-18/19 on March 8, 2018, remains in effect at this time. Specifically, the stay and rescind authority of the County Superintendent remains in effect to delay the Governing Board's recent vote on August 9, 2018 to re-approve the sale of \$35 million in bonds pursuant to Resolution No. 02-18/19.

By way of background, the extraordinary audit issued by the Fiscal Crisis Management and Assistance Team ("FCMAT") on June 9, 2017 found that the District is operating in an environment with considerable risk for fraud, misappropriation of funds, and misuse of the school districts' assets in the management and oversight of the school district's multimillion dollar bond program. Many of the FCMAT recommendations for improvement of the District's internal controls and business practices remain outstanding over a year after the FCMAT Audit was published, although the District Staff and Fiscal Expert Team have worked diligently to implement the FCMAT recommendations and bolster the District's business practices. It is important to note that the Governing Board's vote approving the sale of bonds was made against the advice of the District's Superintendent and District Staff. Additionally, the Board's Bond Counsel has recently advised that the District could be exposed to increased vulnerabilities associated with the District's bond rating if the District were to pursue the sale of bonds at this time<sup>2</sup>. For

<sup>&</sup>lt;sup>1</sup> Agenda Item 9.09 of the District's Board Book includes a document entitled "Disclaimer" which states that Superintendent Hilaria Bauer and staff do not recommend approval of Resolution No. 02-18/19 at this time.

<sup>&</sup>lt;sup>2</sup> Email correspondence dated August 6, 2018 from the District's Bond Counsel containing cautionary language regarding the proposed sale of general obligation bonds by the District and noting that the District



these reasons, it is prudent to delay the sale of bonds until the District has resolved its internal control deficiencies.

In keeping with the fiscal oversight duties set forth in AB 1200 and in accordance with California Education Code sections 1240, 42103 and 42122 to 42129, the County Superintendent and the Fiscal Expert Team assigned to the District will review the District's budget and evaluate factors related to the stay and rescind authority and approve, or disapprove the District's budget on or before September 15, 2018. As part of this process, the County Superintendent may review and consider the studies, reports, evaluations or audits that were commissioned by the District, the County Superintendent, Superintendent of Public Instruction, and state control agencies if these documents contain evidence of fiscal distress. (Ed.Code §§ 33127, 42127.)

This action is taken in performance of my fiscal oversight responsibilities set forth in the California Education Code as an intermediary between the state and the local school districts within Santa Clara County experiencing fiscal distress. It is my intent as County Superintendent to provide support, assist and advise the District and to take appropriate action based on the available facts and fiscal data in accordance with applicable law to support decisions that are in the best interest of the students, parents, and stakeholders concerned.

Sincerely,

Mary Ann Dewan, Ph.D.

County Superintendent of Schools

Wary an Dewan

cc: Members of the Board, Alum Rock Union Elementary School District

Hilaria Bauer, Ph.D., Superintendent, Alum Rock Union Elementary School District Kolvira Chheng, Associate Superintendent, Business, Alum Rock Union Elementary School District

Efrain Robles, Director of Fiscal Services, Alum Rock Union Elementary School District Megan K. Reilly, Chief Business Officer, Santa Clara County Office of Education Judy Lee Kershaw, Director-District Business and Advisory Services, Santa Clara County Office of Education

William P. Gillaspie, ED.D., Fiscal Advisor

Donald M. Zimring, Ph.D., Member – Fiscal Expert Team Tom Torlakson, California State Superintendent of Schools

Mr. James R. Williams, Esq., County Counsel

would need to re-initiate and successfully navigate the bond rating process, among other things, was read aloud during the regular meeting of the District's Governing Board on August 9, 2018.

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

9.01

#### 2930 Gay Avenue San José, CA 95127 Office of Superintendent of Schools

## ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

Date: September 6, 2018

To the Board of Trustees:

Subject:	Latino Film Institut	e Youth Cinema	Project	
Staff Analysis:	students into more vehicle to inspire so in the classroom, an Youth Cinema Proje	e engaged learne tudents to write a nd develop the dis ect enables stude	ers. The Youth Cinem and tell their own stor scipline to make a film	student's education and to turn na Project uses filmmaking as a ies, think creatively and critically product out of their writing. The n content, while enhancing their
	specifically three ( Elementary. The course. Each class	<ol> <li>fourth-grade of the control of the contro</li></ol>	classes and two (2) mi	ementary school at the District, iddle school classes at Hubbard e curriculum for each class and ertificated teacher along with an ss.
	The estimated cost	of this project is !	\$431,280.00	
	Cost per Year:	2018-2019 2019-2020	\$215,640.00 \$215,640.00	
Recommendation	n: Staff recommends the Cinema Project.	ie Board approve	the contract with the La	atino Film Institute Youth
Submitted by:	Rene Sanchez	<u> </u>	Title: Assistant Supe	rintendent, Instructional Services
To the Board of T		Most	:	
To the Board of 1	rustees:	Meet	ing: August 9, 2018	3.
Agenda Pl	ended Approval  O ()  lacement		Hilaria Bauer, Ph.D.,	Superintendent
			V=2.	
	DISPO	OSITION BY BO	ARD OF TRUSTEES	
Motion by:		Seco	onded by:	
Approved	N	ot Approved	Table	ed

## INDEPENDENT CONTRACTOR AGREEMENT

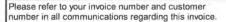
Α	ON OF BUSINESS SER	VICES			CONTRACT NO	
OM: AC	ademic Services	3	(School/Dep	t.)	VENDOR NO.	22241
OGRAM N	MANAGER: Rene S	anchez				
	S: The Alum Rock Unio wing named Contractor:	n Elementary School Dis	strict (ARUESD), whos	e address is	2930 Gay Avenue, Sa	an Jose, CA 95127, and
Name of	f Individual/Company: _	Latino Film Insti	tute Youth Cine	ma Proj	ect	
Address	18034 Ventura	Blvd., Suite 288	B city: End	cino	State: CA	_ zip: <u>91316</u>
Phone:	(626) 222-925	52	Email Addr	ess:		
SSN: _			or Fed I.D. #;	47-501	0246	
Mutually	agree and promise as					20
A. Desc exhib	oits and other document	e provided and expecte ation if necessary: in cinematic film 019-2020 (two)				
Aba De	110 0010 and 00	319-2020 (IWO) 5	school years. II	ie routii	Cinema Froje	of will provide
the 20	018-2019 and 20 urriculum and les	sson plans for ea	ach class and c	ourse.		ct will provide
COMPER expresse (Invoice)	NSATION: In consider the herein, ARUESD should be submitted	ation of Contractor's pro- lation of Contractor upon all pay Contractor upon and not later than 30 days by ARUESD as follows: (	ovision of services as on Contractor's submis from the end of the	described at ssion of a month in whi	pove, and subject to to	the payment provisions demand for payment
COMPET expresse (Invoice) upon app	NSATION: In consider the herein, ARUESD should be submitted by the herein of such demand by the here of the here o	ation of Contractor's pro- lall pay Contractor upo- d not later than 30 day- by ARUESD as follows: (	ovision of services as on Contractor's submits from the end of the Check either a, b, or c)	described at ssion of a month in whi	prove, and subject to to properly documented chithe contract services by ARUESD, not to	the payment provisions demand for payment es were rendered, and exceed a maximum o
COMPEI expresse (Invoice) upon app	NSATION: In consider de herein, ARUESD she which shall be submitted proval of such demand be received by the service.	ation of Contractor's protein pay Contractor upon ded not later than 30 days by ARUESD as follows: (	ovision of services as on Contractor's submits from the end of the Check either a, b, or c) ay of service as may D may, but is not obli	described at ssion of a month in whi be requested gated to, req	pove, and subject to to properly documented ch the contract serviced by ARUESD, not to suest the maximum nu	the payment provisions demand for paymen es were rendered, and exceed a maximum o umber of hours/days o
COMPEI expresse (Invoice) upon app	NSATION: In considered herein, ARUESD should be submitted or oval of such demand by Service.  Other: \$431,280.	ation of Contractor's pro- nall pay Contractor upon ed not later than 30 days by ARUESD as follows: ( per hour/d ys of services. ARUES)	ovision of services as on Contractor's submits from the end of the Check either a, b, or c) ay of service as may D may, but is not obli	described at ssion of a month in whi be requested gated to, req	pove, and subject to to properly documented ch the contract serviced by ARUESD, not to suest the maximum nu	the payment provisions demand for payment les were rendered, and exceed a maximum of umber of hours/days of
COMPER expresse (Invoice) upon app	NSATION: In considered herein, ARUESD should be submitted or oval of such demand by Service.  Other: \$431,280.	ation of Contractor's pro- nall pay Contractor upon ed not later than 30 days by ARUESD as follows: ( per hour/d ys of services. ARUES)	ovision of services as on Contractor's submis from the end of the Check either a, b, or c) ay of service as may D may, but is not obligate agreement) \$21	described at ssion of a month in white the requested gated to, req	pove, and subject to to properly documented ch the contract serviced by ARUESD, not to suest the maximum nu	the payment provisions demand for payment les were rendered, and exceed a maximum of umber of hours/days of

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

10.01

## Office of Superintendent of Schools

Board of Trustees:		August 29, 2018
Subject:	2018-19 CSBA MANUAL MAIN PERIOD FROM JULY 1, 2018	그는 사람이 가는 아니라는 이렇게 살아서 가는 것이 없는 것이 되었다. 그렇게 하는 것이 없는 것이 없는 것이다.
	CSBA Manual Maintenance Plus thru June 30, 2019, in the amount	Service for the period from July 1, 2018 of \$3,765.
	Payment must be received by Frid	ay, September 28, 2018.
ACTION		
Submitted by:	Hilaria Bauer, Ph.D.	Title: Superintendent
To the Board of To	rustees: Meeting:	September 13, 2018 Regular Board Meeting
Recommend App	roval	
10.0	l .	Manuel
Agenda Placeme	ent Hila	ria Bauer, Ph.D., Superintendent
	DISPOSITION BY BOARD	OF TRUSTEES
Madan bar		Seconded by:
Motion by:		





**Invoice Number** 

**Invoice Date** 

PO#

INV-38931-D8P5Y9

5/11/2018

Bill To:

Alum Rock Union ESD 2930 Gay Ave San Jose, CA 95127-2322 United States Ship To:

Alum Rock Union ESD 2930 Gay Ave San Jose, CA 95127-2322 United States

Product Code	Description	Unit Price	Quantity	Extended Price	Terms
MM+	Manual Maintenance Plus ( 07/01/2018 - 06/30/2019 )	\$3,765.00	1.00	\$3,765.00	
GOL	Gamut Online ( 07/01/2018 - 06/30/2019 )	\$3,405.00	1.00	\$3,405.00	Net 30

Total Invoice: \$7,170.00 Total Paid: \$0.00 Balance Due: \$7,170.00





Customer Number Invoice Number

INV-38931-D8P5Y9

Invoice Date

Terms

Balance Due

100797

05/11/2018

\$7,170.00

Make checks payable to:

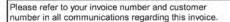
California School Boards Association - CSB (6744) c/o West America Bank P.O. Box 1450 Suisun City, CA 94585-4450 Bill To:

Alum Rock Union ESD 2930 Gay Ave San Jose, CA 95127-2322 United States

10.02

## Office of Superintendent of Schools

Board of Trustees	S.		August 29, 2018
Subject:	2018-19 CSBA GAMUT ONL PERIOD FROM JULY 1, 201		E 30, 2019
	CSBA Gamut Online for the pe June 30, 2019, in the amount of		, 2018 thru
	Payment must be received by Fr	riday, Septembe	r 28, 2018.
ACTION			
Submitted by: _	Hilaria Bauer, Ph.D.	Title:	Superintendent
To the Board of T	Frustees: Meeting		r 13, 2018 coard Meeting
Recommend Ap	proval	11/1	
10.0		MM	Mer
Agenda Placem	ent H	ilaria Bauer Ph	.D., Superintendent
	DISPOSITION BY BOAR	RD OF TRUST	EES
Motion by:		Seconded by:	





**Invoice Number** 

Invoice Date

PO #

INV-38931-D8P5Y9

5/11/2018

Bill To:

Alum Rock Union ESD 2930 Gay Ave San Jose, CA 95127-2322 United States Ship To:

Alum Rock Union ESD 2930 Gay Ave San Jose, CA 95127-2322 United States

Product Code	Description	Unit Price	Quantity	Extended Price	Terms
MM+	Manual Maintenance Plus ( 07/01/2018 - 06/30/2019 )	\$3,765.00	1.00	\$3,765.00	0
GOL	Gamut Online ( 07/01/2018 - 06/30/2019 )	\$3,405.00	1.00	\$3,405.00	0 Net 30

Total Invoice: \$7,170.00 Total Paid: \$0.00 Balance Due: \$7,170.00



PLEASE DETACH HERE AND RETURN BOTTOM STUB WITH PAYMENT

Customer Number Invoice Number

INV-38931-D8P5Y9

Invoice Date

Terms

Balance Due

100797

05/11/2018

\$7,170.00

Make checks payable to:

California School Boards Association - CSB (6744) c/o West America Bank P.O. Box 1450 Suisun City, CA 94585-4450 Bill To:

Alum Rock Union ESD 2930 Gay Ave San Jose, CA 95127-2322 United States

## Office of Superintendent of Schools

Board of Trustees:		September 6, 2018		
Subject:  DEVELOP A POLICY THAT PROTECTS ITEMS/PLACES OF HISTORICAL, ARTISTIC, OR CULTURAL SIGNIFICA TO THE ALUM ROCK COMMUNITY				
Information/Discuss	sion/Action			
Submitted by:	Hilaria Bauer	Title:	Superintendent	
To the Board of Trust	tees: Meeting		r 13, 2018 oard Meeting	
Information/Discuss  / 0.03  Agenda Placement		Iilaria Bauer, Ph	D., Superintendent	
	DISPOSITION BY BOAL	RD OF TRUST	EES	
Motion by:		Seconded by:	-	
Approved:	Not Approved:		Tabled:	



## Office of Superintendent of Schools

Board of Trustees	September 6, 2018
Subject:	RESOLUTION NO. 09-18/19 LGBTQ
	A Resolution to support the rights, freedom and equality of those who are Lesbian, Gay, Bisexual, Transgender, and Queer (LGBTQ+).
Information/Disc	cussion/Action
Submitted by:	Hilaria Bauer Title: Superintendent
To the Board of T	rustees: Meeting: September 13, 2018 Regular Board Meeting
Information/Disc	4 House
Motion by:	DISPOSITION BY BOARD OF TRUSTEES  Seconded by:
Approved:	Not Approved: Tabled:

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

### RESOLUTION NO. 09-18/19 LESBIAN, GAY, BISEXUAL, TRANSGENDER AND QUEER (LGBTQ+)

WHEREAS, members of the Alum Rock Union Elementary School District Board of Directors/Trustees/etc., support the rights, freedoms and equality of those who are lesbian, gay, bisexual, transgender and queer (LGBTQ+);

WHEREAS, all students deserve to feel safe and welcome in their schools and to see themselves represented in the curricula;

WHEREAS, a number of LGBTQ+ historical events and people of all races have contributed to the history of equality for all people;

WHEREAS, Sylvia Rivera and Martha P. Johnson were some of the significant individuals who stood up for LGBT Rights in the 1960's and at the Stonewall Riots in New York in 1969;

WHEREAS, Harvey Milk was the first openly gay elected official in the history of California, and the passage of SB 572 (Leno) into law on October 11, 2009 officially recognizing May 22 as Harvey Milk Day in the State of California;

WHEREAS, on April 8, 2003, the Federal Ninth Circuit Court of Appeals ruled, in a case brought by local, Santa Clara County students (Flores v. Morgan Hill Unified School District, 324 F. 3d 1130 [9<sup>th</sup> Cir. 2003]), that school district staff were responsible for maintaining safe school environments and for equitable enforcement of non-discrimination statutes and policies;

WHEREAS, on July 14, 2011, the Fair, Accurate, Inclusive and Respectful (FAIR) Education Act was passed and signed into law that mandates the inclusion of the political, economic, and social contributions of lesbian, gay, bisexual, transgender and queer people in the social studies and history curricula in California public schools;

WHEREAS, on July 14, 2016, the State Board of Education passed a new History Social Science Framework that includes LGBTQ+ American history content to be taught in history and social science classrooms;

WHEREAS, LGBTQ+ individuals continue to make noteworthy and important contributions to American history and society;

**NOWTHEREFORE BE IT RESOLVED**, that Alum Rock Union Elementary School District celebrates the accomplishments of LGBTQ+ people in history, encourages all schools to celebrate October as LGBTQ+ History Month, and encourages teachers to teach lessons about LGBTQ+ history in their classrooms.

## ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## RESOLUTION NO. 09-18/19 LESBIAN, GAY, BISEXUAL, TRANSGENDER AND QUEER (LGBTQ+)

**PASSED AND ADOPTED** this 13<sup>th</sup> day of September, 2018, at a Regular Board Meeting by the following vote:

AYES	NOES	ABSENT	ABSTAIN
BOARD OF TRUSTE	CES:		
Esau Ruiz Herrera, Pre	sident		
Karen Martinez, Vice-I	President		
Dolores Marquez-Fraus	sto, Clerk		
Khanh Tran, Member			
Andrés Quintero, Mem	ber		
and adopted by the mer	nber of the Board of	esolution was duly and re Frustees of the Alum Rocoard held on September	
Dr. Hilaria Bauer,	Ph.D., Superintendent	- J -	Date



## Office of Superintendent of Schools

Board of Trustee	September 6, 2018			
Subject:	GRAND JURY REPORT R	GRAND JURY REPORT RESPONSE		
		iate staff/counsel to respond to the ict is legally required to respond no		
DISCUSSION/A	ACTION			
Submitted by:	Hilaria Bauer	Title: Superintendent		
To the Board of	Γrustees: Meetin	g: September 13, 2018 Regular Board Meeting		
Agenda Placen	<u></u>	Hilaria Bauer, Ph.D., Superintendent		
Motion by:	DISPOSITION BY BOA	Seconded by:		
Approved:	Not Approved:	Tabled:		



## Office of Superintendent of Schools

Board of Trustees:		September 6, 2018		
Subject: CE	ENSURE BOARD MEMBE	R KHANH TR	AN	
CONSIDERATION/DIS	SCUSSION/ACTION			
Submitted by:	Hilaria Bauer	Title:	Superintendent	
To the Board of Trustees:	Meeting:	September Regular Bo	: 13, 2018 oard Meeting	
Consideration/Discussio		avia Bauer, Ph.	D., Superintendent	
Motion by:	DISPOSITION BY BOAR		EES	
Approved:	Not Approved:		Tabled:	



## Office of Superintendent of Schools

Board of Trustees:		September 6, 2018			
Subject:					
	The Board will direct administration to research the feasibility of recreating The Mural de La Raza at an appropriate ARUSD site.  The Mural de La Raza, which until recently existed on the western exterior wall of 2048 Story Road, San Jose, CA 95122, was painted over.  Administration, as part of their research, will reach out to Jose Meza, Artist, who painted the mural and will provide a report and recommendation to the Board at the October 11, 2018 Board Meeting.				
INFORMATION/D	DISCUSSION/ACTION				
Submitted by:	Hilaria Bauer	Title: Supe	erintendent		
To the Board of Trus	stees: Meeting:	September 13, 2018 Regular Board Mee			
Agenda Placement		aria Bauer, Ph.D., Super	rintendent		
	DISPOSITION BY BOARI	O OF TRUSTEES			
Motion by:		Seconded by:			
Approved:	Not Approved:	Tabled	l:		

#### Office of Superintendent of Schools

	tees:	Date: August 21, 2018
Subject:	Local Control Accountabi	lity Plan (LCAP) Timeline
Staff Analysis:	of the Local Control Fundir are required to prepare a Annual Update every year. meet annual goals for all p	ability Plan (LCAP) is an important component ing Formula (LCFF). Under the LCFF all LEAD in LCAP every three years and provide an The LCAP describes how the LEAs intend to pupils, with specific activities to address state fied pursuant to Education Code Section
	Staff will provide a Timeline Update for the Local Control	for the development of the 2019-2020 Annual Accountability Plan.
Recommendation:	Informational Item	
Submitted by: Sand	ra Garcia K. Title: Directo	r of State and Federal Programs
	775	r of State and Federal Programs  nt Superintendent of Instructional Services
Submitted by: <u>Sanda</u> Approved by: <u>Rene</u> To the Board of Trus	Sanchez Title: Assista	
Approved by: Rene	Sanchez Title: Assista	nt Superintendent of Instructional Services  Meeting: September 13, 2018
Approved by: Rene To the Board of Trus Information Only	Sanchez Title: Assista	Meeting: September 13, 2018 Regular Board Meeting  Hilaria Bayer, Ph.D., Superintendent
Approved by: Rene To the Board of Trus Information Only  /(,0)  Agenda Placement	Sanchez Title: Assista	Meeting: September 13, 2018 Regular Board Meeting  Hilaria Bayer, Ph.D., Superintendent

#### Alum Rock Union Elementary School District

#### Local Control Accountability Plan (LCAP) Timeline

September 13, 2018

This summary is to provide information regarding the LCAP Timeline.

#### What is the LCAP?

California schools are funded under a model known as the Local Control Funding Formula (LCFF). Under this plan Districts are required to develop a Local Control Accountability Plan (LCAP) to guide priorities in the budget development process. Central to the process is providing opportunities for public input.

The LCAP must be built around eight (8) priority areas identified by the California Department of Education.

Basic Necessities

5. Student Engagement

2. Implementation (CCSS)

6. School Climate

3. Parental Involvement

7. Access to Courses

4. Student Achievement

8. Other student outcomes

#### Current 2018-2019 LCAP goals

- Goal 1 Provide all students with a rigorous, challenging, and innovative instructional program that prepares them for college-readiness and for excellence in the 21<sup>st</sup> Century.
- Goal 2 English Learners will have the required skills to reach grade level standards/proficiency.
- Goal 3 Provide all students and families a safe, welcoming, and caring environment conducive to learning.
- Goal 4 Engage stakeholders in a meaningful way that promotes a positive learning, working, and community environment that is geared toward student achievement.

#### The following stakeholders will be engaged to provide input into the development of the LCAP:

- Parents & Community members via community forums, school site meetings, and on-line surveys
- AREA, CSEA & Teamsters units via input session meetings
- Middle School students via on-line surveys
- Teachers & District Administrators via staff meetings, input sessions, and on-line surveys
- LCAP Advisory Committee (Parents/Community Members, Teachers, Site Administrators, District Administrators, MS Students)

#### **Timeline for Stakeholder Meetings**

October - December	January & February	March	April	May	June
LCAP Stakeholder Advisory Committee (Oct 16, Dec 11)  LCFF/LCAP Parent Informational Meeting (Oct 30)	<ul> <li>DELAC LCAP input session (Feb 4)</li> <li>DAC LCAP input session (Feb 11)</li> <li>Parent/Commun ity input forums (Jan 24, 31 and Feb 7)</li> <li>AREA, CSEA, ARAA &amp; Teamsters LCAP Review &amp; Input Session (Feb 12)</li> <li>Management Team Input Session (Feb 6)</li> </ul>	Staff & Parent Meetings (school sites) On-line parent, staff and student surveys Jan 24-March 8 parents); (Feb 11- March 8) staff/student LCAP Stakeholder Advisory Committee (March19) Board update	Board update	Board update —     LCAP draft	<ul> <li>Public Hearing / Stakeholder feedback</li> <li>Approval of LCAP (special Board Meeting)</li> <li>Submit LCAP to Santa Clara</li> <li>County Office of Education for approval</li> </ul>

Board Updates - LCAP Board Updates will begin in March 2019.

### Office of Superintendent of Schools

To the Board of Truste	ees: Date: August 24, 2018
Subject:	Aptitud Community Academy at Goss – Charter Renewal
Staff Analysis:	The board will consider and take action on the five-year dependent charter renewal for Aptitud Community Academy at Goss effective July 1, 2018, through June 30, 2023. The staff of Aptitud Community Academy at Goss has submitted an updated petition for renewal.
Recommendation:	Staff recommends approval of the five-year charter renewal for Aptitud Community Academy at Goss.
Submitted by: <u>Barba</u> Approved by: <u>Rene</u>	PC
To the Board of Trus  Recommend Approx  [ . 0 7  Agenda Placement	Regular Board Meeting
	DISPOSITION BY BOARD OF TRUSTEES
Motion by:	Seconded by:
Approved:	Not Approved: Tabled:

# Charter School Renewal Academic Performance Determination Pursuant to Education Code Section 47607(b)(4)

#### **Charter School Information**

School Name: Aptitud Community Academy At Goss		
County District School Code:	6046247	
Charter Number:	1521	

Authorizing Local Educational Agency

Name:	Alum Rock Union Elementary School District			
Address:	2930 Gay Avenue			
City:	San Jose Zip 95127			
County:	Santa Clara County			
Contact Name:	Rene Sanchez, Assistant Superintendent Instructional Services			
Phone:	408-928-6800 Fax: 408-928-6480			
E-mail Address:	rene.sanchez @arusd.org			

The charter school authorizer must provide supporting documentation and a written summary of the basis for any charter school renewal determination made pursuant to the criterion in *EC* 47607(b)(4)(A). The academic performance of the charter school is at least equal to the academic performance of the public schools that the charter school pupils would otherwise have been required to attend, as well as the academic performance of the schools in the school district in which the charter school is located taking into account the composition of the pupil population that is served at the charter school.

Materials may be submitted via hard copy:

Attn: Charter School Renewal–Academic Performance Determination
Charter Schools Division
California Department of Education
1430 N Street, Suite 5401
Sacramento, CA 95814-5901

Or via e-mail: CHARTERRENEWALS@cde.ca.gov

#### **Local Educational Agency Superintendent Certification**

I hereby certify to the best of my knowledge and belief that that the enclosed information is true and correct.

Superintendent or Designee Signature:	Date:	
Superintendent or Designee Printed Name:	Title:	

#### APTITUD COMMUNITY ACADEMY AT GOSS



2475 Van Winkle Lane San José, CA 95127

(408) 928-7650

FAX (408) 928-7651

Maria Teresa Manzanedo, Principal

Tanu Kolhi, Assistant Principal

Aptitud Community Academy at Goss is a dependent charter in Alum Rock Union Elementary School District. It has been in operation since the 2013-2014 academic school year. Presently we are fully enrolled at 430 students' grades TK-8 (314 Tk-5 students and 116 Grade 6-8 students). Aptitud Community Academy at Goss maintains the highest standards for academic performance for every child while creating an environment that emphasizes the development of health-enhancing behaviors. We do this by putting in place an infrastructure that encourages exercise, healthy eating, and sound decision-making by students, their families, the school, and the community in order to enhance health and avoid health risks. We view ourselves as a community school: fully committed to each child, to the health and wellness of all their families, and to the health of the larger community.

The school day is structured to allow students the full benefit of the combined efforts of healthy living/mindset and academic achievement. Morning exercise routines, scheduled breaks each hour for movement in every class, personal health goals for all students and staff, ongoing monitoring with pedometers, nutrition logs, exercise logs, standards-based assessments, and structured activities at recesses and after school to teach healthy play are just a few of the many ideas that will be integrated into our program over time. We partner with community organizations committed to improving the health of children.

The instructional model of Aptitud Community Academy at Goss continues to advocate for:

- 1. School climate conducive to learning
- 2. Strong first instruction
- 3. Personalization of instruction
- 4. Parent and community partnerships
- 5. Collaboration and planning
- 6. Ongoing monitoring of student progress
- 7. Authentic student engagement.
- 8. Focus on Health, Wellness, and Science

Our program includes Guided Language Acquisition Design (GLAD), Constructing Meaning, EPIC! (Reading comprehension and fluency online program), PBIS, math fact and high frequency word fluency, and teacher collaboration using the Results Oriented Cycles of Inquiry (ROCI) model. We manage the implementation of these proven programs by offering teacher's professional development before, during, and at the end of the year; grade level collaboration; teacher collaboration; small group teacher-led lessons; personalized technology; a strong focus on health and nutrition.

Under the California Assessment for Student Performance and Progress [SBAC] we have seen significant positive change in the level of achievement form students. From 2017 to 2018 there was an overall 2% increase in students meeting or exceeding standard as measured by the SBAC in grades 3-5. When looking at growth specifically in grades 3-5, the percentage of growth of all students changed from 38% in 2017 to 71% in 2018. In English Language Arts, there was an increase in the number of 3-5 grade students meeting or exceeding standard from 13% in 2017 to 20% in 2018. Looking at growth specifically in English Language Arts, there was a change from 5% growth of students to 40% growth of students. The overall increase in growth at the 3-5 grades illustrates a level of improvement taking place on the educational experience of students at the site.

Under the California Dashboard the achievement of English Learners at Aptitud Community Academy at Goss via the English learner progress indicator (ELPI) report increased significantly by 10.7% and maintained a high status of 77.8% (Blue – highest performance). Aptitud's performance under the ELPI measure is higher than the neighboring public school district-- Alum Rock Union School District, which maintains a performance level of Green. The performance levels of Aptitud Community Academy at Goss remains Orange in English Language Arts and Mathematics. Aptitud's performance level achievement is comparable to Alum Rock Union School District as it also maintains a performance level of Orange in both English Language Arts and Mathematics as measured by the Fall 2017 CA Dashboard.

As we have continued to work towards our overall goal of healthy successful students, we have added a science component to our daily instruction which will have embedded ties to healthy living. We are in the process of developing a science lab that will give students and teachers an opportunity to have hands on experiences that reflect their learning. Teachers continue to engage students in breathing exercises, brain breaks and growth mindset development. As a community we continue to focus on building relationships with outside agencies and our families with monthly events where parents are invited to participate and become familiar with the services that are available to them. Each month we have student of the month assemblies to promote life skills such as respect, empathy, dedication, hard work that will lead them to be successful contributing members of society and workforce. A variety of school wide and grade level opportunities are available for students to participate in that focus on goal setting, decision making skills, and healthy behaviors.

Updates to the charter petition were made as follows:

- Curriculum was updated to current adopted curriculum
- Titles of statewide and local assessments
- Changes to names of programs such as Peacebuilders to PBIS school wide.
- Additional technology available for in classroom use allowed for the development of a science lab to replace the computer lab.
- Aptitud Community Academy at Goss is now a TK-Grade 8 school

12.01

August 30, 2018

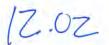
### Office of Superintendent of Schools

#### ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

Date:

Board of Trustees:

Subject:	Fiscal Expert			
Staff Analysis:	Dr. Donald Zimring, Fisca conjunction with Assistant Expert update to the Board	Superinten		
**INFORMATION/	DISCUSSION**			
Approved by: Kolvi	ra Chheng X	Title: Ass	sistant Superintendent	of Business Services
To the Board of Tr	ustees: ION/DISCUSSION	Meeting:	September 13, 201 Regular Board Mee	
Agenda Pla	Z.Ol cement	Hila	aria Bauer, Ph.D. Supe	rintendent
	DISPOSITION BY	Y BOARD C	OF TRUSTEES	
Motion by:		Seconded	l by:	
Approved	Not Approve	ed	Tabled	



### Office of Superintendent of Schools

To the Board of T	rustees: Date: August 30, 2018
Subject:	FCMAT Update
Staff Analysis:	The Board is requesting to have an updated status on the FCMAT Report and the requested documents. Assistant Superintendent o Business Services will provide a FCMAT update.
*INFORMATION /	DISCUSSION*
	V.
Approved by: Ko	
To the Board of T	rustees:  Meeting: September 13, 2018 Regular Board Meeting  DISCUSSION  Assistant Superintendent, Business Services  Meeting: September 13, 2018 Regular Board Meeting  Hilaria Bauer, Ph.D., Superintendent
To the Board of T INFORMATION / 【こ.O	rustees:  Meeting: September 13, 2018 Regular Board Meeting  DISCUSSION
To the Board of T INFORMATION /  【乙.〇 Agenda Placem	rustees:  Meeting: September 13, 2018 Regular Board Meeting  DISCUSSION  Zent Hilaria Bauer, Ph.D., Superintendent

12.03

#### Office of Superintendent of Schools

#### ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

Date: August 31, 2018 To the Board of Trustees: Unaudited Actual Financial Reports for 2017 – 2018 Subject: Report to the Board on Unaudited Actuals as Required by Law Staff will provide an update on the Unaudited Actuals Financial Report for Staff Analysis: Fiscal Year 2017 – 2018. Education Code section 42100 requires that the Governing Board of each school district approve an annual statement for all revenues and expenditures of the district for the fiscal year. Recommendation: Staff recommends that the Board approve the 2017 – 2018 Unaudited Actuals Financial Report. Prepared by: Efrain Robles Title: Director, Fiscal Services Title: Assistant Superintendent, Business Services Submitted by: Kolvira Chheng To the Board of Trustees: Meeting: September 13, 2018 Regular Board Meeting Recommend Approval 12.03 Agenda Placement Hilaria Bauer, Ph.D., Superintendent DISPOSITION BY BOARD OF TRUSTEES Motion by: \_\_\_\_\_Seconded by:\_\_\_\_\_ Approved: Not Approved: Tabled:

Printed: 9/7/2018 10:23 AM

I I I I A I I I I I I I I I I I I I I I	
UNAUDITED ACTUAL FINANCIAL REPORT:	
To the County Superintendent of Schools:	
2017-18 UNAUDITED ACTUAL FINANCIAL REPOR with Education Code Section 41010 and is hereby at the school district pursuant to Education Code Section	oproved and filed by the governing board of
Signed:	Date of Meeting: Sep 13, 2018
Clerk/Secretary of the Governing Board (Original signature required)	
To the Superintendent of Public Instruction:	
2017-18 UNAUDITED ACTUAL FINANCIAL REPOR	RT. This report has been verified for accuracy Education Code Section 42100.
Signed:	Date:
3	Date
County Superintendent/Designee (Original signature required)	Date
County Superintendent/Designee	
County Superintendent/Designee (Original signature required)	
County Superintendent/Designee (Original signature required)  For additional information on the unaudited actual re  For County Office of Education:	ports, please contact: For School District:
County Superintendent/Designee (Original signature required)  For additional information on the unaudited actual re  For County Office of Education:  Ann Redd-Oyedele	ports, please contact:
County Superintendent/Designee (Original signature required)  For additional information on the unaudited actual reformation continuous continu	ports, please contact:  For School District:  Efrain Robles
County Superintendent/Designee (Original signature required)  For additional information on the unaudited actual re For County Office of Education:  Ann Redd-Oyedele  Name  Senior Advisor  Title	ports, please contact:  For School District:  Efrain Robles  Name Director, Fiscal Services  Title
County Superintendent/Designee (Original signature required)  For additional information on the unaudited actual re  For County Office of Education:  Ann Redd-Oyedele  Name Senior Advisor  Title (408) 453-6593	ports, please contact:  For School District:  Efrain Robles  Name Director, Fiscal Services  Title (408) 928-6839
County Superintendent/Designee (Original signature required)  For additional information on the unaudited actual reference of Education:  Ann Redd-Oyedele  Name Senior Advisor  Title (408) 453-6593  Telephone	ports, please contact:  For School District:  Efrain Robles  Name Director, Fiscal Services  Title (408) 928-6839  Telephone
County Superintendent/Designee (Original signature required)  For additional information on the unaudited actual re	ports, please contact:  For School District:  Efrain Robles  Name Director, Fiscal Services  Title (408) 928-6839

Alum Rock Union Elementary Santa Clara County

# Unaudited Actuals FINANCIAL REPORTS 2017-18 Unaudited Actuals Summary of Unaudited Actual Data Submission

43 69369 0000000 Form CA

Printed: 9/7/2018 10:23 AM

Following is a summary of the critical data elements contained in your unaudited actual data. Since these data may have fiscal implications for your agency, please verify their accuracy before filing your unaudited actual financial reports.

Form	Description	Value
CEA	Percent of Current Cost of Education Expended for Classroom Compensation  Must equal or exceed 60% for elementary, 55% for unified, and 50% for high school  districts or future apportionments may be affected. (EC 41372)	56.92%
	CEA Deficiency Amount	\$4,074,116.88
	Applicable to districts not exempt from the requirement and not meeting the minimum classroom compensation percentage - see Form CEA for further details.	
ESMOE	Every Student Succeeds Act (ESSA) Maintenance of Effort (MOE) Determination	MOE Met
	If MOE Not Met, the 2019-20 apportionment may be reduced by the lesser of the following two percentages: MOE Deficiency Percentage – Based on Total Expenditures	
	MOE Deficiency Percentage - Based on Expenditures Per ADA	
GANN	Adjustments to Appropriations Limit Per Government Code Section 7902.1	\$0.00
	If this amount is not zero, it represents an increase to your Appropriations Limit. The Department of Finance must be notified of increases within 45 days of budget adoption.	
	Adjusted Appropriations Limit	\$64,307,958.39
	Appropriations Subject to Limit	\$64,307,958.39
	These amounts represent the board approved Appropriations Limit and Appropriations Subject to	
	Limit pursuant to Government Code Section 7906 and EC 42132.	
ICR	Preliminary Proposed Indirect Cost Rate	9.97%
	Fixed-with-carry-forward indirect cost rate for use in 2019-20, subject to CDE approval.	

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

13.01

#### Office of Superintendent of Schools

#### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

o the bo	ard of Trustees:		
Subject:	Information Regarding	g Resignations	
staff Anal	ysis:		
	Pursuant to Board Poloning resignations.	licy 4117.2 the Supe	rintendent or her designee has accepted th
Submitte	d by: Jess Serna	Tìtle: _	Interim Assistant Superintendent, Human Resources
To the	Board of Trustees: Information Only	Meeting:	September 13, 2018 Regular Board Meeting
-	13.01		Abour
	Agenda Placement		Hilaria Bauer, Ph.D., Superintendent
	DI	SPOSITION BY BOARD	O OF TRUSTEES
Motion	ı by:	Seco	nded by:
	red:	Not Approved:	Tabled:

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-HUMAN RESOURCES DEPARTMENT MEETING OF THE BOARD OF TRUSTEES

#### September 13, 2018

#### **CERTIFICATED RESIGNATIONS:**

#### I. Resignations:

1. Almazan, Epsom	Substitute Teacher	07/01/2018
2. Bishop, Alexandra	Substitute Teacher	07/01/2018
3. Carlberg, Jeff	4/Painter Elementary	08/06/2018
4. Chia, Natalie Jia Ying	Substitute Teacher	07/01/2018
5. Kwan, Yvonne	Substitute Teacher	07/01/2018
6. Larrieu Sorenson, Kim	Substitute Teacher	07/01/2018
7. Liles, Martha	Substitute Teacher	07/01/2018
8. Magallanes, Francesca	Substitute Teacher	07/01/2018
9. Narvaez-Gomez, Alejandra	From PLOA 2017/18	06/30/2018
10. Pribula, Sharon	Substitute Teacher	07/01/2018
11. Santillan, Diana	Substitute Teacher	07/01/2018
12. Santos, Lilly	Substitute Teacher	07/01/2018
13. Summers, Kristie	From PLOA 2017/18	06/30/2018
14. Wong, Alison	Substitute Teacher	07/01/2018
15. Yuen, Joanna	From PLOA 2017/18	06/30/2018

## HUMAN RESOURCES DEPARTMENT MEETING OF THE BOARD OF TRUSTEES

#### September 13, 2018

#### CLASSIFIED RESIGNATIONS:

#### I. Resignations:

1.	Banda, Alejandra	Campus Pareducator/Painter	07/30/18
2.	De La Cueva, Anabertha	Child Nutrition Assistant I/George	08/20/18
2.	Espino, Marisol Figueroa	Paraeducator Special Education II/Ryan	08/09/18
3.	Estavillo, Teresa Jane	School Administrative Assistant/George	08/07/18
4.	Lopez, Margartia Soria	Child Nutrition Assistant I/Lyndale	08/20/18
5,	Lozano, Erica	Campus Paraeducator/Dorsa	08/03/18
6.	Magpayo, Josepaolo	Campus Paraeducator/Russo-McEntee	08/21/18
7.	Medina, Mayra Gabriela	School Administrative Assistant/Ryan	07/23/18
8.	Padilla, Jessica Louise	Campus Paraeducator/Hubbard	07/31/18
9.	Vígil, Elva D	Bus Driver/Transportation	08/15/18

## ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

14.01

#### Office of Superintendent of Schools

#### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Board of Trustees:		September 6, 2018
Subject:	FOLLOWING DATES:	MEETING MINUTES FOR THE
Recommend Appro	val	
Submitted by:	Hilaria Bauer	Title: Superintendent
To the Board of Trus	tees: Meetin	ng: September 13, 2018 Regular Board Meeting
Recommend Approximation   14.01   Agenda Placement	val	Hilaria Bauer, Ph.D., Superintendent
Motion by:	DISPOSITION BY BOA	ARD OF TRUSTEES  Seconded by:
Approved:	Not Approved:	Tabled:

# DRAFT MINUTES ALUM ROCK ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San Jose, CA 95127

MINUTES OF A REGULAR MEETING OF THE BOARD OF TRUSTEES
of the Alum Rock Union School District
Held on Thursday, August 9, 5:30 p.m. at the Alum Rock Union School
District Office Board Room, 2930 Gay Avenue, San Jose, CA 95127
Meeting #02-18/19

#### 1. OPEN SESSION

#### 1.01 Call to Order / Roll Call

President Esau Ruiz Herrera welcomed everyone in the audience. President Esau Ruiz Herrera called the Board Meeting to order at 5:30 p.m. followed by Roll Call.

#### **Board Members Present:**

Esau Ruiz Herrera President

Karen Martinez Vice-President (arrived late at 5:32 p.m.)

Dolores Marquez-Frausto Clerk Andrés Quintero Member Khanh Tran Member

#### Administrative and Support Staff Members Present:

Dr. Hilaria Bauer Superintendent

Rene Sanchez Assistant Superintendent, Instructional Services
Jess Serna Interim Assistant Superintendent, Human Resources

Kolvira Chheng Assistant Superintendent, Business Services
Marie Sanchez Sr. Executive Assistant, Superintendent's Office
Angel Rodriguez Executive Assistant/Communications Support,

Superintendent's Office

Jackie Guevara Executive Assistant, Superintendent's Office

#### 1. OPEN SESSION (continued)

#### 1.02 Announcement and Public Comments Regarding Items to be Discussed In Closed Session

President Esau Ruiz Herrera reported that Agenda Item 2.03 Public Employee Discipline/Dismissal/Release, Title: Superintendent, would be deleted from this agenda and would be placed on a future meeting and would need to be placed into an evaluation process and that would be requested at a later time. He asked his colleagues if there was any objection and all were in consensus to accept the removal of this agenda item. President Esau Ruiz Herrera announced the items that would be discussed in Closed Session.

#### Public Comments:

- Karen Martinez Board Member, publically stated that she was requesting to have the Fiscal Expert and Fiscal Advisor present during Closed Session.
- 2) Janet Odell Community member, stated that she was backing up the same request that Vice-President Karen Martinez had just requested.
- 3) Jocelyn Merz AREA President, stated that on behalf of AREA and the 600+ teachers, was also making the same request as the previous two.
- Andrés Quintero Board Member, also in public, stated he would like the two Fiscal Advisors to be present during Closed Session.

#### 1.03 The Board will Adjourn to Closed Session at Approximately 5:30 p.m.

The Board recessed to Closed Session at 5:34 p.m. President Esau Ruiz Herrera announced that the Board would reconvene to Open Session at approximately 6:35 p.m.

#### 3. RECONVENE TO OPEN SESSION

#### 3.01 Call to Order / Pledge of Allegiance

The Board reconvened to Open Session at 6:48 p.m. President Esau Ruiz Herrera welcomed everyone and took a second Roll Call in which all Board Members were present with the exception of Vice-President Karen Martinez who was temporarily absent.

#### 3.02 Report of Action Taken in Closed Session

Superintendent Hilaria Bauer reported that in Closed Session under Agenda Item 2.01 Public Employee Appointment/Employment, the following action was taken (see next page):

#### 3. RECONVENE TO OPEN SESSION (continued)

#### 3.02 Report of Action Taken in Closed Session

- 1) Principal: By a unanimous vote from the Board, Mr. Diedrich Bonemeyer was appointed principal of an elementary school effective immediately.
- Assistant Principal: By a unanimous vote from the Board, Ms. Tanu Kohli was appointed as Assistant Principal at Aptitud effective immediately.
- Coordinator, Academic Services: By a unanimous vote from the Board, Ms. Brittany Rykels was appointed as the Coordinator, Academic Services, effective immediately.

#### 3.03 Agenda Review and Adoption

Vice-President Karen Martinez asked to pull the following agenda items to follow after Agenda Item 8.10 CMAS Contract No. 7-17-99-31-02 Mohawk Carpet Distribution, Inc. and in this order:

- 11.02 FCMAT Update
- 11.01 Fiscal Expert Update
- 9.08 Construction Management Agreements for Measure I and J. Terminate Contract between ARUSD and Del Terra
- 9.06 Direct Administration to seek an Independent Opinion from Legal Counsel, other than the ARUSD General Counsel
- 9.05 Termination of ARUSD's Contract with General Counsel, Leal and Trejo

Vice-President Karen Martinez asked that these two agenda items be combined together as one item:

- 9.02 2018-19 CSBA Membership Dues for \$13,122
- 9.03 2018-19 CSBA Education Legal Alliance Membership Dues for \$3,280

Member Khanh Tran asked to pull the following agenda items to follow after Agenda Item 7.02 Written Update on the Citizen's Bond Oversight Committee (CBOC):

- 9.09 Resolution No. 02-18/19 Board of Trustees of ARUESD, Authorizing the Issuance of Election of 2016 General Obligation Bonds, Series A, and Actions Related Thereto
- 9.10 Resolution No. 03-18/19 Requesting Board of Supervisors of the County of Santa Clara to Establish Tax Rate for Bonds of the Alum Rock Union Elementary School District Expected to be Sold during Fiscal Year 2018-19, and Authorizing Necessary Actions in Connection Therewith

#### 3. RECONVENE TO OPEN SESSION (continued)

#### 3.03 Agenda Review and Adoption

President Esau Ruiz Herrera suggested that we move all these agenda items after Agenda Item 8.10 CMAS Contract No. 7-17-99-31-02 Mohawk Carpet Distribution, Inc., as there was a need to get to all the items in Category 8 Contracts Over \$100,000.

President Esau Ruiz Herrera confirmed the modification of the order of business as follows: Following Agenda 7.02 would be 9.09, 9.10, 11.02, 11.01, then 9.08, 9.06, and ending with 9.05 (see previous page for description of the agenda items). There was consensus from the Board to accept the agenda as modified.

#### 4. SPECIAL RECOGNITION / PRESENTATION

#### 4.01 School Resource Officer's (SRO) Program Presentation

Assistant Superintendent Hilaria Bauer introduced Ms. Norma Flores, Director of Student Services, who presented a PowerPoint presentation with a brief overview of what resource officers do at the middle schools. She introduced Sergeant Martinez who was present due to the unavailability of Sergeant Dominguez. Sergeant Martinez reported that he was there in a twofold role: as a police officer and as an Adelante parent. Sergeant Martinez discussed how we have moved from a zero tolerance and how the school resource officer can now assist in a better outcome for fights, intruders on campus, and school safety in general. Sergeant Martinez commented that the SROs are here to support the school staff and to be mentors to the student body. Friendships are made with some of the students and this makes it easier to assist in a better outcome. He reported that the response time takes approximately 9.2 minutes to arrive at the scene. He reported that Adelante does not have an SRO at that site.

Principal Imee Almazan shared her testimonial about the impact of SROs at Fischer Middle School and Renaissance at Fischer. Officer Lee serves as an SRO and is there to help solve issues on campus. He participates with school activities and has been a critical aspect at her school.

Vice-President Karen Martinez gave thanks to Sergeant Martinez for being present at this meeting. She commented that she was a little concerned and questioned the 9.2 response time. She commented that she would like the SROs at the middle schools to be able to respond to the elementary schools. Sergeant Martinez stated that it was possible and it could happen; however, it was up to the District Sergeant. Vice-President Karen Martinez asked what the role was for Sandra Avila in the police department. Sergeant Martinez answered that she was the community lead who runs workshops, works with school liaison groups, and crime stoppers. She commented that she would like to see more focus on restorative justice and would like to see this partnership happen and if we could add this into the job scope and have a way to track the progress of this throughout the year.

#### 4. SPECIAL RECOGNITION / PRESENTATION (continued)

#### 4.01 School Resource Officer's (SRO) Program Presentation

Member Khanh Tran commented that he does not want the officers to shoot the students or to taze them but to focus on safety and handle students with care. He stated that he disagrees with Vice-President Karen Martinez – focus on the safety but don't worry about anything else.

Member Andrés Quintero stated that he thinks safety is very important and wants people that are trained dealing with students. Safety is his priority and he thinks there is a way of achieving this and going beyond. He mentioned a group in Nashville that are award-winning and who have gone through specialized training. He stated that he is interested in forming specialized groups that are highly trained on how to deal with difficult people. He is going to pursue it for the Small Schools Innovative Committee and begin the dialogue on who wants to start collaborating and investing in this.

Clerk Dolores Marquez-Frausto thanked Sergeant Martinez and Principal Almazan for their shared information. She stated that 30 years ago, she was not a proponent for officers on campus and in the past, the officers had language barriers and could not communicate with our parents. However, she stated that she now appreciates what they do.

President Esau Ruiz Herrera also gave thanks to Sergeant Martinez. He was in agreement that safety is a critical factor and safety is enhanced by having positive relationships and role models for our community.

#### 5. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD

#### 5.01 Requests to Address the Board

#### Public Comments:

- Janet Odell Community member stated that she did not notice anything on the agenda regarding the grand jury response and asked if anything would be reported back.
- 2) Saul Ramos Director of Somos Mayfair, gave thanks to Superintendent Hilaria Bauer for her leadership, commitment, and her support to his program. He announced that this year they added Evergreen Community College. He especially wanted to highlight the 'Bridge to Kinder' that continues to guide families.
- 3) Loretta Tanner George teacher, commented that as a teacher, it was very disturbing to see on the agenda the dismissal of Superintendent Bauer again it is a threat! She said that it makes it very difficult to focus on how she can be the absolute best there was no reason to wait until 5:30 pm today to remove it from the agenda! Her last comment to the Board was, "get over it and move on".

#### 5. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD (continued)

#### 5.01 Requests to Address the Board

- 4) Bertha Razo Community member, stated that she is in agreement with Ms. Tanner it is time to move on! It is very disturbing to her to see this agenda item on month after month after month. She commented that the news sees what is going on and it is unfair to see parents remove their kids from the district and enroll them to the charter schools because they think the district is a disaster—but it is not! She addressed Trustee Khanh Tran and stated that he needs to do some work—and commented that he was worse than a kindergartener. She asked him to please stop harassing the Superintendent and remember that November was around the corner!
- 5) Ernesto Bejarano Sheppard parent, commented that it is very frustrating being a parent in this district. He stated that as a community member, he would like to have some discussion about what really is the Board's strategic rationale on wanting to push the dismissal of the Superintendent and the community has the right to know the reason why. He is requesting some transparency with this item.

#### 6. COMMENTS AND COMMUNICATION

#### 6.01 Teamsters

There were no representatives from Teamsters at this time.

#### 6.02 California School Employee's Association (CSEA)

There were no representatives from CSEA at this time.

#### 6.03 Alum Rock Administrator's Association (ARAA)

Ms. Lyssa Perry, new ARAA President, shared about some of the programs provided during the summer. She reported that a group of administrators went to UCLA and focused on communication and leadership. Another group of administrators traveled to Chicago for a principals' training. She also reported that the management team attended a management retreat and got to understand ideas around old and new power, but more importantly, the retreat reenergized the team for this next school year. Lastly, she commented that she wanted to thank Superintendent Hilaria Bauer for leading the district and her team with her 'heart'.

#### 6.04 Alum Rock Educator's Association (AREA)

Jocelyn Merz, AREA president, gave thanks to all the teachers that came to the board meeting and were in attendance. She stated that on behalf of the 600+ teachers that we have

#### 6. COMMENTS AND COMMUNICATION (continued)

#### 6.04 Alum Rock Educator's Association (AREA)

working hard to teach our students, she thanked the Board for removing Agenda Item 2.03 Public Employee Discipline Dismissal and Release, Title: Superintendent from Closed Session. She commented that this was a new school year with new challenges and teachers would be doing their best to prepare for these new challenges; however, to find out 3 days before the board meeting the possibility of Dr. Bauer being removed as Superintendent with less than two weeks before the start of school was very devastating! She, too, asked to know their motivation and thought process. All she could think of was thoughtless, wreckless, and selfish acts as a distraction and a desperate attempt from the Board to deflect She stated that for the past 18 months, the real issues going on in the District. Superintendent Hilaria Bauer has been a victim to the Board's threats, bullying, humiliation in silence, and constant attacks. She asked that the Board recognize that they will trigger lawsuits that will be similar to what happened in Montebello creating a financial burden on the District. She commented that we have a strong and focused educational leader in Superintendent Hilaria Bauer and rather than throwing her the axe, please provide her with the support of moving forward!

#### 6.05 Superintendent

Superintendent Hilaria Bauer asked her administrative team to stand up and show off their 'leading with the heart teeshirts'. Superintendent Hilaria Bauer informed the Board that her administrative team is ready to begin another school year and are grounding in leading with the heart and making sure that what we are doing is the best for those who need it the most!

#### 6.06 Board of Trustees

Vice-President Karen Martinez stated that she was very disheartened as a Trustee and as a mom by knowing that she teaches her kids to identify bullying and know who to go to and how to handle it. She calls out that bullying is happening here. She asked the administrative team to stay focused on what we are here for and what the teeshirts say and the impact that it has in the classroom. She gave thanks to the community for speaking out and having the Board hear their voices. She commented that at times the Superintendent and her disagree on things, but she will continue to support her growth and will not support her dismissal. Lastly, she stated that she wants to re-emphasize that going into Closed Session, she will always ask for the SCCOE Fiscal Advisors to be present—whether the Board allows that—that is for all the public to watch and understand. She commented that we should practice restorative justice here with the Board!

Member Khanh Tran stated that he believes we all make mistakes and learn from them. For the last four years, our books have not been balanced and he is grateful that we are now under the jurisdiction of the SCCOE. He commented that this Board does not run the day-to-day operations; therefore, you can't blame us. He commented that he did not object to the dismissal of the Superintendent because he felt he did not need to object since the

#### 6. COMMENTS AND COMMUNICATION (continued)

#### 6.06 Board of Trustees

Superintendent is a public official and the public officials are being investigated by the Securities and Exchange Commission (SEC). He is an elected official and he does not get paid. He stated that he is running again for another term because he does not like dishonest people leading the District. We are a minority school district. He reported that he read the Grand Jury Report and that the Grand Jury was made up of 100% Republican, white, old men and how could the Republicans know what is best. He stated that the FCMAT report never said anything else about false invoicing and everything pointed to internal activity. He stated that the only reason why he supports President Esau Herrera as board president is because he believes as an attorney and a man who studies law, he would not jeopardize and put his license at risk. Lastly, he commented that this Board does not write the checks—the district staff does.

Member Andrés Quintero spoke to the parents in Spanish about the continual problems and connected with them. He gave special thanks to the folks that participated in the state audit in Sacramento on August 8. He stated that this state audit was not the same as the FCMAT or other audits. This audit will be very expensive with many pointed questions. He also reported that he had the opportunity to stop by the State Superintendent's office with a drafted letter as one board member asking him to remove the authority of the Alum Rock Board to sell bonds at this time. Member Andrés Quintero reported that the Bonds Facilities and Finance Committee would be reconvening at the end of this month and it would be held in the evening. The facilities assessment would be presented during this meeting. He also reported that he participated in the inaugural Santa Clara County Women's Caucus as a staff member and the inaugural was very powerful to have such a strong group of women!

Member Dolores Marquez-Frausto reported that no one had communicated to her anything as to what will happen to her committee members that have been on the Bond, Facilities, and Finance Committee since the beginning. She asked Member Andrés Quintero if she would still be co-chairing this committee with him? She commented that she was under the impression that Member Andrés Quintero was taking the lead here with his visit to Sacramento. She commented that if she had attended the Sacramento trip, she would have welcomed the audit.

President Esau Ruiz Herrera stated that good things happened at Alum Rock during the summer. He has been hearing nothing but good news from various stakeholders about the offering the District is putting out. He hopes that we continue to work together and keep creating good programs and meeting the needs of our community. He reported that the Grand Jury Report response was due on September 19 and the Board would be discussing this more during the September meeting. He stated that the safety issues raised our concerns but was pleased that police officers are recognizing that safety is important and also the relationships between the police department and our community and that they have the best intentions for our students.

#### 6. COMMENTS AND COMMUNICATION (continued)

#### 6.06 Board of Trustees

Member Andrés Quintero addressed Clerk Dolores Marquez-Frausto and thanked her for recognizing his leadership on these items and stated that he was representing his community who voted him in and that he went to Sacramento solely as a single board member. He also commented that he was unsure of what or when he may hear back with a response from the State Superintendent.

#### 7. BOND / FACILITIES

#### 7.01 The Board will receive a written update on the status of Bond Projects

President Esau Ruiz Herrera reported that there was nothing to report at this time.

#### 7.02 The Board will receive a written update on the Citizen's Bond Oversight Committee (CBOC)

Ray Mueller, Community Member, reported that they did not have a meeting last month; however, they would be meeting on Monday, August 13 and they would be discussing new members and upcoming items. He reported that this committee currently has vacant seats and was encouraging interested folks to join this committee.

#### 9. SUPERINTENDENT / BOARD BUSINESS

## 9.09 Resolution No. 02-18/19. A Resolution of the ARUESD, Authorizing the Issuance of Election of 2016 General Obligation Bonds, Series A, and Actions Related Thereto

Member Khanh Tran stated that he has two buildings that need to be built and that's what he cares about. Our interest rate, construction, and materials have gone up. If we keep waiting for another day, costs will continue to go up. He stated he does not care who builds his buildings, he just wants his buildings built! He stated that Standard & Poor's downgraded us because the Superintendent failed to show up to a bond meeting—that's a fact and it's written in the report.

Member Andrés Quintero commented that this was one of the reasons that he asked for the Board's authority to be removed. It was irresponsible to sell \$35M in bonds while our ratings have been downgraded. It was clear that Superintendent Hilaria Bauer received direction from the Bond Counsel to not show up to the bond meeting. Member Andrés Quintero directly asked Superintendent Hilaria Bauer if this was correct? Her reply was, "Yes, this is correct, and I have it in writing." Member Andrés Quintero stated that he was

## 9.09 Resolution No. 02-18/19. A Resolution of the ARUESD, Authorizing the Issuance of Election of 2016 General Obligation Bonds, Series A, and Actions Related Thereto

in opposition of this item because we needed to take care of the everyday necessities first such as AC, bathrooms, heating, and roofing. He commented that this was not the right time considering all the problems around our bond program.

Vice-President Karen Martinez stated that she knows we need facilities, but right now is not the right time under the investigation and not the right time for the community to be impacted. We need to focus on what is right financially in order to fix what is wrong.

Member Khanh Tran stated that we are going in debt and need the money right now. He addressed this statement to Member Andrés Quintero and said, "Andrés, I will support you if you are saying the Bond Counsel instructed the Superintendent not to attend the S&P meeting by showing me the public record in writing."

#### Public Comments:

- 1) Ray Mueller Community member, stated that the community has repeatedly asked the Board not to sell bonds at this time. He said that in the Standard & Poor's (S&P) report, poor governance was noted and the fact that the credit rating went down had less to do with the Superintendent not attending the meeting and more to do with the Board's bickering. He is asking that we wait and give the voters what they are asking for.
- 2) Candace Ley Representative from the Mayor's Office read a letter from the Mayor that spoke on Dr. Bauer's possible dissmissal, the legal action for conflict of interest, and the selling of bonds. In the letter, the Mayor asked the Board not to take action until the audits are complete.
- 3) Dr. William Gillaspie SCCOE Fiscal Advisor, stated that they were here, in an advisory role, to help the Board make the right decision. He stated that they have heard many good points on why the District should not vote on bonds right now. We have already visited this issue in June. He compliments the Board to do the right thing for the students but there is a time to and a time not to and this is not the time right now! He stated that the county is not trying to overreach, they are only trying to help the District. He also stated that the District's Bond Counsel had recently notified the District that the District would have to re-initiate and successfully navigate the bond rating process, among other things.
- 4) Ernesto Bejarano Sheppard parent asked for transparency. He stated that this was a very significant decision. He commented that he was very disheartened with a Board Member willing to make a decision on the ability of someone being able to produce an email! He asked that the Board take the time to think this through.

- 9.09 Resolution No. 02-18/19. A Resolution of the ARUESD, Authorizing the Issuance of Election of 2016 General Obligation Bonds, Series A, and Actions Related Thereto
- 5) Bruce Nguyen Teacher on the East Side, asked the Board to let the people speak. Folks have been coming out despite having to work long hours. He urged the Board to not move forward on this right now even if they put themselves on the ballot.
- 6) Brenda Zendajas Community member, stated that she is a community member that pays taxes. She wants to wait for a 'trusted board' and stated that she does not trust this current board. She addressed Trustee Khanh Tran and stated, "I don't trust you, I don't understand why you continue to attack the Superintendent and other members—please think about the WE and not the I!"

President Esau Ruiz Herrera stated that we do need to tend to the needs of the District and the schools still have a lot to be done. The voters have spoken and have approved the issuance of the bonds and if we keep waiting, the construction costs will continue to go up. He commented that the investigations do not affect the conditions of our schools and we should be good stewards of the money. We will save ourselves more money in the long-run if we decide to proceed now. He stated that he, as one board member, wants to move forward and address the facility needs, particularly the heating and cooling. He stated that not too long ago, we had a facilities person perform an assessment and if we address all of the urgent matters and total them up, it's a little over \$25M—we would still have money to address the community buildings.

Member Andrés Quintero reported that East Side Union High School District recently put out a parcel tax that unfortunately lost the tax election because there were many areas within Alum Rock District that voted against it because community members are looking at what's going on in Alum Rock. He is asking his colleagues to defer this to the folks who get paid and are the experts. He commented that he is opposed to this because it is not the right time—the 'stay and rescind authority' will be enforced. Member Andrés Quintero addressed Clerk Dolores Marquez-Frausto and gave her thanks for recognizing his leadership for participating in the Sacramento trip. At this point, he is not sure if the State Superintendent will respond to his request.

Clerk Dolores Marquez-Frausto stated that she shared President Herrera's sentiments—the construction costs are going up. She commented that she does not hold the fiscal advisors highly because they are dictating how we are supposed to be moving forward with general counsel. She felt they were overstepping their boundaries and thought it was inappropriate of Dr. William Gillaspie to come up to the podium and share his thoughts.

9.09 Resolution No. 02-18/19. A Resolution of the ARUESD, Authorizing the Issuance of Election of 2016 General Obligation Bonds, Series A, and Actions Related Thereto

Member Khanh Tran stated that he respects Mayor Sam Liccardo, but for him to threaten the Board stating to act a certain way, then he does not support them! He commented that when SOMOS Mayfair organized the walkout, they were not about the benefit of the students. He stated that, today, we still have a building that hasn't been built that is costing the District \$20M. He commented that President Esau Ruiz Herrera and Clerk Dolores Marquez-Frausto are about kids; Vice-President Karen Martinez voted for Del Terra and then turned against them; and Member Andrés Quintero is cool and ok.

MOTION #02-01 by Clerk Dolores Marquez-Frausto to move forward with Resolution No. 02-18/19 a Resolution of the Issuance of Election of 2016 General Obligation Bonds, Series A, as presented. MOTION #02-01 was seconded by Member Khanh Tran.

Member Andrés Quintero stated that at the end of the day, this will have to get resolved in courts. He will not be supporting this resolution.

Member Khanh Tran commented that when we execute this resolution, it's still not an automatic thing and the SCCOE has to write a letter and has to go through a process.

MOTION #02-01 carried with a vote of 3 in favor (President Esau Ruiz Herrera, Clerk Dolores Marquez-Frausto, and Member Khanh Tran); 2 in opposition (Vice-President Karen Martinez and Member Andrés Quintero); no absent; and no abstention.

9.10 Resolution No. 03-18/19. A Resolution Requesting Board of Supervisors of the County of Santa Clara to Establish Tax Rate for Bonds of the Alum Rock Union Elementary School District expected to be Sold During Fiscal Year 2018-19, and Authorizing Necessary Actions in Connection Therewith

MOTION #02-02 by Clerk Dolores Marquez-Frausto to accept and adopt Resolution No. 03-18/19 as presented. MOTION #02-02 was seconded by Member Khanh Tran.

#### Public Comments:

1) Ray Mueller - Community member stated, "Why are we wasting our time on this item when we still have 22 other items on this agenda?" School will be starting soon!

- 9.10 Resolution No. 03-18/19. A Resolution Requesting Board of Supervisors of the County of Santa Clara to Establish Tax Rate for Bonds of the Alum Rock Union Elementary School District expected to be Sold During Fiscal Year 2018-19, and Authorizing Necessary Actions in Connection Therewith
- 2) Janet Odelle Community member asked, "Since the Bond Counsel has to go through the things they do to sell the bonds, and now we will have a state audit, will that affect us even more?"

MOTION #02-02 carried with a vote of 3 in favor (President Esau Ruiz Herrera, Clerk Dolores Marquez-Frausto, and Member Khanh Tran); 2 in opposition (Vice-President Karen Martinez and Member Andrés Quintero); no absent; and no abstention.

#### 11. BUSINESS

#### 11.02 FCMAT Update

Assistant Superintendent Kolvira Chheng invited Dr. Donald Zimring to speak. Dr. Donald Zimring, SCCOE Fiscal Expert, shared some updates. He reported that staff has made some significant progress and there is no missing money, it was just provided in a different format. He stated that the sale of the bonds at this time would set us back. He recognizes the increase in construction costs will have an impact; however, the District is under investigation and it would be more prudent to wait until the contractor is changed. The current contractor has been given Measure I money even though bond money hasn't been pulled for Measure I. The Board does have responsibility in this area.

#### 11.01 Fiscal Expert Update

Assistant Superintendent Kolvira Chheng stated this agenda item was combined with *Agenda Item 11.02 FCMAT Update*; therefore, there were no additional updates.

#### 9. SUPERINTENDENT / BOARD BUSINESS

### 9.08 Construction Management Agreements for Measure I and Measure J. Terminate Contract between ARUSD and Del Terra Real Estate

Member Andrés Quintero commented that there seems to be patterns with the same individuals always involved in turmoil. We recently have read about the settlements in Montebello with the bullying involving the same contractors. He wants this District to move on and focus on quality education. He reported that after the last special board meeting, a letter was sent in regards to the 3<sup>rd</sup> party vendor, and we still have not received

9.08 Construction Management Agreements for Measure I and Measure J.
Terminate Contract between ARUSD and Del Terra Real Estate

the requested documentation that we have been asking for. How is it that this vendor is going to have millions of dollars worth of projects in just two binders!

MOTION #02-03 by Member Andrés Quintero to terminate the contract with Del Terra Real Estate Services for Contruction Management Agreements for Measures I and J. MOTION #02-03 was seconded by Vice-President Karen Martinez.

#### Public Comment:

1) Janet Odell – Community member, stated if we do terminate the management contract with Del Terra, won't that show that we are trying to do the right thing?

MOTION #02-03 failed with a vote of 2 in favor (Member Andrés Quintero and Vice-President Karen Martinez); 2 in opposition (President Esau Ruiz Herrera and Clerk Dolores Marquez-Frausto); no absent; and 1 abstention (Member Khanh Tran).

9.06 Direct Administration to seek an Independent Opinion from Legal Counsel, other than the ARUSD General Counsel, relative to California Government Code Section 1090, Conflict of Interest, and Del Terra Real Estate, specifically looking into issues raised in the past by ARUSD's previous Legal Counsel

Member Andrés Quintero stated that several issues have been raised by our previous general counsel; therefore, he is asking for a full and unbiased legal opinion. Because of the relationship that Attorney Maribel Medina has had with Del Terra, he is not confident in moving forward with her findings; therefore, we need to find an independent firm so we can receive an honest assessment.

MOTION #02-04 by Member Andrés Quintero to move to empower the SCCOE Fiscal Experts to find an independent third party law firm, not tied with Del Terra, and then give us an honest opinion relative to California Government Code Section 1090, Conflict of Interest. MOTION #02-04 was seconded by Member Khanh Tran.

Member Khanh Tran agrees if we find a person who is agreed upon by general counsel and the SCCOE Fiscal Advisor, that can work in a joint collaborative effort and then we can split the cost.

President Esau Ruiz Herrera stated that he will not support this. He does not trust the SCCOE Fiscal Advisor because he feels the fiscal advisor is an adverse partner and not sure if he would look to them for any legal interests. He feels the Board should not delegate this responsibility to anyone other than themselves.

9.06 Direct Administration to seek an Independent Opinion from Legal Counsel, other than the ARUSD General Counsel, relative to California Government Code Section 1090, Conflict of Interest, and Del Terra Real Estate, specifically looking into issues raised in the past by ARUSD's previous Legal Counsel

Clerk Dolores Marquez-Frausto commented that she will not be supporting this either. The Board was elected by the voters to make legal decisions and she does not trust the SCCOE.

Dr. William Gillaspie, SCCOE Fiscal Advisor, stated that the SCCOE will work in collaboration with the Board to find the very best third party attorney and then bring final approval to the Board.

President Esau Ruiz Herrera stated he would support the process of the collaboration that would bring back a legal counsel to the Board.

#### Public Comment:

 Jocelyn Merz – AREA President, commented that it was getting late and we have gone through an hour of ridiculous discussion about bonds. And now, why would we trust the Board to make a decision to select an attorney when the majority vote would win – there was no point in doing this now!

Member Andrés Quintero commented the reason why he brought this item up was because he believes there would be a buffer and a trusted person to bring a third party. This would get us to where we want to go.

Member Khanh Tran commented that he is glad to live in a democracy—all board members make their own decisions. This is a board room, and it is not a place to elect new officials! He addressed Jocelyn Merz and told her, "Get back in the classroom and start teaching!"

MOTION #02-04 carried with a vote of 4 in favor; no opposition; no absent; and 1 abstention (Clerk Dolores Marquez-Frausto).

9.05 Termination of Alum Rock Union Elementary School District's Contract with General Counsel, Leal and Trejo, APC

Member Andrés Quintero stated that he constantly see issues with general counsel. Over the course of time, she has overstepped her boundaries and has taken action without board approval and he finds this very concerning. In addition, her response was filled with several errors. In addition, her hourly fee of \$260 is too expensive.

9.05 Termination of Alum Rock Union Elementary School District's Contract with General Counsel, Leal and Trejo, APC

MOTION #02-05 by Member Andrés Quintero to terminate the Contract with General Counsel, Leal and Trejo as presented. MOTION #02-05 was seconded by Vice-President Karen Martinez.

MOTION #02-05 failed with a vote of 2 in favor (Member Andrés Quintero and Vice-President Karen Martinez); 2 in opposition (President Esau Ruiz Herrera and Clerk Dolores Marquez-Frausto); no absent; and 1 abstention (Member Khanh Tran).

#### 8. CONTRACTS OVER \$100,000

8.01 Approve San Jose Police Department, Secondary Employment Unit, for Middle Schools, \$180,000

MOTION #02-06 by Member Andrés Quintero to accept and approve the San Jose Police Department Contract as presented. MOTION #02-06 was seconded by Clerk Dolores Marquez-Frausto.

Vice-President Karen Martinez requested that she wants anti-bullying prevention services be provided to staff, students, and parents and she wants to ensure that we add to the language, in the job scope, that we are able to make some updates.

President Esau Ruiz Herrera commented that he was not sure that we can determine their job duties. We can only provide guidelines and parameters such as positive role-modeling and assistance, but not beyond that.

MOTION #02-06 carried with a unanimous vote; no opposition; no absent; and no abstention.

8.02 Approve AchieveKids, Special Education, \$188,714

MOTION #02-07 by Clerk Dolores Marquez-Frausto to accept and approve the AchieveKids Contract as presented. MOTION #02-07 was seconded by Member Andrés Quintero.

MOTION #02-07 carried with a unanimous vote; no opposition; no absent; and no abstention.

#### 8. CONTRACTS OVER \$100,000 (continued)

8.03 Approve Maxim Healthcare Services, Student Services, \$100,000

MOTION #02-08 by Clerk Dolores Marquez-Frausto to accept and approve the Maxim Healthcare Services Contract as presented. MOTION #02-08 was seconded by Vice-President Karen Martinez.

MOTION #02-08 carried with a unanimous vote; no opposition; no absent; and no abstention.

8.04 Approve Think Together "Late Start Kinder Academy" Contract, 9 Schools, State & Federal, \$112,640

MOTION #02-09 by Member Andrés Quintero to accept and approve the Think Together Contract as presented. MOTION #02-09 was seconded by Clerk Dolores Marquez-Frausto.

MOTION #02-09 carried with a unanimous vote; no opposition; no absent; and no abstention.

8.05 Approve Citizen Schools, ASES Program, 4 Schools, State & Federal, \$627,617

MOTION #02-10 by Member Andrés Quintero to accept and approve the Citizen Schools ASES Program Contract as presented. MOTION #02-10 was seconded by Vice-President Karen Martinez.

MOTION #02-10 carried with a unanimous vote; no opposition; no absent; and no abstention.

8.06 Approve YMCA of Silicon Valley-East Valley Branch, 2 Schools, ASES Program, State & Federal, \$234,576

MOTION #02-11 by Clerk Dolores Marquez-Frausto to accept and approve the YMCA of Silicon Valley-East Valley Branch Contract as presented. MOTION #02-11 was seconded by Member Andrés Quintero.

MOTION #02-11 carried with a unanimous vote; no opposition; no absent; and no abstention.

#### 8. CONTRACTS OVER \$100,000 (continued)

8.07 Approve City of San Jose, Parks, Recreation, and Neighborhood Services, Lyndale, ASES Program, State & Federal, \$113,123

MOTION #02-12 by Member Andrés Quintero to accept and approve the City of San Jose, Parks, Recreation, and Neighborhood Services, for the Lyndale ASES Program Contract as presented. MOTION #02-12 was seconded by Vice-President Karen Martinez

Assistant Superintendent Rene Sanchez confirmed that we have the ASES Program at all of the schools.

MOTION #02-12 carried with a unanimous vote; no opposition; no absent; and no abstention.

8.08 Child Nutrition Services-RFP 1819-CNS01 Distribution of Commercial Food Products-Food and Nutrition Products, Paper Supplies, Cleaning Supplies, and Delivery Services for the 2018-19 School Year, \$1,000,000

MOTION #02-13 by Clerk Dolores Marquez-Frausto to accept and approve the CNS Contract as presented. MOTION #02-13 was seconded by Member Andrés Quintero.

Board Comments: Clerk Dolores Marquez-Frausto and Member Khanh Tran.

Mary Fell, Director, Child Nutrition, spoke on this item and briefly explained the process.

MOTION #02-13 carried with a unanimous vote; no opposition; no absent; and no abstention.

8.09 Assignment of United States Department of Agriculture (USDA)
Commodities for 2018-19 School Year, \$1,715,000

MOTION #02-14 by Member Andrés Quintero to accept and approve the United States Department of Agriculture (USDA) Commodities Contract as presented. MOTION #02-14 was seconded by Clerk Dolores Marquez-Frausto.

Mary Fell, Director, Child Nutrition, spoke on this item and briefly explained the contract.

MOTION #02-14 carried with a unanimous vote; no opposition; no absent; and no abstention.

#### 8. CONTRACTS OVER \$100,000 (continued)

8.10 Approve CMAS Contract No. 7-17-99-31-02 Mohawk Carpet Distribution, Inc., MOT, \$106,000

MOTION #02-15 by Member Andrés Quintero to accept and approve the CMAS with Mohawk Carpet Distribution Contract as presented. MOTION #02-15 was seconded by President Esau Ruiz Herrera.

Assistant Superintendent Kolvira Chheng reported that this contract was for the entire district; however, mainly for the school sites and our own maintenance staff would be the installers.

MOTION #02-15 carried with a unanimous vote; no opposition; no absent; and no abstention.

#### 9. SUPERINTENDENT / BOARD BUSINESS

#### 9.01 Request to Change Date of September Board Meeting

There was dialogue on this agenda item with the Board revisiting possible meeting dates other than the scheduled September 13 date. However, after the discussion, no action was taken and the meeting date remained as originally scheduled, September 13, 2018.

There was consensus from the Board to combine both of these agenda items with one action:

- 9.02 2018-19 CSBA Membership Dues for \$13,122 per year, period from July 1, 2018-June 30, 2019
- 9.03 2018-19 CSBA Education Legal Alliance (ELA) Membership Dues for \$3,280 per year, period from July 1, 2018-June 30, 2019

MOTION #02-16 by Member Andrés Quintero to Table both Agenda Items 9.02 and 9.03 until next month. MOTION #02-16 was seconded by Clerk Dolores Marquez-Frausto.

The Board had dialogue on both agenda items.

MOTION #02-16 failed with a vote of 2 in favor (Member Andrés Quintero and Clerk Dolores Marquez-Frausto); 2 in opposition (President Esau Ruiz Herrera and Vice-President Karen Martinez); no absent; and 1 abstention (Member Khanh Tran).

The Board had additional dialogue. Administration reminded the Board that payment was due on both agenda items by Friday, August 31, 2018.

- 9.02 2018-19 CSBA Membership Dues for \$13,122 per year, period from July 1, 2018-June 30, 2019
- 9.03 2018-19 CSBA Education Legal Alliance (ELA) Membership Dues for \$3,280 per year, period from July 1, 2018-June 30, 2019

MOTION #02-17 by Member Andrés Quintero to accept and approve both Agenda Items 9.02 and 9.03 as submitted. MOTION #02-17 was seconded by President Esau Ruiz Herrera.

MOTION #02-17 carried with a unanimous vote; no opposition; no absent; and no abstention.

9.04 Call for CSBA Nominations for Directors-At-Large Asian/Pacific Islander and Hispanic

President Esau Ruiz Herrera gave some information from past CSBA Nominations. The Board had brief dialogue on this agenda item. President Esau Ruiz Herrera nominated Mr. George Sanchez, Board Member from Franklin McKinley School District, and explained to the audience his reasons why.

MOTION #02-18 by President Esau Ruiz Herrera to nominate Mr. George Sanchez, Franklin McKinley School District, for the CSBA Director-at-Large, Asian/Pacific Islander and Hispanic. MOTION #02-18 was seconded by Member Andrés Ouintero.

MOTION #02-18 carried with a unanimous vote; no opposition; no absent; and no abstention.

9.07 Administration will provide a Verbal Report on the status of the Vietnamese Dual Language Immersion Program at ARUSD

Member Andrés Quintero stated that he asked this item be placed on the agenda and wanted to know about the progress today from a year ago. Superintendent Hilaria Bauer stated that she has been working with Principal Le Tran and are currently looking for teachers with certification with a possibility of a Saturday school or an afterschool program, but first need to verify if families are interested. Superintendent Hilaria Bauer commented that she will be following up and will inform the Board when she has more information.

#### 10. INSTRUCTIONAL SERVICES

10.01 Title I – Authorization for School-Wide Program at Adelante II
Dual Language Academy

MOTION #02-19 by Member Andrés Quintero to accept and approve the Title I-Authorization for School-Wide Program at Adelante II as presented. MOTION #02-19 was seconded by Clerk Dolores Marquez-Frausto.

MOTION #02-19 carried with a unanimous vote; no opposition; no absent; and no abstention.

10.02 English Language Learners-Reclassification Criteria

MOTION #02-20 by Vice-President Karen Martinez to accept and approve the English Language Learners-Reclassification Criteria as presented. MOTION #02-20 was seconded by Clerk Dolores Marquez-Frausto and Member Andrés Quintero.

MOTION #02-20 carried with a unanimous vote; no opposition; no absent; and no abstention.

10.03 Seal of Biliteracy Achievement Awards-Criteria

MOTION #02-21 by Member Andrés Quintero to accept and approve the Seal of Biliteracy Achievement Awards-Criteria as presented. MOTION #02-21 was seconded by Clerk Dolores Marquez-Frausto.

MOTION #02-21 carried with a unanimous vote; no opposition; no absent; and no abstention.

10.04 Obsolete Materials: Teacher Curriculum and Instruction (TCI)

MOTION #02-22 by Clerk Dolores Marquez-Frausto to accept and approve the Obsolete Materials: TCI as presented. MOTION #02-22 was seconded by Member Andrés Quintero.

Member Khanh Tran asked if "obsolete" meant computers. Superintendent Hilaria Bauer replied, "No, it means books."

MOTION #02-22 carried with a unanimous vote; no opposition; no absent; and no abstention.

#### 12. HUMAN RESOURCES

#### 12.01 Information Regarding Resignations

There was consensus from the Board to accept the resignations as presented.

#### 13. CONSENT CALENDAR

Member Andrés Quintero asked to pull two agenda items to be discussed separately:

- 13.02 Approve Contracts for Professional Services Firms
- 13.08 Approve the Certification of Correction for the 2016-17 Audit Report

Member Khanh Tran asked to pull one agenda item to be discussed separately:

13.01 Approval of Minutes for the following meetings: (1) June 14, 2018 and (2) July 12, 2018, Regular Board Meetings

MOTION #02-23 by Vice-President Karen Martinez to accept and approve the Consent Calendar as amended. MOTION #02-23 was seconded by Clerk Dolores Marquez-Frausto.

MOTION #02-23 carried with a vote of 4 in favor (President Esau Ruiz Herrera, Clerk Dolores Marquez-Frausto, Member Andrés Quintero, and Member Khanh Tran); no opposition; no absent; and no abstention. Vice-President Karen Martinez not voting.

13.01 Approval of Minutes for the following meetings: (1) June 14, 2018 and (2) July 12, 2018, Regular Board Meetings

Member Khanh Tran asked administration if the previous minutes had been corrected? Marie Sanchez, Recording Secretary, replied, "Yes, they have been corrected."

MOTION #02-24 by Member Khanh Tran to accept and approve the Minutes for June 14, 2018 and July 12, 2018 as presented. MOTION #02-24 was seconded by Member Andrés Quintero.

MOTION #02-24 carried with a unanimous vote; no opposition; no absent; and no abstention.

#### 13. CONSENT CALENDAR (continued)

#### 13.02 Approve Contracts for Professional Services - Firms

Member Andrés Quintero stated that he had some concerns with the auditing firm contract. He would like the District to begin to search for other firms because he has lost confidence with this firm. Member Khanh Tran also was in agreement with Member Andrés Quintero and also wants them out and perhaps have the fiscal advisor recommend another audit firm.

MOTION #02-25 by Member Andrés Quintero to accept and approve the Contracts for Professional Services-Firms as presented. MOTION #02-25 was seconded by Member Khanh Tran.

MOTION #02-25 carried with a unanimous vote; no opposition; no absent; and no abstention.

#### 13.08 Approve the Certification of Correction for the 2016-17 Audit Report

Member Andrés Quintero stated that after reviewing the recent reports, he would now be supporting the certification.

MOTION #02-26 by Member Andrés Quintero to accept and approve the Certification of Correction for the 2016-17 Audit Report as presented. MOTION #02-26 was seconded by Member Khanh Tran.

MOTION #02-26 carried with a unanimous vote; no opposition; no absent; and no abstention.

Member Khanh Tran addressed to President Esau Ruiz Herrera that he had a question on Agenda Item 13.07 Notices of Employment and Changes of Status/August 9, 2018, HR Department.

President Esau Ruiz Herrera stated this agenda item had not been pulled for separate discussion; therefore, it could not be addressed at this time.

#### 14. FUTURE BOARD AGENDA REQUESTS

#### 14.01 Requests from Board of Trustees and/or Public

President Esau Ruiz Herrera reminded the Board that they could submit any future agenda items in writing via email.

#### 15. ADJOURNMENT

#### 15.01 President Adjourns the Meeting

President Esau Ruiz Herrera adjourned the meeting at 10:10 p.m.

Respectfully submitted,

Dolores Marquez-Frausto Board Clerk

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue San Jose, CA 95127 14.02

#### Office of Superintendent of Schools

#### ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees:

1 CC A 1 .		
	owing contracts for professional service and approval.	es are being presented to the Board of Trustees for
		contracts for professional services on the e Purchasing Office.
Prepared by:	Maria Martinez M. M. Title: _1	Procurement Manager
Approved by:	Kolvira Chheng Kolvira Chheng Title:	Assistant Superintendent of Business Services
To the Board	of Trustees: Meetin	ng: September 13, 2018
	commend Approval	Special Board Meeting
140	14.02	Humar
4	Agenda Placement	Hilaria Bauer, Ph.D., Superintendent
	Agenda Placement  DISPOSITION BY BOA	
Motion by:	DISPOSITION BY BOA	

#### PROFESSIONAL CONSULTANT SERVICES - FIRMS/ORGANIZATIONS

<u>DEPARTMENT</u>	DATE OF SERVICE	CONSULTANT NAME	COST & FUNDING	PURPOSE
Dorsa Viviana Garcia	09/12/18- 06/05/19	BAWSI Bay Area Women's Sports Initiative	No Cost	Provide mentoring and leadership opportunities for 2 <sup>nd</sup> -5 <sup>th</sup> grade girls through health and wholeness Funding: N/A
Fischer Dr. Imee Almazan	10/08/18- 03/19/19	Stir Fry Seminars	\$5,500.00	Professional development: Diversity, cultural awareness, and cultural sensitivity training for staff Funding: General
Linda Vista Ted Henderson	09/14/18- 06/11/19	Recess 101	\$35,750.00	Provide services to enrich the overall quality of the school day experience for students and educators Funding: General
LUCHA Kristin Burt (missing COI & Endorsements	09/17/18- 04/17/19	SJSU Research Foundation/SJ Area Writing Project	\$2,250.00	Facilitate after-school writing classes for 4 <sup>th</sup> and 5 <sup>th</sup> grade students Funding: General
Mathson Vince Iwasaki	08/13/18- 06/30/19	SEEDS School Services	\$31,400.00	Master Contract: Seeds is providing restorative practices training at Mathson M.S, Chavez, & San Antonio E.S. Funding: By Cowell Foundation Grant
Ocala Steam Tracy Leathers	07/01/18- 12/31/18	RAFT	\$2,000.00	Design and implement a markerspace for hands on learning at Ocala Steam Academy Funding: General
Painter George Kleidon	09/17/18- 06/11/19	Think Together, Inc.	\$11,930.00 NTE	Provide a program leader to assist with student activities which promote and support communication, collaboration and conflict resolution skills Funding: General
Renaissance at Fischer Doug Kleinhenz	09/14/18- 06/11/19	The Audacity Performing Arts Project	\$4,999.00	After school musicals performances – Lion King Jr. Funding: Restricted

#### PROFESSIONAL CONSULTANT SERVICES - FIRMS/ORGANIZATIONS

Renaissance at Mathson Doug Kleinhenz	09/14/18- 06/11/19	The Audacity Performing Arts Project	\$4,999.00	After school musicals performances – Aladdin Jr Funding: Restricted
Ryan School Raquel Katz	11/27/18- 03/01/19	The Audacity Performing Arts Project	\$3,000.00	Produce and direct Disney's Lion King Kids, rehearsals and performance Funding: ASBF
Academic Services Rene Sanchez	09/14/18- 06/30/19	ACSA	\$35,625.00	ACSA will provide coaching and training for first and second year administrators Funding: General
Academic Services Rene Sanchez	09/14/18- 12/30/19	WestEd	No Cost	Observe and measure the effectiveness of online supplemental math products as they are integrated into 4th grade mathematics instruction Funding: N/A
Human Resources Jess Serna	09/14/18- 06/30/19	McPherson & Jacobson, LLC.	\$6,250.00	Recruitment and screening of Assistant Superintendent of Human Resources candidates Funding: General
Instructional Services Barbara Campbell	09/08/18- 01/26/19	Conscious Teaching, LLC	\$12,500.00	Three workshops focusing on classroom management strategies and brain compatible teaching and learning Funding: Restricted/General
Special Education Jean Gallagher	08/01/18- 06/30/19	Safe & Civil Schools	\$5,400.00	Professional development for "Positive Climate to Reduce Suspensions and Improve Motivation" Funding: General
Special Education Jean Gallagher	08/01/18- 06/30/19	The Speech Pathology Group	\$850.00	Professional development for speech therapists "Evaluation and Eligibility Determination for English Learners" Funding: General

#### PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

Special Education Jean Gallagher	07/01/18- 06/30/19	Spectrum School	\$93,792.00	Tuition related cost for non-public school for students residing in Alum Rock Funding: Sp Ed
Special Education Jean Gallagher	07/01/18- 06/30/19	Vista Center For The Blind & Visually Impaired	\$8,750.00	Contract Increase C1819048 Original contract amount \$4,000.00 New contract amount \$12,750.00 Increase due to a new student added to the contract Funding: General
State & Federal Sandra Garcia	09/13/18- 09/13/19	Big Brothers Big Sisters of the Bay Area	No Cost	Trainings targeted at serving and mentoring foster youth to include trainings on college access, workplace development and permanency Funding: N/A
State & Federal Sandra Garcia	09/13/18- 09/13/19	The Future Talent of Silicon Valley dba: The First Tee of Silicon Valley	No Cost	Master Contract: Set of 18 golf activity plans designed to expose students to core values, life skills, and healthy habits. Services available to all ARUSD schools Funding: N/A
State & Federal Sandra Garcia	09/14/18- 06/30/19	Grail Family Services	\$10,000.00	Parent education about the importance of reading to improve student's abilities. Sessions offered at Dorsa and San Antonio schools Funding: Restricted
State & Federal Sandra Garcia	09/14/18- 09/14/19	Panorama Education, Inc.	\$26,260.00	Administer district-wide surveys of family, staff and students of ARUSD Funding: Restricted
Student Services Norma Flores	09/14/18- 06/30/19	Asians Americans for Community Involvement (AACI)	No Cost	Substance abuse prevention through lunch clubs at specific middle schools sites facilitated through AACI Funding: N/A

#### Alum Rock Union Elementary School District September 13, 2018 Board Meeting

#### PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS

Student Services Norma Flores	09/14/18- 06/30/21	Assistance League of San Jose–Operation School Bell	No Cost	Master Contract: Provide uniforms and socks for students in need at various schools within the district Funding: N/A
Student Services Norma Flores	09/14/18- 06/30/21	Assistance League of San Jose	No Cost	Master Contract: For "Readers are Leaders." The League will furnish volunteers to read to students. District-wide program Funding: N/A
Student Services Norma Flores	09/14/18- 06/30/19	Fresh Lifelines for Youth, Inc. (FLY)	No Cost	Provide youth with practical information about laws relevant to their daily lives at Fischer, Renaissance at Fischer and Renaissances at Mathson and Mathson M.S Funding: N/A
Student Services Norma Flores	09/30/18- 06/30/19	Lozano Smith Attorneys at Law	\$5,000.00	Provide legal consultations and professional developments regarding various school climate topics Funding: General
Student Services Norma Flores	09/14/18- 06/30/19	Uplift Family Services	No Cost	Provide classroom presentations, parent and staff trainings, targeted to address bullying, drug and alcohol use and self-mutilation Funding: N/A
Superintendent Hilaria Bauer	09/18/18- 09/18/18	Carlos Pino dba: Churros el Guero	\$727.50	Providing desserts for the 2018 Back To District Night Funding: General

# INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVIS	SION OF BUSINESS SE	RVICES			CONTRACT N	0
FROM: D	osa Elementary		(Sc	hooi/Dept.)	VENDOR NO.	
PROGRAM	MANAGER: Viviana	a Garcia				
1. PARTI	ES: The Alum Rock Un owing named Contracto	ion Elementary School C	District (ARUES	SD), whose address	is 2930 Gay Avenue, 8	San Jose, CA 95127, and
Name	of Individual/Company:	BAWSI				
Addres	s: 1922 Th Alan	neda Sutie 420	C	ty: San Jose	State: CA	zip: <u>95126</u>
Phone	()		Ér	mail Address:		
SSN:			or Fe	ed I.D. #: 55-097	7084	
Mutual	lly agree and promise	as follows:				
2. CONTE	RACT TERM: start date	September 12,	2018	end date	June 5, 2019	
		alth and wholene nd leadership op				the state of the s
for s	port and confide	ence building (FF	REE Progr	am)	1	
express (Invoice upon ap	sed herein, ARUESD solvenished herein, ARUESD solvenished submit proval of such demand as Fee Rate: \$	eration of Contractor's p shall pay Contractor up ted not later than 30 da by ARUESD as follows: per hour/ ays of services. ARUES	oon Contractor ys from the er (Check either day of service SD may, but is	"s submission of a d of the month in w a, b, or c) as may be request a not obligated to, re	properly documente which the contract serviced ted by ARUESD, not to equest the maximum of	d demand for payment ices were rendered, and be exceed a maximum of
0		(describe	rate agreeme	nt) Zero Cost t	o the District	
FUND	T CODE:  DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
		14 (4	1			

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

DIVISIO	ON OF BUSINESS SE	RVICES			CONTRACT	VO
ROM: Fis	cher Middle Sc	hool	(Sc	chool/Dept.)	VENDOR NO	
ROGRAMIN	IANAGER: Imee A	Imazan, Ed.D.				
PARTIE:	S: The Alum Rock Un ving named Contracto	ion Elementary School D	District (ARUES	SD), whose address	ls 2930 Gay Avenue,	San Jose, CA 95127, an
Name of	Individual/Company:	Stir Fry Semina	ars			
Address:	2311 8th Stre	et	Ci	ity: Berkeley	State: C	A zip 94710
Phone: (	510) 204-884	10	Er	mail Address: Meli	ssa@stirfryser	ninars.com
SSN: _			or Fe	ed l.D. #:		
Mutually	agree and promise a	as follows:				
CONTRA	CT TERM: start date	October 8, 201	8	end data	March 19, 20	19
CONTRA products, A. Desci exhibi	CTOR'S OBLIGATIOn and/or reports:  Input on of services to its and other documents.	N: In consideration of the provided and expects that if necessary:	the compensa	j. services, material	s, products and/or re	ports). Attach proposals
CONTRA products, A. Desci exhibi	CTOR'S OBLIGATIOn and/or reports:  Input on of services to its and other documents.	N: In consideration of	the compensa	j. services, material	s, products and/or re	ports). Attach proposals
CONTRA products,  A. Desci exhibi  Divers staff  COMPEN expressed (Invoice) vupon appri	ACTOR'S OBLIGATION and/or reports:  ription of services to interest to interes	the provided and expected that it is necessary; are ness, and cultration of Contractor's probable pay Contractor up that is pay Contractor up that is pay Contractor up that is pay ARUESD as follows:	ed results (e.g.  Itural sens  revision of sens  roon Contractor  yes from the en  (Check either and  day of service  ED may, but is	vices as described as submission of a do the month in was b, or c)	above, and subject to properly document which the contract send subject the maximum	ports). Attach proposals  development for  the payment provisions ad demand for payment vices were rendered, and to exceed a maximum of number of hours/days of
CONTRA products,  A. Desci exhibi  Divers staff  COMPEN expressed (Invoice) vupon appri	coron's OBLIGATIOn and/or reports:  inputon of services to interest to interes	the provided and expected that it is necessary:  areness, and cultivation of Contractor's properties of Contractor up the interest of the inte	ed results (e.g.  Itural sens  revision of sens  roon Contractor  ye from the en  (Check either and  day of service  ED may, but is	vices as described as submission of a do the month in was b, or c)	above, and subject to properly document which the contract send subject the maximum	ports). Attach proposals  development for  the payment provisions ad demand for payment vices were rendered, and to exceed a maximum of number of hours/days of
CONTRA products,  A. Description of the products,  A. Description of the products,  Staff  COMPEN expressed (Invoice) to upon approximate a product of the p	coron's OBLIGATIOn and/or reports:  inputon of services to interest to interes	the provided and expected that it is necessary:  areness, and cultivation of Contractor's properties of Contractor up the interest of the inte	ed results (e.g.  Itural sens  revision of sens  roon Contractor  ye from the en  (Check either and  day of service  ED may, but is	vices as described as submission of a do the month in was b, or c)	above, and subject to properly document which the contract send subject the maximum	o the payment provisions ad demand for payment rices were rendered, and to exceed a maximum of number of hours/days of

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two Independent contractors and is not Intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor cartifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

2018 SEP -6 PM 3: 09

#### INDEPENDENT CONTRACTOR AGREEMENT

O DIVISIO	ON OF BUSINESS SEF	(VICES			CONTRACT N	v
ROM. Lin	da Vista		(Sch	ool/Dept.)	VENDOR NO.	21359
ROGRAM M	MANAGER: Ted He	nderson				
	S: The Alum Rock Unio		strict (ARUESD	), whose address is	2930 Gay Avenue,	San Jose, CA 95127, an
Name of	Individual/Company: _	Recess 101				
Address:	1900 Camden	Av. Suite 101	City	San Jose	State: CA	A Zip: 95124
Phone: (	(408 )508-0302	2	Ema	ail Address:		
SSN: _			or Fed	I.D.#: 46-2789	450	
Mutually	agree and promise a	s follows:				
CONTRA	ACT TERM: start date	September 14,	2018	end date J	lune 11, 2019	)
products,		e provided and expecte				
A. Description	, and/or reports: ription of services to b ilts and other document ss 101 provides	e provided and expecte ation if necessary:	ed results (e.g.	services, materials,	products and/or rep lity of the sch	oorts). Attach proposal
A. Descretable Recessexperi	, and/or reports: ription of services to b ilts and other document ss 101 provides	e provided and expecte ation if necessary: direct services nts and educator	ed results (e.g.	services, materials,	products and/or rep lity of the sch	oorts). Attach proposal
Recess experiprogra  COMPEN expresses (Invoice) upon app	and/or reports:  cription of services to builts and other document  as 101 provides  cience for studer  am and PE supply  NSATION: In consider  do herein, ARUESD si  which shall be submitte  croval of such demand to  Fee Rate: \$  hours/da  service.	e provided and expecte ation if necessary:  direct services  ats and educator port for K-5.  ration of Contractor's pred not later than 30 day by ARUESD as follows:	to enrich the rs. Recession of service (Check either a day of service a da	services, materials, the overall quasis supervision, dices as described at a submission of a part of the month in white, b, or c)	products and/or replication of the school ove, and subject to properly documents on the contract send by ARUESD, not usest the maximum	ool day nti-bullying the payment provision ed demand for paymer vices were rendered, an
Products,  A. Description and the products of the products.  Recess experiments of the products of the products of the products of the product of the produc	and/or reports:  cription of services to builts and other document  as 101 provides  ience for studer  am and PE sup  NSATION: In consider  id herein, ARUESD si  which shall be submitte  oroval of such demand to  Fee Rate: \$	e provided and expecte ation if necessary:  direct services onts and educator port for K-5.  ration of Contractor's provided not later than 30 day by ARUESD as follows:  per hour/ys of services. ARUES	to enrich the rs. Recession of service (Check either a day of service a da	services, materials, the overall quasis supervision, dices as described at a submission of a part of the month in white, b, or c)	products and/or replication of the school ove, and subject to properly documents on the contract send by ARUESD, not usest the maximum	oorts). Attach proposals
Products,  A. Description and products,  Recess experiprogra  COMPEN expresser (Invoice) upon app a.  Xb.	and/or reports:  cription of services to builts and other document  as 101 provides  ience for studer  am and PE supply  NSATION: In consider  do herein, ARUESD signification of such demand builts  Fee Rate: \$  hours/da  service.  Other: \$35,750	e provided and expecte ation if necessary:  direct services of services. ARUES of services	to enrich the rs. Recess  rovision of service on Contractor's from the end (Check either a day of service as D may, but is rate agreement	services, materials, the overall quals supervision, dices as described at a submission of a part of the month in white, b, or c) as may be requested not obligated to, request.	products and/or replication of the scheme over the contract sense of the ARUESD, not uest the maximum	ool day nti-bullying the payment provision ed demand for paymen vices were rendered, and
Products,  A. Description and the products of the products.  Recess experiments of the products of the products of the products of the product of the produc	and/or reports:  cription of services to builts and other document  as 101 provides  ience for studer  am and PE sup  NSATION: In consider  id herein, ARUESD si  which shall be submitte  oroval of such demand to  Fee Rate: \$	e provided and expecte ation if necessary:  direct services onts and educator port for K-5.  ration of Contractor's provided not later than 30 day by ARUESD as follows:  per hour/ys of services. ARUES	to enrich the rs. Recession of service (Check either a day of service a da	services, materials, the overall quasis supervision, dices as described at a submission of a part of the month in white, b, or c)	products and/or replication of the school ove, and subject to properly documents on the contract send by ARUESD, not usest the maximum	ool day  nti-bullying  the payment provision and demand for payment provision where were rendered, and to exceed a maximum on number of hours/days of

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### INDEPENDENT CONTRACTOR AGREEMENT

	VISION OF BUSINESS SE	RVICES		CONTRACT	10.
OM:	LUCHA Elementa	ry School	(School/Dept.)	VENDOR NO.	·
OGRA	AM MANAGER: Kristin	Burt			
PAI	RTIES: The Alum Rock United Interest of the In	on Elementary School D	istrict (ARUESD), whose a	ddress is 2930 Gay Avenue,	San Jose, CA 95127, an
Nan	me of Individual/Company:	SJSU Research	r Foundation/SJ A	rea Writing Project	
Add	dress: SJAWP Office	e, FO 126 SJSU	city: San J	ose state: C/	A zip: 95192
Pho	one: ((408) 924-441)	2	Email Address	sanjosewritingproje	ect@sjsu.edu
SSN	N;		or Fed I.D. #: 94	I-6017638	
	tually agree and promise a		2018 e	nd date April 17, 2019	)
A.	exhibits and other documen	tation if necessary:		naterials, products and/or re	
the	udents. Classes we e writing process. shool.		writing genres and vill be held on Mor	l focus on moving s days and Wednesd	
the sc	e writing process.  chool.  MPENSATION: In consideressed herein, ARUESD stoice) which shall be submit approval of such demand	These classes were contractor's probable pay Contractor up the con	rovision of services as design Contractor's submissions from the end of the mon (Check either a, b, or c)	cribed above, and subject to on of a properly document th in which the contract sen	etudents through days after the payment provision ed demand for paymer vices were rendered, an
the sc core	udents. Classes we writing process. chool.  MPENSATION: In consideressed herein, ARUESD solice) which shall be submit approval of such demand.  a. Fee Rate: \$50 hours/dispersion.	rill cover various These classes we exact on of Contractor's properties of the pay Contractor up ted not later than 30 day by ARUESD as follows: per hour/ ays of services. ARUESD	rovision of services as design Contractor's submission of the mon (Check either a, b, or c) day of service as may be SD may, but is not obligate	cribed above, and subject to	atudents through days after  o the payment provision and demand for payment vices were rendered, an  to exceed a maximum of number of hours/days of
the sc core expri (Inve upon X	udents. Classes we writing process. chool.  MPENSATION: In consideressed herein, ARUESD solice) which shall be submit approval of such demand.  a. Fee Rate: \$50 hours/dispersive.  b. Other: \$	rill cover various These classes we exact on of Contractor's properties of the pay Contractor up ted not later than 30 day by ARUESD as follows: per hour/ ays of services. ARUESD	rovision of services as design Contractor's submission of the mon (Check either a, b, or c) day of service as may be SD may, but is not obligate	cribed above, and subject to on of a properly document of the contract sen requested by ARUESD, not do to, request the maximum	atudents through days after  o the payment provision and demand for payment vices were rendered, and to exceed a maximum of number of hours/days of
the sc con expring (Investigation of the sc con expring of the sc con exprine exprine expring of the sc con expring of the sc con exprine expring of the sc con	udents. Classes we writing process. chool.  MPENSATION: In consideressed herein, ARUESD solice) which shall be submit approval of such demand.  a. Fee Rate: \$50 hours/dispersion.	rill cover various These classes we exact on of Contractor's properties of the pay Contractor up ted not later than 30 day by ARUESD as follows: per hour/ ays of services. ARUESD	rovision of services as design Contractor's submission of the mon (Check either a, b, or c) day of service as may be SD may, but is not obligate	cribed above, and subject to of a properly document in which the contract sense requested by ARUESD, not id to, request the maximum	tudents through days after  o the payment provision ed demand for paymen vices were rendered, and to exceed a maximum of

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



INDEPENDENT CONTRACTOR AGREEMENT

10:	DIVISION OF BUSINESS SERVICES		CONTRAC	CT NO
FRO	OM: Mathson Middle School	(School/Dept.)	VENDOR	NO. 22286
PRO	OGRAM MANAGER: Vince Iwasaki			
1.	PARTIES: The Alum Rock Union Elementary School Distribe following named Contractor:	ict (ARUESD), whose addre	ss is 2930 Gay Aven	nue, San Jose, CA 95127, a
	Name of Individual/Company: SEEDS School S	ervices		
	Address: 2530 San Pablo Avenue, Suite	A city: Berkeley	State:	CA zip: 94702
	Phone: ( 510 ) 548 - 2377	Email Address: W	ww.seedscrc.c	org
	SSN:	or Fed I.D. #: 94 -	305 - 4165	
2.	Mutually agree and promise as follows:  CONTRACT TERM: start date 08/13/18	end o	late_06/30/19	
3.	CONTRACTOR'S OBLIGATION: In consideration of the products, and/or reports:  A. Description of services to be provided and expected exhibits and other decumentation if reconserve.			
3.	products, and/or reports:  A. Description of services to be provided and expected exhibits and other documentation if necessary;  MASTER CONTRACT	results (e.g. services, mate	rials, products and/o	or reports). Attach proposa
3.	A. Description of services to be provided and expected exhibits and other documentation if necessary:  MASTER CONTRACT  SEEDS is providing restorative practice.	results (e.g. services, mate	rials, products and/o	or reports). Attach proposa
	products, and/or reports:  A. Description of services to be provided and expected exhibits and other documentation if necessary;  MASTER CONTRACT	results (e.g. services, materials to the services as described to the month of the month is in the services as described to the month is in the services as described to the month is in the services as described to the s	rials, products and/o son Middle, Ch n grant. ed above, and subje	navez Elementary,
	A. Description of services to be provided and expected exhibits and other documentation if necessary:  MASTER CONTRACT  SEEDS is providing restorative practice  & San Antonio Elementary funded by a COMPENSATION: In consideration of Contractor's proviexpressed herein, ARUESD shall pay Contractor upon (Invoice) which shall be submitted not later than 30 days upon approval of such demand by ARUESD as follows: (Chemother in the contractor of the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor of the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows: (Chemother in the contractor upon approval of such demand by ARUESD as follows:	results (e.g. services, materials to mather cowell Foundation is a service of service of services as described from the end of the month in the end of service as may be required.	rials, products and/o son Middle, Ch n grant. ed above, and subjet of a properly docum n which the contract	navez Elementary, ect to the payment provision nented demand for payment services were rendered, a
	A. Description of services to be provided and expected exhibits and other documentation if necessary:  MASTER CONTRACT  SEEDS is providing restorative practice.  & San Antonio Elementary funded by a COMPENSATION: In consideration of Contractor's proviexpressed herein, ARUESD shall pay Contractor upon (Invoice) which shall be submitted not later than 30 days to upon approval of such demand by ARUESD as follows: (Chapter of the contractor of the contractor upon approval of such demand by ARUESD as follows: (Chapter of the contractor of the contractor upon approval of such demand by ARUESD as follows: (Chapter of the contractor of the contractor upon approval of such demand by ARUESD as follows: (Chapter of the contractor of	es training to Mathe  Cowell Foundation  Sion of services as described Contractor's submission of the month in the end of the month in the either a, b, or c)  To of service as may be required to the end of the may, but is not obligated to the end of the may, but is not obligated to the end of the end of the may, but is not obligated to the end of the	rials, products and/o	havez Elementary, ect to the payment provisionented demand for payment services were rendered, a not to exceed a maximum num number of hours/days
	A. Description of services to be provided and expected exhibits and other documentation if necessary:  MASTER CONTRACT  SEEDS is providing restorative practice.  & San Antonio Elementary funded by a COMPENSATION: In consideration of Contractor's providexpressed herein, ARUESD shall pay Contractor upon (Invoice) which shall be submitted not later than 30 days to upon approval of such demand by ARUESD as follows: (Chapter of the contractor) as Fee Rate:    Per Nours/days of services. ARUESD service.	es training to Mathe  Cowell Foundation  Sion of services as described Contractor's submission of the month in the end of the month in the either a, b, or c)  To of service as may be required to the end of the may, but is not obligated to the end of the may, but is not obligated to the end of the end of the may, but is not obligated to the end of the	rials, products and/o	havez Elementary, ect to the payment provisionented demand for payment services were rendered, a not to exceed a maximum num number of hours/days
i	A. Description of services to be provided and expected exhibits and other documentation if necessary:  MASTER CONTRACT  SEEDS is providing restorative practice.  & San Antonio Elementary funded by a COMPENSATION: In consideration of Contractor's proviexpressed herein, ARUESD shall pay Contractor upon (Invoice) which shall be submitted not later than 30 days tupon approval of such demand by ARUESD as follows: (Cha. Fee Rate: \$per hour/day service.  X b. Other: \$31,400 (describe rate.)  BUDGET CODE:	es training to Mathe  Cowell Foundation  Sion of services as described Contractor's submission of the month in the end of the month in the either a, b, or c)  To of service as may be required to the end of the may, but is not obligated to the end of the may, but is not obligated to the end of the end of the may, but is not obligated to the end of the	rials, products and/o	havez Elementary, ect to the payment provisionented demand for payment services were rendered, a not to exceed a maximum num number of hours/days

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

MASTER CONTRACT



#### INDEPENDENT CONTRACTOR AGREEMENT

TO: _D	IVISION	OF BUSINESS SE	RVICES			CONTRACT N	0
FROM:	Ocal	a STEAM Aca	ademy	(Sc	hool/Dept.)	VENDOR NO.	13054
PROGR	RAM MAN	NAGER: Tracy L	eathers				
1. PA	ARTIES:	The Alum Rock Uni g named Contractor	on Elementary School D	istrict (ARUES	D), whose address is	3 2930 Gay Avenue,	San Jose, CA 95127, and
Na	ame of Inc	dividual/Company:	RAFT				
Ad	ldress:	1355 Ridder F	Park Dr	Cil	y: San Jose	State: CA	A zip: 95131
Ph	ione: (4(	08 451-1420		En	nall Address:		
SS	SN:	40	100	or Fe	d I.D. #: 77-036	5627	
		gree and promise a	ns follows: 07/01/2018		end date	12/31/ 2018	
T	exhibits o desi	and other document an and imple	tation if necessary:	ace for ha	nds-on learnir	nd at Ocala ST	FEAM Academy.
5	ирріу	markerspace	via delivery of 3	-5 pallets	of recycled m	ateriais	
exp (Inv upo	pressed (voice) whon approv	herein, ARUESD s ich shall be submit val of such demand  ee Rate: \$hours/da  ervice.	thall pay Contractor up ted not later than 30 day by ARUESD as follows:	on Contractor ys from the en (Check either day of service SD may, but is	's submission of a id of the month in wh a, b, or c) as may be requeste and obligated to, re	properly documents nich the contract served ad by ARUESD, not to	o the payment provisions and demand for payment vices were rendered, and to exceed a maximum of number of hours/days of
		200					
-	UND 03	DEPARTMENT 260	PROG/COUNTER 28500	08JECT 5815	\$ AMOUNT 2000,00	PROG. TITLE	BUS OFC

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

# INDEPENDENT CONTRACTOR AGREEMENT 27 AN II: 53

DIVISIO	N OF BUSINESS SE	RVICES			CONTRACT	0,
ком: <u>Раі</u>	nter/State and	Federal	(Sc	hool/Dept.)	VENDOR NO.	
ROGRAM M	ANAGER: George	Kleidon				
	PARTIES: The Alum Rock Union Elementary School Districtive following named Contractor:  Name of Individual/Company: THINK Together In Address: 2101 E. Fourth St. Suite B-200  Phone: 408 946-2727  SSN:  Multually agree and promise as follows: CONTRACT TERM: start date 9/17/2018  CONTRACTOR'S OBLIGATION: In consideration of the conducts, and/or reports:  A. Description of services to be provided and expected mexhibits and other documentation if necessary:  Think Together will provide a Program Loromote and support communication, company of the communication, communication		Istrict (ARUES	D), whose address is	2930 Gay Avenue,	San Jose, CA 95127, and
Name of	Individual/Company:	THINK Togethe	r Inc.			
Address:	2101 E. Four	h St. Suite B-200	) ci	Santa Ana	State: C/	Zip: 92705
Phone: (	408 946-2727		Er	nall Address:		
SSN:			or Fe	d I.D. #: 33-0781	751	
The second second				end date	6/11/2019	
products,	and/or reports:	be provided and expecte				
promo	te and suppor		, collabora	ation, and conf	lict resolution	skills during
compense expresse (Invoice) upon app	ISATION: In consider the considering of the conside	eration of Contractor's pi shall pay Contractor up ted not later than 30 dar by ARUESD as follows: per hour/ ays of services. ARUES	rovision of ser con Contracto ys from the er (Check either day of service SD may, but is	vices as described a 's submission of a id of the month in wh a, b, or c) as may be requeste s not obligated to, rec	bove, and subject to properly documents ich the contract sen d by ARUESD, not quest the maximum	to the payment provisions ad demand for payment vices were rendered, and to exceed a maximum on number of hours/days of
<u>X</u> _b.	Other: \$	(describe	rate agreeme	nt) Not to excee	ed \$ 11, 930.0	0
BUDGET	CODE:					
FUND 03	DEPARTMENT 360	PROG/COUNTER 5210	OBJECT 5815	\$ AMOUNT 11, 930.00	PROG. TITLE	BUS OFC
			1			

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



## INDEPENDENT FONTRAGFOR AGREEMENT

O: DIVIS	SION OF BUSINESS SE	RVICES			CONTRACT	10,
ROM: R	enaissance Aca	demy @ Fischer	(Sc	hool/Dept.)	VENDOR NO.	22678
ROGRAN	MANAGER: Doug K	Cleinhenz				
	IES: The Alum Rock Uni		Istrict (ARUES	D), whose address is	s 2930 Gay Avenue,	San Jose, CA 95127, and
Name	of Individual/Company:	The Audacity P	erforming	Arts Project,	Inc.	
Addres	ss: 979 Bucknam	Ave.	Ci	ty: Campbell	State: C/	A zip: 95008
Phone	(408_)348-402	6	Er	nail Address:		
SSN:			or Fe	d I.D. #: 46-144	4034	
Mutua	fly agree and promise a	as follows:				
CONT	RACT TERM: start date	September 14,	2018	end date	June 11, 201	9
	r School Musical	s Performances	- Lion Kir	ng Jr.		
expres (Invoio upon a	sed herein, ARUESD se) which shall be submit pproval of such demand a. Fee Rate: \$	eration of Contractor's prishall pay Contractor up ted not later than 30 day by ARUESD as follows:	on Contractorys from the er (Check either day of service	of a submission of a dof the month in what b, or c)	properly documented nich the contract served ad by ARUESD, not	ed demand for paymen vices were rendered, and to exceed a maximum of
	service.	is to continue at any	77.			
<u>X</u>	b. Other: \$4999.00	)(describe	rate agreeme	nt) Total cost o	of services	
BUDG	ET CODE:					0 -1
FUNE 06	DEPARTMENT	PROG/COUNTER	OBJECT 5815	\$ AMOUNT 4999.00	PROG. TITLE	BUS OFC
3.0						

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



### INDEPENDENT CONTRACTOR AGREEMENT PM 12: 41

: DIVISIO	N OF BUSINESS SE	RVICES			CONTRACT	0,
юм: Rer	naissance Aca	demy @ Mathso	n(Sc	hool/Dept.)	VENDOR NO.	22678
OGRAM MA	ANAGER: Doug H	Kleinhenz				
	: The Alum Rock Uni		Istrict (ARUES	D), whose address is	s 2930 Gay Avenue,	San Jose, CA 95127, and
Name of I	ndividual/Company:	The Audacity P	erforming	Arts Project.	nc.	
Address:	979 Bucknam	Ave.	CI	ty: Campbell	State: C/	A zip: 95008
Phone: (4	408 )348-402	6	Er	nail Address:		
SSN:			or Fe	d I.D. #: 46-144	4034	
	agree and promise a	s follows: September 14,	2018	end date	June 11, 201	9
exhibi	ts and other documer					ports). Attach proposals
expressed (Invoice) v upon appr	Herein, ARUESD synich shall be submit oval of such demand  Fee Rate: \$ hours/diservice.	ays of services. ARUES	on Contracto ys from the er (Check either day of service SD may, but is	r's submission of a ad of the month in wh a, b, or c) as may be requeste a not obligated to, re	properly documented the contract sented by ARUESD, not quest the maximum	ed demand for paymen vices were rendered, and to exceed a maximum of
b.	Other: \$ 4999.00	) (describe	rate agreeme	nt) <u>Total cost (</u>	of services	
BUDGET	1		I on inc	Ta august	I and Title	T 2012 050
FUND 06	DEPARTMENT 295	PROG/COUNTER 1190	OBJECT 5815	\$ AMOUNT 4999.00	PROG. TITLE	BUS OFC

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

### INDEPENDENT CONTRACTOR ACREEMENT AM 9: 25

TO: DIVISION	ON OF BUSINESS SE	RVICES			CONTRACT N	0
FROM: Ry	an Elementary		(Sc	hool/Dept.)	VENDOR NO.	22678
PROGRAM N	MANAGER; Raque	l Katz				
	S: The Alum Rock Univing named Contracto		istrict (ARUES	D), whose address is	3 2930 Gay Avenue,	San Jose, CA 95127, and
Name of	Individual/Company:	The Audacity P	erforming	Arts Project		
Address	979 Bucknam	1 Ave	C	y: San Jose	State: C/	A zip: 95008
Phone:	(408 ) 348-402	26	E	nail Address: stone	ecollonge@gm	nail.com
SSN: _			or Fe	d I.D. #: 46-144	4043	
Mutually	agree and promise	as follows:				
. CONTRA	ACT TERM: start date	November 27,	2018	end date	March 1, 2019	9
exhib	oits and other documen	ntation if necessary: ming Arts will pro		7 7. 5		ports). Attach proposals. ds, rehersals
expresse (Invoice) upon app	d herein, ARUESD : which shall be submit proval of such demand Fee Rate: \$	eration of Contractor's pi shall pay Contractor up tited not later than 30 da by ARUESD as follows: per hour/ ays of services. ARUES	oon Contracto ys from the er (Check either	's submission of a id of the month in wi a, b, or c)  as may be requeste	properly documented nich the contract served ad by ARUESD, not	ed demand for payment vices were rendered, and to exceed a maximum o
X b	Other: \$3,000.0	0 (describe	rate agreeme	nt) 1000.00 to	be paid by Oc	tober 1,2018 and
		f 2000.00 by Feb		3.5 3.6		40755
BUDGET		1 2000.00 by Fel	Juary I,	2019		
FUND	DEPARTMENT	PROG/COUNTER	OBJECT	S AMOUNT	PROG. TITLE	BUS OFC
95	100	05020	5815	3,000.00	11355117155	

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



#### INDEPENDENT CONTRACTOR AGREEMENT

D: _D	IVISION C	F BUSINESS SEI	RVICES			CONTRACT NO	0
ROM:	Acade	mic Service	S	(Sc	hool/Dept.)	VENDOR NO.	
ROGR	AM MANA	GER: Rene S	Sanchez				
PA the	RTIES: The	ne Alum Rock Unio named Contractor	on Elementary School Di	istrict (ARUES	D), whose address is	2930 Gay Avenue, S	San Jose, CA 95127, and
Nar	me of Indiv	/idual/Company:	ACSA				
Add	dress: 10	029 J Street	#500	Ci	y: Sacramento	State: CA	Zip: 95814
SSI	N:		*	or Fe	d I.D. #:		
Mu	tually agr	ee and promise a	s follows:				
CO	NTRACT	TERM: start date	September 14,	2018	end date	lune 30, 2019	
	exhibits a	nd other documen	pe provided and expected tation if necessary: california School				orts). Attach proposals,
tra	aining f	or the First a	and Second Yea	r Adminis	trators.		
exp (Inv upo	ressed he voice) which approve a. Fee	erein, ARUESD s th shall be submit I of such demand Rate: \$ hours/da /ice.	ration of Contractor's present of the pay Contractor up ted not later than 30 day by ARUESD as follows:	on Contractor ys from the en (Check elther day of service SD may, but is	's submission of a d of the month in whi a, b, or c) as may be requested not obligated to, requested	oroperly documented the contract server by ARUESD, not used the maximum	ed demand for payment closs were rendered, and to exceed a maximum of number of hours/days of
BUE	DGET CO	DE:					
	JND C	DEPARTMENT 305	PROG/COUNTER 5020	ОВЈЕСТ 5815	\$ AMOUNT \$35,625.00	PROG. TITLE	BUS OFC

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



2018 SEP -6 PM 12: 35

To: BUSINESS OFFICE	Contract No.:		Vendor No.: _	
Academic Services address is 2930 Gay Avenue, San Jo	(School/Dept) and the Alu	m Rock Union Eleme	ntary School Distr	ict (ARUESD), whose
✓ MOU (negotiated Ag Exhibit B & C (Finger	reement) printing and TB Test)	MASTER CO	NTRACT PARTICII Proposal	PATION
Note: All Contracts over \$5,000 requ	ire pre-approval.			
* Use Independent Contractor Agree	ment (PUR-116) for uninco	orporated individuals or i	in the absent of neg	gotiated agreement.
Name of Individual/Company: Wes	stEd			
Address: 300 Lakeside Drive,	25th Floor	city: Oakland	State: CA	zip: 94612
Phone: (510) 302-4253		Email: kflynn@we	sted.org	
SSN:		Fed I.D. #:		
CONTRACT TERM: start datesS				
CONTRACTOR'S OBLIGATION: Description of services to be provided	: (Please attach proposals,	scope of work, and other d	ocumentation.)	
WestEd will continue work				e and
measure the effectiveness				
fourth grade mathematics in				
COMPENSATION: In consideration provisions expressed herein, ARUES for payment (Invoice) which shall be s were rendered, and upon approval of	D shall pay Contractor, up ubmitted not later than 30	oon Contractor's submis days from the end of t	sion of a properly on the month in which	documented demand
a. Fee Rate: \$	per	Not to Exceed	1	of services.
X b. Other: \$ No Cost to				
Describe other related costs:				
BUDGET CODE:				
7.45.00		Accountant Initials		
. arc. 1997 94		Accountant initials		
APPROVALS:				
ALUM ROCK UNION ELEMENT	ARY SCHOOL DISTRICT	- 1		0.19
Site/Department Administrator:	Lei	X	Date:	1-6-10
Director of Fiscal Services:			Date:	
Asst. Supt., of Business Services			Date:	
Superintendent:			Date:	

## RECEIVED



## ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

To: BUSINESS OFFICE Cor	ntract No Vendor No
Human Resources (School/Dant)	) and the Alum Rock Union Elementary School District (ARUESD), whose 127, and the following named Contractor wishes to enter a:
MOU (negotiated Agreement) Exhibit B & C (Fingerprinting and T	MASTER CONTRACT PARTICIPATION
Note: All Contracts over \$5,000 require pre-appr	roval.
* Use Independent Contractor Agreement (PUR-	116) for unincorporated individuals or in the absent of negotiated agreement
Name of Individual/Company: McPherson 8	& Jacobson, LLC - Han Rech
Address: 11725 Arbor St., Suite 220	City: Omaha State: NE Zip: 68144
Phone (402) 991-7031	Email_mail@macnjake.com
SSN:	Fed I.D. #: 47-0808812
CONTRACT TERM: start dates 09/14/2018	end date_06/30/2019
ADUCED and any	ctor's provision of services as described above, and subject to the payment of Contractor, upon Contractor's submission of a properly documented demand of later than 30 days from the end of the month in which the contract services and by ARUESD as follows: (Check either a or b)
X a. Fee Rate: \$ 6250 pe	Not to Exceed of services.
X b. Other: \$ Expenses including  Describe other related costs:	g advertising, consultant travel and office expenses
BUDGET CODE: 03-600-7700-0-5815	
	Accountant Initials
	Accountant Initials  Accountant Initials
APPROVALS:	
	Accountant Initials
	Accountant Initials
Site/Department Administrator: Cur / Director of Fiscal Services.	Accountant Initials  OOL DISTRICT:  Ballow (on behalf of Joss Serve Date: 9/6/2018  Date:
ALUM ROCK UNION ELEMENTARY SCHOOL Site/Department Administrator:	Accountant Initials  OOL DISTRICT:  Ballow for behalf of Joss Serve Date: 9/6/20(8



2018 SEP -6 PM 3: 10

#### INDEPENDENT CONTRACTOR AGREEMENT

	ON OF BUSINESS SEI	RVICES			CONTRACT NO	D
ом: <u>Ins</u> t	tructional Servi	ces	(Sch	ool/Dept.)	VENDOR NO.	
OGRAM M	ANAGER: Barbara	a Campbell				
	5: The Alum Rock Unioning named Contractor	on Elementary School Di	strict (ARUESI	)), whose address is	s 2930 Gay Avenue, S	San Jose, CA 95127, and
Name of	Individual/Company:	Conscious Tead	ching, LLC	;		
Address:	21 Crest Road	d	City	Fairfax	State: CA	Zip: <u>94930</u>
Phone: (	)		Em	ail Address: 20-2	986950	
SSN: _			or Fed	i I.D. #:		
	agree and promise a					
CONTRA	ACT TERM: start date	September 8, 2	2018	end date	January 26,20	19
					Let the second second	
		cusing on classro				
		cusing on classro g.				
COMPENEXPRESSE (Invoice) upon app	NSATION: In consider the desired the desired the desired the submit the desired the desire	g.  eration of Contractor's proceed that pay Contractor up ted not later than 30 day by ARUESD as follows:  per hour/ays of services. ARUES	rovision of service Contractor's from the end (Check either a day of service SD may, but is	rices as described as submission of a d of the month in will, b, or c) as may be requested not obligated to, re	above, and subject to properly documented hich the contract servi ed by ARUESD, not to equest the maximum r	the payment provisions d demand for payment ces were rendered, and o exceed a maximum o number of hours/days o
COMPENEXPRESSE (Invoice) upon app	NSATION: In consider the description of the descrip	g.  eration of Contractor's price of the contractor of the contrac	rovision of service Contractor's from the end (Check either a day of service SD may, but is	rices as described as submission of a d of the month in will, b, or c) as may be requested not obligated to, re	above, and subject to properly documented hich the contract servi ed by ARUESD, not to equest the maximum r	the payment provisions d demand for payment ces were rendered, and o exceed a maximum o number of hours/days o
COMPENEXPRESSE (Invoice) upon app	NSATION: In consider the description of the descrip	g.  eration of Contractor's probable pay Contractor up ted not later than 30 day by ARUESD as follows:  per hour/eays of services. ARUES  (describe)	rovision of service (Check either adday of service SD may, but is	rices as described as submission of a d of the month in will, b, or c) as may be requested not obligated to, rest	above, and subject to properly documented hich the contract servined by ARUESD, not to equest the maximum r	the payment provisions d demand for payment ices were rendered, and o exceed a maximum on number of hours/days o
COMPENEX expresse (Invoice) upon app	NSATION: In consider the description of the descrip	g.  eration of Contractor's proceed that pay Contractor up ted not later than 30 day by ARUESD as follows:  per hour/ays of services. ARUES	rovision of service Contractor's from the end (Check either a day of service SD may, but is	rices as described as submission of a d of the month in will, b, or c) as may be requested not obligated to, re	above, and subject to properly documented hich the contract servi ed by ARUESD, not to equest the maximum r	the payment provisions d demand for paymen ices were rendered, and o exceed a maximum o number of hours/days o

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

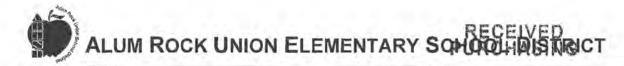


#### INDEPENDENT CONTRACTOR AGREEMENT

	VISION OF BUSINESS SE	RVICES			CONTRACT	VO
KOM:	Special Education	Department	(\$0	hool/Dept.)	VENDOR NO.	
ROGRA	AM MANAGER: Jean C	Ballagher				
PAR the f	RTIES: The Alum Rock Un following named Contracto	ion Elementary School E	District (ARUES	D), whose address	is 2930 Gay Avenue,	San Jose, CA 95127, and
Nam	ne of Individual/Company:	Safe & Civil Sc	hools			
Add	ress: P.O. Box 505	50	C	y: Eugene	State: O	R zip: 97405
	ne: (541 ) 245-14					
SSN	:		or Fe	d I.D.#:		
Muti	ually agree and promise	as follows:				
CON	TRACT TERM: start date	August 2018		end date	June 30, 2019	9
						4.4
	otivation" on 10/19	Party and the second se				nd Improve
COM expre (Involupon		eration of Contractor's pahali pay Contractor up ted not later than 30 da by ARUESD as follows: per hournays of services. ARUE	rovision of ser con Contractor lys from the en (Check either day of service SD may, but is	dices as described is submission of a d of the month in w a, b, or c) as may be request not obligated to, re	above, and subject to properly documented high the contract sensed ed by ARUESD, not equest the maximum	o the payment provisions ed demand for payment vices were rendered, and to exceed a maximum of number of hours/days of
COM expre (Involupon	ptivation" on 10/19  IPENSATION: In considerable passed herein, ARUESD since) which shall be submit approval of such demand  a. Fee Rate: \$	eration of Contractor's pahali pay Contractor up ted not later than 30 da by ARUESD as follows: per hournays of services. ARUE	rovision of ser con Contractor lys from the en (Check either day of service SD may, but is	dices as described is submission of a d of the month in w a, b, or c) as may be request not obligated to, re	above, and subject to properly documented high the contract sensed ed by ARUESD, not equest the maximum	o the payment provisions ed demand for payment vices were rendered, and to exceed a maximum of number of hours/days of
COM expre (Involupon	ptivation" on 10/19  IPENSATION: In considerable passed herein, ARUESD side) which shall be submit approval of such demand  a. Fee Rate: \$	eration of Contractor's pahali pay Contractor up ted not later than 30 da by ARUESD as follows: per hournays of services. ARUE	rovision of ser con Contractor lys from the en (Check either day of service SD may, but is	dices as described is submission of a d of the month in w a, b, or c) as may be request not obligated to, re	above, and subject to properly documented high the contract sensed ed by ARUESD, not equest the maximum	o the payment provisions ed demand for payment vices were rendered, and to exceed a maximum of number of hours/days of

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



### INDEPENDENT CONTRACTOR AGREEMENTAUS 16 PM 2: 34

PROGRAM MANAGER: Jean Gallagher  1. PARTIES: The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 9512 the following named Contractor:  Name of Individual/Company:The Speech Pathology Group  Address:2021 Ygnacio Valley Rd. #C-103	DIVISI	ON OF BUSINESS SE	RVICES			CONTRACT N	10
PARTIES: The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 9512: the following named Contractor:  Name of Individual/Company:	ROM: Sp	ecial Education	Department	(Sc	hool/Dept.)	VENDOR NO.	22414
Name of Individual/Company:	ROGRAM	MANAGER: Jean G	Sallagher				
Address: 2021 Ygnacio Valley Rd. #C-103				strict (ARUES	D), whose address	ls 2930 Gay Avenue,	San Jose, CA 95127, and
Phone: (925 ) 945-1474	Name of	Individual/Company:	The Speech Pa	thology G	Group	-16-	
Mutually agree and promise as follows:  CONTRACT TERM: start date August 2018 end date June 30, 2019  CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, materials, and/or reports:  A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach property exhibits and other documentation if necessary:  Professional Development for Speech Therapists "Evaluation and Eligibility Determination for English Learners".  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment proviex pressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for pay (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered upon approval of such demand by ARUESD as follows: (Check either a, b, or c)  a. Fee Rate: \$ per hour/day of service as may be requested by ARUESD, not to exceed a maximum number of hours/day service.  X. b. Other: \$850.00 (describe rate agreement)  BUDGET CODE:  FUND DEPARTMENT PROG/COUNTER OBJECT \$AMOUNT PROG. TITLE BUS OFC	Address	: 2021 Ygnacio	Valley Rd. #C-1	03 ci	ty: Walnut Cre	eek state: C/	A zip: 94598
Mutually agree and promise as follows:  CONTRACT TERM: start date August 2018 end date June 30, 2019  CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, materials, and/or reports:  A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach property exhibits and other documentation if necessary:  Professional Development for Speech Therapists "Evaluation and Eligibility Determination for English Learners".  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment province expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for pay (Involce) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered upon approval of such demand by ARUESD as follows: (Check either a, b, or c) a. Fee Rate: \$	Phone:	(925 ) 945-147	74	Er	mail Address:		
CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, materials, and/or reports:  A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach property exhibits and other documentation if necessary:  Professional Development for Speech Therapists "Evaluation and Eligibility Determination for English Learners".  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment providex pressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for pay (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered upon approval of such demand by ARUESD as follows: (Check either a, b, or c)  a. Fee Rate: \$ per hour/day of service as may be requested by ARUESD, not to exceed a maximum hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/day service.  X b. Other: \$850.00 (describe rate agreement)	SSN: _		1	or Fe	d I.D. #. 94-329	0122	
CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, materials, and/or reports:  A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach propershibits and other documentation if necessary:  Professional Development for Speech Therapists "Evaluation and Eligibility Determination for English Learners".  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provice expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for pay (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered upon approval of such demand by ARUESD as follows: (Check either a, b, or c)  a. Fee Rate: \$\frac{1}{2}\$ per hour/day of service as may be requested by ARUESD, not to exceed a maximum hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/day service.  X b. Other: \$850.00 (describe rate agreement)  BUDGET CODE:  FUND DEPARTMENT PROG/COUNTER OBJECT \$AMOUNT PROG.TITLE BUS OFC	Mutually	agree and promise a	as follows:				
A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach property exhibits and other documentation if necessary:  Professional Development for Speech Therapists "Evaluation and Eligibility Determination for English Learners".  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provious expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for pay (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered upon approval of such demand by ARUESD as follows: (Check either a, b, or c)  a. Fee Rate: \$	CONTR	ACT TERM: start date	August 2018		end date	June 30, 2019	)
COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment proview expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for pay (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered upon approval of such demand by ARUESD as follows: (Check either a, b, or c) a. Fee Rate: \$per hour/day of service as may be requested by ARUESD, not to exceed a maximum hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/day service.  Xb. Other: \$850.00(describe rate agreement)	Profe	offs and other documer	ntation if necessary:  oment for Speech	n Therapi	sts "Evaluatio		
hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days service.  X b. Other: \$850.00 (describe rate agreement)  BUDGET CODE:  FUND DEPARTMENT PROG/COUNTER OBJECT \$AMOUNT PROG. TITLE BUS OFC	COMPE expresse (Invoice)	NSATION: In consider the ded herein, ARUESD s which shall be submit	eration of Contractor's pr Shall pay Contractor up- ted not later than 30 day	ovision of ser on Contractor is from the en	vices as described 's submission of a	properly documente	ed demand for payment
BUDGET CODE:  FUND DEPARTMENT PROG/COUNTER OBJECT \$ AMOUNT PROG. TITLE BUS OFC		service. hours/d	ays of services. ARUES	D may, but is	s not obligated to, re	equest the maximum	number of hours/days of
FUND DEPARTMENT PROG/COUNTER OBJECT \$ AMOUNT PROG. TITLE BUS OFC	<u>X</u> b.	Other: \$850.00	(describe	rate agreeme	nt)		
FUND DEPARTMENT PROG/COUNTER OBJECT \$ AMOUNT PROG. TITLE BUS OFC	BUDGET	CODE:			-		
03 305 5020-0 5815 850.00			PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
	03	305	5020-0	5815	850.00		

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

DUSINESS OFFICE Contract N	No,; Vendor No,;
- tel Education	the Alim Rock Union Flementary School District (ARUESD), whose
Idress is 2930 Gay Avenue, San Jose, CA 95127, an	na the following harried contractor wishes to allow
MOU (negotiated Agreement)	✓ MASTER CONTRACT PARTICIPATION
Exhibit B & C (Fingerprinting and TB Test	Scope of Work/Proposal
ote: All Contracts over \$5,000 require pre-approval.	
Use Independent Contractor Agreement (PUR-116) for	or unincorporated individuals or in the absent of negotiated agreement.
Spectrum School	
ddress: 16360 San Pablo Ave	City: San Pablo State: Ca Zip: 5-4000
510 741-2729	Email:
	Fed I D #:
sn:luly 1 2018	end date June 30, 2019
ONTRACT TERM: start dates duty 1, 2010	
contractor's obligation: Description of services to be provided: (Please attach p Tuition related cost for non public schoo	ol for students residing in Alum Rock.
Tuition related cost for non public school  COMPENSATION: In consideration of Contractor's provisions expressed herein, ARUESD shall pay Contractor and Incompany approval of such demand by the provision of the contractor and upon approval of such demand by the contractor and upon approval of such demand by	provision of services as described above, and subject to the payme tractor, upon Contractor's submission of a properly documented demander than 30 days from the end of the month in which the contract service y ARUESD as follows: (Check either a or b)
Tuition related cost for non public school  COMPENSATION: In consideration of Contractor's provisions expressed herein, ARUESD shall pay Contractor payment (Invoice) which shall be submitted not late were rendered, and upon approval of such demand by the submitted pay Contractor's payment (Invoice) which shall be submitted not late were rendered, and upon approval of such demand by the submitted payment (Invoice) which shall be submitted not late were rendered, and upon approval of such demand by the submitted payment (Invoice) which shall be submitted not late were rendered, and upon approval of such demand by the submitted payment (Invoice) which shall be submitted not late were rendered, and upon approval of such demand by the submitted payment (Invoice) which shall be submitted not late were rendered.	provision of services as described above, and subject to the payme tractor, upon Contractor's submission of a properly documented demander than 30 days from the end of the month in which the contract service y ARUESD as follows: (Check either a or b)  Not to Exceed 210 days of services.
COMPENSATION: In consideration of Contractor's convisions expressed herein, ARUESD shall pay Contractor payment (Invoice) which shall be submitted not late were rendered, and upon approval of such demand by   X a. Fee Rate: \$246.00 per da  X b. Other: \$\$42,132 + 51,660 = 98	provision of services as described above, and subject to the payme stractor, upon Contractor's submission of a properly documented demander than 30 days from the end of the month in which the contract service y ARUESD as follows: (Check either a or b)  Not to Exceed 210 days of services.
COMPENSATION: In consideration of Contractor's convisions expressed herein, ARUESD shall pay Contractor payment (Invoice) which shall be submitted not late were rendered, and upon approval of such demand by   X a. Fee Rate: \$246.00 per da  X b. Other: \$\$42,132 + 51,660 = 98	provision of services as described above, and subject to the payme tractor, upon Contractor's submission of a properly documented demarker than 30 days from the end of the month in which the contract service y ARUESD as follows: (Check either a or b)  Not to Exceed 210 days of services.
COMPENSATION: In consideration of Contractor's provisions expressed herein, ARUESD shall pay Contractor rendered, and upon approval of such demand by the contractor of the contractor of the contractor of payment (Invoice) which shall be submitted not late overe rendered, and upon approval of such demand by the contractor of the contractor's contractor of payment (Invoice) which shall be submitted not late overe rendered, and upon approval of such demand by the contractor of t	provision of services as described above, and subject to the payme tractor, upon Contractor's submission of a properly documented demander than 30 days from the end of the month in which the contract service y ARUESD as follows: (Check either a or b)  Not to Exceed 210 days of services.  3,792
COMPENSATION: In consideration of Contractor's convisions expressed herein, ARUESD shall pay Contractor payment (Invoice) which shall be submitted not late were rendered, and upon approval of such demand by   X a. Fee Rate: \$246.00 per da  X b. Other: \$\$42,132 + 51,660 = 98	provision of services as described above, and subject to the payme tractor, upon Contractor's submission of a properly documented demander than 30 days from the end of the month in which the contract service y ARUESD as follows: (Check either a or b)  Not to Exceed 210 days of services.
COMPENSATION: In consideration of Contractor's provisions expressed herein, ARUESD shall pay Contractor rendered, and upon approval of such demand by the contractor of the contractor of the contractor of payment (Invoice) which shall be submitted not late overe rendered, and upon approval of such demand by the contractor of the contractor's contractor of payment (Invoice) which shall be submitted not late overe rendered, and upon approval of such demand by the contractor of t	provision of services as described above, and subject to the payme tractor, upon Contractor's submission of a properly documented demander than 30 days from the end of the month in which the contract service y ARUESD as follows: (Check either a or b)  Not to Exceed 210 days of services.  3,792  Accountant Initials
Tuition related cost for non public school Tuition related cost for non public school COMPENSATION: In consideration of Contractor's provisions expressed herein, ARUESD shall pay Confor payment (Invoice) which shall be submitted not late overe rendered, and upon approval of such demand by X a. Fee Rate: \$246.00 per da X b. Other: \$42,132 + 51,660 = 90 Describe other related costs: 1:1 aide, speech abunder CODE: 08-380-1820-0-5100	provision of services as described above, and subject to the payme tractor, upon Contractor's submission of a properly documented demander than 30 days from the end of the month in which the contract service y ARUESD as follows: (Check either a or b)  Not to Exceed 210 days of services.  3,792
COMPENSATION: In consideration of Contractor's provisions expressed herein, ARUESD shall pay Contractor and upon approval of such demand by a series of the	provision of services as described above, and subject to the payme tractor, upon Contractor's submission of a properly documented demander than 30 days from the end of the month in which the contract service y ARUESD as follows: (Check either a or b)  Not to Exceed 210 days of services.  3,792  Accountant Initials  Accountant Initials
Tuition related cost for non public school Tuition related cost for non public school COMPENSATION: In consideration of Contractor's provisions expressed herein, ARUESD shall pay Confor payment (Invoice) which shall be submitted not late overe rendered, and upon approval of such demand by X a. Fee Rate: \$246.00 per da X b. Other: \$42,132 + 51,660 = 90 Describe other related costs: 1:1 aide, speech abunder CODE: 08-380-1820-0-5100	provision of services as described above, and subject to the payme tractor, upon Contractor's submission of a properly documented demarker than 30 days from the end of the month in which the contract service y ARUESD as follows: (Check either a or b)  Not to Exceed 210 days of services.  3,792  Accountant initials  Accountant initials
COMPENSATION: In consideration of Contractor's provisions expressed herein, ARUESD shall pay Contractor and upon approval of such demand by a series of the	provision of services as described above, and subject to the payme tractor, upon Contractor's submission of a properly documented demander than 30 days from the end of the month in which the contract service y ARUESD as follows: (Check either a or b)  Not to Exceed 210 days of services.  3,792  & OT  Accountant Initials  Accountant Initials  Date: 5-23-18
COMPENSATION: In consideration of Contractor's provisions expressed herein, ARUESD shall pay Contractor payment (Invoice) which shall be submitted not late were rendered, and upon approval of such demand by   X a. Fee Rate: \$246.00 per da  X b. Other: \$42,132 + 51,660 = 9  Describe other related costs: 1:1 aide, speech approval of Such demand by Approvals:  BUDGET CODE: 08-380-1820-0-5100	provision of services as described above, and subject to the payme tractor, upon Contractor's submission of a properly documented demander than 30 days from the end of the month in which the contract service y ARUESD as follows: (Check either a or b)  Not to Exceed 210 days of services.  3,792  & OT  Accountant Initials  Accountant Initials  Date: 5-23-18
Tuition related cost for non public school  COMPENSATION: In consideration of Contractor's provisions expressed herein, ARUESD shall pay Control payment (Invoice) which shall be submitted not late over rendered, and upon approval of such demand by   X a. Fee Rate: \$246.00 per da  X b. Other: \$42,132 + 51,660 = 9  Describe other related costs: 1:1 aide, speech of the speech of t	provision of services as described above, and subject to the payme tractor, upon Contractor's submission of a properly documented demander than 30 days from the end of the month in which the contract service y ARUESD as follows: (Check either a or b)  Not to Exceed 210 days of services.  3,792  Accountant Initials  Accountant Initials  Date: 5-23-18



## ALUM ROCK UNION ELEMENTARY STEP STRICT

### PURCHASE ORDER / CONTRACT ADJUSTMENT PH 4: 26

P.O. No.			Contract I	No. C181904	5	_ Contract Ori	ginal Amount: \$4,000
/endor N	ame: Vi	sta Center fo	r the Blind &	VI v	endor No	21032	
lease ch	eck:						
		Cancel P.O. / Co	ntract				
	0	hange Item No.	:				
5-	Ir	ncrease Amount	Line Item No.:			CONTRA	ACTS ONLY
-		ecrease Amour	t Line Item No.:		Change Or	ders:	
-	A	dd Line No.: _	_		Contracts C	Original Amount	\$4,000
		elete Line No.:	-		Change Ord	der #: 1	\$8,750
-	C	hange org key/o	object code to:	_	Change Ord	der #:	\$
		hange Vendor I			Change Ord	der #:	\$
		dd Freight: \$dd Tax:			New Contra	act Total	<sub>\$</sub> 12,750
-		isencumber	/0			ange Orders)	Ψ
		hange Order No	, 3				
		ther					
15							
ine #	FUND	LOCATION	PROGRAM	ACCOUNT	\$ AMO	OUNT AC	countant Signature & Date
Y	08	380	1801-H	5815	+8,750		
		3.1					
onen fo	r Adiustm	ant: A new st	udent with or	ientation and	mobility n	eeds is added	d to the contract.
ason to	Aojusun	ent. Triveri at	and the time of	ioniación ana	inosmity in	0000 10 00000	10 110 001111001
							*
						100000	1.2.6
	ept.: Sp	ecial Educati	on	R	equested by	LeAnne De	pew
chool/D			1	As	sistant Supe	erintendent of Bu	usiness Services
					The second second		
	_	Afor Copt		10<			
		A ROB Com	acts Only	125 pr	ogram Mana	ager	
		A GOOD COUNTY					
pproval		HUL	estroj		urchasing Ma	anager	

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of \$15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

## INDEPENDENT CONTRACTOR AGREEMENT

	ON OF BUSINESS SE	RVICES			CONTRACT	NO
ROM: Sta	ate & Federal P	rograms	(Sc	hool/Dept.)	VENDOR NO.	
ROGRAM	MANAGER: Sandra	Garcia				
. PARTIE	S: The Alum Rock Uni wing named Contracto	on Elementary School D	istrict (ARUES	D), whose address	is 2930 Gay Avenue,	San Jose, CA 95127, and
Name o	f Individual/Company:	Big Brothers Bi	g Sisters	of the Bay Ar	ea	
Address	65 Battery St	reet	Cit	y: San Franc	cisco state: C	A zip: 94111
Phone:	(415) 503-403	35	En	nail Address: cba	rron@bbbsba.c	org
SSN: _			or Fe	d I.D. #:		
Mutuali	y agree and promise a	as follows:				
CONTR	ACT TERM: start date	September 13,	2018	end dat	e September 13	3, 2019
A. Desc exhit BBBS	s, and/or reports: cription of services to loits and other documer S BA will provide	be provided and expected thation if necessary:  e two trainings a provide access tollege access.	ed results (e.g	, services, materia	als, products and/or re	
200010		-intelligence.		developmen	t and permaner	events that ncy including
compete expresse (Invoice) upon app	ed herein, ARUESD s which shall be submit proval of such demand Fee Rate: \$	eration of Contractor's probable pay Contractor up ted not later than 30 day by ARUESD as follows: per hour/lays of services. ARUESD	rovision of sent on Contractor ys from the en (Check either a day of service SD may, but is	vices as described is submission of d of the month in va. b, or c) as may be reques not obligated to,	above, and subject to a properly documents which the contract sen sted by ARUESD, not request the maximum t no cost to AR	o the payment provisions and demand for payment vices were rendered, and to exceed a maximum of number of hours/days of USD in the
compete expresse (Invoice) upon app	ed herein, ARUESD s which shall be submit proval of such demand  Fee Rate: \$hours/def service.  Other: \$"NO CC	eration of Contractor's probable pay Contractor up ted not later than 30 day by ARUESD as follows: per hour/lays of services. ARUESD	rovision of sent on Contractor ys from the en (Check either a day of service SD may, but is	vices as described is submission of d of the month in va. b, or c) as may be reques not obligated to,	above, and subject to a properly documents which the contract sen sted by ARUESD, not request the maximum	o the payment provisions and demand for payment vices were rendered, and to exceed a maximum of number of hours/days of USD in the
COMPEI expresse (Invoice) upon app	ed herein, ARUESD s which shall be submit proval of such demand  Fee Rate: \$hours/def service.  Other: \$"NO CC	eration of Contractor's probable pay Contractor up ted not later than 30 day by ARUESD as follows: per hour/lays of services. ARUESD	rovision of sent on Contractor ys from the en (Check either a day of service SD may, but is	vices as described is submission of d of the month in va. b, or c) as may be reques not obligated to,	above, and subject to a properly documents which the contract sen sted by ARUESD, not request the maximum t no cost to AR	o the payment provisions and demand for payment vices were rendered, and to exceed a maximum of number of hours/days of USD in the

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

### INDEPENDENT CONTRACTOR AGREEMENT

DIVISIO	N OF BUSINESS SEI	RVICES			CONTRACT	10,
om: Sta	te & Federal P	rograms	(Sc	haol/Dept.)	VENDOR NO.	
OGRAM M	ANAGER: Sandra	Garcia				
	: The Alum Rock Union		istrict (ARUES	D), whose address i	s 2930 Gay Avenue,	San Jose, CA 95127, an
Name of	Individual/Company:	The Future Tale	ent of Silic	on Valley DB	A The First Te	e of Silicon Valle
Address:	1922 The Alai	meda Suite 214	CI	ty: San Jose	State: C/	A zip: 95126
Phone: [	408 ) 288-293	37	En	nail Address: chris	@TFTSV.org	
		2278				
Mutually	agree and promise a	s follows:				
CONTRA	CT TERM: start date	September 13,	2018	end date	September 13	3, 2019
products,  A. Descr	and/or reports: iption of services to b					
A. Descr exhibit	and/or reports: iption of services to be ts and other document irst Tee Drive is	pe provided and expecte tation if necessary; s a set of 18 golf	ed results (e.g	s services, materials	i, products and/or rej	ports). Attach proposals
A. Descrexhibith The Five To Selection (Invoice) upon apprent a.	and/or reports: iption of services to be ts and other document irst Tee Drive is s. Life Skills, ar rve Aptitud @C  SATION: In conside I herein, ARUESD s which shall be submitt oval of such demand if Fee Rate: \$	be provided and expected tation if necessary:  S a set of 18 gold and Healthy Habit Boss, San Anton ration of Contractor's properties of the provided not later than 30 day by ARUESD as follows:  per hour/eys of services. ARUES	f activity p  s. Drive ( io, and ot)  rovision of ser on Contractor ys from the en (Check either day of service BD may, but is	curriculum, Transcription of a described as described as described as described as described as described as may be requested as may be requested as not obligated to, results.	to expose sturaining, Supportionals.  above, and subject to properly documents high the contract send by ARUESD, not equest the maximum no cost to AR	orts). Attach proposals Idents to Core Int, and Delivery.  The payment provisions and demand for payment Ideas were rendered, and to exceed a maximum of number of hours/days of USD in the
A. Descrexhibit The Five Values To Set  COMPEN expressed (Invoice) vupon appril a.  X b.	and/or reports: iption of services to be the and other document inst Tee Drive is: a. Life Skills, arrve Aptitud @C  SATION: In consider the the shall be submitted oval of such demand to the submitted oval of such demands oval of submitted oval of such demands oval of submitted oval of	be provided and expected tation if necessary:  S a set of 18 gold and Healthy Habit Boss, San Anton ration of Contractor's properties of the provided not later than 30 day by ARUESD as follows:  per hour/eys of services. ARUES	f activity p  s. Drive ( io, and ot)  rovision of ser on Contractor ys from the en (Check either day of service BD may, but is	curriculum, Transcription of a described as described as described as described as described as described as may be requested as may be requested as not obligated to, results.	to expose sturaining, Suppochools.  above, and subject to properly documents high the contract send by ARUESD, not equest the maximum	orts). Attach proposals  Idents to Core  Ident
A. Descrexhibith The Five To Selection (Invoice) (upon approximate)  X b. BUDGET	and/or reports: iption of services to be the and other document inst Tee Drive is a. Life Skills, arrve Aptitud @C  SATION: In consider the the interest, ARUESD is which shall be submitted oval of such demand the service.  Other: \$"NO CO	pe provided and expected tation if necessary:  Is a set of 18 gold and Healthy Habit Boss, San Anton realton of Contractor's properties of the pay Contractor up the pay Contractor up the pay ARUESD as follows:	f activity p s. Drive ( io, and ot rovision of ser on Contractor ys from the en (Check either day of service ED may, but is	lans designed Curriculum, Tr her ARUSD so vices as described a do of the month in what, b, or c) as may be requested in the control of the co	to expose sturaling, Supportions.  above, and subject to properly documente hich the contract send by ARUESD, not request the maximum no cost to AR months of the	orts). Attach proposals adents to Core  rt, and Delivery.  the payment provisions ad demand for payment vices were rendered, and to exceed a maximum or number of hours/days of USD in the program.
A. Descrexhibit The Five Values To Set  COMPEN expressed (Invoice) vupon appril a.  X b.	and/or reports: iption of services to be the and other document inst Tee Drive is: a. Life Skills, arrve Aptitud @C  SATION: In consider the the shall be submitted oval of such demand to the submitted oval of such demands oval of submitted oval of such demands oval of submitted oval of	be provided and expected tation if necessary:  S a set of 18 gold and Healthy Habit Boss, San Anton ration of Contractor's properties of the provided not later than 30 day by ARUESD as follows:  per hour/eys of services. ARUES	f activity p  s. Drive ( io, and ot)  rovision of ser on Contractor ys from the en (Check either day of service BD may, but is	curriculum, Transcription of a described as described as described as described as described as described as may be requested as may be requested as not obligated to, results.	to expose sturaining, Supportionals.  above, and subject to properly documents high the contract send by ARUESD, not equest the maximum no cost to AR	orts). Attach proposals  Idents to Core  Ident

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

Rev. 07/18



#### INDEPENDENT CONTRACTOR AGREEMENT

O: DIVIS	ON OF BUSINÉSS SE	RVICES			CONTRACT N	
ROM: St	ate & Federal		(Sch	ool/Dept.)	VENDOR NO.	17569
ROGRAM	MANAGER: Sandra	Garcia				
	S: The Alum Rock Union wing named Contractor		istrict (ARUESD	)), whose address is	2930 Gay Avenue,	San Jose, CA 95127, and
Name o	f Individual/Company:	Grail Family Se	rvices			
Address	2003 E San A	ntonio	City	San Jose	State: C/	A zip: 95116
Phone:	(408 ) 347-789	2	Ema	ail Address:		
SSN:			or Fed	I.D.#: 77-0397	7354	
Mutual	y agree and promise a	s follows:				
CONTR	ACT TERM: start date	September 14,	2018	end date_	June 30, 201	9
product	s, and/or reports:					owing services, materials ports). Attach proposals
A. Des exh Pare initia	s, and/or reports: cription of services to to bits and other documen  nt education about tive is designed	pe provided and expected talion if necessary:	ed results (e.g. of reading t eek paren	services, materials,	products and/or red	ports). Attach proposals
Pare initia and S	cription of services to bits and other document education aboutive is designed.  San Antonio Ele  ENSATION: In consider the designed herein, ARUESD is which shall be submit proval of such demand.  Fee Rate: Shours/designed.	to provided and expected tation if necessary:  to provide 2-8 w mentary Schools  ration of Contractor's provided pay Contractor up ted not later than 30 day by ARUESD as follows:	ed results (e.g. of reading to reek parents.  revision of service results from the end (Check either a day of service a SD may, but is	services, materials, to improve stute ducation services as described as submission of a d of the month in who, b, or c) as may be requested not obligated to, reconstructions.	products and/or red dents' reading essions to par bove, and subject to properly documents ich the contract sen d by ARUESD, not quest the maximum	ports). Attach proposals g abilities. The ents at Dorsa  o the payment provisions ed demand for payment vices were rendered, and to exceed a maximum o number of hours/days o
Pare initia and sexpress (Invoice upon ap	cription of services to be bits and other document education about tive is designed. San Antonio Electronic El	pe provided and expected tation if necessary:  Dut importance of to provide 2-8 with mentary Schools ration of Contractor's provided not later than 30 day by ARUESD as follows:  per hout/ways of services. ARUES	ed results (e.g. of reading to reek parents.  revision of service results from the end (Check either a day of service a SD may, but is	services, materials, to improve stute ducation services as described as submission of a d of the month in who, b, or c) as may be requested not obligated to, reconstructions.	products and/or red dents' reading essions to par bove, and subject to properly documents ich the contract sen d by ARUESD, not quest the maximum	ports). Attach proposals g abilities. The ents at Dorsa  o the payment provisions ed demand for payment vices were rendered, and to exceed a maximum o number of hours/days o
Pare initia and sexpress (Invoice upon ap	cription of services to bits and other document education about tive is designed. San Antonio Electrical England San England S	pe provided and expected tation if necessary:  Dut importance of to provide 2-8 with mentary Schools ration of Contractor's provided not later than 30 day by ARUESD as follows:  per hout/ways of services. ARUES	ed results (e.g. of reading to reek parents.  revision of service results from the end (Check either a day of service a SD may, but is	services, materials, to improve stute ducation services as described as submission of a d of the month in who, b, or c) as may be requested not obligated to, reconstructions.	products and/or red dents' reading essions to par bove, and subject to properly documents ich the contract sen d by ARUESD, not quest the maximum	ports). Attach proposals g abilities. The ents at Dorsa  o the payment provisions ed demand for payment vices were rendered, and to exceed a maximum o number of hours/days o

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



#### INDEPENDENT CONTRACTOR AGREEMENT

OGRAM MAN, PARTIES: T the following Name of Indi	he Alum Rock Unio named Contractor	on Elementary School D		//Dept.)	VENDOR NO.	00000
PARTIES: T the following Name of Indi	he Alum Rock Unio named Contractor	n Elementary School D				22390
PARTIES: T the following Name of Indi	he Alum Rock Unio named Contractor	n Elementary School D				
	ividual/Company:			whose address is	s 2930 Gay Avenue,	San Jose, GA 95127, a
-	The state of the s	Panorama Edu	cation, Inc.		1	
Address: 2	4 School Str	eet, Suite 4	City;	Boston	State: M/	A Zip: <u>02108</u>
				W		
SSN:			or Fed I.	D. #:		
Mutually age	ree and promise a	s follows:				
CONTRACT	TERM: start date	09/14/2018		end date	09/14/2019	
	ter District-wi	de surveys of fa	mily, staff a	nd students	of Alum Rock	Union
	ary concord	ISTRICT.				
expressed h (Invoice) whice upon approva a. Fee	TION: In consider erein, ARUESD sich shall be submitted at of such demand the Rate:  Be Rate:  hours/datvice.	ration of Contractor's p nall pay Contractor up and not later than 30 da by ARUESD as follows:	on Contractor's ys from the end of (Check either a, b day of service as SD may, but is no	submission of a f the month in wi , or c) may be requeste t obligated to, re	properly documented the contract served by ARUESD, not equest the maximum	o the payment provision the payment for payment demand for payment provides were rendered, a to exceed a maximum number of hours/days
expressed h (Invoice) whice upon approva  a. Fee ser  X b. Oth	TION: In consider erein, ARUESD sich shall be submitted of such demand be Rate: \$	ration of Contractor's penall pay Contractor uped not later than 30 day ARUESD as follows:	on Contractor's ys from the end of (Check either a, b day of service as SD may, but is no	submission of a f the month in wi , or c) may be requeste t obligated to, re	properly documented the contract served by ARUESD, not equest the maximum	o the payment provision the payment for payment demand for payment provides were rendered, and to exceed a maximum number of hours/days
expressed h (Invoice) whice upon approva  a. Fee ser  X b. Ott  BUDGET CO	TION: In consider erein, ARUESD sich shall be submitted of such demand be Rate: \$	ration of Contractor's penall pay Contractor uped not later than 30 day ARUESD as follows:	on Contractor's ys from the end of (Check either a, body of service as SD may, but is no rate agreement)	submission of a f the month in wi , or c) may be requeste t obligated to, re	properly documented the contract served by ARUESD, not equest the maximum	the payment provision the payment for payment demand for payment provides were rendered, and to exceed a maximum number of hours/days

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



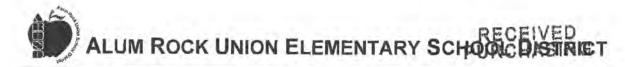
INDEPENDENT CONTRACTOR AGREEMENT 2018 AUG 35 AM 9: 11

	VISION OF BUSINESS SERVI	CES			CONTRACT	NO
ROM:	Student Services		(Sch	nool/Dept.)	VENDOR NO	v
ROGR	AM MANAGER: Norma Flo	ores				
PAI the	RTIES: The Alum Rock Union E following named Contractor:	Elementary School D	istrict (ARUESI	D), whose address is	s 2930 Gay Avenue,	San Jose, CA 95127, and
Nan	ne of Individual/Company: As	sians America	ans for Cor	mmunity Invol	vement (AAC	1)
Add	ress: 2400 Moorpark A	Ave. Suite 300	City	San Jose	State: C	A zip: 95128
Pho	ne: ()	11.111.000	Em	ail Address:	91.79	
SSN	t:		or Fed	d I.D. #:		
	ually agree and promise as fo					
CON	NTRACT TERM: start date 9/	14/18		end date	6/30/18 19	
6	Description of services to be p exhibits and other documentation	n if necessary.				
Su	Description of services to be pexhibits and other documentation became became became became became became became became abuse prevenues. All mater ough AACI. All mater	n if necessary ention through	lunch club	os at specific		
thr convexpre (Invo	DSTANCE abuse preversibilities and other documentation between the cough AACI. All mater ough ARUESD shall be submitted in approval of such demand by AACI. All mater ough approval of such demand by AACI. All mater ough and the cough approval of such demand by AACI. All mater ough and the cough approval of such demand by AACI. All mater ough approval of such demand by AACI. All mater ough approval of such demands ough approval of such acid and the cough approval of such acid and the cough approval of such acid and the cough acid acid acid acid acid acid acid acid	n if necessary ention through rials are provid n of Contractor's pr pay Contractor up not later than 30 day RUESD as follows:	ded by AA  rovision of service a (Check either a day of service a ED may, but is	ices as described as submission of a l of the month in who, b, or c)	bove, and subject to properly documente ich the contract send by ARUESD, not quest the maximum	o the payment provisions and demand for payment vices were rendered, and
thr convexpre	IPENSATION: In consideration essed herein, ARUESD shall lice) which shall be submitted in approval of such demand by A a. Fee Rate: \$0 hours/days conservice.    b. Other: \$0	n if necessary ention through rials are provid n of Contractor's pr pay Contractor up not later than 30 day RUESD as follows:	ded by AA  rovision of service a (Check either a day of service a ED may, but is	ices as described as submission of a l of the month in who, b, or c)	bove, and subject to properly documente ich the contract send by ARUESD, not quest the maximum	o the payment provisions ed demand for payment vices were rendered, and
thr convexpre	pexhibits and other documentation betance abuse preversion ough AACI. All mater ough AACI. Al	n if necessary ention through rials are provid n of Contractor's pr pay Contractor up not later than 30 day RUESD as follows:  per hour/of services: ARUES  (describe	ded by AA  rovision of service on Contractor's ys from the end (Check either a day of service a D may, but is	ices as described as submission of a of the month in who, b, or c) as may be requested not obligated to, recomply No Cost to [	bove, and subject to properly documente ich the contract send by ARUESD, not quest the maximum	o the payment provisions ed demand for payment vices were rendered, and to exceed a maximum of number of hours/days of
thr COM expre (Invo	pexhibits and other documentation betance abuse preversion ough AACI. All mater ough AACI. Al	n if necessary ention through rials are provid n of Contractor's pr pay Contractor up not later than 30 day RUESD as follows:	ded by AA  rovision of service a (Check either a day of service a ED may, but is	ices as described as submission of a l of the month in who, b, or c)	bove, and subject to properly documente ich the contract send by ARUESD, not quest the maximum	o the payment provisions and demand for payment vices were rendered, and

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

2018 AUG 16 PM 2: 41

To: BUSINESS OFFICE	_ Contract No.:		Vendor No.:	1 -
Student Services address is 2930 Gay Avenue, San	_ (School/Dept) and the Alu Jose, CA 95127, and the fol	m Rock Union Eleme	ntary School Distor wishes to ente	trict (ARUESD), whose
	Agreement) erprinting and TB Test)	MASTER CO	NTRACT PARTIC	EPATION
Note: All Contracts over \$5,000 re	quire pre-approval.			
* Use Independent Contractor Agn	sement (PUR-116) for uninco	rporated individuals or i	n the absent of ne	agotiated agreement
Name of Individual/Company: As	sistance League of S	an Jose-Operatio	n School Bell	
		TO THE PERSON NAMED IN COLUMN TO THE	and the second	- Eb. o.A
SSN:				
CONTRACT TERM: start dates	100 CO. 100 CO	A best and the	PETERSON AND ADDRESS.	
Lyndale, McCollam, Meye COMPENSATION: In consideration provisions expressed herein, ARUE for payment (Invoice) which shall be were rendered, and upon approval	on of Contractor's provision ESD shall pay Contractor, up submitted not later than 30	of services as describ on Contractor's submis days from the end of the	ed above, and si sion of a properly ne month in which	ubject to the payment
a. Fee Rate: \$	per	Not to Exceed		of services.
X b. Other: \$0	44	12 5 10 6 17 15.		
Describe other related costs: No				
BUDGET CODE:				
BODGET CODE:		Accountant Initials		
-		Accountant Initials		
APPROVALS:	Α.			
ALUM ROCK UNION ELEMEN	TARY SOMOOL DISTRICT			1.1.1
Site/Department Administrator:	Num Fly	la .	Date:	5113118
Director of Fiscal Services:	τ,	7	Date:	
Asst. Supt., of Business Service	es:		Date:	
Superintendent			Data	



### INDEPENDENT CONTRACTOR AGREEMENTS AUG 16 PM 2: 48

DIVISI	ON OF BUSINESS SE	RVICES			CONTRACT	VO
ROM: Stu	udent Services		(S	chool/Dept.)	VENDOR NO.	
ROGRAM	MANAGER: Norma	Flores				
PARTIE the follo	S: The Alum Rock Un wing named Contracto	ion Elementary School D	District (ARUES	SD), whose address	is 2930 Gay Avenue,	San Jose, CA 95127, an
Name o	f Individual/Company:	Assistance Lea	gue of Sa	an Jose		
Address	PO Box 2017	4		ty: San Jose	State: C	A zip: <u>95160</u>
Phone:	(408-504-6407		E	mail Address: glori	a.cutshall@ya	hoo.com
SSN: _			or F	ed I.D. #: 77-003	33914	
Mutually	y agree and promise	as follows:				
CONTR	ACT TERM: start date	September 14,	2018	end date	June 30, 2021	2
Maste	oits and other docume	ntation if necessary:	aders" Ph	ilanthropic Pr	ogram. Assista	ports). Attach proposals
		their own. All boo				
expresse (Invoice) upon app	roval of such demand  Fee Rate: \$ 0  service.	eration of Contractor's p shall pay Contractor up ted not later than 30 da by ARUESD as follows: per hour/ ays of services. ARUES	oon Contracto ys from the er (Check either day of service SD may, but i	r's submission of a ad of the month in w a, b, or c) as may be request s not obligated to, n	ed by ARUESD, not equest the maximum	ed demand for paymen rices were rendered, and to exceed a maximum of
X_b.	Other: \$0	(describe	rate agreeme	nt) No cost to	district	
BUDGET		1	Co.so.	Transaction .		
FUND	Master Master	PROG/COUNTER Contract	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
		I P	L. Company	1		

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



### INDEPENDENT CONTRACTOR AGRIPMENT 6 門 3:03

C+		RVICES		CONTRACTIN	0
OM: Stu	dent Services		(School/Dept.)	VENDOR NO.	
OGRAM M	ANAGER: Norma	Flores			
	: The Alum Rock Uniting named Contracto		istrict (ARUESD), whose address	is 2930 Gay Avenue,	San Jose, CA 95127, and
Name of	Individual/Company:	Fresh Lifelines	for Youth Inc. (FLY)		
Address:	568 Valley W	ay	City: Milpitas	State: C/	A Zip: 95035
Phone: (	)		Email Address:		
SSN:			or Fed I.D. #: 52-22	34595	
Mutually	agree and promise	as follows:			
			2018 end dat	e June 30, 2019	
exhibi	ts and other documer	itation if necessary:	ed results (e.g. services, material nformation about laws		
positiv	e with laws ac	tors and case ma	anagement at the follo		
positiv Fische	e with laws ac er, Renaissand	tors and case ma e at Fischer and	anagement at the follo Renaissance at Math	son.	es: Ocala,
positive Fischer COMPEN expressed (Invoice) supon apprima a.	SATION: In consider, Renaissance in	e at Fischer and eatern of Contractor's probable pay Contractor up ted not later than 30 day by ARUESD as follows:  per hourse ays of services. ARUES	Renaissance at Mather rovision of services as described on Contractor's submission of ys from the end of the month in	above, and subject to a properly documente which the contract serv sted by ARUESD, not request the maximum	o the payment provisions of demand for payment provisions were rendered, and to exceed a maximum o
positive Fischer COMPEN expressed (Invoice) supon application application application and according to the control of the cont	ye with laws acer, Renaissance, Renaissance sation: In consider the rein, ARUESD swhich shall be submit roval of such demand fee Rate: \$0 hours/d service.	e at Fischer and eatern of Contractor's probable pay Contractor up ted not later than 30 day by ARUESD as follows:  per hourse ays of services. ARUES	Renaissance at Mather covision of services as described on Contractor's submission of ys from the end of the month in (Check either a, b, or c) day of service as may be reques SD may, but is not obligated to,	above, and subject to a properly documente which the contract serv sted by ARUESD, not request the maximum	es: Ocala,  the payment provisions ad demand for payment vices were rendered, and to exceed a maximum of
positive Fischer COMPEN expressed (Invoice) supon apprima a.	ye with laws acer, Renaissance, Renaissance sation: In consider the rein, ARUESD swhich shall be submit roval of such demand fee Rate: \$0 hours/d service.	e at Fischer and eatern of Contractor's probable pay Contractor up ted not later than 30 day by ARUESD as follows:  per hourse ays of services. ARUES	Renaissance at Mather covision of services as described on Contractor's submission of ys from the end of the month in (Check either a, b, or c) day of service as may be reques SD may, but is not obligated to,	above, and subject to a properly documente which the contract serv sted by ARUESD, not request the maximum	es: Ocala,  the payment provisions ad demand for payment vices were rendered, and to exceed a maximum of

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
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- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



#### INDEPENDENT CONTRACTOR AGREEMENT

	SION OF BUSINESS SE	TATIOLES .				CUNTRACT	NO
ROM: St	tudent Services		(Sci	hool/Dept.)			
ROGRAM	MANAGER: Norma	Flores				VENDOR NO	•
PARTIE the follo	ES: The Alum Rock Un owing named Contracto	on Elementary School I	District (ARUESI	D), whose add	ress is 2930	Gay Avenue,	. San Jose, CA 95127, ar
Name o	of Individual/Company	Lozano Smith	Attorneys a	at Law			
		St. #500			Creek	State: C	A zin: 94596
Phone:	925-953-1620		Em	nail Address:	agarcia@l		
SSN: _			or Fed	1 J.D. #: 80-0	0874383		
	ly agree and promise :						
CONTR	ACT TERM: start date	September 30,	2018	end	date June	30 2019	9
	- Tanora Topolia	N: In consideration of					
A. Desc exhib	cription of services to i bits and other documen ent Services De	pe provided and expect tation if necessary: partment will rea	ed results (e.g.	services, mate	erials, produ	orneys at	ports). Attach proposal
A. Desc exhib	cription of services to i bits and other documen ent Services De	ne provided and expect tation if necessary:	ed results (e.g.	services, mate	erials, produ	orneys at	ports). Attach proposal
A. Desc exhib Stude CONSU COMPEN expresse (Invoice) upon app	cription of services to a bits and other document ent Services De ultations and properties of the properties of the properties of the properties of the provided december of the provided of t	pe provided and expect tation if necessary: partment will read of the period of the pe	ach out to l opments re rovision of servi on Contractor's ys from the end (Check either a day of service a SD may, but is	ces as descrites submission of the month b, or c)	mith Attorious s  ped above, of a proper in which the content of t	orneys at school clin and subject to documente contract services of the maximum	Law for legal nate topics.  o the payment provisions ed demand for paymen vices were rendered, and to exceed a maximum o number of hours/days o
A. Descentile Stude Consuction Competer	cription of services to a bits and other document ent Services De ultations and properties of services.  NSATION: In consider and which shall be submitted by the shall be submitted by the service.	pe provided and expect tation if necessary: partment will read of the partment development of Contractor's properties of Contractor up the pay Contractor up the pay Contractor up the pay ARUESD as follows:	ach out to l opments re rovision of servi on Contractor's ys from the end (Check either a day of service a SD may, but is	ces as descrites submission of the month b, or c)	mith Attorious s  ped above, of a proper in which the content of t	orneys at school clin and subject to documente contract services of the maximum	Law for legal nate topics.  o the payment provisions ed demand for paymen vices were rendered, and to exceed a maximum o number of hours/days o
A. Desc exhib Stude CONSU COMPEN expresse (Invoice) upon app	cription of services to a bits and other document ent Services De ultations and properties of services.  NSATION: In consider and which shall be submitted by the shall be submitted by the service.	pe provided and expect tation if necessary: partment will read of the partment development of Contractor's properties of Contractor up the pay Contractor up the pay Contractor up the pay ARUESD as follows:	ach out to l opments re rovision of servi on Contractor's ys from the end (Check either a day of service a SD may, but is	ces as descrites submission of the month b, or c)	mith Atto various s bed above, of a proper in which the	orneys at school clin and subject to documente contract services of the maximum	Law for legal nate topics.  o the payment provisions ed demand for paymen vices were rendered, and to exceed a maximum o number of hours/days o

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
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- 8. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



#### INDEPENDENT CONTRACTOR AGREEMENT

ROM: St		ERVICES			CONTRACT	VO
	udent Services		(S	chool/Dept.)	VENDOR NO	
ROGRAM	MANAGER: Norma	Flores				
PARTIE the follo	ES: The Alum Rock Un owing named Contracto	tion Elementary School Dr.	District (ARUE	SD), whose address	is 2930 Gay Avenue,	San Jose, CA 95127, and
Name o	f Individual/Company:	Uplift Family S	ervices			
Address	: 251 Llewellyr	n Ave	0	ity: Campbell	State: C	A zip: 95008
Phone:	(408 )379-379	90	E	mail Address:		
SSN: _			or F	ed I.D. #: 94-229	5953	
Mutuall	y agree and promise	as follows:				
CONTR	ACT TERM: start date	9/14/18		end date	6/30/19	
class	room presentat	the state of the s	staff train			APS will provide ddress bullying,
expresse (Invoice) upon app	ed herein, ARUESD so which shall be submit proval of such demand.  Fee Rate: \$ 0 hours/d service.	shall pay Contractor up ted not later than 30 da by ARUESD as follows:	on Contracto ys from the er (Check either day of service SD may, but is	r's submission of a nd of the month in wh a, b, or c) as may be requeste s not obligated to, re	properly documented the contract send of the contract of t	the payment provisions ed demand for payment vices were rendered, and to exceed a maximum of number of hours/days of
b.						
b.	7.13 Y					1
BUDGET	CODE:	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

## INDEPENDENT CONTEMESTOR AGREEMENT

PROGRAM MANAGER: Sandra Garcia  1. PARTIES: The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95 the following named Contractor:  Name of Individual/Company: Carlos Pino / Churros el Guerro  Address: 365 Bradley Ave City: San Jose State: CA Zip: 9512  Phone: (408 ) 603-7362 Email Address: Churroselguero@gmail.com  SSN: 938-63-6588 or Fed I.D. #:  Mutually agree and promise as follows: CONTRACT TERM: start date Sept. 18, 2018 end date Sept. 18, 2018  CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, m products, and/or reports:  A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach pre-exhibits and other documentation if necessary.  Vendor will be on-site at San Antonio Elementary School, host school for the 2018 Bacto District Night, making and serving hot churros to families and students.  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment pre-expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a property documented demand for p (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were renden upon approval of such demand by ARUESD as follows: (Check either a, b, or c)  a. Fee Rate: \$ per hour/day of service as may be requested by ARUESD, not to exceed a maxim upon approval of such demand by ARUESD as follows: (Check either a, b, or c)  BUDGET CODE:  FUND DEPARTMENT PROG/COUNTER OBJECT SAMOUNT PROG. TITLE BUS OFC  BUDGET CODE:  FUND DEPARTMENT PROG/COUNTER OBJECT SAMOUNT PROG. TITLE BUS OFC	O: DIVISIO	ON OF BUSINESS SE	RVICES			CONTRACT	10
PARTIES: The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95 the following named Contractor:  Name of Individual/Company: Carlos Pino / Churros el Guerro  Address: 365 Bradley Ave City: San Jose State: CA Zip: 9512  Phone: (408 ) 603-7362 Email Address: churroselguero@gmail.com  SSN: 938-63-6588 or Fed I.D. #:  Mutually agree and promise as follows: CONTRACT TERM: start date Sept. 18, 2018 end date Sept. 18, 2018  CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, m products, and/or reports:  A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach provential and their documentation if necessary.  Vendor will be on-site at San Antonio Elementary School, host school for the 2018 Bacto District Night, making and serving hot churros to families and students.  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment processory invoices which shall be submitted not later than 30 days from the end of the month in which the contract services were render upon approval of such demand by ARUESD as follows: (Check either a, b, or c)	ROM: Su	ot. Office		(Sc	chool/Dept.)	VENDOR NO.	22846
Name of Individual/Company: Carlos Pino / Churros el Guerro  Address: 365 Bradley Ave City: San Jose State: CA Zip: 9512  Phone: (408 ) 603-7362 Email Address: Churroselguero@gmail.com  SSN: 938-63-6588 or Fed I.D. #:  Mutually agree and promise as follows: CONTRACT TERM: start date Sept. 18, 2018 end date Sept. 18, 2018  CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, m products, and/or reports:  A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proexhibits and other documentation if necessary:  Vendor will be on-site at San Antonio Elementary School, host school for the 2018 Bacto District Night, making and serving hot churros to families and students.  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment proexpressed herein, ARUESD shall pay Contractor upon Contractor's submission of a property documented demand for p (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendern upon approval of such demand by ARUESD as follows: (Check either a, b, or c)  a. Fee Rate: S per hour/day of service as may be requested by ARUESD, not to exceed a maxing per hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/service.  X. b. Other: \$ 727-50 (describe rate agreement)  BUDGET CODE:  FUND DEPARTMENT PROG/COUNTER OBJECT \$ AMOUNT PROG. TITLE BUS OFC	ROGRAM M	ANAGER: Sandra	a Garcia				
Address: 365 Bradley Ave City: San Jose State: CA Zip: 9512  Phone: (408 ) 603-7362 Email Address: Churroselguero@gmail.com  SSN: 938-63-6588 or Fed J.D. #:  Mutually agree and promise as follows: CONTRACT TERM: start date Sept. 18, 2018 end date Sept. 18, 2018  CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, m products, and/or reports:  A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach provided will be on-site at San Antonio Elementary School, host school for the 2018 Back to District Night, making and serving hot churros to families and students.  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment professor herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for p (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were render upon approval of such demand by ARUESD as follows: (Check either a, b, or c)  a. Fee Rate: \$	PARTIES the follow	S: The Alum Rock Un ving named Contracto	ion Elementary School I	District (ARUES	SD), whose address	is 2930 Gay Avenue,	San Jose, CA 95127, and
Phone: (408 ) 603-7362	Name of	Individual/Company:	Carlos Pino / C	hurros el	Guerro		
Mutually agree and promise as follows:  CONTRACT TERM: start date Sept. 18, 2018  CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, m products, and/or reports:  A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach provided will be on-site at San Antonio Elementary School, host school for the 2018 Back to District Night, making and serving hot churros to families and students.  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment professed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for p (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were render upon approval of such demand by ARUESD as follows: (Check either a, b, or c)  a. Fee Rate: \$ per hour/day of service as may be requested by ARUESD, not to exceed a maximum number of hours/service.  X b. Other: \$ 727.50	Address:	365 Bradley	Ave	c	ly: San Jose	State: C	A zip: 95127
Mutually agree and promise as follows:  CONTRACT TERM: start date Sept. 18, 2018 end date Sept. 18, 2018  CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, m products, and/or reports:  A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proexhibits and other documentation if necessary.  Vendor will be on-site at San Antonio Elementary School, host school for the 2018 Back to District Night, making and serving hot churros to families and students.  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment professed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for p (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were render upon approval of such demand by ARUESD as follows: (Check either a, b, or c)  a. Fee Rate: \$ per hour/day of service as may be requested by ARUESD, not to exceed a maximum number of hours/ service.  X_ b. Other: \$_727.50	Phone: (	408 ) 603-73	62	E	mail Address: Chui	roselguero@g	mail.com
Mutually agree and promise as follows:  CONTRACT TERM: start date Sept. 18, 2018 end date Sept. 18, 2018  CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, m products, and/or reports:  A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proexhibits and other documentation if necessary.  Vendor will be on-site at San Antonio Elementary School, host school for the 2018 Back to District Night, making and serving hot churros to families and students.  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment professed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for p (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were render upon approval of such demand by ARUESD as follows: (Check either a, b, or c)  a. Fee Rate: \$ per hour/day of service as may be requested by ARUESD, not to exceed a maximum number of hours/ service.  X_ b. Other: \$_727.50	SSN: 9	38-63-6588		or Fe	ed I.D. #:		
CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, m products, and/or reports:  A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proexhibits and other documentation if necessary:  Vendor will be on-site at San Antonio Elementary School, host school for the 2018 Back to District Night, making and serving hot churros to families and students.  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment proexpressed herein, ARUESD shall pay Contractor upon Contractor's submission of a property documented demand for p (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered upon approval of such demand by ARUESD as follows: (Check either a, b, or c) a. Fee Rate: \$per hour/day of service as may be requested by ARUESD, not to exceed a maximal pervice.  X b. Other: \$	Mutually	agree and promise	as follows:				
Vendor will be on-site at San Antonio Elementary School, host school for the 2018 Back  to District Night, making and serving hot churros to families and students.  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment professed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for p (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered upon approval of such demand by ARUESD as follows: (Check either a, b, or c) a. Fee Rate: \$per hour/day of service as may be requested by ARUESD, not to exceed a maximal mours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/service.  Xb. Other: \$_727.50 (describe rate agreement)  BUDGET CODE:  FUND DEPARTMENT PROG/COUNTER OBJECT \$ AMOUNT PROG. TITLE BUS OFC	products,	and/or reports:					
to District Night, making and serving hot churros to families and students.  COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment professed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for possible (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered upon approval of such demand by ARUESD as follows: (Check either a, b, or c) a. Fee Rate: \$per hour/day of service as may be requested by ARUESD, not to exceed a maxing hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/service.  X_b. Other: \$_727.50	exhib	its and other documer	ntation if necessary:	ed results (e.g	j. services, material	s, products and/or re	ports). Attach proposals
COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment professed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for professed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for professed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for professed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for professed herein, ARUESD submission of a properly documented demand for professed herein, ARUESD as follows: (Check either a, b, or c)  a. Fee Rate: \$ per hour/day of service as may be requested by ARUESD, not to exceed a maximum number of hours/service.  b. Other: \$ to the maximum number of hours/service.  b. Other: \$ (describe rate agreement) to the maximum number of hours/service.  BUDGET CODE:  FUND DEPARTMENT PROG/COUNTER OBJECT \$AMOUNT PROG. TITLE BUS OFC	Vendo	or will be on-sit	e at San Antonio	Element	ary School, he	ost school for t	he 2018 Back
expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for p (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered upon approval of such demand by ARUESD as follows: (Check either a, b, or c) a. Fee Rate: \$per hour/day of service as may be requested by ARUESD, not to exceed a maxing hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/service. X b. Other: \$_727.50	to Dis	trict Night, mak	king and serving	hot churre	os to families	and students.	
FUND DEPARTMENT PROG/COUNTER OBJECT \$ AMOUNT PROG. TITLE BUS OFC	expressed (Invoice) upon appro- a.	d herein, ARUESD swhich shall be submitroval of such demand  Fee Rate: \$hours/d service.	shall pay Contractor up ted not later than 30 da by ARUESD as follows: per hourn ays of services. ARUE	oon Contractorys from the er (Check either day of service SD may, but is	r's submission of a d of the month in w a, b, or c)  as may be request s not obligated to, re	red by ARUESD, not equest the maximum	ed demand for payment vices were rendered, and to exceed a maximum of number of hours/days of
					21		
						PROG. TITLE	BUS OFC

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

14.03

2930 Gay Avenue San Jose, CA 95127

Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees:	
Subject: Acceptance of Memorandum(s) of I	Understanding
Staff Analysis: The District has received the following Memorated September 13, 2018.	randums of Understanding as summarized on the sheet
Recommendation: Staff recommends acceptance of these memora	indums of understanding.
1/	Title: Procurement Manager  Title: Assistant Superintendent of Business Services
To the Board of Trustees:  Recommend Approval  LY.03  Agenda Placement	Meeting: September 13, 2018  Regular Board Meeting  Hilaria Bauer, Ph.D., Superintendent
DISPOSITION BY E	Seconded by:
Approved: Not Approved:	Tabled:

### MEMORANDUMS OF UNDERSTANDING

SCHOOL / DEPARTMENT	DATE OF SERVICE	CONSULTANT NAME	COST	PURPOSE
Early Learning Dr. Dianna Ballesteros	08/16/18- 12/31/19	Santa Clara County and Santa Clara County of Education	No Cost	Pilot a Co-Op Preschool program for families not eligible for state subsidized care or are on a waiting list for state subsidized care Funding: N/A
Human Resources Jess Serna	09/14/18- 06/30/21	Concordia University	No Cost	School Counselor Practicum/ Fieldwork Agreement – Applied field experience and practice in school and classroom environments for university students Funding: N/A
Human Resources Jess Serna	09/14/18- 06/30/21	University of Southern California Rossier School of Education	No cost	USC School/District Placement Agreement – field placement experience in the areas of teaching, school counseling and social work Funding: N/A
State & Federal Sandra Garcia	08/14/18- 10/30/18	Santa Clara County Office of Education	\$810.00	Professional development to Principals, Assistant Principals, and School Site Council members on the requirements around School Site Councils Funding: Restricted
Student Services Norma Flores	09/14/18- 06/30/21	Kaiser Foundation Health Plan Inc.	No Cost	Master Contract: Provide live theatre educational health assembly programs and workshops covering a range of age appropriate topics Funding: N/A



### INDEPENDENT CONTRACTOR AGREGATION AM 11: 12

O: DIVISIO	ON OF BUSINESS SE	RVICES			CONTRACT	10
ком: Еаг	ly Learning		(Sc	hool/Dept.)	VENDOR NO.	
ROGRAM M	ANAGER: Dr. Dia	nna Ballesteros				
	S: The Alum Rock Un ring named Contracto		istrict (ARUES	D), whose address i	s 2930 Gay Avenue,	San Jose, CA 95127, and
Name of	Individual/Company:	Santa Clara Co	ounty and	Santa Clara C	County of Educ	cation
Address:	1290 Ridder	Park Dr	Ci	ty: San Jose	State: C	A zip: 95131
Phone: (	408 ) 453-65	000	Er	nail Address:		
SSN:			or Fe	id I.D. #:		
Mutually	agree and promise	as follows:				
CONTRA	CT TERM: start date	August 16, 201	8	end date	December 31	, 2019
Pilot a	vait list for stat ss model by e	hool program for	families r e. The go en have a	not eligible for als of this pilot ccess to high	state subsidiz <del>project are to</del> quality early c	ed care or are build a cradle to hildhood
expressed (Invoice) upon app	d herein, ARUESD : which shall be submi roval of such demand	shall pay Contractor up ted not later than 30 da by ARUESD as follows:	on Contractor ys from the en (Check either	's submission of a id of the month in wi a, b, or c)	properly documented the contract sense by ARUESD, not	the payment provisions of demand for payment vices were rendered, and to exceed a maximum of number of hours/days of
<u>X</u> _b.	Other: § In kind	cost (describe	rate agreeme	nt) District will	provide appro	priate, nutritional
foods,	custodial, ma	ntenance, secur	ity service	s, technologic	cal access, and	d support staff.
BUDGET	CODE:			production in the second		
FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT 0	PROG. TITLE	BUS OFC

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

2018 AUG 23 AM 9: 14

To: BUSINESS OFFICE	Contract No.:		Vendor No.:	
Human Resources address is 2930 Gay Avenue, San Jo	(School/Dept) and the Alu	m Rock Union Elem	nentary School Dis actor wishes to ente	trict (ARUESD), whose
	greement) rprinting and TB Test)	MASTER C	ONTRACT PARTIC	IPATION
Note: All Contracts over \$5,000 req	uire pre-approval.			
* Use Independent Contractor Agree	ement (PUR-116) for uninco	rporated individuals o	r in the absent of ne	egotiated agreement.
Name of Individual/Company: Con	cordia University			
Address: 1530 Concordia We	est	City: Irvine	State: CA	zip: 92612
SSN:		Fed I.D. #:		
CONTRACT TERM: start dates OS	WANTED SOME			
CONTRACTOR'S OBLIGATION: Description of services to be provided School Counselor Practicular				and practice
COMPENSATION: In consideration provisions expressed herein, ARUES for payment (Invoice) which shall be swere rendered, and upon approval of	SD shall pay Contractor, up submitted not later than 30	on Contractor's submidays from the end of	nission of a properly f the month in which	documented demand
a. Fee Rate: \$				of services
X b. Other: \$ No cost				
Describe other related costs:				
BUDGET CODE: N/A		Accountant Initia	ls	
		Accountant Initia	ls	
APPROVALS:	$\sim 1$		17	
ALUM ROCK UNION ELEMENT	ARY SCHOOL DISTRICT			
Site/Department Administrator:	m/	_	Date: 86	2/10
Director of Fiscal Services:	1)0		Date:	, S.
Asst. Supt., of Business Services	£		Date:	
Superintendent:			Date:	

To: BUSINESS OFFICE	Contract No.:	Vendor No.	
Human Resources (Saddress is 2930 Gay Avenue, San Jos	School/Dept) and the Alum	Rock Union Elementary School D	istrict (ARUESD), whose
MOU (negotiated Agree		MASTER CONTRACT PART Scope of Work/Proposal	ICIPATION
Note: All Contracts over \$5,000 requi			
* Use Independent Contractor Agreen	nent (PUR-116) for unincore	orated individuals or in the absent of	negotiated agreement
Name of Individual/Company: Unive			
Address: 3551 Trousdale Pkwy			
Phone: (213) 740-0224			
SSN:			
CONTRACT TERM: start dates 09/		end date 06/30/2021	
CONTRACTOR'S OBLIGATION:			
Description of services to be provided:	(Please attach proposals, scr	ppe of work, and other documentation.)	
USC School/District Placeme	ent Agreement - field	placement experience in the	e areas of
teaching, school counseling			
COMPENSATION: In consideration of	of Contractoria succededa a	formation and almost the or or or or	carries as court i
COMPENSATION: In consideration of provisions expressed herein, ARUESD	shall pay Contractor, upor	Contractor's submission of a proper	ly documented demand
for payment (Invoice) which shall be sub were rendered, and upon approval of si	omitted not later than 30 da	ays from the end of the month in whi	ch the contract services
		Not to Exceed	of populace
Y No cost			of services.
Describe other related costs:			
22			
BUDGET CODE: N/A			
		Accountant Initials	
APPROVALS:	24	Accountant Initials  Accountant Initials	
	May 2017-107		
ALUM ROCK UNION ELEMENTA	RYSCHOOL DISTRICT:		(0.1)
ALUM ROCK UNION ELEMENTAL Site/Department Administrator:	RYSCHOOL DISTRICT:	Accountant Initials  Date: 8	128/18
Site/Department Administrator: Director of Fiscal Services:	RYSCHOOL DISTRICT:	Accountant Initials  Date: Date:	122/12
ALUM ROCK UNION ELEMENTAL Site/Department Administrator:	RYSCHOOL DISTRICT:	Accountant Initials  Date: 8	12/18



To: BUSINESS OFFICE	Contract No.:	Vendo	No.: 10547
State & Federal Programs (School/I	Dept) and the Alum Rock	Union Elementary Scho	ool District (ARUESD), whose
MOU (negotiated Agreement)  Exhibit B & C (Fingerprinting a		MASTER CONTRACT P	ARTICIPATION
Note: All Contracts over \$5,000 require pre-	approval.		
* Use Independent Contractor Agreement (P	UR-116) for unincorporated i	ndividuals or in the abse	nt of negotiated agreement.
Name of Individual/Company: Santa Clair	a County Office of E	ducation	
Address: 1290 Ridder Park Drive	City: S	an Jose State	CA Zip: 95131
	Email: M		
SSN:	Fed I.D.	#:	
CONTRACT TERM: start dates August 1		Y	
SCCOE to provide professional description of School Site Council members about the Compensation of Compensation of Compensations expressed herein, ARUESD shall propayment (Invoice) which shall be submitted were rendered, and upon approval of such descriptions.	ractor's provision of service ay Contractor, upon Contractor, upon Contractor, upon Gays from	es as described above, actor's submission of a p	and subject to the payment
a. Fee Rate: \$	per	Not to Exceed	of services.
X b. Other: \$405.00 half day			
Describe other related costs:			
BUDGET CODE: 06-360-1190-0-5815			
	Acc	ountant Initials	
-	Acc	ountant Initials	
APPROVALS:			
ALUM ROCK UNION ELEMENTARY SC	HOOL DISTRICA	-100	Mald
Site/Department Administrator	aregistanh	Date:	019118
Director of Fiscal Services:	U	Date:	
Asst. Supt., of Business Services:	t	Date:	
Superintendent:		Date:	

### INDEPENDENT CONTRACTORIAGREEMENT 9: 30

164. Ch	ON OF BUSINESS SER	VICES			CONTRACT N	0
JIVI. OLL	udent Services		(Scho	ol/Dept.)	VENDOR NO.	
OGRAM N	MANAGER: Norma F	lores				
	S: The Alum Rock Union wing named Contractor:	Elementary School D	istact (ARUESD)	, whose address is	2930 Gay Avenue,	San Jose, CA 95127, an
Name of	f Individual/Company: _	Kaiser Foundat	ion Health I	Plan Inc Kais	ser Permanent	te Education
Address	: 1438 Webster	St. Suite 205	City:	Oakland	State: CA	A zip: 94612
Phone:	(510 )302-4123		Emai	Address:		
SSN: _			or Fed I	.D. #: <u>94-110</u>	5628	
Mutually	y agree and promise as	follows:				
CONTR	ACT TERM: start date	September 14,	2018	end date	June 30, 2021	4
Kaise	er Permanente as	an innovator i	n health car	e strives to	improve health	
of cha	arge live theatre	educational he				
of cha range	arge live theatre e of age-appropri	educational hea ate topics.	alth assemb	oly programs	and workshop	ps, covering a
compete expresse (Invoice) upon app	e of age-appropri  NSATION: In considerate the herein, ARUESD showhich shall be submitted proval of such demand by  Fee Rate: \$0  hours/day  service,	ate topics.  ation of Contractor's production of Contractor up do not later than 30 day ARUESD as follows:  per hour/ s of services. ARUES	revision of service on Contractor's ys from the end (Check either a, Iday of service as SD may, but is n	es as described a submission of a of the month in wi b, or c)	above, and subject to properly documente nich the contract served ad by ARUESD, not quest the maximum	o the payment provisions ad demand for paymen vices were rendered, and to exceed a maximum of number of hours/days of
of charange range expresse (Invoice) upon app	e of age-appropri  NSATION: In considerate the derein, ARUESD she which shall be submitted proval of such demand by  Fee Rate: \$0 hours/day service.	ate topics.  ation of Contractor's production of Contractor up do not later than 30 day ARUESD as follows:  per hour/ s of services. ARUES	revision of service on Contractor's ys from the end (Check either a, Iday of service as SD may, but is n	es as described a submission of a of the month in wi b, or c)	above, and subject to properly documente nich the contract served ad by ARUESD, not quest the maximum	o the payment provisions of demand for paymen vices were rendered, and to exceed a maximum of number of hours/days of
of charange range expresse (Invoice) upon app	e of age-appropri  NSATION: In considerate the herein, ARUESD showhich shall be submitted proval of such demand by  Fee Rate: \$0  hours/day  service,	ate topics.  ation of Contractor's production of Contractor up do not later than 30 day ARUESD as follows:  per hour/ s of services. ARUES	revision of service or Contractor's ys from the end of (Check either a, iday of service as SD may, but is not rate agreement)	es as described a submission of a of the month in wi b, or c)	above, and subject to properly documente nich the contract served ad by ARUESD, not quest the maximum	o the payment provisions ad demand for payment rices were rendered, and to exceed a maximum on number of hours/days o

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

## ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

14.04

### Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of	Trustees:	Date: August 30, 2018
Subject:	Acceptance of Donations	
Staff Analysis:	The District has received done dated September 13, 2018.	ations as summarized on the sheets
Recommendati	on: Staff recommends approval for a	acceptance of these donations.
Approved by: K	olvira Chheng Title: Assistant S	unarintandant Businasa Saniisas
		uperintendent, Business Services
	Trustees: Meeting:	September 13, 2018 Regular Board Meeting  Aria Bauer, Ph.D., Superintendent
Recommend Ap	Trustees: Meeting:	September 13, 2018 Regular Board Meeting  Aria Bauer, Ph.D., Superintendent
	Trustees: Meeting:  oproval  OU ment Hill	September 13, 2018 Regular Board Meeting Aria Bauer, Ph.D., Superintendent

### ACCEPTANCE OF DONATIONS

DONOR NAME	DESCRIPTION OR PURPOSE		MATED	RECEIVING SCHOOL OR DEPARTMENT
Raising a Reader	Materials & Supplies	6 Refresher Sets: Each contains 25 blue library bags, 10 books and 2 red b		
YourCause LLC for Wells Fargo Foundation Educational Matching Gift	Materials & Supplies	\$	124.80	Lyndale Elementary
YourCause LLC for Applied Materials Foundation & Employee	Materials & Supplies	\$	25.00	Painter Elementary
YourCause LLC for Applied Materials Foundation & Employee	Materials & Supplies	\$	200.00	Painter Elementary
America's Charities	Materials & Supplies	\$	978.75	Painter Elementary
Mr. Thomas Tu Cam Ha	Materials & Supplies	\$	200.00	Painter Elementary
Environmental Volunteers	Materials & Supplies	\$	500.00	Painter Elementary

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

### Office of Superintendent of Schools



### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of T	rustees:	Date: August 30, 2018
Subject:	Approval of Fundraising Ac	tivities
Staff Analysis:	The District has received fun attached sheets dated Septer	draising requests as summarized on the nber 13, 2018.
Recommendatio	n: Staff recommends approval o	f these fundraising event requests.
Approved by: Ko	lvira Chheng Title: Assistan	t Superintendent, Business Services
To the Board of To the Board of To the Board Apple 14.05 Agenda Placem	oroval	September 13, 2018 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent
	DISPOSITION BY BOARI	O OF TRUSTEES
Motion by:	Secon	ded by:
Approved:	Not Approved:	Tabled:

### APPROVAL OF FUNDRAISING ACTIVITY

SCHOOL	FUNDRAISING ACTIVITY	ACTIVITY SPONSOR	DATE
Chavez Elementary	Pizza Kit Catalog Sales	ASB	09/14/18-10/03/18
Chavez Elementary	Popcorn Catalog Sales	ASB	09/14/18-10/15/18
Chavez Elementary	Spirit Wear Sales	ASB	09/14/18-06/15/19
Chavez Elementary	Contributions to the ASB Accou	nt ASB	09/14/18-06/30/19
Renaissance Acad II	Back to School Night Sales	PTO	09/25/18-09/25/18
Renaissance Acad II	Game Night Sales	PTO	09/21/18-09/21/18
Ryan STEAM Acad	Contributions to the ASB Accou	nt ASB	08/21/18-06/01/19

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

### Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

	rustees:		August 31, 2018
Subject:	Vendor & Payroll Warran	ts	
Staff Analysis:	Summary of Vendor and P Fiscal Year 2017/2018.	ayroll V	Varrants issued during August month of
	Total of vendor warrants Total of payroll warrants <b>Total</b>	\$ \$	7,655,210.37 2,087,198.20 9,742,408.57
Recommendatio	n: Staff recommends approva month of August 2018.	al of the	Vendor & Payroll Warrants for the
	VA PT		
Approved by: <u>Ko</u>	vira Chheng Title: Assis	tant Su	perintendent, Business Services
To the Board of To Recommend App	rustees: Meeti	ng:	September 13, 2018 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent
To the Board of To Recommend App L4.0 Agenda Placem	rustees: Meeti  oroval  ent  DISPOSITION BY BO	ng:	September 13, 2018 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent
Recommend App	rustees: Meeti	ng:	September 13, 2018 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent

### August 2018

VENDOR WARRANTS	AMOUNT
General Fund (Incl Payroll A/P)	\$6,169,636.37
Cafeteria Fund	\$34,416.85
Deferred Maintenance Fund	\$0.00
Building Fund	\$0.00
Capital Facilities Fund	\$0.00
County School Facility Fund	\$0.00
Special Reserve for Capital Outlay Fund	\$0.00
Health and Welfare	\$1,451,117.96
Worker's Comp	\$39.19
Subtotal	\$7,655,210.37
Student Body Fund	\$0.00
Total Vendor Warrants	\$7,655,210.37
PAYROLL WARRANTS	
10th of the month	\$463,760.30
EOM Payroll	\$1,553,660.35
Vacation Pay Out	\$65,697.47
Manual Checks	\$6,333.04
Void Checks	(\$2,252.96)
Total Payroll Warrants	\$2,087,198.20
Grand Total All Warrants	\$9,742,408.57

From To

AP Warrants used #
AP Direct Deposit Stub Used #
Payroll Direct Deposit Pay Stub used #
Payroll Checks used #

142716 - 143151 V1010828 - V1011262 V60285541 - V60286364 10120027 - 10120160

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San Jose, CA 95127

### Office of Superintendent of Schools



### **ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of T	rustees:	Date: August 31, 2018
Subject:	Santa Clara County Treasu	ry Investment Portfolio Status
Staff Analysis:	by Chapters 783 and 784, S policy, the Santa Clara Cour	of California Government Code as amended tatues of 1995 and in compliance with county- ity Treasury Investment Portfolio Report as of ed for the Board of Trustee's review and
Recommendatio	n: Staff recommends that the Investment Portfolio Report a	ne Board of Trustees approve the SCC as of June 30, 2018.
Approved by:	Kolvira Chheng Title: Assi	stant Superintendent, Business Services
		: September 13, 2018
To the Board of T	rustees: Meeting	
To the Board of T	rustees: Meeting	: September 13, 2018 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent
	rustees: Meeting	: September 13, 2018 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent

### County of Santa Clara

Finance Agency Controller-Treasurer Department

County Government Center 70 W. Hedding Street, East Wing, 2nd Floor San Jose, California 95110-1705 (408) 299-5200 FAX (408) 288-9237



August 16, 2018

Submitted by:

Alan Minato, Controller-Treasurer

TO:

BOARD OF TRUSTEES, SANTA CLARA COUNTY SCHOOL DISTRICTS

BOARDS OF DIRECTORS, SANTA CLARA COUNTY SPECIAL PURPOSE DISTRICTS

FROM:

EMILY HARRISON, DIRECTOR OF FINANCE

SUBJECT: COUNTY OF SANTA CLARA TREASURY INVESTMENT PORTFOLIO STATUS

### RECOMMENDATION

Receive and file the June 30, 2018 Detailed Investment Portfolio Listing.

### DISCUSSION

In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with County Policy, the Santa Clara County Treasury Investment Portfolio Report as of June 30, 2018 is submitted for your review and acceptance.

The attached detailed investment reports list each investment of the County Treasury Pool as well as individual reports for specific investment funds that each school district or special district has in the County Treasury. The reports include the respective purchase and maturity dates, par value, amortized cost, market value, and yield to maturity for each investment.

A summary of market value versus cost is provided below for Commingled Investments of the County Pool.

Cost Market Value (Decrease) Percent

Commingled Investments \$7,584,141,151 \$7,523,222,987 (\$60,918,164) (0.80%)

Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Ken Yeager, Joe Simitian County Executive: Jeffrey Smith

TO: SANTA CLARA COUNTY SCHOOL DISTRICTS AND SPECIAL PURPOSE DISTRICT Page 2

The yield of the pool on June 30, 2018 was 1.79%. As a comparison, on June 30, 2018 the yield of a 6-month Treasury Bill was 2.11%. A two-year Treasury Note was 2.53%. The State of California Local Agency Investment Fund (LAIF) yield was 1.85%.

Attached with the current investment strategy is a schedule that lists the average weighted maturities and yield for the Commingled Treasury Pool. Charts outlining investment concentration and distribution of bond maturities are provided for the Pool. Also included is a chart showing the one-year history of the Pool along with interest rates offered by selected comparable instruments.

Securities are purchased with the expectation that they will generally be held to maturity, hence unrealized gains or losses are not reflected in the yield calculations.

The market values of Pool securities were taken from pricing services provided by Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

A combination of securities maturing, new revenues, and tax receipts will adequately cover the anticipated cash flow needs for the next six months. Cash flows are continually monitored and are considered paramount in the selection of securities purchased for the Pool.

Attachments:

June 2018 Quarterly Investment Summary

Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Ken Yeager, Joe Simitian County Executive: Jeffrey Smith



# Quarterly Investment Report

June 30, 2018



## **Quarterly Investment Review Table of Contents**

### Quarterly Investment Report Table of Contents

Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Ken Yeager, S. Joseph Simitian

County Executive: Jeffrey V. Smith





June 30, 2018

Fund	Cost Value**	Market Value	Variance	% Variance
Commingled Investment Pool	\$7,584,141,151	\$7,523,222,987	-\$60,918,164	-0.80%
Worker's Compensation	\$28,261,805	\$28,157,905	-\$103,900	-0.37%
Park Charter Fund	\$4,167,571	\$4,138,745	-\$28,826	~69.0-
San Jose-Evergreen	\$16,844,311	\$16,797,624	-\$46,687	-0.28%
Medical Malpractice Insurance Fund (1)	\$9,217,743	\$9,056,992	-\$160,751	-1.74%
Total	\$7,642,632,582	\$7,581,374,253	-\$61,258,329	~0.80%

(1) Managed by Chandler Asset Management, Inc.

Summary of Yields\* for Select Santa Clara County Investment Funds

Fund		2018		2017
	Apr 30	May 31	Jun 30	Jun 30
Commingled Investment Pool	1.68%	1.73%	1.79%	1.25%
Worker's Compensation	1.44%	1.67%	1.67%	1.42%
Weighted Yield	1.68%	1.73%	1.79%	1.25%

paid over the life of the bond is reinvested at the same rate as the coupon rate. The calculation for YTM is based on the coupon rate, length of time to maturity, and market price \*Yield to maturity (YTM) is the rate of return paid on a bond, note, or other fixed income security if the investor buys and holds it to its maturity date and if the coupon interest at time of purchase.

Yield is a snapshot measure of the yield of the portfolio on the day it was measured based on the current portfolio holdings on that day. This is not a measure of total return, and is not intended to be, since it does not factor in unrealized capital gains and losses and reinvestment rates are dependent upon interest rate changes

<sup>\*\*</sup>Cost Value is the amortized book value of the securities as of the date of this report.

# Santa Clara County Commingled Pool and Segregated Investments



### Portfolio Strategy

June 30, 2018

The U.S. economy has sustained consistent growth over the last ten years, a period considered the second-longest U.S. expansion on record. Most recently, gross domestic product (GDP), the value of all goods and services produced across the U.S. economy increased by 4.1 percent during the second quarter accelerating exports were among the factors contributing to second quarter growth, the most rapid quarterly advancement since 2014. Second quarter ended June 30, 2018. Strong business investment, consumer spending buoyed by job growth, increased government spending, tax incentives and growth significantly exceeded the 2 percent increase posted in the prior quarter.

of unemployment below 4.5 percent is interpreted by Federal Reserve Bank policy makers and by most economists as a signal that the domestic economy so many previously unemployed finding jobs, aggregate U.S. household income has risen briskly. The unemployment rate was 4 percent in June. Any level Consumers ratcheted up spending at a 4 percent annualized rate in the second quarter. This was a significant driver in second quarter performance. With quarter. Wage growth continues to remain subdued and has been stubbornly sluggish following the 2007-2009 recession. Of note, consumers sustained has reached full employment. Wages and salaries of private sector workers rose 2.9 percent in June from a year earlier, a pace equivalent to the prior spending levels without relying on savings. The consumer savings rate in June stood at 6.9 percent, in line with its average since 2012.

Economists believe a one and only surge in soybean exports occurred as buyers abroad rushed to buy supplies before China's 25 percent retaliatory tariffs 2018, began efforts to fulfill this pledge. The Trump administration, which announced tariffs on steel and aluminum, plans a 25 percent levy on \$50 billion on the U.S. crop took effect in July. As a candidate, Donald Trump won support with promises to address unfair trade relationships. The White House in countries against U.S. tariffs may cause some U.S. manufacturers to be driven out of business, while others will be forced, ironically, to shift production It is uncertain whether the current pace of growth will continue throughout the remainder of 2018. Trade, an important contributor to the economy's recent performance may reduce growth in late 2018. Net exports added 1.06 percentage point to the second quarter's 4.1 percent GDP growth rate. in Chinese goods, and has threatened a 10 percent tariff on a further \$200 billion in goods. As soon as the latter half of 2018, retaliation from other

letting companies immediately expense certain capital expenditures instead of depreciating them over time. Spending on equipment is growing briskly but no faster than before the change in tax law. Recent data on equipment orders suggest current spending is modestly trending downward. So far, oil and gas corporations have accounted for most of the capital expenditures, but the real catalyst was the rebound in oil prices from the 2016 lows and not the tax The 2017 tax law revision may not spur further growth. Tax revision was designed to encourage investments by lowering the corporate tax rate and by law revision

# Santa Clara County Commingled Pool and Segregated Investments



### Portfolio Strategy

### June 30, 2018

Reserve Bank's 2 percent goal. Inflation increased 2.2 percent for the year ending June, while the core rate that excludes food and energy rose 1.9 percent. Federal Reserve Bank policy makers are widely expected to increase the benchmark federal funds rate in September by a quarter of a percentage point, to economy from significantly breeching this threshold and to prevent the economy from over-heating as a result. Inflation, currently, is close to the Federal a range between 2 percent and 2.25 percent. Policy makers raised the rate twice this year, most recently in June to a range between 1.75 percent and 2 percent, and have offered guidance implying two more hikes in the latter half of 2018 and three in 2019. Policy makers have placed a limit upon the U.S. economy of no more than 2 percent year-to-year growth in inflation. Consequently, they have been raising short-term interest rates to prevent the

The portfolio strategy continues to focus on the:

- (1) acquisition of high quality issuers;
- (2) identifying and selecting bonds with attractive valuations;
- (3) appropriately sizing the liquidity portion of the portfolio to ensure adequate cash for near term obligations; and
- (4) ensuring that monies targeted for longer term investments are deployed in vehicles with favorable risk-adjusted yields.

Broker-dealers have generally down-sized the amount of securities carried in inventories in response to risk-curbing rules crafted after the 2008 financial crisis. These risk curbing rules include the international regulatory framework for banks called Basel III and the U.S. 2010 Dodd-Frank Law. The Treasury Division has increased its capability to review a larger volume of inventory listings to find attractive bonds. Portfolio structuring does not solely rely on interest rate anticipation strategies, which primarily speculate on the direction of interest rates to earn favorable returns.



# Santa Clara County Commingled Pool and Segregated Investments

## Portfolio Compliance, Review, and Monitoring

June 30, 2018

## **Yield and Weighted Average Maturity**

The yield of the Commingled Pool is 1.79 and the weighted average life is 479 days.

### Compliance

The County Treasuer believes the Commingled Pool contains sufficent cash flow from liquid and maturing securities, bank deposits and incoming cash to meet the next six months of expected expenditures.

### Review and Monitoring

FTN Financial Main Street Advisors, the County's investment advisor, currently monitors the Treasury Department's investment activities.

### Additional Information

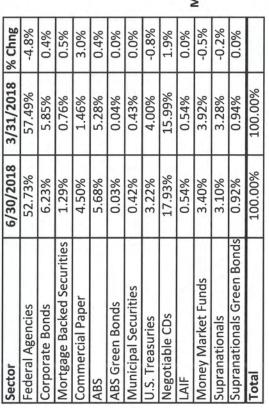
Securities are purchased with the expectation that they will be held to maturity, so unrealized gains or losses are not reflected in the yield calculations.

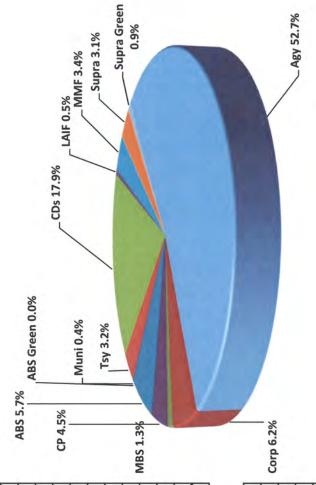
The market values of securities were taken from pricing services provided by the Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.



### Allocation by Security Types

June 30, 2018





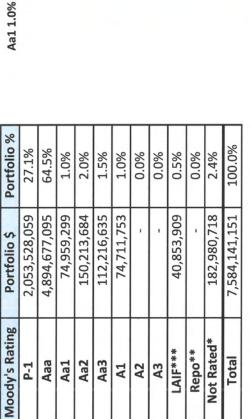
Sector	6/30/2018	3/31/2018
Federal Agencies	3,999,083,670	4,296,008,000
Corporate Bonds	472,745,630	437,414,509
Mortgage Backed Securities	97,638,101	57,053,963
Commercial Paper	341,479,876	109,151,654
ABS	430,657,064	394,758,524
ABS Green Bonds	2,317,839	3,087,091
Municipal Securities	32,009,467	32,013,562
U.S. Treasuries	244,084,503	298,655,247
Negotiable CDs	1,360,000,000	1,195,000,000
LAIF	40,853,909	40,702,471
Money Market Funds	258,136,233	293,144,175
Supranational	235,134,859	245,052,408
Supranationals Green Bonds	70,000,000	70,000,000
Total	7,584,141,151	7,472,041,604

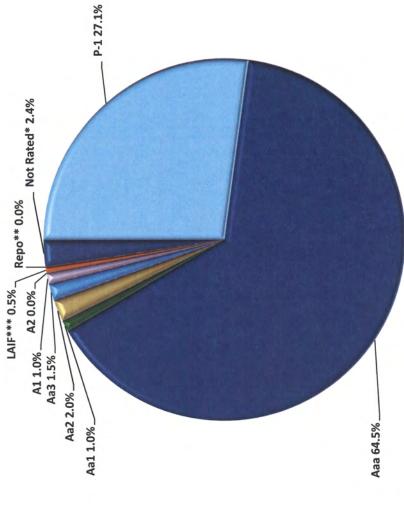
Amounts are based on book value



### Allocation by Ratings

June 30, 2018





Amounts are based on book values

<sup>\*</sup>Not Rated by Moody's but A-1+ by S&P

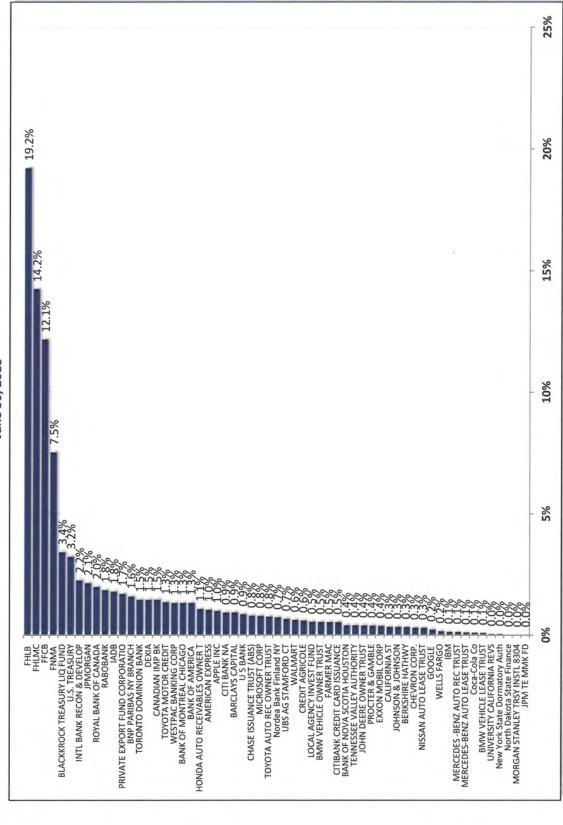
<sup>\*\*</sup>Repurchase Agreements are not rated, but are collateralized by U.S. Treasury securities or securities issued by the Federal Agencies of the U.S.

<sup>\*\*\*</sup>LAIF is not rated, but is comprised of State Code allowable securities



## Holdings by Issuer - Percent of Commingled Pool

June 30, 2018

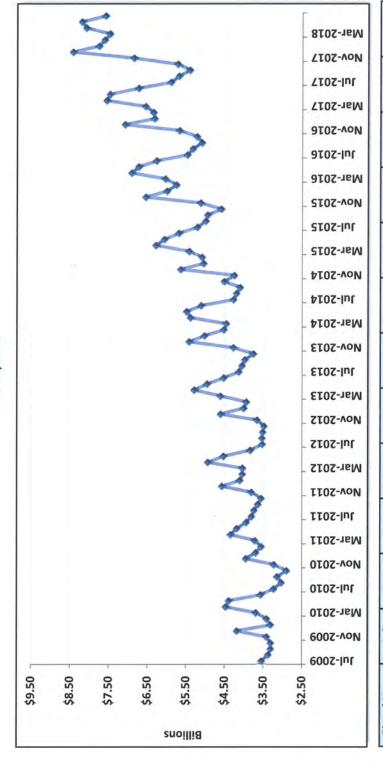


Amounts are based on book values



### June 30, 2018

**Historical Month End Book Values** 



Fiscal Year	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
FY 2010	\$3.541	\$3.373	\$3.307	\$3.307	\$3.408	\$4.175	\$3.307	\$3.408	\$3.687	\$4.463	\$4.384	\$3.536
FY 2011	\$3.230	\$3.032	\$3.143	\$5.898	\$3.227	\$3.943	\$3.695	\$3.551	\$3.712	\$4.339	\$4.179	\$3.935
FY 2012	\$3.801	\$3.736	\$3.637	\$3.555	\$3.805	\$4.567	\$4.097	\$4.040	\$4.032	\$4.926	\$4.525	\$3.833
FY 2013	\$3.508	\$3.517	\$3.515	\$3.469	\$3.645	\$4.600	\$3.918	\$3.982	\$4.606	\$5.286	\$4.952	\$4.521
FY 2014	\$4.133	\$4.052	\$3.975	\$3.758	\$4.271	\$5.419	\$5.019	\$4.520	\$4.461	\$5.386	\$5.487	\$5.108
FY 2015	\$4.267	\$4.194	\$4.096	\$4.051	\$4.247	\$5.639	\$5.045	\$5.085	\$5.420	\$6.284	\$6.065	\$5.690
FY 2016	\$5.212	\$4.990	\$4.941	\$4.587	\$5.120	\$6.543	\$5.997	\$5.752	\$6.040	\$6.911	\$6.728	\$6.263
FY 2017	\$5.469	\$5.328	\$2.088	\$5.220	\$5.671	\$7.082	\$6.319	\$6.348	\$6.550	\$7.556	\$7.469	\$6.730
FY 2018	\$5.898	\$5.689	\$5.408	\$5.720	\$6.850	\$8.427	\$7.754	\$7.608	\$7.472	\$8.079	\$8.192	\$7.584
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Amounts in billions

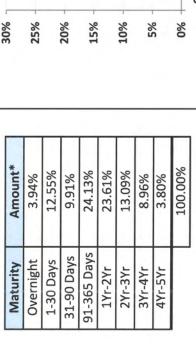


### **Distribution by Maturity**

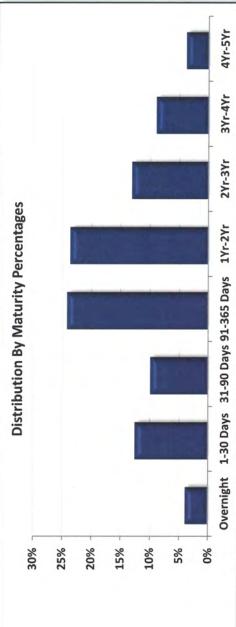
June 30, 2018

Maturity Overnight 1-30 Days 31-90 Days	Amount* 298,990,142 952,097,170 751,829,948
91-365 Days	1,830,018,117
1Yr-2Yr	1,790,258,597
2Yr-3Yr	992,957,055
3Yr-4Yr	679,433,532
4Yr-5Yr	288,556,592
	7,584,141,151

\$2,000,000,000		Ö	Distribution By Maturity Dollars	aturity	Dollars			
\$1.500.000.000			<u> </u>	100				
\$1,000,000,000				400		)		
\$500,000,000\$				Velle				
Ş							14/1	
3	-	1-30 Days	Overnight 1-30 Days 31-90 Days 91-365 Days 1Yr-2Yr	5 Days	1Yr-2Yr	2Yr-3Yr 3Yr-4Yr	3Yr-4Yr	4Yr-5Yr



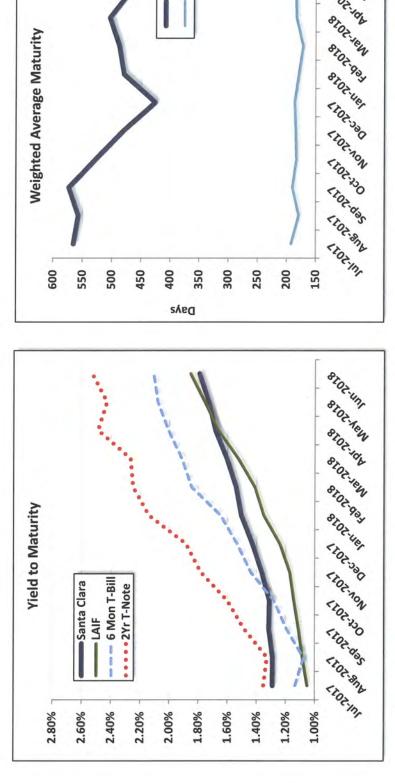






## Vield to Maturity and Weighted Average Maturity

June 30, 2018



Santa Clara

-LAIF

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III	/T-Inr	Aug-1/	Sep-1/	OCT-T/	VT-NON	Dec-1/	Jan-18	Feb-18	Mar-18	Apr-18	May-18	Jun-18
SCC YTM	1.29%	1.29%	1.32%	1.31%	1.36%	1.43%	1.50%	1.54%	1.60%	1.68%	1.73%	1.79%
LAIF YTM	1.05%	1.08%	1.11%	1.14%	1.17%	1.24%	1.35%	1.41%	1.52%	1.66%	1.76%	1.85%
6 Mon T-Bill	1.13%	1.08%	1.19%	1.28%	1.44%	1.53%	1.65%	1.85%	1.91%	2.01%	2.08%	2.11%
2Yr T-Note	1.35%	1.33%	1.49%	1.60%	1.78%	1.89%	2.14%	2.25%	2.27%	2.49%	2.43%	2.53%
SCC WAM	265	557	573	527	480	425	479	487	503	465	449	479
LAIF WAM	192	179	190	183	184	186	179	172	183	184	190	193

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### Santa Clara County

## Approved Issuers and Broker/Dealers

### June 30, 2018

### **Direct Commercial Paper Issuers**

Toyota Motor Credit General Electric

US Bank, NA

Dexia Credit Local, NY

### **Broker/Dealers**

Academy Securities, Inc

Bank of America Merrill Lynch

Barclays Capital, Inc

**BMO Capital Markets** 

**BNP Paribas Securities Corp** 

BNY Mellon Capital Markets, LLC

BOK Financial Securities (Bank of Oklahoma)

Cantor Fitzgerald & Co

**Brean Capital LLC** 

Citigroup Global Markets Inc

Daiwa Capital Markets America Inc

Deutsche Bank Securities Inc

FTN Financial Capital Markets

Incapital LLC

Jefferies & Co

JP Morgan Securities, Inc

Keybanc Capital Markets, Inc

Loop Capital Markets LLC

Mizuho Securities USA, Inc

**MUFG Securities USA LLC** 

Raymond James, Inc.

RBC Capital Markets, Inc

**UBS Financial Serviec Inc** 

Vining Sparks LP Williams Capital

## Santa Clara County Commingled Pool Compliance with Investment Policy

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	June 30, 2018 Parameters	In Compliance
		in combination
	Weighted Average Maturity (WAM) must be less than 24 months	Yes
Interest Periods	Securities must pay interest within one year of the initial investment and at least semiannually in subsequent years	Yes
Investment Swaps	Similar maturity swaps, so as not to affect cash flow needs, should have minimum 5 basis point gain	Yes
Issuer Limits	No more than 5% of the portfolio shall be invested in aggregate of any single institution of the following types: Bankers Acceptances, CP, Negotiable CDs, and Corporate Notes	Yes
U.S. Treasuries	No sector limit, no issuer limit, max maturity 5 years	Yes
U.S. Federal Agencies	No sector limit, no issuer limit, max maturity 5 years	Yes
	No sector limit, no issuer limit, CA State's deposit limit \$65 million	Yes
Repurchase Agreements	No sector limit, no Issuer limit, max maturity 92 days, treasury and agency collateral at 102% of investment, if maturity exceeds 15 days, must be collateralized by securities with 5 years or less maturities	Yes
Commercial Paper	Sector limit 40%, issuer limit 5%, max maturity 270 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by domestic corporation w/ at least \$500 mil of assets, and long term debt rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Corporate Bonds	Sector limit 30%, issuer limit 5%, max maturity 5 years, rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's), issued by domestic corps/depositories	Yes
Money Market Funds	Sector limit 20%, issuer limit 10%, rated by at least two: AAA-m (S&P/Fitch)/Aaa-mf (Moody's), MMF has at least \$500 mil managed	Yes
Negotiable Certificates of Deposit	Sector limit 30%, issuer limit 5%, max maturity 5 years, if under 1 year rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), if greater than 1 year rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Municipal Securities	Sector limit 10%, no issuer limit, State of CA, local CA agencies, and other municipal securities of the other 49 states, if long-term rated, then by at least two: A- (S&P/Fitch)/A3 (Moody's), if short-term rated, then by at least two: SP-1 (S&P), MIG-1 (Moody's), F-1 (Fitch), revenue based bonds payable solely out of the States' or local agencies' revenues	Yes
Mortgage-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of conforming residential mortgages loans insured by FHLMC/FNMA and residential mortgages guaranteed by FHA (GNMA)	Yes
Asset-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of loans such as installment/receivables, security must be rated by at least two: AA- (S&P/Fitch), Aa3 (Moody's), issuer rated by at least two: A- (S&P/Fitch), A3 (Moody's)	Yes
Supranational Debt Obligations	Sector limit 10%, max maturity 5 years, issued or unconditionally gauranteed by the IBRD, rated by at least two: AAA (S&P/Fitch), Aaa (Moody's)	Yes
Bankers' Acceptances	Sector limit 40%, issuer limit 5%, max maturity 180 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by commercial banks, collateral must exceed market value of security by 2%	Yes, None in Portfolio
Securities Lending	Sector limit 20%, max maturity 92 days for loans and reinvestment, loan counterparty must be a primary dealer, loaned securities must be owned for at least 30 days	Yes, None in Portfolio

### 13

Portfolio SCL2
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Report Ver. 7.3.6.1

SANTA CLARA COUNTY INVESTMENTS
Fund COMM - COMMINGLED POOL

Investments by Fund June 30, 2018

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current	YTW 360	YTM 365	Maturity D Date N	Days To Maturity
Negotiable CDs											
06052TZT0	38564	BANK OF AMERICA	04/05/2018	50,000,000.00	50,000,000.00	49,999,550.00	2,490	2.455	2.490	01/04/2019	187
06052TYS3	38180	BANK OF AMERICA CORP	11/10/2017	50,000,000,00	50,000,000,00	49,990,400.00	1.660	1.660	1,683	07/20/2018	19
06742TCQ7	38251	BARCLAYS CAPITAL	12/07/2017	70,000,000.00	70,000,000,07	69,972,700.00	1.840	1.840	1.865	08/17/2018	47
06371ESY4	38097	BANK OF MONTREAL CHICAGO	11/01/2017	50,000,000.00	50,000,000,00	49,976,305.50	1.610	1.610	1.632	07/13/2018	12
06371EVN4	38168	BANK OF MONTREAL CHICAGO	11/22/2017	50,000,000.00	50,000,000,00	49,970,098.00	1,720	1.720	1,743	07/31/2018	30
34959TMZ6	38456	BNP PARIBAS	02/15/2018	60,000,000,00	60,000,000,00	59,925,480.00	2.290	2.290	2.321	02/01/2019	215
05582WQ64	38200	BNP PARIBAS NY BRANCH	11/30/2017	60,000,000,00	00'000'000'09	59,968,440.00	1.770	1.770	1,794	08/24/2018	55
06417GZU5	38186	BANK OF NOVA SCOTIA HOUSTON	11/03/2017	30,000,000.00	30,000,000,00	29,991,660.00	1.670	1.670	1,693	07/31/2018	30
13606BQF6	38189	CANADIAN IMP BK	11/14/2017	60,000,000,00	60,000,000,00	59,983,800.00	1.720	1.720	1.743	08/14/2018	44
13606BQL3	38191	CANADIAN IMP BK	11/17/2017	50,000,000.00	50,000,000,00	49,990,150.00	1.700	1.700	1.723	07/31/2018	30
17305TYP1	38658	CITI BANK NA	04/27/2018	70,000,000.00	70,000,000,07	70,021,140.00	2.320	2.344	2.377	08/31/2018	61
22534H3T6	38562	CREDIT AGRICOLE	04/05/2018	45,000,000.00	45,000,000.00	45,012,060.00	2.510	2.475	2,510	01/31/2019	214
25215FCN2	38190	DEXIA	11/16/2017	70,000,000.00	70,000,000,07	69,974,170.00	1.600	1.600	1.622	07/31/2018	30
25215FDD3	38688	DEXIA	05/04/2018	40,000,000.00	40,000,000.00	40,003,800.00	2,490	2.455	2.490	01/28/2019	211
65590AQT6	38382	Nordea Bank Finland NY	01/11/2018	55,000,000.00	55,000,000,00	54,988,041.35	1.850	1.850	1,875	08/09/2018	39
21685VP78	38185	RABOBANK	11/10/2017	50,000,000.00	50,000,000,00	49,996,800.00	1.600	1,600	1.622	07/06/2018	ď
21685VT66	38284	RABOBANK	12/14/2017	90,000,000,00	90,000,000,00	89,953,200.00	1.770	1.770	1.794	08/24/2018	54
78009NVR4	36156	ROYAL BANK OF CANADA	07/30/2015	20,000,000.00	20,000,000.00	19,994,540.00	1,693	1.669	1,693	07/30/2018	59
78009N3W4	38158	ROYAL BANK OF CANADA	11/21/2017	75,000,000.00	75,000,000,00	74,946,750.00	1.720	1.720	1.743	08/24/2018	54
78009N5M4	38303	ROYAL BANK OF CANADA	12/19/2017	40,000,000.00	40,000,000.00	40,006,200.00	2.325	2.141	2.171	12/19/2018	171
78012UDV4	38751	ROYAL BANK OF CANADA	05/23/2018	15,000,000.00	15,000,000.00	15,001,035.00	2.660	2,660	2.696	05/24/2019	327
89113XPM0	38181	TORONTO DOMINION BANK	11/28/2017	60,000,000,00	60,000,000.00	59,984,100.00	1.720	1.720	1.743	07/27/2018	26
89113XE26	38563	TORONTO DOMINION BANK	04/05/2018	50,000,000.00	50,000,000,00	50,019,250.00	2.550	2.515	2,550	01/31/2019	214
90275DHB9	38472	UBS AG STAMFORD CT	02/21/2018	50,000,000.00	50,000,000,00	49,992,650.00	2.170	2.170	2.200	09/21/2018	82
96121T5P9	38339	WESTPAC BANKING CORP	12/29/2017	50,000,000,00	50,000,000,00	49,996,500,00	1.800	1.800	1.825	07/13/2018	12
96121T5N4	38340	WESTPAC BANKING CORP	12/29/2017	50,000,000.00	50,000,000,00	49,999,100.00	1.790	1.790	1.814	07/03/2018	2
		Subtotal	al and Average	1,360,000,000.00	1,360,000,000.00	1,359,657,919.85		1.923	1.949		75
Mortgage Backed Securities (MBS)	Securities (MBS	1)									
3137AYCE9	38387	FHLMC:Multi-Family	01/12/2018	20,157,000.00	20,000,000.00	19,690,974.00	2.682	2.199	2.230	10/25/2022	1.577
3137AYCE9	38391	FHLMC Multi-Family	01/16/2018	7,555,078.13	7,500,000.00	7,384,115.25	2,682	2.209	2.239		1,577

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## Fund COMM - COMMINGLED POOL

Investments by Fund June 30, 2018

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Marchagaga Bacted Scarrities (MBS)   Marchagaga Bacted Bacted Marchagaga   Marchagaga   Marchagaga Bacted Bacted Marchagaga   Ma	CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	365	Maturity Date N	Days To Maturity
1984	Mortgage Backe	ed Securities (MBS	0									
38843         FHUMK Multi-Family         002/2020/18         11,816,330,00         20,000,000.00	3137AWQH1	38465	FHLMC Multi-Family	02/22/2018	5,460,553.13	5,580,000.00	5,424,071,36	2.307	3.155	3.198	08/25/2022	1,516
39866         FHAMC Multi-Family         04(1770)8         11,516,539,06         11/745,000.00         11,566,524.86         216,539,00         11,745,000.00         11,566,524.82         26,000,000.00         15,666,524.80         2,607,000.00         1,606,529.00         1,606,529.00         1,606,529.00         1,606,529.00         1,606,524.80         2,607,000.00         1,606,520.40         2,607,000.00         2,607,344.90         2,607,244.90	3137B36J2	38643	FHLMC Multi-Family	03/20/2018	20,345,312.50	20,000,000,00	20,204,006.00	3.320	2.865	2,905	02/25/2023	1,700
38774         FHAMA Multi-Family         D6002010         1370 50000000         14400000000         15 800 74 50         14400 MBF amily         312 10x2020           38656         FHAMA Multi-Family         Q43027001         4,550,514 B0         3,576,524 A         3,564,349 B         2,580         2,880<	3137AYCE9	38666	FHLMC Multi-Family	04/17/2018	11,616,539.06	11,745,000.00	11,563,524.48	2,682	3.032	3,074	10/25/2022	1,577
38477         FNMA Multi-Family         DD2/TD7016         3.556.318.00         3.576.54.24         3.664.944.00         2.059         2.08         2.08         0.001/2020           38684         FNMA Multi-Family         DD4/30/2018         4,599.099.90         4,500.000.00         <	3137AYCE9	38774	FHLMC Multi-Family	06/05/2018	19,788,281.25	20,000,000.00	19,690,974,00	2,682	3.070	3.112	10/25/2022	1,577
38864   FNMA Multi-Family   04-30/2018   4,589,599.9   4,500,000.0   4,553,50.4   3,560   2,746   2,784   995,22/2021     38795   FMAM Multi-Family   Sublotal and Average   97,638,701.07   97,401,534,24   96,589,270.8   2,560   2,744   2,789   97,247     38795   FFOR NOTES   0401/2015   1,4586,132.0   15,000,000.0   1,589,134,51   1,590,134,51   1,500,134,54   1,590,134,51   1	3138LAYM5	38477	FNMA Multi-Family	02/27/2018	3,536,318,00	3,576,554.24	3,504,344.90	2,550	2.888	2.928	09/01/2022	1,523
Public Number   Public Numbe	3136B1XP4	38664	FNMA Multi-Family	04/30/2018	4,589,509.50	4,500,000,00	4,563,630,45	3.560	2.746	2.784	09/25/2021	1,182
gency Bonds         Subtotal and Average         97,539,101,07         97,403,101,07         97,403,40,40         96,589,270,69         98,73,46,10         1,300         1,269         1,270         1,770	3136B1XP4	38665	FNMA Multi-Family	04/30/2018	4,589,509.50	4,500,000,00	4,563,630.45	3.560	2.746	2.784	09/25/2021	1,182
gency Bonds         FARMER MAC         01/17/2018         40,000,000.00         40,000,000.00         1650 </td <td></td> <td></td> <td></td> <td>Subtotal and Average</td> <td>97,638,101.07</td> <td>97,401,554.24</td> <td>96,589,270.89</td> <td></td> <td>2.744</td> <td>2.783</td> <td></td> <td>1,560</td>				Subtotal and Average	97,638,101.07	97,401,554.24	96,589,270.89		2.744	2.783		1,560
38386         FARMER MAC         01/17/2018         4,0,000,000.00         4,0,000,000.00         1,650	Federal Agency	Bonds										
1         35799         FFCB NOTES         04/01/2015         1,944,789.31         9,850,000.00         9,877,345,10         1,300         1,326         1,179,12019           38370         FFCB NOTES         11/19/2015         14,986,182.16         15,000,000.00         1,4986,485.00         1,200         1,200         1,201         1,102	3132X0E98	38395	FARMER MAC	01/17/2018	40,000,000.00	40,000,000.00	39,998,040,00	1.650	1.628	1.650	08/17/2018	47
33370         FFCB NOTES         11/19/2015         14,999,770.00         15,000,000.00         14,986,385.00         12,20         11,12         12,20	3133EEWG1	35799	FFCB NOTES	04/01/2015	9,944,789.31	9,950,000.00	9,877,345.10	1.300	1,353	1,371	04/01/2019	274
36584         FFCB NOTES         02/24/2016         14,996,182.16         15,000,000.00         14,891,220.00         1,030         1,057         1,027         1,021	3133EFPJ0	36370	FFCB NOTES	11/19/2015	14,999,770.00	15,000,000,00	14,956,365.00	1.290	1.276	1.294	11/19/2018	141
4         37748         FFCB NOTES         04/27/2016         26,827,349.31         26,855,000.00         26,570,471.28         1.00         1.112         1,128         04/28/201           4         37018         FFCB NOTES         10,000,000.00         9,560,520.00         1280         1243         1280         09/28/201           3 7049         FFCB NOTES         10,17/2016         2,000,000.00         4,560,520.00         0.340         0.820         10,717,201           3 7142         FFCB NOTES         11/17/2016         24,000,000.00         4,560,200.00         0.940         0.820         10,717,201           3 7194         FFCB NOTES         1220/2016         24,000,000.00         4,500,000.00         35,000,000.00         35,000,000.00         1,550,400,000.00         1,751	3133EFZN0	36584	FFCB NOTES	02/24/2016	14,996,182.16	15,000,000.00	14,891,220.00	1.030	1.057	1.072	02/12/2019	226
4         37018         FFCB NOTES         09/30/2016         10,006,102.96         10,000,000.00         9,550,520.00         1,280         10/20/2018         10,006,102.96         4,984,790.00         0,940,790.00         1,280         10/17/2018         10/17/2018         10,000,000.00         4,984,790.00         0,944,790.00         0,940         0,947         1,980         1,11/17/2018         10/17/2018         2,000,000.00         2,500,000.00         3,784,740.00         2,041         1,982         1,097         1,11/17/2018         1,11/17/2018         2,980,484.40         25,000,000.00         3,784,740.00         2,041         1,082         1,097         1,11/17/2018         1,11/17/2018         2,980,484.40         25,000,000.00         3,784,740.00         2,041         1,082         1,097         1,11/17/2018         1,000,000.00         3,580,000.00         3,784,740.00         2,010         1,000         2,000         3,784,740.00         2,010         1,000         2,000         3,780,000.00         3,780,000.00         3,780,000.00         3,780,000.00         3,780,000.00         3,780,000.00         3,780,000.00         3,780,000.00         3,780,000.00         3,780,000.00         3,780,000.00         3,780,000.00         3,780,000.00         3,780,000.00         3,780,000.00         3,780,000.00         3,780,000.00         <	3133EF4Y0	36726	FFCB NOTES	04/27/2016	26,827,349.31	26,855,000.00	26,570,471,28	1.000	1.112	1.128	04/26/2019	299
37049         FFCB NOTES         10/17/2016         5,000,000,00         5,000,000,00         4,984,790,00         0,940         0,927         0,940         10/17/2018           3712         FFCB NOTES         11/17/2016         24,980,484.0         25,000,000.0         24,884,950.0         0,890         1,092         1,097         11/16/2018           3714         FFCB NOTES         12/20/2016         35,000,000.0         35,000,000.0         2,000         1,092         1,097         11/16/2018           3726         FFCB NOTES         12/20/2016         25,000,000.0         35,000,000.0         2,000         1,090         1,090         1,175         175         176         177<	3133EGWH4	37018	FFCB NOTES	09/30/2016	10,006,102.95	10,000,000,00	9,550,520.00	1.280	1.243	1.260	09/29/2021	1,186
37112         FFCB NOTES         11/17/2016         24,980,848.40         25,000,000.00         24,884,950.00         0,880         1,082         1,087         11/16/2018           37194         FFCB NOTES         12/08/2016         10,000,000.00         9,759,740.00         2,010         1982         2,01         12/08/2019         1,11/16/2018           37194         FFCB NOTES         12/08/2016         35,000,000.00         35,000,000.00         2,01         1,55         1,75	3133EGYN9	37049	FFCB NOTES	10/17/2016	5,000,000.00	5,000,000.00	4,984,790.00	0.940	0.927	0.940	10/17/2018	108
37184         FFCB NOTES         1208/2016         10,000,000.00         9,759,740.00         2,010         1,982         2.010         1208/2020         1,751         1,752         1,752         1,752         1,752         1,752         1,752         1,752         1,752         1,772         1,772	3133EGJ48	37112	FFCB NOTES	11/17/2016	24,980,848.40	25,000,000.00	24,884,950.00	0.890	1,082	1.097	11/16/2018	138
37265         FFCB NOTES         12/20/2016         35,000,000.00         35,000,000.00         35,000,000.00         35,000,000.00         4,880,045.00         2.04         1,751         1,776         08/20/2019           37305         FFCB NOTES         12/20/2016         25,001,000.00         5,000,000.00         24,730,050.00         1,550         1,490         1,511         09/27/2019           37305         FFCB NOTES         01/27/2017         5,000,000.00         5,000,000.00         20,000,000.00         1,560         1,290         1,501         09/27/2019           37309         FFCB NOTES         02/01/2017         20,000,000.00         20,000,000.00         1,500	3133EGT47	37194	FFCB NOTES	12/08/2016	10,000,000,00	10,000,000,00	9,759,740.00	2.010	1.982	2.010	12/08/2021	1,256
37305         FFCB NOTES         12/30/2016         25,001,000.00         24,730,050.00         1,550         1,490         1,511         09/27/2019           37378         FFCB NOTES         01/27/2017         5,000,000.00         5,000,000.00         4,880,045.00         2,030         1,511         09/27/2019         1           37378         FFCB NOTES         02/01/2017         20,000,000.00         20,000,000.00         19,894,740.00         1,300         1,282         1,30         02/01/2019           37399         FFCB NOTES         02/03/2017         14,998,887.78         15,000,000.00         14,772,105.00         1,50         1,55         1,55         02/01/2019           37404         FFCB NOTES         02/03/2017         14,998,887.78         15,000,000.00         14,772,105.00         1,50         1,53         1,55         02/01/2019           37502         FFCB NOTES         03/02/2017         19,987,534.81         20,000,000.00         19,667,200.00         15,50         1,491         1,441         03/20/2019           37504         FFCB NOTES         03/20/2017         13,489,619.54         13,475,000.00         24,885,925.00         1,450         1,441         03/20/2019           37628         FFCB NOTES         03/02/2017	3133EGX67	37265	FFCB NOTES	12/20/2016	35,000,000.00	35,000,000.00	35,082,355.00	2.204	1,751	1.776	08/20/2019	415
37378         FFCB NOTES         01/27/2017         5,000,000.00         5,000,000.00         4,880,045.00         2,030         2,032         2,032         2,032         1,27/2012         1           37399         FFCB NOTES         02/01/2017         20,000,000.00         20,000,000.00         19,894,740.00         1,380         1,282         1,300         20,01/2019           37404         FFCB NOTES         02/01/2017         14,998,887.78         15,000,000.00         14,772,105.00         1,260         1,282         1,300         20/17/2019           37404         FFCB NOTES         02/16/2017         14,994,707.15         15,000,000.00         14,772,105.00         1,260         1,282         1,300         20/17/2019           37502         FFCB NOTES         02/16/2017         19,987,534.81         20,000,000.00         13,396,285.58         1,450         1,485         1,500         1,17/2019           37568         FFCB NOTES         03/20/2017         13,469,619.54         13,475,000.00         1,450         1,485         1,500         1,480         1,450         1,480         1,490         1,441         03/20/2019           37548         FFCB NOTES         03/20/2017         24,998,639.88         25,000,000.00         24,872,525.00         1,	3133EG2D6	37305	FFCB NOTES	12/30/2016	25,011,861.70	25,000,000,00	24,730,050.00	1.550	1,490	1,511	09/27/2019	453
37399         FFCB NOTES         02/01/2017         20,000,000.00         20,000,000.00         19,894,740.00         1.300         1.282         1.300         02/01/2019           37404         FFCB NOTES         02/03/2017         14,998,887.78         15,000,000.00         14,772,105.00         1.560         1.533         1.554         02/03/2020           37404         FFCB NOTES         02/16/2017         14,998,734.81         15,000,000.00         14,772,105.00         1.550         1.537         1.56         03/02/2020           37502         FFCB NOTES         03/20/2017         19,987,534.81         20,000,000.00         14,923,515.00         1.550         1.537         1.56         03/20/2019           1         37574         FFCB NOTES         03/20/2017         25,001,438.89         25,000,000.00         24,875,525.00         1.465         1.44         03/20/2019           37574         FFCB NOTES         03/30/2017         24,986,639.88         25,000,000.00         24,875,525.00         1.450         1.44         03/20/2019           37638         FFCB NOTES         03/30/2017         15,000,000.00         14,755,680.00         1.56         1.44         03/20/2019           37686         FFCB NOTES         04/19/2017         14,996,884.92 </td <td>3133EG5D3</td> <td>37378</td> <td>FFCB NOTES</td> <td>01/27/2017</td> <td>5,000,000.00</td> <td>5,000,000.00</td> <td>4,880,045.00</td> <td>2.030</td> <td>2.002</td> <td>2.030</td> <td>01/27/2022</td> <td>1,306</td>	3133EG5D3	37378	FFCB NOTES	01/27/2017	5,000,000.00	5,000,000.00	4,880,045.00	2.030	2.002	2.030	01/27/2022	1,306
37404         FFCB NOTES         02/03/2017         14,998,887.78         15,000,000.00         14,772,105.00         1,556         1,533         1,556         02/03/2020           37404         FFCB NOTES         02/16/2017         14,998,887.78         15,000,000.00         14,923,515.00         1,520         1,537         1,556         02/03/2020           37502         FFCB NOTES         03/02/2017         19,987,534.81         20,000,000.00         19,667,200.00         1,520         1,537         1,56         03/20/201           37504         FFCB NOTES         03/20/2017         13,469,619.54         13,475,000.00         1,450         1,450         1,485         1,50         03/20/2019           37574         FFCB NOTES         03/20/2017         25,001,438.89         25,000,000.00         24,872,525.00         1,450         1,472         1,441         03/20/2019           37628         FFCB NOTES         03/30/2017         24,988,639.88         25,000,000.00         24,872,525.00         1,578         1,341         03/17/2019           37638         FFCB NOTES         04/13/2017         14,996,884.92         15,000,000.00         14,755,680.00         1,578         1,580         04/19/2020           37686         FFCB NOTES         04/13/2017 <td>3133EG5Q4</td> <td>37399</td> <td>FFCB NOTES</td> <td>02/01/2017</td> <td>20,000,000.00</td> <td>20,000,000.00</td> <td>19,894,740,00</td> <td>1,300</td> <td>1.282</td> <td>1.300</td> <td>02/01/2019</td> <td>215</td>	3133EG5Q4	37399	FFCB NOTES	02/01/2017	20,000,000.00	20,000,000.00	19,894,740,00	1,300	1.282	1.300	02/01/2019	215
37466         FFCB NOTES         02/16/2017         14,994,707.15         15,000,000,00         14,923,515.00         1.250         1.257         1.35         1.17/2019           37502         FFCB NOTES         03/02/2017         19,987,534.81         20,000,000,00         19,667,200.00         15,20         1,537         1,56         03/02/2010           37574         FFCB NOTES         03/20/2017         25,001,438.89         25,000,000,00         24,872,555.00         1,450         1,422         1,44         03/20/2019           3762B         FFCB NOTES         03/20/2017         24,988,639.88         25,000,000,00         24,872,555.00         1,450         1,422         1,44         03/20/2019           3762B         FFCB NOTES         04/06/2017         15,000,000.00         14,753,100.00         1,550         1,34         1,501         1,500         1,500         1,578         1,500         04/06/2010           3763B         FFCB NOTES         04/13/2017         14,996,884.92         15,000,000,00         14,755,600         1,578         1,580         04/06/2020           3768B         FFCB NOTES         04/13/2017         14,996,884.92         15,000,000.00         14,735,600         1,550         1,591         1,580         04/13/2020	3133EG6C4	37404	FFCB NOTES	02/03/2017	14,998,887.78	15,000,000.00	14,772,105.00	1.550	1.533	1.554	02/03/2020	582
37502         FFCB NOTES         03/02/2017         19,987,534.81         20,000,000,00         19,667,200.00         1,520         1,537         1,568         03/02/2020           37568         FFCB NOTES         03/20/2017         13,469,619.54         13,475,000,00         14,500         1,450         1,445         1,506         03/20/2019           37574         FFCB NOTES         03/20/2017         25,001,438,89         25,000,000,00         24,872,555.00         1,450         1,422         1,441         03/20/2019           37628         FFCB NOTES         03/30/2017         24,988,639.88         25,000,000,00         24,872,555.00         1,260         1,316         1,314         01/17/2019           37639         FFCB NOTES         04/06/2017         15,000,000,00         14,755,100.00         1,560         1,578         1,500         04/06/2020           37639         FFCB NOTES         04/13/2017         14,996,584.92         15,000,000,00         14,755,660.00         1,550         1,578         1,500         04/06/2020           37686         FFCB NOTES         04/13/2017         9,998,157.22         10,000,000.00         9,824,440,00         1,550         1,539         1,560         04/13/2020	3133EG3X1	37466	FFCB NOTES	02/16/2017	14,994,707.15	15,000,000,00	14,923,515.00	1.250	1.297	1,315	01/17/2019	200
37568         FFCB NOTES         03/20/2017         13,469,619.54         13,475,000.00         13,396,285.58         1,450         1,485         1,506         03/20/2019           37574         FFCB NOTES         03/20/2017         25,001,438.89         25,000,000.00         24,872,525.00         1,450         1,485         1,506         1,470 <td< td=""><td>3133EHBA0</td><td>37502</td><td>FFCB NOTES</td><td>03/02/2017</td><td>19,987,534.81</td><td>20,000,000,00</td><td>19,667,200.00</td><td>1.520</td><td>1,537</td><td>1.558</td><td>03/02/2020</td><td>610</td></td<>	3133EHBA0	37502	FFCB NOTES	03/02/2017	19,987,534.81	20,000,000,00	19,667,200.00	1.520	1,537	1.558	03/02/2020	610
37574         FFCB NOTES         03/20/2017         25,001,438.89         25,000,000.00         24,853.925.00         1,450         1,422         1,441         03/20/2019           37628         FFCB NOTES         03/30/2017         24,988.639.88         25,000,000.00         24,872,525.00         1,250         1,316         1,334         01/17/2019           37639         FFCB NOTES         04/06/2017         15,000,000.00         14,753,100.00         1,600         1,578         1,500         04/06/2020           37666         FFCB NOTES         04/13/2017         14,996,584.92         15,000,000.00         14,736,680.00         1,550         1,541         1,583         04/13/2020           37666         FFCB NOTES         04/13/2017         9,998,157.22         10,000,000.00         9,824,440.00         1,539         1,560         04/13/2020	3133EHCX9	37566	FFCB NOTES	03/20/2017	13,469,619.54	13,475,000.00	13,396,265.58	1.450	1.485	1,506	03/20/2019	262
37628 FFCB NOTES 03/30/2017 24,988,639.88 25,000,000.00 24,872,525.00 1.250 1.316 1.334 01/17/2019 37639 FFCB NOTES 04/13/2017 15,000,000.00 14,753,100.00 1.550 1.578 1.563 04/13/2020 37665 FFCB NOTES 04/13/2017 9,998,584.92 15,000,000.00 14,736,660.00 1.550 1.541 1.563 04/13/2020 37666 FFCB NOTES 04/13/2017 9,998,157.22 10,000,000.00 9,824,440.00 1.550 1.539 1.560 04/13/2020	3133EHCX9	37574	FFCB NOTES	03/20/2017	25,001,438.89	25,000,000.00	24,853,925.00	1,450	1.422	1.441	03/20/2019	262
37639 FFCB NOTES 04/06/2017 15,000,000.00 14,753,100.00 1.600 1.578 1.800 04/06/2020 37665 FFCB NOTES 04/13/2017 14,996,584.92 15,000,000.00 14,736,660.00 1.550 1,541 1.563 04/13/2020 37666 FFCB NOTES 04/13/2017 9,998,157.22 10,000,000.00 9,824,440.00 1.550 1,539 1,580 04/13/2020	3133EG3X1	37628	FFCB NOTES	03/30/2017	24,988,639.88	25,000,000.00	24,872,525.00	1,250	1.316	1.334	01/17/2019	200
37665 FFCB NOTES 04/13/2017 14,996,584.92 15,000,000.00 14,736,680.00 1,550 1,541 1,563 04/13/2020 37666 FFCB NOTES 04/13/2017 9,998,157.22 10,000,000.00 9,824,440.00 1,550 1,539 1,560 04/13/2020	3133EHEZ2	37639	FFCB NOTES	04/06/2017	15,000,000.00	15,000,000.00	14,753,100.00	1,600	1,578	1.600	04/06/2020	645
37666 FFCB NOTES 04/13/2017 9,998,157.22 10,000,000.00 9,824,440.00 1.550 1.539 1.560 04/13/2020	3133EHFL2	37665	FFCB NOTES	04/13/2017	14,996,584.92	15,000,000,00	14,736,660.00	1.550	1,541	1.563	04/13/2020	652
	3133EHFL2	37666	FFCB NOTES	04/13/2017	9,998,157.22	10,000,000.00	9,824,440.00	1.550	1,539	1.560	04/13/2020	652

AP-FI (PRF\_FI) 7.1.1 Report Ver. 7.3,6.1 Portfolio SCL2

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1,158 1,158 1,220 115 346 346 1,158 635 439 Maturity Days To Date Maturity 753 848 848 880 880 1,564 837 572 1,037 1,037 677 1,177 361 572 1,634 04/13/2020 10/21/2019 10/24/2018 06/12/2019 06/12/2019 06/27/2019 12/21/2022 12/26/2019 07/06/2018 09/13/2019 09/13/2019 07/06/2018 08/15/2018 03/08/2019 05/08/2020 07/23/2020 10/26/2020 11/27/2020 10/12/2022 10/15/2020 03/27/2020 01/24/2020 01/24/2020 10/26/2020 11/27/2020 05/03/2021 05/03/2021 09/01/2021 09/01/2021 09/01/202 09/20/2021 11/02/2021 1.213 1,196 1,750 1.700 1,739 1.762 2,429 2,253 2.420 2.869 3.299 1.039 YTM 365 1.561 1.543 375 1,375 647 1,642 1,780 955 1.970 1.982 2.371 2.854 000 1.340 010 2.511 351 1.715 2.338 619 2,395 986'0 1,196 406 1.180 1.726 1.726 1.539 .522 356 1.676 756 928 943 2,387 2.829 3,253 966'0 YTM 360 356 .624 1,737 .954 2,222 2.814 2,476 .332 1.025 .321 Current 375 700 1,700 1.700 1.660 1,750 1.900 1,730 2.440 2,250 2,375 2.420 2.420 2.875 2.530 2,000 2.000 1.875 440 .200 .750 750 .550 .570 375 .750 .950 900 000 1.000 1.050 Market Value 24,666,600,00 26,435,798.00 4,869,770.00 34,355,265.00 11,751,528.00 4,953,765.00 2,906,532.00 4,844,220.00 6,781,908.00 9,670,420.00 9,798,470.00 4,899,235.00 9,724,557.68 8,162,308.80 9,969,160.00 5,019,820.00 24,997,250.00 9,409,956.60 6,895,716.90 9,973,520,00 9,824,440.00 9,739,540.00 4,953,765.00 14,734,005.00 21,167,853.85 22,894,545.00 24,474,789.50 14,975,280.00 29,950,560.00 0,002,090.00 25.021,930.05 1,998,680.00 Par Value 15,000,000.00 00.000,000,01 25,000,000.00 26,502,000,00 12,000,000,00 5,000,000.00 00'000'000'01 5,000,000.00 9,975,000.00 8,550,000.00 25,155,000.00 10,000,000.00 5,000,000,00 00'000'000'0 35,000,000.00 5,000,000.00 3,000,000.00 5,000,000.00 7,000,000,00 00'000'000'01 15,000,000.00 21,550,000.00 23,000,000.00 24,500,000.00 10,000,000,01 30,000,000,00 5,000,000.00 10,000,000,01 25,000,000.00 9,460,000.00 2,000,000,00 **Book Value** 9,998,157.22 5,004,308,33 26,502,277.29 5,000,000.00 10,000,000,01 34,993,082.96 12,006,482.74 5,000,000.00 5,000,000.00 3,004,845.00 5,000,000.00 7,012,357.92 9,975,290.76 9,997,293.98 4,996,520.83 9,973,326.82 14,996,391.67 21,508,894,38 8,329,393,22 24,499,418.53 9,992,348.89 14,993,297.62 29,992,493.33 4,978,484.55 10,002,689.94 25,000,000.00 9,532,479.03 25,344,455,97 11,999,983.48 16,915,204.67 10,044,206,49 23,005,705.98 Purchase Date 04/09/2015 04/09/2015 04/15/2015 34/13/2017 04/21/2017 04/24/2017 05/03/2017 05/03/2017 35/08/2017 05/23/2017 06/12/2017 36/12/2017 09/01/2017 09/01/2017 09/01/2017 09/20/2017 10/26/2017 10/26/2017 11/10/2017 11/27/2017 12/01/2017 12/20/2017 03/15/2018 03/27/2018 03/27/2018 04/24/2018 04/24/2018 05/21/2018 06/29/2018 04/06/2015 04/14/2015 FFCB NOTES FHLB NOTES FHLB NOTES FHLB NOTES FCB NOTES HLB NOTES FHLB NOTES Issuer Investment # 38026 38169 38310 37725 37746 37762 37793 37841 37901 37905 38024 38025 38046 38081 38082 38126 38204 38506 38544 38545 38629 38630 38718 35803 35826 35855 35865 35867 37761 38831 35827 Federal Agency Bonds 3133EHWM1 3133EHGA5 3133EHWM1 3133EHW58 3133EHW58 3133EHGE7 3133EHHG1 3133EHKH5 3133EHMR1 3133EHWM 3133EGYC3 3130A4WA6 3133EHHG1 3133EHMR1 3133EHZA4 3133EJGH6 3130A4WA6 3133EHFL2 3133EHJA2 3133EHJ95 3133EHJ95 3133EHP31 3133EJHS1 3133EJHL6 3133EJLU1 3133EJPX1 3133EJSU4 313383VNB 313383VNB 3130A4YA4 313378QK0 3133EJLU1 CUSIP

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTW 360	YTM 365	Maturity Da Date Ma	Days To Maturity
Federal Agency Bonds	/ Bonds										
3130A57J2	35952	FHLB NOTES	05/06/2015	9,999,984.43	10,000,000.00	9,998,890.00	1.000	766.0	1.011	07/06/2018	20
3130A57G8	35958	FHLB NOTES	05/07/2015	14,999,545,85	15,000,000.00	14,986,260,00	1.050	1.066	1.081	08/07/2018	37
3130A6AE7	36228	FHLB NOTES	09/16/2015	4,999,261.87	5,000,000.00	4,990,430.00	1,125	1,182	1.199	09/14/2018	75
3130A5Z77	36288	FHLB NOTES	10/21/2015	5,033,938.65	5,000,000.00	4,920,655.00	1.830	1,469	1.490	07/29/2020	759
3130A5Z77	36289	FHLB NOTES	10/19/2015	5,038,574.19	5,000,000.00	4,920,655.00	1.830	1.424	1,444	07/29/2020	759
3130A5Z77	36298	FHLB NOTES	10/23/2015	5,037,770.51	5,000,000.00	4,920,655.00	1.830	1.432	1.452	07/29/2020	759
3130A5Z77	36308	FHLB NOTES	10/26/2015	10,062,005.84	10,000,000.00	9,841,310.00	1.830	1.498	1.519	07/29/2020	759
3130A5Z77	36310	FHLB NOTES	10/26/2015	5,031,832.57	5,000,000,00	4,920,655.00	1,830	1,490	1.511	07/29/2020	759
313379EE5	36402	FHLB NOTES	12/02/2015	17,228,477.63	17,200,000.00	17,075,162.40	1.625	1,243	1,261	06/14/2019	348
313375K48	36508	FHLB NOTES	01/11/2016	20,032,171.55	20,000,000.00	19,995,920.00	2.000	1,175	1.191	09/14/2018	75
313379EE5	36550	FHLB NOTES	02/05/2016	15,070,983.13	15,000,000.00	14,891,130.00	1.625	1,102	1.117	06/14/2019	348
3130A7PU3	36679	FHLB NOTES	04/11/2016	10,009,071.43	10,000,000.00	9,766,270.00	1.200	1,131	1,147	04/06/2020	645
3133782M2	36707	FHLB NOTES	04/19/2016	20,087,942.25	20,015,000.00	19,913,884.22	1.500	0.946	0.960	03/08/2019	250
313378J77	36756	FHLB NOTES	05/12/2016	15,187,787.83	15,000,000.00	14,831,265.00	1.875	1,105	1.120	03/13/2020	621
313378J77	36760	FHLB NOTES	05/13/2016	6,072,189.39	6,000,000.00	5,932,506.00	1.875	1,133	1.149	03/13/2020	621
3130A8KR3	36854	FHLB NOTES	06/29/2016	25,250,283,06	25,250,000.00	25,246,717,50	0.820	0.715	0.725	07/06/2018	5
3133834H1	36877	FHLB NOTES	07/12/2016	15,091,279.15	15,000,000.00	14,652,840,00	1,375	1.040	1,055	06/12/2020	712
313378J77	36886	FHLB NOTES	07/15/2016	15,198,435.66	15,000,000.00	14,831,265.00	1.875	1.055	1.069	03/13/2020	621
3130A7L37	36896	FHLB NOTES	07/21/2016	5,010,410.27	5,000,000.00	4,963,770.00	1.250	0.939	0.952	03/15/2019	257
313380WG8	36993	FHLB NOTES	09/30/2016	19,608,301.16	19,500,000.00	18,988,066.50	1.375	1.100	1,115	09/11/2020	803
313380WG8	37022	FHLB NOTES	10/06/2016	12,037,853.00	12,000,000.00	11,684,964.00	1,375	1.210	1.227	09/11/2020	803
3133834H1	37037	FHLB NOTES	10/13/2016	10,025,191.36	10,000,000.00	9,768,560.00	1.375	1.225	1.242	06/12/2020	712
313380WG8	37054	FHLB NOTES	10/24/2016	10,033,929.85	10,000,000.00	9,737,470.00	1.375	1,199	1.216	09/11/2020	803
313383HU8	37058	FHLB NOTES	10/19/2016	15,167,295.01	15,000,000,00	14,755,905.00	1.750	1.147	1.163	06/12/2020	712
313380WG8	37072	FHLB NOTES	10/28/2016	13,892,148.63	13,860,000.00	13,496,133.42	1.375	1,248	1,266	09/11/2020	803
3130AA3R7	37108	FHLB NOTES	11/17/2016	34,996,311.04	35,000,000.00	34,479,130.00	1,375	1.363	1.382	11/15/2019	502
3130AABG2	37149	FHLB NOTES	11/30/2016	14,959,248.69	15,000,000.00	14,593,875.00	1.875	1.931	1,957	11/29/2021	1,247
3130AAE46	37190	FHLB NOTES	12/08/2016	49,999,485.49	50,000,000,00	49,739,700.00	1.250	1.234	1.252	01/16/2019	199
3130AADN5	37289	FHLB NOTES	12/28/2016	40,001,237.60	40,000,000,00	39,601,520.00	1,375	1.353	1.371	06/28/2019	362
3130A8Y72	37372	FHLB NOTES	01/26/2017	34,784,426.95	35,000,000,00	34,572,195.00	0.875	1.430	1.450	08/05/2019	400
3130AAXX1	37548	FHLB NOTES	03/10/2017	19,983,619.78	20,000,000.00	19,872,000.00	1.375	1.471	1.491	03/18/2019	260
3130AAXX1	37549	FHLB NOTES	03/10/2017	19,983,619.78	20,000,000.00	19,872,000.00	1.375	1.471	1,491	03/18/2019	260

97614 37614 37626 37711 37726 37716 3778 3778 37816 3778 38733 38178 38448 38448 38448 38570 38570 38570 38573 38615 38615 38633 38633 36340 36992 37443	Date	Book Value	Par Value	Market Value	Current	360	365	Maturity Days 10 Date Maturity	Maturity
37614 37626 37711 37726 37778 37816 38033 38102 38102 38178 38202 38178 38202 38202 3844 38570 38570 38570 38570 38570 38570 38573 38532 38532 36332 36332 36332 36332 36340 36992 37443									
37626 37711 37726 37778 37726 37726 38033 38102 38178 38202 38178 38202 3844 3844 38570 38570 38570 38576 38576 38576 38573 38372 36332 36332 36332 36332 36332 36340 36992 37443	TES 03/28/2017	25,000,226.23	25,000,000.00	24,840,000.00	1,375	1.354	1.373	03/18/2019	260
37711 37726 37726 37726 37726 38178 38033 38178 38202 38178 38247 38269 3844 38570 38570 38570 38576 38576 38573 38573 38573 38573 38537 36332 36332 36332 36332 36392 36992 37443	TES 03/31/2017	19,995,617.21	20,000,000.00	19,961,720.00	1,125	1,217	1.234	09/14/2018	75
37726 37778 37778 3778 38033 38102 38178 38202 38247 38269 38448 38448 38570 38570 38570 38578 38578 38578 38578 38578 38578 38578 38578 38578 38578 38578 38578 38578 38578 38578 38578 38578 38578 38773 38773 38773 38773 38773 38773 38773 38773 38773 38774 35873 36992 37743	TES 04/13/2017	25,884,058.61	25,860,000.00	25,235,584,44	1.750	1.690	1.714	03/12/2021	988
37778 3778 37816 37816 38033 38102 38178 38269 38247 38269 38418 38570 38576 38576 38576 38578 38733 38733 38733 36337	TES 04/19/2017	25,378,921,68	25,000,000.00	24,552,175.00	2.250	1.794	1.819	03/11/2022	1,349
37816 37961 38033 38102 38102 38269 38269 38418 38418 38570 38570 38570 38570 38573 38573 38573 38573 38573 38573 38573 38574 38615 36615 36337 36337 36337 36337 36340 36992 37443	TES 04/28/2017	14,078,809.04	14,000,000.00	13,842,514,00	1.875	1,513	1,535	03/13/2020	621
37961 38033 38102 38102 38202 38247 38269 38448 38448 38570 38570 38576 38576 38573 38573 38573 38615 38733 38615 38615 38733 38615 38733 36989 36989 37443	TES 05/24/2017	30,000,000.00	30,000,000,00	29,741,370.00	1.400	1.380	1.400	05/24/2019	327
38033 38102 38102 38202 38247 38269 38418 38469 38570 38570 38570 38573 38573 38573 38573 38573 38615 38733 38615 38733 36989 36992 37443	TES 06/30/2017	5,018,163.97	5,000,000.00	4,882,385.00	1.875	1.722	1.746	06/11/2021	1.076
38102 38178 38202 38247 38269 38418 38436 38570 38570 38576 38573 38573 38615 38615 38615 38633 36332 36332 36332 36337 36340 36989 37443	TES. 09/08/2017	21,948,190.60	22,000,000.00	21,389,500.00	1.375	1.462	1.482	09/28/2020	820
38178 38202 38202 38269 38418 38418 38570 38570 38576 38573 38615 38615 38615 38633 36332 36332 36332 36337 36337 36340 36989 37443	TES 11/03/2017	10,016,214.67	10,000,000.00	9,795,390.00	1.875	1.781	1,806	12/11/2020	894
38202 38247 38269 38448 38436 38469 38570 38578 38615 38615 38615 38633 36332 36332 36332 36337 36340 36989 37443	TES 11/28/2017	25,000,000.00	25,000,000.00	24,488,300.00	2.000	1.972	2.000	05/28/2021	1,062
38247 38269 38418 38436 38469 38570 38578 38578 38615 38615 38733 38733 3837 36337 36337 36989 36992 37443	TES 11/30/2017	24,937,681.65	25,000,000.00	24,869,850.00	1,250	1.692	1,716	01/16/2019	199
38269 38418 38436 38469 38570 38578 38615 38615 38673 38673 3837 36337 36337 36992 36992 37443	TES 12/08/2017	24,913,732,02	25,000,000.00	24,593,175,00	1.750	1.905	1.932	06/12/2020	712
38418 38436 38469 38570 38578 38596 38615 38733 38814 35873 36322 36337 36340 36992 37443 37454	12/13/2017	24,806,298.08	25,000,000.00	24,456,250.00	1.625	1.961	1.989	09/11/2020	803
38436 38469 38570 38576 38615 38615 38733 38814 35873 36322 36337 36340 36992 37443 37454	TES 01/29/2018	7,611,695,94	7,615,000.00	7,572,165.83	2.100	2.099	2.128	01/29/2020	222
38469 38570 38576 38596 38615 38733 38733 38322 36332 36332 36337 36992 37443 37443	TES 02/05/2018	5,058,279.38	5,000,000,00	5,023,900.00	2.875	2.292	2.324	09/11/2020	803
38570 38578 38596 38615 38733 38733 36322 36332 36337 36340 36992 37443 37454	TES 02/21/2018	24,870,377.91	25,000,000.00	24,818,550,00	1.625	2.149	2.179	06/14/2019	348
38578 38596 38615 38733 38733 38322 36332 36337 36340 36992 37443 37454	TES 04/06/2018	31,568,720.68	31,575,000.00	31,425,776.55	2.450	2,425	2,459	09/21/2020	813
38596 38615 38733 38733 38322 36322 36337 36340 36992 37443 37443	TES 04/09/2018	19,984,690.79	20,000,000.00	19,933,920,00	2.320	2.340	2.372	01/09/2020	557
38615 38733 38733 38372 36322 36337 36340 36992 37443	ES 04/13/2018	25,015,458.82	25,000,000.00	24,988,600,00	2.375	2.289	2.321	09/13/2019	439
38733 38814 35873 36322 36332 36337 36992 37443 37454	TES 04/19/2018	24,601,138.41	25,000,000.00	24,601,138.41	0.875	2.330	2.363	08/05/2019	400
38814 35873 36322 36332 36337 36340 36992 37443	ES 05/21/2018	9,996,598.07	10,000,000,00	10,003,070.00	2.625	2,607	2,643	05/28/2020	269
35873 36322 36332 36337 36340 36992 37454	TES 06/21/2018	35,000,000.00	35,000,000,00	34,995,660.00	1.943	1.916	1.942	11/29/2018	151
36322 36332 36337 36340 36989 36992 37443	OTES 04/16/2015	29,976,495.21	30,000,000.00	29,544,180.00	1,250	1.296	1.314	10/02/2019	458
36332 36337 36340 36989 36992 37454	OTES 10/29/2015	9,986,371.05	10,000,000.00	9,848,060,00	1,250	1.343	1,362	10/02/2019	458
36337 36340 36989 36992 37443	JTES 11/04/2015	18,299,627.82	18,350,000.00	18,071,190.10	1.250	1.456	1.476	10/02/2019	458
36340 36989 36992 37443 37454	JTES 11/06/2015	7,971,875.05	8,000,000,00	7,878,448.00	1.250	1.519	1.540	10/02/2019	458
36989 36992 37443 37454	JTES 11/09/2015	9,957,857.38	10,000,000.00	9,848,060.00	1.250	1,576	1.598	10/02/2019	458
36992 37443 37454	DTES 09/27/2016	19,907,509.52	20,000,000.00	19,086,220.00	1,125	1.261	1.278	08/12/2021	1,138
37443	DTES 09/29/2016	9,957,666.74	10,000,000,01	9,543,110.00	1.125	1.248	1.265	08/12/2021	1,138
37454	OZ/09/2017	20,006,831.76	20,000,000.00	19,685,820.00	1.500	1,456	1.477	01/17/2020	565
100	DTES 01/19/2017	19,998,854.66	20,000,000,00	19,735,280.00	1.400	1.385	1.404	08/22/2019	417
3134GA6W9 37580 FHLMC NOTES	DTES 02/28/2017	11,150,000.00	11,150,000.00	11,013,055.70	1,500	1,479	1.500	08/28/2019	423

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CUSIP	Investment #	Issuer	Purchase	Book Value	Par Value	Market Value	Current	360 360	365	Maturity Date	Days To Maturity
Federal Agency Bonds	y Bonds							16		ŀ	
3137EAEF2	37835	FHLMC NOTES	04/20/2017	44,907,517.50	45,000,000.00	44,064,675.00	1.375	1,471	1.491	04/20/2020	629
3137EAEJ4	38057	FHLMC NOTES	09/29/2017	14,979,687.78	15,000,000,00	14,673,615.00	1.625	1,663	1.687	09/29/2020	821
3137EAEK1	38135	FHLMC NOTES	11/15/2017	24,981,012.94	25,000,000,00	24,538,025.00	1.875	1.881	1.908	11/17/2020	870
3137EAEB1	38403	FHLMC NOTES	01/19/2018	24,707,050.00	25,000,000.00	24,605,075.00	0.875	1,985	2.013	07/19/2019	383
3137EAEL9	38462	FHLMC NOTES	02/16/2018	44,896,050.00	45,000,000,00	44,655,210.00	2.375	2.433	2.466	02/16/2021	961
3135G0YM9	35814	FNMA NOTES	04/07/2015	25,044,627.12	25,000,000.00	24,995,225.00	1.875	1.009	1.023	09/18/2018	79
3135G0UU5	35847	FNMA NOTES	04/13/2015	7,028,849.89	7,000,000.00	6,909,469.00	1.750	1,474	1,494	03/06/2020	614
3135G0UU5	35856	FNMA NOTES	04/14/2015	14,645,242.45	14,584,000.00	14,395,385.13	1,750	1.469	1,489	03/06/2020	614
3136FTZZ5	36088	FNMA NOTES	06/26/2015	7,383,832.02	7,370,000.00	7,349,113.42	1.750	1,397	1.417	01/30/2019	213
3135G0A78	36347	FNMA NOTES	11/13/2015	9,992,795.76	10,000,000,00	9,866,570.00	1,625	1.650	1.672	01/21/2020	569
3135G0A78	36361	FNMA NOTES	11/18/2015	20,002,310.05	20,000,000,00	19,733,140.00	1.625	1.585	1.607	01/21/2020	569
3135G0A78	36383	FNMA NOTES	11/24/2015	9,995,024.72	10,000,000,00	9,866,570.00	1,625	1,635	1,658	01/21/2020	569
3135G0A78	36384	FNMA NOTES	11/24/2015	9,995,323.98	10,000,000,01	9,866,570.00	1,625	1.633	1.656	01/21/2020	569
3135G0H63	36505	FNMA NOTES	01/08/2016	24,997,083.18	25,000,000,00	24,876,725.00	1,375	1.376	1.395	01/28/2019	211
3135G0N33	37253	FNMA NOTES	12/19/2016	19,859,687.80	20,000,000,00	19,667,580.00	0.875	1.515	1.536	08/02/2019	397
3135G0S38	37582	FNMA NOTES	02/09/2017	10,036,001.81	10,000,000.00	9,746,940.00	2.000	1.866	1.892	01/05/2022	1,284
3136FTZZ5	37620	FNMA NOTES	03/29/2017	10,890,250.03	10,865,000.00	10,834,208,59	1,750	1.324	1.343	01/30/2019	213
3135G0T45	37783	FNMA NOTES	04/10/2017	39,864,524.57	40,000,000,00	38,779,240.00	1.875	1.943	1.970	04/05/2022	1,374
3135G0S38	38079	FNMA NOTES	10/25/2017	9,997,488.74	10,000,000,00	9,746,940.00	2.000	1.979	2.007	01/05/2022	1,284
3135G0S38	38142	FNMA NOTES	11/16/2017	11,391,034.02	11,395,000.00	11,106,638.13	2:000	1,982	2.010	01/05/2022	1,284
3135G0T78	38163	FNMA NOTES	11/22/2017	4,963,947.06	5,000,000.00	4,838,690.00	2,000	2.149	2.179	10/05/2022	1,557
3135G0T60	38209	FNMA NOTES	12/04/2017	9,920,712.55	10,000,000.00	9,772,840.00	1,500	1.866	1.892	07/30/2020	760
3135G0N33	38367	FNMA NOTES	01/05/2018	39,532,179.19	40,000,000.00	39,335,160.00	0.875	1.946	1.973	08/02/2019	397
880591EV0	38503	TENNESSEE VALLEY AUTHORITY	03/08/2018	19,963,514.72	20,000,000,00	19,883,240.00	2.250	2.327	2.360	03/15/2020	623
880591EV0	38815	TENNESSEE VALLEY AUTHORITY	06/21/2018	9,941,748.72	10,000,000.00	9,941,620.00	2,250	2.535	2.570	03/15/2020	623
		Subtotal	al and Average	2,447,531,938.77	2,448,476,000.00	2,418,758,287.48		1.601	1.624		544

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Federal Agency Bonds - CALLABLE         12228/016         10,000,000.00         10,000,000.00         15,000,000.00	cusip	Investment #	Issuer	Date	Book Value	Par Value	Market Value	Rate	360	365 365	Maturity Days 10 Date Maturity	Maturity
FFCB NOTES         122992016         10,000,000.00         10,000,000.00         1,000,000.00 <td>ederal Agency</td> <td>/ Bonds - CALLABI</td> <td></td>	ederal Agency	/ Bonds - CALLABI										
37201         FFCB NOTES         122992015         15,000,000.00         15,000,000.00         14,708,40.00           38222         FFCB NOTES         127,920,77         1,003,45.62.2         2,000,000.00         1,913,68.0           38246         FFCB NOTES         122,220,77         1,580,346.2         2,000,000.00         1,913,68.0           38346         FFCB NOTES         122,220,77         1,003,43.2         2,000,000.00         1,913,68.0           38346         FFCB NOTES         122,220,77         1,003,43.2         7,000,000.00         1,913,68.0           38368         FFCB NOTES         122,220,77         1,003,43.2         7,000,000.00         1,913,68.0           38368         FFCB NOTES         122,220,77         1,003,49.0         1,000,000.00         1,913,68.0           38368         FFCB NOTES         07,092,00         07,092,00         0,000,00         1,103,69.2           38368         FFCB NOTES         07,092,00         0,000,00         1,103,69.2           3731         FHLB NOTES         07,092,00         0,000,00         1,103,69.2           3841         FHLB NOTES         07,092,00         0,000,00         1,103,69.2           3843         FHLB NOTES         07,092,00         0,000,00	133EG2P9	37300	FFCB NOTES	12/29/2016	10,000,000,00	10,000,000,01	9,805,760.00	2.320	2.288	2.320	12/29/2021	1,277
88272         FFCB NOTES         127/32/017         1,033,5/1/33         1,0915,000.00         1,0456,243           88284         FFCB NOTES         1,226/24/17         1,560,246.2         2,000,000.00         1,913,888.0           88246         FFCB NOTES         1,229/2017         1,686,246.0         1,045,000.00         1,913,888.0           88246         FFCB NOTES         1,229/2017         1,686,243.2         7,000,000.00         1,913,888.0           88246         FFCB NOTES         1,229/2017         1,687,500.00         1,913,888.0         1,913,888.0           88246         FFCB NOTES         1,229/2017         1,687,500.00         1,616,532.7         1,000,000.00         1,710,000.00           38177         FHLB NOTES         0,709/2015         1,500,000.00         1,500,000.00         1,994,445           37382         FHLB NOTES         0,709/2017         2,500,000.00         1,500,000.00         1,994,445           37383         FHLB NOTES         0,709/2017         2,500,000.00         1,994,446         1,900,000.00         1,994,446         1,000,000.00         1,994,446         1,000,000.00         1,994,547,550.00         1,994,547,550.00         1,994,547,550.00         1,994,547,550.00         1,994,547,550.00         1,994,246,00         1,994,246,00	133EG2P9	37301	FFCB NOTES	12/29/2016	15,000,000.00	15,000,000.00	14,708,640.00	2.320	2.288	2,320	12/29/2021	1,277
38326         FFCB NOTES         1228/2017         4,580,000.00         4,930,000.00         4,930,000.00         4,930,000.00         4,930,000.00         4,934,901.80         383,401.80         383,401.80         383,401.80         4,930,000.00         4,930,000.00         4,934,901.80         383,401.80         383,401.80         4,930,000.00         4,934,901.80         383,401.80         383,401.80         4,930,000.00         4,934,901.80         383,401.80         383,400.00         4,000,000.00<	133EHKT9	38272	FFCB NOTES	12/13/2017	10,833,501.33	10,915,000,00	10,555,263.43	2,220	2.368	2,400	11/25/2022	1,608
38341         FFCB NOTES         1228/2017         4,382,282.41         4,460,000.00         4,324,901.60           38342         FFCB NOTES         1228/2017         10,875,985.00         10,875,000.00         6,147,441.00           38348         FFCB NOTES         1228/2017         10,875,985.00         10,875,000.00         6,147,441.00           38348         FFCB NOTES         01/08/2018         5,170,757.33         7,250,000.00         6,147,441.00           3844         FHLB NOTES         07/08/2016         13,23,575.13         7,250,000.00         13,446,20           37731         FHLB NOTES         07/08/2016         14,200,000.00         13,446,420         13,446,420           37732         FHLB NOTES         07/18/2016         14,000,000.00         15,000,000.00         14,12,862,40           37734         FHLB NOTES         07/18/2016         25,000,000.00         14,12,862,40         07,464,427,50           38016         FHLB NOTES         01/12/2018         24,106,000.00         24,102,660,00         14,12,862,40           38048         FHLB NOTES         01/12/2018         24,106,000.00         12,244,70         14,106,000,00         14,12,862,00           38048         FHLMC NOTES         01/12/2018         24,106,000,00         12,	133EGG82	38326	FFCB NOTES	12/26/2017	1,950,346.62	2,000,000.00	1,913,868.00	1.520	2,262	2.293	11/15/2021	1,233
38342         FFCB NOTES         1228/2017         10,687,585.00         10,675,000.00         10,605,527.5           38348         FFCB NOTES         11228/2017         6,817,547.33         5,245,000.00         6,747,041,00           38348         FFCB NOTES         01/05/2018         7,233,675,13         7,500,000.00         6,747,041,00           38544         FHCB NOTES         01/05/2016         19,897,518.62         20,000,000.00         15,740,00           38641         FHLB NOTES         01/05/2016         19,897,518.62         20,000,000.00         15,740,00           37382         FHLB NOTES         01/32/2017         5,000,000.00         25,000,000.00         19,846,120.00           37383         FHLB NOTES         01/32/2017         5,000,000.00         25,000,000.00         24,546,125.00           37441         FHLB NOTES         01/32/2017         5,000,000.00         25,000,000.00         24,546,125.00           3844         FHLB NOTES         01/32/2017         8,400,000.00         25,000,000.00         24,647,126.00           38583         FHLMC NOTES         01/32/2016         12,500,000.00         25,000,000.00         25,000,000.00           38649         FHLMC NOTES         01/32/2016         12,500,000.00         12,546,126.00	133EGNK7	38341	FFCB NOTES	12/29/2017	4,382,282.41	4,450,000.00	4,324,901.60	1.320	2.049	2.077	07/27/2020	757
38346         FFCB NOTES         12292017         6,870,548.32         7,000,000.00         6,747,041.00           387346         FFCB NOTES         07/08/2015         5,170,757.39         5,245,000.00         6,089,241.45           36177         FHLB NOTES         07/08/2015         17,230,000.00         17,230,000.00         17,130,406.25           37734         FHLB NOTES         07/08/2015         15,000,000.00         15,000,000.00         15,000,000.00           37734         FHLB NOTES         01/13/2017         25,000,000.00         15,000,000.00         14,088,450.00           37734         FHLB NOTES         01/13/2017         25,000,000.00         25,000,000.00         14,088,450.00           37835         FHLB NOTES         01/13/2018         24,746,184.30         25,000,000.00         24,684,275.00           38016         FHLB NOTES         01/13/2018         24,746,184.30         25,000,000.00         24,684,275.00           38039         FHLB NOTES         01/12/2018         24,746,184.30         25,000,000.00         24,462,125.00           38654         FHLB NOTES         03/14/2018         24,746,184.30         25,000,000.00         24,462,125.00           38654         FHLMC NOTES         03/24/2016         17,250,000.00         25,000,00	133EGAH8	38342	FFCB NOTES	12/29/2017	10,687,595.00	10,875,000.00	10,505,532.75	1.550	2,144	2.174	05/17/2021	1,051
38368         FFCB NOTES         01/08/2016         5,170,1757.38         5,245,000.00         5,099,241.45           36417         FHLB NOTES         03/29/2016         17,234,575.13         7,235,000.00         17,130,469.25           37381         FHLB NOTES         03/29/2016         15,000,000.00         15,000,000.00         17,130,469.25           37382         FHLB NOTES         01/30/2017         25,000,000.00         25,000,000.00         14,686,400.00           37383         FHLB NOTES         01/30/2017         25,000,000.00         25,000,000.00         4,912,865.00           38016         FHLB NOTES         01/32/2017         20,000,000.00         5,000,000.00         4,912,865.00           38076         FHLB NOTES         01/12/2018         24,746,184.30         25,000,000.00         4,912,865.00           38078         FHLMC NOTES         01/12/2018         24,746,184.30         25,000,000.00         24,462,125.00           38649         FHLMC NOTES         02/24/2016         1,250,000.00         1,250,000.00         1,238,57.20           38649         FHLMC NOTES         03/30/2016         1,250,000.00         25,000,000.00         1,250,000.00           38640         FHLMC NOTES         03/30/2016         1,260,000.00         1,250,000.00<	133EGKD6	38346	FFCB NOTES	12/29/2017	6,870,548.32	7,000,000.00	6,747,041.00	1,625	2,188	2,218	10/05/2021	1,192
36117         FHLB NOTES         07/09/2015         7,235,575,13         7,250,000.00         7,130,489.25           37431         FHLB NOTES         19/25/2016         16,997,518.52         20,000,000.00         7,130,489.25           37323         FHLB NOTES         01/32/2016         15,000,000.00         24,684,420.00           37383         FHLB NOTES         01/39/2017         25,000,000.00         24,684,275.00           37441         FHLB NOTES         04/24/2017         25,000,000.00         24,000.00         24,542,755.00           38048         FHLB NOTES         04/24/2017         26,000,000.00         20,000,000.00         24,627,250.00           38078         FHLB NOTES         04/24/2017         26,000,000.00         8,400,000.00         19,537,560.00           38078         FHLB NOTES         04/24/2017         26,000,000.00         8,400,000.00         19,537,560.00           38078         FHLB NOTES         04/24/2017         26,000,000.00         19,537,500.00         12,530,000.00         12,241,700.00           386583         FHLMC NOTES         03/24/2016         12,500,000.00         17,220,000.00         12,241,700.00           38659         FHLMC NOTES         03/34/2016         12,500,000.00         12,500,000.00         12,241,735	133EFF28	38368	FFCB NOTES	01/05/2018	5,170,757.39	5,245,000.00	5,099,241.45	1.650	2.171	2.202	03/01/2021	974
36641         FHLB NOTES         03/29/2016         19,397,518.62         20,000,000.00         19,846,120.00           37331         FHLB NOTES         11/25/2016         15,000,000.00         25,000,000.00         14,688,450.00           37382         FHLB NOTES         01/30/2017         25,000,000.00         25,000,000.00         44,688,450.00           37383         FHLB NOTES         01/30/2017         5,000,000.00         25,000,000.00         49,12,865.00           38046         FHLB NOTES         04/24/2017         20,000,000.00         26,000,000.00         49,12,865.00           38389         FHLB NOTES         04/24/2017         20,000,000.00         26,000,000.00         49,12,865.00           38683         FHLMC NOTES         04/24/2017         24,746,144.30         25,000,000.00         49,482,125.00           38649         FHLMC NOTES         02/24/2016         12,500,000.00         12,281,700.00         49,926,510.00           38649         FHLMC NOTES         03/30/2016         12,500,000.00         12,281,700.00         19,722,620.00           38654         FHLMC NOTES         03/30/2016         12,499,331.85         12,500,000.00         11,388,46.57.00           38654         FHLMC NOTES         04/28/2016         12,996,331.85	130A3XL3	36117	FHLB NOTES	07/09/2015	7,233,575.13	7,250,000.00	7,130,469,25	1.500	1.624	1,646	02/10/2020	589
37341         FHLB NOTES         11/25/2016         15,000,000.00         16,600,000.00         14,688,450.00           37382         FHLB NOTES         01/30/2017         25,000,000.00         25,000,000.00         4,452,75.00           37383         FHLB NOTES         04/24/2017         25,000,000.00         20,000,000.00         4,452,75.00           38401         FHLB NOTES         04/24/2017         26,000,000.00         20,000,000.00         4,922,750.00           38389         FHLB NOTES         01/12/2018         24,746,194.30         25,000,000.00         24,462,125.00           38389         FHLMC NOTES         01/12/2018         24,746,194.30         25,000,000.00         24,462,125.00           38649         FHLMC NOTES         02/24/2016         12,500,000.00         19,527,600.00         24,462,125.00           38659         FHLMC NOTES         03/29/2016         12,500,000.00         19,226,100.00         24,462,125.00           38650         FHLMC NOTES         03/30/2016         12,500,000.00         19,372,620.00         19,462,226.00           38654         FHLMC NOTES         03/30/2016         12,499,633.145         12,500,000.00         19,726,620.00           38654         FHLMC NOTES         03/30/2016         12,499,331.48         1	130A7HM0	36641	FHLB NOTES	03/29/2016	19,997,518.52	20,000,000.00	19,846,120.00	1.250	1.249	1.267	03/29/2019	271
37382         FHLB NOTES         01/30/2017         25,000,000.00         24,500,250         24,564,275.00           37383         FHLB NOTES         01/30/2017         5,000,000.00         5,000,000.00         4,912,865.00           37384         FHLB NOTES         04/24/2017         5,000,000.00         5,000,000.00         4,912,865.00           37384         FHLB NOTES         04/24/2018         24,400,000.00         26,000,000.00         19,637,860.00           36383         FHLMC NOTES         04/24/2018         24,446,143.30         25,000,000.00         24,462,126.00           36583         FHLMC NOTES         02/24/2016         12,500,000.00         12,281,700.00         24,462,126.00           36648         FHLMC NOTES         03/29/2016         12,500,000.00         12,281,700.00         19,889,200.00           36649         FHLMC NOTES         03/39/2016         12,500,000.00         19,869,200.00         19,786,286.00           36650         FHLMC NOTES         03/39/2016         13,944,354.33         3,745,000.00         19,786,286.00           36650         FHLMC NOTES         03/39/2016         13,949,488.89         25,000,000.00         13,883,476.00           3672         FHLMC NOTES         04/26/2016         1,949,488.89         25,000,0	130A9W49	37131	FHLB NOTES	11/25/2016	15,000,000.00	15,000,000.00	14,688,450.00	1.250	1.232	1.250	02/24/2020	603
37383         FHLB NOTES         01/30/2017         5,000,000.00         5,000,000.00         4,312,865.00           37641         FHLB NOTES         04/24/2017         20,000,000.00         5,000,000.00         19,537,560.00           38046         FHLB NOTES         04/24/2017         20,000,000.00         20,000,000.00         19,537,560.00           38383         FHLMC NOTES         01/12/2018         24,746,194.3         25,000,000.00         24,462,125.00           36583         FHLMC NOTES         02/24/2016         1,500,000.00         12,260,000.00         12,281,700.00           36649         FHLMC NOTES         03/30/2016         1,000,000.00         1,2281,700.00         19,462,86.00           36649         FHLMC NOTES         03/30/2016         1,39,86,574.60         20,000,000.00         19,46,286.00           36654         FHLMC NOTES         03/30/2016         1,39,86,574.60         20,000,000.00         19,46,286.00           36654         FHLMC NOTES         03/30/2016         1,396,750.00         19,375,000.00         19,375,000.00           36721         FHLMC NOTES         03/30/2016         1,249,94,888.39         25,000,000.00         1,236,637.50           36722         FHLMC NOTES         04/28/2016         1,996,488.89         25,0	130AANA2	37382	FHLB NOTES	01/30/2017	25,000,000.00	25,000,000.00	24,564,275.00	1.750	1.726	1.750	07/30/2020	760
37641         FHLB NOTES         04/24/2017         20,000,000.00         20,000,000.00         19,637,560.00           38016         FHLB NOTES         08/28/2017         8,400,000.00         8,400,000.00         8,23,537.20           38389         FHLB NOTES         01/12/2018         24,746,194.30         25,000,000.00         24,462,125.00           36583         FHLMC NOTES         02/24/2016         1,2500,000.00         5,000,000.00         24,462,125.00           36584         FHLMC NOTES         03/29/2016         7,000,000.00         12,281,700.00         12,281,700.00           36549         FHLMC NOTES         03/39/2016         13,986,574.60         20,000,000.00         19,698,220.00           36650         FHLMC NOTES         03/39/2016         13,986,574.60         20,000,000.00         19,698,200.00           36651         FHLMC NOTES         03/39/2016         13,986,746.0         20,000,000.00         13,326,637.50           36652         FHLMC NOTES         03/39/2016         17,996,722.22         12,500,000.00         13,326,637.50           36721         FHLMC NOTES         04/26/2016         14,994,331.43         12,500,000.00         13,336,670.00           36722         FHLMC NOTES         04/26/2016         14,994,331.43         15	130AANA2	37383	FHLB NOTES	01/30/2017	5,000,000.00	5,000,000.00	4,912,855,00	1,750	1.726	1.750	07/30/2020	260
38304         FHLB NOTES         08/28/2017         8,400,000.00         8,400,000.00         8,233,537.20           38389         FHLB NOTES         01/12/2018         24,746,184.30         25,000,000.00         24,462,126.00           38389         FHLB NOTES         01/12/2018         24,746,184.30         25,000,000.00         24,462,126.00           38583         FHLMC NOTES         02/24/2016         12,500,000.00         12,200,000.00         12,281,700.00           36648         FHLMC NOTES         03/29/2016         12,500,000.00         12,281,700.00         15,281,700.00           36649         FHLMC NOTES         03/30/2016         19,988,524.0         20,000,000.00         19,689,220.00           36651         FHLMC NOTES         03/30/2016         13,988,524.3         3,714,735.3         3,715,000.00         19,689,220.00           36651         FHLMC NOTES         03/30/2016         12,499,331.85         12,500,000.00         12,326,637.50           36721         FHLMC NOTES         04/26/2016         11,996,722.2         12,000,000.00         14,750,490.00           36722         FHLMC NOTES         04/26/2016         14,994,321.43         15,000,000.00         24,911,325.00           36726         FHLMC NOTES         04/28/2016         4,24	130AB3T1	37641	FHLB NOTES	04/24/2017	20,000,000.00	20,000,000.00	19,637,560.00	1.750	1.728	1.750	07/24/2020	754
38389         FHLB NOTES         01/12/2018         24,746,194.30         25,000,000.00         24,462,125.00           36583         FHLMC NOTES         11/27/2015         5,000,000.00         5,000,000.00         4,992,610.00           36583         FHLMC NOTES         02/24/2016         12,500,000.00         12,500,000.00         12,281,700.00           36584         FHLMC NOTES         03/28/2016         7,000,000.00         7,000,000.00         12,281,700.00           36648         FHLMC NOTES         03/30/2016         19,986,574.60         20,000,000.00         19,689,220.00           36650         FHLMC NOTES         03/30/2016         13,741,735.23         3,715,000.00         19,689,220.00           36651         FHLMC NOTES         03/30/2016         12,499,331.85         12,500,000.00         12,286,575.00           36654         FHLMC NOTES         03/30/2016         12,994,888.39         25,000,000.00         11,885,676.00           36654         FHLMC NOTES         04/26/2016         10,000,000.00         10,000,000.00         14,750,490.00           36724         FHLMC NOTES         04/26/2016         4,248,341.07         4,226,000.00         14,750,490.00           36785         FHLMC NOTES         06/26/2016         5,250,000.00         9,	130ABZE9	38016	FHLB NOTES	08/28/2017	8,400,000.00	8,400,000.00	8,233,537.20	1.650	1.627	1,650	08/28/2020	789
36393         FHLMC NOTES         11/27/2015         5,000,000.00         5,000,000.00         4,992,610.00           36583         FHLMC NOTES         02/24/2016         12,500,000.00         12,281,700.00         12,281,700.00           36648         FHLMC NOTES         03/29/2016         7,000,000.00         7,000,000.00         19,689,220.00           36648         FHLMC NOTES         03/30/2016         19,988,574.60         20,000,000.00         19,689,220.00           36649         FHLMC NOTES         03/30/2016         19,988,574.60         20,000,000.00         19,689,220.00           36650         FHLMC NOTES         03/30/2016         19,989,574.60         20,000,000.00         19,689,573.50           36654         FHLMC NOTES         03/30/2016         12,499,331.85         12,500,000.00         17,336,637.50           36654         FHLMC NOTES         04/26/2016         10,000,000.00         11,385,676.00         24,911,925.00           36725         FHLMC NOTES         04/26/2016         10,000,000.00         14,750,490.00         14,750,490.00           36726         FHLMC NOTES         04/28/2016         10,000,000.00         10,000,000.00         14,750,490.00           36726         FHLMC NOTES         06/26/2016         20,000,000.00         <	130ACK52	38389	FHLB NOTES	01/12/2018	24,746,194.30	25,000,000.00	24,462,125.00	1.700	2.134	2.164	10/05/2020	827
36583         FHLMC NOTES         02/24/2016         12,500,000.00         12,500,000.00         12,281,700.00           36644         FHLMC NOTES         03/29/2016         7,000,000.00         7,000,000.00         6,946,268.00           36648         FHLMC NOTES         03/30/2016         19,998,574.60         20,000,000.00         19,698,220.00           36649         FHLMC NOTES         03/30/2016         19,998,574.60         20,000,000.00         19,698,220.00           36650         FHLMC NOTES         03/30/2016         19,998,574.60         20,000,000.00         19,698,220.00           36651         FHLMC NOTES         03/30/2016         6,375,000.00         6,286,585.13         3,683,476.67           36654         FHLMC NOTES         03/30/2016         12,499,331.86         12,500,000.00         11,885,676.00           36722         FHLMC NOTES         04/26/2016         14,996,722.22         12,000,000.00         11,885,676.00           36724         FHLMC NOTES         04/26/2016         14,994,331.86         15,000,000.00         14,750,490.00           36729         FHLMC NOTES         04/28/2016         14,994,321.43         15,000,000.00         14,750,490.00           36786         FHLMC NOTES         06/26/2016         9,000,000.00 <td< td=""><td>134G74S7</td><td>36393</td><td>FHLMC NOTES</td><td>11/27/2015</td><td>5,000,000,00</td><td>5,000,000.00</td><td>4,992,610.00</td><td>1.000</td><td>0.986</td><td>1.000</td><td>08/27/2018</td><td>57</td></td<>	134G74S7	36393	FHLMC NOTES	11/27/2015	5,000,000,00	5,000,000.00	4,992,610.00	1.000	0.986	1.000	08/27/2018	57
36644         FHLMC NOTES         03/29/2016         7,000,000.00         7,000,000.00         6,946,268.00           36648         FHLMC NOTES         03/30/2016         7,000,000.00         20,000,000.00         19,698,220.00           36649         FHLMC NOTES         03/30/2016         19,998,574.60         20,000,000.00         19,722,620.00           36650         FHLMC NOTES         03/30/2016         3,714,735,23         3,715,000.00         19,722,620.00           36654         FHLMC NOTES         03/30/2016         12,499,331.85         12,500,000.00         12,326,637.50           36654         FHLMC NOTES         03/30/2016         12,499,331.85         12,500,000.00         17,385,675.00           3672         FHLMC NOTES         04/26/2016         11,996,722.22         12,000,000.00         11,885,676.00           3672         FHLMC NOTES         04/26/2016         14,994,321.43         15,000,000.00         14,750,490.00           3672         FHLMC NOTES         04/28/2016         14,994,321.43         15,000,000.00         14,750,490.00           3673         FHLMC NOTES         04/28/2016         4,248,391.07         4,250,000.00         14,750,490.00           3678         FHLMC NOTES         05/26/2016         9,000,000.00         19,000	134G8JT7	36583	FHLMC NOTES	02/24/2016	12,500,000.00	12,500,000.00	12,281,700.00	1.500	1,479	1.500	02/24/2020	603
36648         FHLMC NOTES         03/30/2016         20,000,000.00         20,000,000.00         19,698,220.00           36649         FHLMC NOTES         03/30/2016         19,998,574.60         20,000,000.00         19,722,620.00           36650         FHLMC NOTES         03/30/2016         12,498,574.60         20,000,000.00         19,722,620.00           36654         FHLMC NOTES         03/30/2016         6,375,000.00         6,375,000.00         12,326,637.50           36654         FHLMC NOTES         03/30/2016         6,375,000.00         12,326,637.50         12,326,637.50           36724         FHLMC NOTES         04/26/2016         11,996,722.22         12,000,000.00         14,385,676.00           36725         FHLMC NOTES         04/26/2016         10,000,000.00         14,750,490.00         14,750,490.00           36726         FHLMC NOTES         04/26/2016         10,000,000.00         14,750,490.00         14,750,490.00           36729         FHLMC NOTES         04/28/2016         4,248,391.07         4,250,000.00         3,993,670.00           36736         FHLMC NOTES         05/26/2016         9,000,000.00         20,000,000.00         5,250,000.00           36823         FHLMC NOTES         05/26/2016         20,000,000.00	134G8TV1	36644	FHLMC NOTES	03/29/2016	7,000,000.00	7,000,000,00	6,946,268.00	1.220	1.203	1,220	03/29/2019	271
36649         FHLMC NOTES         03/30/2016         19,998,574,60         20,000,000.00         19,722,620,00           36650         FHLMC NOTES         03/30/2016         3,714,735,23         3,715,000.00         3,663,476,67           36651         FHLMC NOTES         03/30/2016         6,375,000.00         6,375,000.00         12,326,637,50           36654         FHLMC NOTES         03/30/2016         12,499,331.85         12,500,000.00         12,326,637,50           36724         FHLMC NOTES         04/26/2016         14,996,722.22         12,000,000.00         11,865,676,00           36725         FHLMC NOTES         04/26/2016         10,000,000.00         10,000,000.00         24,911,925,00           36726         FHLMC NOTES         04/26/2016         10,000,000.00         10,000,000.00         14,750,490,00           36726         FHLMC NOTES         04/28/2016         14,994,321,43         15,000,000.00         14,750,490,00           36736         FHLMC NOTES         04/28/2016         9,000,000.00         9,000,000.00         14,750,490,00           36785         FHLMC NOTES         05/26/2016         5,250,000,00         5,250,000,00         5,158,944,00           36823         FHLMC NOTES         06/14/2016         20,000,000         20,00	134G8S83	36648	FHLMC NOTES	03/30/2016	20,000,000.00	20,000,000.00	19,698,220,00	1.500	1.479	1.500	12/30/2019	547
36650         FHLMC NOTES         03/30/2016         3,714,735,23         3,715,000.00         3,683,476.67           36651         FHLMC NOTES         03/30/2016         6,375,000.00         6,375,000.00         6,286,585,13           36654         FHLMC NOTES         03/30/2016         12,499,331.85         12,500,000.00         12,326,637,50           36724         FHLMC NOTES         04/26/2016         11,996,722.2         12,000,000.00         11,885,676.00           36725         FHLMC NOTES         04/26/2016         14,994,331.43         15,000,000.00         24,911,925.00           36726         FHLMC NOTES         04/28/2016         10,000,000.00         10,000,000.00         14,750,490.00           36729         FHLMC NOTES         04/28/2016         14,994,321.43         15,000,000.00         14,750,490.00           36730         FHLMC NOTES         04/28/2016         9,000,000.00         9,000,000.00         4,175,305,50           36785         FHLMC NOTES         05/26/2016         5,250,000.00         5,250,000.00         5,158,944.00           36823         FHLMC NOTES         06/14/2016         20,000,000.00         20,000,000.00         19,795,160.00	134G8PD5	36649	FHLMC NOTES	03/30/2016	19,998,574.60	20,000,000.00	19,722,620.00	1.350	1.337	1.355	09/30/2019	456
36651         FHLMC NOTES         03/30/2016         6,375,000.00         6,286,585.13           36654         FHLMC NOTES         03/30/2016         12,499,331.85         12,500,000.00         12,326,637.50           36722         FHLMC NOTES         04/26/2016         11,996,722.22         12,000,000.00         11,885,676.00           36724         FHLMC NOTES         04/26/2016         14,996,722.22         12,000,000.00         14,885,676.00           36725         FHLMC NOTES         04/26/2016         14,996,722.22         12,000,000.00         14,885,676.00           36726         FHLMC NOTES         04/28/2016         14,996,722.22         12,000,000.00         14,750,490.00           36729         FHLMC NOTES         04/28/2016         14,996,321.43         15,000,000.00         14,750,490.00           36730         FHLMC NOTES         04/28/2016         4,248,391.07         4,250,000.00         4,179,305,50           36785         FHLMC NOTES         05/26/2016         5,250,000.00         5,250,000.00         5,158,944.00           36823         FHLMC NOTES         06/14/2016         20,000,000         20,000,000         19,795,160.00	134G8PD5	36650	FHLMC NOTES	03/30/2016	3,714,735,23	3,715,000.00	3,663,476.67	1.350	1.337	1.355	09/30/2019	456
36654         FHLMC NOTES         03/30/2016         12,499,331.85         12,500,000.00         12,326,837,50           36721         FHLMC NOTES         04/26/2016         11,996,722.22         12,000,000.00         11,885,676.00           36722         FHLMC NOTES         04/26/2016         24,994,888.89         25,000,000.00         24,911,925.00           36725         FHLMC NOTES         04/26/2016         10,000,000.00         10,000,000.00         14,000,000.00           36729         FHLMC NOTES         04/28/2016         14,994,321.43         15,000,000.00         14,750,490.00           36729         FHLMC NOTES         04/28/2016         4,248,391.07         4,250,000.00         4,179,305,50           36785         FHLMC NOTES         05/26/2016         9,000,000.00         9,000,000.00         8,836,317.00           36787         FHLMC NOTES         05/26/2016         5,250,000.00         5,250,000.00         5,158,944.00           36823         FHLMC NOTES         06/14/2016         20,000,000         20,000,000         19,795,160.00	134G8PD5	36651	FHLMC NOTES	03/30/2016	6,375,000.00	6,375,000.00	6,286,585.13	1,350	1.331	1.350	09/30/2019	456
36721         FHLMC NOTES         04/26/2016         11,996,722.22         12,000,000.00         11,885,676.00           36722         FHLMC NOTES         04/26/2016         24,994,888.89         25,000,000.00         24,911,925.00           36725         FHLMC NOTES         04/26/2016         10,000,000.00         10,000,000.00         24,911,925.00           36729         FHLMC NOTES         04/28/2016         14,994,321,43         15,000,000.00         14,750,490.00           36730         FHLMC NOTES         04/28/2016         4,248,391.07         4,250,000.00         4,179,305,50           36785         FHLMC NOTES         05/26/2016         9,000,000.00         9,000,000.00         8,836,317.00           36787         FHLMC NOTES         05/26/2016         5,250,000.00         5,250,000.00         5,158,944.00           36823         FHLMC NOTES         06/14/2016         20,000,000.00         20,000,000.00         19,795,160.00	134G8PD5	36654	FHLMC NOTES	03/30/2016	12,499,331.85	12,500,000.00	12,326,637.50	1.350	1.335	1,354	09/30/2019	456
36722         FHLMC NOTES         04/26/2016         24,994,888.89         25,000,000.00         24,911,925.00           36725         FHLMC NOTES         04/27/2016         10,000,000.00         10,000,000.00         9,993,670.00           36729         FHLMC NOTES         04/28/2016         14,994,321.43         15,000,000.00         14,750,490.00           36730         FHLMC NOTES         04/28/2016         4,248.391.07         4,250,000.00         4,179,305,50           36785         FHLMC NOTES         05/26/2016         9,000,000.00         9,000,000.00         8,836,317.00           36787         FHLMC NOTES         05/26/2016         5,250,000.00         5,250,000.00         5,158,944.00           36823         FHLMC NOTES         06/14/2016         20,000,000.00         20,000,000.00         19,795,160.00	134G9DD6	36721	FHLMC NOTES	04/26/2016	11,996,722.22	12,000,000.00	11,885,676,00	1.150	1.167	1.184	04/26/2019	299
36725         FHLMC NOTES         04/27/2016         10,000,000,00         10,000,000.00         9,993,670.00           36729         FHLMC NOTES         04/28/2016         14,984,321,43         15,000,000.00         14,750,490.00           36730         FHLMC NOTES         04/28/2016         4,248,391.07         4,250,000.00         4,179,305,50           36785         FHLMC NOTES         05/26/2016         9,000,000.00         9,000,000.00         8,836,317.00           36787         FHLMC NOTES         05/26/2016         5,250,000.00         5,250,000.00         5,158,944.00           36823         FHLMC NOTES         06/14/2016         20,000,000.00         20,000,000.00         19,795,160.00	134G8YU7	36722	FHLMC NOTES	04/26/2016	24,994,888.89	25,000,000.00	24,911,925.00	1.050	1.099	1,115	10/26/2018	117
36729         FHLMC NOTES         04/28/2016         14,994,321,43         15,000,000.00         14,750,490.00           36730         FHLMC NOTES         04/28/2016         4,248,391.07         4,250,000.00         4,179,305,50           36785         FHLMC NOTES         05/26/2016         9,000,000.00         9,000,000.00         8,836,317.00           36787         FHLMC NOTES         05/26/2016         5,250,000,00         5,250,000.00         5,158,944.00           36823         FHLMC NOTES         06/14/2016         20,000,000.00         20,000,000.00         19,795,160.00	134G8XA2	36725	FHLMC NOTES	04/27/2016	10,000,000,01	10,000,000.00	9,993,670.00	1.000	0.986	1.000	07/27/2018	56
36730         FHLMC NOTES         04/28/2016         4,248,391.07         4,250,000.00         4,179,305,50           36785         FHLMC NOTES         05/26/2016         9,000,000.00         9,000,000.00         8,836,317.00           36787         FHLMC NOTES         05/26/2016         5,250,000.00         5,250,000.00         5,158,944.00           36823         FHLMC NOTES         06/14/2016         20,000,000.00         20,000,000.00         19,795,160.00	134G9AV9	36729	FHLMC NOTES	04/28/2016	14,994,321,43	15,000,000.00	14,750,490.00	1,250	1,261	1,279	10/28/2019	484
36785         FHLMC NOTES         05/26/2016         9,000,000.00         9,000,000.00         8,836,317.00           36787         FHLMC NOTES         05/26/2016         5,250,000,00         5,250,000.00         5,158,944.00           36823         FHLMC NOTES         06/14/2016         20,000,000         20,000,000         19,795,160.00	134G9AV9	36730	FHLMC NOTES	04/28/2016	4,248,391.07	4,250,000.00	4,179,305,50	1.250	1.261	1.279	10/28/2019	484
36787 FHLMC NOTES 05/26/2016 5,250,000,000 5,250,000.00 5,158,944,00 36823 FHLMC NOTES 06/14/2016 20,000,000 20,000,000 19,795,160.00	134G9HM2	36785	FHLMC NOTES	05/26/2016	9,000,000.00	9,000,000,00	8,836,317.00	1.300	1.282	1,300	11/26/2019	513
36823 FHLMC NOTES 06/14/2016 20,000,000 20,000,000 19,795,160.00	134G9HW0	36787	FHLMC NOTES	05/26/2016	5,250,000,00	5,250,000.00	5,158,944.00	1.250	1.232	1.250	11/26/2019	513
	134G9QW0	36823	FHLMC NOTES	06/14/2016	20,000,000.00	20,000,000.00	19,795,160.00	1.280	1.262	1.280	06/14/2019	348

Portfolio SCL2

### Fund COMM - COMMINGLED POOL Investments by Fund June 30, 2018

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CUSIP	Investment #	Issuer	Furchase	Book Value	Par Value	Market Value	Current	360	365	Maturity D	Maturity
Federal Agency Bonds - CALLABLE	Bonds - CALLA	BLE									
3134G9ZR1	36848	FHLMC NOTES	06/28/2016	10,627,068,69	10,625,000.00	10,561,122.50	1.000	0.946	0.959	12/28/2018	180
3134GAXX7	37148	FHLMC NOTES	11/30/2016	15,000,000.00	15,000,000.00	14,932,875.00	1,000	0.986	1.000	11/30/2018	152
3134G3K90	37344	FHLMC NOTES	01/19/2017	10,002,061,54	10,000,000.00	9,807,260,00	1.700	1.667	1.690	09/25/2020	817
3134GBBM3	37518	FHLMC NOTES	03/29/2017	25,000,000,00	25,000,000.00	24,570,675.00	2.000	1.972	2.000	03/29/2021	1,002
3134GA5T7	37578	FHLMC NOTES	02/28/2017	3,500,000,00	3,500,000,00	3,423,346,50	2,125	2.095	2.125	01/26/2022	1,305
3134GA5T7	37579	FHLMC NOTES	02/28/2017	20,000,000.00	20,000,000.00	19,561,980.00	2.125	2.096	2.125	01/26/2022	1,305
3134GBEW8	37621	FHLMC NOTES	04/26/2017	25,000,000.00	25,000,000.00	24,752,800.00	1.500	1.479	1.500	07/26/2019	390
3134GBEW8	37668	FHLMC NOTES	04/26/2017	8,000,000,00	8,000,000.00	7,920,896.00	1.500	1.479	1.500	07/26/2019	390
3134GBGZ9	37669	FHLMC NOTES	04/27/2017	15,000,000,00	15,000,000.00	14,615,145.00	2.000	1.972	2.000	01/27/2022	1,306
3134GBEF5	37696	FHLMC NOTES	04/27/2017	3,640,000.00	3,640,000.00	3,586,906,96	1.700	1.676	1.700	04/27/2020	999
3134GBJJ2	37741	FHLMC NOTES	04/26/2017	10,000,000.00	10,000,000,00	9,780,690.00	1.750	1.726	1.750	01/26/2021	940
3134GBJJ2	37742	FHLMC NOTES	04/26/2017	25,000,000.00	25,000,000.00	24,451,725.00	1.750	1.726	1.750	01/26/2021	940
3134GBLR1	37801	FHLMC NOTES	05/25/2017	20,000,000,00	20,000,000.00	19,556,480.00	1.750	1.726	1.750	11/25/2020	878
3134GBLQ3	37804	FHLMC NOTES	05/22/2017	20,000,000.00	20,000,000.00	19,648,540,00	1.650	1.627	1,650	05/22/2020	691
3134GBPM8	37817	FHLMC NOTES	05/24/2017	15,000,000,00	15,000,000.00	14,625,720.00	2.000	1.972	2.000	02/24/2022	1,334
3134GBRR5	37849	FHLMC NOTES	06/15/2017	20,000,000,00	20,000,000.00	19,436,880.00	1.800	1.775	1.800	06/15/2021	1,080
3134GBRU8	37861	FHLMC NOTES	06/22/2017	30,000,000.00	30,000,000,00	29,339,190,00	1,625	1.602	1.625	06/22/2020	722
3134GBSM5	37880	FHLMC NOTES	06/22/2017	5,450,000,00	5,450,000.00	5,328,514,05	1.700	1.676	1.700	09/22/2020	814
3134GBRZ7	37893	FHLMC NOTES	06/20/2017	15,000,000.00	15,000,000.00	14,761,395.00	1.500	1.479	1.500	12/20/2019	537
3134GBSW3	37894	FHLMC NOTES	06/22/2017	5,000,000.00	5,000,000.00	4,886,540.00	1.750	1.726	1,750	12/22/2020	908
3134GBYF3	37988	FHLMC NOTES	7/27/2017	5,750,000.00	5,750,000.00	5,612,402,50	1.800	1,775	1.800	01/27/2021	941
3134GBF64	38055	FHLMC NOTES	09/28/2017	6,000,000,00	6,000,000.00	5,860,554,00	1.600	1.578	1,600	09/28/2020	820
3134GBU83	38090	FHLMC NOTES	10/30/2017	15,000,000.00	15,000,000.00	14,657,220.00	2.000	1.972	2.000	10/29/2021	1,216
3134GBZ70	38170	FHLMC NOTES	11/27/2017	25,000,000.00	25,000,000,00	24,556,975.00	1.875	1.849	1.875	11/27/2020	880
3134GBZ70	38171	FHLMC NOTES	11/27/2017	10,000,000,00	10,000,000.00	9,822,790.00	1.875	1.849	1.875	11/27/2020	880
3134GSAC9	38334	FHLMC NOTES	12/28/2017	10,000,000.00	10,000,000.00	9,849,130,00	2.050	2.021	2.050	12/28/2020	911
3134GSAX3	38352	FHLMC NOTES	01/08/2018	25,000,000.00	25,000,000.00	24,458,625,00	2.220	2,189	2.220	07/01/2022	1,461
3134G9Q75	38408	FHLMC NOTES	01/23/2018	19,829,834,25	20,000,000.00	19,749,680.00	1.250	2.033	2,062	07/26/2019	390
3134GSFE0	38466	FHLMC NOTES	02/26/2018	20,000,000,00	20,000,000.00	19,899,720,00	2.500	2,485	2,500	02/26/2021	971
3134GSGS8	38496	FHLMC NOTES	03/29/2018	20,000,000.00	20,000,000.00	19,927,720.00	2.700	2.663	2.700	03/29/2022	1,367
3134GSMY8	38796	FHLMC NOTES	06/15/2018	15,000,000.00	15,000,000,00	15,006,930,00	2.750	3.426	3.474	06/15/2023	1,810
3134GSPR0	38827	FHLMC NOTES	06/28/2018	22,000,000.00	22,000,000.00	22,007,414,00	3,000	2.958	3.000	06/28/2022	1,458

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1000	investment #	Issuer	Date	Book Value	Par Value	Market Value	Rate	360	365	Date M	Maturity
ederal Agency	Federal Agency Bonds - CALLABLE										
3136G2WX1	36576	FNMA NOTES	02/22/2016	15,500,000.00	15,500,000.00	15,397,266.00	1.250	1.232	1.250	02/22/2019	236
3136G3NC5	36782	FNMA NOTES	05/24/2016	4,999,252.31	5,000,000.00	4,939,655.00	1,000	1,003	1,016	05/24/2019	327
3138G3QY4	36793	FNMA NOTES	05/27/2016	5,000,000.00	5,000,000.00	4,949,460,00	1,250	1.212	1.229	05/30/2019	333
3136G3QY4	36794	FNMA NOTES	05/27/2016	15,000,000.00	15,000,000.00	14,848,380.00	1.250	1.212	1,229	05/30/2019	333
3136G3QY4	36795	FNMA NOTES	05/27/2016	5,000,000.00	5,000,000.00	4,949,460.00	1.250	1,212	1.229	05/30/2019	333
3136G3PR0	36797	<b>FNMA NOTES</b>	05/27/2016	24,966,599.50	25,000,000.00	24,211,125.00	1.500	1.526	1,548	05/26/2021	1,060
3136G3TD7	36845	FNMA NOTES	06/28/2016	12,984,290.61	12,980,000.00	12,828,250.82	1.200	1.150	1.165	06/28/2019	362
3136G3TB1	36846	FNMA NOTES	06/28/2016	12,054,978.99	12,050,000.00	11,897,254.20	1.100	1.043	1.057	06/28/2019	362
3136G3SQ9	36849	FNMA NOTES	06/28/2016	25,000,000.00	25,000,000,00	24,695,225.00	1.150	1.134	1,150	06/28/2019	362
3135G0L50	36875	FNMA NOTES	07/12/2016	30,000,000.00	30,000,000,00	29,572,500.00	1.050	1.035	1.050	07/12/2019	376
3136G3SY2	36880	FNMA NOTES	07/13/2016	7,500,000.00	7,500,000.00	7,389,555.00	1,250	1,232	1,250	09/30/2019	456
3136G3A62	36902	FNMA NOTES	07/26/2016	15,000,000.00	15,000,000.00	14,782,305.00	1.050	1,035	1,050	07/26/2019	390
3136G4BV4	37017	FNMA NOTES	09/30/2016	20,008,427.08	20,000,000.00	19,445,740.00	1.450	1.411	1.430	09/30/2020	822
3135G0Q30	37103	FNMA NOTES	10/21/2016	29,998,732.95	30,000,000,00	29,522,220,00	1.180	1,167	1,183	09/27/2019	453
3136G4MD2	37509	FNMA NOTES	03/16/2017	3,000,000.00	3,000,000.00	2,948,565,00	1,850	1.824	1.850	09/16/2020	808
3136G4NP4	38233	FNMA NOTES	12/06/2017	39,833,843.88	40,000,000.00	39,273,560.00	1.800	1.979	2.007	07/24/2020	754
3136G4NP4	38235	FNMA NOTES	12/07/2017	5,974,579.51	6,000,000.00	5,891,034.00	1.800	1.983	2.011	07/24/2020	754
			Subtotal and Average	1,214,463,953.62	1,215,720,000.00	1,195,167,051.01		1.652	1.675	L	741
US Treasury Notes	tes										
912828VQ0	35887	U.S. TREASURY NOTES	04/20/2015	15,005,370.28	15,000,000.00	14,994,660.00	1,375	0.918	0.931	07/31/2018	30
912828543	38300	U.S. TREASURY NOTES	12/15/2017	19,786,525.13	20,000,000.00	19,668,760.00	0.750	1.770	1.794	07/15/2019	379
912828TN0	38302	U.S. TREASURY NOTES	12/19/2017	24,766,494.46	25,000,000.00	24,594,725.00	1.000	1.792	1.817	08/31/2019	426
912828B33	38309	U.S. TREASURY NOTES	12/20/2017	24,957,895.04	25,000,000.00	24,895,500.00	1.500	1.766	1,791	01/31/2019	214
912828XK1	38355	U.S. TREASURY NOTES	01/09/2018	29,991,577.54	30,000,000,00	29,990,070.00	0.875	1.585	1.607	07/15/2018	14
912828K82	38377	U.S. TREASURY NOTES	01/10/2018	24,980,153.73	25,000,000.00	24,973,400.00	1,000	1.623	1,645	08/15/2018	45
912828RH5	38379	U.S. TREASURY NOTES	01/11/2018	24,977,613.61	25,000,000,00	24,962,000.00	1.375	1.713	1.737	09/30/2018	16
912828SX9	38386	U.S. TREASURY NOTES	01/12/2018	19,859,694.32	20,000,000,00	19,779,680.00	1,125	1.878	1.904	05/31/2019	334
9128282K5	38457	U.S. TREASURY NOTES	02/15/2018	24,798,774.79	25,000,000.00	24,729,500.00	1.375	2,102	2.132	07/31/2019	395
			Subtotal and Average	209,124,098.90	210,000,000.00	208,588,295.00		1.710	1 734		240

Corporate Bonds

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Corporate Bonds 36 037833CB4 36 084854CG4 36 084654CK5 36 084670BQ0 38 16676BHZ 36 16676BB4 37					2000				200		
					i K						
36.45.23	36918	APPLE INC	08/04/2016	29,989,118.74	30,000,000.00	29,575,560.00	1.100	1,118	1,134	08/02/2019	397
	38124	APPLE INC	11/13/2017	44,970,180.00	45,000,000.00	44,172,630.00	2,000	2.001	2.029	11/13/2020	998
V 3 2 3	36620	BERKSHIRE HATHWY	03/15/2016	4,999,106.30	5,000,000.00	4,973,965.00	1,700	1.702	1.726	03/15/2019	257
	36933	BERKSHIRE HATHWY	08/15/2016	9,996,371,48	10,000,000,00	9,849,530.00	1.300	1,314	1,333	08/15/2019	410
	38830	BERKSHIRE HATHWY	06/29/2018	9,852,203.48	10,000,000.00	9,839,750.00	2,200	2.732	2.770	03/15/2021	988
	36765	CHEVRON CORP.	05/16/2016	15,000,000.00	15,000,000.00	14,864,325.00	1,561	1.539	1,561	05/16/2019	319
	37503	CHEVRON CORP.	03/03/2017	8,000,000.00	8,000,000.00	7,902,312.00	1.991	1.963	1.991	03/03/2020	611
02079KAA5 38	38601	GOOGLE INC	04/16/2018	17,137,793.68	16,705,000.00	17,059,095.89	3.625	2.645	2.682	05/19/2021	1,053
459200JN2 37.	37380	IBM	01/27/2017	9,994,968.89	10,000,000.00	9,868,750.00	1,900	1,906	1.933	01/27/2020	575
478160BR4 38	38504	JOHNSON & JOHNSON	03/08/2018	25,105,298.16	25,282,000.00	25,044,602.02	1,125	2.160	2.190	03/01/2019	243
48125LRG9 36	36966	JPMORGAN	09/23/2016	12,494,781.94	12,500,000.00	12,310,687.50	1.650	1.661	1,685	09/23/2019	449
	38529	Coca-Cola Co	03/19/2018	7,364,907.57	7,500,000.00	7,330,732.50	1.875	2.646	2.682	10/27/2020	849
594918BF0 36	36329	MICROSOFT CORP	11/03/2015	19,997,740.74	20,000,000.00	19,925,920.00	1,300	1,315	1.334	11/03/2018	125
594918BN3 36	36923	MICROSOFT CORP	08/08/2016	9,996,213.80	10,000,000.00	9,843,120.00	1,100	1,119	1.135	08/08/2019	403
594918BV5 37	37419	MICROSOFT CORP	02/06/2017	14,994,649.31	15,000,000.00	14,808,330.00	1.850	1.847	1.873	02/06/2020	585
594918AY0 38	38123	MICROSOFT CORP	11/13/2017	14,090,837.10	14,096,000.00	13,922,478.24	1,850	1.847	1.872	02/12/2020	591
742718EQ8 38	38524	PROCTER & GAMBLE	03/15/2018	19,326,585.93	20,000,000.00	19,244,880.00	1,700	2.728	2.766	11/03/2021	1,221
742718EQ8 38	38526	PROCTER & GAMBLE	03/16/2018	9,669,289.06	10,000,000.00	9,622,440.00	1,700	2.709	2.747	11/03/2021	1,221
89236TDE2 36	36776	TOYOTA MOTOR CREDIT	05/20/2016	19,991,729.63	20,000,000,00	19,782,800.00	1.400	1.428	1,447	05/20/2019	323
89236TDH5 37	37057	TOYOTA MOTOR CREDIT	10/18/2016	4,998,918.98	5,000,000.00	4,929,715.00	1.550	1.545	1,567	10/18/2019	474
89236TDU6 37	37702	TOYOTA MOTOR CREDIT	04/17/2017	4,998,624.26	5,000,000.00	4,913,985.00	1.950	1,938	1.965	04/17/2020	929
-	38700	TOYOTA MOTOR CREDIT	05/10/2018	8,168,458.48	8,200,000.00	8,165,609.20	1,700			01/09/2019	192
90331HML4 36	36773	USBANK	05/19/2016	19,732,590.69	19,589,000,00	19,409,192.57	2.125	1.533	1.554	10/28/2019	484
90331HNJ8 38	38409	U S BANK	01/23/2018	44,984,193.75	45,000,000.00	44,592,075.00	2,350	2.340	2.373	01/23/2020	571
931142EA7 38	38076	WALMART	10/20/2017	6,992,094.63	7,000,000,00	6,858,152.00	1.900	1.920	1.947	12/15/2020	888
931142EG4 38	38826	WALMART	08/27/2018	39,987,669.27	40,000,000.00	40,105,200.00	2,850	2.843	2.882	06/23/2020	723
94988J5D5 36	36805	WELLS FARGO	06/02/2016	11,998,445.26	12,000,000.00	11,892,492.00	1.750	1.740	1.764	05/24/2019	327
30231GAP7 38	38495	EXXON MOBIL CORP	03/06/2018	6,719,106.09	6,744,000.00	6,710,030.47	1.708	2.239	2,270	03/01/2019	243
30231GAP7 38	38505	EXXON MOBIL CORP	03/09/2018	8,234,231,66	8,265,000,00	8,223,369.20	1.708	2.244	2.275	03/01/2019	243
30231GAD4 38	38515	EXXON MOBIL CORP	03/12/2018	12,959,520.94	13,000,000.00	12,934,519.00	1,819	2,236	2.267	03/15/2019	257
		is	Subtotal and Average	472,745,629.82	473,881,000.00	468,676,247.59		1.971	1.999		574

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ked Securities (ABS)         AMERICAN EXPRESS         6590/2017         33.994,553.20         34,000,000.00         44,399,303.00         2670           36586         BIMW VEHICLE LEASE TRUST         0217/2016         141,396.30         141,306.90         141,306.90         141,206.90         1420           37056         BIMW VEHICLE LEASE TRUST         0717/2016         6,549,650.20         0.2670         141,306.90         141,306.90         141,306.90         141,206.90         1420           3863         BIMW VEHICLE COMMER TRUST         0717/2016         8,549,650.80         32,000,000.00         31,677,027.20         2,570           3867         CHASE ESSALMOE TRUST ARIST         01724/2018         8,436,650.81         8,436,650.81         1,430           38708         CHASE ESSALMOE TRUST ARIST         01724/2018         31,999,680.00         37,000,000.00         31,677,027.20         2,300           38708         CHASE ESSALMOE TRUST ARIST         01724/2018         37,000,000.00         31,677,027.20         1,200           3867         CHASE ESSALMOE TRUST ARIST         0277/2016         1,499,23.25         6,700,000.00         31,677,027.20         1,200           3868         HONDA ALTO RECEIVABLES OWNERT TRUST         0272/2016         1,499,23.25         1,500         1,200	bodood done	-	Issuer	Date	Book Value	Par Value	Market Value	Rate	360	365	Date N	Maturity
37862         AMERICAN EXPRESS         06:002017         33,984,583.20         34,000,000.00         44,000.00	ASSEL DACKEU	Securities (ABS)				1			ľ			
38548         AMERICAN EXPRESS         0372/12018         44,964/75-50         46,000,000,00         44,1269 93.0         2570           37038         BMW VEHICLE LEASE TRUST         021/12016         6,349,600/79         6,345,600/79         141,205/30         141	2582JHG8	37862	AMERICAN EXPRESS	05/30/2017	33,994,553.20	34,000,000.00	33,707,127.40	1.640	1.602	1.624	12/15/2021	1,263
36588         BIAW VEHICLE LEASE TRUST         02/17/2016         141,306,30         141,306,90         141,206,30         141,201,80         141,201,80         142,21,86         1,30           36588         BIAW VEHICLE LEAGE TRUST         07/20/2016         6,384,980 79         6,384,687 86         1,436         1,430           36610         BIAW VEHICLE LEAGE TRUST         07/20/2016         31,980,680 10         22,000,000,00         22,600,000         22,60	12582JHQ6	38646	AMERICAN EXPRESS	03/21/2018	44,994,775.50	45,000,000,00	44,880,903.00	2.670	2.653	2.690	10/17/2022	1,569
387036         BMW VEHICLE COWNER TRUST         107202016         6,394,380.73         6,396,656.81         6,370,811,80         1480           38623         BMW VEHICLE COWNER TRUST         107202016         8,485,678.85         8,486,658.81         6,370,811,80         1480           38629         CHASE ISSUANCE TRUST (ABS)         0811,12018         31,989,860.00         32,000,000.00         31,677,027.20         23,600           38678         CHASE ISSUANCE TRUST (ABS)         0811,12018         22,993,686.10         22,000,000.00         31,677,027.20         23,700           38778         CHASE ISSUANCE TRUST (ABS)         0811,12018         37,000,000.00         37,000,000.00         36,689,93.70         2,273           38600         HONDA ALTO RECEIVABLES OWNERT 1         1075,62016         17,489,232.65         5,680,730,36         5,665,13.06         1,270           37059         HONDA ALTO RECEIVABLES OWNERT 1         1075,62016         17,489,578.60         11,580,730,42         6,770,065.25         1,720         1,210           38776         HONDA ALTO RECEIVABLES OWNERT 1         105,200,018         11,999,738.40         12,000,000.00         11,580,738.36         1,172           38776         HONDA ALTO RECEIVABLES OWNERT 1         105,202,018         11,999,738.40         12,000,000.00         <	5581RAD8	36569	BMW VEHICLE LEASE TRUST	02/17/2016	141,290.30	141,306.90	141,221,86	1,340	1.333	1,351	01/22/2019	205
38829         BAWN VEHICLE OWNER TRUST         07/20/20/16         8,436,857 B5         8,446,655.81         8,542,588.30         1,160           38472         BMW VEHICLE OWNER TRUST         07/20/20/18         31,999,680.00         31,677/20         31,577/20         32,990           38708         CHASE ISSUANCE TRUST (ABS)         06/10/20/18         37,000,000.00         22,667,003.90         1,270           38708         CHASE ISSUANCE TRUST (ABS)         06/10/20/18         37,000,000.00         37,000,000.00         22,300,000.00         22,300,000.00         22,300,000.00           38708         HONDA AUTO RECEIVABLES OWNER T         10,252/20/16         6,756,333.39         17,500,000.00         11,376,706.20         1,270           38738         HONDA AUTO RECEIVABLES OWNER T         10,252/20/16         1,499,738.40         12,000,000.00         11,376,706.20         1,270           38738         HONDA AUTO RECEIVABLES OWNER T         10,252/20/17         1,499,738.40         12,000,000.00         11,376,740.20         1,270           38738         HONDA AUTO RECEIVABLES OWNER T         10,252/20/17         1,499,738.40         2,200,000.00         11,376,740.20         1,270           38050         JOHN DEERE OWNER TRUST         06/20/20/17         1,798,738.40         1,600,000.00         1,400,247.40 <td>5582XAD4</td> <td>37036</td> <td>BMW VEHICLE LEASE TRUST</td> <td>10/13/2016</td> <td>6,394,980.79</td> <td>6,395,826.32</td> <td>6,370,811,60</td> <td>1,430</td> <td>1.423</td> <td>1.443</td> <td>09/20/2019</td> <td>446</td>	5582XAD4	37036	BMW VEHICLE LEASE TRUST	10/13/2016	6,394,980.79	6,395,826.32	6,370,811,60	1,430	1.423	1.443	09/20/2019	446
84412         BIMW VEHICLE OWNER TRUST         01/24/2018         31,999 (80.0 Mg)         32,000 (000.0 Mg)         31,677/02720         2.350           38708         CHASE ISSUANCE TRUST (ABS)         081/1/2016         22,993 (66.1)         22,000 (000.0 Mg)         36,689 (93.0 Mg)         2.77           38708         CHASE ISSUANCE TRUST (ABS)         065/10/2018         37,000 (000.0 Mg)         36,689 (93.0 Mg)         2.27           38600         HONDA AUTO RECEIVABLES OWNER T 10/25/2016         11,499,283.5         6,770,682.5         6,770,682.5         1,270         2.73           37976         HONDA AUTO RECEIVABLES OWNER T 10/25/2016         11,499,283.5         11,500,000.00         11,375,775.7         1,170           38738         HONDA AUTO RECEIVABLES OWNER T 10/25/2016         11,999,784.0         12,000,000.00         11,277,331.2         1,170           38601         JOHN DEREC OWNER TRUST         03902/2015         1394,485.3         5,140,686.3         1,170         1,170           38655         JOHN DEREC OWNER TRUST         03902/2016         5,178,483.3         5,140,686.2         1,240         1,240           38655         JOHN DEREC OWNER TRUST         03902/2016         5,178,483.3         5,140,686.2         1,240         1,240           38655         JOHN DEREC OWNER TR	5582QAD9	36893	BMW VEHICLE OWNER TRUST	07/20/2016	8,436,597.85	8,436,635.81	8,362,588.30	1.160	1.147	1.163	11/25/2020	878
3829 CHASE ISSUANCE TRUST (ABS) 68/11/2016 37,000,000.00 36,989,003.70 2273 38587 HONDA AUTO RECEIVABLES OWNER T 10/225/2016 5,789,323.39 6,791,085.25 6,580,709.89 38589 HONDA AUTO RECEIVABLES OWNER T 10/225/2016 6,789,323.39 6,791,085.25 6,791,085.25 1,220 37059 HONDA AUTO RECEIVABLES OWNER T 10/225/2016 11,499,253.86 11,500,000.00 11,375,705.20 1,210 37059 HONDA AUTO RECEIVABLES OWNER T 10/225/2016 11,499,253.86 11,500,000.00 11,375,705.20 1,210 37059 HONDA AUTO RECEIVABLES OWNER T 10/225/2017 11,499,253.86 11,500,000.00 11,375,705.20 1,210 37050 HONDA AUTO RECEIVABLES OWNER T 10/225/2017 11,999,738.40 12,000,000.00 11,375,705.20 1,210 37050 JOHN DEFRE OWNER TRUST 03/02/2017 11,999,738.40 12,000,000.00 12,014,794.80 3,010 37050 JOHN DEFRE OWNER TRUST 03/02/2017 8,498,798.60 12,000,000.00 12,014,794.80 13,000,000.00 13,000,000.00	9659QAD9	38412	BMW VEHICLE OWNER TRUST	01/24/2018	31,999,680,00	32,000,000.00	31,677,027.20	2.350	2.329	2.362	04/25/2022	1,394
38708         CHASE (SSUANCE TRUST (ABS)         05/10/2018         37,000,000.00         37,000,000.00         36,988,903.70         2,273           38680         HONDA AUTO RECEIVABLES OWNER T         05/31/2016         6,786,933.32         6,796,086.25         6,685,13.05         1,220           37093         HONDA AUTO RECEIVABLES OWNER T         10/32/2016         11,489,233.65         11,500,000.00         11,376,706.20         1,210           37093         HONDA AUTO RECEIVABLES OWNER T         10/32/2016         16,499,018.25         11,500,000.00         11,376,706.20         1,210           38738         HONDA AUTO RECEIVABLES OWNER T         10/32/2016         11,999,738.40         12,000,000.00         27,583,228.40         1,890           38738         HONDA AUTO RECEIVABLES OWNER T         06/32/2016         11,999,738.40         12,000,000.00         27,584,425.80         1,200           38600         JOHN DEERE OWNER TRUST         06/02/2016         5,149,406.83         5,140,688.83         5,147,734.95         1,200           38606         JOHN DEERE OWNER TRUST         07/22/2016         8,249,768.33         8,200,000.00         12,047,744.80         1,200           38657         JOHN DEERE OWNER TRUST         07/22/2016         8,249,68.33         8,200,000.00         1,204,744.80	61571HF4	36929	CHASE ISSUANCE TRUST (ABS)	08/11/2016	22,993,668.10	23,000,000.00	22,667,008.30	1.270	1.267	1,285	07/15/2021	1,110
36587         HONDA AUTO RECEIVABLES OWNER T         6725/2016         5,680,730,35         6,780,730,35         6,586,513,05         1,220           36600         HONDA AUTO RECEIVABLES OWNER T         10/37/2016         6,786,933,39         6,787,085,25         6,780,730,55         1,386           37058         HONDA AUTO RECEIVABLES OWNER T         10/37/2017         11,499,238,46         11,500,000.00         11,378,706         1,310           37058         HONDA AUTO RECEIVABLES OWNER T         08/20/2018         11,990,738,40         12,000,000.00         12,014,442,82         1,200           38738         HONDA AUTO RECEIVABLES OWNER T         08/20/2015         11,989,738,40         12,000,000.00         12,014,442,83         1,400           38600         JOHN DEERE OWNER TRUST         08/20/2017         08/20/2017         8,498,786         5,500,000.00         12,014,442,89         1,400           37607         JOHN DEERE OWNER TRUST         08/20/2017         8,498,786         8,500,000.00         12,014,748         1,200           37607         JOHN DEERE OWNER TRUST         08/20/2017         8,498,786         8,500,000.00         1,204,748,80         1,200           37657         JOHN DEERE OWNER TRUST         08/20/2017         1,498,786         8,500,000.00         1,200 <tr< td=""><td>61571HN7</td><td>38708</td><td>CHASE ISSUANCE TRUST (ABS)</td><td>05/10/2018</td><td>37,000,000.00</td><td>37,000,000,00</td><td>36,988,903.70</td><td>2.273</td><td>2.197</td><td>2.228</td><td>04/17/2023</td><td>1,751</td></tr<>	61571HN7	38708	CHASE ISSUANCE TRUST (ABS)	05/10/2018	37,000,000.00	37,000,000,00	36,988,903.70	2.273	2.197	2.228	04/17/2023	1,751
36800         HONDA AUTO RECEIVABLES OWNER T         6373/2016         6,796,933.39         6,797,085.25         6,790,096.20         1,376,706.20         1,376,706.20         1,376,706.20         1,376,706.20         1,376,706.20         1,210           37059         HONDA AUTO RECEIVABLES OWNER T         10/25/2016         11,499,235.85         11,500,000.00         11,376,706.20         1,210           37059         HONDA AUTO RECEIVABLES OWNER T         06/27/2017         16,499,783.40         1,000,000.00         27,588,226.40         1,720           38738         HONDA AUTO RECEIVABLES OWNER T         06/27/2015         834,496.53         16,000,000.00         27,588,226.40         1,720           38600         JOHN DEERE OWNER TRUST         09/09/2015         834,496.53         5,100,000.00         12,014,744.80         3,010           3860         JOHN DEERE OWNER TRUST         07/27/2016         6,173,883.39         6,510,254.31         6,406,768.31         6,406,768.31         1,250           38765         JOHN DEERE OWNER TRUST         07/27/2016         8,498,786.63         8,500,000.00         1,240         1,250           3866         JOHN DEERE OWNER TRUST         02/28/2016         3,900,201.88         3,900,201.88         3,900,201.88         1,360         1,368         1,360	3814NAC9	36587	HONDA AUTO RECEIVABLES OWNER T	02/25/2016	5,679,923.72	5,680,730.95	5,655,513.05	1,220	1.213	1.230	12/18/2019	535
37059         HONDA AUTO RECEIVABLES OWNER T         10/26/2016         11/499,25.6.6         11/500,000.00         11/376,706.20         1.210           37615         HONDA AUTO RECEIVABLES OWNER T         062/72017         27.997,578.00         16,500,000.00         16,334,425.80         17.20           38736         HONDA AUTO RECEIVABLES OWNER T         063/27/2017         27.997,578.00         27.900,000.00         12,014,764.80         17.00           38600         JOHN DEERE OWNER TRUST         03/02/2016         6,509,736.00         12,000,000.00         12,014,764.80         13.00           38605         JOHN DEERE OWNER TRUST         03/02/2017         8,981,789.60         6,510,624.31         6,480,000.00         12,014,748.80         1,360           38605         JOHN DEERE OWNER TRUST         03/02/2017         8,480,789.60         6,510,624.31         6,480,000.00         1,780         1,280           38605         JOHN DEERE OWNER TRUST         03/02/2017         8,480,789.60         8,500,000.00         8,201,748.90         1,780           38706         MERCEDES-BEIX AUTO LEASE TRUST         02/11/2018         3,986,186.25         4,000,000.00         1,780         1,780           3865         MERCEDES-BEIX AUTO LEASE TRUST         02/11/2016         3,986,186.25         3,640,000.00	3814QAC2	36800	HONDA AUTO RECEIVABLES OWNER T	05/31/2016	6,796,933.39	6,797,065.25	6,760,916.42	1.390	1.375	1.395	04/15/2020	654
37615         HONDA AUTO RECEIVABLES OWNER T         G3728/2017         16,499,018.25         16,500,000.00         16,334,425.80         1720           38738         HONDA AUTO RECEIVABLES OWNER T         06127/2017         27,997,58.00         28,000,000.00         27,588,226.40         1,680           38738         HONDA AUTO RECEIVABLES OWNER TRUST         09/09/2015         13,994,665.70         83,321.09         12,014,784.80         1,014           36216         JOHN DEERE OWNER TRUST         03/02/2016         6,503,736.03         83,665.70         833,321.09         1,440           36905         JOHN DEERE OWNER TRUST         03/02/2017         8,498,786.03         6,510,264.31         6,465,714.95         1,250           37057         JOHN DEERE OWNER TRUST         03/02/2017         8,498,786.03         8,500,000.00         8,207,748.95         1,250           37055         MERCEDES-BENZ AUTO LEASE TRUST         03/02/2017         8,498,786.03         8,500,000.00         8,207,748.95         1,380           38656         MERCEDES-BENZ AUTO LEASE TRUST         03/02/2016         8,498,786.05         8,500,000.00         3,966,451.60         2,010           38657         MISSAN AUTO LEASE TRUST         02/10/2016         4,056,883.43         5,400,000.00         1,340,136.24         1,250	3814RAC0	37059	HONDA AUTO RECEIVABLES OWNER T	10/25/2016	11,499,253.65	11,500,000.00	11,376,706.20	1.210	1.199	1.216	12/18/2020	901
37976         HONDA AUTO RECEIVABLES OWNER T         06/27/2017         27/997/578.00         28/000,000.00         27/588,226.40         1680           38778         HONDA AUTO RECEIVABLES OWNER T         06/30/2018         11/998/738.40         12,000,000.00         27/588,226.40         1680           38778         JOHN DEERE OWNER TRUST         09/30/2016         61/19,988/789.60         834,686.70         833,321.99         1,440           38906         JOHN DEERE OWNER TRUST         03/30/2016         65/179,880.33         65/10,254.31         6465.774.95         1,250           37507         JOHN DEERE OWNER TRUST         03/30/2017         8,498,789.60         8,500,000.00         8,207,78.80         1,250           37657         JOHN DEERE OWNER TRUST         02/28/2018         8,249,406.83         8,250,000.00         8,207,78.90         1,780           37657         JOHN DEERE OWNER TRUST         02/28/2018         8,249,406.83         8,250,000.00         8,200,78.90         1,780           38476         JOHN DEERE OWNER TRUST         02/28/2018         8,249,406.83         8,250,000.00         8,207,584.28         2,660           3855         MERCEDES-BENZ AUTO LEASE TRUST         02/11/2018         3,962,143.75         4,000,000.00         3,366,457.60         1,340	3814TAC6	37615	HONDA AUTO RECEIVABLES OWNER T	03/28/2017	16,499,018.25	16,500,000.00	16,334,425.80	1.720	1,706	1,729	06/22/2020	722
38738         HONDA AUTO RECEIVABLES OWNER TRUST         16,999,738.40         12,000,000.00         12,014,764.80         3,010           36216         JOHN DEERE OWNER TRUST         09/09/2015         834,466.57         834,665.70         1300           36600         JOHN DEERE OWNER TRUST         09/09/2015         6,510,284.31         5,140,688.83         5,147,831.29         1,360           36905         JOHN DEERE OWNER TRUST         07/27/2016         6,509,736.09         6,510,284.31         6,465,774.95         1,260           38406         JOHN DEERE OWNER TRUST         03/28/2018         8,498,789.60         8,500,000.00         8,420,748.80         1,780           38406         JOHN DEERE OWNER TRUST         03/28/2018         8,498,789.60         8,200,000.00         8,207,584.28         1,380           3846         JOHN DEERE OWNER TRUST         02/28/2018         8,498,789.60         8,200,000.00         8,207,584.28         1,380           3865         MERCEDES-BENZ AUTO LEASE TRUST         02/10/2016         3,966,146.25         4,000,000.00         3,966,461.60         1,240           3865         MERCEDES-BENZ AUTO LEASE TRUST         02/10/2016         4,058,889.48         4,059,811.87         4,038,484.25         1,250           3865         NISSAN AUTO LEASE TRUST	3811BAC8	37976	HONDA AUTO RECEIVABLES OWNER T	06/27/2017	27,997,578.00	28,000,000.00	27,588,226.40	1,680	1.666	1.690	08/16/2021	1,142
36216 JOHN DEERE OWNER TRUST 03/09/2015 834,496.53 834,655.70 833,321.09 1,440 36600 JOHN DEERE OWNER TRUST 03/02/2016 5,179,883.39 5,180,698.83 5,157,331.29 1,360 36905 JOHN DEERE OWNER TRUST 07/27/2016 6,509,736.09 6,510,254.31 6,485,774.95 1,250 37065 JOHN DEERE OWNER TRUST 03/02/2017 8,498,789.60 8,500,000.00 8,420,748.80 1,780 3866 MERCEDES-BENZ AUTO LEASE TRUST 10/26/2016 3,965,156.25 4,000,000.00 8,207,584.28 2,660 36966 MERCEDES-BENZ AUTO REC OWNER TRUST 05/11/2016 3,965,156.25 4,000,000.00 3,966,451.60 2,010 38675 NISSAN AUTO LEASE TRUST 02/10/2016 4,058,894.85 18,500,000.00 1,8,401,895.20 1,350 37036 TOYOTA AUTO REC OWNER TRUST 03/2/2016 13,998,237.72 14,000,000.00 1,8,401,895.20 1,350 37036 TOYOTA AUTO REC OWNER TRUST 03/15/2017 0,998,823.00 10,000,000.00 1,8,401,895.20 1,350 37036 TOYOTA AUTO REC OWNER TRUST 03/15/2017 0,998,823.00 10,000,000.00 1,8,401,895.725,795.43 1,350 38137 TOYOTA AUTO REC OWNER TRUST 03/15/2017 0,998,823.00 10,000,000.00 1,8,401,895.725,795.43 1,350 38137 TOYOTA AUTO REC OWNER TRUST 03/15/2017 0,998,823.0.54 1,000,000.00 1,8,401,895.725,795.43 1,350 38137 TOYOTA AUTO REC OWNER TRUST 03/15/2017 0,998,823.0.56 1,000,000.00 1,8,401,895.85 1,390 38137 TOYOTA AUTO REC OWNER TRUST 03/15/2017 0,998,823.0.56 1,000,000.00 1,000,000	3814UAG4	38738	HONDA AUTO RECEIVABLES OWNER T	05/30/2018	11,999,738.40	12,000,000,00	12,014,764.80	3.010	2.988	3,030	05/18/2022	1,417
3600 JOHN DEERE OWNER TRUST 03/02/2016 6,509,736,09 6,510,543,1 6,465,774.95 1,250 1,250 JOHN DEERE OWNER TRUST 07/27/2016 6,509,736,09 6,510,254,31 6,465,774.95 1,250 1,250 JOHN DEERE OWNER TRUST 03/02/2017 8,498,789,60 8,500,000,00 8,420,748.80 1,780 1,780 1,250 JOHN DEERE OWNER TRUST 03/02/2017 8,498,789,60 8,500,000,00 8,420,748.80 1,7	7787WAC3	36216	JOHN DEERE OWNER TRUST	09/09/2015	834,496.53	834,655.70	833,321.09	1.440	1.552	1,573	10/15/2019	471
36905 JOHN DEERE OWNER TRUST 07/27/2016 6,509,736.09 6,510,254.31 6,465,774,95 1,250 1,260 JOHN DEERE OWNER TRUST 02/28/2017 8,498,789.60 8,500,000.00 8,420,748,80 1,780 3,806,545 1,360 3,906,221.36 3,806,451.60 2,010 3,906,201.36 3,900,221.36 3,900,200,00 3,900,200,200 3,900,200,200 3,900,200,200 3,900,200,200 3,900,200,200 3,9	7788MAC4	36600	JOHN DEERE OWNER TRUST	03/02/2016	5,179,883.39	5,180,698.83	5,157,331,29	1.360	1.353	1,371	04/15/2020	654
37507 JOHN DEERE OWNER TRUST 02/28/2017 8,498,789.60 8,500,000.00 8,420,718.80 1.780 38476 JOHN DEERE OWNER TRUST 02/28/2018 8,249,408.83 8,250,000.00 8,207,584.28 2,660 37065 MERCEDES-BENZ AUTO LEASE TRUST 06/11/2018 3,965,156.25 4,000,000.00 3,966,451.60 2.010 36956 MERCEDES-BENZ AUTO LEASE TRUST 06/11/2018 3,965,156.25 4,000,000.00 3,966,451.60 2.010 36956 MERCEDES-BENZ AUTO LEASE TRUST 06/11/2016 9,453,443.75 9,454,596.27 9,365,963.21 1.260 3657 NISSAN AUTO LEASE TRUST 02/10/2016 18,497,885.45 18,500,000.00 18,401,805.70 2,650 36001 TOYOTA AUTO REC OWNER TRUST 03/10/2016 13,998,247.20 14,000,000.00 13,892,862.00 1.230 37036 TOYOTA AUTO REC OWNER TRUST 03/15/2017 9,998,823.00 10,000,000.00 2,967,8332.80 1.330 38137 TOYOTA AUTO REC OWNER TRUST 03/15/2017 2,399,7787.20 24,000,000.00 2,967,8332.80 1.930 38137 TOYOTA AUTO REC OWNER TRUST 03/15/2017 2,399,688,530.56 390,729,991.23 387,725,795,43	7788NAC2	36905	JOHN DEERE OWNER TRUST	07/27/2016	6,509,736.09	6,510,254.31	6,465,774,95	1,250	1,240	1.257	06/15/2020	715
38476 JOHN DEERE OWNER TRUST 10/26/2016 8,249,406.83 6,250,000.00 8,207,584.28 2,660 37065 MERCEDES-BENZ AUTO LEASE TRUST 10/26/2016 3,960,208.88 3,900,221.36 3,888,480.52 1,350 38785 MERCEDES-BENZ AUTO LEASE TRUST 66/11/2018 3,965,156.25 4,000,000.00 3,966,451.60 2,010 3,865.50 MERCEDES-BENZ AUTO REC TRUST 69/14/2016 9,453,443.75 9,454,586.27 9,365,963.21 1,260 3,865.50 NISSAN AUTO LEASE TRUST 62/10/2016 18,497,885.45 18,500,000.00 18,401,805.70 2,650 3,860.1 1,000,000.00 13,884.29 1,340 1,000,000.00 13,884.29 1,340 1,000,000.00 13,884.29 1,340 1,000,000.00 13,884.29 1,340 1,000,000.00 13,884.29 1,340 1,000,000.00 13,884.29 1,340 1,000,000.00 13,884.29 1,340 1,000,000.00	7787XAC1	37507	JOHN DEERE OWNER TRUST	03/02/2017	8,498,789.60	8,500,000.00	8,420,718.80	1.780	1.769	1.793	04/15/2021	1,019
37065 MERCEDES-BENZ AUTO LEASE TRUST 10/26/2016 3,900,208.88 3,900,221.36 3,888,480.52 1,350 38785 MERCEDES-BENZ AUTO LEASE TRUST 06/11/2018 3,965,165.25 4,000,000.00 3,966,451.60 2,010 3,695,695.21 1,260 3,695,695.21 1,260 3,695,695.21 1,260 3,695,695.21 1,260 3,695,695.21 1,260 3,695,695.21 1,260 3,695,695.21 1,260 3,695,695.21 1,260 3,695,995.21 1,000,000.00 1,000	778BCAC6	38476	JOHN DEERE OWNER TRUST	02/28/2018	8,249,406.83	8,250,000.00	8,207,584.28	2,660	2.641	2.678	04/18/2022	1,387
88765         MERCEDES-BENZ AUTO LEASE TRUST         06/11/2018         3.966,166.25         4,000,000.00         3,966,451.60         2.010           36966         MERCEDES-BENZ AUTO REC TRUST         09/14/2016         9,453,443.75         9,454,596.27         9,365,983.21         1,260           36557         NISSAN AUTO LEASE TRUST         02/10/2016         4,058,889.48         4,059,811.87         4,033,884.29         1,340           38475         NISSAN AUTO LEASE TRUST         02/28/2018         18,497,885.45         18,500,000.00         18,401,805.70         2,650           3601         TOYOTA AUTO REC OWNER TRUST         03/02/2016         7,087,785.76         14,000,000.00         13,882,862.00         1,230           37568         TOYOTA AUTO REC OWNER TRUST         03/15/2017         23,997,787.20         24,000,000.00         9,902,598.00         1,730           38137         TOYOTA AUTO REC OWNER TRUST         11/15/2017         23,997,787.20         24,000,000.00         23,618,332.80         1,930           Subtotal and Average         390,658,530.56         390,729,991.23         387,725,795.43         1,930	8768MAC5	37065	MERCEDES-BENZ AUTO LEASE TRUST	10/26/2016	3,900,208.88	3,900,221.36	3,888,480.52	1.350	1,335	1.354	08/15/2019	410
36966 MERCEDES-BENZ AUTO REC TRUST 09/14/2016 9,453,443.75 9,454,596.27 9,365,963.21 1,260 36557 NISSAN AUTO LEASE TRUST 02/10/2016 4,058,889.48 4,059,811.87 4,033,884.29 1,340 38475 NISSAN AUTO LEASE TRUST 02/28/2018 18,497,885,45 18,500,000.00 18,401,805.70 2,650 36601 TOYOTA AUTO REC OWNER TRUST 03/02/2016 7,087,785.76 7,088,187.66 7,054,542.87 1,250 37035 TOYOTA AUTO REC OWNER TRUST 03/15/2017 23,997,787.20 14,000,000.00 9,902,598.00 1,730 38137 TOYOTA AUTO REC OWNER TRUST 11/15/2017 23,997,787.20 24,000,000.00 9,902,598.00 1,730 Subtotal and Average 390,658,530.56 390,729,991.23 387,725,795.43	8769DAE0	38785	MERCEDES-BENZ AUTO LEASE TRUST	06/11/2018	3,965,156.25	4,000,000.00	3,966,451.60	2.010	2.701	2.739	01/17/2023	1,661
36557 NISSAN AUTO LEASE TRUST 02/10/2016 4,058,889.48 4,059,811.87 4,033,884.29 1.340 38475 NISSAN AUTO LEASE TRUST 02/28/2018 18,497,885.45 18,500,000.00 18,401,805.70 2.650 36601 TOYOTA AUTO REC OWNER TRUST 03/02/2016 13,998,247.20 14,000,000.00 13,882,862.00 1,230 37568 TOYOTA AUTO REC OWNER TRUST 03/15/2017 23,998,323.00 14,000,000.00 23,618,332.80 1,730 38137 TOYOTA AUTO REC OWNER TRUST 11/15/2017 23,997,787.20 24,000,000.00 23,618,332.80 1,390 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 37568 TOYOTA AUTO REC OWNER TRUST 11/15/2017 23,997,787.20 24,000,000.00 23,618,332.80 1,390 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,248.20 14,000,000.00 13,882,862.00 1,230 8440,886,248.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 13,882,862.00 1,230 8440,886,247.20 14,000,000.00 14,880,248,772,795,43 1,230 8440,886,247.20 14,000,000.00 14,880,248,772,795,43 1,230 8440,886,247.20 14,000,000.00 14,880,248,772,795,43 1,230 8440,886,247.20 14,000,000.00 14,880,248,772,795,43 1,230 8440,886,247.20 14,000,000.00 14,880,248,772,795,43 1,230 8440,886,247.20 14,000,000.00 14,880,248,772,795,43 1,230 8440,886,247.20 14,000,000.00 14,880,248,772,795,43 1,230 8440,886,247.20 14,000,000.00 14,880,248,772,796,43 1,230 8440,886,247.20 14,000,886,248,248,	8769BAD6	36956	MERCEDES -BENZ AUTO REC TRUST	09/14/2016	9,453,443.75	9,454,596,27	9,365,963,21	1.260	1.251	1.269	02/16/2021	961
3475 NISSAN AUTO LEASE TRUST 02/28/2018 18,497,885.45 18,500.000.00 18,401,805.70 2.650 36601 TOYOTA AUTO REC OWNER TRUST 03/02/2016 13,998,247.20 14,000,000.00 13,882,862.00 1,230 37568 TOYOTA AUTO REC OWNER TRUST 11/15/2017 23,997,787.20 24,000,000.00 23,618,332.80 1,330 Subtotal and Average 390,658,530.56 390,729,991.23 387,725,795,43	5478UAD1	36557	NISSAN AUTO LEASE TRUST	02/10/2016	4,058,889.48	4,059,811.87	4,033,884.29	1.340	1.335	1.353	10/15/2020	837
36601 TOYOTA AUTO REC OWNER TRUST 03/02/2016 7,087,785.76 7,088,187.86 7,054,542.87 1,250 37035 TOYOTA AUTO REC OWNER TRUST 10/12/2016 13,998,247.20 14,000,000.00 13,882.862.00 1,230 37568 TOYOTA AUTO REC OWNER TRUST 11/15/2017 23,997,787.20 24,000,000.00 9,902,598.00 1,730 38137 TOYOTA AUTO REC OWNER TRUST 11/15/2017 23,997,787.20 24,000,000.00 9,902,598.00 1,730 Subtotal and Average 390,658,530.56 390,729,991.23 387,725,795,43	5478DAD9	38475	NISSAN AUTO LEASE TRUST	02/28/2018	18,497,885.45	18,500,000.00	18,401,805.70	2.650	2.585	2,621	05/16/2022	1,415
37035 TOYOTA AUTO REC OWNER TRUST 10/12/2016 13,998,247.20 14,000,000.00 13,882,862.00 1.230 37568 TOYOTA AUTO REC OWNER TRUST 03/15/2017 23,997,787.20 24,000,000.00 9,902,598.00 1.730 38137 TOYOTA AUTO REC OWNER TRUST 11/15/2017 23,997,787.20 24,000,000.00 23,618,332.80 1.930 Subtotal and Average 390,658,530.56 390,729,991.23 387,725,795.43	9237KAD5	36601	TOYOTA AUTO REC OWNER TRUST	03/02/2016	7,087,785.76	7,088,187.66	7,054,542,87	1.250	1,238	1.256	03/16/2020	624
37568 TOYOTA AUTO REC OWNER TRUST 03/15/2017 9,998,823.00 10,000,000.00 9,902,598.00 1,730 38137 TOYOTA AUTO REC OWNER TRUST 11/15/2017 23,997,787.20 24,000,000.00 23,618,332.80 1,930 Subtotal and Average 380,658,530.56 390,729,991.23 387,725,795.43 ked Securities-Green Bond	9231LAD9	37035	TOYOTA AUTO REC OWNER TRUST	10/12/2016	13,998,247.20	14,000,000.00	13,882,862.00	1.230	1.222	1.239	10/15/2020	837
38137 TOYOTA AUTO REC OWNER TRUST 11/15/2017 23,997,787.20 24,000,000.00 23,618,332.80 1.930  Subtotal and Average 390,658,530.56 390,729,991.23 387,725,795.43  ked Securities-Green Bond	9238MAD0	37568	TOYOTA AUTO REC OWNER TRUST	03/15/2017	9,998,823.00	10,000,000.00	9,902,598.00	1.730	1.684	1.708	02/16/2021	961
Subtotal and Average 390,658,530.56 390,729,991.23 387,725,795.43 ked Securities-Green Bond	19238KAD4	38137	TOYOTA AUTO REC OWNER TRUST	11/15/2017	23,997,787.20	24,000,000.00	23,618,332.80	1.930	1,914	1,940	01/18/2022	1,297
ked Securities-Green Bond			Subtotal a		390,658,530.56	390,729,991.23	387,725,795.43		1.898	1.924		1,202
Control of the second of the s	sset Backed	Securities-Green Bo	puo									
36753 TOYOTA AUTO REC DWNER TRUST 05/11/2016 2,317,838.67 2,317,892.61 2,305,712.55 1,300	89231UAD9	36753	TOYOTA AUTO REC OWNER TRUST	05/11/2016	2,317,838.67	2,317,892,61	2,305,712,55	1.300	1.283	1.301	1,301 04/15/2020	654

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	mvestment #	Ianesi	Caro	Book value	Fal Value	Market Value	Kare	360	365	Date N	Maturity
		so	Subtotal and Average	2,317,838.67	2,317,892.61	2,305,712.55		1.284	1.301		654
Municipal Bonds											
13063CSQ4	36313	CALIFORNIA ST	10/27/2015	10,244,881.92	10,220,000.00	10,051,983.20	1.800	1.632	1.655	04/01/2020	640
13063CP87	36731	CALIFORNIA ST	04/28/2016	14,958,456.85	15,000,000.00	14,453,550.00	1,500	1,608	1.630	04/01/2021	1,005
65887PHS7	37523	North Dakota State Finance	03/06/2017	556,127.99	500,000.00	549,030.00	5.000	1.400	1.420	10/01/2021	1,188
64990CEM9	36822	New York State Dormatory Auth	06/14/2016	3,000,000.00	3,000,000,00	2,966,550.00	1.456	1.435	1.455	07/01/2019	365
91412GWV3	35763	UNIVERSITY CALIFORNIA REVS	03/25/2015	250,000.00	250,000.00	248,895.00	2,003	1,975	2,002	05/15/2019	318
91412GD36	36710	UNIVERSITY CALIFORNIA REVS	04/20/2016	3,000,000.00	3,000,000.00	2,965,440,00	1.169	1,152	1.168	05/15/2019	318
		· vo	Subtotal and Average	32,009,466.76	31,970,000.00	31,235,448.20		1.557	1.578		761
Commercial Paper, Discount	er, Discount										
46640QGT2	38091	JP Morgan Securities	10/31/2017	59,926,391.54	60,000,000,00	59,903,866.80	1,680	1,716	1.740	07/27/2018	26
46640QK59	38351	JP Morgan Securities	01/08/2018	49,733,526.22	50,000,000.00	49,695,292.50	2.000	2.047	2.076	10/05/2018	96
46640QNU1	38685	JP Morgan Securities	05/03/2018	39,402,775.74	40,000,000.00	39,337,278.40	2,550	2.635	2.671	01/28/2019	211
7426M3NJ4	38687	PRIVATE EXPORT FUND CORPORATIO	RATIO 05/03/2018	49,378,436.83	50,000,000.00	49,311,867.50	2,230	2,300	2.332	01/18/2019	201
7428M3PF0	38766	PRIVATE EXPORT FUND CORPORATIO	RATIO 06/01/2018	49,276,879,59	50,000,000,00	49,202,729.00	2.280	2.347	2.379	02/15/2019	229
7426M3PB9	38788	PRIVATE EXPORT FUND CORPORATIO	RATIO 06/13/2018	29,575,608.89	30,000,000.00	29,531,181.90	2.280	2,336	2,369	02/11/2019	225
89233HN42	38577	TOYOTA MOTOR CREDIT	04/09/2018	64,186,257.66	65,000,000.00	64,218,878,75	2:420	2,493	2.528	01/04/2019	187
		S	Subtotal and Average	341,479,876.47	345,000,000.00	341,201,094.85		2.246	2.277		159
Federal Agency Discount Notes	Discount Notes										
313313D96	38301	FFCB DISCOUNT NOTE	12/19/2017	49,863,365.66	50,000,000.00	49,840,000.00	1.620	1.657	1,680	08/31/2018	61
313312BT6	38610	FFCB DISCOUNT NOTE	04/18/2018	35,529,251.67	36,000,000,00	35,520,624.00	2.100	2.157	2.187	02/11/2019	225
313384AD1	38365	FHLB DISCOUNT	01/04/2018	34,673,861.36	35,000,000.00	34,620,250.00	1.800	1.850	1.876	01/04/2019	187
313384CH0	38543	FHLB DISCOUNT	03/27/2018	17,058,124.19	17,300,000.00	17,055,239.60	2,110	2.171	2.201	02/25/2019	239
313385 YY6	38828	FHLB DISCOUNT	06/28/2018	199,963,174.89	200,000,000.00	199,968,800.00	1.650	1.673	1,696	07/05/2018	4
		S	Subtotal and Average	337,087,777.77	338,300,000.00	337,004,913.60		1.766	1.790		99
Treasury Bills											
912796PR4	38415	U S TREASURY BILL	01/25/2018	34,960,404.29	35,000,000.00	34,959,680.00	1.610	1.645	1,668	07/26/2018	25
		6	Cultiplian And Assessed	24 004 004 00	25 000 000 00	24 000 000 00					

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## Fund COMM - COMMINGLED POOL

Investments by Fund June 30, 2018

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CUSIP	Investment #	Issuer	Date	Book Value	Par Value	Market Value	Rate	360	365	Date M	Maturity
Local Agency Investment Fund	vestment Fund								И		
SYS8506	8506	LOCAL AGENCY INVEST FUND	07/01/2015	40,853,908.94	40,853,908,94	40,853,908.94	1.900	1.873	1.900		+
		Subtotal	Subtotal and Average	40,853,908.94	40,853,908.94	40,853,908.94		1.874	1.900		-
Money Market											
SYS37590	37590	JPM TE MMK FD	02/27/2017	38.11	38.11	38.11	1.780	1,755	1.780		+
SYS34292	34292	MORGAN STANLEY TRSY INSTL 8304	05/21/2013	191,840.68	191,840.68	191,840.68	1.780	1.755	1.780		*
SYS23519	23519	BLACKKROCK TREASURY LIQ FUND	02/01/2008	257,944,354.00	257,944,354.00	257,944,354.00	1.800	1.775	1.800		
		Subtotal a	I and Average	258,136,232.79	258,136,232.79	258,136,232.79		1.775	1.800		-
Supranationals - Green Bond	Green Bond										
45818WBU9	38324	IADB	12/21/2017	25,000,000.00	25,000,000.00	24,974,600.00	2,398	2,346	2,379	01/15/2022	1,294
45905UVR2	36643	INTL BANK RECON & DEVELOP	03/29/2016	45,000,000.00	45,000,000.00	44,705,565.00	1,170	1.154	1.170	01/29/2019	212
		Subtotal a	I and Average	70,000,000.00	70,000,000.00	69,680,165.00		1.580	1.602		598
Supranationals											
4581X0CX4	37663	IADB	04/12/2017	34,949,856.35	35,000,000.00	34,407,170.00	1.625	1.680	1.704	05/12/2020	681
45818WBP0	38317	IADB	12/21/2017	45,032,251.80	45,000,000.00	44,982,720,00	2.418	2.314	2.347	07/15/2022	1,475
45818WBM7	38385	IADB	01/12/2018	20,113,428.12	20,000,000,00	20,096,020.00	2,548	2.260	2.292	07/15/2021	1,110
45818WBM7	38739	IADB	05/30/2018	10,075,036.78	10,000,000.00	10,048,010.00	2.548	2.236	2.267	07/15/2021	1,110
459058ER0	36258	INTL BANK RECON & DEVELOP	10/07/2015	14,997,776.44	15,000,000.00	14,956,155.00	1,000	1,043	1.057	10/05/2018	96
459058ER0	36259	INTL BANK RECON & DEVELOP	10/07/2015	24,996,294.06	25,000,000.00	24,926,925.00	1,000	1.043	1.057	10/05/2018	96
459058FA6	36653	INTL BANK RECON & DEVELOP	03/30/2016	19,973,267.50	20,000,000.00	19,575,800.00	1.376	1,435	1.455	03/30/2020	638
459058FK4	36881	INTL BANK RECON & DEVELOP	07/13/2016	39,996,948.20	40,000,000.00	39,281,600.00	0.876	0.869	0.881	08/15/2019	410
45905UT79	38567	INTL BANK RECON & DEVELOP	04/04/2018	25,000,000.00	25,000,000.00	25,000,000.00	2.122	2,121	2,151	05/03/2019	306
		Subtotal	Subtotal and Average	235,134,859.25	235,000,000.00	233,274,400.00		1.655	1.678	L	669
ABS - Cont.			7								
17305EFW0	37208	CITIBANK CREDIT CARD ISSUANCE	12/08/2016	39,998,533.75	40,000,000.00	39,408,564.00	1.750	1.725	1.749	1.749 11/19/2021	1,237
		Subtotal	Subtotal and Average	39,998,533.75	40,000,000.00	39,408,564.00		1.726	1.750		1,237
		Total Investment and Average	and Average	7 504 444 454 43	2000	-		1	A sort		

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### Fund WK - WORKERS COMP

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current	YTM 360	YTM 365	Maturity Days To Date Maturity	Days To Maturity
Federal Agency Bonds	Bonds										
3137EAEC9	37310	FHLMC NOTES	12/30/2016	2,436,041.62	2,500,000.00	2,385,777.50	1.125	1.961	1.988	08/12/2021	1,138
3135G0R39	37309	FNMA NOTES	12/30/2016	3,477,175.65	3,500,000.00	3,433,370,50	1.000	1.489	1,510	10/24/2019	480
			Subtotal and Average	5,913,217.27	6,000,000.00	5,819,148.00		1.684	1.707		751
<b>US Treasury Notes</b>	tes										
912828A75	34792	U.S. TREASURY NOTES	12/31/2013	4,494,715.01	4,500,000.00	4,484,884.50	1.500	1.721	1,745	1.745 12/31/2018	183
			Subtotal and Average	4,494,715.01	4,500,000.00	4,484,884.50		1.722	1.746		183
Money Market											
SYS34789	34789	BLACKKROCK TREASURY LIQ FUND	LIQ FUND 12/26/2013	17,853,872.73	17,853,872.73	17,853,872,73	1.640	1,617	1.640		4
			Subtotal and Average	17,853,872.73	17,853,872.73	17,853,872.73		1.618	1.640		+
		Total	Total Investments and Average	28,261,805.01	28,353,872.73	28,157,905.23		1.648	1.671		186

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## Fund PCF - PARK CHARTER FUND

Investments by Fund June 30, 2018

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CUSIP	Investment # Issuer	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current	360	YTM 365	Maturity I Date I	Days To Maturity
Federal Agency Bonds	s										
3137EAEC9 3	37307 37308	FHLMC NOTES FNMA NOTES	12/30/2016	487,208.32	500,000.00	477,155.50	1.125	1.961	1.988	10/24/2019	1,138
		Subtotal	total and Average	1,977,426.46	2,000,000.00	1,948,600.00		1.605	1.627		642
Money Market											
SYS33657 3	33657	BLACKKROCK TREASURY LIQ FUND	09/30/2012	2,190,144.90	2,190,144.90	2,190,144.90	1.640	1.617	1.640		
		Subtotal	total and Average	2,190,144.90	2,190,144.90	2,190,144.90		1.618	1.640		1
		Total Investments	ents and Average	4,167,571.36	4,190,144.90	4,138,744.90		1.611 1.634	1.634		305

### Fund SJE - SAN JOSE- EVERGREEN Investments by Fund June 30, 2018

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CUSIP	investment #	Issuer	Date	Book Value	Par Value	Market Value	Rate	360	365	Date N	Maturity
Municipal Bonds											
011770256	35082	ALASKA ST	05/08/2014	207,794.20	200,000.00	207,234.00	5.000	1.252	1.270	08/01/2019	396
011770Z56	35083	ALASKA ST	05/08/2014	259,742.75	250,000.00	259,042.50	5.000	1,252	1.270	08/01/2019	396
3063C5Q9	37543	CALIFORNIA ST	03/14/2017	560,515.13	500,000.00	562,840.00	5.000	1.844	1.870	08/01/2022	1,492
3063BFJ6	37573	CALIFORNIA ST	03/20/2017	434,834.36	400,000.00	424,236.00	5.250	2.661	2.698	03/01/2022	1,339
13063BAM4	37714	CALIFORNIA ST	03/08/2017	242,304.32	225,000.00	235,586,25	5.250	0.957	0.971	10/01/2021	1,188
20772JQ96	37546	Connecticut-F	03/09/2017	549,773.28	500,000.00	541,960.00	5.000	1.873	1.900	11/15/2021	1,233
34153P3H9	34972	FLORIDA STATE BOARD EDUCATION	04/01/2014	361,205.36	350,000.00	361,007.50	5,000	1.351	1.370	06/01/2019	335
341150M31	35185	FLORIDA STATE BOARD EDUCATION	06/27/2014	1,133,558.44	1,065,000.00	1,134,927.90	5.000	1.588	1.610	07/01/2020	731
373384N28	34845	GEORGIA ST	01/22/2014	507,956.69	500,000.00	507,365.00	5.000	1.055	1.070	12/01/2018	153
373384YJ9	34915	GEORGIA ST	03/10/2014	363,410.99	350,000.00	362,082,00	5.000	1.035	1.050	07/01/2019	365
544525RT7	34702	LOS ANGELES CALIF DEPT WTR & P	12/05/2013	500,000.00	500,000.00	500,000.00	9,000	0.946	0.960	07/01/2018	0
57582PK74	35081	MASSACHUSETTS ST	05/08/2014	544,371.69	530,000.00	543,790.60	5,000	1.242	1.260	04/01/2019	274
57582RKW5	37561	MASSACHUSETTS ST	03/16/2017	224,167,60	200,000.00	221,842.00	5.000	1.607	1.630	04/01/2022	1,370
5741925H9	34869	MARYLAND ST	02/05/2014	210,125.85	205,000.00	209,821.60	5.000	1.114	1,130	03/01/2019	243
5741927Y0	34870	MARYLAND ST	02/05/2014	102,645.60	100,000,00	102,490,00	5.000	1.114	1.130	03/15/2019	257
574193JK5	35122	MARYLAND ST	06/02/2014	451,083.98	440,000,00	450,348.80	9.000	1.095	1.110	03/01/2019	243
574193KQ0	35289	MARYLAND ST	08/21/2014	702,400.65	700,000.00	701,981.00	5.000	0.798	0,810	08/01/2018	31
604129P91	34860	MINNESOTAST	01/30/2014	1,009,611.42	1,000,000.00	1,008,780,00	5,000	1.035	1.050	10/01/2018	92
6041294D5	35288	MINNESOTAST	08/21/2014	214,210.75	200,000.00	213,584.00	5.000	1.410	1.430	08/01/2020	762
658256B43	34900	NORTH CAROLINA ST	03/03/2014	117,942.67	115,000.00	117,689.85	9.000	1.035	1.050	03/01/2019	243
658256T93	35131	NORTH CAROLINA ST	06/05/2014	387,899.10	365,000.00	387,053,30	5.000	1.400	1.420	05/01/2020	670
844682F27	34961	NEW HAMPSHIRE ST	03/27/2014	840,000.00	840,000.00	840,000.00	5.000	1.045	1.060	07/01/2018	0
677521082	37526	OHIO ST	03/08/2017	338,098.22	305,000.00	334,502.65	5.000	1.509	1,530	10/01/2021	1,188
924258ZN9	34946	VERMONT ST	03/21/2014	104,020.88	100,000.00	103,837.00	5.000	1,262	1,280	08/15/2019	410
93974CC65	37524	WASHINGTON ST	03/08/2017	552,678.69	500,000.00	545,825.00	5.000	1.352	1.371	07/01/2021	1,096
97705L4E4	34880	WISCONSIN STATE	02/13/2014	257,495.21	250,000.00	257,250.00	9.000	1.198	1.214	05/01/2019	304
97705L4E4	34881	WISCONSIN STATE	02/13/2014	103,024.76	100,000.00	102,900.00	5.000	1,169	1,185	05/01/2019	304
97705MFT7	37717	WISCONSIN STATE	03/29/2017	560,936.19	500,000.00	557,145,00	5.000	1.647	1.670	05/01/2022	1,400
		Subtotal	and Average	11,841,808.78	11,290,000.00	11,795,121.95		1.333	1.352		581

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1,301 1,320

5,002,501.85 1,320

5,002,501.85

5,002,501.85

09/25/2012

Dreyfus Tax Exempt Inst

33615

SYS33615

### Fund SJE - SAN JOSE- EVERGREEN Investments by Fund June 30, 2018

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	-	408
YTM 365	1.320	1.342
YTM 360	1.302 1.320	1.324 1.342
Current Rate		
Market Value	5,002,501.85	16,797,623.80
	5,002,501.85	16,292,501.85
Book Value	5,002,501.85	16,844,310.63
Purchase Date	Subtotal and Average	Total Investments and Average
Issuer		
Investment #		

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Run Date: 07/19/2018 - 08:30

SANTA CLARA COUNTY INVESTMENTS
Transaction Activity Report
April 1, 2018 - June 30, 2018
Sorted by Transaction Date
COMMINGLED POOL Fund

38551 C 38552 C	COMM	· あるるののない 10年 年					
		313385VB9	FHDN DISC NOTE Purchase	UBS FINANCE FHLB DISCOUNT	249,989,027,80		-249.989.027.80
	COMM	36960MD34	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	149.992,916,67		-149,992,916,67
	COMM	313385VA1	FHDN DISC NOTE Redemption	UBS FINANCE FHLB DISCOUNT	250,000,000,00	0	250.000.000.00
38548 C	COMM	313385VA1	FHDN DISC NOTE Redemption	MIZUHO FHLB DISCOUNT	150.000.000.00	0	150.000.000.00
35799 C	COMM	3133EEWG1	FEDERAL FARM CR Interest	INCAPITAL LLC FFCB NOTES		64,675.00	
35873 C	COMM	3137EADM8	FEDERAL HOME LN Interest	Daiwa Capital Market FHLMC NOTES		187,500.00	-
36313 C	COMM	13063CSQ4	CALIFORNIA ST FORInterest	WELLS FARGO CALIFORNIA ST		91,980.00	
36322 C	COMM	3137EADM8	FEDERAL HOME LN Interest	KEYBANG CAPITAL FHLMC NOTES		62,500.00	
36332 C	COMM	3137EADM8	FEDERAL HOME LN Interest	KEYBANC CAPITAL FHLMC NOTES		114,687.50	-
36337 C	COMM	3137EADM8	FEDERAL HOME LN Interest			50,000.00	
36340 C	COMM	3137EADM8	FEDERAL HOME LN Interest	KEYBANC CAPITAL FHLMC NOTES		62,500.00	
36731 C	COMM	13063CP87	CALIFORNIA ST FORInterest	WELLS FARGO CALIFORNIA ST		112,500.00	-
37523 C	COMM	65887PHS7	NORTH DAKOTA Interest	LOOP CAPITAL North Dakota State F		12,500.00	
38379 C	COMM	912828RH5	UNITED STATES Interest	arket		171,875.00	Ī
38379 C	COMM	912828RH5	UNITED STATES Accr Int	Daiwa Capital Market U.S. TREASURY	97,269.92		
		Totals for 04/02/2018	118		399,981,944.47 400,097,269.92	2 833,447.58	948,773.03
38553 C	COMM	313385VC7	FHDN DISC NOTE Purchase	UBS FINANCE FHLB DISCOUNT	249,989,027.80		-249.989.027.80
38554 C	COMM	36960MD42	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	149,992,875.00		-149 992 875 00
38551 C	COMM	313385VB9	FHDN DISC NOTE Redemption	UBS FINANCE FHLB DISCOUNT	250,000,000,00	0	250.000.000.00
38552 C	COMM	36960MD34	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000,000	0	150,000,000.00
34292 C	COMM	SYS34292	MSTI 0.% Interest	MORGAN STANLEY		249.89	
34292 C	COMM	SYS34292	MSTI 0.% Purchase	MORGAN STANLEY	249.89		ĺ
37590 C	COMM	SYS37590	JPM TE 0.44% Interest	JPMorganChase JPM TE MMK FD		46.71	
37590 C	COMM	SYS37590	JPM TE 0.44% Purchase	JPMorganChase JPM TE MMK FD	46.71		
		Totals for 04/03/2018	318		399,982,199.40 400,000,000.00	0 296.60	18,097.20
38555 C	COMM	313385VD5	FHDN DISC NOTE. Purchase	CITIGROUP GLOBAL FHLB DISCOUNT	49,997,777.78		-49,997,777,78
38558 C	COMM	313385VD5	FHDN DISC NOTE Purchase	UBS FINANCE FHLB DISCOUNT	249,988,888.90		-249,988,888,90
38661 C	COMM	313385VD5	FHDN DISC NOTE Purchase	MERRILL LYNCH FHLB DISCOUNT	49,997,777.78		49.997.777.78
	COMM	36960MD59	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	149,992,875.00		-149,992,875.00
	COMM	45905UT79	IBRD 1.% MAT Purchase	BMO CAPITAL INTL BANK RECON &	25,000,000.00		-25,000,000.00
	COMM	63873KD53	NATXNY DISC NOTE Purchase	MERRILL LYNCH Natixis NY Branch	99,995,333,34		-99,995,333.34
	COMM	313385VC7	FHDN DISC NOTE Redemption	UBS FINANCE FHLB DISCOUNT	250,000,000,00	0	250,000,000.00
38554 C	COMM	36960MD42	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000,00	0	150,000,000.00

	5	Totals for 04/04/2018					624,972,652.80 400,000,000.00	000,000,000,		-224,972,652.80
38564	COMM	06052TZT0	BANK AMER N A Purchase		MERRILL LYNCH BANK OF	BANK OF AMERICA	50.000.000.00			-50 000 000 00
38562	COMM	22534H3T6	CREDIT AGRICOLE Purchase			CREDIT AGRICOLE	45,000,000.00			45,000,000,00
38561	COMM	313385VE3	FHDN DISC NOTE Purchase		UBS FINANCE FHLB DISCOUNT	COUNT	249,988,888.90			-249,988,888.90
38560	COMM	36960MD67	GE DISC NOTE MAT Purchase		GENERAL ELECTRICGENERAL ELECTRIC	L ELECTRIC	149,992,875.00			-149,992,875.00
38566	COMM	6387KD61	NATXNY DISC NOTE Purchase		MERRILL LYNCH Natixis NY Branch	Branch	49,997,666.67			49,997,666.67
38565	COMM	21687BD65	RABO DISC NOTE Purchase		MERRILL LYNCH RABOBANK	NK.	49,997,666.67			49,997,666.67
38563	COMM	89113XE28	TORONTO Purchase		JPMorganChase TORONTO	0	50,000,000,00			-50,000,000.00
38555	COMM	313385VD5	FHDN DISC NOTE Redemption		CITIGROUP GLOBAL FHLB DISCOUNT	COUNT	- 4	50,000,000,00		50,000,000.00
38556	COMM	63873KD53	NATXNY DISC NOTE Redemption		MERRILL LYNCH Natixis NY Branch	/ Branch	100,	100,000,000,001		100,000,000.00
38557	COMM	36960MD59	GE DISC NOTE MAT Redemption		GENERAL ELECTRICGENERAL ELECTRIC	LELECTRIC	150,	150,000,000,00		150,000,000.00
38558	COMM	313385VD5	FHDN DISC NOTE Redemption		UBS FINANCE FHLB DISCOUNT	COUNT	250,	250,000,000.00		250,000,000.00
38661	COMM	313385VD5	FHDN DISC NOTE Redemption		MERRILL LYNCH FHLB DISCOUNT	COUNT	50,	50,000,000.00		50.000,000.00
36258	COMM	459058ER0	IBRD 1,% MAT Interest		ket	INTL BANK RECON &			75,000.00	75,000.00
36259	COMM	459058ER0	IBRD 1.% MAT Interest	0	MERRILL LYNCH INTL BAN	INTL BANK RECON &			125,000.00	125,000,00
37783	COMM	3135G0T45	FEDERAL NATL MTGInterest		JPMorganChase FNMA NOTES	TES			375,000.00	375,000.00
38163	COMM	3135G0T78	FEDERAL NATL MTGInterest	9	KEYBANC CAPITAL FNMA NOTES	TES			49,722.20	49.722.20
38163	COMM	3135G0T78	FEDERAL NATL MTGAccr Int	7	KEYBANC CAPITAL FNMA NOTES	TES		12,777.78	-12,777.78	0.00
38346	COMM	3133EGKD6	FEDERAL FARM CR Interest		BREAN CAPITAL LLCFFCB NOTES	TES			56,875.00	56,875.00
38346	COMM	3133EGKD6	FEDERAL FARM CR. Accr Int		BREAN CAPITAL LLCFFCB NOTES	TES		26,541.67	-26,541.67	0.00
38389	COMM	3130ACK52	FEDERAL HOME Interest	-	DEUTSCHE BANK FHLB NOTES	TES			212,500.00	212,500.00
38389	COMM	3130ACK52	FEDERAL HOME Accr Int		DEUTSCHE BANK FHLB NOTES	TES		114,513.89	-114,513.89	0.00
		Totals for 04/05/2018	018				644,977,097.24 600,153,833.34	153,833.34	740,263.86	44,083,000.04
38573	COMM	313385WG7	FHDN DISC NOTE Purchase		MIZUHO FHLB DISCOUNT	COUNT	74,912,250.00			-74.912.250.00
38569	COMM	313385VH6	FHLB DISC NOTE Purchase		UBS FINANCE FHLB NOTES	TES	249,966,666.65			-249.966,666.65
38570	COMM	3130ADUY6	FEDERAL HOME Purchase		KEYBANC CAPITAL FHLB NOTES	TES	31,600,286.31			-31,600,286,31
38568	COMM	36960MD91	GE DISC NOTE MAT Purchase		GENERAL ELECTRICGENERAL ELECTRIC	LELECTRIC	149,978,625.00			-149,978,625.00
38572	COMM	63873KD95	NATXNY DISC NOTE Purchase		MERRILL LYNCH Natixis NY Branch	' Branch	99,986,000.00			-99,986,000.00
38571	COMM	21687BD99	RABO DISC NOTE Purchase		MERRILL LYNCH RABOBANK	NK.	49,993,000.00			49,993,000.00
38560	COMM	36960MD67	GE DISC NOTE MAT Redemption	45	GENERAL ELECTRICGENERAL ELECTRIC	L ELECTRIC	150,	50,000,000,00		150,000,000.00
38561	COMM	313385VE3			UBS FINANCE FHLB DISCOUNT	COUNT	250,	250,000,000,00		250,000,000.00
38565	COMM	21687BD65	RABO DISC NOTE Redemption			NK.	50,	50,000,000.00		50,000,000.00
38566	COMM	6387KD61	NATXNY DISC NOTE Redemption		MERRILL LYNCH Natixis NY Branch	/ Branch	50,	50,000,000.00		50,000,000.00
36679	COMM	3130A7PU3	FEDERAL HOME Interest	9	KEYBANC CAPITAL FHLB NOTES	TES			60,000.00	60,000.00
37639	COMM	3133EHEZ2	FEDERAL FARM CR. Interest		INCAPITAL LLC FFCB NOTES	TES			120,000.00	120,000.00
		Totals for 04/06/2018	918				656,436,827.96 500,	500,000,000,00	180,000.00	-156,256,827.96
38575	COMM	313385VJ2	m		1	COUNT	249,988,888.90			-249,988,888.90
38578	COMM	3130ADXU1	FEDERAL HOME Purchase		INCAPITAL LLC FHLB NOTES	TES	19,982,400.00			-19,982,400.00
38574	A SAMA	DANIAGORGO	1 11 11 11 11 11 11 11 11 11 11 11 11 1		A SECTION OF THE PROPERTY OF T	1	C C C C C C C C C C C C C C C C C C C			

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38576	COMM	63873KDA2	NATXNY DISC NOTE Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333,34			-99,995,333.34
38579	COMM	21687BDA6	RABO DISC NOTE Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			49,997,666,67
38577	COMM	89233HN42	TOYO ZERO CPN Purchase	TOYOTA MOTOR	TOYOTA MOTOR	63,820,250.00			-63.820.250.00
38568	COMM	36960MD91	GE DISC NOTE MAT Redemption	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	150,000	50,000,000.00		150,000,000.00
38569	COMM	313385VH6	FHLB DISC NOTE Redemption	UBS FINANCE	FHLB NOTES	250.000	250,000,000.00		250,000,000,00
38571	COMM	21687BD99	RABO DISC NOTE Redemption	MERRILL LYNCH	RABOBANK	50,000	50,000,000.00		50,000,000.00
38572	COMM	63873KD95	NATXNY DISC NOTE Redemption	MERRILL LYNCH	Natixis NY Branch	100,000	00'000'000'00		100,000,000.00
		Totals for 04/09/2018	918			633,777,413.91 550,000,000.00	0,000,00		-83,777,413.91
38581	COMM	313385VK9	FHDN DISC NOTE Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,750.00			-249.988.750.00
38580	COMM	36960MDC4	GE DISC NOTE MAT Purchase	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	149,985,750.00			-149,985,750.00
38582	COMM	63873KDB0	NATXNY DISC NOTE Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333,34
36352	COMM	459058DN0	IBRD 1.375% MAT Redemption	DEUTSCHE BANK	INTL BANK RECON &	20,000	20,000,000.00		20,000,000.00
38574	COMM	36960MDA8	GE DISC NOTE MAT Redemption	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	150,000	150,000,000,00		150,000,000.00
38575	COMM	313385VJ2	FHDN DISC NOTE Redemption	UBS FINANCE	FHLB DISCOUNT	250,000	250,000,000.00		250,000,000.00
38576	COMM	63873KDA2	NATXNY DISC NOTE Redemption	MERRILL LYNCH	Natixis NY Branch	100,000	100,000,000,001		100,000,000,001
38579	COMM	21687BDA6	RABO DISC NOTE Redemption	MERRILL LYNCH	RABOBANK	20,000	50,000,000.00		50,000,000.00
36352	COMM	459058DN0	IBRD 1.375% MAT Interest	DEUTSCHE BANK	INTL BANK RECON &			137,600.00	137,600.00
		Totals for 04/10/2018	918			499,969,833.34 570,000,000.00	0.000.00	137,600.00	70,167,766.66
38583	COMM	313385VL7	FHLB DISC NOTE Purchase	UBS FINANCE	FHLB NOTES	249,988,750.00		1	249.988.750.00
38584	COMM	63873KDC8	NATXNY DISC NOTE Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333,34
38585	COMM	21687BDC2	RABO DISC NOTE Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			49,997,666.67
38586	COMM	9113A3E18	UPS DISC NOTE Purchase	MERRILL LYNCH	UNITED PARCEL	37,664,394.44			-37,664,394.44
34292	COMM	SYS34292	MSTI 0.% Purchase		MORGAN STANLEY	150,000,000.00			-150,000,000.00
38581	COMM	313385VK9	FHDN DISC NOTE Redemption	UBS FINANCE	FHLB DISCOUNT	250,000	250,000,000.00		250,000,000.00
38582	COMM	63873KDB0	NATXNY DISC NOTE Redemption	MERRILL LYNCH	Natixis NY Branch	100,000	100,000,000,001		100,000,000.001
		Totals for 04/11/2018	918			587,646,144.45 350,000,000.00	0,000,00		237,646,144.45
38588	COMM	313385VM5	FHDN DISC NOTE Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,958.35			249,988,958.35
38587	COMM	36960MDD2	GE DISC NOTE MAT Purchase	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38589	COMM	63873KDD6	NATXNY DISC NOTE Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333.34
38592	COMM	21687BDD0	ni	MERRILL LYNCH	RABOBANK	49,997,666.67			49,997,666.67
38590	COMM	9113A3E26	UPS DISC NOTE Purchase	MERRILL LYNCH	UNITED PARCEL	49,952,777.78			49,952,777.78
38580	COMM	36960MDC4	GE DISC NOTE MAT Redemption	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	150,000	150,000,000,00		150,000,000.00
38583	COMM	313385VL7	FHLB DISC NOTE Redemption	UBS FINANCE	FHLB NOTES	250,000	250,000,000,00	7	250,000,000.00
38584	COMM	63873KDC8		MERRILL LYNCH	Natixis NY Branch	100,000	100,000,000,001		100,000,000.00
38585	COMM	21687BDC2	RABO DISC NOTE Redemption	MERRILL LYNCH	RABOBANK	900'09	50,000,000.00		50,000,000.00
38310	COMM	3133EGYC3	FEDERAL FARM CR Interest	INCAPITAL LLC	FFCB NOTES			73,957.50	73,957.50
38310	COMM	3133EGYC3	FEDERAL FARM CR. Accr Int.	INCAPITAL LLC	FECB NOTES	27	27,939.50	-27,939.50	00.00
		Totals for 04/12/2018	318			599.927.652.81 550.027.939.50	7 939 50	46 018 00	AO DES COE 34

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Report Ver. 7.3.6.1

Investment #	Fund	CUSIP	dinega Aiii	Halladedolliype	Dealer	issagi	Frincipal	raydowns	Interest	Cash
38594	COMM	313385VQ6	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,966,666.65		A	-249,966,666.65
38596	COMM	3130A02T6	FEDERAL HOME	Purchase	KEYBANC CAPITAL	FHLB NOTES	25,067,729.17			-25.067.729.17
38593	COMM	36960MDG5	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	149,978,750.01			-149,978,750.01
38595	COMM	63873KDG9	NATXNY DISC NOTE Purchase	E Purchase	MERRILL LYNCH	Natixis NY Branch	99,986,000.00			-99.986.000.00
38597	COMM	21687BDG3	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,993,000.00			-49.993.000.00
8506	COMM	SYS8506	LAIF 1.65%	Purchase		LOCAL AGENCY	151,437.80			-151.437.80
38587	COMM	36960MDD2	GE DISC NOTE MAT Redemption	Redemption	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC		150.000,000.00		150.000.000.00
38588	COMM	313385VM5	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250.000.000.00
38589	COMM	63873KDD6	NATXNY DISC NOTE Redemption	Redemption	MERRILL LYNCH	Natixis NY Branch		100,000,000,001		100.000.000.00
38592	COMM	21687BDD0	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK		50,000,000,00		50.000.000.00
36688	COMM	3133EF2L0	FEDERAL FARM CR Interest	Interest	INCAPITAL LLC	FFCB NOTES		The second second	140,000,00	140.000.00
36689	COMM	3133EF2L0	FEDERAL FARM CR Interest	Interest	Daiwa Capital Market				105 000.00	105,000,00
36690	COMM	3133EF2L0	FEDERAL FARM CR Interest	Interest	CANTOR	FFCB NOTES			105.000.00	105,000,00
37665	COMM	3133EHFL2	FEDERAL FARM CR Interest	Interest	Daiwa Capital Market FFCB NOTES	of FFCB NOTES			116,250.00	116 250 00
37666	COMM	3133EHFL2	FEDERAL FARM CR Interest	Interest	DEUTSCHE BANK	FFCB NOTES			77.500.00	77 500 00
37667	COMM	3133EHFL2	FEDERAL FARM CR Interest	Interest	INCAPITAL LLC	FFCB NOTES			77,500.00	77.500.00
8506	COMM	SYS8506	LAIF 1.65%	Interest		LOCAL AGENCY			151,437.80	151,437.80
		Totals for 04/13/2018	118				575,143,583.63 550,000,000.00	550,000,000.00	772,687.80	-24,370,895.83
35953	COMM	161571GY4	CHAIT 1.36% MAT	Interest	JPMorganChase	CHASE ISSUANCE			28,333,33	28 333 33
35953	COMM	161571GY4	CHAIT 1.36% MAT	Redemption	JPMorganChase	CHASE ISSUANCE				0.00
35953	COMM	161571GY4	CHAIT 1.36% MAT	Interest	JPMorganChase	CHASE ISSUANCE				0.00
35953	COMM	161571GY4	CHAIT 1.36% MAT	Redemption	JPMorganChase	CHASE ISSUANCE				0.00
36623	COMM	58768FAD8	MBALT 1.52% MAT	Interest	BARCLAYS CAPITA	BARCLAYS CAPITAL MERCEDES-BENZ			9,812.37	9,812.37
36623	COMM	58768FAD8	MBALT 1.52% MAT	Redemption	BARCLAYS CAPITA	BARCLAYS CAPITAL MERCEDES-BENZ		3,709,412.06		3,709,412.06
36929	COMM	161571HF4	CHAIT 1.27% MAT	Interest	JPMorganChase	CHASE ISSUANCE			24,341.66	24,341.66
36929	COMM	161571HF4	CHAIT 1.27% MAT	Redemption	JPMorganChase	CHASE ISSUANCE		639,239.68		639,239,68
37862	COMM	02582JHG8	AME 1.64% MAT	Interest	ROYAL BANK OF	AMERICAN			46,466.68	46,466.68
37862	COMM	02582JHG8	AME 1.64% MAT	Redemption	ROYAL BANK OF	AMERICAN		794,562.18		794,562.18
38137	COMM	89238KAD4	<b>TAOT 1.93% MAT</b>	Interest	JPMorganChase	TOYOTA AUTO REC			38,599.99	38,599.99
38137	COMM	89238KAD4	<b>TAOT 1.93% MAT</b>	Redemption	JPMorganChase	TOYOTA AUTO REC		511,624.95		511,624.95
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			40,854.17	40,854,17
38475	COMM	65478DAD9	<b>NALT 2.65% MAT</b>	Redemption	MERRILL LYNCH	NISSAN AUTO		349,124.84		349,124.84
38646	COMM	02582JHQ6	AME 2.67% MAT	Interest	BARCLAYS CAPITAL AMERICAN	AL AMERICAN			80,100.00	80,100.00
38646	COMM	02582JHQ6	AME 2.67% MAT	Redemption	BARCLAYS CAPITAL AMERICAN	AL AMERICAN		762,733.08		762,733.08
		Totals for 04/15/2018	918					6,766,696.79	268,508.20	7,035,204.99
38599	COMM	313385VR4	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,750.00			-249,988,750.00
38598	COMM	36960MDH3	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916,67			-149,992,916.67
38601	COMM	02079KAA5	GOOG 3.625% MAT Purchase	Purchase	INCAPITAL LLC	GOOGLEINC	17,416,333.70			-17,416,333.70
38600	CONTRA	C110/10/000	The state of the s							

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24,995,595.00 24,405.00 272,520,46 13.93 150,000,000.00 250,000,000.00 250,000,000.00 250,000,000.00 3,344.44 201,600.00 110,750.00 96,000.00 46,766,59 878.09 286,412.08 392,446.05 8,263.23 736,051.78 10,210.83 935,374.68 10,627.89 845,728.60 8,990.03 672,990.21 12,380.74 792,799.76 14,416.67 292,132.86 393,200.00 693,675,57	Investment#	Fund	CUSIP	Inv Descrip	TransactionType	Dealer	Issuer	New	Principal	Interest	Total
COMM         RESTRICTOR         CHATT 1.35% MAT         CARD         FRANCISCA         CHASE SISSIANCE         24,465.66.0         24,465.66.0           COMM         RESTRICTORY         CHATT 1.35% MAT         Recemption         CHIRGROUP EL CORNALITY CONTY ALTO REC         272,203.6         150           COMM         RESTRICTORY         CHARLA SERVINCE         CHARLA SERVINCE         150         150           COMM         RESTRICTORY         CHARLA SERVINCE         CHARLA SERVINCE         150         150           COMM         RESTRICTORY         CHARLA SERVINCE         CHARLA SERVINCE         150         150           COMM         RESTRICTOR         CHARLA SERVINCE         CHARLA SERVINCE         150	38602	COMM	21687BDH1	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666,67			49,997,666.67
COMM         RESTANDAD         TOYO 1.3% MAT         Cap GL         CITIEROUP GLOBAL TOYON ALTO REC         4,465.00           COMM         RESTANDAD         TOYO 1.3% MAT         RECHIRACIO PLORAL TOYON ALTO REC         13.53           COMM         SESSINDAD         TOYO 1.3% MAT         RECHIRACIO PLORAL TOYON ALTO REC         15.50           COMM         SERSINDAD         TOYO 1.3% MAT         Recemption         19.51           COMM         SERSINDAD         TOYO 1.3% MAT         Recemption         19.51           COMM         SERSINDAD         TOYO 1.3% MAT         Recemption         19.51           COMM         SERSINDAD         TOYO 1.3% MAT         Recemption         TOYON ALTO REC         5.000.000.00           COMM         SERSINDAD         TOYO 1.3% MAT         Reviewed         40.00         29.44.44           COMM         SERSINDAD         TOYO 1.3% MAT         Reviewed         40.00         29.44.44           COMM         SERSINDAD         TOYO 1.3% MAT         Reviewed         40.00         29.44.44           COMM         STINDAD         REPRILLY WORLD         AND         ECCE NOTES         40.00           COMM         STINDAD         REPRILLY WORLD         AND         ECCE NOTES         40.00	35953	COMM	161571GY4	CHAIT 1.36% MAT	Redemption	JPMorganChase	CHASE ISSUANCE		24,995,595.00		24,995,595.00
COMM         RESCRIALUGE         TOOY 13% MAT         TREAD DECOMMATION REC         225.004 6           COMM         RESCRIALUGE         TOOY 13% MAT         TOWN COLOURS AND TOWN CAN FROM THE RECIPIC CREEKING COLOUR COLOUR CAN FRANCISCO COLOUR CAN FROM THE RECIPIC CREEKING COLOUR CAN FROM THE RECIPIC CREEKING CA	35953	COMM	161571GY4	CHAIT 1.36% MAT	Cap G/L	JPMorganChase	CHASE ISSUANCE		4,405.00		4,405.00
COMM         8862ILUAG         TOTIORROUP GLOBAL TOWN THE CELTRICORNENAL EELCTRICE         153.85           COMM         8737BOGG         FIND DOS CANTE REqueration         CEDIRACY OF AUTOR DECENTAL TOWN THE MARK NY TRANSHIPM         150.000.000.00         140.00           COMM         3737BOGG         PARTON DOS CANTE Redemption         HERRILL LYNCH REBORDER         100.000.000.00         33.44.44           COMM         4818YARDE         NATON TOS CANTE REGEMENT         100.000.000.00         33.44.44         400.000.000.00           COMM         4818YARDE         TOTO TS CALL TOWN THE MARK NY TRANSH TOWN TOWN THE MARK NY TRANSH TOWN TOWN TOWN THE MARK NY TRANSH TOWN TOWN THE MARK NY TRANSH TOWN THE MARK NY TRANSH TOWN TOWN TOWN TOWN TOWN TOWN TOWN TOWN	36753	COMM	89231UAD9	TOYO 1.3% MAT	Redemption	CITIGROUP GLOB.	ALTOYOTA AUTO REC.		272,520.46		272,520.46
COMM         35586NOGS         GE IDENIZAR ECIPITECINE NA LEGUTRIC         150,000,000.00         140,00           COMM         3558FOGS         HAZYANDO SIGNOTTE Radempridin         LIBS FINANCE         FILID DESCOUNT         250,000,000.00         140,00           COMM         6577FOGS         HAZYANDO SIGNOTTE Radempridin         LIBS FILID SIGNOTTE         RAGERILL LANCH         RABSED SIGNOTTE         140,000,000.00         140,000.00         1	36753	COMM	89231UAD9	<b>TOYO 1.3% MAT</b>	Cap G/L	CITIGROUP GLOB,	ALTOYOTA AUTO REC		13.93		13.93
COMM         313/385/CORD         FHON DISC NOTE Redemention         MERRILL LYNCH         FABLE DISCOUNT         550,000,000.00         400           COMM         313/385/CORD         RAGD DESK NOTE Redemention         MERRILL LYNCH         RABS DEAM         100,000,000.00         400           COMM         458/16/RDGS         TOVO 13% MAT Interest         BNO CAPITAL         MERS DEAM         50,000,000.00         3,344.44           COMM         458/16/RDGS         TOVO 13% MAT Interest         BNO CAPITAL         MDB         100,000         100,000.00         100,000.00           COMM         458/16/RDGS         LOD 13,20% MAT Interest         BNO CAPITAL         MDB         100,000.00         100,000.00         100,000.00           COMM         458/16/RDGS         LOD 13,20% MAT Interest         BNO CAPITAL         LICE FEBER LYNCH         100,000.00         100,	38593	COMM	36960MDG5	GE DISC NOTE MAT	F Redemption	GENERAL ELECTR	SICGENERAL ELECTRIC		150,000,000.00		150,000,000,00
COMM         35873/CDG         ANYANO INSCENDIR CANDER OFFICE MORPHICATION AND REPORTATION OF AUTOR RECORDANGE         400,000,000.00         4334,44         400,000,000.00         430,44         400,000,000.00         4334,44         400,000,000.00         430,44         400,000,000.00         400,000,000.00         400,000,000.00         400,000,000.00         400,000,000.00         400,000,000,000.00         400,000,000.00         400,000,000.00         400,000,000.00         400,000,000.00         400,000,000.00         400,000,000.00         400,000,000,000.00         400,000,000.00         400,000,000.00         400,000,000.00         400,000,000	38594	COMM	313385VQ6	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000,00		250,000,000.00
COMM         RESTRICAGE         TRABO LOSE VOTE         Redemption         METRICAGE         ACREA DESCRIPTION         SO DOD DOD DOD DOD DOD DOD DOD DOD DOD D	38595	COMM	63873KDG9	NATXNY DISC NOT	E Redemption	MERRILL LYNCH	Natixis NY Branch		100,000,000.00		100,000,000,00
COMM         88231NUAD         TOYO 13% MT         Interest         CITTGROUP GLOBAL TOYOTA AUTO REC         23,444           COMM         45818WBU9         IADB 14281Y MAT Interest         BMO CAPITAL         MDB         100,730 MP         100,730 MP           COMM         45818WBU9         IADB 14220Y MAT Interest         BANK OARDRACH         MDB         100,730 MP         100,730 MP         100,730 MP         100,730 MP         100,730 MP         100,000 MP<	38597	COMM	21687BDG3	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK		50,000,000,00		50.000,000.00
COMM         45818WBP         LADB 14221% MAT Interest         BMAC GAPTAL         LADB         201,500.00           COMM         45818WBP         LADB 14221% MAT Interest         BMAC GAPTAL         LADB         201,500.00           COMM         45818WBM         LADB 14221% MAT Interest         BANK OF AMERICA LOS         ADD         1407,500.00           COMM         45818WBM         LADB 14221% MAT Interest         BRANCH LOS         ADD         1407,500.00         467,56.30           COMM         47787LALG         LOOT 144% MAT Interest         CITTGEOUP GLOBAL,OHN DEERE         266,412.08         4778,63.90           COMM         47787LALG         LOOT 144% MAT Interest         ROYAL BANK OF JOHN DEERE         266,412.08         4778,63.90           COMM         47787LALG         LOOT 144% MAT Interest         ROYAL BANK OF JOHN DEERE         266,412.08         4778,63.90           COMM         47778LALD         LOOT 144% MAT Interest         ROYAL BANK OF JOHN DEERE         392,446.05         4778,63.90           COMM         47778LALD         LOOT 145% MAT Interest         REPRILL LYNCH HOND AUTO         392,446.05         457,26.00           COMM         47778LAC         LOOT 145% MAT Redemption         MERRILL LYNCH HOND AUTO         392,446.05         457,26.00           CO	36753	COMM	89231UAD9	<b>TOYO 1.3% MAT</b>	Interest	CITIGROUP GLOB,	ALTOYOTA AUTO REC			3,344,44	3,344,44
COMM         45818/WBUS         ADBI 154203-WAT Inlevent         BMO CAPTA         ADB           COMM         45818/WBUS         ADBI 125725-WAT Inlevent         BMO CAPTA         ADB           COMM         45818/WBUS         TEDERAL FARM OR Inlevent         BANK OF AMERICA. MADB         4677600           COMM         47778/LADS         DOOT 123% MAT         Inlevent         OTTGROUP GLOBAL, OHN DEERE         286.412.06           COMM         47778/LADS         DOOT 123% MAT         Inlevent         OTTGROUP CLOBAL, OHN DEERE         286.412.06           COMM         47778/LADS         DOOT 123% MAT         Inlevent         ROYAL BANK OF JOHN DEERE         286.412.06           COMM         47778/LADS         JOOT 124% MAT         Redemption         REPRILL LYNCH         JOHN DEERE         397.885.37           COMM         47778/LADS         JOOT 124% MAT         Redemption         MERRILL LYNCH         JOHN DEERE         397.885.37           COMM         47778/LADS         JOOT 125% MAT         Redemption         MERRILL LYNCH         JOHN DEERE         395.374.66         10.20.83           COMM         47778/LADS         JOOT 125% MAT         Inlevest         ATTGROUP         ATTGROUP         ATTGROUP         ATTGROUP         ATTGROUP         ATTGROUP         ATTG	38317	COMM	45818WBP0	IADB 1.42917% MAI	Interest	BMO CAPITAL	IADB			201,600.00	201,600.00
COMM         45818WBMY         ADDI 12875% MAT Indexest         BANK OF AMERICA ADB         BANK ADB	38324	COMM	45818WBU9	IADB 1.64203% MAT	Interest	BMO CAPITAL	IADB			110,750.00	110,750.00
COMM         47787UADS         LODT 1.32% MAT         Inferest         CITIGROUP GLOBALJOHN DEERE         A67765.58           COMM         47787UADS         JODT 1.32% MAT         Inferest         CITIGROUP GLOBALJOHN DEERE         286.412.08         878.59           COMM         47787WAGS         JODT 1.43% MAT         Inferest         CITIGROUP GLOBALJOHN DEERE         286.412.08         87.826           COMM         47787WAGS         JODT 1.44% MAT         Inferest         ROYAL BANK OF JOHN DEERE         397.865.38         2.472.86           COMM         47787WAGS         JODT 1.44% MAT         Redemption         REPRILL LYNCH         NIN DEERE         397.865.38         5.878.62           COMM         47788WAG         JODT 1.45% MAT         Redemption         REPRILL LYNCH         JOHN DEERE         392.446.05         8.257.24           COMM         48778BAAG         JODT 1.45% MAT         Redemption         MERRILL LYNCH         JOHN DEERE         392.646.05         8.257.24           COMM         48314AACS         JAOT 1.25% MAT         Interest         MERRILL LYNCH         JOHN DEERE         872.798.74         10.2103           COMM         48314AACS         JOOT 1.25% MAT         REPRILL LYNCH         JOHN DEERE         872.798.74         10.200	38385	COMM	45818WBM7	IADB 1.92152% MAT	Interest	BANK OF AMERICA				96,000.00	96,000.00
COMM         47787UADS         JODT 132% MAT         Indeenable         CITIGROUP GLOBAL JOHN DEERE         286,412.08         878.09           COMM         47787UADS         JODT 143% MAT         Redemption         CITIGROUP GLOBAL JOHN DEERE         2472.96         2472.96           COMM         47787WAGS         JODT 144% MAT         Redemption         ROYAL BANK OF         JOHN DEERE         397.885.36         5879.62           COMM         47787WAGS         JODT 144% MAT         Redemption         MERRILL LYNCH         MISSAN AUTO         382,446.05         5879.62           COMM         47788MACA         JODT 138% MAT         Redemption         MERRILL LYNCH         JOHN DEERE         736.051.78         825.23           COMM         47788MACA         JODT 138% MAT         Redemption         MERRILL LYNCH         JOHN DEERE         736.051.78         10,210.83           COMM         47788MACA         JODT 128% MAT         Redemption         MERRILL LYNCH         HOND AUTO         955,374.66         10,210.83           COMM         47788MAC         JODT 128% MAT         Redemption         MERRILL LYNCH         HOND AUTO         955,374.66         10,210.83           COMM         47788MAC         JODT 128% MAT         Redemption         CITIGROUP GLOBAL MERCEDES -BENZ </td <td>38506</td> <td>COMM</td> <td>3133EJGH6</td> <td>FEDERAL FARM CR</td> <td>Interest</td> <td>INCAPITAL LLC</td> <td>FFCB NOTES</td> <td></td> <td></td> <td>46,766.59</td> <td>46,766,59</td>	38506	COMM	3133EJGH6	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			46,766.59	46,766,59
COMM         47781WAGS         JOOT 142% MAT         Redemption         CTITGROUP GLOBAL JOHN DEERE         286,412.08         2472.96           COMM         47781WAGS         JOOT 144% MAT         Interest         ROYAL BANK OF COMM         65478.04         392,446.05         2472.96           COMM         65478.04D1         NALT 134% MAT         Interest         MERRILL LYNCH         NISSAN AUTO         392,446.05         5879.62           COMM         65478.04D1         NALT 134% MAT         Interest         MERRILL LYNCH         JOHN DEERE         736.051.78         5879.62           COMM         47788.MAG         JOOT 136% MAT         Redemption         MERRILL LYNCH         JOHN DEERE         736.051.78         10,210.83           COMM         47788.MAG         JOOT 136% MAT         Interest         MERRILL LYNCH         JOHN DEERE         736.051.78         10,210.83           COMM         43788.MAG         HAROT 136% MAT         Interest         MERRILL LYNCH         JOHN DEERE         736.051.78         10,627.89           COMM         43814.0AC2         HAROT 136% MAT         Interest         MERRILL LYNCH         HONDA AUTO         10,627.89         10,627.89           COMM         43814.0AC2         HAROT 136% MAT         Redemption         MERRILL LYN	35726	COMM	47787UAD5	JDOT 1.32% MAT	Interest	CITIGROUP GLOB,	ALJOHN DEERE			878.09	878.09
COMM         47787VAGG         JDOT 1.44% MAT         Interest         ROYAL BANK OF         JOHN DEFRE         2472.86           COMM         47787VAGG         JDOT 1.44% MAT         Interest         ROYAL BANK OF         JOHN DEFRE         397,895.36         5,879.62           COMM         47788VAGG         JDOT 1.34% MAT         Interest         MERRILL LYNCH         NINSSAN AUTO         392,446.05         8255.23           COMM         47788MAGG         JDOT 1.35% MAT         Interest         MERRILL LYNCH         JOHN DEFRE         736,051.78         8255.23           COMM         47788MAGG         JDOT 1.35% MAT         Interest         MERRILL LYNCH         JOHN DEFRE         736,051.78         10,210.83           COMM         48778MAGC         JAOT 1.25% MAT         Interest         MERRILL LYNCH         HOND AUTO         845,728.60         10,210.83           COMM         48781AACZ         HAROT 1.35% MAT         Interest         ROYAL BANK OF         JOHN DEFRE         672,890.71         12,390.74           COMM         47788NACZ         JOOT 1.25% MAT         Interest         ROYAL BANK OF         JOHN DEFRE         672,890.71         14,416.87           COMM         47788NAG         MBATT 1.25% MAT         Interest         BANK OF AMERICA DAYOR AUTO RE	35726	COMM	47787UAD5	JDOT 1.32% MAT	Redemption	CITIGROUP GLOB,	ALJOHN DEERE		286,412.08		286,412.08
COMM         47787WG3         JDOT 144% MT         Redemption         ROYAL BANK OF JOHN DEFRE         397,895.36         5,879.62           COMM         65478UADT         NALT 134% MAT         Redemption         MERRILL LYNCH         JOHN DEFRE         397,895.36         5,879.62           COMM         47788MAC4         JDOT 136% MAT         Interest         MERRILL LYNCH         JOHN DEFRE         738,051.78         8,253.23           COMM         47788MAC4         JDOT 136% MAT         Interest         MERRILL LYNCH         JOHN DEFRE         738,051.78         10,210.83           COMM         48237KAD5         TAOT 125% MAT         Redemption         MERRILL LYNCH         TOYOTA AUTO REC         935,374.68         10,210.83           COMM         43814AAC2         HAROT 136% MAT         Interest         MERRILL LYNCH         TOYOTA AUTO REC         935,74.68         10,210.83           COMM         43814AAC2         HAROT 136% MAT         Interest         MERRILL LYNCH         HONDA AUTO         845,728.60         10,210.83           COMM         43814AAC2         JOOT 125% MAT         Interest         ROYAL BANK OF JOHN DEFRE         300,738.90         11,239.03           COMM         43788WAC         JOOT 125% MAT         Interest         JPMOGRACHES -BENZ	36216	COMM	47787WAC3	JDOT 1.44% MAT	Interest	ROYAL BANK OF	JOHN DEERE			2,472.96	2,472.96
COMM         65478UAD1         NALT 134% MAT         Interest         MERRILL LYNCH         NISSAN AUTO         5,879.62           COMM         65478UAD1         NALT 134% MAT         Interest         MERRILL LYNCH         JOHN DEERE         736.051.78         8,253.23           COMM         47788MACA         JDOT 136% MAT         Redemption         MERRILL LYNCH         JOHN DEERE         736.051.78         10,210.83           COMM         47788MACA         JDOT 136% MAT         Interest         MERRILL LYNCH         JOHN DEERE         736.051.78         10,210.83           COMM         43827KAD5         TAOT 125% MAT         Interest         MERRILL LYNCH         HONDA AUTO         845.728.60         10,210.83           COMM         43814QAC2         HAROT 136% MAT         Redemption         MERRILL LYNCH         HONDA AUTO         845.728.60         10,210.83           COMM         43814QAC2         JDOT 125% MAT         Interest         MERRILL LYNCH         HONDA AUTO         845.728.60         10,210.83           COMM         43814QAC2         JDOT 125% MAT         Interest         ROYAL BANK CF AURICA DEERE         672.390.74         10,210.83           COMM         58758BAD6         MERRILL LYNCH         HONDA MERCEDES BENZ         1732.390.74         14,3	36216	COMM	47787WAC3	JDOT 1.44% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		397,895.36		397,895.36
COMM         65478UAD II         NALT 134% MAT         Redemption         MERRILL LYNCH         JOHN DEERE         736,051.78         8,253.23           COMM         47788MAC4         JDOT 1.36% MAT         Interest         MERRILL LYNCH         JOHN DEERE         736,051.78         8,253.23           COMM         43788MAC4         JDOT 1.25% MAT         Interest         MERRILL LYNCH         TOYOTA AUTO REC         935,374.68         10,210.83           COMM         43814QAC2         HAROT 1.25% MAT         Interest         MERRILL LYNCH         HOND AUTO         845,728.60         10,210.83           COMM         43814QAC2         HAROT 1.25% MAT         Interest         MERRILL LYNCH         HOND AUTO         845,728.60         10,210.83           COMM         43814QAC2         JDOT 1.25% MAT         Interest         ROYAL BANK OF         JOHN DEERE         672,390.24         10,627.89           COMM         58758BAD6         MBART 1.26% MAT         Interest         JDOT 1.25% MAT         Interest         JPMorganChese         TOYOTA AUTO REC         14,416.67           COMM         58758BAD6         MBART 1.26% MAT         Interest         JPMorganChese         TOYOTA AUTO REC         241,768.49         14,416.67           COMM         58758MAC5         JDOT 1	36557	COMM	65478UAD1	NALT 1.34% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			5,879.62	5,879.62
COMM         4778BMAC4         JDOT 1.36% MAT         Interest         MERRILL LYNCH         JOH N DEERE         738.051.78         8.253.23           COMM         4778BMAC4         JDOT 1.36% MAT         Redemption         MERRILL LYNCH         JOH N DEERE         738.051.78         10.210.83           COMM         48823FAG5         TAOT 1.25% MAT         Interest         MERRILL LYNCH         HONDA AUTO         845.728.60         10.210.83           COMM         438140AC2         HAROT 1.25% MAT         Interest         MERRILL LYNCH         HONDA AUTO         845.728.60         10.210.83           COMM         438140AC2         HAROT 1.25% MAT         Interest         MERRILL LYNCH         HONDA AUTO         845.728.60         8.990.03           COMM         47788NAC2         JDOT 1.25% MAT         Interest         COMM         ATTABRAC         JOOT 1.25% MAT         Interest         COMM         845.728.00         8.990.03           COMM         47788NAC         JDOT 1.25% MAT         Redemption         CTIGROUP GLOBAL MERCEDES- BENZ         792.799.76         14.350.00           COMM         88758MAC         JMAGT 1.25% MAT         Redemption         JPMOGRACIC-see         TOYOTA AUTO REC         485.999.16         5.625.00           COMM         88758MAC <td>36557</td> <td>COMM</td> <td>65478UAD1</td> <td>NALT 1.34% MAT</td> <td>Redemption</td> <td>MERRILL LYNCH</td> <td>NISSAN AUTO</td> <td></td> <td>392,446.05</td> <td></td> <td>392,446.05</td>	36557	COMM	65478UAD1	NALT 1.34% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO		392,446.05		392,446.05
COMM         47788MAC4         JODT 1.25% MAT         Redemption         MERRILL LYNCH         JOHN DERRE         736,051.78         10,210.83           COMM         89237KAD5         TAOT 1.25% MAT         Interest         MERRILL LYNCH         TOYOTA AUTO REC         935,374.68         10,210.83           COMM         43814QAC2         HAROT 1.25% MAT         Interest         MERRILL LYNCH         HONDA AUTO         845,728.60         10,210.83           COMM         43814QAC2         HAROT 1.25% MAT         Interest         ROYAL BANK OF         JOHN DERRE         672,990.21         10,270.83           COMM         437788NAC2         JOOT 1.25% MAT         Interest         ROYAL BANK OF         JOHN DERRE         672,990.21         12,380.74           COMM         457788NAC2         JOOT 1.25% MAT         Interest         CITIGROUP GLOBAL MERCEDES -BENZ         782,799.76         14,350.00           COMM         58769BAD6         MBART 1.26% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         485,999.16         14,350.00           COMM         58769BAD6         MBART 1.25% MAT         Interest         BANK OF AMERICA         JOHN DERRE         790.74         485,999.16         14,416.67           COMM         58768MAC5         JOOT 1.73% MAT	36600	COMM	47788MAC4	JDOT 1.36% MAT	Interest	MERRILL LYNCH	JOHN DEERE			8,253.23	8,253.23
COMM         8923TKAD5         TAOT 1.25% MAT         Inletest         MERRILL LYNCH         TOYOTA AUTO REC         935,374.68         10,210.83           COMM         8923TKAD5         TAOT 1.25% MAT         Inletest         MERRILL LYNCH         HONDA AUTO         845,728.60         845,728.60         8,990.03           COMM         438140Ac2         HAROT 1.39% MAT         Inletest         MERRILL LYNCH         HONDA AUTO         845,728.60         8,990.03           COMM         47788NAC2         JDOT 1.25% MAT         Inletest         ROYAL BANK OF JOHN DERE         JOHN DERE         872,390.21         12,380.74           COMM         47788NAC2         JDOT 1.25% MAT         Inletest         CITIGROUP GLOBAL MERCEDES -BENZ         792,799.76         12,380.74           COMM         8778BADE         MBAT 1.26% MAT         Inletest         JPMorganChase         TOYOTA AUTO REC         485,399.16         14,350.00           COMM         8778BADAG         MBAT 1.35% MAT         Inletest         JPMorganChase         TOYOTA AUTO REC         241,768.49         12,698.33           COMM         89231LAD9         TAOT 1.23% MAT         Inletest         BANK OF AMERICA JOHN DERE         241,768.49         14,416.67           COMM         47787XAC1         JDOT 1.78% MAT <t< td=""><td>36600</td><td>COMM</td><td>47788MAC4</td><td>JDOT 1.36% MAT</td><td>Redemption</td><td>MERRILL LYNCH</td><td>JOHN DEERE</td><td></td><td>736,051.78</td><td></td><td>736,051.78</td></t<>	36600	COMM	47788MAC4	JDOT 1.36% MAT	Redemption	MERRILL LYNCH	JOHN DEERE		736,051.78		736,051.78
COMM         89237KAD5         TAOT 1.25% MAT         Redemption         MERRILLLYNCH         HONDA AUTO         935,374,68         10,627.89           COMM         43814QAC2         HAROT 1.39% MAT         Interest         MERRILLLYNCH         HONDA AUTO         845,728.60         8,990.03           COMM         43814QAC2         HAROT 1.39% MAT         Redemption         MERRILLLYNCH         HONDA AUTO         845,728.60         8,990.03           COMM         47788NAC2         JOOT 1.25% MAT         Redemption         CITIGROUP GLOBAL MERCEDES -BENZ         792,790.76         12,380.74           COMM         58769BAD6         MBART 1.26% MAT         Interest         CITIGROUP GLOBAL MERCEDES -BENZ         792,790.76         14,350.00           COMM         88769BAD6         MBART 1.26% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         485,999.16         14,350.00           COMM         88768MAC5         MBART 1.35% MAT         Interest         BANK OF AMERICA         MERCEDES-BENZ         305,842.68         12,608.33           COMM         88768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         JOHN DEERE         241,788.49         14,416.67           COMM         47787XAC1         JDOT 1.78% MAT         Redemption	36601	COMM	89237KAD5	<b>TAOT 1.25% MAT</b>	Interest	MERRILL LYNCH	TOYOTA AUTO REC			10,210.83	10,210.83
COMM         43814QAC2         HAROT 1.38% MAT         Interest         MERRILL LYNCH         HONDA AUTO         10,627.89           COMM         43814QAC2         HAROT 1.38% MAT         Redemption         MERRILL LYNCH         HONDA AUTO         B45,728.60         10,627.89           COMM         47788NAC2         JOD 11.25% MAT         Redemption         ROYAL BANK OF         JOHN DEERE         672,990.21         1,2380.74           COMM         58769BAD6         MBART 1.26% MAT         Interest         CITIGROUP GLOBAL MERCEDES -BENZ         792,799.76         14,350.00           COMM         58769BAD6         MBART 1.26% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         485,999.16         14,350.00           COMM         89231LAD9         TAOT 1.23% MAT         Redemption         CITIGROUP GLOBAL MERCEDES -BENZ         792,799.76         14,350.00           COMM         89231LAD9         TAOT 1.23% MAT         Redemption         BANK OF AMERICA         JOHN DEERE         5,625.00           COMM         47787XAC1         JDOT 1.78% MAT         Redemption         BANK OF AMERICA         JOHN DEERE         241,768.46         14,416.67           COMM         47787XAC1         JDOT 1.73% MAT         Redemption         BANK OF AMERICA         JOHN DEERE	36601	COMM	89237KAD5	TAOT 1.25% MAT	Redemption	MERRILL LYNCH	TOYOTA AUTO REC		935,374,68		935,374,68
COMM         43814DAC2         HAROT 1.39% MAT         Redemption         MERRILL LYNCH         HONDA AUTO         B45,728.60         8           COMM         47788NAC2         JDOT 1.25% MAT         Interest         ROYAL BANK OF         JOHN DEERE         672,990.21         8           COMM         58769BAD6         MBART 1.25% MAT         Interest         CITIGROUP GLOBAL MERCEDES -BENZ         792,799.76         14,350.00           COMM         58769BAD6         MBART 1.25% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         485,999.16         14,350.00           COMM         58769BAD6         MBALT 1.35% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         485,999.16         5,625.00           COMM         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         JOHN DEERE         241,788.49         12,608.33           COMM         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         JOHN DEERE         241,788.49         14,416.87           COMM         47787XAC1         JDOT 1.78% MAT         Redemption         BANK OF AMERICA         JOHN DEERE         241,788.49         14,416.87           COMM         47787XAC1         JDOT 1.78% MAT         Interest         <	36800	COMM	43814QAC2	HAROT 1.39% MAT	Interest	MERRILL LYNCH	HONDA AUTO			10,627.89	10,627.89
COMM         47788NAC2         JDOT 1.25% MAT         Interest         ROYAL BANK OF JOHN DEERE         JOHN DEERE         672,390.21           COMM         47788NAC2         JDOT 1.25% MAT         Interest         ROYAL BANK OF ABRICA         JOHN DEERE         672,390.21           COMM         58769BADG         MBART 1.26% MAT         Interest         CITIGROUP GLOBAL MERCEDES - BENZ         792,799.76           COMM         58769BADG         MBART 1.26% MAT         Interest         JPMorganchase         TOYOTA AUTO REC         485,399.16           COMM         89231LAD9         TAOT 1.23% MAT         Interest         BANK OF AMERICA         MERCEDES-BENZ         485,399.16         5,625.00           COMM         89231LAD9         TAOT 1.23% MAT         Interest         BANK OF AMERICA         MERCEDES-BENZ         485,399.16         5,625.00           COMM         89238IAAC6         JDOT 1.72% MAT         Interest         BANK OF AMERICA         JOHN DEERE         241,768.49         14,416.67           COMM         47787XAC1         JDOT 1.72% MAT         Interest         BANK OF AMERICA         JOHN DEERE         292,132.86         14,416.67           COMM         498238MAD0         TAOT 1.73% MAT         Redemption         BANK OF AMERICA         TOYOTA AUTO REC         292,13	36800	COMM	43814QAC2	<b>HAROT 1.39% MAT</b>	Redemption	MERRILL LYNCH	HONDA AUTO		845,728.60		845,728.60
COMM         4778BNAC2         JDOT 1.25% MAT         Redemption         ROYAL BANK OF ALOBAL MERCEDES -BENZ         672,990.21           COMM         58759BAD6         MBART 1.26% MAT         Interest         CITIGROUP GLOBAL MERCEDES -BENZ         792,799.76         12,380.74           COMM         58759BAD6         MBART 1.26% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         485,999.16         14,350.00           COMM         58759BAD6         MBART 1.26% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         485,999.16         14,350.00           COMM         58758MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         MERCEDES-BENZ         305,842.68         12,608.33           COMM         58758MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         JOHN DEERE         241,768.49         12,608.33           COMM         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DEERE         241,768.49         14,416.67           COMM         89238MAD0         TAOT 1.73% MAT         Interest         CITIGROUP GLOBAL HONDA AUTO         292,132.86         39,200.00           COMM         43811BAC8         HAROT 1.68% MAT         Redemption         CITIGROUP GLOBAL HONDA A	36905	COMM	47788NAC2	JDOT 1.25% MAT	Interest	ROYAL BANK OF	JOHN DEERE			8,990.03	8,990.03
COMM         58769BAD6         MBART 1.28% MAT         Interest         CITIGROUP GLOBAL MERCEDES -BENZ         792,799,76         12,380,74           COMM         58769BAD6         MBART 1.28% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         485,999,16         14,350.00           COMM         89231LAD9         TAOT 1.23% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         485,999,16         14,350.00           COMM         89231LAD9         TAOT 1.23% MAT         Interest         BANK OF AMERICA         MERCEDES-BENZ         305,842.68         12,608.33           COMM         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         JOHN DEERE         241,768.49         14,416.67           COMM         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DEERE         292,132.86         14,416.67           COMM         47787XAC1         JDOT 1.73% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         292,132.86         14,416.67           COMM         43811BAC8         HAROT 1.68% MAT         Interest         CITIGROUP GLOBALHONDA AUTO         693,675.57         39,200.00	36905	COMM	47788NAC2	JDOT 1.25% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		672,990.21		672,990.21
COMM         58769BAD6         MBART 1.26% MAT         Redemption         CITIGROUP GLOBAL MERCEDES - BENZ         792,799.76	36956	COMM	58769BAD6	<b>MBART 1.26% MAT</b>	Interest	CITIGROUP GLOBA	AL MERCEDES -BENZ			12,380.74	12,380.74
COMM         89231LAD9         TAOT 1.23% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         485,999.16         14,350.00           COMM         89231LAD9         TAOT 1.23% MAT         Redemption         JPMorganChase         TOYOTA AUTO REC         485,999.16         5,625.00           COMM         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         JOHN DEFRE         305,842.68         12,608.33           COMM         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DEFRE         241,768.49         14,416.67           COMM         47787XAC1         JDOT 1.73% MAT         Interest         BANK OF AMERICA         JOHN DEFRE         221,758.49         14,416.67           COMM         47787XAC1         JDOT 1.73% MAT         Interest         BANK OF AMERICA         JOYOTA AUTO REC         292,132.86         14,416.67           COMM         89238MAD0         TAOT 1.73% MAT         Redemption         COMM         BANK OF AMERICA         TOYOTA AUTO REC         292,132.86         39,200.00           COMM         43811BAC8         HAROT 1.68% MAT         Interest         CITIGROUP GLOBALHONDA AUTO         6993,675.57         6993,675.57	36956	COMM	58769BAD6	<b>MBART 1.26% MAT</b>	Redemption	CITIGROUP GLOBA	AL MERCEDES -BENZ		792,799.76		792,799.76
COMM         89231LAD9         TAOT 1.23% MAT         Redemption         JPMorganChase         TOYOTA AUTO REC         485,999.16         5,625.00           COMM         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         MERCEDES-BENZ         305,842.69         5,625.00           COMM         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         JOHN DEFRE         241,768.49         12,608.33           COMM         47787XAC1         JDOT 1.73% MAT         Interest         BANK OF AMERICA         JOHN DEFRE         241,768.49         14,416.67           COMM         47787XAC1         JDOT 1.73% MAT         Interest         BANK OF AMERICA         JOHN DEFRE         292,132.86         14,416.67           COMM         89238MAD0         TAOT 1.73% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         292,132.86         39,200.00           COMM         43811BAC8         HAROT 1.68% MAT         Interest         CITIGROUP GLOBALHONDA AUTO         693,675.57         693,675.57	37035	COMM	89231LAD9	<b>TAOT 1.23% MAT</b>	Interest	JPMorganChase	TOYOTA AUTO REC			14,350.00	14,350.00
COMM         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         MERCEDES-BENZ         305,842.68         5,625.00           COMM         58768MAC5         MBALT 1.35% MAT         Redemption         BANK OF AMERICA         JOHN DEFRE         12,608.33           COMM         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DEFRE         241,768.49         14,416.67           COMM         89238MAD0         TAOT 1.73% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         292,132.86         39,200.00           COMM         89238MAD0         TAOT 1.73% MAT         Redemption         CITIGROUP GLOBAL HONDA AUTO         COMBAL HAROT 1.68% MAT         BANK OF AMERICA         TOYOTA AUTO REC         292,132.86         39,200.00           COMM         43811BAC8         HAROT 1.68% MAT         Redemption         CITIGROUP GLOBAL HONDA AUTO         693,675.57	37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	<b>JPMorganChase</b>			485,999,16		485,999.16
COMM         58768MAC5         MBALT 1.35% MAT         Redemption         BANK OF AMERICA         MERCEDES-BENZ         305,842.68           COMM         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DEERE         241,768.49         12,608.33           COMM         47787XAC1         JDOT 1.73% MAT         Interest         BANK OF AMERICA         JOHN DEERE         241,768.49         14,416.67           COMM         89238MAD0         TAOT 1.73% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         292,132.86         39,200.00           COMM         43811BAC8         HAROT 1.68% MAT         Interest         CITIGROUP GLOBAL HONDA AUTO         693,675.57         693,675.57	37065	COMM	58768MAC5	MBALT 1.35% MAT	Interest	BANK OF AMERICA				5,625.00	5,625.00
COMM         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DEFRE         241,768.49         12,608.33           COMM         47787XAC1         JDOT 1.78% MAT         Redemption         BANK OF AMERICA         JOHN DEFRE         241,768.49         14,416.67           COMM         89238MAD0         TAOT 1.73% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         292,132.86         39,200.00           COMM         43811BAC8         HAROT 1.68% MAT         Interest         CITIGROUP GLOBAL HONDA AUTO         693,675.57         693,675.57	37065	COMM	58768MAC5	MBALT 1.35% MAT	Redemption	BANK OF AMERICA			305,842.68		305,842,68
COMM         47787XAC1         JDOT 1.78% MAT         Redemption         BANK OF AMERICA         JOHN DEERE         241,768.49           COMM         89238MAD0         TAOT 1.73% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         292,132.86           COMM         43811BAC8         HAROT 1.68% MAT         Interest         CITIGROUP GLOBAL HONDA AUTO         693,675.57           COMM         43811BAC8         HAROT 1.68% MAT         Redemption         CITIGROUP GLOBAL HONDA AUTO         693,675.57	37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	BANK OF AMERIC,				12,608.33	12,608.33
COMM         89238MADG         TAOT 1.73% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         292,132.86         14,416.87           COMM         89238MADG         TAOT 1.73% MAT         Redemption         BANK OF AMERICA         TOYOTA AUTO REC         292,132.86         39,200.00           COMM         43811BAC8         HAROT 1.68% MAT         Interest         CITIGROUP GLOBALHONDA AUTO         693,675,57         693,675,57	37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	BANK OF AMERICA			241,768.49		241,768.49
COMM         89228MAD0         TAOT 1.73% MAT         Redemption         BANK OF AMERICA TOYOTA AUTO REC         292,132.86         2           COMM         43811BAC8         HAROT 1.68% MAT         Interest         CITIGROUP GLOBALHONDA AUTO         693,675,57         693,675,57         6	37568	COMM	89238MAD0	<b>TAOT 1.73% MAT</b>	Interest	BANK OF AMERICA	A TOYOTA AUTO REC			14,416.67	14,416.67
COMM         43811BAC8         HAROT 1.68% MAT         Interest         CITIGROUP GLOBALHONDA AUTO         39,200.00           COMM         43811BAC8         HAROT 1.68% MAT         Redemption         CITIGROUP GLOBALHONDA AUTO         693,675,57         693,675,57	37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	BANK OF AMERICA			292,132.86		292,132.86
COMM 43811BAC8 HAROT 1.68% MAT Redemption CITIGROUP GLOBALHONDA AUTO 693,675,57	37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	CITIGROUP GLOBA	AL HONDA AUTO			39,200.00	39,200,00
	37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	CITIGROUP GLOBA	AL HONDA AUTO		693,675.57		693,675.57

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38476									
,	COMM	47788CAC6	JDOT 2.66% MAT	Interest	ROYAL BANK OF	JOHN DEERE		27,431.25	27,431.25
	COMM	47788CAC6	JDOT 2,66% MAT	Redemption	ROYAL BANK OF	JOHN DEERE	157,546.62		157,546.62
		Totals for 04/16/2018	80				567,391,000.38 582,509,198.29	631,785.67	15,749,983.58
38604 (	COMM	313385VS2	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,750.00		-249,988,750.00
38666	COMM	3137AYCE9	FHLMCM 2.682%	Purchase	BREAN CAPITAL LI	BREAN CAPITAL LLCFHLMC Multi-Family	11,644,539.14		-11,644,539,14
5	COMM	36960MDJ9	GE DISC NOTE MAT Purchase	F Purchase	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916.67		-149.992.916.67
9	COMM	63873KDJ3	NATXNY DISC NOTE Purchase	E Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.34		-99.995,333.34
9	COMM	21687BDJ7	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666,67		49.997.666.67
38598	COMM	36960MDH3	GE DISC NOTE MAT Redemption	T Redemption	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000,000		150.000.000.00
38599	COMM	313385VR4	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000,00		250.000.000.00
38600	COMM	63873KDH7	NATXNY DISC NOTE Redemption	E Redemption	MERRILL LYNCH	Natixis NY Branch	100,000,000,000		100.000.000.00
)	COMM	21687BDH1	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK	50,000,000,000		50.000.000.00
37049	COMM	3133EGYN9	FEDERAL FARM CR Interest	Interest	INCAPITAL LLC	FFCB NOTES		23,500,00	23.500.00
7	COMM	89236TDU6	TOYOTA MOTOR	Interest	BANK OF AMERICA			48,750.00	48,750,00
		Totals for 04/17/2018	80				561,619,205.82 550,000,000.00	72,250.00	-11,546,955.82
38610	COMM	313312BT6	FCDN ZERO CPN	Purchase	MIZUHO	FFCB DISCOUNT	35,372,100.00		-35.372.100.00
38608	COMM	313385VT0	FHLB DISC NOTE	Purchase	UBS FINANCE	FHLB NOTES	249,988,645.85		-249.988.645.85
38607	COMM	36960MDK6	GE DISC NOTE MAT Purchase	F Purchase	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	149.992.916.67		-149.992.916.67
38609	COMM	63873KDK0	NATXNY DISC NOTE Purchase	E Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.34		-99.995.333.34
38611	COMM	21687BDK4	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67		49.997.666.67
34292	COMM	SYS34292	MSTI 0.%	Purchase		MORGAN STANLEY	50,000,000.00		-50,000,000.00
36129	COMM	3133XQSE3	FEDERAL HOME	Redemption	BREAN CAPITAL LLCFHLB NOTES	CFHLB NOTES	5,000,000.00		5,000,000.00
	COMM	3133EF3B1	FEDERAL FARM CR Redemption	Redemption 8	MERRILL LYNCH	FFCB NOTES	35,000,000,00		35,000,000.00
38603	COMM	36960MDJ9	GE DISC NOTE MAT Redemption	r Redemption	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000,000		150,000,000.00
9	COMM	313385VS2	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00		250,000,000.00
,	COMM	63873KDJ3	NATXNY DISC NOTE Redemption	E Redemption	MERRILL LYNCH	Natixis NY Branch	100,000,000,000		100,000,000,001
9	COMM	21687BDJ7	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK	50,000,000,00		50,000,000.00
9	COMM	3133XQSE3	FEDERAL HOME	Interest	BREAN CAPITAL LLCFHLB NOTES	CFHLB NOTES		102,750.00	102,750.00
9	COMM	3133EF3B1	FEDERAL FARM CR	Interest	MERRILL LYNCH	FFCB NOTES		131,250.00	131,250.00
5	COMM	89236TDH5	TOYOTA MOTOR	Interest	MERRILL LYNCH	TOYOTA MOTOR		38,750.00	38,750.00
3	COMM	43814NAC9	HAROT 1.22% MAT	Interest	JPMorganChase	HONDA AUTO		8,377,11	8,377.11
9	COMM	43814NAC9	<b>HAROT 1.22% MAT</b>	Redemption	JPMorganChase	HONDA AUTO	867,846.29		867,846.29
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	BARCLAYS CAPITAL HONDA AUTO	AL HONDA AUTO		11,595.83	11,595.83
9	COMM	43814RAC0	<b>HAROT 1.21% MAT</b>	Redemption	BARCLAYS CAPITAL HONDA AUTO	AL HONDA AUTO	376,906,91		376,906.91
		Totals for 04/18/2018	80				635,346,662.53 591,244,753.20	292,722.94	43,809,186.39
38613	COMM	313385VU7	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,645.85		-249.988.645.85
38615	COMM	3130A8Y72	FEDERAL HOME	Purchase	KEYBANC CAPITAL		24,573,215.28		-24.573.215.28
38612	COMM	36960MDL4	GE DISC NOTE MAT Purchase	r Purchase	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916,67		-149.992.916.67
38614	COMM	S3873KDI B	MATVNV DICC MOTE Durchase	1	The state of the s	A STATE OF THE PARTY OF THE PAR			in the second second

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38616 38607 38608	COMM				THE RESIDENCE OF THE PERSON AND ADDRESS OF T					
38608		21687BDL2	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			49,997,666.67
38608	COMM	36960MDK6	GE DISC NOTE MAT Redemption	Redemption	GENERAL ELECTF	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000,00		150,000,000.00
	COMM	313385VT0	FHLB DISC NOTE	Redemption	UBS FINANCE	FHLB NOTES		250,000,000.00		250,000,000.00
38609	COMM	63873KDK0	NATXNY DISC NOTE Redemption	Redemption	MERRILL LYNCH	Natixis NY Branch		100,000,000.00		100,000,000,001
38611	COMM	21687BDK4	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000.00
38303	COMM	78009N5M4	RBC 1,735% MAT	Interest	ROYAL BANK OF	ROYAL BANK OF			70,549.11	70,549.11
		Totals for 04/19/2018	118				574,547,777.81 550,000,000.00	550,000,000.00	70,549.11	-24,477,228.70
38620	COMM	0556C3DP3	BWUUSC DISC	Purchase	MERRILL LYNCH	BMW US CAPITAL	44,993,812.50			44,993,812.50
38618	COMM	313385VX1	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,965,937.50			-249,965,937.50
38617	COMM	36960MDP5	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTE	GENERAL ELECTRICGENERAL ELECTRIC	149,978,750.01			-149,978,750.01
38619	COMM	63873KDP9	NATXNY DISC NOTE Purchase	Purchase	MERRILL LYNCH	Natixis NY Branch	00.000,986,98			-99,986,000.00
38621	COMM	21687BDP3	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,993,000.00			49,993,000.00
38612	COMM	36960MDL4	GE DISC NOTE MAT Redemption	Redemption	GENERAL ELECTF	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000.00		150,000,000.00
38613	COMM	313385VU7	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	-5	250,000,000.00		250,000,000.00
38614	COMM	63873KDL8	NATXNY DISC NOTE Redemption	Redemption	MERRILL LYNCH	Natixis NY Branch		100,000,000,001		100,000,000,001
38616	COMM	21687BDL2	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK		50,000,000,00		50,000,000.00
37265	COMM	3133EGX67	FFCB 0.859% MAT	Interest	INCAPITAL LLC	FFCB NOTES			58,531.83	58,531.83
37835	COMM	3137EAEF2	FHLMC 1.375% MAT Interest	Interest	CITIGROUP GLOB,	CITIGROUP GLOBAL FHLMC NOTES			309,375.00	309,375.00
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Interest	MERRILL LYNCH	BMW VEHICLE			5,158.44	5,158.44
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Redemption	MERRILL LYNCH	BMW VEHICLE		1,351,307.07		1,351,307.07
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Interest	MIZUHO	BMW VEHICLE			8,341.67	8,341.67
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Redemption	MIZUHO	BMW VEHICLE		405,091.35		405,091.35
		Totals for 04/20/2018	018				594,917,500.01 551,756,398.42	551,756,398.42	381,406.94	-42,779,694.65
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	BANK OF AMERICA, HONDA AUTO	A HONDA AUTO			23,649.99	23,649.99
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	BANK OF AMERICA	A HONDA AUTO		612,841,31		612,841.31
		Totals for 04/21/2018	018					612,841.31	23,649.99	636,491.30
38623	COMM	313385VY9	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,645.85		Î	-249,988,645.85
38622	COMM	36960MDQ3	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTF	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916.67		a <sup>2</sup>	-149,992,916.67
38626	COMM	43707MDQ7	HOME D DISC NOTE Purchase	Purchase	MERRILL LYNCH	HOME DEPOT	49,997,708.33			49,997,708.33
38624	COMM	63873KDQ7	NATXNY DISC NOTE Purchase	Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333,34			-99,995,333.34
38628	COMM	65558GDQ3	NDAFNY DISC NOTE Purchase	Purchase	CITIGROUP GLOB	CITIGROUP GLOBAL Nordea Bank Finland	49,997,666.67			49,997,666.67
38625	COMM	21687BDQ1	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			49,997,666.67
38617	COMM	36960MDP5	GE DISC NOTE MAT Redemption	Redemption	GENERAL ELECTF	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000,00		150,000,000.00
38618	COMM	313385VX1	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38619	COMM	63873KDP9	OTE	Redemption	MERRILL LYNCH	Natixis NY Branch		100,000,000,001		100,000,000,001
38620	COMM	0556C3DP3		Redemption	MERRILL LYNCH	BMW US CAPITAL		45,000,000,00		45,000,000.00
38621	COMM	21687BDP3		Redemption	MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000,00
37725	COMM	3133EHGA5		Interest	INCAPITAL LLC	FFCB NOTES			180,000.00	180,000.00
35986	COMM	43813NAC0	HAROT 1.04% MAT	Interest	JPMorganChase	HONDA AUTO			310.56	310.56

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35986	COMM	43813NAC0	HAROT 1.04% MAT Redemption	JPMorganChase HONDA AUTO	303,851,13		303,851.13
		Totals for 04/23/2018	118		649,969,937.53 595,303,851,13	180,310.56	-54,485,775.84
38633	COMM	0530A3DR6	ADPPP DISC NOTE Purchase	CITIGROUP GLOBALAUTO DATA PROC	56,997,340.00		-56,997,340.00
38629	COMM	3133EJLU1	FEDERAL FARM CR Purchase	Daiwa Capital Market FFCB NOTES	14,992,500.00		-14,992,500.00
38630	COMM	3133EJLU1	FEDERAL FARM CR Purchase	INCAPITAL LLC FFCB NOTES	29,991,600.00		-29,991,600.00
38636	COMM	313385VZ6	FHDN DISC NOTE Purchase	UBS FINANCE FHLB DISCOUNT	249,988,600.00		-249,988,600.00
38634	COMM	36960MDR1	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916.67	-6	-149,992,916.67
38635	COMM	43707MDR5	HOME D DISC NOTE Purchase	MERRILL LYNCH HOME DEPOT	65,662,935.59		-65,662,935.59
38631	COMM	65558GDR1	NDAFNY DISC NOTE Purchase	CITIGROUP GLOBAL Nordea Bank Finland	99,995,333.34		-99,995,333.34
38622	COMM	36960MDQ3	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000,00		150,000,000.00
38623	COMM	313385VY9	FHDN DISC NOTE Redemption	UBS FINANCE FHLB DISCOUNT	250,000,000.00		250,000,000.00
38624	COMM	63873KDQ7	NATXNY DISC NOTE Redemption	MERRILL LYNCH Natixis NY Branch	100,000,000,00		100,000,000.00
38625	COMM	21687BDQ1	RABO DISC NOTE Redemption	MERRILL LYNCH RABOBANK	50,000,000,00		50,000,000.00
38626	COMM	43707MDQ7	HOME D DISC NOTE Redemption	MERRILL LYNCH HOME DEPOT	90,000,000,00		50,000,000.00
38628	COMM	65558GDQ3	NDAFNY DISC NOTE Redemption	CITIGROUP GLOBAL Nordea Bank Finland	50,000,000,00		50,000,000.00
37641	COMM	3130AB3T1	FEDERAL HOME Interest	INCAPITAL LLC FHLB NOTES		175,000.00	175,000.00
37746	COMM	3133EHGE7	FEDERAL FARM CR Interest	MIZUHO FFCB NOTES		159,012.00	159,012.00
		Totals for 04/24/2018	118		667,621,225.60 650,000,000.00	334,012.00	-17,287,213.60
38638	COMM	313385WA0	FHDN DISC NOTE Purchase	UBS FINANCE FHLB DISCOUNT	249,988,611.10		-249,988,611.10
38637	COMM	36960MDS9	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916.67		-149,992,916.67
38640	COMM	43707MDS3	HOME D DISC NOTE Purchase	MERRILL LYNCH HOME DEPOT	16,999,220.83		-16,999,220.83
38639	COMM	63873KDS3	NATXNY DISC NOTE Purchase	MERRILL LYNCH Natixis NY Branch	99,995,333.34		-99,995,333.34
38641	COMM	21687BDS7	RABO DISC NOTE Purchase	MERRILL LYNCH RABOBANK	49,997,666.67		49,997,666.67
35684	COMM	3130A4GJ5		DEUTSCHE BANK FHLB NOTES	20,000,000.00		20,000,000.00
35685	COMM	3130A4GJ5	FEDERAL HOME Redemption	MERRILL LYNCH FHLB NOTES	12,000,000.00		12,000,000.00
38631	COMM	65558GDR1	NDAFNY DISC NOTE Redemption	CITIGROUP GLOBAL Nordea Bank Finland	100,000,000,001		100,000,000.001
38633	COMM	0530A3DR6	ADPPP DISC NOTE Redemption	CITIGROUP GLOBAL AUTO DATA PROC	57,000,000.00		57,000,000.00
38634	COMM	36960MDR1	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000,00		150,000,000.00
38635	COMM	43707MDR5	HOME D DISC NOTE Redemption	MERRILL LYNCH HOME DEPOT	65,666,000.00		65,666,000.00
38636	COMM	313385VZ6	FHDN DISC NOTE Redemption	UBS FINANCE FHLB DISCOUNT	250,000,000.00		250,000,000.00
35684	COMM	3130A4GJ5	FEDERAL HOME Interest	DEUTSCHE BANK FHLB NOTES		112,500.00	112,500.00
35685	COMM	3130A4GJ5	FEDERAL HOME Interest	MERRILL LYNCH FHLB NOTES		67,500,00	67,500.00
36893	COMM	05582QAD9	BMWOT 1.16% MAT Interest	JPMorganChase BMW VEHICLE		9,666.94	9,666,94
36893	COMM	05582QAD9	AT	JPMorganChase BMW VEHICLE	60,879,36		60,879.36
38387	COMM	3137AYCE9		BREAN CAPITAL LLCFHLMC Multi-Family		44,700.00	44,700.00
38387	COMM	3137AYCE9		BREAN CAPITAL LLCFHLMC Multi-Family	440,220.17		440,220.17
38391	COMM	3137AYCE9		BREAN CAPITAL LLCFHLMC Multi-Family		16,762,50	16,762.50
38391	COMM	3137AYCE9	FHLMCM 2.682% Redemption	FE			0.00
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			ad full parties of the same	١	Principal Faydowns	Interest	Cash
	COMM	09659QAD9	AT	JPMorganChase BMW VEHICLE	638,593,17		638,593.17
	COMM	3137AWQH1	FHLMCM 2.307% Interest	BREAN CAPITAL LLCFHLMC Multi-Family		10,727.55	10,727.55
	COMM	3137AWQH1	FHLMCM 2.307% Redemption	BREAN CAPITAL LLCFHLMC Multi-Family	123,649.94		123,649.94
	COMM	3138LAYM5	FHLMCM 2.55% MAT Interest	BOK FINANCIAL FNMA Multi-Family		7,948.78	7,948.78
	COMM	3138LAYM5	FHLMCM 2.55% MAT Redemption	BOK FINANCIAL FNMA Multi-Family	5,221.42		5,221.42
38643	COMM	3137836J2	FHLMCM 3,32% MAT Interest	BARCLAYS CAPITAL FHLMC Multi-Family		55,333.33	55,333.33
38643 (	COMM	3137B36J2	FHLMCM 3.32% MAT Redemption	BARCLAYS CAPITAL FHLMC Multi-Family	415,220.88		415,220.88
	COMM	3137B36J2	FHLMCM 3.32% MAT Interest	BARCLAYS CAPITAL FHLMC Multi-Family		-38,733.33	-38,733,33
38643	COMM	3137B36J2	FHLMCM 3.32% MAT Redemption	BARCLAYS CAPITAL FHLMC Multi-Family			0.00
		Totals for 04/25/2018	018		566,973,748.61 656,349,784.94	346,983.53	89,723,019.86
38648	COMM	313385WB8	FHDN DISC NOTE Purchase	MIZUHO FHLB DISCOUNT	99,995,444.00		-99.995.444.00
	COMM	313385WB8	FHDN DISC NOTE Purchase	UBS FINANCE FHLB DISCOUNT	249,988,611.10		-249,988,611.10
	COMM	36960MDT7	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916.67		-149,992,916.67
	COMM	63873KDT1	ш	MERRILL LYNCH Natixis NY Branch	99,995,333.34		-99,995,333.34
	COMM	21687BDT5	RABO DISC NOTE Purchase	MERRILL LYNCH RABOBANK	49,997,666.67		49,997,666.67
	COMM	9113A3DT8	UPS DISC NOTE Purchase	MERRILL LYNCH UNITED PARCEL	49,997,916.67		49,997,916.67
	COMM	36960MDS9	-	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000,000		150,000,000.00
	COMM	313385WA0	FHDN DISC NOTE Redemption	UBS FINANCE FHLB DISCOUNT	250,000,000.00		250,000,000.00
	COMM	63873KDS3	NATXNY DISC NOTE Redemption	E	100,000,000,001		100,000,000.001
	COMM	43707MDS3	HOME D DISC NOTE Redemption	MERRILL LYNCH HOME DEPOT	17,000,000,00		17,000,000.00
	COMM	21687BDS7	RABO DISC NOTE Redemption	MERRILL LYNCH RABOBANK	50,000,000,00		50,000,000.00
	COMM	3134G9DD6	FEDERAL HOME LN Interest	INCAPITAL LLC FHLMC NOTES		00.000,69	69,000.00
	COMM	3134G8YU7	FEDERAL HOME LN Interest			131,250.00	131,250.00
	COMM	3133EF4Y0	FEDERAL FARM CR Interest	DEUTSCHE BANK FFCB NOTES		134,275.00	134,275.00
	COMM	3134GBEW8	FEDERAL HOME LN Interest			187,500.00	187,500.00
	COMM	3134GBEW8	FEDERAL HOME LN Interest	AL LLC		00'000'09	60,000.00
	COMM	3133EHJ95	FEDERAL FARM CR Interest	MIZUHO FFCB NOTES		87,500.00	87,500.00
38082	COMM	3133EHJ95	FEDERAL FARM CR Interest	MIZUHO FFCB NOTES		43,750.00	43,750.00
		Totals for 04/26/2018	018		699,967,888.45 567,000,000.00	713,275.00	-132,254,613.45
	COMM	17305TYP1	CITIBANK N.A.N.Y Purchase	CITIGROUP GLOBALCITI BANK NA	70,000,000.00		-70,000,000,07-
	COMM	313385WE2	Purchase	UBS FINANCE FHLB NOTES	249,966,041.65		-249,966,041.65
	COMM	36960ME17	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	149,971,666.68		-149,971,666,68
	COMM	63873KDW4	NATXNY DISC NOTE Purchase	MERRILL LYNCH Natixis NY Branch	99,986,000.00		99,986,000.00
	COMM	21687BDW8	hir	MERRILL LYNCH RABOBANK	49,993,000.00		49,993,000.00
	COMM	9113A3E26	UPS DISC NOTE Purchase	MERRILL LYNCH UNITED PARCEL	29,993,333.33		-29,993,333.33
	COMM	36960MDT7	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000.00		150,000,000.00
	COMM	313385WB8	FHDN DISC NOTE Redemption		100,000,000.001		100,000,000,001
	COMM	63873KDT1	NATXNY DISC NOTE Redemption	MERRILL LYNCH Natixis NY Branch	100,000,000,000		100,000,000,001
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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer	Issuer	Principal	Paydowns	Interest	Cash
38651	COMM	9113A3DT8	UPS DISC NOTE	Redemption	MERRILL LYNCH	UNITED PARCEL		50,000,000,00		50.000.000.00
38663	COMM	313385WB8	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	8	250,000,000,00		250,000,000,00
36725	COMM	3134G8XA2	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMC NOTES			50,000,00	50.000.00
37696	COMM	3134GBEF5	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMC NOTES			30,940.00	30.940.00
38529	COMM	191216BT6	KOPP 1.875% MAT	Interest	INCAPITAL LLC	Coca-Cola Co			70,312.50	70.312.50
38529	COMM	191216BT6	KOPP 1.875% MAT	Accr Int	INCAPITAL LLC	Coca-Cola Co		55,468.75	-55,468.75	0.00
		Totals for 04/27/2018	018				649,910,041.66 700,055,468.75	700,055,468.75	95,783.75	50,241,210.84
38271	COMM	3130AD3E0	FEDERAL HOME	Interest	DEUTSCHE BANK	FHLB NOTES		X	75,218,05	75,218.05
		Totals for 04/28/2018	018					,	75,218.05	75,218.05
38662	COMM	313385WF9	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,989,027.80			-249.989.027.80
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Purchase	VINING SPARKS IBC	VINING SPARKS IBG FNMA. Multi-Family	4,615,319.50			-4,615,319,50
38665	COMM	3136B1XP4	<b>FNMAM 3.56% MAT</b>	Purchase	JPMorganChase	FNMA Multi-Family	4,815,319,50			4,615,319.50
38660	COMM	7426M3EW5	PEFCO DISC NOTE	Purchase	WILLIAMS CAPITAL	PRIVATE EXPORT	49,923,750.00			-49,923,750,00
38653	COMM	313385WE2		Redemption	UBS FINANCE	FHLB NOTES		250,000,000,00		250,000,000,00
38655	COMM	21687BDW8	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000.00
38656	COMM	63873KDW4	NATXNY DISC NOTE Redemption	Redemption	MERRILL LYNCH	Natixis NY Branch	-	100,000,000,001		100.000,000.00
36729	COMM	3134G9AV9	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMC NOTES			93,750.00	93,750.00
36730	COMM	3134G9AV9	FEDERAL HOME LN Interest	Interest	WELLS FARGO	FHLMC NOTES			26,562,50	26,562.50
36773	COMM	90331HML4	USB 2.125% MAT	Interest	BARCLAYS CAPITAL U S BANK	LUSBANK			208,133,13	208.133.13
38090	COMM	3134GBU83	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMC NOTES			149,166.60	149,166.60
		Totals for 04/30/2018	918				309,143,416.80 400,000,000.00	100,000,000,000	477,612.23	91,334,195.43
38670	COMM	313385WG7	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,680.55			-249.988.680.55
38671	COMM	36960ME25	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916.67			-149,992,916,67
38672	COMM	63873KE29	NATXNY DISC NOTE Purchase	Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99.995.333.34
38669	COMM	21687BE23	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			-49.997,666.67
38673	COMM	9113A3E26	UPS DISC NOTE	Purchase		UNITED PARCEL	49,997,847,22			-49,997,847.22
35940	COMM	3133EEE71	FEDERAL FARM CR Redemption	Redemption	RAYMOND JAMES & FFCB NOTES	& FFCB NOTES		5,000,000.00		5,000,000.00
35941	COMM	3133EEE71	FEDERAL FARM CR Redemption	Redemption	INCAPITAL LLC	FFCB NOTES		5,000,000.00		5,000,000.00
38586	COMM	9113A3E18	UPS DISC NOTE	Redemption	MERRILL LYNCH	UNITED PARCEL		37,700,000.00		37,700,000.00
38657	COMM	36960ME17	GE DISC NOTE MAT Redemption	Redemption	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	•	150,000,000,00		150,000,000.00
38662	COMM	313385WF9	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	2	250,000,000.00		250,000,000.00
35940	COMM	3133EEE71	FEDERAL FARM CR Interest	Interest	RAYMOND JAMES & FFCB NOTES	& FFCB NOTES			23,000.00	23,000.00
35941	COMM	3133EEE71	FEDERAL FARM CR Interest	Interest	INCAPITAL LLC	FFCB NOTES			23,000,00	23,000.00
		Totals for 05/01/2018	918				599,972,444.45 447,700,000.00	447,700,000.00	46,000.00	-152,226,444.45
38674	COMM	09659CE38	BNP P DISC NOTE	Purchase	MERRILL LYNCH	BNP PARIBAS NY	49,997,666.67			-49.997.666.67
38676	COMM	313385WH5	FHLB DISC NOTE	Purchase	UBS FINANCE	FHLB NOTES	249,988,750,00			-249,988,750.00
38677	COMM	36960ME33	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916.67			-149,992,916,67
38678	COMM	63873KF37	NATXNY DISC NOTE Purchage	Purchage	MEDBILLVNCH	Matterio NV Beanch	00 000 000			1

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Investment #	Dillo.	1000	diagon sill	Hallsachollype	Dealei	ianssi	Principal	Paydowns	Interest	Cash
38675	COMM	21687BE31	RABO DISC NOTE	Purchase		RABOBANK	49,997,666.67			49,997,666.67
36492	COMM	3133EFMU8	FEDERAL FARM CR Redemption	Redemption	DEUTSCHE BANK	FFCB NOTES		13,300,000.00		13,300,000.00
38573	COMM	313385WG7	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		75,000,000.00		75,000,000.00
38590	COMM	9113A3E26	UPS DISC NOTE	Redemption	MERRILL LYNCH	UNITED PARCEL		50,000,000.00		50,000,000.00
38654	COMM	9113A3E26	UPS DISC NOTE	Redemption	MERRILL LYNCH	UNITED PARCEL		30,000,000.00		30,000,000.00
38669	COMM	21687BE23	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK		50,000,000.00		50.000,000.00
38670	COMM	313385WG7	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38671	COMM	36960ME25	GE DISC NOTE MAT Redemption	F Redemption	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000.00		150,000,000.00
38672	COMM	63873KE29	NATXNY DISC NOTE Redemption	E Redemption	MERRILL LYNCH	Natixis NY Branch		100,000,000.00		100,000,000,001
38673	COMM	9113A3E26	UPS DISC NOTE	Redemption		UNITED PARCEL		50,000,000.00		50.000,000.00
36492	COMM	3133EFMU8	FEDERAL FARM CR		DEUTSCHE BANK	FFCB NOTES			63,175.00	63,175.00
38126	COMM	3133EHP31	FFCB 1.95% MAT	Interest	KEYBANC CAPITAL	FFCB NOTES			97,256,25	97,256.25
38126	COMM	3133EHP31	FFCB 1.95% MAT	Accr Int	KEYBANC CAPITAL	. FFCB NOTES		4,322.50	-4.322.50	0.00
34292	COMM	SYS34282	MSTI 0.%	Interest		MORGAN STANLEY			158,830.58	158,830,58
34292	COMM	SYS34292	MSTI 0.%	Purchase		MORGAN STANLEY	158,830,58			-158,830.58
37590	COMM	SYS37590	JPM TE 0.44%	Interest	JPMorganChase	JPM TE MMK FD			50.91	50.91
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	JPMorganChase	JPM TE MMK FD	50,91			-50.91
		Totals for 05/02/2018	018				600,131,214.83 768,304,322.50	768,304,322.50	314,990.24	168,488,097.91
38682	COMM	313385WJ1	FHLB DISC NOTE	Purchase	UBS FINANCE	FHLB NOTES	249,988,750.00	A 100 M 100		-249 988 750.00
38683	COMM	36960ME41	GE DISC NOTE MAT Purchase	Purchase Purchase	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916.67			-149,992,916,67
38685	COMM	46640QNU1	JPMSCC ZERO CPN Purchase	V Purchase	JPMorganChase	JP Morgan Securities	39,235,000.00			-39,235,000,00
38686	COMM	63873KE45	NATXNY DISC NOTE Purchase	E Purchase	MERRILL LYNCH	Natixis NY Branch	49,997,666,67			49.997,666.67
38687	COMM	7426M3NJ4	PEFCO ZERO CPN	Purchase	WILLIAMS CAPITAL	PRIVATE EXPORT	49,194,722.22			49,194,722,22
38681	COMM	21687BE49	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			49,997,666.67
38674	COMM	09659CE38	BNP P DISC NOTE	Redemption	MERRILL LYNCH	BNP PARIBAS NY		50,000,000,00		50,000,000.00
38675	COMM	21687BE31	RABO DISC NOTE	Redemption		RABOBANK		50,000,000,00		50,000,000.00
38676	COMM	313385WH5	FHLB DISC NOTE	Redemption	UBS FINANCE	FHLB NOTES		250,000,000.00		250,000,000.00
38677	COMM	36960ME33	GE DISC NOTE MAT Redemption	r Redemption	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000.00		150,000,000.00
38678	COMM	63873KE37	NATXNY DISC NOTE Redemption	E Redemption	MERRILL LYNCH	Natixis NY Branch		100,000,000,001		100,000,000,001
36329	COMM	594918BF0	MSFT 1.3% MAT	Interest	JPMorganChase	MICROSOFT CORP			130,000.00	130,000.00
37761	COMM	3133EHHG1	FFCB 1.75% MAT	Interest	INCAPITAL LLC	FFCB NOTES			43,750.00	43,750.00
37762	COMM	3133EHHG1	FFCB 1.75% MAT	Interest	Daiwa Capital Market	I FFCB NOTES			87,500.00	87,500.00
38524	COMM	742718EQ8	PG 1.7% MAT	Interest	MIZUHO	PROCTER &			170,000.00	170,000.00
38524	COMM	742718EQ8	PG 1.7% MAT	Accr Int	MIZUHO	PROCTER &		124,666.67	-124,666.67	0.00
38526	COMM	742718EQ8	PG 1.7% MAT	Interest	MIZUHO	PROCTER &			85,000.00	85,000.00
38526	COMM	742718EQ8	PG 1.7% MAT	Accr Int	MIZUHO	PROCTER &		62,805.56	-62,805.56	00.0
		Totals for 05/03/2018	018				588,406,722.23 600,187,472.23	600,187,472.23	328,777,77	12,109,527.77
38688	COMM	25215FDD3	DEXIA CR LOC N Y	Purchase	DEXIA	DEXIA	40,000,000.00			-40,000,000.00
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Wive Sungill #	-	1000	my Describ	1	Principal Paydowns	Interest	Cash
38691	COMM	36960ME74	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	149,978,750.00	-149,9	-149,978,750.00
38692	COMM	63873KE78	NATXNY DISC NOTE Purchase	MERRILL LYNCH Natixis NY Branch	49,993,000.00	-49.9	49,993,000.00
38689	COMM	21687BE72	RABO DISC NOTE Purchase	MERRILL LYNCH RABOBANK	49,993,000.00	49.9	49.993,000.00
38681	COMM	21687BE49	RABO DISC NOTE Redemption	MERRILL LYNCH RABOBANK	20,000,000,000	50.0	50,000,000.00
38682	COMM	313385WJ1	FHLB DISC NOTE Redemption	UBS FINANCE FHLB NOTES	250,000,000.00	250.0	250,000,000.00
38683	COMM	36960ME41	GE DISC NOTE MAT Redemption	CTRIC	150,000,000,00	150.0	150,000,000.00
38686	COMM	63873KE45	NATXNY DISC NOTE Redemption	MERRILL LYNCH Natixis NY Branch	50,000,000,00	50,0	50,000,000.00
		Totals for 05/04/2018	018		539,931,000.00 500,000,000.00	6'62	39,931,000.00
38694	COMM	313385WN2	FHDN DISC NOTE Purchase	UBS FINANCE FHLB DISCOUNT	249,988,750.00	-249,9	-249,988,750.00
38695	COMM	36960ME82	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916.67	-149.9	-149,992,916,67
38696	COMM	63873KE86	NATXNY DISC NOTE Purchase	MERRILL LYNCH Natixis NY Branch	49,997,668.67	49.9	49,997,666.67
38693	COMM	21687BE80	RABO DISC NOTE Purchase	MERRILL LYNCH RABOBANK	49,997,666.67	49.9	49,997,666,67
38689	COMM	21687BE72	RABO DISC NOTE Redemption	MERRILL LYNCH RABOBANK	50,000,000,00	20.0	50,000,000.00
38690	COMM	313385WM4	FHDN DISC NOTE Redemption	UBS FINANCE FHLB DISCOUNT	250,000,000.00	250,0	250,000,000.00
38691	COMM	36960ME74	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000.00	150,0	150,000,000.00
38692	COMM	63873KE78	NATXNY DISC NOTE Redemption	MERRILL LYNCH Natixis NY Branch	50,000,000,00	50,0	50,000,000.00
		Totals for 05/07/2018	018		499,977,000.01 500,000,000.00		22,999.99
38697	COMM	313385WP7	FHDN DISC NOTE Purchase	UBS FINANCE FHLB DISCOUNT	249 988 750.00	P PAC.	00 054 888 986
38698	COMM	36960ME90	GE DISC NOTE MAT Purchase	CTRIC	149.992.916.67	-149.9	-149 992 916 67
38699	COMM	63873KE94	NATXNY DISC NOTE Purchase	MERRILL LYNCH Natixis NY Branch	99.995.333.00	6 66-	99 995 333 00
38693	COMM	21687BE80	RABO DISC NOTE Redemption	MERRILL LYNCH RABOBANK	50.000.000.00	50.0	50,000,000,00
38694	COMM	313385WN2	FHDN DISC NOTE Redemption	UBS FINANCE FHLB DISCOUNT	250,000,000.00	250.0	250,000,000.00
38695	COMM	36960ME82	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000,00	150.0	150,000,000,00
38696	COMM	63873KE86	NATXNY DISC NOTE Redemption	MERRILL LYNCH Natixis NY Branch	50,000,000,00	50.0	50,000,000.00
37793	COMM	3133EHJA2	FEDERAL FARM CR Interest	JPMorganChase FFCB NOTES		271,250.00 2	271,250.00
		Totals for 05/08/2018	018		499,976,999.67 500,000,000.00	271,250.00 2	294,250.33
38702	COMM	313385WQ5	FHDN DISC NOTE Purchase	UBS FINANCE FHLB DISCOUNT	249,988,750.00	-249.9	-249,988,750,00
38704	COMM	36960MEA7	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916.67	-149.9	-149.992.916.67
38705	COMM	63873KEA1	NATXNY DISC NOTE Purchase	MERRILL LYNCH Natixis NY Branch	49,997,666.67	49.9	49,997,666.67
38701	COMM	21687BEA5	RABO DISC NOTE Purchase	MERRILL LYNCH RABOBANK	49,997,666.67	49,9	49,997,666.67
38697	COMM	313385WP7	FHDN DISC NOTE Redemption	UBS FINANCE FHLB DISCOUNT	250,000,000,00	250,0	250,000,000.00
38698	COMM	36960ME90	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000,00	150,0	150,000,000.00
38699	COMM	63873KE94	NATXNY DISC NOTE Redemption	MERRILL LYNCH Natixis NY Branch	100,000,000,000	100,0	100,000,000.001
		Totals for 05/09/2018	018		499,977,000.01 500,000,000.00		22,999.99
38708	COMM	161571HN7	CHAIT 2.1227% MAT Purchase	BARCLAYS CAPITAL CHASE ISSUANCE	37,000,000.00	-37.0	-37,000,000.00
38707	COMM	313385WR3	FHDN DISC NOTE Purchase	UBS FINANCE FHLB DISCOUNT	249,988,750.00	-249,9	-249,988,750.00
38706	COMM	36960MEB5	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916.67	-149,9	-149,992,916.67
38700	CONANA	PANCTOCCOD	TO TO THE PARTY OF	The state of the s			

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38701	COMM	21687BEA5	RABO DISC NOTE Redemption	MERRILL LYNCH RAB	RABOBANK	)'09.	50,000,000,00		50,000,000.00
38702	COMM	313385WQ5	FHDN DISC NOTE Redemption	UBS FINANCE FHL	FHLB DISCOUNT	250,0	250,000,000.00		250,000,000.00
38704	COMM	36960MEA7	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	NERAL ELECTRIC	150,0	150,000,000.00		150,000.000.00
38705	COMM	63873KEA1	NATXNY DISC NOTE Redemption	MERRILL LYNCH Nati	Natixis NY Branch	50,0	50,000,000,00		50,000,000.00
		Totals for 05/10/2018	018			445,188,422.56 500,000,000.00	000,000,000		54,811,577.44
38711	COMM	313385WU6	FHDN DISC NOTE Purchase	UBS FINANCE FHL	FHLB DISCOUNT	249,966,250.00		ľ	249.966.250.00
38712	COMM	36960MEE9	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	NERAL ELECTRIC	149,978,750.00			-149,978,750.00
38713	COMM	63873KEE3	NATXNY DISC NOTE Purchase	MERRILL LYNCH Nation	Natixis NY Branch	49,993,000.00			49,993,000.00
38710	COMM	21687BEE7	RABO DISC NOTE Purchase	MERRILL LYNCH RAB	RABOBANK	49,993,000.00			-49,993,000.00
35965	COMM	3133EEJ50	FEDERAL FARM CR Redemption	JPMorganChase FFC	FFCB NOTES	5,0	5,000,000.00		5.000.000.00
38706	COMM	36960MEB5	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	NERAL ELECTRIC	150,0	150,000,000,00		150,000,000.00
38707	COMM	313385WR3	FHDN DISC NOTE Redemption	UBS FINANCE FHL	FHLB DISCOUNT	250,0	250,000,000.00		250,000,000.00
35965	COMM	3133EEJ50	FEDERAL FARM CR Interest	JPMorganChase FFC	FFCB NOTES			25,750.00	25,750.00
		Totals for 05/11/2018	018			499,931,000.00 405,000,000.00	000'000'000	25,750.00	-94,905,250.00
38714	COMM	313385WV4	FHLBD DISC NOTE Purchase	UBS FINANCE FHL	FHLB DISCO	249,988,854,15			249,988,854,15
38716	COMM	36960MEF6	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	NERAL ELECTRIC	99,995,277.78			-99,995,277.78
38715	COMM	36164KEF4	GECAP DISC NOTE Purchase	GENERAL ELECTRICGENERAL ELECTRIC	NERAL ELECTRIC	49,997,638.89			49,997,638.89
38710	COMM	21687BEE7	RABO DISC NOTE Redemption	MERRILL LYNCH RAB	RABOBANK		50,000,000,00		50,000,000,00
38711	COMM	313385WU6	FHDN DISC NOTE Redemption	UBS FINANCE FHL	FHLB DISCOUNT	250,0	250,000,000.00		250,000,000.00
38712	COMM	36960MEE9	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	NERAL ELECTRIC	150,0	150,000,000.00		150,000,000.00
38713	COMM	63873KEE3	NATXNY DISC NOTE Redemption	MERRILL LYNCH Nation	Natixis NY Branch	20'0	50,000,000,00		50,000,000.00
37663	COMM	4581X0CX4	IADB 1.625% MAT Interest	ROYAL BANK OF IADB	B			284,375.00	284,375.00
38124	COMM	037833DJ6	APPLE INC, SR NT Interest	JPMorganChase APP	APPLE INC			450,000.00	450,000.00
		Totals for 05/14/2018	018			399,981,770.82 500,000,000.00	000000000	734,375.00	100,752,604.18
38717	COMM	313385WW2	Purchase	UBS FINANCE FHL	FHLB NOTES	249,988,854.15		ľ	-249,988,854,15
38720	COMM	36960MEG4	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	NERAL ELECTRIC	49,997,638.89			49,997,638.89
38719	COMM	36164KEG2	GECAP DISC NOTE Purchase	GENERAL ELECTRICGENERAL ELECTRIC	NERAL ELECTRIC	99,995,277.78			-99,995,277.78
36623	COMM	58768FAD8	MBALT 1.52% MAT Redemption	BARCLAYS CAPITAL MERCEDES-BENZ	RCEDES-BENZ	3,6	3,610,856.62		3,610,856.62
36753	COMM	89231UAD9	TOYO 1.3% MAT Redemption	CITIGROUP GLOBAL TOYOTA AUTO REC	YOTA AUTO REC		250,269.04		250,269,04
36753	COMM	89231UAD9		OBAL	YOTA AUTO REC		12.79		12.79
38714	COMM	313385WV4		UBS FINANCE FHL	FHLB DISCO	250,0	250,000,000.00		250,000,000.00
38715	COMM	36164KEF4	GECAP DISC NOTE Redemption	GENERAL ELECTRICGENERAL ELECTRIC	NERAL ELECTRIC	50,0	50,000,000.00		50,000,000.00
38716	COMM	36960MEF6	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	NERAL ELECTRIC	100,0	100,000,000.001		100,000,000.001
35763	COMM	91412GWV3	Interest	LEY	IVERSITY			2,503.75	2,503.75
36710	COMM	91412GD36	MAT	WELLS FARGO UNIV	UNIVERSITY			17,535.00	17,535.00
36753	COMM	89231UAD9		CITIGROUP GLOBAL TOYOTA AUTO REC	YOTA AUTO REC			3,049.19	3,049.19
37108	COMM	3130AA3R7	FHLB 1.375% MAT Interest	BANK OF AMERICA FHLB NOTES	B NOTES			240,625.00	240,625,00
38326	COMM	3133EGG82	FEDERAL FARM CR Interest	BREAN CAPITAL LLCFFCB NOTES	3B NOTES			15,200.00	15,200.00
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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer Is	Issuer	New	Principal	Interest	Total
35726	COMM	47787UAD5	JDOT 1.32% MAT	Interest	CITIGROUP GLOBALJOHN DEERE	IOHN DEERE			567.32	567.32
35726	COMM	47787UAD5	JDOT 1.32% MAT	Redemption	CITIGROUP GLOBAL JOHN DEERE	IOHN DEERE		340,435.75		340,435.75
36216	COMM	47787WAC3	JDOT 1.44% MAT	Interest	ROYAL BANK OF	JOHN DEERE			2,011,07	2.011.07
36216	COMM	47787WAC3	JDOT 1.44% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		480,074.87		480,074.87
36557	COMM	65478UAD1	NALT 1.34% MAT	Interest	MERRILL LYNCH N	NISSAN AUTO			5,436.00	5,436.00
36557	COMM	65478UAD1	NALT 1.34% MAT	Redemption	MERRILL LYNCH N	NISSAN AUTO		369,139.11		369,139.11
36600	COMM	47788MAC4	JDOT 1.36% MAT	Interest	MERRILL LYNCH	JOHN DEERE			7,421.88	7,421.88
36600	COMM	47788MAC4	JDOT 1.36% MAT	Redemption	MERRILL LYNCH	JOHN DEERE		754,931.28		754,931,28
36601	COMM	89237KAD5	<b>TAOT 1.25% MAT</b>	Interest	MERRILL LYNCH T	TOYOTA AUTO REC			9,233.14	9,233.14
36601	COMM	89237KAD5	TAOT 1.25% MAT	Redemption	MERRILL LYNCH T	TOYOTA AUTO REC		877,303.39		877,303.39
36623	COMM	58768FAD8	MBALT 1.52% MAT	Interest	BARCLAYS CAPITAL MERCEDES-BENZ	MERCEDES-BENZ			4,574.42	4,574.42
36623	COMM	58768FAD8	MBALT 1.52% MAT	Redemption	BARCLAYS CAPITAL MERCEDES-BENZ	MERCEDES-BENZ		524.37		524.37
36623	COMM	58768FAD8	MBALT 1.52% MAT	Interest	BARCLAYS CAPITAL MERCEDES-BENZ	MERCEDES-BENZ				0.00
36623	COMM	58768FAD8	MBALT 1.52% MAT	Redemption	BARCLAYS CAPITAL MERCEDES-BENZ	MERCEDES-BENZ				0.00
36800	COMM	43814QAC2	HAROT 1.39% MAT	Interest	MERRILL LYNCH H	HONDA AUTO			9,646.84	9,646.84
36800	COMM	43814QAC2	HAROT 1.39% MAT	Redemption	MERRILL LYNCH H	HONDA AUTO		773,881.54		773,881.54
36905	COMM	47788NAC2	JDOT 1.25% MAT	Interest	ROYAL BANK OF	JOHN DEERE			8,306.15	8,306.15
36905	COMM	47788NAC2	JDOT 1.25% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		889,088.28		889,088.28
36929	COMM	161571HF4	CHAIT 1.27% MAT	Interest	JPMorganChase C	CHASE ISSUANCE			24,341.66	24,341.66
36929	COMM	161571HF4	CHAIT 1.27% MAT	Redemption	JPMorganChase C	CHASE ISSUANCE		657,816.92		657,816.92
36956	COMM	58769BAD6	<b>MBART 1.26% MAT</b>	Interest	CITIGROUP GLOBAL MERCEDES -BENZ	MERCEDES -BENZ			11,541.68	11,541.68
36956	COMM	58769BAD6	<b>MBART 1.26% MAT</b>	Redemption	CITIGROUP GLOBAL MERCEDES -BENZ	MERCEDES -BENZ		739,280.75		739,280.75
37035	COMM	89231LAD9	<b>TAOT 1.23% MAT</b>	Interest	JPMorganChase T	TOYOTA AUTO REC			14,350.00	14,350.00
37035	COMM	89231LAD9	<b>TAOT 1.23% MAT</b>	Redemption	JPMorganChase T	TOYOTA AUTO REC		503,347.35		503,347.35
37065	COMM	58768MAC5	MBALT 1.35% MAT	Interest	BANK OF AMERICA N	MERCEDES-BENZ			5,626.33	5,626.33
37065	COMM	58768MAC5	<b>MBALT 1.35% MAT</b>	Redemption	BANK OF AMERICA IN	MERCEDES-BENZ		416,323.25		416,323.25
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest		JOHN DEERE			12,608.33	12,608.33
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	BANK OF AMERICA J	JOHN DEERE		249,551,28		249,551.28
37568	COMM	89238MAD0	<b>TAOT 1.73% MAT</b>	Interest	BANK OF AMERICA T	TOYOTA AUTO REC			14,416.67	14,416.67
37568	COMM	89238MAD0	<b>TAOT 1.73% MAT</b>	Redemption	BANK OF AMERICA T	TOYOTA AUTO REC		301,716.19		301,716.19
37862	COMM	02582JHG8	AME 1.64% MAT	Interest	ROYAL BANK OF A	AMERICAN			46,466.68	46,466.68
37862	COMM	02582JHG8	AME 1.64% MAT	Redemption	ROYAL BANK OF A	AMERICAN		817,365.97		817,365.97
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	CITIGROUP GLOBAL HONDA AUTO	HONDA AUTO			39,200.00	39,200.00
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	CITIGROUP GLOBAL HONDA AUTO	HONDA AUTO		714,558.89		714,558.89
38137	COMM	89238KAD4	<b>TAOT 1.93% MAT</b>	Interest	JPMorganChase T	TOYOTA AUTO REC			38,599,99	38,599.99
38137	COMM	89238KAD4	<b>TAOT 1.93% MAT</b>	Redemption	JPMorganChase T	TOYOTA AUTO REC		526,674,93		526,674.93
38475	COMM	65478DAD9	<b>NALT 2.65% MAT</b>	Interest	MERRILL LYNCH N	NISSAN AUTO			40,854,16	40,854.16
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	MERRILL LYNCH N	NISSAN AUTO		359,415.52		359,415.52
38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest		JOHN DEERE			18,287,50	18,287.50
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		162,265,54		162,265.54

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38646 COMN 38646 COMN	COMM	02582JHQ6 02582JHQ6	AME 2.67% MAT AME 2.67% MAT	Interest Redemption	BARCLAYS CAPITAL AMERICAN BARCLAYS CAPITAL AMERICAN	784	784,728.18	100,125.00	100,125.00
		Totals for 05/15/2018	8			399,981,770.82 414,583,024.03	3,024.03	689,065.54	15,290,318.75
38721 C	COMM	313385WX0 36960MFH2	FHDN DISC NOTE Purchase	Purchase T Purchase	UBS FINANCE FHLB DISCOUNT	249,988,854.15			249,988,854.15
	COMM	36164KEH0	GECAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC	99.995.277.78			-49,991,030.09
38717 C	COMM	313385WW2		Redemption	UBS FINANCE FHLB NOTES	1.0	250,000,000.00		250,000,000.00
38719 C	COMM	36164KEG2	GECAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC	100,000	100,000,000,001		100,000,000.00
	COMM	36960MEG4	GE DISC NOTE MAT Redemption	T Redemption	GENERAL ELECTRICGENERAL ELECTRIC	90,000	50,000,000,00		50,000,000.00
	COMM	166764BH2	CHEVRON CORP	Interest	WELLS FARGO CHEVRON CORP.			117,075.00	117,075.00
37112 C	COMM	3133EGJ48	FFCB 0.89% MAT	Interest	KEYBANC CAPITAL FFCB NOTES			111,250.00	111,250.00
		Totals for 05/16/2018	8			399,981,770.82 400,000,000.00	00'000'0	228,325.00	246,554.18
	COMM	313385WY8	FHDN DISC NOTE	Purchase	UBS FINANCE FHLB DISCOUNT	249,988,854.15			-249,988,854.15
38725 C	COMM	36164KEJ6	GE DISC NOTE MAT Purchase	T Purchase	GENERAL ELECTRICGENERAL ELECTRIC	24,998,819.44			-24,998,819.44
38726 C	COMM	36960MEJ8	GECAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC	124,994,097.22			-124,994,097.22
36497 C	COMM	3134G76G1	FEDERAL HOME LN Redemption	I Redemption	DEUTSCHE BANK FHLMC NOTES	13,875	13,875,000,00		13,875,000.00
38721 C	COMM	313385WX0	FHDN DISC NOTE	Redemption	UBS FINANCE FHLB DISCOUNT	250,000	250,000,000.00		250,000,000.00
38722 C	COMM	36164KEH0	GECAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC	100,000	100,000,000,001		100,000,000.001
38723 C	COMM	36960MEH2	GE DISC NOTE MAT Redemption	T Redemption	GENERAL ELECTRICGENERAL ELECTRIC	50,000	50,000,000.00		50,000,000.00
	COMM	3134G76G1	FEDERAL HOME LN Interest	Interest	DEUTSCHE BANK FHLMC NOTES			72,843.75	72,843.75
	COMM	3137EAEK1	FHLMC 1.875% MAT Interest	Interest	JPMorganChase FHLMC NOTES			236,979.17	236,979.17
	COMM	3133EGAH8	FEDERAL FARM CR. Interest	1 Interest	BREAN CAPITAL LLCFFCB NOTES			84,281.25	84,281.25
38342 C	COMM	3133EGAH8	FEDERAL FARM CR. Accr Int	Accr Int	BREAN CAPITAL LLCFFCB NOTES	16	19,665.63	-19,665.63	0.00
		Totals for 05/17/2018	8			399,981,770.81 413,894,665.63	4,665.63	374,438.54	14,287,333.36
	COMM	313385XB7	FHDN DISC NOTE	Purchase	UBS FINANCE FHLB DISCOUNT	249,966,562,50		ľ	249,966,562.50
38729 C	COMM	36960MEM1	GE DISC NOTE MAT Purchase	T Purchase	GENERAL ELECTRICGENERAL ELECTRIC	99,985,833.34			-99,985,833.34
38728 C	COMM	36164KEM9	GECAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC	49,992,916.67			-49,992,916.67
	COMM	313385WY8	FHDN DISC NOTE	Redemption	UBS FINANCE FHLB DISCOUNT	250,000	250,000,000.00		250,000,000.00
	COMM	36164KEJ6	GE DISC NOTE MAT Redemption	T Redemption	GENERAL ELECTRICGENERAL ELECTRIC	25,000	25,000,000,00		25,000,000.00
	COMM	36960MEJ8	GECAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC	125,000	125,000,000.00		125,000,000.00
	COMM	43814NAC9	HAROT 1.22% MAT					7,484.96	7,484.96
	COMM	43814NAC9	<b>HAROT 1.22% MAT</b>		JPMorganChase HONDA AUTO	364	799,495.97		799,495.97
	COMM	43814RAC0	HAROT 1.21% MAT		BARCLAYS CAPITAL HONDA AUTO			11,595.83	11,595.83
37059 C	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	BARCLAYS CAPITAL HONDA AUTO	386	389,690.54		389,690.54
		Totals for 05/18/2018	8			399,945,312.51 401,189,186.51	9,186.51	19,080.79	1,262,954.79
38601 C	COMM	02079KAA5	GOOG 3.625% MAT Accr Int	Accr Int.	INCAPITAL LLC GOOGLE INC	247	247,268.80	-247,268.80	0.00
		Totale for 05/40/2040							

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COMM         3133EE/RI         FEDERAL FROM SP burbase         INKDATTALL LC         FED BOCOUNT         249786 5640 0           COMM         3130EE/RY         FEDERAL HOUR         Professe         INKDATTALL LC         FILE NOTES         249,805 6640 0           COMM         3130EACAT         FEDERAL HOUR         Professe         GENERAL ELECTRICGENERAL ELECTRICCENERAL ELECTRIC         89,995,277 78           COMM         3135GAWA         FEDERAL MATL MTRORdemenplion         UBS FINANCE         FRAMA NOTES         99,995,277 78           COMM         3135GAWA         FEDERAL MATL MTRORdemenplion         DELTSCHERAL ELECTRICCENERAL ELECTRIC         99,995,277 78           COMM         3135GAWA         FEDERAL MATL MTRORdemenplion         DELTSCHERAL ELECTRICCENERAL ELECTRICCENERAL ELECTRICCENERAL ELECTRICCENERAL ELECTRIC         99,995,277 78           COMM         3135GAWA         FEDERAL MATL MTRORdemenplion         GENERAL ELECTRICCENERAL	Investment #	Fund	CUSIP	Inv Descrip TransactionType	Dealer Issuer	New Principal Paydowns	af Interest	Total
COMM         31398EXCS         FEND MISC, TOTE Purchase         CHON DECOUNT         248,640.00         CHON DECOUNT         248,640.00         CHON DECOUNT         248,640.00         CHON DECOUNT         PURP MISC, TOTE Purchase         CECHEZAL ELECTRICE CENTRAL ELECTRIC         8,886,400.00         CHON DECOUNT         SERVICE ALE PURCHES CAN DECOUNT         CHON DECOUNT         34,886,400.00	38718	COMM	3133EJPX1	FEDERAL FARM CR Purchase		١,,		4.977,950.00
COMM         STREECH         FERDERAL LIGHT ENTONERINS         SS 995-277 78         SS 995-277 78           COMM         STREECH         EEDSEAL LIGHT ENTONERING         CENDER OUTE BAT Purchase         CENDER OUTE BAT Purchase         CENDER OUTE BAT Purchase         105000000           COMM         STREECH         FEEDERAL LIGHT TREASHING         FINAL NOTES         105000000         105000000           COMM         STREECH         FINAL NOTES         105000000         105000000         105000000           COMM         STREECH         FINAL NOTES         105000000         105000000         105000000           COMM         STREECH         FINAL NOTES         FINAL NOTES         105000000         105000000           COMM         STREECH         FINAL NOTES         FINAL NOTES         105000000         105000000           COMM         STREECH         GENERAL ELECTRICOSENERAL ELECTRIC         10000000         105000000           COMM         STREECH         GENERAL ELECTRICOSENERAL ELECTRIC         10000000         105000000           COMM         STREECH         GENERAL ELECTRICOSENERAL ELECTRIC         105000000         105000000           COMM         STREECH         GENERAL ELECTRICOSENERAL ELECTRICOSENERAL ELECTRICOSENERAL ELECTRICOSENERAL ELECTRICOSENERAL ELECTRICOSENERAL ELECTRICOSENERAL ELECTRI	38730	COMM	313385XC5			249,988,854.15		-249,988,854.15
COMM         SIRGEONAR         FEDERAL WITL VICTOR-demytion         CELENTAL ELECTRICE (SHERING LECTRICE         89.895/2778         40.897/288.99           COMM         S156/20WAB         FEDERAL WITL VICTOR-demytion         MIZUHO         FERRAL MAN OTES         40.897/288.99         10.500.00.00         10.500.00           COMM         3156/20WAB         FEDERAL WITL MITCHARGEMENT CONTRACT         FINAN NOTES         15.000.00         10.500.00 <td< td=""><td>38733</td><td>COMM</td><td>3130AECJ7</td><td></td><td></td><td>9,996,400.00</td><td></td><td>-9.996,400.00</td></td<>	38733	COMM	3130AECJ7			9,996,400.00		-9.996,400.00
COMM         SISHERINY         CECAD BISCONAR         CHENERAL ELECTRICE         RECEIVER         48.957,698.00         10.0           COMM         313560NAB         FEDERAL MATL MITGREGemention         MIZUHON         FEMAN NOTES         1500,000.00         165,000.00           COMM         313560NAB         FEDERAL MATL MITGREGEMENTION         MIZUHON         FEMAN NOTES         1500,000.00         200,000.00           COMM         313560NAB         FEDERAL MATL MITGREGEMENT         FELENTIC CHENTRY         46,075.00         200,000.00         200,000.00           COMM         313560NAB         FEDERAL MATL MITGREGEMENT         FELENTIC CHENTRY         FAMIN NOTES         100,000.00         100,000.00         200,000.00           COMM         313560NAB         FEDERAL MATL MITGREGEMENT         FERRAL MATL MITGREGEMENT         FERRAL MATCRICE CHENTRY         6,075.00         100,000.00 <td< td=""><td>38732</td><td>COMM</td><td>36960MEN9</td><td>GE DISC NOTE MAT Purchase</td><td>GENERAL ELECTRICGENERAL ELECTRIC</td><td>99,995,277.78</td><td></td><td>-99.995.277.78</td></td<>	38732	COMM	36960MEN9	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	99,995,277.78		-99.995.277.78
COMM         3135GOMAS         FEDERAL MATL MTGRedemprilion         UBEFINANCE         FRAMA NOTES         10,000,000.00         10,000,000.00           COMM         3135GOMAS         FEDERAL MATL MTGREdemprilion         UBEFINANCE         FRAMA NOTES         15,000,000.00         15,000,000.00           COMM         3135GOMAS         FEDERAL MATL MTGREdemprilion         UBEFINANCE         FRAMA NOTES         15,000,000.00         200,000,000.00           COMM         3135GOMAS         FEDERAL MATL MTGREdemprilion         UBEFINANCE         FRAMA NOTES         100,000,000.00         160,000,000.00           COMM         3135GOMAS         FEDERAL MATL MTGREment         UBEFINANCE         FRAMA NOTES         100,000,000.00         160,000,000.00           COMM         3135GOMAS         FEDERAL MATL MTGREment         UBEFINANCE         FRAMA NOTES         100,000,000.00         160,000,000.00           COMM         3135GOMAS         FEDERAL MATL MTGREment         DELITSCHE BANK         FRAMA NOTES         160,000,000.00         160,000,000.00           COMM         3135GOMAS         FEDERAL MATL MTGREment         DELITSCHE BANK         FRAMA NOTES         160,000,000.00         160,000,000.00         160,000.00           COMM         3135GOMAS         FEDERAL MATL MTGREment         DELITSCHE BANK         FRAMA NOTES <td>38731</td> <td>COMM</td> <td>36164KEN7</td> <td>GECAP DISC NOTE Purchase</td> <td>GENERAL ELECTRICGENERAL ELECTRIC</td> <td>49,997,638.89</td> <td></td> <td>-49,997,638.89</td>	38731	COMM	36164KEN7	GECAP DISC NOTE Purchase	GENERAL ELECTRICGENERAL ELECTRIC	49,997,638.89		-49,997,638.89
COMM         3135GOWUB         FEDERAL MATIL MITTREdemention         MIZHUHO         FRANANCE         FRAN	34464	COMM	3135G0XA6	FEDERAL NATL MTGRedemption			0	10.500.000.00
COMM         31336SWAB         FEDERALL MITT/TRAGEMENTION         DESTRACKE BETENANCE         FILE DISCOUND         5150000000         280           COMM         3134SSWAD         FIDAGO WITT         REPERALL MITT/TRAGEMENT         GENERAL ELECTRICCENERAL ELECTRIC         500000000         54,075.00         94,075.00           COMM         3134SGWAB         FEDERALL MITT/TRAGEMENT         FINANCE         FINANCE         FINANCE         65,020.00         54,075.00         54,075.00           COMM         3134SGWAB         FEDERALL MITT/TRAGEMENT         FINANCE         FINAN MOTES         65,020.00         54,075.00         56,220.00           COMM         3134SGWAB         FEDERALL MITT/TRAGEMENT         MITT/TRAGEMENT         FINAN MOTES         65,020.00         56,220.00         56,220.00           COMM         3134SEPADO         FEDERALL MITT/TRAGEMENT         FINANCE         FINANCE         FINANCE         76,000.00         76,00	35762	COMM	3135G0WJ8	FEDERAL NATL MTGRedemption		15,000,000.00	0	15,000,000,00
COMM         35135X2R7         FILLY DISC NOTE         Redemption         USE FRAME         FILLY DISC DOLLY         S0,000,000.00         280           COMM         35600ABER         GEGAP DISC NOTE         Redemption         USE FRAME         FILLY DISC DOLLY         100,000,000.00         100,000,000.00         90,000,000.00           COMM         35600ABER         FEDERALL MAT MIT Glinerest         USE FRAME         FRAME A DITES         100,000,000.00         1	36378	COMM	3135G0WJ8	FEDERAL NATL MTGRedemption		15,000,000.00	0	15,000,000,00
COMM         361 SEGNARIA         GENERAL LECTRICGENERAL ELECTRIC         500000000         500           COMM         315 SEGNARIA         GEDECA POSE WORTE MAT Redemption         GENERAL ELECTRICGENERAL ELECTRIC         100,000,000.00         54,075.00           COMM         3135 SEGNARIA         FEDERAL MATI MIGNIMENSI         MICLAN         FINMA NOTES         56,225.00           COMM         3135 SEGNARIA         FEDERAL MATI MIGNIMENSI         MICLAN FERRANCE         FINMA NOTES         56,225.00           COMM         3135 SEGNARIA         FEDERAL MATI Mignimensi         MELS FARZO         FECRA MOTES         56,225.00           COMM         3135 SEDA         FEDERAL MATI Mignimensi         MELS FARZO         FECRA MOTES         56,000.00           COMM         1735 SEDA         FEDERAL MATI Mignimensi         MELS FARZO         FECRA MOTES         56,000.00           COMM         1735 SERVADA         GEDECA MATI Mignimensi         MELS FARZO         FECRA MATI MIGNIMENSI         ROWAL BANK CHEDIT         60,000.00         75,000.00           COMM         2658 FRADA         BANKIT 1.43% MAT Interest         MERRILL LYNCH         BANK VEHICLE         428,000.00         75,400.00           COMM         5658 FRADA         BANK OF AMERICA         HONDIA ALTO         ARAGO 1.72% MAT Interest <t< td=""><td>38727</td><td>COMM</td><td>313385XB7</td><td></td><td></td><td>250,000,000.00</td><td>0</td><td>250,000,000.00</td></t<>	38727	COMM	313385XB7			250,000,000.00	0	250,000,000.00
COMM         39950MEMI         CEDERAL MATL ATIOR Redemytion         GENERAL ELECTRICE MERCAL ELECTRICE         100,000,000         64,075.00           COMM         313560MAB         FEDERAL MATL MTG Interest         MEMBERAL MATL MATC INTEREST         MEMBERAL MATC INTEREST	38728	COMM	36164KEM9	GECAP DISC NOTE Redemption	GENERAL ELECTRICGENERAL ELECTRIC	50,000,000.00	0	50.000.000.00
COMM         313560XAB         FEDERAL NATL MITChinerest         UNS FINANCH         FINAN NOTES         54,075.00           COMM         313560XAB         FEDERAL NATL MITChinerest         MIZUHO         FINAN NOTES         65,622.00           COMM         313560XAB         FEDERAL RATL MITCHINERES         DELITSORIE BANK         FINAN NOTES         86,522.00           COMM         313560XAB         FEDERAL RATL MITCHINERES         DELITSORIE BANK         FINAN NOTES         86,522.00           COMM         313560XB         FEDERAL RATL MITCHINERS         CCITI 1,75% MAT         Interest         CITIGROUP GLOBAL CTIBANK CREDIT         FROYAL BANK         PROYAL BANK         FROYAL BANK         FROYAL BANK         PROYAL BANK         <	38729	COMM	36960MEM1	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	00,000,000,001	0	100.000.000.00
COMM         313260WJB         FEDERAL FRAM CHI MTGINETINESS         MIZUHO         FROM MIZUHO         FIXEBARA MATURAN CHIERS         FEDERAL MATURAN CHIERS         66,652,00           COMM         31326FPB         FEDERAL FRAM CHIERS         DEUTSCHE BANK FRINK CHIERS         FIXEBAR MATURAN CHIERS         66,625,00         66,652,00           COMM         13360RAS         FEDERAL MATURAN CHIERS         COCTITATOS MATURAN CHIERD         FIXEBAR CHIER CHIERDAM C	34464	COMM	3135G0XA6	FEDERAL NATL MTGInterest				
COMM         3132EPAD         FEDERAL FARIN CR Interest         DEUTSCHE BANK         FINAN CORD         PROFES         99,750,00           COMM         31326GWUB         FEDERAL MATL MTGINerest         DEUTSCHE BANK         FINAN CORD         140,000.00         35,000.00           COMM         31326GWUB         FEDERAL MATL MTGINERES         BARCUATA CATTA MOTOR         50,000.00         35,000.00         35,000.00           COMM         31326GWUB         FEDERAL MAT Interest         Interest         INCAPITAL LIVE         ROYAL BANK OF ROBUS         FEDERAL MAT INTEREST         140,000.00         35,000.00         35,000.00           COMM         30009RAMA         RBC 1735% MAT Interest         INCAPITAL LIVE         ROYAL BANK OF ROBUS         ROYAL BANK OF ROBUS         14,432,000.00         30,007.71           COMM         3009RAMA         BMWLT 1.3% MAT Redemption         MERRILL LIVE         BMW VEHICLE         422,043.30         35,649.39         436,77           COMM         3058BZAGA         BMWLT 1.3% MAT Redemption         MACHALL LIVE         BMW VEHICLE         432,043.30         32,649.39           COMM         3313BSZGD         HAROT 1.72% MAT Redemption         BANK OF AMERICA HECTRIC         43,995,638.31         144,556,120.82         44,29,996,416.87         174,43,566,120.82         36,649.39 </td <td>35762</td> <td>COMM</td> <td>3135G0WJ8</td> <td>FEDERAL NATL MTGInterest</td> <td></td> <td></td> <td>65,625,00</td> <td></td>	35762	COMM	3135G0WJ8	FEDERAL NATL MTGInterest			65,625,00	
COMM         3136GN/UA         FEDERAL MATL MTGINITIES IN THE ATTO OF A MATLANT INTERMINENT TO COMMAN STAGE AND A MATLAND INTEREST         DELITISCHE MAN FOUNTA MOTOR         1440000000         1440000000         1440000000         1440000000         1440000000         1440000000         1440000000         1440000000         1440000000         1440000000         144000000         144000000         144000000         144000000         144000000         144000000         144000000         1440000         1440000         1440000         1440000         1440000         1440000         1440000         1440000         144000         1440000         144000         1440000         144000         1440000         1440000         144000         1440000         1440000         1440000         1440000         1440000         1440000         1440000         1440000         1440000         1440000         1440000         1440000         1440000         1440000         1440000         1440000         1440000         1440000         144	36370	COMM	3133EFPJ0	FEDERAL FARM CR Interest			96,750.00	
COMM         RESCRICE         TOYO 14% MAT         Inferest         BARCLAYS CAPITAL TOYOTA MOTOR         140,000.00         140,000.00           COMM         1730EEVAD         CCCIT 1735% MAT         Inferest         CTITGROUP GLOBAL CTIBANK CPEDIT         FROYAL BANK OF         FROYAL BANK OF         58,817.22           COMM         20078AAB         GOOG 3,625% WAT         Inferest         ROYAL BANK OF         ROYAL BANK OF         75,933.52           COMM         20078AAB         GOOG 3,625% WAT         Inferest         ROYAL BANK OF         ROYAL BANK OF         75,933.52           COMM         20078AAB         BMWLT 1,34% MAT         Inferest         ROYAL BANK OF HIGLE         1,432,025.02         75,933.52           COMM         20581RADB         BMWLT 1,34% MAT         Redemption         MERRILL LYNCH         BMW VEHICLE         429,043.00         3,689.79           COMM         43814TACB         BMWLT 1,35% MAT         Redemption         MERRILL LYNCH         BMW VEHICLE         429,043.00         3,549.90           COMM         43814TACB         HAROT 1,72% MAT         Redemption         AMD VEHICLE         429,043.00         23,649.99         43,649.00           COMM         43814TACB         HAROT 1,07% MAT         Redemption         AMD VEHICLE         A14,956,120.82<	36378	COMM	3135G0WJ8	FEDERAL NATL MTGInterest			65,625,00	
COMM         3133EGKFW         CCCIT,735% MAT         Interest         CITGROUP GLOBALCTIBANK CREDIT         380,000.00	36776	COMM	89236TDE2		BARCLAYS CAPITAL TOYOTA MOTOR		140,000.00	7
COMM         3133EGNGT         FFCB 0585% MAT Interest         INCAPITALLLC         FCCB NOTES         58,831,22           COMM         30378EAAB         GOOG 3625% MAT Interest         INCAPITALLC         GOOG IE MAN OF FOR ALL BANK OF ALL	37208	COMM	17305EFW0		CITIGROUP GLOBAL CITIBANK CREDIT		350,000.00	
COMM         7809NSM4         RBC 1,735% MAT         Interest         ROYAL BANK OF	37265	COMM	3133EGX67	3			58,831,22	
COMM         030279KAA5         GOOG 3 625% MAT         Incapitation         GOOGLE INC         GOOGLE INC         GOOGLE INC         3058778.13         3         3568.79         14         4         3202778.13         3         3         4	38303	COMM	78009N5M4	3			75,933,52	
COMM         05581RADB         BMWLT 1:34% MAT         Inerest         MERRILL LYNCH         BMWW VEHICLE         1,432,025.02	38601	COMM	02079KAA5				302,778.13	
COMM         DS581RADB         BMWUT 11.34% MAT         Redemption         MERRIL LVNCH         BMW VEHICLE         449.20.20.50.2         8.341.67         1,4           COMM         05582XAD4         BMWUT 11.34% MAT         Redemption         MIZUHO         BMW VEHICLE         429.044.30         8.341.67         4.4           COMM         43814TAC6         HAROT 1.72% MAT         Inferest         MIZUHO         BMW VEHICLE         444,956,120.82         429.044.30         7.3649.99           COMM         43814TAC6         HAROT 1.72% MAT         Redemption         BANK OF AMERICA         HONDA AUTO         414,956,120.82         442,964.35         223,649.99           COMM         35896MEPA         GEDIS CNOTE         PUCHASA         GENERAL LECTRICGENERAL LECTRIC         449,996,277.78         449,996,277.78         448,996,277.78         4	36569	COMM	05581RAD8	7			3,658.75	
COMM         058BXAD4         BMWUT 1.43% MAT         Inlerest         MIZUHO         BMW VEHICLE         429,04.30         8.341.67           COMM         438BXAD4         BMWLT 1.43% MAT         Recemption         MIZUHO         BMW VEHICLE         429,044.30         23,649.30           COMM         438BATAC6         HAROT 1.72% MAT         Recemption         BANK OF AMERICA         HONDA AUTO         637,347.55         23,649.30           COMM         438BATAC6         HAROT 1.72% MAT         Recemption         BANK OF AMERICA         HONDA AUTO         444,956,120.82         442,988,416.87         1,245,268.32         23,649.30           COMM         313385XD3         FHDIN DISC NOTE         Purchase         UBS FINANCE         FHLB DISCOUNT         249,988.854.15         49,997,638.89         49,997,638.89           COMM         36980MEP4         GE DISC NOTE         Recemption         UBS FINANCE         FHLB DISCOUNT         250,000,000.00         250,000,000.00         750,000,000.00         750,000,000.00         750,000,000.00         750,000,000.00         750,000,000.00         750,000,000.00         750,000,000.00         750,000,000.00         750,000,000.00         750,000,000.00         750,000,000.00         750,000,000.00         750,000,000.00         750,000,000.00         750,000,000.00         750,000	36569	COMM	05581RAD8			1,432,025.02		1,43
COMM         05882XAD4         BMWLT 1.43% MAT         Redemption         MIZUHO         BMW VEHICLE           COMM         43814TAC6         HAROT 1.72% MAT         Interest         BANK OF AMERICA         HONDA AUTO         637.347.55         23,649.99           COMM         43814TAC6         HAROT 1.72% MAT         Redemption         UBS FINANCE         FHLB DISCOUNT         249,988.6415         1,245,268.32         23,549.99           COMM         3338SXD3         CECAP DISC NOTE         Purchase         GENERAL ELECTRICGENERAL ELECTRIC         49,995,277.78         49,997,638.99         616.47         49,997,638.99	37036	COMM	05582XAD4	₹.			8,341.67	
COMM         43814TAC6         HAROT 1.72% MAT         Inferest         BANK OF AMERICA         HONDA AUTO         414,956,120.82         444,956,120.82         423,649.99         657,347.55         650,000,000         637,347.55         650,000,000         637,347.55         650,000,000         637,347.55         650,000,000         637,347.55         650,000,000         637,347.55         650,000,000         637,347.55         650,000,000         637,347.55         650,000,000         637,347.55         644,956,120.82         444,956,120.82 <t< td=""><td>37036</td><td>COMM</td><td>05582XAD4</td><td>5-</td><td></td><td>429,044,30</td><td>0</td><td>429,044.30</td></t<>	37036	COMM	05582XAD4	5-		429,044,30	0	429,044.30
COMM         43814TAC6         HAROT 1.72% MAT         Redemption         BANK OF AMERICA         HONDA AUTO         FILB DISCOUNT         637,347,55         629,388,864,15         243,988,416,87         1,245,268.32         293, 293, 293, 293, 293, 293, 293, 293,	37615	COMM	43814TAC6				23,649,95	
COMM         313385XD3         FHDN DISC NOTE Furchase         Purchase         CENERAL ELECTRIC GENERAL GENER	37615	COMM	43814TAC6			637,347.5	2	637,347.55
COMM         313385XD3         FHDN DISC NOTE         Purchase         UBS FINANCE         FHLB DISCOUNT         249,988,654.15         -249,988,854.15         -249,988,854.15         -249,988,884.15         -249,988,884.15         -249,988,884.15         -249,987,638.89         -249,987,			Totals for 05/21/2	2018		414,956,120.82 442,998,416.87		29,
COMM         36960MEP4         GE DISC NOTE MAT Purchase         GENERAL ELECTRIC GENERAL EL	38734	COMM	313385XD3		1	249,988,854.15		-249.988.854.15
COMM         36164KEP2         GECAP DISC NOTE         Purchase         GENERAL ELECTRICGENERAL ELECTRIC         49,997,638.89         49,99	38736	COMM	36960MEP4	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	99,995,277.78		-99.995.277.78
COMM         43813NAC0         HAROT 1.04% MAT         Redemption         JPMorganChase         HONDA AUTO         616.47         6           COMM         313385XC5         FHDN DISC NOTE         Redemption         UBS FINANCE         FHLB DISCOUNT         256,000,000.00         256,000,000.00           COMM         36164KEN7         GECAP DISC NOTE         Redemption         GENERAL ELECTRICGENERAL ELECTRIC GENERAL ELECTRIC GEN	38735	COMM	36164KEP2		GENERAL ELECTRICGENERAL ELECTRIC	49,997,638.89		49,997,638.89
COMM         313385XC5         FHDN DISC NOTE         Redemption         UBS FINANCE         FHLB DISCOUNT         250,000,000.00 <t< td=""><td>35986</td><td>COMM</td><td>43813NAC0</td><td></td><td></td><td></td><td>7</td><td>616.47</td></t<>	35986	COMM	43813NAC0				7	616.47
COMM         36164KEN7         GECAP DISC NOTE         Redemption         GENERAL ELECTRICGENERAL ELECTRIC         50,000,000.00         50,000,000.00         50,000,000.00         50,000,000.00         50,000,000.00         50,000,000.00         50,000,000.00         50,000,000.00         50,000,000.00         50,000,000.00         50,000,000.00         100,000,000.00 <td>38730</td> <td>COMM</td> <td>313385XC5</td> <td></td> <td></td> <td>250,000,000.00</td> <td>0</td> <td>250,000,000,00</td>	38730	COMM	313385XC5			250,000,000.00	0	250,000,000,00
COMM         36960MEN9         GE DISC NOTE MAT Redemption         GENERAL ELECTRICGENERAL ELECTRIC         100,000,000.00         106,000,000.00         100,000,000.00	38731	COMM	36164KEN7		GENERAL ELECTRICGENERAL ELECTRIC	20,000,000,05	0	50,000,000.00
COMM         3134GBLQ3         FEDERAL HOME LN Interest         INCAPITAL LLC         FHLMC NOTES         165,000.00	38732	COMM	36960MEN9	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	100,000,000,000	0	100,000,000.00
COMM 43813NAC0 HAROT 1.04% MAT Interest JPMorganChase HONDA AUTO COMM 43813NAC0 HAROT 1.04% MAT Redemption JPMorganChase HONDA AUTO COMM 43813NAC0 HAROT 1.04% MAT Redemption JPMorganChase HONDA AUTO COMM 43813NAC0 HAROT 1.04% MAT Redemption JPMorganChase HONDA AUTO	37804	COMM	3134GBLQ3	FEDERAL HOME LN Interest			165,000.00	
COMM 43813NAC0 HAROT 1,04% MAT Redemption JPMorganChase HONDA AUTO COMM 43813NAC0 HAROT 1,04% MAT Redemption JPMorganChase HONDA AUTO COMM 43813NAC0 HAROT 1,04% MAT Redemption JPMorganChase HONDA AUTO	35986	COMM	43813NAC0				0.53	
COMM 43813NAC0 HAROT 1.04% MAT Interest JPMorganChase HONDA AUTO COMM 43813NAC0 HAROT 1.04% MAT Redemption JPMorganChase HONDA AUTO	35986	COMM	43813NAC0			64.35	2	64.35
COMM 43813NAC0 HAROT 1.04% MAT Redemption JPMorganChase HONDA AUTO	35986	COMM	43813NAC0					0.00
	35986	COMM	43813NAC0					0.00

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		Totals for 05/22/2018	018			399,981,770.82 400,000,680.82	165,000.53	183,910.53
38746	COMM	313385XE1	FHDN DISC NOTE Purchase	0	UBS FINANCE FHLB DISCOUNT	249,988,854,15		-249,988,854,15
38747	COMM	36960MEQ2	GE DISC NOTE MAT Purchase	e)	GENERAL ELECTRICGENERAL ELECTRIC	99,995,277.78		-99,995,277.78
38748	COMM	36164KEQ0	GECAP DISC NOTE Purchase	Ф	GENERAL ELECTRICGENERAL ELECTRIC	49,997,638.89		-49,997,638.89
38751	COMM	78012UDV4	RBC 2.66% MAT Purchase	0	RBC CAPITAL ROYAL BANK OF	15,000,000.00		-15,000,000.00
38734	COMM	313385XD3	FHDN DISC NOTE Redemption	tion	UBS FINANCE FHLB DISCOUNT	250,000,000.00	0,	250,000,000.00
38735	COMM	36164KEP2	GECAP DISC NOTE Redemption	tion	GENERAL ELECTRICGENERAL ELECTRIC	50.000,000.00	0	50,000,000.00
38736	COMM	36960MEP4	GE DISC NOTE MAT Redemption	tion	GENERAL ELECTRICGENERAL ELECTRIC	100,000,000,00	0	100,000,000,00
34292	COMM	SYS34292	MSTI 0.% Redemption	tion	MORGAN STANLEY	200.364,423.16	9	200,364,423,16
37590	COMM	SYS37590	JPM TE 0.44% Redemption	tion	JPMorganChase JPM TE MMK FD	40,278.98	.8	40,278.98
		Totals for 05/23/2018	018			414,981,770.82 600,404,702.14	4	185,422,931.32
38749	COMM	313385XF8	FHDN DISC NOTE Purchase	9	UBS FINANCE FHLB DISCOUNT	249,988,888.90		-249,988,888.90
38753	COMM	36960MER0	GE DISC NOTE MAT Purchase	Ф	GENERAL ELECTRICGENERAL ELECTRIC	99,995,277.78		-99,995,277.78
38752	COMM	36164KER8	GECAP DISC NOTE Purchase	9	GENERAL ELECTRICGENERAL ELECTRIC	49,997,638.89		49,997,638.89
38746	COMM	313385XE1	FHDN DISC NOTE Redemption	tion	UBS FINANCE FHLB DISCOUNT	250,000,000.00	.00	250,000,000.00
38747	COMM	36960MEQ2	GE DISC NOTE MAT Redemption	tion	GENERAL ELECTRICGENERAL ELECTRIC	100,000,000.00	0	100,000,000.001
38748	COMM	36164KEQ0	GECAP DISC NOTE Redemption	tion	GENERAL ELECTRICGENERAL ELECTRIC	50,000,000.00	00	50,000,000.00
36782	COMM	3136G3NC5	FEDERAL NATL MTGInterest		INCAPITAL LLC FNMA NOTES		25,000.00	
36805	COMM	94988J5D5	WELLS 1.75% MAT Interest		WELLS FARGO WELLS FARGO		105,000.00	
37131	COMM	3130A9W49	FEDERAL HOME Interest		INCAPITAL LLC FHLB NOTES		93,750.00	
37816	COMM	3130ABDX1	FEDERAL HOME Interest		INCAPITAL LLC FHLB NOTES		210,000.00	
		Totals for 05/24/2018	018			399,981,805.57 400,000,000.00	433,750.00	451,944.43
38754	COMM	313385XK7	FHDN DISC NOTE Purchase	· o	UBS FINANCE FHLB DISCOUNT	249,955,555.55		-249.955.555.55
38756	COMM	36960MEV1	GE DISC NOTE MAT Purchase	Ф	GENERAL ELECTRICGENERAL ELECTRIC	99,981,111.12		-99,981,111,12
38755	COMM	36164KEV9	GECAP DISC NOTE Purchase	Ф	GENERAL ELECTRICGENERAL ELECTRIC	49,990,555.56		49,990,555.56
38749	COMM	313385XF8	FHDN DISC NOTE Redemption	tion	UBS FINANCE FHLB DISCOUNT	250,000,000.00	0	250,000,000.00
38752	COMM	36164KER8	GECAP DISC NOTE Redemption	tion	GENERAL ELECTRICGENERAL ELECTRIC	20,000,000,05	0	50,000,000.00
38753	COMM	36960MER0	GE DISC NOTE MAT Redemption	tion	GENERAL ELECTRICGENERAL ELECTRIC	100,000,000.00	0	100,000,000.00
37801	COMM	3134GBLR1	FEDERAL HOME LN Interest		INCAPITAL LLC FHLMC NOTES		175,000.00	
38272	COMM	3133EHKT9	FEDERAL FARM CR Interest		INCAPITAL LLC FFCB NOTES		121,156,50	
38272	COMM	3133EHKT9	FEDERAL FARM CR. Accr Int		INCAPITAL LLC FFCB NOTES	12,115.65		
36893	COMM	05582QAD9	BMWOT 1.16% MAT interest		JPMorganChase BMW VEHICLE			9.6
36893	COMM	05582QAD9	BMWOT 1.16% MAT Redemption	tion	JPMorganChase BMW VEHICLE	765,831.52		76
38387	COMM	3137AYCE9	FHLMCM 2.682% Interest		BREAN CAPITAL LLCFHLMC Multi-Family		44,700.00	
38387	COMM	3137AYCE9	E.	tion	BREAN CAPITAL LLCFHLMC Multi-Family			
38391	COMM	3137AYCE9	FHLMCM 2.682% Interest		BREAN CAPITAL LLCFHLMC Multi-Family		16,762.50	16,762,50
38391	COMM	3137AYCE9		tion	CLC			000
38412	COMM	09659QAD9	BMWOT 2.35% MAT Interest		JPMorganChase BMW VEHICLE		62,666.66	62,666.66

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	COMM	3137AWQH1	FHLMCM 2.307%	Interest	BREAN CAPITAL LLCFHLMC Multi-Family	Ilti-Family			10,727.55	10,727.55
	COMM	3137AWQH1	FHLMCM 2,307%	Redemption	BREAN CAPITAL LLCFHLMC Multi-Family	Itt-Family		125,548.24		125,548.24
	COMM	3138LAYM5	FHLMCM 2.55% MAT Interest	T Interest	BOK FINANCIAL FNMA Multi-Family	i-Family			7,688.07	7,688.07
	COMM	3138LAYM5	FHLMCM 2.55% MAT Redemption	T Redemption	BOK FINANCIAL FNMA Multi-Family	i-Family		5,661,38		5,661.38
	COMM	3137B36J2	FHLMCM 3.32% MAT Interest	T Interest	BARCLAYS CAPITAL FHLMC Multi-Family	Ilti-Family			55,333,34	55,333.34
	COMM	3137836J2	FHLMCM 3.32% MAT Redemption	T Redemption	BARCLAYS CAPITAL FHLMC Multi-Family	Itt-Family	4	420,933.62		420,933.62
38664	COMM	3136B1XP4	<b>FNMAM 3.56% MAT</b>	Interest	VINING SPARKS IBG FNMA Multi-Family	i-Family			13,350.00	13,350.00
38664	COMM	3136B1XP4	<b>FNMAM 3.56% MAT</b>	Redemption	VINING SPARKS IBG FNMA Multi-Family	i-Family				0.00
38664	COMM	3136B1XP4	<b>FNMAM 3.56% MAT</b>	Interest	VINING SPARKS IBG FNMA Multi-Family	i-Family			-12,905.00	-12,905.00
38664	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	VINING SPARKS IBG FNMA Multi-Family	i-Family				0.00
38665	COMM	3136B1XP4	<b>FNMAM 3.56% MAT</b>	Interest	JPMorganChase FNMA Multi-Family	i-Family			13,350.00	13,350.00
38665 (	COMM	3136B1XP4	<b>FNMAM 3.56% MAT</b>	Redemption	JPMorganChase FNMA Multi-Family	i-Family		103,517.88		103,517.88
38665	COMM	3136B1XP4	<b>FNMAM 3.56% MAT</b>	Interest	JPMorganChase FNMA Multi-Family	i-Family			-12,905.00	-12,905.00
38665 (	COMM	3136B1XP4	<b>FNMAM 3.56% MAT</b>	Redemption	JPMorganChase FNMA Multi-Family	i-Family				0.00
38666	COMM	3137AYCE9	FHLMCM 2.682%	Interest	BREAN CAPITAL LLCFHLMC Multi-Family	Iti-Family			26,250.08	26,250.08
38666	COMM	3137AYCE9	FHLMCM 2.682%	Redemption	BREAN CAPITAL LLCFHLMC Multi-Family	Iti-Family	2	257,451,79		257,451.79
38666	COMM	3137AYCE9	FHLMCM 2.682%	Interest	BREAN CAPITAL LLCFHLMC Multi-Family	Ilti-Family			-14,000.04	-14,000.04
38666	COMM	3137AYCE9	FHLMCM 2.682%	Redemption	BREAN CAPITAL LLCFHLMC Multi-Family	Ill-Family				0.00
		Totals for 05/25/2018	118				399,927,222.23 402,348,515.82	348,515.82	504,670.28	2,925,963.87
38758	COMM	313385XL5	FHDN DISC NOTE	Purchase	UBS FINANCE FHLB DISCOUNT	TNUO	249,988,958.35		ľ	-249.988.958.35
38759	COMM	36960MEW9	GE DISC NOTE MAT. Purchase	Purchase	CTRIC	ELECTRIC	149,992,916.67		,	-149,992,916,67
38754 (	COMM	313385XK7	FHDN DISC NOTE	Redemption	UBS FINANCE FHLB DISCOUNT	NOONT		250,000,000,00		250 000 000 00
38755 (	COMM	36164KEV9	GECAP DISC NOTE		CTRIC	ELECTRIC	20.0	50.000,000,00		50.000,000.00
38756	COMM	36960MEV1	GE DISC NOTE MAT Redemption	Redemption	GENERAL ELECTRICGENERAL ELECTRIC	ELECTRIC	100,0	100,000,000,001		100,000,000.00
36785	COMM	3134G9HM2	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC FHLMC NOTES	TES			58,500.00	58,500.00
36787	COMM	3134G9HW0	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC FHLMC NOTES	TES			32,812.50	32,812.50
36797	COMM	3136G3PR0	FEDERAL NATL MTGInterest	Ginterest	BREAN CAPITAL LLCFNMA NOTES	ES:			187,500.00	187,500.00
37149 0	COMM	3130AABG2	FEDERAL HOME	Interest	BANK OF AMERICA FHLB NOTES	ES			140,625.00	140,625.00
38169	COMM	3133EHW58	FFCB 1.9% MAT	Interest	INCAPITAL LLC FFCB NOTES	ES			142,500.00	142,500.00
	COMM	3134GBZ70	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC FHLMC NOTES	TES			234,375.00	234,375.00
	COMM	3134GBZ70	FEDERAL HOME LN	Interest	INCAPITAL LLC FHLMC NOTES	TES			93,750.00	93,750.00
	COMM	3130ACUK8	FEDERAL HOME	Interest	INCAPITAL LLC FHLB NOTES	ES			250,000.00	250,000.00
	COMM	3133EHW58	FFCB 1.9% MAT	Interest	INCAPITAL LLC FFCB NOTES	ES			204,725.00	204,725.00
	COMM	3133EHW58	FFCB 1.9% MAT	Accr Int	INCAPITAL LLC FFCB NOTES	ES		4,549.44	4,549.44	0.00
38271	COMM	3130AD3E0	FEDERAL HOME	Interest	DEUTSCHE BANK FHLB NOTES	ES			73,791.65	73,791.65
		Totals for 05/29/2018	118				399,981,875.02 400,004,549.44	104,549.44	1,414,029.71	1,436,704.13
	COMM	313385XM3	FHDN DISC NOTE	Purchase	UBS FINANCE FHLB DISCOUNT	TNUOS	249,989,027.80			-249,989,027.80
	COMM	36960MEX7	GE DISC NOTE MAT Purchase	Purchase Purchase	GENERAL ELECTRICGENERAL ELECTRIC	ELECTRIC	49,997,638.89			-49,997,638.89
38761	MMOO	3818/KEVE	THOM COLD BACTO	Dharren	CICHOLI I ACTUALOGICADO I LACTUALO	CICHOLIE	05 550 500 00			A COLUMN TO A COLU

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Investment #	Fund	CUSIP	dinocoo and	od fillogacing in a bo	Dogical	Igneel	indicinal i	Laydowiis	Teginariii	1000
38738	COMM	43814UAG4	HAROT 3.01% MAT	Purchase	BARCLAYS CAPITAL HONDA AUTO	AL HONDA AUTO	11,999,738,40			-11,999,738.40
38739	COMM	45818WBM7	IADB 1.92152% MAT Purchase	Purchase	BMO CAPITAL	IADB	10,108,338,43			-10,108,338.43
38660	COMM	7426M3EW5	PEFCO DISC NOTE	Redemption	WILLIAMS CAPITAL	L PRIVATE EXPORT		50,000,000.00		50,000,000.00
38758	COMM	313385XL5	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38759	COMM	36960MEW9	GE DISC NOTE MAT Redemption	Redemption	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000.00		150,000,000.00
36793	COMM	3136G3QY4	FEDERAL NATL MTGInterest	Ginterest	INCAPITAL LLC	FNMA NOTES			31,250.00	31,250.00
36794	COMM	3136G3QY4	FEDERAL NATL MTGInterest	GInterest	INCAPITAL LLC	FNMA NOTES			93,750.00	93,750.00
36795	COMM	3136G3QY4	FEDERAL NATL MTGInterest	Ginterest	INCAPITAL LLC	FNMA NOTES			31,250.00	31,250.00
37148	COMM	3134GAXX7	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMC NOTES			75,000.00	75,000.00
		Totals for 05/30/2018	80				422,090,021.30 450,000,000.00	150,000,000.00	231,250.00	28,141,228.70
38763	COMM	313385XN1	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	18,749,218.75			-18,749,218.75
38764	COMM	313385XN1	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	231,240,043.39			-231,240,043.39
38765	COMM	36164KF14	GECAP DISC NOTE	Purchase	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38760	COMM	313385XM3	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38761	COMM	36164KEX5	GECAP DISC NOTE	Redemption	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC		100,000,000,001		100,000,000.00
38762	COMM	36960MEX7	GE DISC NOTE MAT	Redemption	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC		50,000,000.00		50,000,000.00
38386	COMM	912828SX9	UNITED STATES	Interest	DEUTSCHE BANK	U.S. TREASURY			112,500.00	112,500.00
38386	COMM	912828SX9	UNITED STATES	Accr Int	DEUTSCHE BANK	U.S. TREASURY		26,579.67	-26,579.67	00.0
		Totals for 05/31/2018	8				399,982,178.81 400,026,579.67	100,026,579.67	85,920.33	130,321.19
38767	COMM	313385XR2	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,967,083.35			-249,967,083.35
38768	COMM	36164KF48	GECAP DISC NOTE	Purchase	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	149,978,750.01			-149,978,750.01
38766	COMM	7426M3PF0	PEFCO ZERO CPN	Purchase	WILLIAMS CAPITAL	L PRIVATE EXPORT	49,179,833.33			49,179,833.33
38763	COMM	313385XN1	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		18,750,000.00		18,750,000.00
38764	COMM	313385XN1	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		231,250,000.00		231,250,000.00
38765	COMM	36164KF14	GECAP DISC NOTE	Redemption	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000,00		150,000,000.00
		Totals for 06/01/2018	80				449,125,666.69 400,000,000.00	100,000,000,001		49,125,666.69
38770	COMM	313385XS0	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,989,236.10			-249,989,236.10
38771	COMM	36960MF57	GE DISC NOTE MAT Purchase	F Purchase	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38767	COMM	313385XR2	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38768	COMM	36164KF48	GECAP DISC NOTE	Redemption	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000,00		150,000,000.00
34292	COMM	SYS34292	WSTI 0.%	Interest		MORGAN STANLEY			191,840.68	191,840.68
34292	COMM	SYS34292	MSTI 0,%	Purchase		MORGAN STANLEY	191,840.68			-191,840.68
37590	COMM	SYS37590	JPM TE 0.44%	Interest	JPMorganChase	JPM TE MMK FD			38.11	38.11
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	JPMorganChase	JPM TE MMK FD	38.11			-38.11
		Totals for 06/04/2018	8				400,174,031.56	400,000,000.00	191,878.79	17,847.23
38772	COMM	313385XT8	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,989,236.10			-249,989,236.10
38774	COMM	3137AYCE9	FHLMCM 2.682%	Purchase	BREAN CAPITAL L	BREAN CAPITAL LLCFHLMC Multi-Family	19,800,201,25			-19,800,201.25
38779	CONANA	TOUR STORY OF	The second secon		一年一年一年一日 四十二日日日 日日日日					

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5 0	COMM	313385XS0 36960MF57	FHDN DISC NOTE Redemption GE DISC NOTE MAT Redemption	Redemption Redemption	UBS FINANCE FHLB DISCOUNT GENERAL ELECTRICGENERAL ELECTRIC	FHLB DISCOUNT GENERAL ELECTRIC	250,000,000,00 150,000,000,00		250,000,000.00
	COMMA	343396VII6		Distriction		tien contract of the contract	419,782,354.02 400,000,000.00		-19,782,354.02
	COMM	36164KF71	GECAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC	FHLB DISCOUNT	249,989,305.55 149,992,916.67		-249,989,305,55
9	COMM	313385XT8	FHDN DISC NOTE	Redemption	UBS FINANCE F	FHLB DISCOUNT	250,000,000,000.00		250,000,000.00
,	COMM	36960MF65	GE DISC NOTE MAT Redemption	Redemption	GENERAL ELECTRICGENERAL ELECTRIC	SENERAL ELECTRIC	150,000,000.00		150,000,000.00
		Totals for 06/06/2018					399,982,222.22 400,000,000.00		17,777,78
J	COMM	313385XV3	FHDN DISC NOTE	Purchase	UBS FINANCE F	FHLB DISCOUNT	249,989,375.00		-249,989,375.00
)	COMM	36164KF89	GECAP DISC NOTE	Purchase	CTRIC	SENERAL ELECTRIC	149,992,916.67		-149,992,916.67
,	COMM	313385XU5	FHDN DISC NOTE		UBS FINANCE F	FHLB DISCOUNT	250,000,000.00		250,000,000.00
_	COMM	36164KF71	GECAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC	SENERAL ELECTRIC	150,000,000.00		150,000,000,001
		Totals for 06/07/2018					399,982,291.67 400,000,000.00		17,708.33
J	COMM	313385XY7	FHDN DISC NOTE	Purchase	UBS FINANCE F	FHLB DISCOUNT	249,968,125.00		-249,968,125,00
)	COMM	36164KFB2	GECAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC	SENERAL ELECTRIC	149,978,750.01		-149,978,750.01
)	COMM	313379DT3	FEDERAL HOME	Redemption	WELLS FARGO F	FHLB NOTES	20,000,000.00		20,000,000.00
J	COMM	3133XRFZ8	FEDERAL HOME	Redemption	KEYBANC CAPITAL F	FHLB NOTES	5,000,000.00		5,000,000.00
9	COMM	313379DT3	FEDERAL HOME	Redemption	BREAN CAPITAL LLCFHLB NOTES	HLB NOTES	5,000,000.00		5,000,000.00
J	COMM	313385XV3	FHDN DISC NOTE	Redemption	UBS FINANCE F	FHLB DISCOUNT	250,000,000.00		250,000,000.00
,	COMM	36164KF89	GECAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC	SENERAL ELECTRIC	150,000,000.00		150,000,000.00
J	COMM	313379DT3	FEDERAL HOME	Interest	WELLS FARGO F	FHLB NOTES		125,000.00	125,000.00
J	COMM	3133XRFZ8	FEDERAL HOME	Interest	KEYBANC CAPITAL F	FHLB NOTES		118,750.00	118,750.00
9	COMM	313379DT3	FEDERAL HOME	Interest	BREAN CAPITAL LLCFHLB NOTES	FHLB NOTES		31,250.00	31,250.00
J	COMM	3133EGT47	FEDERAL FARM CR.	Interest	INCAPITAL LLC	FFCB NOTES		100,500,00	100,500.00
		Totals for 06/08/2018					399,946,875.01 430,000,000.00	375,500.00	30,428,624.99
3	COMM	313385XZ4	FHDN DISC NOTE	Purchase	UBS FINANCE F	FHLB DISCOUNT	249,989,375.00		-249.989.375.00
J	COMM	36164KFC0	GECAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC	SENERAL ELECTRIC	149,992,916,67		-149,992,916,67
J	COMM	58769DAE0	MBALT 2.01% MAT	Purchase	-	MERCEDES-BENZ	3,976,769,59		-3,976,769.59
5	COMM	313385XY7	FHDN DISC NOTE	Redemption	UBS FINANCE F	FHLB DISCOUNT	250,000,000.00		250,000,000,00
9	COMM	36164KFB2	GECAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC	SENERAL ELECTRIC	150,000,000,00		150,000,000.00
J	COMM	313379RB7	FEDERAL HOME	Interest	INCAPITAL LLC F	FHLB NOTES		46,875.00	46,875,00
J	COMM	3130A3UQ5	FEDERAL HOME	Interest	INCAPITAL LLC F	FHLB NOTES		93,750.00	93,750.00
		Totals for 06/11/2018					403,959,061.26 400,000,000.00	140,625.00	-3,818,436.26
J	COMM	313385YA8	FHDN DISC NOTE	Purchase	UBS FINANCE F	FHLB DISCOUNT	249,989,444.45		-249,989,444.45
9	COMM	36960MFD0	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC	SENERAL ELECTRIC	149,992,916.67		-149,992,916.67
9	COMM	313385XZ4	FHDN DISC NOTE	Redemption	UBS FINANCE F	FHLB DISCOUNT	250,000,000.00		250,000,000.00
J	COMM	36164KFC0	GECAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC	SENERAL ELECTRIC	150,000,000.00		150,000,000.00
	DOLLAR!	*********	Trace	10-45 10 5 24	COLOR OF THE PROPERTY.	THE PROPERTY OF THE PARTY OF TH		THE REAL PROPERTY.	

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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer Issuer	New Principal Principal Paydowns	Interest	Total
37037	COMM	3133834H1	FEDERAL HOME	Interest	KEYBANC CAPITAL FHLB NOTES		68,750.00	68,750.00
37058	COMM	313383HU8	<b>FHLB 1.75% MAT</b>	Interest	KEYBANC CAPITAL FHLB NOTES		131,250.00	131,250.00
37901	COMM	3133EHMR1	FFCB 1.375% MAT	Interest	Daiwa Capital Market FFCB NOTES		34.375.00	34.375.00
37905	COMM	3133EHMR1	FFCB 1.375% MAT	Interest	INCAPITAL LLC FFCB NOTES		34,375.00	34,375.00
38247	COMM	313383HU8	<b>FHLB 1.75% MAT</b>	Interest	KEYBANC CAPITAL FHLB NOTES		218,750.00	218,750.00
		Totals for 06/12/2018	118			399,982,361.12 400,000,000.00	590,625.00	608,263.88
38789	COMM	313385YB6	FHDN DISC NOTE	Purchase	UBS FINANCE FHLB DISCOUNT	249.989.375.00		249 989 375 00
38791	COMM	36960MFE8	GE DISC NOTE MAT Purchase	T Purchase	CTRIC	74.996.458.33		-74 996 458 33
38790	COMM	36164KFE6	GE DISC NOTE MAT Purchase	T Purchase	GENERAL ELECTRICGENERAL ELECTRIC	74,996,458.33		-74.996.458.33
38788	COMM	7426M3PB9	PEFCO ZERO CPN	Purchase	WILLIAMS CAPITAL PRIVATE EXPORT	29,538,300.00		-29,538,300.00
38786	COMM	313385YA8	FHDN DISC NOTE	Redemption	UBS FINANCE FHLB DISCOUNT	250,000,000.00		250,000,000.00
38787	COMM	36960MFD0	GE DISC NOTE MAT Redemption	T Redemption	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000.00		150,000,000.00
		Totals for 06/13/2018	118			429,520,591.66 400,000,000.00		-29,520,591.66
38792	COMM	313385YC4	FHDN DISC NOTE	Purchase	UBS FINANCE FHLB DISCOUNT	249,987,847.20		-249,987,847.20
38794	COMM	36960MFF5	GE DISC NOTE MAT Purchase	T Purchase	GENERAL ELECTRICGENERAL ELECTRIC	74,996,041.67		-74,996,041.67
38793	COMM	36164KFF3	GECAP DISC NOTE Purchase	: Purchase	GENERAL ELECTRICGENERAL ELECTRIC	74,996,041.67		-74,996,041.67
38795	COMM	63873KFF9	NATXNY DISC NOTE Purchase	E Purchase	MERRILL LYNCH Natixis NY Branch	99,994,750.00		-99,994.750.00
36442	COMM	3133EFSH1	FEDERAL FARM CR Redemption	Redemption	WELLS FARGO FFCB NOTES	15,000,000.00		15,000,000,00
36443	COMM	3133EFSH1	FEDERAL FARM CR Redemption	Redemption R	MERRILL LYNCH FFCB NOTES	20,000,000.00		20,000,000.00
38789	COMM	313385YB6	FHDN DISC NOTE	Redemption	UBS FINANCE FHLB DISCOUNT	250,000,000,00		250,000,000.00
38790	COMM	36164KFE6	GE DISC NOTE MAT Redemption	T Redemption	GENERAL ELECTRICGENERAL ELECTRIC	75,000,000.00		75,000,000.00
38791	COMM	36960MFE8	GE DISC NOTE MAT Redemption	T Redemption	GENERAL ELECTRICGENERAL ELECTRIC	75,000,000.00		75,000,000.00
36402	COMM	313379EE5	FEDERAL HOME	Interest	INCAPITAL LLC FHLB NOTES		139,750.00	139,750.00
36442	COMM	3133EFSH1	FEDERAL FARM CR	3 Interest	WELLS FARGO FFCB NOTES		87,750.00	87,750.00
36443	COMM	3133EFSH1	FEDERAL FARM CR	R Interest	MERRILL LYNCH FFCB NOTES		117,000.00	117,000.00
36550	COMM	313379EE5	FEDERAL HOME	Interest	DEUTSCHE BANK FHLB NOTES		121,875.00	121,875.00
36823	COMM	3134G9QW0	FEDERAL HOME LN	N Interest	JEFFERIES & CO, FHLMC NOTES		128,000.00	128,000.00
38469	COMM	313379EE5	FEDERAL HOME	Interest	KEYBANC CAPITAL FHLB NOTES		203,125.00	203,125.00
38469	COMM	313379EE5	FEDERAL HOME	Accr Int	KEYBANC CAPITAL FHLB NOTES	75,607.64	-75,607.64	0.00
		Totals for 06/14/2018	118			499,974,680.54 435,075,607.64	721,892.36	-64,177,180.54
38797	COMM	313385YF7	FHDN DISC NOTE			249,963,541.65		-249,963,541.65
38796	COMM	3134GSMY8	FEDERAL HOME LN		INCAPITAL LLC FHLMC NOTES	15,000,000.00		-15,000,000.00
38798	COMM	36164KFJ5	GECAP DISC NOTE	: Purchase	RIC	149,976,249.99		-149,976,249.99
38799	COMM	63873KFJ1	NATXNY DISC NOTE Purchase	E Purchase	MERRILL LYNCH Natixis NY Branch	99,984,250.00		-99,984,250.00
35726	COMM	47787UAD5	JDOT 1.32% MAT	Redemption	<b>JBAL</b>	150,658.34		150,658.34
35864	COMM	3130A4Y71	FHLB 1.% MAT	Redemption	WELLS FARGO FHLB NOTES	26,500,000.00		26,500,000.00
35936	COMM	459058EJ8	IBRD 1.% MAT	Redemption	PITAL	15,000,000.00		15,000,000.00
35937	COMM	459058EJ8	IBRD 1.% MAT	Redemption	BMO CAPITAL INTL BANK RECON &	10,000,000.00		10,000,000,00
36753	COMM	89231UAD9	<b>TOYO 1.3% MAT</b>	Redemption	CITIGROUP GLOBAL TOYOTA AUTO REC	246,451.44		246,451.44

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98752         COMM         RESTULUDOS         TOYO 1.3% MAT         COMM         COMM         SEGNATION         TOYO 1.3% MAT         COMM         SEGNATION SEGNATION         TOYO 1.3% MAT         COMM         SEGNATION SEGNATION         TOYO 1.3% MAT         TOWN SEGNATION         TOWN	Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer Issuer	Principal Paydowns	Interest	Cash
COMM         31/38/STC         FIND IOS COUNT         Association of County         25,000,000 00           COMM         31/38/STC         CED SD DISC NOTE         Redemption         CERREAL ELECTRICCEREAL ELECTRIC         75,000,000 00           COMM         33/38/STC         CED SDS CANTER AT REGENSIAN MELES RASIO         FILE STRUCKEREAL ELECTRIC         75,000,000 00           COMM         43/38/APYT         THE SI SWAM         FILE STRUCKEREAL ELECTRIC         75,000,000 00           COMM         43/38/APYT         FILE STRUCKEREAL ELECTRIC         75,000,000 00 <t< td=""><td>36753</td><td>COMM</td><td>89231UAD9</td><td>TOYO 1,3% MAT</td><td>Cap G/L</td><td>CITIGROUP GLOBAL TOYOTA AUTO REC</td><td>12.59</td><td></td><td>12.59</td></t<>	36753	COMM	89231UAD9	TOYO 1,3% MAT	Cap G/L	CITIGROUP GLOBAL TOYOTA AUTO REC	12.59		12.59
COMM         Statistics of ECEA POISC NOTE MAY Redunction         CRIENCAL ELECTRICCERENAL ELECTRIC         75,000,000.00           COMM         45005ASPETER         CRIENCA POISC NOTE RANT Redunction         GENERAL ELECTRICCERENAL ELECTRIC         75,000,000.00           COMM         45005ASPETER         FINANY DISC NOTE RANT Redunction         MERBALL INCHAR RECON & ALCOHOLOGO ACCOUNTY ACCO	38792	COMM	313385YC4	FHDN DISC NOTE	Redemption		250,000,000,00		250,000,000.00
COMM         SERVAPITY         CEDIC NOTE THAT Reducing to MERISAL LECTRIC CREATION.         TO 00000000         TO 000000000         TO 00000000         TO 000000000         TO 000000000         TO 0000000000         TO 00000000000         TO 00000000000000         TO 000000000000000000000000000000000000	38793	COMM	36164KFF3	GECAP DISC NOTE		GENERAL ELECTRICGENERAL ELECTRIC	75,000,000,00		75,000,000.00
COMM         SBSTSHEP         NATAW USE VOIR Februarion         MERRILL INVOIR USE OFF TAIL WITH BRANK PECON & TOO TOO TOO TOO TOO TOO TOO TOO TOO T	38794	COMM	36960MFF5	GE DISC NOTE MA	T Redemption	GENERAL ELECTRICGENERAL ELECTRIC	75,000,000,00		75,000,000.00
COMM         3190AV71         FHE IS MAT         Interest         BANCLASC SPITAL IN LIB NATES         1120000           COMM         3190AV71         FHE IS WAT         Interest         BANCLASC SPITAL INTERANK RECONS         500000           COMM         3190AV71         FHE IS WAT         Interest         CITIGROUP GLOBAL TOYOTA ALTO REC         12,770.00           COMM         3191AZA         VALANTS TONGE BIRNESS         BANCLASC SPITAL LIC         FHE LAND TOTOSTA ALTO REC         12,770.00           COMM         3191AZA         VALANTS TONGE BIRNESS         BANCLASC SPITAL CONTRACTOR         14,416.44         165.14           COMM         3191AZA         VALANTS TONGE BIRNESS         BANCLASC SPITAL CONTRACTOR         14,416.44         165.14           COMM         3191AZA         VALANTS TONGE BIRNESS         CITICAROUP GLOBAL JOHN DEETER         14,416.44         165.14           COMM         3191AZA         Interest         CITICAROUP GLOBAL JOHN DEETER         14,416.44         165.74           COMM         3191AZA         Interest         CITICAROUP GLOBAL JOHN DEETER         14,416.44         165.72           COMM         3191AZA         Interest         CITICAROUP GLOBAL JOHN DEETER         14,416.44         15,337.60           COMM         3191AZA         Inte	38795	COMM	63873KFF9	NATXNY DISC NOT	E Redemption		100,000,000,000		100.000,000.00
COMM         48308E8 BIRD 1,8 MAT         Interest         BARQLAYS CAPTA, INT. BANK RECOR & GASSIER BIRD 1,8 MAT         Interest         BARQLAYS CAPTA, MAIN RECORD & GASSIER BIRD 1,8 MAT         Interest         BARQLAYS CAPTA, MAIN RECORD & GASSIER BIRD 1,8 MAT         Interest         CTOYO 1,3 MAT         Interest         CTOYO 1,3 MAT         Interest         Interest         INT. BANK RECORD & GASSIER BIRD 1,8 MAT         Interest         INT. BANK RECORD & GASSIER BIRD 1,8 MAT         Interest         INT. BANK RECORD & GASSIER BIRD 1,8 MAT         Interest         INT. BANK RECORD & GASSIER BIRD 1,8 MAT         Interest         INT. BANK RECORD & GASSIER BIRD 1,8 MAT         Interest         INT. BANK RECORD & GASSIER BIRD 1,8 MAT         Interest         INT. BANK RECORD & GASSIER BIRD 1,8 MAT         INT. BANK RECORD & B	35864	COMM	3130A4Y71	FHLB 1.% MAT	Interest			132,500.00	132,500.00
COMM         45905681         IRRAD I.5, MAT         Interest         CITCHOLOP GLOBAL TOYOTA AUTO REC           COMM         95231UAD         TOYO 1.3% MAT         Interest         CITCHOLOP GLOBAL TOYOTA AUTO REC           COMM         95231UAD         TOYO 1.3% MAT         Interest         CITCHOLOP GLOBAL JOHN DEERE           COMM         47757UADS         JOOT 1.32% MAT         Interest         CITCHOLOP GLOBAL JOHN DEERE         11,416.44           COMM         47757UADS         JOOT 1.32% MAT         Interest         CITCHOLOP GLOBAL JOHN DEERE         11,416.44           COMM         47757UADS         JOOT 1.32% MAT         Interest         CITCHOLOP GLOBAL JOHN DEERE         11,416.44           COMM         47757UADS         JOOT 1.42% MAT         Redemption         CITCHOLOP GLOBAL JOHN DEERE         11,416.44           COMM         47757UADS         JOOT 1.43% MAT         Redemption         ROYAL BANK OF JOHN DEERE         284,708.35           COMM         47757UADS         JOOT 1.43% MAT         Redemption         ROYAL BANK OF JOHN DEERE         11,416.44           COMM         47757WAG         JOOT 1.43% MAT         RESPILL LYNCH         HONDA AUTO         383,41.24           COMM         47758MAG         JOOT 1.43% MAT         RESPILL LYNCH         HONDA AUTO	35936	COMM	459058EJ8	IBRD 1.% MAT	Interest	BARCLAYS CAPITAL INTL BANK RECON &		75,000.00	75,000.00
COMM         89231LADS         TOYO IS MAT Interest         CTIGROUP GLOBAL TOYOTA ALTO REC           COMM         81914ZEA7         WAL-MART STORES Interest         INCAPATIAL LLC         FILM NO NOTES           COMM         81914ZEA7         WAL-MART STORES Interest         CTIGROUP GLOBAL JOHN DEFRE         11,416.44           COMM         4778TADAS         JOD 1.32% MAT         Interest         CTIGROUP GLOBAL JOHN DEFRE         11,416.44           COMM         4778TADAS         JOD 1.32% MAT         Interest         CTIGROUP GLOBAL JOHN DEFRE         11,416.44           COMM         4778TADAS         JOD 1.32% MAT         Interest         CTIGROUP GLOBAL JOHN DEFRE         11,416.44           COMM         4778TADAS         JOD 1.32% MAT         Interest         CTIGROUP GLOBAL JOHN DEFRE         284,706.35           COMM         4778TADAS         JOD 1.43% MAT         Interest         REPRILL LYNCH         JOHN DEFRE         284,706.35           COMM         4778TADAS         JOD 1.43% MAT         Interest         REPRILL LYNCH         JOHN DEFRE         284,706.35           COMM         4778TADAS         JOD 1.43% MAT         Interest         MERRILL LYNCH         JOHN DEFRE         284,706.35           COMM         4778MACA         JOD 1.25% MAT         Redemption <td>35937</td> <td>COMM</td> <td>459058EJ8</td> <td>IBRD 1.% MAT</td> <td>Interest</td> <td></td> <td></td> <td>50,000.00</td> <td>50,000.00</td>	35937	COMM	459058EJ8	IBRD 1.% MAT	Interest			50,000.00	50,000.00
COMM         313-GBR56         FHLML TS, WAT FIRST         FIREMART STORES Interest         INCAPITAL LIFE         FHLMM NOTES           COMM         47787-LADS         JDOT 122% MAT         Hinerest         CTITGROUP GLOBAL JOHN DEERE         11,416.44           COMM         47787-LADS         JDOT 122% MAT         Hinerest         CTITGROUP GLOBAL JOHN DEERE         11,416.44           COMM         47787-LADS         JDOT 122% MAT         Hinerest         CTITGROUP GLOBAL JOHN DEERE         11,416.44           COMM         47787-LADS         JDOT 122% MAT         Indirest         CTITGROUP GLOBAL JOHN DEERE         11,416.44           COMM         47787-LADS         JDOT 122% MAT         Indirest         RESERVATOR         JOHN DEERE         284,706.35           COMM         47787-LADS         JDOT 122% MAT         Recentration         MERRILL LYNCH         JOHN DEERE         284,706.35           COMM         47788-LAD         AND 11.28% MAT         Recentration         MERRILL LYNCH         JOHN DEERE         284,706.35           COMM         47788-LAD         JAOT 1.28% MAT         Redemption         MERRILL LYNCH         HOND DEERE         284,706.35           COMM         47788-LAD         JAOT 1.28% MAT         Redemption         MERRILL LYNCH         HOND DEERE	36753	COMM	89231UAD9	<b>TOYO 1.3% MAT</b>	Interest	CITIGROUP GLOBAL TOYOTA AUTO REC		2,778.05	2,778.05
COMM         47787UAGS         JODI 132% MAT         Riverant         CTIGROUP GLOBAL_JOHN DEERE         11,416.44           COMM         47787UAGS         JODI 132% MAT         Riverant         CTIGROUP GLOBAL_JOHN DEERE         11,416.44           COMM         47787UAGS         JODI 132% MAT         Interest         CTIGROUP GLOBAL_JOHN DEERE         11,416.44           COMM         47787UAGS         JODI 132% MAT         Interest         CTIGROUP GLOBAL_JOHN DEERE         11,416.44           COMM         47787UAGS         JODI 132% MAT         Interest         CTIGROUP GLOBAL_JOHN DEERE         14,416.44           COMM         47787WAGS         JODI 136% MAT         Riesemption         CTIGROUP GLOBAL_JOHN DEERE         14,416.44           COMM         47787WAGS         JODI 136% MAT         Riedemption         MERRILL LYNCH         NICKARL LYNCH	37849	COMM	3134GBRR5	FHLMC 1.8% MAT	Interest			180,000.00	180,000.00
COMM         47787UADS         JODY 1,22% MAT         Interest         CTIGROUP GLOBAL, JOHN DEERE         11,416.44           COMM         47787UADS         JODY 1,22% MAT         Redesigion         CTIGROUP GLOBAL, JOHN DEERE         11,416.44           COMM         47787UADS         JODY 1,32% MAT         Redesigion         CTIGROUP GLOBAL, JOHN DEERE         284,706.35           COMM         47787UADS         JODY 1,44% MAT         Interest         CTIGROUP GLOBAL, JOHN DEERE         284,706.35           COMM         47787UADS         JODY 1,44% MAT         Interest         MCYAL BANK OF         JOHN DEERE         284,706.35         5,6           COMM         47787UADS         JODY 1,44% MAT         Interest         MCRRAIL LYNCH         JOHN DEERE         284,706.35         5,6           COMM         47787UADS         JALT 1,34% MAT         Redemption         MCRRAIL LYNCH         JOHN DEERE         598,072.92         8,8           COMM         47788AACA         JODY 1,35% MAT         Redemption         MCRRAIL LYNCH         HOND AUTO REC         598,072.92         8,8           COMM         47788AACA         JADY 1,25% MAT         Redemption         MCRRAIL LYNCH         HOND AUTO REC         606,074         774,272.30         77,180.71           COMM	38076	COMM	931142EA7	WAL-MART STORE	S Interest	BARCLAYS CAPITAL WALMART		86,819,44	86,819.44
COMM         47787UADS         JDOT 132% MAT         Indeest         CTIGROUP GLOBALJOHN DEFRE         11,416.44           COMM         47787UADS         JDOT 132% MAT         Indeest         CTIGROUP GLOBALJOHN DEFRE         1,416.44           COMM         47787WAGS         JDOT 143% MAT         Indeest         ROVAL BANK OF         JOHN DEFRE         284,763.35         1,416.44           COMM         47787WAGS         JDOT 143% MAT         Indeest DOT 143% MAT         Robert DOT 143% MAT <td>35726</td> <td>COMM</td> <td>47787UAD5</td> <td>JDOT 1.32% MAT</td> <td>Interest</td> <td>CITIGROUP GLOBAL JOHN DEERE</td> <td></td> <td>165.74</td> <td>165.74</td>	35726	COMM	47787UAD5	JDOT 1.32% MAT	Interest	CITIGROUP GLOBAL JOHN DEERE		165.74	165.74
COMM         47787UAGS         JODT 132% MAT         Inferest         CTIGROUP GLOBAL, OHN DEERE           COMM         47787UAGS         JODT 142% MAT         Redemption         CTIGROUP GLOBAL, OHN DEERE         284,766.35           COMM         47787UAGS         JODT 144% MAT         Redemption         CTIGROUP GLOBAL, OHN DEERE         284,766.35           COMM         65778UAD         JODT 144% MAT         Redemption         RENALL LYNCH         JOHN DEERE         284,766.35           COMM         65778UAD         JODT 144% MAT         Redemption         MERRILL LYNCH         JOHN DEERE         284,766.35           COMM         65778UAD         JODT 145% MAT         Interest         MERRILL LYNCH         JOHN DEERE         508,027.32           COMM         8923TAGDS         TAOT 125% MAT         Redemption         MERRILL LYNCH         JOHN DEERE         508,0481.55           COMM         8923TAGDS         TAOT 125% MAT         Redemption         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         43814GACZ         HAROT 125% MAT         Redemption         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         43716H4         CHAIT 127% MAT         Interest         PONOTA AUTO REC         GAMERILL LYNCH         HONDA	35726	COMM	47787UAD5	JDOT 1.32% MAT	Redemption	CITIGROUP GLOBAL JOHN DEERE	11,416,44		11,416.44
COMM         47787VAGS         JDOT 142% MAT         Redemption         CTIGROUP GLOBAL JOHN DEFRE           COMM         47787VAGS         JDOT 144% MAT         Inferest         ROYAL BANK OF         JOHN DEFRE         284,706.35           COMM         47787VAGS         JDOT 144% MAT         Inferest         ROYAL BANK OF         JOHN DEFRE         284,706.35           COMM         65478UAD1         NATT 134% MAT         Inferest         MERRILL LYNCH         JOHN DEFRE         284,706.35           COMM         65478UAD1         NATT 134% MAT         Redemption         MERRILL LYNCH         JOHN DEFRE         598,027.92           COMM         47788MACA         JDOT 136% MAT         Redemption         MERRILL LYNCH         JOHN DEFRE         598,027.92           COMM         43814QAC2         HAROT 126% MAT         Redemption         MERRILL LYNCH         HOND AUTO         144,272.30           COMM         43814QAC2         HAROT 136% MAT         Redemption         MERRILL LYNCH         HOND AUTO         144,272.30           COMM         43814QAC2         HAROT 126% MAT         Redemption         MERRILL LYNCH         HOND AUTO         144,272.30           COMM         43814QAC2         HAROT 126% MAT         Redemption         PROYAL BAK         JOHN DEFR	35726	COMM	47787UAD5	JDOT 1.32% MAT	Inferest	CITIGROUP GLOBALJOHN DEERE			0.00
COMM         47787VACG         JODT 144% MAT         Inlerest         ROYAL BANK OF         JOHN DEFRE         284,708.35           COMM         64778VACG         JDDT 144% MAT         Redemption         REPRILL LYNCH         NISSAN AUTO         86478.424           COMM         65478UADT         NALT 1.34% MAT         Redemption         MERRILL LYNCH         NISSAN AUTO         869.27.92           COMM         47788MACA         JDDT 1.36% MAT         Redemption         MERRILL LYNCH         JOHN DEFRE         508.027.92           COMM         43784ACAC         JDDT 1.36% MAT         Redemption         MERRILL LYNCH         JOHN DEFRE         508.027.92           COMM         43814CACZ         HAROT 1.36% MAT         Redemption         MERRILL LYNCH         HOWD AUTO         744,272.30           COMM         43814CACZ         HAROT 1.36% MAT         Redemption         MERRILL LYNCH         HOWD AUTO         744,272.30           COMM         43814CACZ         JOHN 122% MAT         Redemption         ROYAL BANK OF         OHN DEFRE         506,565.37           COMM         43814CACZ         JOHN 122% MAT         Redemption         ROYAL BANK OF         OHN DEFRE         506,565.37           COMM         43814CACZ         JOHN 122% MAT         Redemption	35726	COMM	47787UAD5	JDOT 1.32% MAT	Redemption	CITIGROUP GLOBAL JOHN DEERE			0.00
COMM         47787WAG3         JDOT 144% MAT         Redemption         ROYAL BANK OF         JOHN DEERE         284,706.35           COMM         65478UAD1         NALT 134% MAT         Redemption         MERRILL LYNCH         JOHN DEERE         284,706.35           COMM         4778BMAC4         JDOT 136% MAT         Interest         MERRILL LYNCH         JOHN DEERE         508,027.92           COMM         4778BMAC4         JDOT 126% MAT         Interest         MERRILL LYNCH         JOHN DEERE         508,027.92           COMM         4778BMAC2         JDOT 126% MAT         Redemption         MERRILL LYNCH         HOND AUTO REC         850,461.55           COMM         43814QAC2         HAROT 138% MAT         Redemption         MERRILL LYNCH         HOND AUTO         744,722.30           COMM         43814QAC2         JDOT 126% MAT         Interest         ROYAL BANK OF         JOHN DEERE         506,505.7           COMM         4778BNAC2         JDOT 126% MAT         Redemption         PMorganChase         CHASE ISSUANCE         677,189.75           COMM         4778BNAC2         JDOT 122% MAT         Interest         PMorganChase         CHASE ISSUANCE         677,189.75           COMM         58768BAD6         MBAT 1.26% MAT         Redemption	36216	COMM	47787WAC3	JDOT 1.44% MAT	Interest			1,397.60	1,397.60
COMM         66478uAD1         NAT 1.34% MAT         Interest         MERRILL LYNCH         NISSAN AUTO         363.814.24           COMM         86478uAD1         NALT 1.34% MAT         Refemention         MERRILL LYNCH         JOHN DERE         508.027.92           COMM         47788MACA         JDOT 1.36% MAT         Interest         MERRILL LYNCH         JOHN DERE         508.027.92           COMM         82237KAD5         TAOT 1.26% MAT         Interest         MERRILL LYNCH         TOYOTA AUTO REC         880.481.55           COMM         43814QAC2         HAROT 1.39% MAT         Interest         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         43814QAC2         HAROT 1.39% MAT         Interest         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         47788NAC2         JDOT 1.25% MAT         Interest         ROYAL BANK OF         JOHN DEERE         506.505.37           COMM         41617TH4         CHAIT 1.27% MAT         Redemption         CHASE ISSUANCE         506.506.37           COMM         58758BAD6         MBART 1.26% MAT         Redemption         CTIGROUP GLOBAL MERCEDES-BENZ         683.451.87           COMM         58758BAD6         MBART 1.28% MAT         Redemption         CTIGROUP GLOBAL MERCED	36216	COMM	47787WAC3	JDOT 1.44% MAT	Redemption		284,706.35		284,706.35
COMM         R8478LAD II         NALT 133% MAT         Redemption         MERRILL LYNCH         NISSAN AUTO REER         363.814.24           COMM         47788NACA         JDOT 1.36% MAT         Interest         MERRILL LYNCH         JOHN DEER         508.027.92           COMM         48237KAD5         TAOT 1.25% MAT         Interest         MERRILL LYNCH         TOYOTA AUTO REC         B50.461.55           COMM         43814QAC2         HAROT 1.25% MAT         Interest         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         43814QAC2         HAROT 1.25% MAT         Interest         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         47788NAC2         JDOT 1.25% MAT         Interest         REPRILL LYNCH         HONDA AUTO         744,272.30           COMM         47788NAC2         JDOT 1.25% MAT         Refemption         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         47788NAC2         JDOT 1.25% MAT         Refemption         JOHN DEERE         506.505.37           COMM         46157HF4         CHAIT 1.27% MAT         Redemption         JPMorganChase         CHASE ISSUANCE         677.189.75           COMM         58768BAD6         MBART 1.25% MAT         Redemption         JP	36557	COMM	65478UAD1	NALT 1.34% MAT	Interest	٦		5,022.49	5,022,49
COMM         47788MAC4         JDOT 1.38% MAT         Interest         MERRILL LYNCH         JOHN DEERE         508,027.92           COMM         47788MAC4         JDOT 1.28% MAT         Redemption         MERRILL LYNCH         HOND AUTO REC         B65,461.55           COMM         43814GAC2         HAROT 1.28% MAT         Interest         MERRILL LYNCH         HOND AUTO REC         B65,461.55           COMM         43814GAC2         HAROT 1.28% MAT         Interest         MERRILL LYNCH         HOND AUTO REC         B65,661.55           COMM         4788NAC2         JDOT 1.26% MAT         Interest         MERRILL LYNCH         HOND AUTO REC         B65,665.37           COMM         47788NAC2         JDOT 1.26% MAT         Interest         JPMorganChase         CHASE         SSUANCE         B66,665.37           COMM         47788NAC2         JDOT 1.25% MAT         Interest         JPMorganChase         CHASE ISSUANCE         B77,189.75           COMM         56759BAD6         MBART 1.26% MAT         Interest         JPMorganChase         CHASE ISSUANCE         B77,189.75           COMM         56759BAD6         MBART 1.25% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         B71,759.87           COMM         5676BAD6         JATOT 1.	36557	COMM	85478UAD1	NALT 1.34% MAT	Redemption		363,814.24		363,814.24
COMM         47788MAC4         JDOT 138% MAT         Redemption         MERRILL LYNCH         JOHN DEFRE         509,027.92           COMM         89237KAD5         TAOT 1.25% MAT         Interest         MERRILL LYNCH         TOYOTA AUTO REC         850,461.55           COMM         43814QAC2         HAROT 1.39% MAT         Interest         MERRILL LYNCH         TOYOTA AUTO REC         850,461.55           COMM         43814QAC2         HAROT 1.39% MAT         Interest         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         47788NAC2         JOOT 1.25% MAT         Interest         ROYAL BANK OF         JOHN DEERE         506,505.37           COMM         47788NAC2         JOOT 1.25% MAT         Interest         JOMN DEERE         506,505.37           COMM         47788NAC2         JOOT 1.25% MAT         Interest         JOMN DEERE         617,160.71           COMM         58768BAD6         MBART 1.26% MAT         Interest         JOMN GALD         GLASE ISSUANCE         667,1728.87           COMM         58768BAD6         MBART 1.26% MAT         Interest         JOMN GAMERICA         TOYOTA AUTO REC         621,729.87           COMM         58768BAD6         MBALT 1.23% MAT         Interest         BANK OF AMERICA         JOHN D	36600	COMM	47788MAC4	JDOT 1.36% MAT	Interest			6,527.30	6,527.30
COMM         89237KAD5         TAOT 1.25% MAT         Interest         MERRILL LYNCH         TOYOTA AUTO REC         850,461.55           COMM         43814QAC2         HAROT 1.39% MAT         Interest         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         47788NAC2         HAROT 1.39% MAT         Interest         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         47788NAC2         JDOT 1.25% MAT         Interest         JOHN DERRE         506,503.37           COMM         47788NAC2         JDOT 1.25% MAT         Interest         JOHN DERRE         61471.80.71           COMM         47788NAC2         JDOT 1.25% MAT         Interest         JOHN DERRE         506,503.37           COMM         47788NAC2         JDOT 1.25% MAT         Interest         JOHN DERRE         64AS ISSUANCE           COMM         5878BAD6         MBART 1.26% MAT         Redemption         JPMorganChase         COYAL AUTO REC           COMM         5878BAD6         MBART 1.26% MAT         Redemption         JPMorganChase         TOYOTA AUTO REC           COMM         5878BAD6         MBALT 1.38% MAT         Redemption         JPMorganChase         TOYOTA AUTO REC           COMM         5878BAD5         MBALT 1.38% MAT	36600	COMM	47788MAC4	JDOT 1,36% MAT	Redemption	1	508,027.92		508,027.92
COMM         89237KAD5         TAOT 1.25% MAT         Redemption         MERRILL LYNCH         HONDA AUTO         850,461.55           COMM         43814QAC2         HAROT 1.39% MAT         Interest         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         4788MAC2         JDOT 1.25% MAT         Redemption         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         4778BMAC2         JDOT 1.25% MAT         Redemption         JOHN DEERE         506,505.37           COMM         4778BMAC2         JDOT 1.25% MAT         Interest         JOHN DEERE         506,505.37           COMM         4778BMAC2         JDOT 1.25% MAT         Interest         JPMorganChase         CHASE ISSUANCE         506,505.37           COMM         161571HF4         CHAIT 1.27% MAT         Redemption         JPMorganChase         CHASE ISSUANCE         677,180.71           COMM         88769BAD6         MBART 1.26% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         671,729,87           COMM         88769BAD6         MBART 1.35% MAT         Redemption         JPMorganChase         TOYOTA AUTO REC         671,729,87           COMM         58768MAC5         MBALT 1.35% MAT         Redemption         JPMorganChase	36601	COMM	89237KAD5	<b>TAOT 1.25% MAT</b>	Interest			8,317,69	8,317.69
COMM         43814QAC2         HAROT 1.39% MAT         Interest         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         43814QAC2         HAROT 1.39% MAT         Redemption         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         47788NAC2         JOOT 1.25% MAT         Interest         COMM         ROYAL BANK OF         JOHN DEERE         506,505.37           COMM         161571HF4         CHAIT 1.27% MAT         Redemption         JPMorganChase         CHASE ISSUANCE         677,169.75           COMM         58769BAD6         MBART 1.28% MAT         Interest         JPMorganChase         CHASE ISSUANCE         671,729.87           COMM         58769BAD6         MBART 1.28% MAT         Interest         CITIGROUP GLOBAL MERCEDES -BENZ         712,180.71           COMM         58769BAD6         MBART 1.28% MAT         Interest         JPMorganChase         TOYOTA AUTO REC           COMM         58769BAD6         MBALT 1.35% MAT         Redemption         JPMorganChase         TOYOTA AUTO REC           COMM         58769BAD6         MBALT 1.35% MAT         Redemption         JPMORGANCE S-BENZ         587,688.50           COMM         58768MAC5         JDOT 1.78% MAT         Interest         BANK OF AMERICA	36601	COMM	89237KAD5	<b>TAOT 1.25% MAT</b>	Redemption		850,461.55		850,461.55
COMM         43814QAC2         HAROT 1.39% MAT         Redemption         MERRILL LYNCH         HONDA AUTO         744,272.30           COMM         47788NAC2         JDOT 1.25% MAT         Interest         ROYAL BANK OF         JOHN DEERE         506,505.37           COMM         47788NAC2         JDOT 1.25% MAT         Interest         JPMorganChase         CHARSE ISSUANCE         506,505.37           COMM         16157HF4         CHAIT 1.27% MAT         Redemption         JPMorganChase         CHASE ISSUANCE         677,169.75           COMM         58768BAD6         MBART 1.26% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         521,729.87           COMM         58768BAD6         MBART 1.25% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         521,729.87           COMM         58768BAD6         MBART 1.25% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         521,729.87           COMM         58768BAG5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         JOHN DEERE         257,698.50           COMM         58768BAG5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         JOHN DEERE         257,698.50           COMM         47787AC1         JDOT 1.73% MAT	36800	COMM	43814QAC2	HAROT 1.39% MAT	Interest			8,749.84	8,749.84
COMM         47788NACZ         JDOT 1.25% MAT         Interest         ROYAL BANK OF         JOHN DEERE         506,505,37           COMM         467788NACZ         JDOT 1.25% MAT         Interest         JPMorganChase         CHASE ISSUANCE         506,505,37           COMM         46177HF4         CHAIT 1.27% MAT         Interest         JPMorganChase         CHASE ISSUANCE         677,169,75           COMM         58768BAD6         MBART 1.26% MAT         Interest         CITIGROUP GLOBAL MERCEDES -BENZ         712,180,71           COMM         58768BAD6         MBART 1.26% MAT         Interest         CITIGROUP GLOBAL MERCEDES -BENZ         712,180,71           COMM         58768BAD6         MBART 1.26% MAT         Interest         JPMorganChase         TOYOTA AUTO REC           COMM         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         JOHN DEERE           COMM         47767XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DEERE           COMM         47767XAC1         JDOT 1.78% MAT         Redemption         BANK OF AMERICA         JOHN DEERE           COMM         89238MAD0         TAOT 1.73% MAT         Redemption         BANK OF AMERICA         JOHN DEERE           COMM         8	36800	COMM	43814QAC2	HAROT 1.39% MAT	Redemption		744,272.30		744,272.30
COMM         47788NAC2         JDOT 1.25% MAT         Redemption         ROYAL BANK OF         JOHN DERRE         506,505.37           COMM         161571HF4         CHAIT 1.27% MAT         Interest         JPMorganChase         CHASE ISSUANCE         677,169.75           COMM         58769BAD6         MBART 1.28% MAT         Interest         CTIGROUP GLOBAL MERCEDES -BENZ         712,180.71           COMM         58769BAD6         MBART 1.28% MAT         Interest         CTIGROUP GLOBAL MERCEDES -BENZ         712,180.71           COMM         89231LAD9         TAOT 1.23% MAT         Redemption         JPMorganChase         TOYOTA AUTO REC         521,729.87           COMM         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         JOHN DEERE         257,698.50           COMM         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DEERE         257,698.50           COMM         89238MAD0         TAOT 1.73% MAT         Redemption         BANK OF AMERICA         JOYOTA AUTO REC         257,698.50           COMM         89238MAD0         TAOT 1.73% MAT         Redemption         BANK OF AMERICA         JOYOTA AUTO REC         311,765.89           COMM         892238MAD0         TAOT 1.73% MAT         Redempt	36905	COMM	47788NAC2	JDOT 1.25% MAT	Interest	î		7,349.48	7,349.48
COMM         161571HF4         CHAIT 1.27% MAT         Interest         JPMorganChase         CHASE ISSUANCE         677,169.75           COMM         161571HF4         CHAIT 1.27% MAT         Redemption         JPMorganChase         CHASE ISSUANCE         677,169.75           COMM         58769BAD6         MBART 1.26% MAT         Interest         CITIGROUP GLOBALMERCEDES-BENZ         712,180.71           COMM         58769BAD6         MBART 1.26% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         521,729.87           COMM         58768MAC5         MBALT 1.35% MAT         Redemption         JPMorganChase         TOYOTA AUTO REC         521,729.87           COMM         58768MAC5         MBALT 1.35% MAT         Redemption         BANK OF AMERICA         JOHN DEERE         257,698.50           COMM         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DEERE         257,698.50           COMM         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         311,755.89           COMM         89238MAD0         TAOT 1.73% MAT         Redemption         BANK OF AMERICA         TOYOTA AUTO REC           COMM         89238MAD0         TAOT 1.73% MAT         Redemption	36905	COMM	47788NAC2	JDOT 1.25% MAT	Redemption		506,505.37		506,505.37
COMIN         161571HF4         CHAIT 1.27% MAT         Redemption         JPMorganChase         CHASE ISSUANCE         677,169.75           COMIN         58769BAD6         MBART 1.26% MAT         Interest         CTIGROUP GLOBAL MERCEDES -BENZ         712,180.71           COMIN         58769BAD6         MBART 1.26% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         521,729.87           COMIN         58769BAD6         MBART 1.35% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         521,729.87           COMIN         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         MERCEDES-BENZ         683,451.87           COMIN         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         JOHN DEERE         257,698.50           COMIN         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DEERE         257,698.50           COMIN         47787XAC1         JDOT 1.73% MAT         Redemption         BANK OF AMERICA         TOYOTA AUTO REC         257,698.50           COMIN         89238MAD0         TAOT 1.73% MAT         Redemption         BANK OF AMERICA         TOYOTA AUTO REC         311,765.89           COMIN         89238MAD0         TAOT 1.73	36929	COMM	161571HF4	CHAIT 1.27% MAT	Interest			24,341.66	24,341.66
COMIN         58769BAD6         MBART 1.26% MAT         Interest         CTIGROUP GLOBAL MERCEDES -BENZ         712,180.71           COMIN         58769BAD6         MBART 1.26% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         521,729.87           COMIN         58769BAD6         MBART 1.35% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         521,729.87           COMIN         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         MERCEDES-BENZ         683,451.87           COMIN         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         JOHN DEERE         257,698.50           COMIN         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DEERE         257,698.50           COMIN         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         257,698.50           COMIN         489238MAD0         TAOT 1.73% MAT         Redemption         BANK OF AMERICA         TOYOTA AUTO REC         311,765.89           COMIN         89238MAD0         TAOT 1.73% MAT         Redemption         RAMERICA         TOYOTA AUTO REC         311,765.89	36929	COMM	161571HF4	CHAIT 1.27% MAT	Redemption	JPMorganChase CHASE ISSUANCE	677,169.75		677,169.75
COMM         58769BAD6         MBART 1.28% MAT         Redemption         CTIGROUP GLOBAL MERCEDES - SENZ         712,180.71           COMM         89231LAD9         TAOT 1.23% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         521,729.87           COMM         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         MERCEDES-BENZ         683,451.87           COMM         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         JOHN DEFRE         257,698.50           COMM         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DEFRE         257,698.50           COMM         47787XAC1         JDOT 1.73% MAT         Interest         BANK OF AMERICA         JOHN DEFRE         257,698.50           COMM         47787XAC1         JDOT 1.73% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         311,765.89           COMM         89238MAD0         TAOT 1.73% MAT         Redemption         BANK OF AMERICA         TOYOTA AUTO REC         311,765.89           COMM         89238MAD0         TAOT 1.73% MAT         Redemption         ROYAL BANK OF AMERICA         TOYOTA AUTO REC         311,765.89	36956	COMM	58769BAD6	<b>MBART 1,26% MAT</b>	Interest	CITIGROUP GLOBAL MERCEDES -BENZ		10,762.04	10,762.04
COMM         89231LAD9         TAOT 1.23% MAT         Interest         JPMorganChase         TOYOTA AUTO REC         521,729.87           COMM         58768MAC5         MBALT 1.35% MAT         Redemption         JPMorganChase         TOYOTA AUTO REC         521,729.87           COMM         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         MERCEDES-BENZ         683,451.87           COMM         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DEER         257,698.50           COMM         47787XAC1         JDOT 1.73% MAT         Redemption         BANK OF AMERICA         JOHN DEER         257,698.50           COMM         47787XAC1         JDOT 1.73% MAT         Interest         BANK OF AMERICA         JOHN DEER         257,698.50           COMM         489238MAD0         TAOT 1.73% MAT         Redemption         BANK OF AMERICA         TOYOTA AUTO REC         311,765.89           COMM         89238MAD0         TAOT 1.73% MAT         Redemption         ROYAL BANK OF AMERICA         TOYOTA AUTO REC         311,765.89	36956	COMM	58769BAD6	<b>MBART 1,26% MAT</b>	Redemption	CITIGROUP GLOBAL MERCEDES -BENZ	712,180,71		712,180.71
COMM         89231LAD9         TAOT 1,23% MAT         Redemption         JPMorganChase         TOYOTA AUTO REC         521,729.87           COMM         58768MAC5         MBALT 1,35% MAT         Interest         BANK OF AMERICA         MERCEDES-BENZ         683,451.87           COMM         47787XAC1         JDOT 1,78% MAT         Interest         BANK OF AMERICA         JOHN DEERE         257,698.50           COMM         47787XAC1         JDOT 1,78% MAT         Redemption         BANK OF AMERICA         JOHN DEERE         257,698.50           COMM         48238MAD0         TAOT 1,73% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         311,765.89           COMM         89238MAD0         TAOT 1,73% MAT         Interest         ROYAL BANK OF         AMERICAN	37035	COMM	89231LAD9	<b>TAOT 1.23% MAT</b>	Interest			14,350.00	14,350.00
COMM         58768MAC5         MBALT 1.35% MAT         Interest         BANK OF AMERICA         MERCEDES-BENZ         683,451,87           COMM         58768MAC5         MBALT 1.35% MAT         Redemption         BANK OF AMERICA         JOHN DEERE         257,698,50           COMM         47787XAC1         JDOT 1.78% MAT         Redemption         BANK OF AMERICA         JOHN DEERE         257,698,50           COMM         89238MAD0         TAOT 1.73% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         311,765,89           COMM         89238MAD0         TAOT 1.73% MAT         Redemption         BANK OF AMERICA TOYOTA AUTO REC         311,765,89           COMM         02582JHG8         AME 1.64% MAT         Interest         ROYAL BANK OF AMERICAN         AMERICAN	37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption		521,729.87		521,729.87
COMM         58768MAGS         MBALT 1.35% MAT         Redemption         BANK OF AMERICA         MERCEDES-BENZ         683,451.87           COMM         47787XAG1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DEFRE         257,698.50           COMM         47787XAG1         JDOT 1.73% MAT         Interest         BANK OF AMERICA         JOHN DERE         257,698.50           COMM         89238MAD0         TAOT 1.73% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         311,765.89           COMM         02582JHG8         AME 1.64% MAT         Interest         ROYAL BANK OF AMERICAN         AMERICAN	37065	COMM	58768MAC5	<b>MBALT 1,35% MAT</b>	Interest			5,158.83	5,158.83
COMM         47787XAC1         JDOT 1.78% MAT         Interest         BANK OF AMERICA         JOHN DERE         257,698,50           COMM         47787XAC1         JDOT 1.73% MAT         Redemption         BANK OF AMERICA         JOHN DERE         257,698,50           COMM         89238MAD0         TAOT 1.73% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         311,765.89           COMM         02582JHG8         AME 1.64% MAT         Interest         ROYAL BANK OF AMERICAN         AMERICAN	37065	COMM	58768MAC5	<b>MBALT 1,35% MAT</b>	Redemption		683,451.87		683,451.87
COMM         47787XAC1         JDOT 1.78% MAT         Redemption         BANK OF AMERICA         JOHN DERE         257,698,50           COMM         89238MAD0         TAOT 1.73% MAT         Interest         BANK OF AMERICA         TOYOTA AUTO REC         311,765.89           COMM         89238MAD0         TAOT 1.73% MAT         Redemption         BANK OF AMERICA         TOYOTA AUTO REC         311,765.89           COMM         02582JHG8         AME 1.64% MAT         Interest         ROYAL BANK OF AMERICAN         AMERICAN	37507	COMM	47787XAC1	JDOT 1,78% MAT	Interest			12,608.33	12,608.33
COMM 89238MAD0 TAOT 1.73% MAT Interest BANK OF AMERICA TOYOTA AUTO REC.  COMM 89238MAD0 TAOT 1.73% MAT Redemption BANK OF AMERICA TOYOTA AUTO REC.  COMM 02582JHG8 AME 1.64% MAT Interest ROYAL BANK OF AMERICAN	37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption		257,698.50		257,698.50
COMM 89238MAD0 TAOT 1,73% MAT Redemption BANK OF AMERICA TOYOTA AUTO REC 311,765.89 COMM 02582JHG8 AME 1.64% MAT Interest ROYAL BANK OF AMERICAN	37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest			14,416.67	14,416.67
COMM 02582JHG8 AME 1.84% MAT Interest ROYAL BANK OF AMERICAN	37568	COMM	89238MAD0	<b>TAOT 1.73% MAT</b>	Redemption		311,765.89		311,765.89
	37862	COMM	02582JHG8	AME 1.64% MAT	Interest			46,468.68	46,466.68

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37862	COMM	02582JHG8	AME 1.64% MAT	Redemption	ROYAL BANK OF	AMERICAN		840,971.89		840.971.89
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	CITIGROUP GLOBAL HONDA AUTO	L HONDA AUTO			39,200.00	39.200.00
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	CITIGROUP GLOBAL HONDA AUTO	L HONDA AUTO		736,257.24		736,257.24
38137	COMM	89238KAD4	<b>TAOT 1,93% MAT</b>	Interest	JPMorganChase	TOYOTA AUTO REC			38,599,99	38,599.99
38137	COMM	89238KAD4	<b>TAOT 1.93% MAT</b>	Redemption	JPMorganChase	TOYOTA AUTO REC		542,221.63		542,221.63
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			40,854.16	40,854.16
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO		370,005.58		370,005.58
38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest	ROYAL BANK OF	JOHN DEERE			18,287,50	18.287.50
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		167,126,21		167,126.21
38646	COMM	02582JHQ6	AME 2.67% MAT	Interest	BARCLAYS CAPITAL AMERICAN	LAMERICAN			100,125.00	100,125.00
38646	COMM	02582JHQ6	AME 2.67% MAT	Redemption	BARCLAYS CAPITAL AMERICAN	LAMERICAN		807,273.41		807,273.41
38708	COMM	161571HN7	CHAIT 2.1227% MAT Interest	Interest	BARCLAYS CAPITA	BARCLAYS CAPITAL CHASE ISSUANCE			78.754.87	78.754.87
38708	COMM	161571HN7	CHAIT 2.1227% MAT Redemption	Redemption	BARCLAYS CAPITA	BARCLAYS CAPITAL CHASE ISSUANCE		591,139.95		591,139.95
38785	COMM	58769DAE0	MBALT 2.01% MAT	Interest	BOK FINANCIAL	MERCEDES-BENZ			6,700.00	6,700.00
38785	COMM	58769DAE0	MBALT 2.01% MAT	Redemption	BOK FINANCIAL	MERCEDES-BENZ		74,904.13		74.904.13
38785	COMM	58769DAE0	MBALT 2:01% MAT	Interest	BOK FINANCIAL	MERCEDES-BENZ			-5,806.67	-5,806.67
38785	COMM	58769DAE0	MBALT 2.01% MAT	Redemption	<b>BOK FINANCIAL</b>	MERCEDES-BENZ				0.00
		Totals for 06/15/2018	018				514,924,041.64 562,460,223.17	52,460,223.17	1,009,446.69	48,545,628.22
38800	COMM	313385YG5	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,987,500.00			249.987.500.00
38802	COMM	36960MFK4	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	99,994,722,22			-99 994 722 22
38801	COMM	36164KFK2	GECAP DISC NOTE Purchase	Purchase	GENERAL ELECTR	GENERAL ELECTRICGENERAL ELECTRIC	49,997,361.11			49.997.361.11
38803	COMM	63873KFK8	NATXNY DISC NOTE Purchase	E Purchase	MERRILL LYNCH	Natixis NY Branch	99,994,750.00			-99.994.750.00
38797	COMM	313385YF7	FHDN DISC NOTE	Redemption	U S BANK	FHLB DISCOUNT		250,000.000.00		250,000,000.00
38798	COMM	36164KFJ5	GECAP DISC NOTE		GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	45	150,000,000.00		150.000,000.00
38799	COMM	63873KFJ1	NATXNY DISC NOTE Redemption	Redemption	MERRILL LYNCH	Natixis NY Branch	- 10	100,000,000,001		100,000,000,001
36587	COMM	43814NAC9	HAROT 1.22% MAT	Interest	JPMorganChase	HONDA AUTO			6,667.84	6,667.84
36587	COMM	43814NAC9	<b>HAROT 1.22% MAT</b>	Redemption	JPMorganChase	HONDA AUTO		770,046.39		770,046.39
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	BARCLAYS CAPITAL HONDA AUTO	L HONDA AUTO			11,595.83	11,595,83
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	BARCLAYS CAPITAL HONDA AUTO	L HONDA AUTO		403,174.58		403,174.58
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	BARCLAYS CAPITAL HONDA AUTO	L HONDA AUTO			18,060.00	18,060.00
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	BARCLAYS CAPITAL HONDA AUTO	L HONDA AUTO		237,522.32		237,522.32
		Totals for 06/18/2018	918				499,974,333.33 501,410,743.29	11,410,743.29	36,323.67	1,472,733.63
38804	COMM	313385YH3	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,987,291.65			-249,987,291.65
38806	COMM	36960MFL2	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	99,994,722.22			-99,994,722.22
38805	COMM	36164KFL0	GECAP DISC NOTE Purchase	Purchase	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	49,997,361.11			-49,997,361.11
38807	COMM	63873KFL6	NATXNY DISC NOTE Purchase	- Purchase	MERRILL LYNCH	Natixis NY Branch	99,994,750.00			-99,994.750.00
38800	COMM	313385YG5	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	12.5	250,000,000.00		250,000,000.00
38801	COMM	36164KFK2	GECAP DISC NOTE	Redemption	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	453	50,000,000.00		50,000,000.00
38802	COMM	36960MFK4	GE DISC NOTE MAT Redemption	Redemption	GENERAL FLECTRI	GENERAL ELECTRICGENERAL ELECTRIC	20	100 000 000 001		400 000 000 004

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38803	COMM	63873KFK8	NATYNY DISC NOTE Bedemarken	DON'T LIGORY	Maticia My Dancel	rincipal Faydowns	s interest	П
38303	COMM	78009N5M4		ROYAL BANK OF	ROYAL BANK OF	00.000,000,001	70,494.16	100,000,000.00 6 70,494.16
		Totals for 06/19/2018	018			499,974,124.98 500,000,000.00	0 70,494.16	6 96,369.18
38808	COMM	313385YJ9	FHDN DISC NOTE Purchase	UBS FINANCE	FHLB DISCOUNT	249,987,013,90		-249.987.013.90
38809	COMM	36960MFM0	GE DISC NOTE MAT Purchase	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	149,992,083.33		-149,992,083.33
38810	COMM	63873KFM4	NATXNY DISC NOTE Purchase	MERRILL LYNCH	Natixis NY Branch	99,994,750.00		-99.994.750.00
38804	COMM	313385YH3	FHDN DISC NOTE Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00	٥	250,000,000,00
38805	COMM	36164KFL0	GECAP DISC NOTE Redemption	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	50,000,000,00	0	50.000,000.00
38806	COMM	36960MFL2	GE DISC NOTE MAT Redemption	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	100.000.000.00	0	100.000.000.00
38807	COMM	63873KFL6	NATXNY DISC NOTE Redemption	MERRILL LYNCH	Natixis NY Branch	100.000.000.00	0	100.000,000.00
37265	COMM	3133EGX67	FFCB 0.859% MAT Interest	INCAPITAL LLC	FFCB NOTES		62.319.69	
37893	COMM	3134GBRZ7	FEDERAL HOME LN Interest	INCAPITAL LLC	FHLMC NOTES		112,500.00	
36569	COMM	05581RAD8	BMWLT 1.34% MAT Interest	MERRILL LYNCH	BMW VEHICLE		2.073.63	
36569	COMM	05581RAD8	BMWLT 1.34% MAT Redemption	MERRILL LYNCH	BMW VEHICLE	1,552,149.80		1.5
37036	COMM	05582XAD4	BMWLT 1,43% MAT Interest	MIZUHO	BMW VEHICLE		8,421.54	
37036	COMM	05582XAD4	BMWLT 1.43% MAT Redemption	MIZUHO	BMW VEHICLE	604,093.81		9
		Totals for 06/20/2018	918			499,973,847.23 502,156,243.61	1 185,314.86	2,
38811	COMM	313385YK6	FHDN DISC NOTE Purchase	UBS FINANCE	FHLB DISCOUNT	249.987.152.80		-249 987 152 RD
38814	COMM	3130AEHE3	FEDERAL HOME Purchase	DEUTSCHE BANK	FHLB NOTES	35,000,000.00		-35.000.000.00
38812	COMM	36960MFN8	GE DISC NOTE MAT Purchase	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	149,992,083.33		-149 992 083.33
38813	COMM	63873KFN2	NATXNY DISC NOTE Purchase	MERRILL LYNCH	Natixis NY Branch	99,994.750.00		-99 994 750 00
38815	COMM	880591EV0	TENNESSEE Purchase	KEYBANC CAPITAL	TENNESSEE	10,005,175.00		-10.005.175.00
38808	COMM	313385YJ9	FHDN DISC NOTE Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00	0	250,000,000.00
38809	COMM	36960MFM0	GE DISC NOTE MAT Redemption	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000.00	0	150,000,000.00
38810	COMM	63873KFM4	NATXNY DISC NOTE Redemption	MERRILL LYNCH	Natixis NY Branch	100,000,000,00	0	100,000,000.00
38718	COMM	3133EJPX1	FEDERAL FARM CR. Interest	INCAPITAL LLC	FFCB NOTES		11,979.15	
37615	COMM	43814TAC6	HAROT 1.72% MAT Interest	BANK OF AMERICA	. HONDA AUTO		23,649,99	
37615	COMM	43814TAC6	HAROT 1.72% MAT Redemption	BANK OF AMERICA	HONDA AUTO	663,527.16	9	663,527.16
		Totals for 06/21/2018	018			544,979,161.13 500,663,527.16	6 35,629.14	4 -44,280,004.83
38816	COMM	313385YN0	FHDN DISC NOTE Purchase	UBS FINANCE	FHLB DISCOUNT	249,961,458.35		-249,961,458.35
38817	COMM	36960MFR9	GE DISC NOTE MAT Purchase	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	149,976,249,99		-149,976,249.99
36066	COMM	3134G67C1	FEDERAL HOME LN Redemption	MORGAN STANLEY FHLMC NOTES	' FHLMC NOTES	10,000,000,00	0	10,000,000,00
38308	COMM	17305TUF7	CITINA 1.81% MAT Redemption	CITIGROUP GLOBALCITI BANK NA	LCITI BANK NA	55,000,000.00	0	55,000,000.00
38811	COMM	313385YK6	FHDN DISC NOTE Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00	0	250,000,000.00
38812	COMM	36960MFN8	GE DISC NOTE MAT Redemption	GENERAL ELECTRI	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000.00	0	150,000,000.00
38813	COMM	63873KFN2	NATXNY DISC NOTE Redemption	MERRILL LYNCH	Natixis NY Branch	100,000,000,000	0	100,000,000.00
36066	COMM	3134G67C1	FEDERAL HOME LN Interest	MORGAN STANLEY	FHLMC NOTES		60,000.00	
37861	COMM	3134GBRU8	FEDERAL HOME LN Interest	INCAPITAL LLC	FHLMC NOTES		243,750.00	
37880	COMM	3134GRSM5	FEDERAL HOME IN Inferent	O I I I VICA CINI	CLI AND MICHES			

	39 511,576.39	39 165,967,693.05	-249,987,291.65	-149,992,083.33	250,000,000,00	150,000,000.00		73			50 16,762.50	00'0	66 62,666.66	9		42		5,261.47		4	13,350.00	12 24			261,342.52	00 2,622,009.82	-249,987,291.65	-149,992,083.33	150,000,000,00	20,625.02	-159.992.000.00	-89,995,550.00	49,997,361.11	-49,997,361.11	-39,987,600.00	250 000 000 000
Interest	43,750.00	905,401.39					8,870.82		44,700.00		16,762.50		62,666.66		10,727.55		7,925.06		55,333.34		13,350.00	13 350 00		26,250.07		259,936.00										
		399,937,708.34 565,000,000.00	249,987,291.65	149,992,083.33	250,000,000.00	150,000,000.00		736,646.28						676,902.49		127,519.60		5,261.47		426,843.79			106,932.65		261,342.52	399,979,374.98 402,341,448.80	249,987,291.65	149,992,083.33	150,000,000.00	399,979,374.98 400,000,000,00	159,992,000.00	89,995,550.00	49,997,361.11	49,997,361.11	39,987,600.00	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
l	INCAPITAL LLC FHLMC NOTES CITIGROUP GLOBAL CITI BANK NA		UBS FINANCE FHLB DISCOUNT	GENERAL ELECTRICGENERAL ELECTRIC	UBS FINANCE FHLB DISCOUNT	GENERAL ELECTRICGENERAL ELECTRIC	JPMorganChase BMW VEHICLE	JPMorganChase BMW VEHICLE	BREAN CAPITAL LLCFHLMC Multi-Family	BREAN CAPITAL LLCFHLMC Multi-Family	BREAN CAPITAL LLCFHLMC Multi-Family	H		JPMorganChase BMW VEHICLE	BREAN CAPITAL LLCFHLMC Multi-Family	E		BOK FINANCIAL FNMA Multi-Family	BARCLAYS CAPITAL FHLMC Multi-Family	BARCLAYS CAPITAL FHLMC Multi-Family	VINING SPARKS IBG FNMA Multi-Family	JPMorganChase FNMA Multi-Family		BREAN CAPITAL LLCFHLMC Multi-Family	BREAN CAPITAL LLCFHLMC Multi-Family		UBS FINANCE FHLB DISCOUNT	CTRIC	UBS FINANCE FHLB DISCOUNT GENERAL ELECTRICGENERAL ELECTRIC		UBS FINANCE FHLB DISCOUNT	FHLB DISCOUNT	GENERAL ELECTRICGENERAL ELECTRIC	GENERAL ELECTRICGENERAL ELECTRIC	m	HA COCK OF IN
The state of the s	CITINA 1.81% MAT Interest	72018	FHDN DISC NOTE Purchase	GE DISC NOTE MAT Purchase	FHDN DISC NOTE Redemption	GE DISC NOTE MAT Redemption	BMWOT 1.16% MAT Interest	BMWOT 1,16% MAT Redemption	FHLMCM 2.682% Interest	FHLMCM 2.682% Redemption		FHLMCM 2.682% Redemption		AT		FHLMCM 2:307% Redemption	FHLMCM 2.55% MAT Interest	FHLMCM 2.55% MAT Redemption	FHLMCM 3.32% MAT Interest	FALMOM 3:32% MAI Redemption				FHLMCM 2,682% Interest	FHLMCM 2.682% Redemption	2018	FHDN DISC NOTE Purchase	-	GE DISC NOTE MAT Redemption	2018	FHDN DISC NOTE Purchase					THOU COIC NOTE
CANADO CACAC	3134GBSW3 17305TUF7	Totals for 06/22/2018	313385YP5	36960MFS7	313385YN0	36960MFR9	05582QAD9	05582QAD9	3137AYCE9	3137AYCE9	3137AYCE9	3137AYCE9	09659QAD9	09659QAD9	3137AWQH1	3137AWQH1	3138LAYM5	3138LAYM5	313/836J2	313/83002	3136B1XP4	3136B1XP4	3136B1XP4	3137AYCE9	3137AYCE9	Totals for 06/25/2018	313385YQ3	36960MF15	313385YP5 36960MFS7	Totals for 06/26/2018	313385YR1	313385YR1	36960MFU2	36164KFU0	93114ZEG4	000000000000000000000000000000000000000
19700	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMIM	COMM	COMM	COMIM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMIM	COMM		COMM	COMM	COMM	COMM	COMM	VANACC
27004	38308		38818	38819	38816	38817	36893	36893	38387	38387	38391	38391	38412	38412	38465	38465	38477	38477	38643	20043	38664	38665	38665	38666	38666		38820	38821	38818		38822	38823	38825	38824	20020	CCGGC

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38821	COMM	36960MF15	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000,00		150,000,000.00
		Totals for 06/27/2018	88		389,969,872.22 400,000,000.00		10,030,127.78
38828	COMM	313385YY6	iii	UBS FINANCE FHLB DISCOUNT	199,935,833,32		-199,935,833.32
38827	COMM	3134GSPR0	FHLMC 3.% MAT Purchase	INCAPITAL LLC FHLMC NOTES	22,000,000.00		-22,000,000.00
38829	COMM	36960MFV0	GE DISC NOTE MAT Purchase	GENERAL ELECTRICGENERAL ELECTRIC	149,992,083.33		-149,992,083.33
38271	COMM	3130AD3E0	FEDERAL HOME Redemption	DEUTSCHE BANK FHLB NOTES	50,000,000.00		50,000,000.00
38336	COMM	22534HY88	CRA 1.81% MAT Redemption	ROYAL BANK OF CREDIT AGRICOLE	50,000,000,00		50,000,000.00
38822	COMM	313385YR1	FHDN DISC NOTE Redemption	UBS FINANCE FHLB DISCOUNT	160,000,000,000		160,000,000.00
38823	COMM	313385YR1	FHDN DISC NOTE Redemption	FHLB DISCOUNT	00.000,000,00		90,000,000,00
38824	COMM	36164KFU0	GECAP DISC NOTE Redemption	GENERAL ELECTRICGENERAL ELECTRIC	50,000,000,00		50,000,000.00
38825	COMM	36960MFU2	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	50,000,000,00		50,000,000,00
36845	COMM	3136G3TD7	FEDERAL NATL MTGInterest	BREAN CAPITAL LLCFNMA NOTES		77,880.00	77.880.00
36846	COMM	3136G3TB1	FEDERAL NATL MTGInterest	INCAPITAL LLC FNMA NOTES		66,275.00	66,275.00
36848	COMM	3134G9ZR1	FEDERAL HOME LN Interest	INCAPITAL LLC FHLMC NOTES		53,125.00	53,125.00
36849	COMM	3136G3SQ9	FEDERAL NATL MTGInterest	INCAPITAL LLC FNMA NOTES		143,750.00	143,750.00
37289	COMM	3130AADN5	FEDERAL HOME Interest	INCAPITAL LLC FHLB NOTES		275,000.00	275,000.00
38271	COMM	3130AD3E0	FEDERAL HOME Interest	DEUTSCHE BANK FHLB NOTES		79,136.10	79,136.10
38334	COMM	3134GSAC9	FEDERAL HOME LN Interest	INCAPITAL LLC FHLMC NOTES		102,500.00	102,500.00
38336	COMM	22534HY88	CRA 1.81% MAT Interest	ROYAL BANK OF CREDIT AGRICOLE		457,527.78	457,527.78
38544	COMM	3133EJHS1	FEDERAL FARM CR Interest	INCAPITAL LLC FFCB NOTES		137,812.50	137,812.50
		Totals for 06/28/2018	18		371,927,916.65 450,000,000.00	1,393,006.38	79,465,089.73
38830	COMM	084670BQ0	BERKSHIRE Purchase	INCAPITAL LLC BERKSHIRE	9,915,455.56		-9,915,455,56
38831	COMM	3133EJSU4	FEDERAL FARM CR Purchase	KEYBANC CAPITAL FFCB NOTES	10,004,808.33		-10.004,808.33
35816	COMM	3130A4UP5	FEDERAL HOME Redemption	WELLS FARGO FHLB NOTES	25,000,000.00		25,000,000.00
35893	COMM	3134G6TF0	FEDERAL HOME LN Redemption	Daiwa Capital Market FHLMC NOTES	25,000,000.00		25,000,000.00
36796	COMM	3130A8BD4	FEDERAL HOME Redemption	CITIGROUP GLOBAL FHLB NOTES	30,000,000,000		30,000,000.00
38829	COMM	36960MFV0	GE DISC NOTE MAT Redemption	GENERAL ELECTRICGENERAL ELECTRIC	150,000,000.00		150,000,000.00
35816	COMM	3130A4UP5	FEDERAL HOME Interest	WELLS FARGO FHLB NOTES		131,250.00	131,250.00
35893	COMM	3134G6TF0	FEDERAL HOME LN Interest	Daiwa Capital Market FHLMC NOTES		116,250.00	116,250.00
36796	COMM	3130A8BD4	FEDERAL HOME Interest	CITIGROUP GLOBALFHLB NOTES		131,250.00	131,250.00
37300	COMM	3133EG2P9	FEDERAL FARM CR Interest	INCAPITAL LLC FFCB NOTES		116,000.00	116,000.00
37301	COMM	3133EG2P9	FEDERAL FARM CR Interest	Daiwa Capital Market FFCB NOTES		174,000.00	174,000.00
		Totals for 06/29/2018	80		19,920,263.89 230,000,000.00	668,750.00	210,748,486.11
35886	COMM	912828VK3	UNITED STATES Redemption	MERRILL LYNCH U.S. TREASURY	25,000,000.00		25,000,000.00
38338	COMM	912828VK3			30,000,000,00		30,000,000.00
35886	COMM	912828VK3				171,875.00	171,875.00
38338	COMM	912828VK3	UNITED STATES Interest	DEUTSCHE BANK U.S. TREASURY		206,250.00	206,250.00
		Totale for 07/09/2014					

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Page 27

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Run Date: 07/19/2018 - 08:30

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

14.08

### Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Bo	ard of Trustees:		
Subject:	Approve/Ratify Notices of	Employment and	Changes of Status/September 13, 2018/
	Human Resources Departn	nent	
Staff Anal	ysis:		
	This item includes recomme in employee status.	ndations such as n	ew hires, leaves of absence, or other changes
Recomme	ndation:		
	The Board is requested to status for existing employee	Magazina di sa marana antara a sa	g of any new employee, and any change of
Submitte	d by: Jess Serna	Title:	Interim Assistant Superintendent, Human Resources
To the	Board of Trustees:	Meeting:	September 13, 2018 Regular Board Meeting
	Recommend Approval		Regular Board Weeting
	Agenda Placement		Hilaria Bauer, Ph.D., Superintendent
	DISPOS	SITION BY BOARD	OF TRUSTEES
Motion	by:	Secon	ded by:
Approv	red: Not	Approved:	Tabled:

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-HUMAN RESOURCES DEPARTMENT MEETING OF THE BOARD OF TRUSTEES September 13, 2018

### CERTIFICATED OFFERS OF EMPLOYMENT:

1.	Now	Hires:
1.	MEM	miles.

1.	Cloutier, Jennifer	Core/Mathson Middle school	08/21/2018
2.	Guerrero, Haydee	Core/Ocala Middle School	08/21/2018
	Guerrero, Tanya	Speech Therapist/Adelante Academy I	08/15/2018
	Hernandez, Steven	P.E./George Middle School	08/23/2018
5.	Johnstone, Amber	Core/Sheppard Middle School	08/23/2018
6.	Lange, Brooke	Core/Renaissance Academy I	08/15/2018

### II. Residential Substitute Teacher Contract:

1.	Cook, Steve	08/21/2018-09/28/2018
2.	Faines, Anna	08/21/2018-09/28/2018
3.	Faines, James	08/21/2018-09/28/2018
4.	Harlow, William	08/21/2018-09/28/2018
5.	Hawkins, Zane	08/21/2018-09/28/2018
6.	Hernandez, Frank	08/21/2018-09/28/2018
7.	Loui, Florence	08/21/2018-09/28/2018
8.	Manundo, Marlon	08/21/2018-09/28/2018
9.	Morquecho, Leticia	08/21/2018-09/28/2018
10.	Rojas, Raul	08/21/2018-09/28/2018
11.	Sanchez, John	08/21/2018-09/28/2018
12.	Solis, Salvador	08/21/2018-09/28/2018

### III. New Hire Substitute Teacher:

1.	Ravishanker, Uma	06/18/2018
2.	Tovar, Eglentina	05/21/2018

### IV. Employee Contracts:

1.	Ausin, Lydia	ELPAC Tester	08/21/2018-09/28/2018
2.	Bills, Treina	School Counselor Intern	08/01/2018-06/30/2019
3.	Campbell, Douglas	Substitute Principal	08/01/2018-06/30/2019
4.	Castro, Patrice	ELPAC Tester	08/21/2018-09/28/2018
5.	Clay, Gertrude	ELPAC Tester	08/21/2018-09/28/2018
6.	Clay, Theresa	ELPAC Tester	08/21/2018-09/28/2018
7.	Colon-Arellano, Mildred	Substitute Principal	08/01/2018-06/30/2019
8.	Dauch, Lawrence	Substitute Principal	08/01/2018-06/30/2019
9.	Duran, Brooke Elke	School Counselor Intern	08/01/2018-06/30/2019
10	. Farhoomand, Shabnam O.	School Counselor Intern	08/01/2018-06/30/2019
	Gonzalez, Raul	School Counselor Intern	08/01/2018-06/30/2019
	Guardado, Martha	School Counselor Intern	08/01/2018-06/30/2019
	Henry, Savannah	School Counselor Intern	08/01/2018-06/30/2019
	Kinoshita, Gloria	ELPAC Tester	08/21/2018-09/28/2018
	Figure and constitution of the second		

15. Leon, Oscar	Substitute Principal	08/01/2018-06/30/2019
16. London, Corinne	Behavior Support	09/20/2018-06/30/2019
17. Lynn, Pam	ELPAC Tester	08/21/2018-09/28/2018
18. Martin, Marisol Zavaleta	ELPAC Tester	08/21/2018-09/28/2018
19. Moran, Elba	ELPAC Tester	08/21/2018-09/28/2018
20. Moreno, Cristian	School Counselor Intern	08/08/2018-06/14/2019
21. Nielsen, Susan	ELPAC Tester	08/21/2018-09/28/2018
22. Park, Grace Hyewon	School Counselor Intern	08/01/2018-06/30/2019
23. Pimentel-Lopez, Berenice	School Counselor Intern	08/01/2018-06/30/2019
24. Ploch-Branscum, Ryan Allan	School Counselor Intern	08/01/2018-06/30/2019
25. Rice, Amber Leann	School Counselor Intern	08/01/2018-06/30/2019
26. Rocha, Maria Elena	ELPAC Tester	08/21/2018-09/28/2018
27. Rojas-Gonzales, Evelia	ELPAC Tester	08/21/2018-09/28/2018
28. Sanchez, Mario	Substitute Principal	08/01/2018-06/30/2019
29. Siegfried, Margaret	BTSA Advisor	08/15/2018-06/30/2019
30. Stephens Denis Dutkiewicz	Substitute Principal	08/01/2018-06/30/2019
31. Teer, Megan	School Counselor Intern	08/01/2018-06/30/2019
32. Wagner, Marilyn	ELPAC Tester	08/21/2018-09/28/2018
33. Wolford, Margaret	ELPAC Tester	08/21/2018-09/28/2018
34. Young, Hannah Caitlin	School Counselor Intern	08/01/2018-06/30/2019

### CERTIFICATED CHANGES OF STATUS:

### V. Changing from PLOA 2018/19 status to Retirement:

1. Barboza, Dennis Core/George Middle School 06/15/2018

### VI. Rehired from Temporary Contract for the 2018-19 School Year and Change of Status Effective 08/15/2018:

<ol> <li>Astorga, Jose A</li> </ol>	Arbuckle Elementary	Probationary 0	
2. Brundidge, Kaitlin Faye	L.U.C.H.A. Academy	Probationary 0	
3. Buchanan, Blake Harlan	Dorsa Elementary	Probationary 0	
4. Cervantes, Alondra Rios	George Middle School	Temporary	
5. Dumpson, Claudia Marie	Adelante Academy II	Probationary 0	
6. Dunn, Nicole Rose	Renaissance Academy II	Probationary II	
7. Estrada, Luis Angel	Adelante Academy I	Temporary	
8. Francis, Mark Jensen	Adelante Academy II	Probationary 0	
9. Gonzalez, Rosa	Ocala Middle School	Temporary	
10. Gutierrez, Christina Alisia	Mathson Middle School	Probationary 0	
11. Hinsch, Laura Jane	Renaissance Academy I	Probationary 0	
12. Miller, Haley Elizabeth	Arbuckle Elementary	Temporary	
13. Morales, Elizabeth	Adelante Academy II	Temporary	
14. Oneill, Melanie M	Arbuckle Elementary	Probationary 0	
15. Perez, Gabriela Aquino	Adelante Academy II	Probationary 0	
16. Perez-Carrillo, Jennifer	Adelante Academy I	Probationary 0	
17. Rivas, Sandra Farias	Adelante Academy II	Probationary 0	
18. Rodrigues, Amy	Student Services Dept.	Probationary I	
19. Stamborski, Anna	Ocala Middle School	Probationary II	
20. Strand, Alyssa Monika	V.A.P.A. Program	Probationary 0	
21. Urena, Alondra Beas	L.U.C.H.A. Academy	Probationary I	
22. Vega, Benjamin	V.A.P.A. Program	Probationary 0	
23. Vu, Minh Hieu Thi	L.U.C.H.A. Academy	Probationary 0	

### VII. ED Code Assignment 44831 2018/2019:

Chan, Sarah-Speech Therapist/Special Education Department

Under the provisions of this statute, the governing board of a school district or a county office of education may contract or employ an individual to provide speech and language services if the individual has verified the following: 1) master's degree in communicative disorders, 2) valid license issued by the Speech-Language Pathology and Audiology Board, 3) passage of the California Basic Educational Skills Test (CBEST), and 4) the criminal record summary pursuant to EC §44332.6.

### VIII. Provisional Internship Permit

Cervantes, Alondra Rios SDC/George Middle School
 Johnstone, Amber Core/Sheppard Middle School

### IX. Probationary 0 Status to Probationary II Status Effective 8/15/2018:

1. Alfaro, Sabrina Monica Cassell Elementary

Brown, Obafemi Abdu Hubbard Media Arts Academy

Flores Jr, Luis Enrique
 Sriprasad, Anusha Sandhya
 Wehner, Joan
 Ocala Middle School
 Painter Elementary
 Cureton Elementary

### X. Probationary 0 Status to Permanent Status Effective 8/15/2018:

Bohigian, Kyle Jacob
 Flores, Julieta Guadalupe
 Guzman, Elizabeth Nunez
 Hare, Kayla Diane
 Hubbard Media Arts Academy
 Mathson Middle School
 Adelante Academy I
 Ocala Middle School

### XI. Probationary I Status to Probationary II Status Effective 8/15/2018:

Fischer Middle School Abad, Melanie Ann Lyndale Elementary 2. Aquino, Rosa Elia Bamberg, Madelyn Marie Cassell Elementary 4. Bennett, Jessica Megan L.U.C.H.A. Academy Chan, Irene Ian Wai Dorsa Elementary Conklin, Julia Yvette Mathson Middle School Chavez Elementary Franco, Brittany Lynne Garcia, Xochilt Sanchez Ocala Middle School Fischer Middle School George, Marisa L 10. Guerrero, Jaime Adelante Academy I 11. Hernandez, Taylor Alyssa George Middle School 12. Herrera, Alejandro Rosendo George Middle School 13. Larios, Maria Russo/McEntee Academy Adelante Academy I 14. Lozano, Francisco 15. Manluco, Joseph Matthew Mathson Middle School Lyndale Elementary 16. McDonnal, Karen Leong 17. Miller, Christopher J Cureton Elementary Russo/McEntee Academy 18. Moran, Maria

Pellegrino, Liana Noelle
 Penaloza, Daisy Trujillo
 Ryan Elementary
 Dorsa Elementary

### XI. Probationary I Status to Probationary II Status Effective 8/15/2018 (continued):

Dorsa Elementary 21. Porter, Linda 22. Ramirez, Zonia Adelante Academy I 23. Serra, Vanesa Perez Adelante Academy I 24. Springer, Jesse Loren Smith Sheppard Middle School 25. Swank, Radel Susan Dorsa Elementary Special Education Dept. 26. Sylvester, Jasmin Daniela 27. Tarde, Ketki Pravin George Middle School Renaissance Academy II 28. Ury, Patricia Joan 29. Woods, Jasmine Sheppard Middle School

### Probationary II Status to Permanent Status Effective 8/15/2018:

1. Alderette, Jennifer Cassell Elementary 2. Ambard, April Heather McCollam Elementary 3. Anderson, Allison Rose Special Education Dept. 4. Apilado, Aaron Jed Belen Hubbard Media Arts Academy Hubbard Media Arts Academy Berryessa, Kathleen Kristine 6. Bisaccia, Nichole Marie George Middle School 7. Briones, Marisol Elena Linda Vista Elementary 8. Brooks, Martin Alan Aptitud Community At Goss 9. Chan, Sarah Meyer Elementary 10. Dearing, Brett Aryn Cureton Elementary 11. Dela Cruz, Kenneth Special Education Dept. 12. DeSimone, Vito G Sheppard Middle School 13. Duncan, Emily E George Middle School 14. Dutra, Patricia Louise Marie Lyndale Elementary 15. Elemen, Annette Marie L.U.C.H.A. Academy 16. Gonzalez, Maricruz Adelante Academy I 17. Goodwin, Jacqueline Lashon Renaissance Academy I

18. Grant, Erika Lynn Renaissance Academy I Meyer Elementary 19. Greensides, Karen Jeanne 20. Gregoire, Nicole Renee McCollam Elementary 21. Hanna, Nadia Linda Vista Elementary 22. Harris, Amber Fischer Middle School

**Hubbard Media Arts Academy** 23. Huang, Teresa M

24. Husain, Sarah Ali L.U.C.H.A. Academy

25. Johnston, Clarissa Ann Harvey Ryan Elementary 26. Kaur, Jaskamal Arbuckle Elementary

27. Korten, Monica Turrey **Aptitud Community At Goss** 

Mathson Middle School 28. Lee-Curtis, Kianga Shani

29. Leyba, Marisol Andrade McCollam Elementary Sheppard Middle School 30. Lozano, Lorena

31. Macias, Marisa Celina Sheppard Middle School **Aptitud Community At Goss** 32. Mandell, Taylor Michele

33. Morton, Andrea Elizabeth Renaissance Academy I Aptitud Community At Goss

Nielsen, Tobi Stevens 35. Ochinero, Sinead Una Ann **Aptitud Community At Goss** 

36. Ordaz, Shavlyn Norene George Middle School

37. Pcholkin, Nicholas Hubbard Media Arts Academy

### XII. Probationary II Status to Permanent Status Effective 8/15/2018 (continued):

38. Pham, Thao Le Thu Special Education Dept. 39. Picasso, Jessica Linda Vista Elementary 40. Reeves, Nannette Gunter Cassell Elementary 41. Richardson, Patricia Renaissance Academy II 42. Rivas, Allison Bautista **Cureton Elementary** 43. Robinson, Amanda Kelly Sheppard Middle School 44. Rodrigues, Brad Steven Renaissance Academy II 45. Samples, Rachel George Middle School 46. Sigmon, Audrey Ellen **Dorsa Elementary** 47. Thomas, Maria Tomly C Mathson Middle School

48. Thralls, Gregory Richard Hubbard Media Arts Academy

49. Wade, Jountey D McCollam Elementary

### CLASSIFIED PERSONNEL - September 13, 2018

### **CLASSIFIED OFFERS OF EMPLOYMENT:**

1.	New Hire:		
	<ol> <li>Chandler, April Isabella</li> </ol>	Paraeducator Special Education I / Ocala	08/20/18
	<ol><li>Coronel, Anamaria Beltran</li></ol>	Bus Monitor/Special Education Services	05/18/18
	<ol><li>Cruz, Brianda G Pacheco</li></ol>	Community Liaison / Russo-McEntee	08/20/18
	4. Gonzalez, Grisel Guadalupe	Health Assistant/Painter	08/20/18
	<ol><li>Escobar, Claudia Marcela</li></ol>	Office Assistant III/Child Nutrition Services	08/17/18
	<ol><li>Hernandez, Magdalia Robles</li></ol>	Bus Monitor/Special Education Services	04/24/18
	<ol><li>Herr, Poua Karen</li></ol>	Library & Learning Center Assistant/	
		Arbuckle & Aptitud	08/20/18
	8. Razo, Bertha	Bus Monitor/Special Education Services	04/30/18
	9. Soliveres, Shella E.	Paraeducator Special Education I/Meyer	08/20/18
П.	Change of Status:		
	1. Bautista, Alejandra Guadalupe Basulto	School Administrative Assistant/Ryan	08/08/18
	2. Robles, Maria Consepcion	Child Nutrition Assistant I/Hubbard	08/20/18
m.	Contracted Employee:		
	1. Ames, Ruth Ann-Moline	Piano Accompanist/VAPA Program	08/28/18-06/30/19
	2. Talo, Matthew	Audio Technician/VAPA Program	08/20/18-06/30/19
	3. Nguyen, Vincent	Audio Technician/VAPA Program	08/20/18-06/30/19
	and in the second		
IV.	Classified Substitute Personnel:  1. Ceja, Mayra Castaneda	Substitute Child Nutrition	08/24/18
	Ceja, Mayra Castaneda     Contreras, Roberto Jr.	Substitute Child Nutrition Substitute Maintenance	08/22/18
	3. Delgado, Isabel C. S.	Substitute Custodian	07/23/18
	4. Fabes, Betzabe L.V.	Substitute Custodian Substitute Paraeducator	08/22/18
	5. Garcia, Cesar Edgardo	Substitute Custodian	07/23/18
	6. Khakipour, Mayam	Substitute Custodian Substitute Paraeducator	08/22/18
	7. Martinez, Alfredo V.	Substitute Custodian	07/23/18
	8. Olmedo, Ramon F.	Substitute Custodian Substitute Paraeducator	08/22/18
	9. Perez, Destiny P.	Substitute Clerical	07/23/18
	10. Villalobos, Lesly	Substitute Paraeducator	08/22/18
	11. Zapien, Ymelda	Substitute Clerical	07/23/18
	11. Zapien, Tineida	Substitute Cicricat	07/23/18
V.	Classified Substitute Terminated:		227.245.00
	1. Lam Vo, Josephine	Substitute Clerical	08/10/17
	2. Padilla, Jessica	Substitute Paraeducator	08/17/18
	<ol><li>Rojas, Gabriela H.</li></ol>	Substitute Paraeducator	08/23/18

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

14.09

### Office of Superintendent of Schools

	ard of Trustees:		
Subject:	Out of State Travel		
	Harvard Law School: Ne October 14 – 18, 2018 Cambridge, MA	egotiation and Leader	ship Program
Summary:			
examples i negotiation negotiation achieve be	nto three days of targeted exec n scenarios and discover a rang n experts. They will learn to	cutive education negoti ge of competitive and co sharpen their negotiat School: Program on Ne	distills cutting-edge research and real-world ation training. Attendees will examine complex poperative negotiation strategies taught by top ion skills, improve working relationships, and gotiation and Leadership will provide intense
Recommer	ndation:		
The staff re	ecommends approval of attenda	ance to Harvard Law Sch	nool: Negotiation & Leadership Program for Dr.
Hilaria Bau	er, Vince Iwasaki, Tereasa Smith		이상 그는 그는 사람들은 이번 중요하는 사람들이 많아 된 것이 되었다. 이번 경기를 하는 것이 되었다.
			이상 그는 그는 사람들은 이번 중요하는 사람들이 많아 된 것이 되었다. 이번 경기를 하는 것이 되었다.
Submitte	er, Vince Iwasaki, Tereasa Smith d by: Jess Serna Board of Trustees:	h, Kolvira Chheng, Carlo	Interim Assistant Superintendent,
Submitte	er, Vince Iwasaki, Tereasa Smith d by: Jess Serna	h, Kolvira Chheng, Carlo Title:	Interim Assistant Superintendent, Human Resources  September 13, 2018 Board Meeting
Submitte	er, Vince Iwasaki, Tereasa Smith d by: Jess Serna Board of Trustees:	h, Kolvira Chheng, Carlo Title:	Interim Assistant Superintendent, Human Resources  September 13, 2018
Submitte	er, Vince Iwasaki, Tereasa Smith  d by: Jess Serna  Board of Trustees:  Recommend Approval  L. 09  Agenda Placement	h, Kolvira Chheng, Carlo Title:	September 13, 2018 Board Meeting Hilaria Bauer, Ph.D., Superintendent
Submitte  To the	er, Vince Iwasaki, Tereasa Smith  d by: Jess Serna  Board of Trustees:  Recommend Approval  L. 09  Agenda Placement	Title:  Meeting:	September 13, 2018 Board Meeting Hilaria Bauer, Ph.D., Superintendent

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

### TRAVEL APPROVAL FORM Attach Supporting Document

Place: Cambridge, MA	000000000000000000000000000000000000000		2-1-2-741	25 to recover and	5-6-1-2-1-	A Annual Transfer
Purpose: To attend the H					& Leader	ship Program
Date (s) of Conference / Meeting	s: Oct	obe	r 15 -	7, 2018		0.14
Principal / Program Manager App	proval: _			V/3	Date_	8-9-18
Departure Date / Time: Octob	er 14	, 20	018	1	Hour	AM PM 🖾
Return Date / Time: Octob	er <del>17</del>	, 20	18,	2018	Hour	AM PM X
Human Resources:						
Names(s) of Employees Attending	Sub Yes		Bilingua Yes No		me)	Code Sub To:
Hilaria Bauer		X				
Vince Iwasaki		X				
Tereasa Smith		X				
Tereada Dinten						
Kolvira Chheng	11	X				
		X				
Kolvira Chheng  Kolvira Chheng  Kolvira Chheng  Kolvira Chheng  Avtar Gill  Substitute:   Available  Remarks:	Unava	X X		Sub Needed		
Kolvira Chheng  Kolvira Chheng  Kolvira Chheng  Carlos Moran  Avtar Gill  Substitute:   Available	Unava	X X	e 🗆 No	Sub Needed  Asst. Superintenden	t of Human F	Resources Date
Kolvira Chheng  Liest Seltha Carlos Moran  Avtar Gill  Substitute:	Unava	X X			t of Human F	Resources Date
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Kolvira Chheng  Kolvira Chheng  Kolvira Chheng  Kolvira Chheng  Kolvira Chheng  Avtar Gill  Substitute: Available  Remarks: Available  Human Resources Dept. Approva  Business Services: Cost Description  Transportation  Registration	I Unava	X X ailable	□ No	Asst. Superintenden	Reimbursen	
Kolvira Chheng  Kolvira Chheng  Kolvira Chheng  Kolvira Chheng  Kolvira Chheng  Avtar Gill  Substitute: Available  Remarks:  Human Resources Dept. Approva  Business Services:  Cost Description  Transportation	I Unava	X X ailable es otal E 600 2,9	□ No	Asst. Superintenden	Reimbursen	
Kolvira Chheng  Kolvira Chheng  Kolvira Chheng  Kolvira Chheng  Kolvira Chheng  Avtar Gill  Substitute: Available  Remarks: Available  Human Resources Dept. Approva  Business Services: Cost Description  Transportation  Registration	I Unava	X X ailable es otal E 600 2,9	□ No stimated Co .00 97.00 00.00	Asst. Superintenden	Reimbursen	
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PUR-105 Wh: Human Resources Ye: Originator (Completed) Pi: Business Office Go: Originator Rev. 07/15

originator before the trip begins.

The approved yellow travel approval copy will be returned to the originator. This authorization must be received by the

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

14.10

### Office of Superintendent of Schools

	ard of Trustees:		
Subject:	Out of State Travel		
	Harvard Law School: N October 14 – 18, 2018 Cambridge, MA	egotiation and Leade	rship Program
Summary:			
examples inegotiation negotiation achieve be	into three days of targeted exe in scenarios and discover a ran in experts. They will learn to	ecutive education negoti ge of competitive and c sharpen their negotia School: Program on Ne	n distills cutting-edge research and real-world fation training. Attendees will examine complex coperative negotiation strategies taught by top tion skills, improve working relationships, and egotiation and Leadership will provide intense
Recommer	ndation:		
	ecommends approval of attendera, Ryan Jaegar, Alfonso Uran		hool: Negotiation & Leadership Program for
Surface (NIV	era, Kyan Jaegar, Allonso Oran	go, ivielody rodd and Le	Anne Depew.
Surface Hilly	era, Kyan Jaegar, Allonso Oran	go, Melody Todd and Le	Interim Assistant Superintendent,
	d by: Jess Serna	Title:	
Submitte	d by: Jess Serna Board of Trustees:		Interim Assistant Superintendent, Human Resources  September 13, 2018
Submitte	d by: Jess Serna	Title:	Interim Assistant Superintendent, Human Resources  September 13, 2018 Board Meeting
Submitte	d by: Jess Serna Board of Trustees:	Title:	Interim Assistant Superintendent, Human Resources  September 13, 2018 Board Meeting
Submitte	d by: Jess Serna Board of Trustees:	Title:	Interim Assistant Superintendent, Human Resources  September 13, 2018
Submitte	Board of Trustees:  Recommend Approval    (4.10)  Agenda Placement	Title:	September 13, 2018 Board Meeting Hilaria Bauer, Ph.D., Superintendent
Submitte  To the	Board of Trustees:  Recommend Approval    (4.10)  Agenda Placement	Meeting:	September 13, 2018 Board Meeting Hilaria Bauer, Ph.D., Superintendent



### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

### TRAVEL APPROVAL FORM Attach Supporting Document

. Program Manager / Principal: Carlos Moran Dept. / School Site: Human Resources/KMc  Title of Conference / Meeting: Harvard Law School: Negotiation & Leadership Program							
2. Title of Conference / Meeting: H	arvar	d L	aw School	: Negotiation & L	eadership	Program	
Place: Cambridge, MA							
. Purpose: Professional de	velop	ment	t at Harv	ard Negotiation &	Leadersh	ip Program	
. Date (s) of Conference / Meeting	s: Oc	tobe	15-17,	2018		11	
. Principal / Program Manager App			ymon	ar .	Date9	1-7/18	
. Departure Date / Time: Octob			018		Hour: AM	XI PM 🗆	
. Return Date / Time: October					Hour: AM I	□ PM 🖾	
. Human Resources:					. 77,40,373		
Names(s) of Employees Attending	Sub	o? No	Bilingual Yes No	Sub Request?: (Name)	C	ode Sub To:	
Sandra Rivera	X				0	36007700 0	
Ryan Jaeger	X					36007700 0	
Melody Todd		X					
LeAnne Depew	X				0	36007700 0	
Alfonso Urango	X					36007700 0	
Substitute:	l Unava	ailable	e □ No S	ub Needed			
			≥ □ No S	ub Needed	ran		
Remarks:			54.	ub Needed  Asst. Superintendent of H	ran		
Remarks:Human Resources Dept. Approval			54.	C.Mo	ran		
Remarks:  Human Resources Dept. Approval  D. Business Services:  Cost Description	: 🗹 Y	es	54.	Asst. Superintendent of F	ran	rces Date	
Remarks:  Human Resources Dept. Approval  D. Business Services:  Cost Description  Transportation	: Ø Y	es otal E	□ No	Asst. Superintendent of H	LAW Juman Resour	rces Date	
Remarks:	: 🗹 Y	es otal E	□ No	Asst. Superintendent of F	LAW Juman Resour	rces Date	
Remarks:	: 🗹 Y	es otal E	□ No stimated Cos 550.00 097.00 600.00	Asst. Superintendent of F	LAW Juman Resour	rces Date	
Remarks:  Human Resources Dept. Approval  D. Business Services:  Cost Description  Transportation  Registration  Lodging  Meals	: 🗹 Y	es  otal E  6  2,9	□ No stimated Cos 550.00 97.00 600.00 88.00	Asst. Superintendent of F	LAW Juman Resour	rces Date	
Remarks:	: 🗹 Y	es  otal E  6  2,9	□ No stimated Cos 550.00 097.00 600.00	Asst. Superintendent of F	LAW Juman Resour	rces Date	
Remarks:  Human Resources Dept. Approval  D. Business Services:  Cost Description  Transportation  Registration  Lodging  Meals  Shuttle / Parking  Other	To \$	es  otal E  6  2,9  1,6	□ No stimated Cos 550.00 97.00 600.00 88.00 00.00	Asst. Superintendent of H	LAW Juman Resour	rces Date	
Remarks:	To \$	es  otal E  6  2,9  1,6	□ No stimated Cos 550.00 97.00 600.00 88.00 00.00	Asst. Superintendent of H	LAW Juman Resour	rces Date	
Remarks:	To \$	es  otal E  2,9  1,6	□ No stimated Cos 550.00 997.00 600.00 88.00 00.00	Asst. Superintendent of H	LAW Juman Resour	rces Date	
Human Resources Dept. Approval  D. Business Services:  Cost Description  Transportation  Registration  Lodging  Meals  Shuttle / Parking  Other  Total  Attach Purchase Order(s), Invoice	:	es  otal E  2,9  1,6	□ No  stimated Cos  550.00  997.00  600.00  88.00  00.00  35.00 eac  stration Form	Asst. Superintendent of H	LAW Juman Resour	rces Date	
Remarks:  Human Resources Dept. Approval  D. Business Services:  Cost Description  Transportation  Registration  Lodging  Meals  Shuttle / Parking  Other	:	es  otal E  2,9  1,6	□ No  stimated Cos  550.00  997.00  600.00  88.00  00.00  35.00 eac  stration Form	Asst. Superintendent of H	LAW Juman Resour	rces Date	

**PUR-105** 

Wh: Human Resources

originator before the trip begins.

Ye: Originator (Completed) Pi. Business Office

Cash advance request, submit the completed form 60 days prior to cash advance due date.

3. The approved yellow travel approval copy will be returned to the originator. This authorization must be received by the

Go: Originator

Rev. 07/15

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

14.11

### Office of Superintendent of Schools

Subject: Out of			
NELL Natio	of State Travel  onal Conference		
Las Vegas			
October 1	17-20, 2018		
Summary:		14-14-14-14-14-2-14-2-14-2-14-2-14-2-14	strongly believe that every child deserves to
trainings, be immented belief of standards drive collar managem University meaningf Recommendation Staff reco	staff PDs, resources a rsed in a culture of u the school communi s that will turn the visi aboration, aligning of l nent of data. Through y National Conference, ful system of intervention:	ind a stringent application in a stringent application in the student on of college to a restrict and acades the string and acades in this guided process, the Ocala Staff shall ons for every students.	ly prepared for college. Through leadership cation process, Ocala STEAM Academy shall at. This critical component strengthens the sare capable of meeting high academic eality. This central school culture belief shall mic standards, assessment of standards and ess and the rich resources of No Excuses II have the tools to pursue a purposeful and at. Estimated cost \$6657.87.
Submitted by: Jo	ess Serna	Title:	Interim Assistant Superintendent, Human Resources
To the Board o	of Trustees: mmend Approval	Meeting:	September 13, 2018 Regular Board Meeting
Reco		Meeting:	
Reco	mmend Approval	Meeting:	Regular Board Meeting  Hilaria Bauer, Ph.D., Superintendent
Reco	mmend Approval	SITION BY BOARD O	Regular Board Meeting  Hilaria Bauer, Ph.D., Superintendent



### **ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT**

### TRAVEL APPROVAL FORM Attach Supporting Document

Program Manager / Principal: Tv	4		-	Dept. / School Site:	- Cuin
Title of Conference / Meeting:	NEI	1	N	ctioned con	terence
Place: Las Vescs,	NV				
Purpose: NO FIELSES	Ur	1.12	rsil	3 annual net	voile confeven
Date (s) of Conference / Meetings:	Diet	Vie	2,0	19 20 2718/	process
Principal / Program Manager Approv	/al:	0	11	a-1630	ate 6/29/18
Departure Date / Time:	0	30	n		Hour: AM PM
Return Date / Time:		2			Hour: AM PM
Human Resources:	,				
lamas(s) of Employees Attending	Sub?	Bilin Yes	gual No	Sub Request?: (Name)	Code Sub To:
Trace Lecturs 1	1				062601/96
Altrode Acos L					
Shar levis	W. W.				
Michael Weilen V					
	7 V V	11A	45		
Remarks:	mavailable Yes	- No		ub Needed  Moran	8/23/18
Remarks:Human Resources Dept. Approval:	/			ub Needed  Maraw  Asst. Superintendent of Hun	8/23/1P man Resources Date
Remarks:	Yes	□ No	)	Asst. Superintendent of Hun	
Remarks:  Human Resources Dept. Approval:  Business Services: ost Description	Yes Total E	□ No	o ed Cos	Asst. Superintendent of Hun	8/23/1P man Resources Date
Remarks:  Human Resources Dept. Approval:  Business Services:	Yes Total E	□ No	ed Cos	Asst. Superintendent of Hunds  Reimbu	
Human Resources Dept. Approval: Business Services: ost Description Transportation	Yes Total E	□ No	ed Cos	Asst. Superintendent of Hunds	
Human Resources Dept. Approval:  Business Services: ost Description Transportation Registration	Yes Total E	□ No	ed Cos	Asst. Superintendent of Hunds	
Human Resources Dept. Approval:  Business Services: ost Description Transportation Registration Lodging	Yes Total E	□ No	ed Cos	Asst. Superintendent of Hunds	
Remarks:  Human Resources Dept. Approval:  Business Services: Cost Description  Transportation  Registration  Lodging  Meals	Yes Total E	□ No	ed Cos	Asst. Superintendent of Hunds	
Remarks:  Human Resources Dept. Approval:  Business Services: ost Description  Transportation  Registration  Lodging  Meals  Shuttle / Parking	Yes Total E	□ No	ed Cos	Asst. Superintendent of Hunds	
Human Resources Dept. Approval:  Business Services: ost Description Transportation Registration Lodging Meals Shuttle / Parking Other Total	Yes  Total E	stimate	ed Cos	Asst. Superintendent of Human State Reimburs \$	
Human Resources Dept. Approval:  Business Services: ost Description Transportation Registration Lodging Meals Shuttle / Parking Other Total  ttach Purchase Order(s), Invoice(s)	Yes  Total E	stimate	ed Cos	Asst. Superintendent of Human State Reimburs \$	
Human Resources Dept. Approval:  Business Services: ost Description Transportation Registration Lodging Meals Shuttle / Parking Other Total ttach Purchase Order(s), Invoice(s)	Yes  Total E	stimate	ed Cos	Asst. Superintendent of Human state   Reimbut   S   Reimbut   S   S   S   S   S   S   S   S   S	
Human Resources Dept. Approval:  Business Services: Cost Description Transportation Registration Lodging Meals Shuttle / Parking Other	Yes  Total E \$  and Regin	stimate	od Cos	Asst. Superintendent of Human State Reimburs \$	irsement Requested

PUR-105 Wh: Human Resources

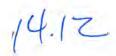
Ye: Originator (Completed)

Pi: Business Office

Go! Originator

Rev. 07/15

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127



### Office of Superintendent of Schools

	ard of Trustees:		
Subject:	Out of State Travel		
	VILs Coach Camp		
	October 22 – 25, 2018 Atlanta, GA	8	
Summary:	Addition of		
Julilliary.			
implement	ration of VILs program. Atter	ndees will learn collaboreview processes and p	ces, tools, and coaching best practices to support ratively by working with VILs coaches, principals rocedures, ask questions, network and learn best native teacher practices. No cost.
Recomme	ndation:		
The staff re	ecommends approval of atter	ndance to VILs Coach Ca	mp for Katherine Grunewald.
Submitte	d by: Jess Serna	Title:	Interim Assistant Superintendent, Human Resources
	d by: Jess Serna  Board of Trustees:	Title:	
			Human Resources
	Board of Trustees:		September 13, 2018
	Board of Trustees:		September 13, 2018
	Board of Trustees:  Recommend Approval  // / / / Agenda Placement		September 13, 2018 Board Meeting Hilaria Bauer Ph.D., Superintendent
To the	Board of Trustees:  Recommend Approval  // / / / Agenda Placement	Meeting:	September 13, 2018 Board Meeting Hilaria Bauer Ph.D., Superintendent

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

### TRAVEL APPROVAL FORM Attach Supporting Document

Program Manager / Principal:		Mirveiza	Dept. / School Site: _	1 ISCHERC
Title of Conference / Meeting:	/ILS G	bach C	amp	
Place: Atlanda GA	9		1	Α .
Purpose: Coaching b	est pra	dies-	to support imple	nentation of the
Date (s) of Conference / Meetings	: Oct.	22-2	5 2018	V
Principal / Program Manager App	roval: Aut	794	1 K . S Da	te 8/28/18
Departure Date / Time:		U Do	21,2018 7 H	our: AM 🕱 PM 🗆
Return Date / Time:		De	+26,2018 5 H	our: AM PM
Human Resources:				
Names(s) of Employees Attending	Sub? Yes No	Bilingual Yes No	Sub Request?: (Name)	Code Sub To:
Katherine Grunewald	×			
Substitute:   Available	Unavailable	e 🗆 No S	Sub Needed	
Substitute:   Available   Remarks:   Human Resources Dept. Approval:		e □ No S		
Remarks:			Sub Needed  Asst. Superintendent of Huma	an Resources Date
Remarks: Human Resources Dept. Approval:  . Business Services:	: □ Yes	□ No	Asst. Superintendent of Huma	
Remarks:  Human Resources Dept. Approval:  Business Services: Cost Description	: □ Yes		Asst. Superintendent of Huma	an Resources Date
Remarks:  Human Resources Dept. Approval:  Business Services: Cost Description Transportation	: □ Yes	□ No	Asst. Superintendent of Huma	
Remarks:  Human Resources Dept. Approval:  Business Services:  cost Description  Transportation  Registration	: □ Yes	□ No	Asst. Superintendent of Huma	
Remarks:  Human Resources Dept. Approval.  Business Services: cost Description Transportation	: □ Yes	□ No	Asst. Superintendent of Huma	
Remarks:  Human Resources Dept. Approval:  Business Services:  cost Description  Transportation  Registration  Lodging  Meals	: □ Yes	□ No	Asst. Superintendent of Huma	
Remarks:  Human Resources Dept. Approval:  Business Services: cost Description Transportation Registration Lodging	: □ Yes	□ No	Asst. Superintendent of Huma	
Remarks:  Human Resources Dept. Approval:  Business Services:  ost Description  Transportation  Registration  Lodging  Meals  Shuttle / Parking	: □ Yes	□ No	Asst. Superintendent of Huma	
Remarks:  Human Resources Dept. Approval:  Business Services: Cost Description Transportation Registration Lodging Meals Shuttle / Parking Other	Total E	□ No	Asst. Superintendent of Humants  ts Reimbur \$	

1. This form must be completed and submitted at least 60 days prior to the proposed trip.

Cash advance request, submit the completed form 60 days prior to cash advance due date.

The approved yellow travel approval copy will be returned to the originator. This authorization must be received by the originator before the trip begins.

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

### 14.13

Date: September 4, 2018

### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Subject:	Approve Education Protection Account (EPA) Spending Plan for 2018-19
Staff Analysis:	Proposition 30 provides that all K-14 local agencies have the sole authority to determine how the funds received from the EPA are spent, but with these provisions:
	The spending plan must be approved by the governing board during a public meeting;
	EPA funds cannot be used for salaries or benefits of administrators or any other administrative costs (as determined through the account code structure);
	Each year, the local agency must publish on its website an accounting of how much money was received from the EPA, and how the funds were expended.
	In addition, there will now be a requirement for the annual financial audit to include verification that the EPA funds were used as specified by Proposition 30, and the additional costs of the audit would be an allowable expense from the EPA. If EPA funds are not expended in accordance with the requirements of Proposition 30, civil or criminal penalties could be incurred.
	The District's spending plan is a follows: salary and benefit expenditures for teachers in the amount of \$11,366,818 (the estimated amount of EPA funds to be received quarterly during the last ten days of September, December, March, and
Recommendation:	June for the 2018-19 fiscal year) will be transferred from resource 0000 (unrestricted) to resource 1400 (Education Protection Act). No administrative costs will be charged to the EPA resource.  Staff recommends that the Board of Trustees approve the Education Protection
Recommendation:	June for the 2018-19 fiscal year) will be transferred from resource 0000 (unrestricted) to resource 1400 (Education Protection Act). No administrative costs will be charged to the EPA resource.
Recommendation: Approved by:	June for the 2018-19 fiscal year) will be transferred from resource 0000 (unrestricted) to resource 1400 (Education Protection Act). No administrative costs will be charged to the EPA resource.  Staff recommends that the Board of Trustees approve the Education Protection
C 37 - 21 -	June for the 2018-19 fiscal year) will be transferred from resource 0000 (unrestricted) to resource 1400 (Education Protection Act). No administrative costs will be charged to the EPA resource.  Staff recommends that the Board of Trustees approve the Education Protection Account (EPA) Spending Plan for 2018-19.  Kolvira Chheng Title: Director of Fiscal Services, Business Services  tees: Meeting: September 13, 2018 Regular Board Meeting
Approved by: To the Board of Trus  Recommend Appro	June for the 2018-19 fiscal year) will be transferred from resource 0000 (unrestricted) to resource 1400 (Education Protection Act). No administrative costs will be charged to the EPA resource.  Staff recommends that the Board of Trustees approve the Education Protection Account (EPA) Spending Plan for 2018-19.  Kolvira Chheng  Title: Director of Fiscal Services, Business Services  tees:  Meeting: September 13, 2018 Regular Board Meeting  Hilaria Bauer Ph.D., Superintendent
Approved by: To the Board of Trus  Recommend Appro  LU.12  Agenda Placement	June for the 2018-19 fiscal year) will be transferred from resource 0000 (unrestricted) to resource 1400 (Education Protection Act). No administrative costs will be charged to the EPA resource.  Staff recommends that the Board of Trustees approve the Education Protection Account (EPA) Spending Plan for 2018-19.  Kolvira Chheng  Title: Director of Fiscal Services, Business Services  tees:  Meeting: September 13, 2018 Regular Board Meeting  Hilaria Bayler Ph.D., Superintendent
Approved by: To the Board of Trus  Recommend Appro  LU.12  Agenda Placement	June for the 2018-19 fiscal year) will be transferred from resource 0000 (unrestricted) to resource 1400 (Education Protection Act). No administrative costs will be charged to the EPA resource.  Staff recommends that the Board of Trustees approve the Education Protection Account (EPA) Spending Plan for 2018-19.  Kolvira Chheng  Title: Director of Fiscal Services, Business Services  tees:  Meeting: September 13, 2018 Regular Board Meeting  Hilaria Bauer Ph.D., Superintendent

### Alum Rock Union Elementary (69369) -

### EDUCATION PROTECTION ACCOUNT

		2017-18	2018-19	2019-20	2020-21
EDU	ICATION PROTECTION ACCOUNT (EPA) MINIMUM				
A-1	Total ADA for EPA Minimum	9,627.06	9,256.43	9,006.02	8,742.22
A-2	Minimum Funding per ADA	200	200	200	200
A-3	EPA Minimum Funding (A-1 * A-2)	1,925,412	1,851,286	1,801,204	1,748,444
EPA	PROPORTIONATE SHARE CAP				
	Adjusted Total Revenue Limit	48,891,313	47,009,058	45,737,343	44,397,627
	Current Year Adjusted NSS Allowance				4
B-1	Adjusted Revenue Limit/Adjusted General Purposi	48,891,313	47,009,058	45,737,343	44,397,627
B-2	Local Revenue/In-lieu of Property Taxes	29,413,440	31,686,866	31,460,750	31,212,303
B-3	EPA Proportionate Share Cap (B-1 - B-2; If less tha	19,477,873	15,322,192	14,276,593	13,185,324
EPA	PROPORTIONATE SHARE				
C-1	Adjusted Revenue Limit/Adjusted General Purpose	48,891,313	47,009,058	45,737,343	44,397,627
C-2	Statewide EPA Proportionate Share Ratio	24.00000000%	24.00000000%	24.00000000%	24.00000000%
C-3	EPA Proportionate Share (C-1 * C-2)	11,733,915	11,282,174	10,976,962	10,655,430
EPA	ENTITLEMENT				
D-1	EPA Entitlement (if C-3 < B-3, then C-3, else greate	11,733,915	11,282,174	10,976,962	10,655,430
D-2	Miscellaneous Adjustments**		- £	8	-
D-3	Adjusted EPA Entitlement (D-1 + D-2)	11,733,915	11,282,174	10,976,962	10,655,430
D-4	Prior Year Annual Adjustment	70,373	5	- E	
D-5	P2 Entitlement Net of PY Adjustment	11,804,288	11,282,174	10,976,962	10,655,430
C-2	Statewide EPA Proportionate Share Ratio (Annual	24.00000000%			
	Adjusted EPA Allocation (used to calculate LCFF Re	11,733,915	11,282,174	10,976,962	10,655,430

### Aptitud Community Academy at Goss (6046247)

EDUCATION	PROTECTION	ACCOUNT

		2017-18	2018-19	2019-20	2020-21
EDU	CATION PROTECTION ACCOUNT (EPA) MINIMUM ENTITLEMEN				
A-1	Total ADA for EPA Minimum	426.33	423.22	423.76	423.49
A-2	Minimum Funding per ADA	200	200	200	200
A-3	EPA Minimum Funding (A-1 * A-2)	85,266	84,644	84,752	84,698
EPA	PROPORTIONATE SHARE CAP				
	Adjusted Total Revenue Limit		Y		14
	Current Year Adjusted NSS Allowance	17			
B-1	Adjusted Revenue Limit/Adjusted General Purpose Funding for				
B-2	Local Revenue/In-lieu of Property Taxes	1,292,616	1,292,616	1,292,616	1,296,616
B-3	EPA Proportionate Share Cap (B-1 - B-2; If less than 0, B-3 = 0)				14
EPA	PROPORTIONATE SHARE				
C-1	Adjusted Revenue Limit/Adjusted General Purpose Funding for	8	2	2	
C-2	Statewide EPA Proportionate Share Ratio	24.00000000%	24.00000000%	24.00000000%	24.00000000%
C-3	EPA Proportionate Share (C-1 * C-2)	~	3		
EPA	ENTITLEMENT				
D-1	EPA Entitlement (if C-3 < B-3, then C-3, else greater of A-3 or B-	85,266	84,644	84,752	84,698
D-2	Miscellaneous Adjustments**				
D-3	Adjusted EPA Entitlement (D-1 + D-2)	85,266	84,644	84,752	84,698
D-4	Prior Year Annual Adjustment	(14)			
D-5	P2 Entitlement Net of PY Adjustment	85,252	84,644	84,752	84,698
C-2	Statewide EPA Proportionate Share Ratio (Annual)	24.00000000%			
	Adjusted EPA Allocation (used to calculate LCFF Revenue)	85,266	84,644	84,752	84,698

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127



### Office of Superintendent of Schools

To the Board of Trus	tees: Date: August 21, 2018
Subject:	Resolution No. 04-18/19 CREATING A COLLEGE CULTURE Creating a college-bound culture supports the district's goal of maintaining high student achievement and providing all students with access to university preparation courses.
Staff Analysis:	Creating a college-bound culture in our district provides a framework for staff, parents, and the community to engage in collaboration to prepare all students to attend college. Through a variety of activities for students and their families, Alum Rock schools promote a college-going culture in order to celebrate, inspire, and promote college aspirations for all.
Recommendation:	Staff recommends approval of Resolution No. 04-18/19, Creating a College Culture, as presented.
Submitted by: Rene	Sanchez Title: Assistant Superintendent, Instructional Services
Recommend Approx	Regular Board Meeting
/ genda / racement	- mana baay - M.b., baperintendent
	DISPOSITION BY BOARD OF TRUSTEES
Motion by:	Seconded by:
Approved:	Not Approved: Tabled:

### RESOLUTION NO. 04-18/19 CREATING A COLLEGE CULTURE IN THE ALUM ROCK SCHOOL DISTRICT OCTOBER 2018

WHEREAS, the mission of the Alum Rock Union School District states: We believe that all students can learn, all students can succeed. We recognize and value the diversity of our student population. We believe that diversity enhances students' ability and opportunity to learn. In order to achieve this mission, we have a single goal: to increase the academic achievement of our students.

WHEREAS, according to the Alum Rock Union School District Board of Trustees, the parent and community goal for 2018-2019 is to focus on the development and strengthening of a system for increasing parent support of District schools and involvement in student learning; and

WHEREAS, the demand for educated workers will rise by 2025, with two out of five jobs requiring a college degree; and

WHEREAS, a Bachelor's Degree provides young people with a lifetime income gain of approximately \$1,000,000 and college graduates are 50% more likely to vote; and

WHEREAS, we believe every child should have a dream for college or post-secondary education; and

WHEREAS, when adults share their belief that everyone can go to college and talk with young people about their education, they inspire young people to design their own pathway to college and demystify college for children and youth;

NOW, THEREFORE BE IT RESOLVED, that the Board of Trustees of the Alum Rock Union School District and the Superintendent create a multilingual "College for All" public engagement campaign to inform students, parents and staff of the value of a college education and the requirements necessary for students to enroll in four-year colleges and universities in the 2018-2019 school year; and

RESOLVED, further, that the Superintendent establish an implementation committee that collaborates with employee and employer organizations, post-secondary institutions, and other stakeholders, including parents and students, to incorporate community involvement in the development and implementation of the District's strategy; and

BE IT FURTHER RESOLVED, that the Alum Rock Union School District encourages every educator and every staff member to inspire and encourage students to believe that they can go to college by creating a college culture.

PASSED AND ADOPTED this 13th day of September, 2018, by the Board of Trustees of the Alum Rock Union School District, County of Santa Clara, State of California.

Ayes	Noes	Absent	Abstain
<b>Board of Trustees:</b>			
Esau Ruiz Herrera, President			
Karen Martinez, Vice-Presid	ent		
Dolores Marquéz - Frausto,	Clerk		
Khanh Tran, Member			
Andrés Quintero, Member			
HEREBY CERTIFY that the foregoing resource oard of Trustees of the Alum Rock Union			ed, and adopted by the members of the ard held on September, 13th, 2018.
lilaria Bauer, Ph.D., Superintendent		Date	

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127



Date: August 21, 2018

### Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

Resolution No. 05-18/19 Hispanic Heritage Month

To the Board of Trustees:

Subject:

Staff Analysis:	Latino contributions to the growth and development of the United States have been many. As this nation looks forward to the 21 <sup>st</sup> century, Latinos will continue to play a major role in meeting the opportunities and challenges ahead. Hispanic Heritage Month celebrates the Latino contribution. It also lets us recognize America's cultural diversity – and the contributions of all of its citizens. Seventy-eight percent of the students in the Alum Rock Union School District are of Hispanic origin; therefore, the Board is encouraged to proclaim September 15 <sup>th</sup> – October 15 <sup>th</sup> Hispanic Heritage Month. Educational communities are encouraged to commemorate this time with appropriate instructional activities.
Recommendation:	Staff recommends approval of Resolution No. 05-18/19, Hispanic Heritage Month, as presented.
Submitted by: Rene	Sanchez R- Title: Assistant Superintendent, Instructional Services
Submitted by: Rene	
	tees: Meeting: September 13, 2018 Regular Board Meeting
To the Board of Trus	tees: Meeting: September 13, 2018 Regular Board Meeting
To the Board of Trus  Recommend Approx  14.15	tees: Meeting: September 13, 2018 Regular Board Meeting
To the Board of Trus  Recommend Approx  14.15  Agenda Placement	tees:  Meeting: September 13, 2018 Regular Board Meeting  val  Hilaria Bauer, Ph.D., Superintendent

### RESOLUTION NO. 05-18/19 HISPANIC HERITAGE MONTH SEPTEMBER 15 – OCTOBER 15, 2018

WHEREAS, more than one in eight people in the United States are of Hispanic origin; and

WHEREAS, 44.2% of the population in the West Region of the United States is of Hispanic origin; and

WHEREAS, 78% of the students in the Alum Rock Union School District are of Hispanic origin

WHEREAS, the contributions of the Hispanics in the development and success of America are woven into almost every segment of the nation's history, and to envision America untouched by Latinos is to imagine a country without much of the folklore and many of its achievements; and

WHEREAS, recognizing that America's cultural diversity-and the contributions of all its citizens-has made America's fabric strong throughout its history; and

WHEREAS, Hispanics have always played major roles in building this country and making it a better place;

**NOW, THERFORE, BE IT RESOLVED,** that the Alum Rock Union School District joins with all other educational agencies, governmental bodies, and community organizations in proclaiming and designating September 15 to October 15, 2018, *Hispanic Heritage Month*, and encourages all educational communities to observe this month with appropriate instructional activities.

PASSED AND ADOPTED this 13th day of September, 2018, at a regular meeting by the following vote:

Ayes	Noes	Absent	Abstain
Board of Trustees:			
Esau Ruiz Herrera, Pres	ident		
(aren Martinez, Vice-P	resident		
Dolores Marquéz - Frau	isto, Clerk		
(hanh Tran, Member			
Andrés Quintero, Mem	ber		

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 13, 2018.

Dr. Hilaria Bauer, Ph.D., Superintendent	Date

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127



### Office of Superintendent of Schools

To the Board of Trus	stees;		Date: August 21, 2018
Subject:	Resolution No. 06-18/19 Character Education Mon October, 2018	th	
Staff Analysis:	Education encourage Ca effective character edu comprehensive approach behavior in all phases of s	lifornia's loca cation progra that encourag school life. Loc	nstruction and the State Board of all education agencies to promote ams by taking a proactive and ses responsible, ethical, civic-minded cal school boards are encouraged to Character Education Month.
Recommendation:	Staff recommends proclain Month.	ning October,	2018, as Character Education
Submitted by: Rene	Sanchez \$\frac{5}{1}\text{Title}	: Assistant Suj	perintendent, Instructional Services
To the Board of Trus		Meeting:	September 13, 2018 Regular Board Meeting
Agenda Placement		Hilaria Bau	Ph.D., Superintendent
	DISPOSITION BY B	OARD OF TRU	STEES
Motion by:		seconded by: _	
Approved:	Not Approved:		Tabled:

### RESOLUTION NO. 06-18/19 CHARACTER EDUCATION MONTH, OCTOBER 2018

WHEREAS, California's State Superintendent of Public Instruction and the State Board of Education believe that all students enrolled in California Public Schools have the right to a comprehensive curriculum that promotes a common core of personal and social values as the basis of good character;

WHEREAS, the State Superintendent of Public Instruction and the State Board of Education believe that America's heritage and laws reflect a common core of personal and social values that holds the citizens of this democracy responsible for acting morally and ethically, being actively involved in school and community, resolving differences peacefully, and respecting the rights, dignity, and property of others;

WHEREAS, the State Superintendent of Public Instruction and the State Board of Education believe that the character of our state is only as strong as the character of each individual citizen;

WHEREAS, although character development is, first and foremost, an obligation of families, the efforts of Faith communities and schools, as well as youth, civic, and human services organizations also play a very significant role by supporting families and otherwise fostering and promoting good character;

WHEREAS, state law calls upon educators to impress upon students the principles of morality, truth, justice, patriotism, and a true comprehension of the rights, duties, and dignity of American citizenship; and the meaning of equality and human dignity, all of which result in awakening students to the moral and ethical virtues that build a fundamental strength of character;

WHEREAS, an effective character education program requires an intentional, proactive, consistent, and comprehensive approach that promotes a common core of personal and social values in all phases of school life, core values that include trustworthiness, respect, responsibility, fairness, caring citizenship, civic virtue, honesty, and personal responsibilities; now, therefore, be it

**RESOLVED**, the State Superintendent of Public Instruction and the State Board of Education, join in proclaiming and observing the month of October, 2018, as CHARACTER EDUCATION MONTH, and be it further

**RESOLVED,** the State Superintendent of Public Instruction and the State Board of Education encourage California's local education agencies to promote effective character education programs by taking a proactive and comprehensive approach that encourages responsible, ethical, civic-minded behavior in all phases of school life. Local school boards are encouraged to observe the month of October, 2018, as Character Education Month.

PASSED AND ADOPTED this 13th day of September, 2018, at a regular meeting by the following vote:

Dr. Hilaria Bauer, Ph.D., Superintendent

Ayes	Noes	Absent	Abstain
<b>Board of Trustees:</b>			
Esau Ruiz Herrera, Pro	esident		
Karen Martinez, Vice-	President		
Dolores Marquéz - Fra	austo, Clerk		
Khanh Tran, Member			
Andrés Quintero, Me	mber		
			roduced, passed, and adopted by the a public meeting of said Board held on

Date

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127



Office of Superintendent of Schools

To the Board of Trustees:	Date: August 21, 2018
Subject:	Resolution No. 07-18/19 WEEK OF THE SCHOOL ADMINISTRATOR – OCTOBER 9 – 15, 2018 October 9 – 15, 2018, has been proclaimed as the Week of the School Administrator
Staff Analysis:	The week of October 9 through October 15, 2018, has been designated as the "Week of the School Administrator" to commend the administrators of California public schools for their support and contributions to the quality of public education in this state.
Recommendation:	Staff recommends approval of Resolution No. 07-18/19, Week of the School Administrator as presented.
Submitted by: Rene Sanch	ez 🚶 S Title: Assistant Superintendent, Instructional Services
To the Board of Trustees:  Recommend Approval	Meeting: September 13, 2018 Regular Board Meeting  Hilaria Bauer, Ph.D., Superintendent
	DISPOSITION BY BOARD OF TRUSTEES
Motion by:	

### Resolution No. 07-18/19 PROCLAIMING OCTOBER 9 - 15, 2018, AS THE "WEEK OF THE SCHOOL ADMINISTRATOR"

WHEREAS, the week of October 9 through October 15, 2018, has been designated as the "Week of the School Administrator," and

WHEREAS, approximately 15,000 certificated and classified school administrators work in California's public schools; and

WHEREAS, nearly sixty percent (60%) of these administrators are principals, and vice principals providing direct support for the educational programs at school sites; and

WHEREAS, research has determined that one of the main attributes of effective schools is the competent leadership of principals; and

WHEREAS, other certificated and classified administrators provide leadership and support for the educational program by developing and implementing the curriculum, recruiting, training, supporting teachers and parents, planning and mainstreaming school facilities, and providing transportation, nutrition, and social service programs to pupils and their families; and

WHEREAS, a school's administrative team includes confidential employees who perform and assist in the performance of many critical functions;

**NOW, THEREFORE, BE IT RESOLVED**, that the week of October 9 - 15, 2018, be proclaimed the "Week of the School Administrator": in honor of the many outstanding administrative teams in California's public school districts; and

PASSED AND ADOPTED this 13<sup>th</sup> day of September, 2018, by the Board of Trustees of the Alum Rock Union School District, County of Santa Clara, State of California.

Ayes	Noes	Absent	Abstain
Board of Trustees:			
Esau Ruiz Herrera, Presid	ent		
Karen Martinez, Vice-Pre	sident		
Dolores Marquéz - Fraust	to, Clerk		
Khanh Tran, Member			
Andrés Quintero, Membe			
	oard of Trustees of the		ntroduced, passed, and adopted of District at a public meeting of

Date

Hilaria Bauer, Ph.D., Superintendent

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

### 14.18

### Office of Superintendent of Schools

To the Board of Tru	stees:	Date:	August 31, 2018
Subject:	Resolution #08 – 18/19 GANN Limit Calculations		
Staff Analysis:	In November of 1979, California that added Article XIII B to the amendment, known as the Galexpenditures for publicly funder with Section 7900 of the Govern the process for calculating state and appropriations subject to limit These constitutional and state appropriations limit and appropriate and local government, a formally "adopt" its appropriations Code sections 1629 Governing Boards shall adopt appropriations limits for the currefor the preceding year. The doresolution shall be made available.	e California Constitution Initiative, placed of programs. Division ment Code, was the see and local governitation under Article atutory sections eriations subject to light require that eariations limit for and 42132 specification are solution idea are transportation supports a resolution idea are transportation supports a resolution supports a resolu	ution. This constitutional limits on the growth of n 9 of Title 1, beginning an added to law to specify ment appropriation limits XII B of the Constitution. Explain and define the mitation as they apply to chentity of government a given fiscal year.  The state of the constitution is a given fiscal year.  The state of the constitution is a given fiscal year.  The state of the constitution is a given fiscal year.
Recommendation:	Staff recommends that the Boar adopt Resolution #08 – 18/19 as		Limit Calculations and
Submitted by: Efra  Approved by: Kolv	V	rector, Fiscal Service	ent, Business Services
To the Board of Tru  Recommend Appre	oval	September 13, Regular Board Hilana Bauer, Ph.D.	Meeting
		V	
	DISPOSITION BY BOARD		
Motion by:	Secon	ded by:	
Approved:	Not Approved:	Та	bled:

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RESOLUTION NO 08 - 18/19

### RESOLUTION FOR ADOPTING THE "GANN" LIMIT

(Normal, no increase to Limit pursuant to G.C. 7902.1 [nothing on line K {COE line P}])

- WHEREAS, in November of 1979, the California electorate did adopt Proposition 4, commonly called the Gann Amendment, which added Article XIII-B to the California Constitution; and,
- WHEREAS, the provisions of that Article establish maximum appropriation limitations, commonly called "Gann Limits," for public agencies, including school districts; and,
- WHEREAS, the District must establish a revised Gann limit for the 2017-2018 fiscal year and a projected Gann Limit for the 2018-2019 fiscal year in accordance with the provisions of Article XIII-B and applicable statutory law; and,
- NOW, THEREFORE, BE IT RESOLVED that this Board does provide public notice that the attached calculations and documentation of the Gann limits for the 2017-2018 and 2018-2019 fiscal years are made in accord with applicable constitutional and statutory law;
- AND BE IT FURTHER RESOLVED that this Board does hereby declare that the appropriations in the Budget for the 2017-2018 and 2018-2019 fiscal years do not exceed the limitations imposed by Proposition 4;
- AND BE IT FURTHER RESOLVED that the Superintendent provides copies of this resolution along with the appropriate attachments to interested citizens of this district.
- PASSED AND ADOPTED this 13<sup>th</sup> day of September, 2018, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, State of California.

Ayes	Noes	Absent	Abstain	
Board of Trus	stees:			
Esau Ruiz Her	rera, President	3		
Karen Martine	z, Vice-President			
Dolores Márqu	uez-Frausto, Clerk			
Andres Quinte	ero, Member			
Khanh Tran, M	Member	-		
adopted by the		of Trustees of the Al	duly and regularly introduce um Rock Union Elementary 9 18.	
Hilaria Bau	er, Ph.D., Superintender	nt .	Date	

### Fiscal Year 2017-18 School District Appropriations Limit Calculations

		2017-18 Calculations			2018-19 Calculations	
	Extracted	Calculations	Entered Data/	Extracted	Calculations	Entered Data/
A	Data	Adjustments*	Totals	Data	Adjustments*	Totals
. PRIOR YEAR DATA		2016-17 Actual			2017-18 Actual	
(2016-17 Actual Appropriations Limit and Gann ADA						
are from district's prior year Gann data reported to the CDE)						
FINAL PRIOR YEAR APPROPRIATIONS LIMIT						
(Preload/Line D11, PY column)	64,826,425.23		64,826,425.23			64,307,958.39
PRIOR YEAR GANN ADA (Preload/Line B3, PY column)	10,185.59		10,185.59			9,744.38
			10,100.00			0,1 44.0
ADJUSTMENTS TO PRIOR YEAR LIMIT	Ad	justments to 2016-	17	A	djustments to 2017-	8
District Lapses, Reorganizations and Other Transfers     Tomposers Vistor Appearant Vi						
Temporary Voter Approved Increases     Less: Lapses of Voter Approved Increases						
TOTAL ADJUSTMENTS TO PRIOR YEAR LIMIT					- 1	
(Lines A3 plus A4 minus A5)			0.00			0.0
1					1	
7. ADJUSTMENTS TO PRIOR YEAR ADA		4.0				
(Only for district lapses, reorganizations and		111			- Y	
other transfers, and only if adjustments to the						
appropriations limit are entered in Line A3 above)						
CURRENT YEAR GANN ADA		2047 40 00 0			2040 40 DD E-V	
(2017-18 data should tie to Principal Apportionment		2017-18 P2 Report			2018-19 P2 Estimate	
Software Attendance reports and include ADA for charter schools reporting with the district)						
Total K-12 ADA (Form A, Line A6)	9,318.26		9,318.26	9,098.12		9,098.1
Total Charter Schools ADA (Form A, Line C9)	426.12		426.12	423.22		423.23
TOTAL CURRENT YEAR P2 ADA (Line B1 plus B2)			9,744.38	120.22		9,521.34
LOCAL PROCEEDS OF TAXES/STATE AID RECEIVED		2047 48 Actual			2042 40 Post	
TAXES AND SUBVENTIONS (Funds 01, 09, and 62)		2017-18 Actual			2018-19 Budget	
1. Homeowners' Exemption (Object 8021)	109,830.30		109,830.30	109,830.00		109,830.00
2. Timber Yield Tax (Object 8022)	0.00		0.00	0.00		0.0
3. Other Subventions/In-Lieu Taxes (Object 8029)	0.00		0.00	0.00		0.0
Secured Roll Taxes (Object 8041)	23,758,394.91		23,758,394.91	23,747,815.00		23,747,815.0
Unsecured Roll Taxes (Object 8042)	1,575,834.55		1,575,834.55	1,575,834.00		1,575,834.0
6. Prior Years' Taxes (Object 8043)	0.00	r = 11	0.00	0.00		0.0
Supplemental Taxes (Object 8044)     Ed. Rev. Augmentation Fund (FRAF) (Object 8045)	4,588,297.95		4,588,297.95	4,593,000.00		4,593,000.0
Ed. Rev. Augmentation Fund (ERAF) (Object 8045)     Penalties and Int. from Delinquent Taxes (Object 8048)	13,852,800.00		13,852,800.00	12,707,150.00		12,707,150.0
10. Other In-Lieu Taxes (Object 8082)	0.00		0.00	0.00		0.0
and in the law rance (as) as a sector	0.00		0.00	5.00	-	0.01
11. Comm. Redevelopment Funds (objects 8047 & 8625)	0.00		0.00	0.00		0.0
12. Parcel Taxes (Object 8621)	4,007,769.10		4,007,769.10	4,126,899.00		4,126,899.0
13. Other Non-Ad Valorem Taxes (Object 8622) (Taxes only)	0.00	1	0.00	0.00		0.0
14. Penalties and Int. from Delinquent Non-LCFF						
Taxes (Object 8629) (Only those for the above taxes)	0.00		0.00	0.00		0.0
15. Transfers to Charter Schools						
in Lieu of Property Taxes (Object 8096)  16. TOTAL TAXES AND SUBVENTIONS						
(Lines C1 through C15)	47,892,926.81	0.00	47,892,926.81	46,860,528.00	0,00	46,860,528.00
OTHER LOCAL REVENUES (Funds 24 00 and 22)						
OTHER LOCAL REVENUES (Funds 01, 09, and 62)  17. To General Fund from Bond Interest and Redemption						
Fund (Excess debt service taxes) (Object 8914)	0.00		0.00	0.00		0.00
18. TOTAL LOCAL PROCEEDS OF TAXES	0.00		0.00	0.00		0,0
(Lines C16 plus C17)	47 902 026 94	0.00	47 002 026 04	10 000 500 00	0.00	

(Lines C16 plus C17)

47,892,926.81

47,892,926.81

46,860,528.00

0.00

0.00

46,860,528.00

		2017-18 Calculations			2018-19 Calculations	
	Extracted Data	Adjustments*	Entered Data/ Totals	Extracted Data	Adjustments*	Entered Data/ Totals
EXCLUDED APPROPRIATIONS		1			J., Week	
<ol> <li>Medicare (Enter federally mandated amounts only from objs. 3301 &amp; 3302; do not include negotiated amounts)</li> </ol>			2,298,991.85			2,454,572.37
OTHER EXCLUSIONS						2,101,072.07
20. Americans with Disabilities Act						
21. Unreimbursed Court Mandated Desegregation Costs						
<ol> <li>Other Unfunded Court-ordered or Federal Mandates</li> <li>TOTAL EXCLUSIONS (Lines C19 through C22)</li> </ol>			2,298,991.85			2,454,572.37
STATE AID RECEIVED (Funds 01, 09, and 62)						
24. LCFF - CY (objects 8011 and 8012)	69,836,648.29		69,836,648.29	72,816,235.00		72,816,235.00
25. LCFF/Revenue Limit State Aid - Prior Years (Object 8019)	215,545.00		215,545.00	0.00		0.00
26. TOTAL STATE AID RECEIVED		1.56	E-20 2-1.	Facilities (		
(Lines C24 plus C25)	70,052,193.29	0.00	70,052,193.29	72,816,235.00	0.00	72,816,235.00
DATA FOR INTEREST CALCULATION						
<ol> <li>Total Revenues (Funds 01, 09 &amp; 62; objects 8000-8799)</li> <li>Total Interest and Return on Investments</li> </ol>	134,719,647.93		134,719,647.93	135,151,372.62		135,151,372.62
(Funds 01, 09, and 62; objects 8660 and 8662)	247,711.54		247,711.54	100,000.00		100,000.00
APPROPRIATIONS LIMIT CALCULATIONS D. PRELIMINARY APPROPRIATIONS LIMIT		2017-18 Actual			2018-19 Budget	
<ol> <li>Revised Prior Year Program Limit (Lines A1 plus A6)</li> </ol>		10)	64,826,425.23	STATE OF THE STATE	100 Nove 5 40 - 204	64,307,958.39
Inflation Adjustment	12 12 12 12		1.0369		- And Balletiney	1.0367
Program Population Adjustment (Lines B3 divided by [A2 plus A7]) (Round to four decimal places)     PRELIMINARY APPROPRIATIONS LIMIT		e i stratic	0.9567			0.9771
(Lines D1 times D2 times D3)			64,307,958.39			65,141,361.88
APPROPRIATIONS SUBJECT TO THE LIMIT						
5. Local Revenues Excluding Interest (Line C18)			47,892,926.81		The second second	46,860,528.00
Preliminary State Aid Calculation     Minimum State Aid in Local Limit (Greater of \$120 times Line B3 or \$2,400; but not greater than Line C26 or less than zero)			1,169,325.60			1,142,560.80
<ul> <li>Maximum State Aid in Local Limit (Lesser of Line C26 or Lines D4 minus D5 plus C23; but not less than zero)</li> </ul>						
c. Preliminary State Aid in Local Limit		35 / 5 / 6	18,714,023.43		100000000000000000000000000000000000000	20,735,406.25
(Greater of Lines D6a or D6b)			18,714,023.43			20,735,406.25
Local Revenues in Proceeds of Taxes     Interest Counting in Local Limit (Line C28 divided by [Lines C27 minus C28] times [Lines D5 plus D6c])			122,697.05			50,052.02
b. Total Local Proceeds of Taxes (Lines D5 plus D7a)			48,015,623.86			46,910,580.02
<ol> <li>State Aid in Proceeds of Taxes (Greater of Line D6a, or Lines D4 minus D7b plus C23; but not greater</li> </ol>						
than Line C26 or less than zero)	Andrew Spile	The state of the s	18,591,326.38			20,685,354.23
Total Appropriations Subject to the Limit     a. Local Revenues (Line D7b)			49.045.000.00		No. of the last	
b. State Subventions (Line D/8)	The state of the	12 1 17 1/2	48,015,623.86			
c. Less: Excluded Appropriations (Line C23)			18,591,326.38 2,298,991.85			
<ul> <li>d. TOTAL APPROPRIATIONS SUBJECT TO THE LIMIT</li> </ul>		Fire The				
(Lines D9a plus D9b minus D9c)		and the same of the	64,307,958.39	4 400 5 100	N VE-TON VO	

### Fiscal Year 2017-18 School District Appropriations Limit Calculations

		2017-18 Calculations			2018-19 Calculations	
	Extracted Data	Adjustments*	Entered Data/ Totals	Extracted Data	Adjustments*	Entered Data/ Totals
10. Adjustments to the Limit Per Government Code Section 7902.1 (Line D9d minus D4; if negative, then zero)  If not zero report amount to: Michael Cohen, Director State Department of Finance Attention: School Gann Limits State Capitol, Room 1145 Sacramento, CA 95814			0.00			
Summary		2017-18 Actual			2018-19 Budget	
Adjusted Appropriations Limit     (Lines D4 plus D10)     Appropriations Subject to the Limit			64,307,958.39			65,141,361.88
(Line D9d)			64,307,958.39			
Efrain Robles		(408) 928-6839				4 - 1
Gann Contact Person		Contact Phone Num	ber			

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

To the Board of Trus	stees:	Date:	August 20, 2018
Subject:	School-sponsored Field Trip	List	
Staff Analysis:	Student field trips are an important field trips supplement and encopportunities for students to re	rich classroom-learning	g experiences and provide
Recommendation:	Staff recommends approval of	f out-of-county school-	sponsored field trip.
Submitted by: Sar	ndra Garcia Title: Di	rector of State and Fed	eral Programs
Approved by: Rer	ne Sanchez Title: As	ssistant Superintendent	of Instructional Services
To the Board of Trus		september 13, Regular Board	
14.19		Whomen	
Agenda Placement		Hilaria Bauer, Ph.D.,	Superintendent
	DISPOSITION BY BOA	ARD OF TRUSTEES	
Motion by:		Seconded by:	
Approved:	Not Approved:		abled:



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## School-Sponsored Field Trip Information Sheet

Overnight Field Trips are in BOLD

Field Trips for Board Approval: September 13, 2018

Purpose: (academic standard the trip supports)	Students will learn about ecosystem of the ocean and be exposed to science related standards	Students will learn about ecosystem of the ocean life and be exposed to science related standards.					
Budget (coding)	PLG	PLG					
# of classes/ Students	37 students	36 students					
Grade	4	4					
Date	09/19/18	09/26/18					
City, and County	Santa Cruz, Santa Cruz	Santa Cruz, Santa Cruz					
Field Trip Event	Santa Cruz Harbor	Santa Cruz Harbor					
School	Aptitud	Aptitud					

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

14.20

Date: September 10, 2018

### Office of Superintendent of Schools

### **ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Subject:	Application for Exemption from the R Expenditures for Classroom Teacher	•
Staff Analysis:	Pursuant of Education Code 41372, ele expend during each fiscal year at leas expense of education for the payme teachers. For 2017-18 fiscal year, the School District did not spend the mining on classroom teacher salaries as require therefore, is requesting from the Countain exemption from this requirement. Report (Third Interim), having to meet in the District not meeting its 3% minimal reported by School Services of CA, In school districts in the State did not may year 2016-17.	the
Recommendation:	Staff recommends that the Board application for exemption from the classroom teachers' salaries.	
Approved by: Kolvira	Chheng Title: Assistant Superinter	ndent, Business Services
To the Board of Trus	tees: Meeting: Septem	nber 13, 2018
Recommend Appro	Regular	r Board Meeting
14.2	\\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	W '
Agenda Placement		er, Ph.D., Superintendent
	DISPOSITION BY BOARD OF TRUS	TEES
Motion by:	Seconded by:	
	Not Approved:	

### Application for Exemption from the Required Expenditures for Classroom Teachers' Salaries

Pursuant to Education Code Section 41372

To:	County Superintendent of Schools	
minim	17-18 fiscal year, the Alum Rock Union Elementary School rum percentage of its budget on classroom teacher salaries at . We are requesting an exemption from this requirement as p	s required by EC Section
Reason	n for request (Check one):	
_X_	Serious hardship to the school district (Please attach a written explanation, the district's latest interim report, current and two subsequent fiscal years that reflects the financial impa <i>EC</i> 41372.)	
	Payment of classroom teacher salaries that are in excess of comparable school districts (Please attach a classroom teacher salary & benefits comparison for at districts. The comparison should include annual classroom teacher salar and maximum salary levels plus the average annual employer contribution.	least three other comparable school aries paid at the beginning, average,
	_ Deficiency is less than \$1,000.00 (exemption is automatical	ally approved)
	: Form CEA)	
1. En	ter the minimum percentage for your district type. (60% Elementary/ 50% High School/ 55% Unified)	60%
2. En	ter the percentage spent by your district.	56.92%
3. Per	rcentage below the minimum.  (Line 1 minus line 2)	3.08%
	ter the district's current expense of education (Form CEA) ficiency Amount.	\$132,276,522.24 \$4,074,116.88
<i>5. b</i> c	(Line 3 times line 4)	Ψ1,071,110.00
	rtification of the School District Governing Board breby certified that the information contained in this applicat	ion is true and correct.
Signat	ure of Authorized Official Title	
Print N	Name of Authorized Official Date	

### C. <u>Decision of the County Superintendent of Schools</u> (Completed by the County Superintendent of Schools or Designee)

following action with respect to the school di	istrict named on this application (Check one):
I am granting the request for exemption Section 41372.	on from the requirements of Education Code
I am granting a partial exemption from Section 41372. The amount not exem of the reason(s) for approving a partial	1
	on from the requirements of <i>Education Code</i> Section reason(s) for denying the exemption is attached.)
It is hereby certified that the information contrue and correct.	tained in this application has been reviewed and is
Signature of County Superintendent	County Office/Date
Signature of Authorized Designee	Title of Authorized Designee/Date

### 2017-18 Unaudited Actuals GENERAL FUND Current Expense Formula/Minimum Classroom Compensation

PARTI - CURRENT EXPENSE FORMULA	Total Expense for Year (1)	EDP No.	Reductions (See Note 1) (2)	EDP No.	Current Expense of Education (Col 1 - Col 2) (3)	EDP No.	Reductions (Extracted) (See Note 2) (4a)	Reductions (Overrides)* (See Note 2) (4b)	EDP No.	Current Expense- Part II (Col 3 - Col 4) (5)	EDP No.
1000 - Certificated Salaries	62,420,457.81	301	0.00	303	62,420,457.81	305	1,360,256.83		307	61,060,200.98	
2000 - Classified Salaries	19,573,541.75	311	140,444.67	313	19,433,097.08	315	2,084,697.72		317	17,348,399.36	319
3000 - Employee Benefits	33,724,543.73	321	75,209.88	323	33,649,333.85	325	1,373,702.42		327	32.275,631,43	329
4000 - Books, Supplies Equip Replace. (6500)	6,555,686.54	331	0,00	333	6,555,686.54	335	1,161,030.11		337	5,394,656,43	
5000 - Services & 7300 - Indirect Costs	19,960,115.19	341	0.00	343	19,960,115.19	345	3,762,481.15		347	16,197,634.04	
			To	DTAL	142,018,690.47	365		1	TOTAL	132,276,522.24	1000

- Note 1 In Column 2, report expenditures for the following programs: Nonagency (Goals 7100-7199), Community Services (Goal 8100), Food Services (Function 3700), Fringe Benefits for Retired Persons (Objects 3701-3702), and Facilities Acquisition & Construction (Function 8500).
- Note 2 In Column 4, report expenditures for: Transportation (Function 3600), Lottery Expenditures (Resource 1100), Special Education Students in Nonpublic Schools (Function 1180), and other federal or state categorical aid in which funds were granted for expenditures in a program not incurring any teacher salary expenditures or requiring disbursement of the funds without regard to the requirements of EC Section 41372.
- \* If an amount (even zero) is entered in any row of Column 4b or in Line 13b, the form uses only the values in Column 4b and Line 13b rather than the values in Column 4a and Line 13a.

PART II: MINIMUM CLASSROOM COMPENSATION (Instruction, Functions 1000-1999)	Object		EDP No.
1. Teacher Salaries as Per EC 41011	1100	51,244,464.48	375
2. Salaries of Instructional Aides Per EC 41011	2100	2,586,215.04	380
3. STRS	3101 & 3102	11,033,222.30	382
4. PERS	3201 & 3202	613,293.78	383
5. OASDI - Regular, Medicare and Alternative	3301 & 3302	991,338.27	384
(Include Health, Dental, Vision, Pharmaceutical, and			
Annuity Plans)	3401 & 3402	7,656,820.80	385
7. Unemployment Insurance.	3501 & 3502	25,038.33	390
B. Workers' Compensation Insurance	3601 & 3602	1,686,024.53	392
9. OPEB, Active Employees (EC 41372)	3751 & 3752	0.00	1 1
10. Other Benefits (EC 22310)	3901 & 3902	24,898.35	393
11. SUBTOTAL Salaries and Benefits (Sum Lines 1 - 10).		75.861.315.88	395
2. Less: Teacher and Instructional Aide Salaries and			
Benefits deducted in Column 2		0.00	
3a. Less: Teacher and Instructional Aide Salaries and		21,27	
Benefits (other than Lottery) deducted in Column 4a (Extracted).		568,668.76	396
D. Less. reacher and instructional Aige Salaries and		200,000,10	
Benefits (other than Lottery) deducted in Column 4b (Overrides)*			396
4. TOTAL SALARIES AND BENEFITS.		75,292,647.12	397
5. Percent of Current Cost of Education Expended for Classroom			
Compensation (EDP 397 divided by EDP 369) Line 15 must			
equal or exceed 60% for elementary, 55% for unified and 50%			
for high school districts to avoid penalty under provisions of EC 41372.		56.92%	- 1
District is exempt from EC 41372 because it meets the provisions	446644444444444444444444444444444444444	00.0230	
of EC 41374. (If exempt, enter 'X')			

Minimum percentage required (60% elementary, 55% unified, 50% high)  Percentage spent by this district (Part II, Line 15)	y this district (Part II, Line 15)	leficiency amount (Line 5) is only applicable to districts not meeting the minimum classroom compensation percentage required under EC 41372 and not visions of EC 41374.	exempt under the
Percentage spent by this district (Part II, Line 15)	y this district (Part II, Line 15)	Minimum percentage required (60% elementary, 55% unified, 50% high)	60.00%
	ne minimum (Part III, Line 1 minus Line 2)	Percentage spent by this district (Part II, Line 15)	
Percentage below the minimum (Part III, Line 1 minus Line 2)	3.00%	Percentage below the minimum (Part III, Line 1 minus Line 2)	
District's Current Expense of Education after reductions in columns 4a or 4b (Part I, EDP 369).		Deficiency Amount (Part III, Line 3 times Line 4)	4,074,116.88

ART IV: Explanation for adjustmen	its entered in Part I, Column 4b (required)	
	y committee ( adamon)	

### FORM MYP MULTIYEAR PROJECTIONS

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		Jillestilcteu				
Description	Object Codes	Projected Year Totals (Form 011) (A)	% Change (Cols. C-A/A) (B)	2018-19 Projection (C)	% Change (Cols. E-C/C) (D)	2019-20 Projection (E)
(Enter projections for subsequent years 1 and 2 in Columns C and	E.					
current year - Column A - is extracted)	-					
A. REVENUES AND OTHER FINANCING SOURCES						
1 LCFF/Revenue Limit Sources	8010-8099	104,394,727,00	0,69%	105.115.170.00	-0.98%	104,080,079.00
2. Federal Revenues	8100-8299	0.00	0.00%		0.00%	0.00
3. Other State Revenues	8300-8599	3,349,600.00	112,45%	7,116,203.00	-75.13%	1,769,547,00
4. Other Local Revenues	8600-8799	6,052,709.00	2.58%	6,208,755.00	2,16%	6,342,670.00
5 Other Financing Sources	11000 0020	0.00	0.009/		0.00%	0.00
a Transfers In	8900-8929 8930-8979	0.00	0,00%		0.00%	0.00
b Other Sources c. Contributions	8980-8999	(21,810,698.66)	6.01%	(23,121,519.00)	2.74%	(23,754,674,00
6. Total (Sum lines A1 thru A5c)	0,00 0,75	91,986,337,34	3,62%	95,318,609.00	-7.22%	88,437,622,00
		71,700,001121	200000	- AFAULMAN -		
B, EXPENDITURES AND OTHER FINANCING USES			1			
Certificated Salaries	1	1				48 140 184 20
a. Base Salaries				48,866,162,30	+	45,149,154.30
b. Step & Column Adjustment				732,992.00	-	677,283,00
c Cost-of-Living Adjustment						
d. Other Adjustments				(4,450,000 00)		(3,560,000.00
c. Total Certificated Salaries (Sum lines B1a thru B1d)	1000-1999	48,866,162.30	-7.61%	45,149,154.30	-6.38%	42,266,437,30
2. Classified Salaries						
n. Base Salaries				15,612,816,81		15,245,309,08
			1	312,492.27		304,906,00
b. Step & Column Adjustment	1		i i	512,472.21		201,740.00
c Cost-of-Living Adjustment	1		-	((00,000,00)	1	
d. Other Adjustments				(680,000.00)		15 550 515 00
<ul> <li>Total Classified Salaries (Sum lines B2a thru B2d)</li> </ul>	2000-2999	15,612,816,81	-2.35%	15,245,309,08	2.00%	15,550,215,08
3. Employee Benefits	3000-3999	22,718.578.08	-2,19%	22,221,913.00	0.86%	22,414,126.00
4. Books and Supplies	4000-4999	4,784,799.00	-21.42%	3,760,015,00	3.02%	3,873,567,00
5. Services and Other Operating Expenditures	5000-5999	12,523,875.08	+12,79%	10,922,163.00	1,81%	11,119,527.00
6. Capital Outlay	6000-6999	503,439,83	0.00%	503,440.00	0.00%	503,440.00
7. Other Ontgo (excluding Transfers of Indirect Costs)	7100-7299, 7400-7499	22,000.00	0.00%	22,000,00	0.00%	22,000.00
Other Outgo - Transfers of Indirect Costs	7300-7399	(2,839,647,33)	-7,38%	(2,630,112,00)	2.13%	(2,686,033.00
9. Other Financing Uses	1500 1577	(alobato tribe)				
a Transfers Out	7600-7629	0.00	0.00%	0,00	0,00%	0.00
b. Other Uses	7630-7699	0.00	0.00%	0.00	0.00%	0.00
10 Other Adjustments (Explain in Section F below)						
11. Total (Sum lines B1 thru B10)		102,192,023.77	-6.85%	95,193,882,38	-2.24%	93,063,279.38
C. NET INCREASE (DECREASE) IN FUND BALANCE						
(Line A6 minus line B11)		(10,205,686.43)		124,726.62		(4,625,657.38
D. FUND BALANCE						
1. Net Beginning Fund Balance (Form 011, line F1e)		21,834,894.11		11,629,207.68		11,753,934,30
		11,629,207,68	1	11,753,934.30		7,128,276.92
2. Ending Fund Balance (Sum lines C and D1)		11,027,207,00		11,750,751,50	1	7,720,270172
3 Components of Ending Fund Balance (Form 011)			i	20.000.00	1	00 000 00
a. Nonspendable	9710-9719	20,000,00	1	20,000,00	1	20,000.00
b. Restricted	9740				-	
c. Committed						
L Stabilization Arrangements	9750	0.00		0,00		0.00
2. Other Commitments	9760	0.00		0.00		0.00
d. Assigned	9780	250,000.00		250,000,00		250,000.00
e Unassigned/Unappropriated						
L Reserve for Economic Uncertainties	9789	4,381,859.00		4,005,747.00		3,957,983.00
Unassigned/Unappropriated	9790	6,977.348.68		7,478,187.30		2,900,293.92
	,,,,,	0,711,370.00		.,,		-,,
f. Total Components of Ending Fund Balance		11 620 207 62	)	11,753,934.30		7,128,276,92
(Line D3f must agree with line D2)		11,629,207.68		11,73,3,4,50		1,120,270,72

### Alum Rock Union Elementary Santa Clara County

### 2017-18 End of Year Projection General Fund Multiyear Projections Unrestricted

43 69369 0000000 Form MYPI

Description	Object Codes	Projected Year Totals (Form 011) (A)	% Change (Cols, C-A/A) (B)	2018-19 Projection (C)	% Change (Cols. E-C/C) (D)	2019-20 Projection (E)
E. AVAILABLE RESERVES						
1. General Fund						
a. Stabilization Arrangements	9750	0.00		0.00		0.00
b. Reserve for Economic Uncertainties	9789	4,381,859.00		4_005,747.00		3,957,983.00
c. Unassigned/Unappropriated	9790	6,977,348,68		7,478,187.30		2,900,293.92
(Enter other reserve projections in Columns C and E for subsequent years 1 and 2; current year - Column A - is extracted)						
2. Special Reserve Fund - Noncapital Outlay (Fund 17)		l i				
a. Stabilization Arrangements	9750	0.00		0.00		0.00
b. Reserve for Economic Uncertainties	9789	0.00		0.00		0.00
c. Unassigned/Unappropriated	9790	0.00		0.00		0.00
3. Total Available Reserves (Sum lines E1a thru E2c)		11,359,207.68		11,483,934.30		6,858,276,92

F. ASSUMPTIONS
Please provide below or on a separate attachment, the assumptions used to determine the projections for the first and second subsequent fiscal years. Further, please include an explanation for any significant expenditure adjustments projected in lines B1d, B2d, and B10. For additional information, please refer to the Budget Assumptions section of the SACS Financial Reporting Software User Guide.

18-19 Step and column increase of 1.5% for certificated and 2% for classified. In addition there is a reduction of 50 teachers at \$89k per teacher and reduction in classified staff. There is also a redeution of classified sub and extra duty of \$280k.

19-20 Step and column increase of 1.5% for certificated and 2% for classified. In addition there is a reduciton of 40 reachers at an average of \$89k for each leacher.

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Description	Object Codes	Projected Year Totals (Form 011) (A)	% Change (Cols. C-A/A) (B)	2018-19 Projection (C)	% Change (Cols, E-C/C) (D)	2019-20 Projection (E)
(Enter projections for subsequent years 1 and 2 in Columns C and E;						
current year - Column A - is extracted)	- 1			1 1		
A. REVENUES AND OTHER FINANCING SOURCES						
1. LCFF/Revenue Limit Sources	8010-8099	0.00	0.00%	0.00	0.00%	0.00
2. Federal Revenues	8100-8299 8300-8599	7,035,848.39 8,736,876.83	-11.76% -0,80%	6,208,535.00 8,667,343.00	-1.28% -0.09%	6,129,075.0 8,659,737,0
Other State Revenues     Other Local Revenues	8600-8799	2,043,881.11	-83.82%	330,775.00	-1.45%	325,991.0
5. Other Financing Sources						
a, Transfers In	8900-8929	0.00	0.00%	0.00	0.00%	0.0
b. Other Sources	8930-8979	0.00	0.00%	0.00	0.00%	0.0
c. Contributions	8980-8999	21,810,698.66	6.01%	23,121,519.00	2.74%	23,754,674.0
6. Total (Sum lines A1 thru A5c)		39,627,304.99	-3,28%	38,328,172,00	1.41%	38,869,477.0
B. EXPENDITURES AND OTHER FINANCING USES			1			
1. Certificated Salaries		i				
a. Base Salaries				13,130,613,18	-	12,242,033,1
b. Step & Column Adjustment	1			196,145,35		183,630,5
c. Cost-of-Living Adjustment						
d. Other Adjustments				(1,084,725.43)		16,271.0
e. Total Certificated Salaries (Sum lines B1a thru B1d)	1000-1999	13,130,613.18	-6.77%	12,242,033.10	1,63%	12,441,934.6
2. Classified Salaries						
a. Base Salaries				4,834,938,57		4,706,822.8
b. Step & Column Adjustment				96,884,25		94,136,4
c. Cost-of-Living Adjustment				0.00		0.0
d. Other Adjustments	1			(225,000,00)		(50,870.0
e. Total Classified Salaries (Sum lines B2a thru B2d)	2000-2999	4,834,938.57	-2,65%	4,706,822.82	0.92%	4,750,089.2
3. Employee Benefits	3000-3999	10,675,927.51	-1.13%	10,554,885.00	3,94%	10,970,600.0
4. Books and Supplies	4000-4999	4,043,018.90	-39,32%	2,453,460,08	-3.80%	2,360,211.1
5 Services and Other Operating Expenditures	5000-5999	7,181,009.09	-36,08%	4,590,303.32	-1.59%	4,517,304.0
6 Capital Outlay	6000-6999	0.00	0.00%	15,000,00	0.00%	15,000,0
7. Other Outgo (excluding Transfers of Indirect Costs)	7100-7299, 7400-7499	1,581,379,00	0.00%	1,581,379,00	0.00%	1,581,379.0
8. Other Outgo - Transfers of Indirect Costs	7300-7399	2,423,063,33	-9.74%	2,187,139,00	2.09%	2,232,959.0
9. Other Financing Uses						
a, Transfers Out	7600-7629	0.00	0.00%	0,00	0.00%	0.0
b. Other Uses	7630-7699	0.00	0.00%	0.00	0,00%	0.0
10. Other Adjustments (Explain in Section F below)	2					
11. Total (Sum lines B1 thru B10)		43,869,949.58	-12.63%	38,331,022.32	1.40%	38,869,477.0
C. NET INCREASE (DECREASE) IN FUND BALANCE						
(Line A6 minus line B11)		(4,242,644.59)		(2,850.32)		0.0
D. FUND BALANCE					1	
1. Net Beginning Fund Balance (Form 01I, line F1e)		4,245,494.91	-	2,850.32	-	0.0
2. Ending Fund Balance (Sum lines C and D1)	-	2,850.32	+	0.00	+	0,0
3. Components of Ending Fund Balance (Form 011)	9710-9719	0.00				
a. Nonspendable	9740	2,850,71				
b, Restricted c, Committed	9740	2,000,71			-	
1 Stabilization Arrangements	9750					
2. Other Commitments	9760					
	9780					
d Assigned	7/60					
e. Unassigned/Unappropriated	9789					
1. Reserve for Economic Uncertainties	9789	(0.39)	-	0.00		0.0
2. Unassigned/Unappropriated	9790	(0,39)	1	0.00	1	0,0
f. Total Components of Ending Fund Balance (Line D3f must agree with line D2)		2,850.32		0.00		0,0

### Alum Rock Union Elementary Santa Clara County

### 2017-18 End of Year Projection General Fund Multiyear Projections Restricted

43 69369 0000000 Form MYPI

Description	Object Codes	Projected Year Totals (Form 011) (A)	% Change (Cols, C-A/A) (B)	2018-19 Projection (C)	% Change (Cols, E-C/C) (D)	2019-20 Projection (E)
E. AVAILABLE RESERVES						
1. General Fund						
a. Stabilization Arrangements	9750					
b. Reserve for Economic Uncertainties	9789					
c. Unassigned/Unappropriated Amount	9790					
Enter current year reserve projections in Column A, and other reserve projections in Columns C and E for subsequent years 1 and 2)						
2. Special Reserve Fund - Noncapital Outlay (Fund 17)						
a. Stabilization Arrangements	9750					
b. Reserve for Economic Uncertainties	9789					
c. Unassigned/Unappropriated	9790					
3. Total Available Reserves (Sum lines E1a thru E2c)						

F. ASSUMPTIONS

Please provide below or on a separate attachment, the assumptions used to determine the projections for the first and second subsequent fiscal years. Further, please include an explanation for any significant expenditure adjustments projected in lines BId, B2d, and BIO. For additional information, please refer to the Budget Assumptions section of the SACS Financial Reporting Software User Guide.

18-19 Step and column increase of 1.5% for certificated and 2% for classified. Reduction of \$1,084,725.43 due to categorical programs that are going away and carryover from Special Ed. 19-20 Step and column increase of 1.5% for certificated and 2% for classified. Reduction of \$200,000 due to reduction in substitute time and overtime in Fund 05 and \$25,000 reduction in Special Ed additional time.

Description	Object Codes	Projected Year 'Totals (Fonn 011) (A)	% Change (Cols, C-A/A) (B)	2018-19 Projection (C)	% Change (Cols. E-C/C) (D)	2019-20 Projection (E)
(Enter projections for subsequent years 1 and 2 in Columns C and E;						
current year - Column A - is extracted)						
A, REVENUES AND OTHER FINANCING SOURCES					0.0004	1/1/ 000 070 00
1. LCFF/Revenue Limit Sources	8010-8099	104,394,727.00	0,69%	105,115,170.00	-0.98%	104,080,079.00
2. Federal Revenues	8100-8299	7,035,848.39	-11.76%	6,208,535.00	-1,28% -33,92%	6,129,075.00
3. Other State Revenues	8300-8599	12,086,476,83 8,096,590,11	30.59% -19.23%	15,783,546,00 6,539,530.00	1.97%	6,668,661.00
4. Other Local Revenues	8600-8799	6,090,390,11	=17.2.70	0,557,550.00	1,5770	0,000,007100
5. Other Financing Sources a. Transfers In	8900-8929	0.00	0.00%	0.00	0.00%	0.00
b. Other Sources	8930-8979	0.00	0,00%	0.00	0.00%	0.00
c. Contributions	8980-8999	0.00	0.00%	0,00	0.00%	0.00
6. Total (Sum lines A1 thru A5c)		131,613,642.33	1.54%	133,646,781.00	-4.74%	127,307,099.00
B. EXPENDITURES AND OTHER FINANCING USES						
Certificated Salaries						
a. Base Salaries	1			61,996,775.48		57,391,187,40
b. Step & Column Adjustment			1	929.137.35	1	860,913.50
c. Cost-of-Living Adjustment				0.00		0,00
	1		-	(5,534,725.43)		(3,543,729.00
d. Other Adjustments	1000-1999	61,996,775,48	-7.43%	57,391,187,40	-4.67%	54,708,371.90
e. Total Certificated Salaries (Sum lines Bla thru Bld)	1000-1999	01,770,775,40	-7.4370	37,331,107,10	11077	
2. Classified Salaries	1			20,447,755,38		19,952,131,90
a. Base Salaries	1		1	409.376.52	1	399,042.46
b. Step & Column Adjustment	1		-	0.00	1	0,00
c. Cost-of-Living Adjustment			-	(905,000,00)		(50,870.00
d Other Adjustments	2000 2000	00 447 756 78	2 4211/	19,952,131.90	1.75%	20,300,304,36
e. Total Classified Salaries (Sum lines B2a thru B2d)	2000-2999	20,447,755.38	-2.42% -1.85%		1.85%	33,384,726.00
3. Employee Benefits	3000-3999	33,394,505,59		32,776,798.00	0.33%	6,233,778.12
4. Books and Supplies	4000-4999	8,827,817.90	-29.61%	6,213,475,08		
5. Services and Other Operating Expenditures	5000-5999	19,704,884,17	-21.28%	15,512,466.32	0.80%	15,636,831.00
6 Capital Outlay	6000-6999	503,439,83	2.98%	518,440.00	0.00%	518,440.00
7. Other Outgo (excluding Transfers of Indirect Costs)	7100-7299, 7400-7499	1,603,379.00	0.00%	1.603,379.00	0,00%	1,603,379.00
8. Other Outgo - Transfers of Indirect Costs	7300-7399	(416,584,00)	6.33%	(442,973,00)	2,28%	(453,074.00
9. Other Financing Uses	7600 7620	0.00	0,00%	0,00	0.00%	0.00
a, Transfers Out	7600-7629		0.00%	0,00	0,00%	0.00
b. Other Uses	7630-7699	0.00	0.0076	0.00	(7,0076	0,00
10. Other Adjustments		146,061,973.35	-8,58%	133,524,904.70	-1,19%	131,932,756.38
11. Total (Sum lines B1 thru B10)		140,001,973.33	-0, 70.70	133,324,904,10	-1,1270	151,752,750,50
C. NET INCREASE (DECREASE) IN FUND BALANCE		(14,448,331.02)		121,876.30		(4,625,657,38
(Line A6 minus line B11)		(14,448,331,02)		121,070.50		Chambre
D. FUND BALANCE		26,080,389,02		11,632,058,00		11,753,934.30
1. Net Beginning Fund Balance (Form 011, line F1c)		11.632.058.00	1	11,753,934.30		7,128,276.92
Ending Fund Balance (Sum lines C and D1)     Components of Ending Fund Balance (Form 011)		11.052,050.00		11111222201100		
a. Nonspendable	9710-9719	20,000,00		20,000.00		20,000.00
1	9740	2,850.71		0.00		0,00
b. Restricted	2140	2,000771				
c, Committed	9750	0.00		0,00		0.00
1. Stabilization Arrangements	9760	0.00		0.00		0.00
2. Other Commitments	9760	250,000.00		250,000.00		250,000.00
d. Assigned	9780	230,000,00	1	250,000.00		250,000.00
e_Unassigned/Unappropriated	0=00	4 201 050 00		4 005 747 00		3,957,983,00
Reserve for Economic Uncertainties	9789	4,381,859.00		4,005,747.00		2,900,293.9
2, Unassigned/Unappropriated	9790	6,977,348.29	-	7.478,187.30	1	2,700,29.1.92
f. Total Components of Ending Fund Balance				11,753,934.30		7,128,276.93

	Unre	stricted/Restricted				
Description	Object Codes	Projected Year Totals (Form 011) (A)	% Change (Cols. C-A/A) (B)	2018-19 Projection (C)	% Change (Cols E-C/C) (D)	2019-20 Projection (E)
E AVAILABLE RESERVES (Unrestricted except as noted)	Conce	38/	(B)		191	(E)
I. General Fund						
a Stabilization Arrangements	9750	0.00		0.00		0.0
b. Reserve for Economic Uncertainties	9789	4.381.859,00	1	4,005,747,00		3.957.983 (
c Unassigned/Unappropriated	9790	6,977,348.68		7,478,187,30		2,900,293 9
d Negative Restricted Ending Balances						
(Negative resources 2000-9999)	9797.	(0.39)		0.00		0.0
2. Special Reserve Fund - Noncapital Outlay (Fund 17)						
a. Stabilization Arrangements	9750	0.00		0.00		0.0
b. Reserve for Economic Uncertainties	9789	0.00		0 00		0.0
c. Unassigned/Unappropriated	9790	0.00		0.00		0.0
3. Total Available Reserves - by Amount (Sum lines E1 thru E2c)		11,359,207.29	1	11,483,934.30		6,858,276.9
4. Total Available Reserves - by Percent (Line E3 divided by Line F3c)		7.78%		8.60%		5.20
F RECOMMENDED RESERVES						
1. Special Education Pass-through Exclusions						
For districts that serve as the administrative unit (AU) of a						
special education local plan area (SELPA):						
a. Do you choose to exclude from the reserve calculation						
the pass-through funds distributed to SELPA members?	Yes					
b. If you are the SELPA AU and are excluding special						
education pass-through funds:  1. Enter the name(s) of the SELPA(s):						
2. Special education pass-through finds						
(Column A: Fund 10, resources 3300-3499 and 6500-6540,			1		- 1	
objects 7211-7213 and 7221-7223; enter projections for subsequent years I and 2 in Columns C and E)		0.00				
2. District ADA			1		1	
Used to determine the reserve standard percentage level on line F3d		1				
(Co). A: Form AI, Estimated P-2 ADA column, Lines A4 and C4; enter	projections)	9,717.71		9,448,81		9,185.5
Calculating the Reserves     a. Expenditures and Other Financing Uses (Line B11)		146,061,973 35		133,524,904 70		131,932,756,3
b. Plus: Special Education Pass-through Funds (Line F1b2, if Line F1a is	s No)	0.00		0.00	i	0.0
c. Total Expenditures and Other Financing Uses (Line F3a plus line F3b)		146,061,973,35		133,524,904.70		131,932,756 3
d. Reserve Standard Percentage Level		1			1	
(Refer to Form OICSI, Criterion 10 for calculation details)		3%		3%		3'
e. Reserve Standard - By Percent (Line F3c times F3d)		4,381,859.20		4,005,747,14	1	3,957,982 6
f. Reserve Standard - By Amount		4,301,037.20	+	4,005,747,14		3,737,762 0
(Refer to Form 01CSI, Criterion 10 for calculation details)		0.00				
Control of the Contro		0.00	+	0.00		0.0
g. Reserve Standard (Greater of Line F3e or F3f)		4,381,859,20	-	4.005.747.14		3,957,982 6
h. Available Reserves (Line E3) Meet Reserve Standard (Line F3g)		YES		YES		YES



## Current Expense of Education

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- The calculation of the Current Expense of Education Actuals (CEA) is a legal requirement and used to determine the percentage of expenditures for the direct classroom cost of teaching students
- Included in the calculation are the salaries and benefits of teachers and paraprofessionals measured against the total General Fund expenditures of the district
- Certain categorical programs and types of costs are excluded from the calculation
- Salaries and benefits within the General Fund and Charter School Fund (09) are used
- Required percentages have not been amended per the LCFF and are:

Unified	55%
High	20%
Elementary	%09



### Current Expense of Education

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Elementary districts are those with the highest threshold and are also the largest percentage of districts who failed to meet the requirement

Did Not Meet CEA % by Type of District

