

# **ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT**

## **BOARD OF TRUSTEES**



**Regular Board Meeting  
September 13, 2018**

# AMENDMENT 2



## **Thursday, September 13, 2018 AMENDMENT 2 - REGULAR BOARD MEETING AGENDA**

**Type: AMENDMENT 2 - REGULAR BOARD MEETING AGENDA**

**Time: 5:30 p.m.**

**Code: Regular #03-18/19**

**Location: ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT, 2930 Gay Avenue, San Jose, CA 95127; Board Room.**

### **1. OPEN SESSION - CALL TO ORDER AND ROLL CALL**

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1.01 CALL TO ORDER / ROLL CALL -- BOARD VICE-PRESIDENT KAREN MARTINEZ.

1.02 ANNOUNCEMENT AND PUBLIC COMMENTS REGARDING ITEMS TO BE DISCUSSED IN CLOSED SESSION (Government Code Section 54957.7).

1.03 ADJOURNMENT TO CLOSED SESSION: The Board will adjourn to Closed Session at approximately 5:35 p.m. Open Session will resume approximately 60 minutes after the start of Closed Session.

### **2. CLOSED SESSION**

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2.01 PUBLIC EMPLOYEE APPOINTMENT/EMPLOYMENT (Government Code Section 54957); Titles: (1) Principal; (2) Assistant Principal(s); and (3) Coordinator of School Mental Wellness Support Services, Student Services. DISCUSSION/ACTION.

2.02 PUBLIC EMPLOYEE DISCIPLINE/DISMISSAL/RELEASE (Government Code Section 54957). Title: Para-Educator, Special Education. DISCUSSION/ACTION.

### **3. RECONVENE TO OPEN SESSION - DISTRICT OFFICE BOARD ROOM**

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3.01 CALL TO ORDER / ROLL CALL / PLEDGE OF ALLEGIANCE BY BOARD VICE-PRESIDENT KAREN MARTINEZ.

3.02 REPORT OF ACTION TAKEN IN CLOSED SESSION.

3.03 DISCUSSION AND/OR MODIFICATION(S) OF THE AGENDA. The Board may change the order of business including, but not limited to, an announcement that an agenda item will be considered out of order, that consideration of an item has been withdrawn, postponed, rescheduled or removed from the Consent Calendar for separate discussion and possible action.

### **4. PUBLIC HEARING**

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4.01 PUBLIC HEARING: Public Hearing Regarding Sufficiency of Instructional Materials.

### **5. SPECIAL RECOGNITION / PRESENTATION**

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5.01 SPECIAL RECOGNITION: Recognition and Acknowledgment of a Student Overcoming Adversity.

5.02 SPECIAL PRESENTATION: Extended Year Presentation.

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## **6. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD**

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6.01 "REQUESTS TO ADDRESS THE BOARD" provides members of the public an opportunity to speak to the Board about any matter under the jurisdiction of the Board and not otherwise on the agenda. Those who wish to address specific agenda items will have an opportunity to do so when that agenda item is introduced and presented during this meeting. Individuals wishing to speak are requested to proceed to the podium. There is a three-minute time limit for each speaker. The Board will automatically refer to staff any formal written requests that are brought before them at this time. Written matters may be placed on a future meeting.

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## **7. COMMENTS AND COMMUNICATION**

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7.01 Teamsters.

7.02 California School Employee's Association (CSEA).

7.03 Alum Rock Administrator's Association (ARAA).

7.04 Alum Rock Educator's Association (AREA).

7.05 Superintendent.

7.06 Board of Trustees/Communications/Comments.

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## **8. BOND / FACILITIES**

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8.01 INFORMATION: The Board will receive a written update on the status of Bond Projects.

8.02 INFORMATION/DISCUSSION: The Board will receive a written update on the Citizen's Bond Oversight Committee (CBOC).

8.03 INFORMATION: Restatement of Notice of Delay of Sale of Bond.

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## **9. CONTRACTS OVER \$100,000**

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9.01 ACTION: Approve Latino Film Institute Youth Cinema Project, Instructional Services, \$215,640 Per Year for 2 Years.

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## **10. SUPERINTENDENT/BOARD BUSINESS**

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10.01 DISCUSSION/ACTION: CSBA Manual Maintenance Plus Service for the period from July 1, 2018 through June 30, 2019. The amount is \$3,765. Payment must be received by Friday, September 28, 2018.

10.02 DISCUSSION/ACTION: CSBA Gamut Online Service for the period from July 1, 2018 through June 30, 2019. The amount is \$3,405. Payment must be received by Friday, September 28, 2018.

10.03 INFORMATION/DISCUSSION/ACTION: Develop a Policy that Protects Items/Places of Historical, Artistic, or Cultural Significance to the Alum Rock Community.

10.04 INFORMATION/DISCUSSION/ACTION: Resolution No. 09-18/19, LGBTQ+. A resolution to support the rights, freedoms and equality of those who are Lesbian, Gay, Bisexual, Transgender, and Queer (LGBTQ+).

10.05 DISCUSSION/ACTION: The Board will direct appropriate staff/counsel to respond to the Grand Jury Report. The District is legally required to respond no later than September 19, 2018.

10.06 CONSIDERATION/DISCUSSION/ACTION: Censure Board Member Trustee Khanh Tran.

10.07 INFORMATION/DISCUSSION/ACTION: The Mural de La Raza. The Board will direct administration to research the feasibility of recreating The Mural de La Raza at an appropriate ARUSD site. The Mural de La Raza, which until recently existed on the western exterior wall of 2048 Story Road, San Jose, CA 95122, was painted over. Administration, as part of their research, will reach out to Jose Meza, artist, who painted the mural and will provide a report and recommendation to the Board at the October 11, 2018 Board Meeting.

## **11. INSTRUCTIONAL SERVICES**

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11.01 INFORMATION: Local Control Accountability Plan (LCAP) Timeline.

11.02 ACTION: Aptitud Community Academy at Goss - Charter Renewal

## **12. BUSINESS**

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12.01 INFORMATION/DISCUSSION: FISCAL EXPERT UPDATE. Dr. Donald Zimring, Fiscal Expert and Dr. William Gillaspie, Fiscal Advisor, in conjunction with Assistant Superintendent Kolvira Chheng, will provide a Fiscal Expert update to the Board.

12.02 INFORMATION/DISCUSSION: FCMAT UPDATE.

12.03 INFORMATION/ACTION: Unaudited Actual Financial Reports for 2017 – 2018 Report to the Board on Unaudited Actuals as Required by Law.

## **13. HUMAN RESOURCES**

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13.01 INFORMATION Regarding Resignations.

## **14. CONSENT CALENDAR**

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14.01 ACTION: Approval of Minutes for the following meeting: August 9, 2018, Regular Board Meeting.

14.02 ACTION: Approve Contracts for Professional Services - Firms.

14.03 ACTION: Approve Memorandum(s) of Understanding(s).

14.04 ACTION: Acceptance of Donations.

14.05 ACTION: Approval of Fundraising Activities.

14.06 ACTION: Acceptance of Vendor & Payroll Warrants

14.07 ACTION: Approve the Santa Clara County Treasury Investment Portfolio Status.

14.08 ACTION: Approve/Ratify Notices of Employment and Changes of Status/September 13, 2018/Human Resources Department.

14.09 ACTION: Approve Out of State Travel, Harvard Law School: Negotiation & Leadership Program, Cambridge, MA, October 14-18, 2018 for Hilaria Bauer, Vince Iwasaki, Tereasa Smith, Kolvira Chheng, Carlos Moran & Avtar Gill. Estimated cost \$32,682.

14.10 ACTION: Approve Out of State Travel, Harvard Law School: Negotiation and Leadership Program, Cambridge, MA, October 14-18, 2018 for Sandra Rivera, Ryan Jaegar, Alfonso Urango, Melody Todd & LeAnne Depew. Estimated cost \$27,175.

14.11 ACTION: Approve Out of State Travel, NEU National Conference, Las Vegas, NV, October 17-20, 2018 for Tracy Leathers, Alfredo Acosta, Shawn Lewis and Michael Weiler. Estimated cost \$6657.87.

14.12 ACTION: Approve Out of State Travel, VILs Coach Camp, Atlanta, GA, October 22-25, 2018 for Katherine Grunewald. No cost.

14.13 ACTION: Approve Education Protection Account (EPA) Spending Plan for 2018-19.

14.14 ACTION: Resolution No. 04-18/19 - Creating a College Culture

14.15 ACTION: Resolution No. 05-18/19-Hispanic Heritage Month; September 15 - October 15, 2018

14.16 ACTION: Resolution No. 06-18/19; Character Education Month - October, 2018

14.17 ACTION: Resolution No. 07-18/19; Week of the School Administrator - October 9-15, 2018.

14.18 ACTION: Approve Resolution No. 08-18/19 GANN Limit Calculations.

14.19 ACTION: School-sponsored Field Trip List.

14.20 ACTION: Application for Exemption from the Required Expenditures for Classroom Teachers' Salaries.

## **15. FUTURE BOARD AGENDA REQUESTS**

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15.01 Requests from Board of Trustees and/or from the Public.

## **16. ADJOURNMENT**

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16.01 President adjourns the meeting.

4.01

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:


Date: August 21, 2018

**Subject:** **Public Hearing Regarding Sufficiency of Instructional Materials**

**Staff Analysis:** Education Code Section 60119 requires an annual Public Hearing and a resolution adopted in order to obtain assurance from the Governing Board that there are sufficient textbooks and instructional materials.

**\*PUBLIC HEARING\***

Submitted by: Sandra Garcia  Title: Director of State and Federal Programs

Approved by: Rene Sanchez  Title: Assistant Superintendent of Instructional Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**\*PUBLIC HEARING\***

4.01  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

S.O.I

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 6, 2018

**Subject: RECOGNITION AND ACKNOWLEDGMENT OF A  
STUDENT OVERCOMING ADVERSITY**

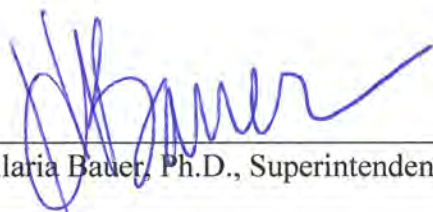
**SPECIAL RECOGNITION**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: September 13, 2018  
Regular Board Meeting

**SPECIAL RECOGNITION**

S.O.I  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

S.OZ

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 22, 2018

**Subject:** Extended Year Presentation

**Staff Analysis:** Extended year presentation to review ARUSD summer programming and highlight the summer's offerings, and enrollment figures.

**Presentation/Information Only**

Submitted by: Jason Sorich

JS  
RS

Title: EdTech Administrator, Academic Services

Approved by: Rene Sanchez

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Presentation/Information Only**

S.OZ

Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 6, 2018

**Subject: UPDATE ON BOND PROJECTS**

The Board will receive a written update on the status of Bond Projects.

**INFORMATION**

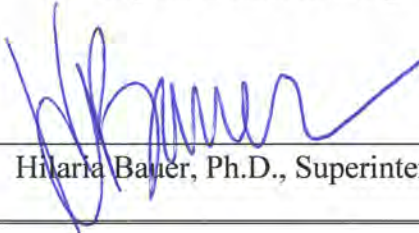
Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Information/Update**

8.01  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 30, 2018

**Subject: Citizen's Bond Oversight Committee (CBOC)**

*The Board will receive a written update from the Citizen's Bond Oversight Committee.*

\*\*\*INFORMATION/DISCUSSION\*\*\*

Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

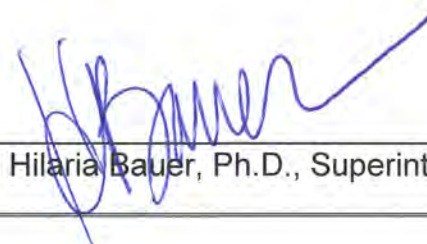
To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**INFORMATION/DISCUSSION**

8.02

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:


Date: September 4, 2018

**Subject: Restatement of Notice of Delay of Sale of Bond Pending**

**Background:** At a regular board meeting held on August 9, 2018, the Board of Trustees approved Resolution No. 02-18/19, authorizing the issuance of Alum Rock Union Elementary School District (Santa Clara County, California) election of 2016 general obligation bonds, series A in the amount of \$35 million.

On August 24, 2018, the Santa Clara County Office of Education (SCCOE) issued the attached letter titled, Restatement of Notice of Delay of Sale of Bond Pending Resolution of Internal Control Deficiencies and Opinion from the Office of the Attorney General Affirming Stay and Rescind Authority of County Office of Education to Delay the Sale of Bonds.

**\*\*INFORMATION\*\***

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

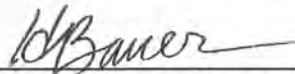
To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**INFORMATION**

8.03

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



Santa Clara County Office of Education

Mary Ann Dewan, Ph.D.  
County Superintendent of Schools

**August 24, 2018**

*Via Email and U.S. Mail*

Mr. Esau Herrera, Board President  
Alum Rock Union Elementary School District  
2930 Gay Avenue  
San Jose, CA 95127

**RE: Restatement of Notice of Delay of Sale of Bond Pending Resolution of Internal Control Deficiencies and Opinion from the Office of the Attorney General Affirming Stay and Rescind Authority of County Office of Education to Delay the Sale of Bonds**

Dear Mr. Herrera:

Please accept this letter as a restatement of the notice that the emergency stay and rescind authority of the Santa Clara County Superintendent of Schools which was exercised to delay the sale of \$35 million in general obligation bonds approved by the Alum Rock Union Elementary School District ("District") Governing Board pursuant to Resolution No. 02-18/19 on March 8, 2018, remains in effect at this time. Specifically, the stay and rescind authority of the County Superintendent remains in effect to delay the Governing Board's recent vote on August 9, 2018 to re-approve the sale of \$35 million in bonds pursuant to Resolution No. 02-18/19.

By way of background, the extraordinary audit issued by the Fiscal Crisis Management and Assistance Team ("FCMAT") on June 9, 2017 found that the District is operating in an environment with considerable risk for fraud, misappropriation of funds, and misuse of the school districts' assets in the management and oversight of the school district's multimillion dollar bond program. Many of the FCMAT recommendations for improvement of the District's internal controls and business practices remain outstanding over a year after the FCMAT Audit was published, although the District Staff and Fiscal Expert Team have worked diligently to implement the FCMAT recommendations and bolster the District's business practices. It is important to note that the Governing Board's vote approving the sale of bonds was made against the advice of the District's Superintendent and District Staff.<sup>1</sup> Additionally, the Board's Bond Counsel has recently advised that the District could be exposed to increased vulnerabilities associated with the District's bond rating if the District were to pursue the sale of bonds at this time<sup>2</sup>. For

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<sup>1</sup> Agenda Item 9.09 of the District's Board Book includes a document entitled "Disclaimer" which states that Superintendent Hilaria Bauer and staff do not recommend approval of Resolution No. 02-18/19 at this time.

<sup>2</sup> Email correspondence dated August 6, 2018 from the District's Bond Counsel containing cautionary language regarding the proposed sale of general obligation bonds by the District and noting that the District



## Santa Clara County Office of Education

Mary Ann Dewan, Ph.D.  
County Superintendent of Schools

these reasons, it is prudent to delay the sale of bonds until the District has resolved its internal control deficiencies.

In keeping with the fiscal oversight duties set forth in AB 1200 and in accordance with California Education Code sections 1240, 42103 and 42122 to 42129, the County Superintendent and the Fiscal Expert Team assigned to the District will review the District's budget and evaluate factors related to the stay and rescind authority and approve, or disapprove the District's budget on or before September 15, 2018. As part of this process, the County Superintendent may review and consider the studies, reports, evaluations or audits that were commissioned by the District, the County Superintendent, Superintendent of Public Instruction, and state control agencies if these documents contain evidence of fiscal distress. (Ed.Code §§ 33127, 42127.)

This action is taken in performance of my fiscal oversight responsibilities set forth in the California Education Code as an intermediary between the state and the local school districts within Santa Clara County experiencing fiscal distress. It is my intent as County Superintendent to provide support, assist and advise the District and to take appropriate action based on the available facts and fiscal data in accordance with applicable law to support decisions that are in the best interest of the students, parents, and stakeholders concerned.

Sincerely,

Mary Ann Dewan, Ph.D.  
County Superintendent of Schools

cc: Members of the Board, Alum Rock Union Elementary School District  
Hilaria Bauer, Ph.D., Superintendent, Alum Rock Union Elementary School District  
Kolvira Chheng, Associate Superintendent, Business, Alum Rock Union Elementary School District  
Efrain Robles, Director of Fiscal Services, Alum Rock Union Elementary School District  
Megan K. Reilly, Chief Business Officer, Santa Clara County Office of Education  
Judy Lee Kershaw, Director-District Business and Advisory Services, Santa Clara County Office of Education  
William P. Gillaspie, ED.D., Fiscal Advisor  
Donald M. Zimring, Ph.D., Member – Fiscal Expert Team  
Tom Torlakson, California State Superintendent of Schools  
Mr. James R. Williams, Esq., County Counsel

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would need to re-initiate and successfully navigate the bond rating process, among other things, was read aloud during the regular meeting of the District's Governing Board on August 9, 2018.

County Board of Education: Joseph Di Salvo, Darcie Green, Rosemary Kamei, Kathleen M. King, Grace H. Mah, Claudia Rossi, Anna Song  
1290 Ridder Park Drive, San Jose, CA 95131-2304 (408) 453-6500 [www.sccoe.org](http://www.sccoe.org)

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Transforming Education through Leadership, Service, and Advocacy

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue  
San José, CA 95127  
Office of Superintendent of Schools

9.01

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

To the Board of Trustees:

Date: September 6, 2018

Subject: Latino Film Institute Youth Cinema Project


Staff Analysis: The goal of the Youth Cinema Project is to enhance a student's education and to turn students into more engaged learners. The Youth Cinema Project uses filmmaking as a vehicle to inspire students to write and tell their own stories, think creatively and critically in the classroom, and develop the discipline to make a film product out of their writing. The Youth Cinema Project enables students to learn classroom content, while enhancing their communication, collaboration and problem-solving skills

The Youth Cinema Project will be offered at one (1) elementary school at the District, specifically three (3) fourth-grade classes and two (2) middle school classes at Hubbard Elementary. The Youth Cinema Project will provide the curriculum for each class and course. Each class will be taught by a district-employed certificated teacher along with an Instructor to support and assist with the film-making process.

The estimated cost of this project is \$431,280.00

Cost per Year:	2018-2019	\$215,640.00
	2019-2020	\$215,640.00

Recommendation: Staff recommends the Board approve the contract with the Latino Film Institute Youth Cinema Project.

Submitted by: Rene Sanchez 

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: August 9, 2018

Recommended Approval

9.01

Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

2018 SEP -6 AM 10: 50

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: Academic Services (School/Dept.) VENDOR NO. 22241  
 PROGRAM MANAGER: Rene Sanchez

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Latino Film Institute Youth Cinema Project  
 Address: 18034 Ventura Blvd., Suite 288 City: Encino State: CA Zip: 91316  
 Phone: ( 626 ) 222-9252 Email Address: \_\_\_\_\_  
 SSN: \_\_\_\_\_ or Fed I.D. #: 47-5010246

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date July 1, 2018 end date June 30, 2020

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Instructional services in cinematic film making to be offered at five (5) classes for each of the 2018-2019 and 2019-2020 (two) school years. The Youth Cinema Project will provide the curriculum and lesson plans for each class and course.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

  X   b. **Other:** \$ 431,280.00 (describe rate agreement) \$215,640.00 per year for (2) years.

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	305	53100	5815	\$431,280.00	LCP 21st Cen	Goal 3.9

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

August 29, 2018

**Subject: 2018-19 CSBA MANUAL MAINTENANCE PLUS SERVICE,  
PERIOD FROM JULY 1, 2018 THRU JUNE 30, 2019**

CSBA Manual Maintenance Plus Service for the period from July 1, 2018  
thru June 30, 2019, in the amount of \$3,765.

Payment must be received by Friday, September 28, 2018.

**ACTION**

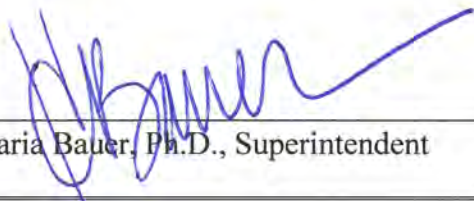
Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Recommend Approval**

10.01  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



Please refer to your invoice number and customer number in all communications regarding this invoice.

**Invoice Number**      **Invoice Date**      **PO #**  
INV-38931-D8P5Y9      5/11/2018

**Bill To:**

Alum Rock Union ESD  
2930 Gay Ave  
San Jose, CA 95127-2322  
United States

**Ship To:**

Alum Rock Union ESD  
2930 Gay Ave  
San Jose, CA 95127-2322  
United States

Product Code	Description	Unit Price	Quantity	Extended Price	Terms
MM+	Manual Maintenance Plus ( 07/01/2018 - 06/30/2019 )	\$3,765.00	1.00	\$3,765.00	
GOL	Gamut Online ( 07/01/2018 - 06/30/2019 )	\$3,405.00	1.00	\$3,405.00	Net 30

**Total Invoice:** \$7,170.00

**Total Paid:** \$0.00

**Balance Due:** \$7,170.00



PLEASE DETACH HERE AND RETURN BOTTOM STUB WITH PAYMENT



Customer Number	Invoice Number	Invoice Date	Terms	Balance Due
100797	INV-38931-D8P5Y9	05/11/2018		\$7,170.00

**Make checks payable to:**

California School Boards Association - CSB (6744)  
c/o West America Bank  
P.O. Box 1450  
Suisun City, CA 94585-4450

**Bill To:**

Alum Rock Union ESD  
2930 Gay Ave  
San Jose, CA 95127-2322  
United States

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

10.02

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

August 29, 2018

**Subject: 2018-19 CSBA GAMUT ONLINE  
PERIOD FROM JULY 1, 2018 THRU JUNE 30, 2019**

CSBA Gamut Online for the period from July 1, 2018 thru  
June 30, 2019, in the amount of \$3,405.

Payment must be received by Friday, September 28, 2018.

**ACTION**

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees: Meeting: September 13, 2018  
Regular Board Meeting

**Recommend Approval**

10.02  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



Please refer to your invoice number and customer number in all communications regarding this invoice.

**Invoice Number**      **Invoice Date**      **PO #**  
INV-38931-D8P5Y9      5/11/2018

**Bill To:**  
Alum Rock Union ESD  
2930 Gay Ave  
San Jose, CA 95127-2322  
United States

**Ship To:**  
Alum Rock Union ESD  
2930 Gay Ave  
San Jose, CA 95127-2322  
United States

Product Code	Description	Unit Price	Quantity	Extended Price	Terms
MM+	Manual Maintenance Plus ( 07/01/2018 - 06/30/2019 )	\$3,765.00	1.00	\$3,765.00	
GOL	Gamut Online ( 07/01/2018 - 06/30/2019 )	\$3,405.00	1.00	\$3,405.00	Net 30

**Total Invoice:** \$7,170.00

**Total Paid:** \$0.00

**Balance Due:** \$7,170.00



PLEASE DETACH HERE AND RETURN BOTTOM STUB WITH PAYMENT



Customer Number	Invoice Number	Invoice Date	Terms	Balance Due
100797	INV-38931-D8P5Y9	05/11/2018		\$7,170.00

**Make checks payable to:**  
California School Boards Association - CSB (6744)  
c/o West America Bank  
P.O. Box 1450  
Suisun City, CA 94585-4450

**Bill To:**  
Alum Rock Union ESD  
2930 Gay Ave  
San Jose, CA 95127-2322  
United States

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

10.03

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 6, 2018

**Subject: DEVELOP A POLICY THAT PROTECTS ITEMS/PLACES  
OF HISTORICAL, ARTISTIC, OR CULTURAL SIGNIFICANCE  
TO THE ALUM ROCK COMMUNITY**

**Information/Discussion/Action**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Information/Discussion/Action**

10.03  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 6, 2018

**Subject: RESOLUTION NO. 09-18/19  
LGBTQ**

A Resolution to support the rights, freedom and equality of those who are Lesbian, Gay, Bisexual, Transgender, and Queer (LGBTQ+).

**Information/Discussion/Action**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: September 13, 2018  
Regular Board Meeting

**Information/Discussion/Action**

10.04  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

# **ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT**

## **RESOLUTION NO. 09-18/19**

### **LESBIAN, GAY, BISEXUAL, TRANSGENDER AND QUEER (LGBTQ+)**

**WHEREAS**, members of the Alum Rock Union Elementary School District Board of Directors/Trustees/etc., support the rights, freedoms and equality of those who are lesbian, gay, bisexual, transgender and queer (LGBTQ+);

**WHEREAS**, all students deserve to feel safe and welcome in their schools and to see themselves represented in the curricula;

**WHEREAS**, a number of LGBTQ+ historical events and people of all races have contributed to the history of equality for all people;

**WHEREAS**, Sylvia Rivera and Martha P. Johnson were some of the significant individuals who stood up for LGBT Rights in the 1960's and at the Stonewall Riots in New York in 1969;

**WHEREAS**, Harvey Milk was the first openly gay elected official in the history of California, and the passage of SB 572 (Leno) into law on October 11, 2009 officially recognizing May 22 as Harvey Milk Day in the State of California;

**WHEREAS**, on April 8, 2003, the Federal Ninth Circuit Court of Appeals ruled, in a case brought by local, Santa Clara County students (Flores v. Morgan Hill Unified School District, 324 F. 3d 1130 [9<sup>th</sup> Cir. 2003]), that school district staff were responsible for maintaining safe school environments and for equitable enforcement of non-discrimination statutes and policies;

**WHEREAS**, on July 14, 2011, the Fair, Accurate, Inclusive and Respectful (FAIR) Education Act was passed and signed into law that mandates the inclusion of the political, economic, and social contributions of lesbian, gay, bisexual, transgender and queer people in the social studies and history curricula in California public schools;

**WHEREAS**, on July 14, 2016, the State Board of Education passed a new History Social Science Framework that includes LGBTQ+ American history content to be taught in history and social science classrooms;

**WHEREAS**, LGBTQ+ individuals continue to make noteworthy and important contributions to American history and society;

**NOW THEREFORE BE IT RESOLVED**, that Alum Rock Union Elementary School District celebrates the accomplishments of LGBTQ+ people in history, encourages all schools to celebrate October as LGBTQ+ History Month, and encourages teachers to teach lessons about LGBTQ+ history in their classrooms.

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT**

**RESOLUTION NO. 09-18/19  
LESBIAN, GAY, BISEXUAL, TRANSGENDER AND QUEER (LGBTQ+)**

**PASSED AND ADOPTED** this 13<sup>th</sup> day of September, 2018, at a Regular Board Meeting by the following vote:

**AYES**\_\_\_\_\_ **NOES**\_\_\_\_\_ **ABSENT**\_\_\_\_\_ **ABSTAIN**\_\_\_\_\_

**BOARD OF TRUSTEES:**

Esau Ruiz Herrera, President \_\_\_\_\_

Karen Martinez, Vice-President \_\_\_\_\_

Dolores Marquez-Frausto, Clerk \_\_\_\_\_

Khanh Tran, Member \_\_\_\_\_

Andrés Quintero, Member \_\_\_\_\_

**I HEREBY CERTIFY** that the foregoing resolution was duly and regularly introduced, passed, and adopted by the member of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on September 13, 2018.

\_\_\_\_\_  
Dr. Hilaria Bauer, Ph.D., Superintendent

\_\_\_\_\_  
Date

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 6, 2018

**Subject:**

**GRAND JURY REPORT RESPONSE**

The Board will direct appropriate staff/counsel to respond to the Grand Jury Report. The District is legally required to respond no later than September 19, 2018.

**DISCUSSION/ACTION**

Submitted by: Hilaria Bauer

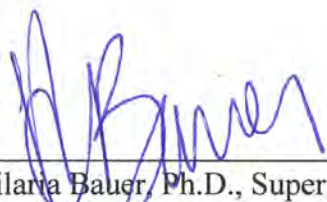
Title: Superintendent

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Discussion/Action**

10.05  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_

Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_

Not Approved: \_\_\_\_\_

Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

10.06

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 6, 2018

**Subject:**

**CENSURE BOARD MEMBER KHANH TRAN**

**CONSIDERATION/DISCUSSION/ACTION**

Submitted by: Hilaria Bauer Title: Superintendent

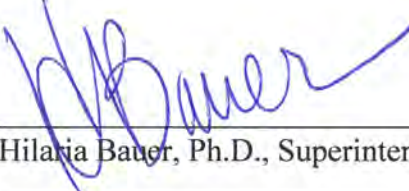
To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Consideration/Discussion/Action**

10.06

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_

Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_

Not Approved: \_\_\_\_\_

Tabled: \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 6, 2018

**Subject: THE MURAL DE LA RAZA**

The Board will direct administration to research the feasibility of recreating The Mural de La Raza at an appropriate ARUSD site. The Mural de La Raza, which until recently existed on the western exterior wall of 2048 Story Road, San Jose, CA 95122, was painted over. Administration, as part of their research, will reach out to Jose Meza, Artist, who painted the mural and will provide a report and recommendation to the Board at the October 11, 2018 Board Meeting.

**INFORMATION/DISCUSSION/ACTION**

Submitted by: Hilaria Bauer

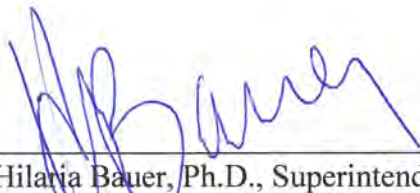
Title: Superintendent

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Information/Discussion/Action**

10.07  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_

Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_

Not Approved: \_\_\_\_\_

Tabled: \_\_\_\_\_

11.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:


Date: August 21, 2018

**Subject:** Local Control Accountability Plan (LCAP) Timeline

**Staff Analysis:** The Local Control Accountability Plan (LCAP) is an important component of the Local Control Funding Formula (LCFF). Under the LCFF all LEAs are required to prepare an LCAP every three years and provide an Annual Update every year. The LCAP describes how the LEAs intend to meet annual goals for all pupils, with specific activities to address state and local priorities identified pursuant to *Education Code Section 52060(d)*.

Staff will provide a Timeline for the development of the 2019-2020 Annual Update for the Local Control Accountability Plan.

**Recommendation:** Informational Item

Submitted by: Sandra Garcia  Title: Director of State and Federal Programs

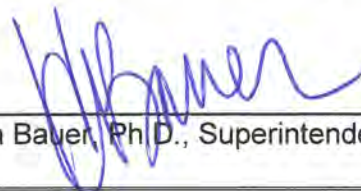
Approved by: Rene Sanchez  Title: Assistant Superintendent of Instructional Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Information Only**

11.01  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

Alum Rock Union Elementary School District  
**Local Control Accountability Plan (LCAP) Timeline**  
 September 13, 2018

This summary is to provide information regarding the LCAP Timeline.

**What is the LCAP?**

California schools are funded under a model known as the Local Control Funding Formula (LCFF). Under this plan Districts are required to develop a Local Control Accountability Plan (LCAP) to guide priorities in the budget development process. Central to the process is providing opportunities for public input.

The LCAP must be built around eight (8) priority areas identified by the California Department of Education.

- |                          |                           |
|--------------------------|---------------------------|
| 1. Basic Necessities     | 5. Student Engagement     |
| 2. Implementation (CCSS) | 6. School Climate         |
| 3. Parental Involvement  | 7. Access to Courses      |
| 4. Student Achievement   | 8. Other student outcomes |

**Current 2018-2019 LCAP goals**

Goal 1 – *Provide all students with a rigorous, challenging, and innovative instructional program that prepares them for college-readiness and for excellence in the 21<sup>st</sup> Century.*

Goal 2 – *English Learners will have the required skills to reach grade level standards/proficiency.*

Goal 3 – *Provide all students and families a safe, welcoming, and caring environment conducive to learning.*

Goal 4 – *Engage stakeholders in a meaningful way that promotes a positive learning, working, and community environment that is geared toward student achievement.*

**The following stakeholders will be engaged to provide input into the development of the LCAP:**

- Parents & Community members via community forums, school site meetings, and on-line surveys
- AREA, CSEA & Teamsters units via input session meetings
- Middle School students via on-line surveys
- Teachers & District Administrators via staff meetings, input sessions, and on-line surveys
- LCAP Advisory Committee (Parents/Community Members, Teachers, Site Administrators, District Administrators, MS Students)

**Timeline for Stakeholder Meetings**

October - December	January & February	March	April	May	June
<ul style="list-style-type: none"> <li>LCAP Stakeholder Advisory Committee (Oct 16, Dec 11)</li> <li>LCFF/LCAP Parent Informational Meeting (Oct 30)</li> </ul>	<ul style="list-style-type: none"> <li>DELAC LCAP input session (Feb 4)</li> <li>DAC LCAP input session (Feb 11)</li> <li>Parent/Community input forums (Jan 24, 31 and Feb 7)</li> <li>AREA, CSEA, ARAA &amp; Teamsters LCAP Review &amp; Input Session (Feb 12)</li> <li>Management Team Input Session (Feb 6)</li> </ul>	<ul style="list-style-type: none"> <li>Staff &amp; Parent Meetings (school sites)</li> <li>On-line parent, staff and student surveys Jan 24-March 8 parents); (Feb 11- March 8) staff/student</li> <li>LCAP Stakeholder Advisory Committee (March 19)</li> <li>Board update</li> </ul>	<ul style="list-style-type: none"> <li>Board update</li> </ul>	<ul style="list-style-type: none"> <li>Board update – LCAP draft</li> </ul>	<ul style="list-style-type: none"> <li>Public Hearing / Stakeholder feedback</li> <li>Approval of LCAP (special Board Meeting)</li> <li>Submit LCAP to Santa Clara</li> <li>County Office of Education for approval</li> </ul>

**Board Updates -** LCAP Board Updates will begin in March 2019.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

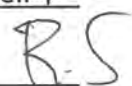
Date: August 24, 2018

**Subject:** Aptitud Community Academy at Goss – Charter Renewal

**Staff Analysis:** The board will consider and take action on the five-year dependent charter renewal for Aptitud Community Academy at Goss effective July 1, 2018, through June 30, 2023. The staff of Aptitud Community Academy at Goss has submitted an updated petition for renewal.

**Recommendation:** Staff recommends approval of the five-year charter renewal for Aptitud Community Academy at Goss.

Submitted by: Barbara Campbell  Title: Director, Academic Services

Approved by: Rene Sanchez  Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Recommend Approval**

11.02  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**Charter School Renewal  
Academic Performance Determination  
Pursuant to Education Code Section 47607(b)(4)**

**Charter School Information**

<b>School Name:</b>	Aptitud Community Academy At Goss
<b>County District School Code:</b>	6046247
<b>Charter Number:</b>	1521

**Authorizing Local Educational Agency**

<b>Name:</b>	Alum Rock Union Elementary School District		
<b>Address:</b>	2930 Gay Avenue		
<b>City:</b>	San Jose	<b>Zip</b>	95127
<b>County:</b>	Santa Clara County		
<b>Contact Name:</b>	Rene Sanchez, Assistant Superintendent Instructional Services		
<b>Phone:</b>	408-928-6800	<b>Fax:</b>	408-928-6480
<b>E-mail Address:</b>	rene.sanchez @arusd.org		

The charter school authorizer must provide supporting documentation and a written summary of the basis for any charter school renewal determination made pursuant to the criterion in *EC* 47607(b)(4)(A). The academic performance of the charter school is at least equal to the academic performance of the public schools that the charter school pupils would otherwise have been required to attend, as well as the academic performance of the schools in the school district in which the charter school is located taking into account the composition of the pupil population that is served at the charter school.

Materials may be submitted via hard copy:

Attn: Charter School Renewal–Academic Performance Determination  
Charter Schools Division  
California Department of Education  
1430 N Street, Suite 5401  
Sacramento, CA 95814-5901

Or via e-mail: [CHARTERRENEWALS@cde.ca.gov](mailto:CHARTERRENEWALS@cde.ca.gov)

**Local Educational Agency Superintendent Certification**

I hereby certify to the best of my knowledge and belief that that the enclosed information is true and correct.

Superintendent or Designee Signature:	Date:
Superintendent or Designee Printed Name:	Title:



## APTITUD COMMUNITY ACADEMY AT GOSS

2475 Van Winkle Lane

San José, CA 95127

(408) 928-7650

FAX (408) 928-7651

*Maria Teresa Manzanedo, Principal*

*Tanu Kolhi, Assistant Principal*

Aptitud Community Academy at Goss is a dependent charter in Alum Rock Union Elementary School District. It has been in operation since the 2013-2014 academic school year. Presently we are fully enrolled at 430 students' grades TK-8 (314 Tk-5 students and 116 Grade 6-8 students). Aptitud Community Academy at Goss maintains the highest standards for academic performance for every child while creating an environment that emphasizes the development of health-enhancing behaviors. We do this by putting in place an infrastructure that encourages exercise, healthy eating, and sound decision-making by students, their families, the school, and the community in order to enhance health and avoid health risks. We view ourselves as a community school: fully committed to each child, to the health and wellness of all their families, and to the health of the larger community.

The school day is structured to allow students the full benefit of the combined efforts of healthy living/mindset and academic achievement. Morning exercise routines, scheduled breaks each hour for movement in every class, personal health goals for all students and staff, ongoing monitoring with pedometers, nutrition logs, exercise logs, standards-based assessments, and structured activities at recesses and after school to teach healthy play are just a few of the many ideas that will be integrated into our program over time. We partner with community organizations committed to improving the health of children.

The instructional model of Aptitud Community Academy at Goss continues to advocate for:

1. School climate conducive to learning
2. Strong first instruction
3. Personalization of instruction
4. Parent and community partnerships
5. Collaboration and planning
6. Ongoing monitoring of student progress
7. Authentic student engagement.
8. Focus on Health, Wellness, and Science

Our program includes Guided Language Acquisition Design (GLAD), Constructing Meaning, EPIC! (Reading comprehension and fluency online program), PBIS, math fact and high frequency word fluency, and teacher collaboration using the Results Oriented Cycles of Inquiry (ROCI) model. We manage the implementation of these proven programs by offering teacher's professional development before, during, and at the end of the year; grade level collaboration; teacher collaboration; small group teacher-led lessons; personalized technology; a strong focus on health and nutrition.

Under the California Assessment for Student Performance and Progress [SBAC] we have seen significant positive change in the level of achievement from students. From 2017 to 2018 there was an overall 2% increase in students meeting or exceeding standard as measured by the SBAC in grades 3-5. When looking at growth specifically in grades 3-5, the percentage of growth of all students changed from 38% in 2017 to 71% in 2018. In English Language Arts, there was an increase in the number of 3-5 grade students meeting or exceeding standard from 13% in 2017 to 20% in 2018. Looking at growth specifically in English Language Arts, there was a change from 5% growth of students to 40% growth of students. The overall increase in growth at the 3-5 grades illustrates a level of improvement taking place on the educational experience of students at the site.

Under the California Dashboard the achievement of English Learners at Aptitud Community Academy at Goss via the English learner progress indicator (ELPI) report increased significantly by 10.7% and maintained a high status of 77.8% (Blue – highest performance). Aptitud's performance under the ELPI measure is higher than the neighboring public school district-- Alum Rock Union School District, which maintains a performance level of Green. The performance levels of Aptitud Community Academy at Goss remains Orange in English Language Arts and Mathematics. Aptitud's performance level achievement is comparable to Alum Rock Union School District as it also maintains a performance level of Orange in both English Language Arts and Mathematics as measured by the Fall 2017 CA Dashboard.

As we have continued to work towards our overall goal of healthy successful students, we have added a science component to our daily instruction which will have embedded ties to healthy living. We are in the process of developing a science lab that will give students and teachers an opportunity to have hands on experiences that reflect their learning. Teachers continue to engage students in breathing exercises, brain breaks and growth mindset development. As a community we continue to focus on building relationships with outside agencies and our families with monthly events where parents are invited to participate and become familiar with the services that are available to them. Each month we have student of the month assemblies to promote life skills such as respect, empathy, dedication, hard work that will lead them to be successful contributing members of society and workforce. A variety of school wide and grade level opportunities are available for students to participate in that focus on goal setting, decision making skills, and healthy behaviors.

Updates to the charter petition were made as follows:

- Curriculum was updated to current adopted curriculum
- Titles of statewide and local assessments
- Changes to names of programs such as Peacebuilders to PBIS school wide.
- Additional technology available for in classroom use allowed for the development of a science lab to replace the computer lab.
- Aptitud Community Academy at Goss is now a TK-Grade 8 school

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

12.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

Board of Trustees:

Date: August 30, 2018

Subject: **Fiscal Expert**

Staff Analysis: Dr. Donald Zimring, Fiscal Expert and Dr. William Gillaspie, Fiscal Advisor, in conjunction with Assistant Superintendent, Kolvira Chheng, will provide a Fiscal Expert update to the Board.

**\*\*INFORMATION/DISCUSSION\*\***

Approved by: Kolvira Chheng



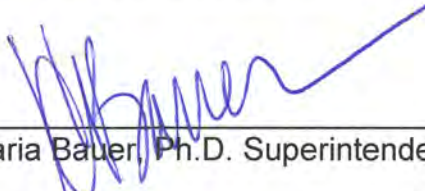
Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**INFORMATION/DISCUSSION**

12.01  
Agenda Placement

  
Hilaria Bauer, Ph.D. Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**


To the Board of Trustees:

Date: August 30, 2018

**Subject:** FCMAT Update

**Staff Analysis:** The Board is requesting to have an updated status on the FCMAT Report and the requested documents. Assistant Superintendent of Business Services will provide a FCMAT update.

*\*INFORMATION / DISCUSSION\**

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**INFORMATION / DISCUSSION**

12.02  
Agenda Placement

  
Hilana Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

12.03

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

Date: August 31, 2018

To the Board of Trustees:

**Subject:** **Unaudited Actual Financial Reports for 2017 – 2018**  
***Report to the Board on Unaudited Actuals as Required by Law***

**Staff Analysis:** Staff will provide an update on the Unaudited Actuals Financial Report for Fiscal Year 2017 – 2018. Education Code section 42100 requires that the Governing Board of each school district approve an annual statement for all revenues and expenditures of the district for the fiscal year.

**Recommendation:** Staff recommends that the Board approve the 2017 – 2018 Unaudited Actuals Financial Report.

Prepared by: Efrain Robles Title: Director, Fiscal Services  
Submitted by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Recommend Approval**

12.03  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

UNAUDITED ACTUAL FINANCIAL REPORT:

To the County Superintendent of Schools:

2017-18 UNAUDITED ACTUAL FINANCIAL REPORT. This report was prepared in accordance with Education Code Section 41010 and is hereby approved and filed by the governing board of the school district pursuant to Education Code Section 42100.

Signed: \_\_\_\_\_  
Clerk/Secretary of the Governing Board  
(Original signature required)

Date of Meeting: Sep 13, 2018

To the Superintendent of Public Instruction:

2017-18 UNAUDITED ACTUAL FINANCIAL REPORT. This report has been verified for accuracy by the County Superintendent of Schools pursuant to Education Code Section 42100.

Signed: \_\_\_\_\_  
County Superintendent/Designee  
(Original signature required)

Date: \_\_\_\_\_

For additional information on the unaudited actual reports, please contact:

For County Office of Education:

For School District:

Ann Redd-Oyedele  
Name  
Senior Advisor  
Title  
(408) 453-6593  
Telephone  
ann\_redd@sccoe.org  
E-mail Address

Efrain Robles  
Name  
Director, Fiscal Services  
Title  
(408) 928-6839  
Telephone  
efrain.robles@arUSD.org  
E-mail Address

Unaudited Actuals  
FINANCIAL REPORTS  
2017-18 Unaudited Actuals  
Summary of Unaudited Actual Data Submission

Following is a summary of the critical data elements contained in your unaudited actual data. Since these data may have fiscal implications for your agency, please verify their accuracy before filing your unaudited actual financial reports.

Form	Description	Value
CEA	Percent of Current Cost of Education Expended for Classroom Compensation Must equal or exceed 60% for elementary, 55% for unified, and 50% for high school districts or future apportionments may be affected. (EC 41372)	56.92%
	CEA Deficiency Amount Applicable to districts not exempt from the requirement and not meeting the minimum classroom compensation percentage - see Form CEA for further details.	\$4,074,116.88
ESMOE	Every Student Succeeds Act (ESSA) Maintenance of Effort (MOE) Determination If MOE Not Met, the 2019-20 apportionment may be reduced by the lesser of the following two percentages: MOE Deficiency Percentage - Based on Total Expenditures MOE Deficiency Percentage - Based on Expenditures Per ADA	MOE Met
GANN	Adjustments to Appropriations Limit Per Government Code Section 7902.1 If this amount is not zero, it represents an increase to your Appropriations Limit. The Department of Finance must be notified of increases within 45 days of budget adoption.	\$0.00
	Adjusted Appropriations Limit	\$64,307,958.39
	Appropriations Subject to Limit	\$64,307,958.39
	These amounts represent the board approved Appropriations Limit and Appropriations Subject to Limit pursuant to Government Code Section 7906 and EC 42132.	
ICR	Preliminary Proposed Indirect Cost Rate Fixed-with-carry-forward indirect cost rate for use in 2019-20, subject to CDE approval.	9.97%

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

13.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: Information Regarding Resignations

Staff Analysis:

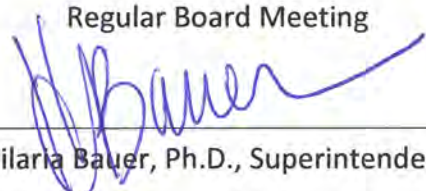
Pursuant to Board Policy 4117.2 the Superintendent or her designee has accepted the following resignations.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:  
**Information Only**

Meeting: September 13, 2018  
Regular Board Meeting

13.01  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-  
HUMAN RESOURCES DEPARTMENT  
MEETING OF THE BOARD OF TRUSTEES**

**September 13, 2018**

**CERTIFICATED RESIGNATIONS:**

**I. Resignations:**

1. Almazan, Epsom	Substitute Teacher	07/01/2018
2. Bishop, Alexandra	Substitute Teacher	07/01/2018
3. Carlberg, Jeff	4/Painter Elementary	08/06/2018
4. Chia, Natalie Jia Ying	Substitute Teacher	07/01/2018
5. Kwan, Yvonne	Substitute Teacher	07/01/2018
6. Larrieu Sorenson, Kim	Substitute Teacher	07/01/2018
7. Liles, Martha	Substitute Teacher	07/01/2018
8. Magallanes, Francesca	Substitute Teacher	07/01/2018
9. Narvaez-Gomez, Alejandra	From PLOA 2017/18	06/30/2018
10. Pribula, Sharon	Substitute Teacher	07/01/2018
11. Santillan, Diana	Substitute Teacher	07/01/2018
12. Santos, Lilly	Substitute Teacher	07/01/2018
13. Summers, Kristie	From PLOA 2017/18	06/30/2018
14. Wong, Alison	Substitute Teacher	07/01/2018
15. Yuen, Joanna	From PLOA 2017/18	06/30/2018

**HUMAN RESOURCES DEPARTMENT  
MEETING OF THE BOARD OF TRUSTEES**

**September 13, 2018**

**CLASSIFIED RESIGNATIONS:**

**I. Resignations:**

1. Banda, Alejandra	Campus Pareducator/Painter	07/30/18
2. De La Cueva, Anabertha	Child Nutrition Assistant I/George	08/20/18
2. Espino, Marisol Figueroa	Paraeducator Special Education II/Ryan	08/09/18
3. Estavillo, Teresa Jane	School Administrative Assistant/George	08/07/18
4. Lopez, Margartia Soria	Child Nutrition Assistant I/Lyndale	08/20/18
5. Lozano, Erica	Campus Paraeducator/Dorsa	08/03/18
6. Magpayo, Josepaolo	Campus Paraeducator/Russo-McEntee	08/21/18
7. Medina, Mayra Gabriela	School Administrative Assistant/Ryan	07/23/18
8. Padilla, Jessica Louise	Campus Paraeducator/Hubbard	07/31/18
9. Vigil, Elva D	Bus Driver/Transportation	08/15/18

14.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

September 6, 2018

**Subject:**

**APPROVAL OF BOARD MEETING MINUTES FOR THE  
FOLLOWING DATES:**

- August 9, 2018; Regular Board Meeting Minutes

**Recommend Approval**

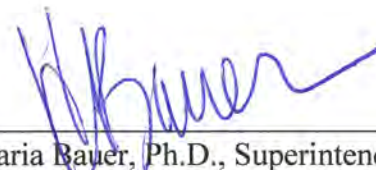
Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Recommend Approval**

14.01  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**DRAFT MINUTES  
ALUM ROCK ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San Jose, CA 95127**

**MINUTES OF A REGULAR MEETING OF THE BOARD OF TRUSTEES  
of the Alum Rock Union School District  
Held on Thursday, August 9, 5:30 p.m. at the Alum Rock Union School  
District Office Board Room, 2930 Gay Avenue, San Jose, CA 95127  
Meeting #02-18/19**

**1. OPEN SESSION**

**1.01 Call to Order / Roll Call**

President Esau Ruiz Herrera welcomed everyone in the audience. President Esau Ruiz Herrera called the Board Meeting to order at 5:30 p.m. followed by Roll Call.

Board Members Present:

Esau Ruiz Herrera	President
Karen Martinez	Vice-President ( <i>arrived late at 5:32 p.m.</i> )
Dolores Marquez-Frausto	Clerk
Andrés Quintero	Member
Khanh Tran	Member

Administrative and Support Staff Members Present:

Dr. Hilaria Bauer	Superintendent
Rene Sanchez	Assistant Superintendent, Instructional Services
Jess Serna	Interim Assistant Superintendent, Human Resources
Kolvira Chheng	Assistant Superintendent, Business Services
Marie Sanchez	Sr. Executive Assistant, Superintendent's Office
Angel Rodriguez	Executive Assistant/Communications Support, Superintendent's Office
Jackie Guevara	Executive Assistant, Superintendent's Office

## **1. OPEN SESSION** *(continued)*

### **1.02 Announcement and Public Comments Regarding Items to be Discussed In Closed Session**

President Esau Ruiz Herrera reported that *Agenda Item 2.03 Public Employee Discipline/Dismissal/Release, Title: Superintendent*, would be deleted from this agenda and would be placed on a future meeting and would need to be placed into an evaluation process and that would be requested at a later time. He asked his colleagues if there was any objection and all were in consensus to accept the removal of this agenda item. President Esau Ruiz Herrera announced the items that would be discussed in Closed Session.

Public Comments:

- 1) Karen Martinez – Board Member, publically stated that she was requesting to have the Fiscal Expert and Fiscal Advisor present during Closed Session.
- 2) Janet Odell – Community member, stated that she was backing up the same request that Vice-President Karen Martinez had just requested.
- 3) Jocelyn Merz – AREA President, stated that on behalf of AREA and the 600+ teachers, was also making the same request as the previous two.
- 4) Andrés Quintero – Board Member, also in public, stated he would like the two Fiscal Advisors to be present during Closed Session.

### **1.03 The Board will Adjourn to Closed Session at Approximately 5:30 p.m.**

The Board recessed to Closed Session at 5:34 p.m. President Esau Ruiz Herrera announced that the Board would reconvene to Open Session at approximately 6:35 p.m.

## **3. RECONVENE TO OPEN SESSION**

### **3.01 Call to Order / Pledge of Allegiance**

The Board reconvened to Open Session at 6:48 p.m. President Esau Ruiz Herrera welcomed everyone and took a second Roll Call in which all Board Members were present with the exception of Vice-President Karen Martinez who was temporarily absent.

### **3.02 Report of Action Taken in Closed Session**

Superintendent Hilaria Bauer reported that in Closed Session under *Agenda Item 2.01 Public Employee Appointment/Employment*, the following action was taken (*see next page*):

### **3. RECONVENE TO OPEN SESSION *(continued)***

#### **3.02 Report of Action Taken in Closed Session**

- 1) Principal: By a unanimous vote from the Board, Mr. Diedrich Bonemeyer was appointed principal of an elementary school effective immediately.
- 2) Assistant Principal: By a unanimous vote from the Board, Ms. Tanu Kohli was appointed as Assistant Principal at Aptitud effective immediately.
- 3) Coordinator, Academic Services: By a unanimous vote from the Board, Ms. Brittany Rykels was appointed as the Coordinator, Academic Services, effective immediately.

#### **3.03 Agenda Review and Adoption**

Vice-President Karen Martinez asked to pull the following agenda items to follow after *Agenda Item 8.10 CMAS Contract No. 7-17-99-31-02 Mohawk Carpet Distribution, Inc.* and in this order:

##### ***11.02 FCMAT Update***

##### ***11.01 Fiscal Expert Update***

##### ***9.08 Construction Management Agreements for Measure I and J. Terminate Contract between ARUSD and Del Terra***

##### ***9.06 Direct Administration to seek an Independent Opinion from Legal Counsel, other than the ARUSD General Counsel***

##### ***9.05 Termination of ARUSD's Contract with General Counsel, Leal and Trejo***

Vice-President Karen Martinez asked that these two agenda items be combined together as one item:

##### ***9.02 2018-19 CSBA Membership Dues for \$13,122***

##### ***9.03 2018-19 CSBA Education Legal Alliance Membership Dues for \$3,280***

Member Khanh Tran asked to pull the following agenda items to follow after *Agenda Item 7.02 Written Update on the Citizen's Bond Oversight Committee (CBOC)*:

##### ***9.09 Resolution No. 02-18/19 Board of Trustees of ARUESD, Authorizing the Issuance of Election of 2016 General Obligation Bonds, Series A, and Actions Related Thereto***

##### ***9.10 Resolution No. 03-18/19 Requesting Board of Supervisors of the County of Santa Clara to Establish Tax Rate for Bonds of the Alum Rock Union Elementary School District Expected to be Sold during Fiscal Year 2018-19, and Authorizing Necessary Actions in Connection Therewith***

### **3. RECONVENE TO OPEN SESSION** *(continued)*

#### **3.03 Agenda Review and Adoption**

President Esau Ruiz Herrera suggested that we move all these agenda items after ***Agenda Item 8.10 CMAS Contract No. 7-17-99-31-02 Mohawk Carpet Distribution, Inc.***, as there was a need to get to all the items in ***Category 8 Contracts Over \$100,000.***

President Esau Ruiz Herrera confirmed the modification of the order of business as follows: Following ***Agenda 7.02 would be 9.09, 9.10, 11.02, 11.01, then 9.08, 9.06, and ending with 9.05*** (see previous page for description of the agenda items). There was consensus from the Board to accept the agenda as modified.

### **4. SPECIAL RECOGNITION / PRESENTATION**

#### **4.01 School Resource Officer's (SRO) Program Presentation**

Assistant Superintendent Hilaria Bauer introduced Ms. Norma Flores, Director of Student Services, who presented a PowerPoint presentation with a brief overview of what resource officers do at the middle schools. She introduced Sergeant Martinez who was present due to the unavailability of Sergeant Dominguez. Sergeant Martinez reported that he was there in a twofold role: as a police officer and as an Adelante parent. Sergeant Martinez discussed how we have moved from a zero tolerance and how the school resource officer can now assist in a better outcome for fights, intruders on campus, and school safety in general. Sergeant Martinez commented that the SROs are here to support the school staff and to be mentors to the student body. Friendships are made with some of the students and this makes it easier to assist in a better outcome. He reported that the response time takes approximately 9.2 minutes to arrive at the scene. He reported that Adelante does not have an SRO at that site.

Principal Imee Almazan shared her testimonial about the impact of SROs at Fischer Middle School and Renaissance at Fischer. Officer Lee serves as an SRO and is there to help solve issues on campus. He participates with school activities and has been a critical aspect at her school.

Vice-President Karen Martinez gave thanks to Sergeant Martinez for being present at this meeting. She commented that she was a little concerned and questioned the 9.2 response time. She commented that she would like the SROs at the middle schools to be able to respond to the elementary schools. Sergeant Martinez stated that it was possible and it could happen; however, it was up to the District Sergeant. Vice-President Karen Martinez asked what the role was for Sandra Avila in the police department. Sergeant Martinez answered that she was the community lead who runs workshops, works with school liaison groups, and crime stoppers. She commented that she would like to see more focus on restorative justice and would like to see this partnership happen and if we could add this into the job scope and have a way to track the progress of this throughout the year.

#### **4. SPECIAL RECOGNITION / PRESENTATION *(continued)***

##### **4.01 School Resource Officer's (SRO) Program Presentation**

Member Khanh Tran commented that he does not want the officers to shoot the students or to taze them but to focus on safety and handle students with care. He stated that he disagrees with Vice-President Karen Martinez – focus on the safety but don't worry about anything else.

Member Andrés Quintero stated that he thinks safety is very important and wants people that are trained dealing with students. Safety is his priority and he thinks there is a way of achieving this and going beyond. He mentioned a group in Nashville that are award-winning and who have gone through specialized training. He stated that he is interested in forming specialized groups that are highly trained on how to deal with difficult people. He is going to pursue it for the Small Schools Innovative Committee and begin the dialogue on who wants to start collaborating and investing in this.

Clerk Dolores Marquez-Frausto thanked Sergeant Martinez and Principal Almazan for their shared information. She stated that 30 years ago, she was not a proponent for officers on campus and in the past, the officers had language barriers and could not communicate with our parents. However, she stated that she now appreciates what they do.

President Esau Ruiz Herrera also gave thanks to Sergeant Martinez. He was in agreement that safety is a critical factor and safety is enhanced by having positive relationships and role models for our community.

#### **5. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD**

##### **5.01 Requests to Address the Board**

Public Comments:

- 1) Janet Odell – Community member stated that she did not notice anything on the agenda regarding the grand jury response and asked if anything would be reported back.
- 2) Saul Ramos – Director of Somos Mayfair, gave thanks to Superintendent Hilaria Bauer for her leadership, commitment, and her support to his program. He announced that this year they added Evergreen Community College. He especially wanted to highlight the 'Bridge to Kinder' that continues to guide families.
- 3) Loretta Tanner – George teacher, commented that as a teacher, it was very disturbing to see on the agenda the dismissal of Superintendent Bauer again – it is a threat! She said that it makes it very difficult to focus on how she can be the absolute best – there was no reason to wait until 5:30 pm today to remove it from the agenda! Her last comment to the Board was, "get over it and move on".

**5. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD** *(continued)*

**5.01 Requests to Address the Board**

- 4) Bertha Razo – Community member, stated that she is in agreement with Ms. Tanner – it is time to move on! It is very disturbing to her to see this agenda item on month after month after month. She commented that the news sees what is going on and it is unfair to see parents remove their kids from the district and enroll them to the charter schools because they think the district is a disaster—but it is not! She addressed Trustee Khanh Tran and stated that he needs to do some work—and commented that he was worse than a kindergartener. She asked him to please stop harassing the Superintendent and remember that November was around the corner!
- 5) Ernesto Bejarano – Sheppard parent, commented that it is very frustrating being a parent in this district. He stated that as a community member, he would like to have some discussion about what really is the Board’s strategic rationale on wanting to push the dismissal of the Superintendent and the community has the right to know the reason why. He is requesting some transparency with this item.

**6. COMMENTS AND COMMUNICATION**

**6.01 Teamsters**

There were no representatives from Teamsters at this time.

**6.02 California School Employee’s Association (CSEA)**

There were no representatives from CSEA at this time.

**6.03 Alum Rock Administrator’s Association (ARAA)**

Ms. Lyssa Perry, new ARAA President, shared about some of the programs provided during the summer. She reported that a group of administrators went to UCLA and focused on communication and leadership. Another group of administrators traveled to Chicago for a principals’ training. She also reported that the management team attended a management retreat and got to understand ideas around old and new power, but more importantly, the retreat reenergized the team for this next school year. Lastly, she commented that she wanted to thank Superintendent Hilaria Bauer for leading the district and her team with her ‘heart’.

**6.04 Alum Rock Educator’s Association (AREA)**

Jocelyn Merz, AREA president, gave thanks to all the teachers that came to the board meeting and were in attendance. She stated that on behalf of the 600+ teachers that we have

## **6. COMMENTS AND COMMUNICATION** *(continued)*

### **6.04 Alum Rock Educator's Association (AREA)**

working hard to teach our students, she thanked the Board for removing ***Agenda Item 2.03 Public Employee Discipline Dismissal and Release, Title: Superintendent*** from Closed Session. She commented that this was a new school year with new challenges and teachers would be doing their best to prepare for these new challenges; however, to find out 3 days before the board meeting the possibility of Dr. Bauer being removed as Superintendent with less than two weeks before the start of school was very devastating! She, too, asked to know their motivation and thought process. All she could think of was thoughtless, wreckless, and selfish acts as a distraction and a desperate attempt from the Board to deflect the real issues going on in the District. She stated that for the past 18 months, Superintendent Hilaria Bauer has been a victim to the Board's threats, bullying, humiliation in silence, and constant attacks. She asked that the Board recognize that they will trigger lawsuits that will be similar to what happened in Montebello creating a financial burden on the District. She commented that we have a strong and focused educational leader in Superintendent Hilaria Bauer and rather than throwing her the axe, please provide her with the support of moving forward!

### **6.05 Superintendent**

Superintendent Hilaria Bauer asked her administrative team to stand up and show off their '*leading with the heart teeshirts*'. Superintendent Hilaria Bauer informed the Board that her administrative team is ready to begin another school year and are grounding in leading with the heart and making sure that what we are doing is the best for those who need it the most!

### **6.06 Board of Trustees**

Vice-President Karen Martinez stated that she was very disheartened as a Trustee and as a mom by knowing that she teaches her kids to identify bullying and know who to go to and how to handle it. She calls out that bullying is happening here. She asked the administrative team to stay focused on what we are here for and what the teeshirts say and the impact that it has in the classroom. She gave thanks to the community for speaking out and having the Board hear their voices. She commented that at times the Superintendent and her disagree on things, but she will continue to support her growth and will not support her dismissal. Lastly, she stated that she wants to re-emphasize that going into Closed Session, she will always ask for the SCCOE Fiscal Advisors to be present—whether the Board allows that—that is for all the public to watch and understand. She commented that we should practice restorative justice here with the Board!

Member Khanh Tran stated that he believes we all make mistakes and learn from them. For the last four years, our books have not been balanced and he is grateful that we are now under the jurisdiction of the SCCOE. He commented that this Board does not run the day-to-day operations; therefore, you can't blame us. He commented that he did not object to the dismissal of the Superintendent because he felt he did not need to object since the

## **6. COMMENTS AND COMMUNICATION *(continued)***

### **6.06 Board of Trustees**

Superintendent is a public official and the public officials are being investigated by the Securities and Exchange Commission (SEC). He is an elected official and he does not get paid. He stated that he is running again for another term because he does not like dishonest people leading the District. We are a minority school district. He reported that he read the Grand Jury Report and that the Grand Jury was made up of 100% Republican, white, old men and how could the Republicans know what is best. He stated that the FCMAT report never said anything else about false invoicing and everything pointed to internal activity. He stated that the only reason why he supports President Esau Herrera as board president is because he believes as an attorney and a man who studies law, he would not jeopardize and put his license at risk. Lastly, he commented that this Board does not write the checks—the district staff does.

Member Andrés Quintero spoke to the parents in Spanish about the continual problems and connected with them. He gave special thanks to the folks that participated in the state audit in Sacramento on August 8. He stated that this state audit was not the same as the FCMAT or other audits. This audit will be very expensive with many pointed questions. He also reported that he had the opportunity to stop by the State Superintendent's office with a drafted letter as one board member asking him to remove the authority of the Alum Rock Board to sell bonds at this time. Member Andrés Quintero reported that the Bonds Facilities and Finance Committee would be reconvening at the end of this month and it would be held in the evening. The facilities assessment would be presented during this meeting. He also reported that he participated in the inaugural Santa Clara County Women's Caucus as a staff member and the inaugural was very powerful to have such a strong group of women!

Member Dolores Marquez-Frausto reported that no one had communicated to her anything as to what will happen to her committee members that have been on the Bond, Facilities, and Finance Committee since the beginning. She asked Member Andrés Quintero if she would still be co-chairing this committee with him? She commented that she was under the impression that Member Andrés Quintero was taking the lead here with his visit to Sacramento. She commented that if she had attended the Sacramento trip, she would have welcomed the audit.

President Esau Ruiz Herrera stated that good things happened at Alum Rock during the summer. He has been hearing nothing but good news from various stakeholders about the offering the District is putting out. He hopes that we continue to work together and keep creating good programs and meeting the needs of our community. He reported that the Grand Jury Report response was due on September 19 and the Board would be discussing this more during the September meeting. He stated that the safety issues raised our concerns but was pleased that police officers are recognizing that safety is important and also the relationships between the police department and our community and that they have the best intentions for our students.

## **6. COMMENTS AND COMMUNICATION *(continued)***

### **6.06 Board of Trustees**

Member Andrés Quintero addressed Clerk Dolores Marquez-Frausto and thanked her for recognizing his leadership on these items and stated that he was representing his community who voted him in and that he went to Sacramento solely as a single board member. He also commented that he was unsure of what or when he may hear back with a response from the State Superintendent.

## **7. BOND / FACILITIES**

### **7.01 The Board will receive a written update on the status of Bond Projects**

President Esau Ruiz Herrera reported that there was nothing to report at this time.

### **7.02 The Board will receive a written update on the Citizen's Bond Oversight Committee (CBOC)**

Ray Mueller, Community Member, reported that they did not have a meeting last month; however, they would be meeting on Monday, August 13 and they would be discussing new members and upcoming items. He reported that this committee currently has vacant seats and was encouraging interested folks to join this committee.

## **9. SUPERINTENDENT / BOARD BUSINESS**

### **9.09 Resolution No. 02-18/19. A Resolution of the ARUESD, Authorizing the Issuance of Election of 2016 General Obligation Bonds, Series A, and Actions Related Thereto**

Member Khanh Tran stated that he has two buildings that need to be built and that's what he cares about. Our interest rate, construction, and materials have gone up. If we keep waiting for another day, costs will continue to go up. He stated he does not care who builds his buildings, he just wants his buildings built! He stated that Standard & Poor's downgraded us because the Superintendent failed to show up to a bond meeting—that's a fact and it's written in the report.

Member Andrés Quintero commented that this was one of the reasons that he asked for the Board's authority to be removed. It was irresponsible to sell \$35M in bonds while our ratings have been downgraded. It was clear that Superintendent Hilaria Bauer received direction from the Bond Counsel to not show up to the bond meeting. Member Andrés Quintero directly asked Superintendent Hilaria Bauer if this was correct? Her reply was, "Yes, this is correct, and I have it in writing." Member Andrés Quintero stated that he was

**9. SUPERINTENDENT / BOARD BUSINESS** *(continued)*

**9.09 Resolution No. 02-18/19. A Resolution of the ARUESD, Authorizing the Issuance of Election of 2016 General Obligation Bonds, Series A, and Actions Related Thereto**

in opposition of this item because we needed to take care of the everyday necessities first such as AC, bathrooms, heating, and roofing. He commented that this was not the right time considering all the problems around our bond program.

Vice-President Karen Martinez stated that she knows we need facilities, but right now is not the right time under the investigation and not the right time for the community to be impacted. We need to focus on what is right financially in order to fix what is wrong.

Member Khanh Tran stated that we are going in debt and need the money right now. He addressed this statement to Member Andrés Quintero and said, *"Andrés, I will support you if you are saying the Bond Counsel instructed the Superintendent not to attend the S&P meeting by showing me the public record in writing."*

**Public Comments:**

- 1) Ray Mueller – Community member, stated that the community has repeatedly asked the Board not to sell bonds at this time. He said that in the Standard & Poor's (S&P) report, poor governance was noted and the fact that the credit rating went down had less to do with the Superintendent not attending the meeting and more to do with the Board's bickering. He is asking that we wait and give the voters what they are asking for.
- 2) Candace Ley – Representative from the Mayor's Office read a letter from the Mayor that spoke on Dr. Bauer's possible dismissal, the legal action for conflict of interest, and the selling of bonds. In the letter, the Mayor asked the Board not to take action until the audits are complete.
- 3) Dr. William Gillaspie – SCCOE Fiscal Advisor, stated that they were here, in an advisory role, to help the Board make the right decision. He stated that they have heard many good points on why the District should not vote on bonds right now. We have already visited this issue in June. He compliments the Board to do the right thing for the students but there is a time to and a time not to – and this is not the time right now! He stated that the county is not trying to overreach, they are only trying to help the District. He also stated that the District's Bond Counsel had recently notified the District that the District would have to re-initiate and successfully navigate the bond rating process, among other things.
- 4) Ernesto Bejarano – Sheppard parent asked for transparency. He stated that this was a very significant decision. He commented that he was very disheartened with a Board Member willing to make a decision on the ability of someone being able to produce an email! He asked that the Board take the time to think this through.

**9. SUPERINTENDENT / BOARD BUSINESS** *(continued)*

**9.09 Resolution No. 02-18/19. A Resolution of the ARUESD, Authorizing the Issuance of Election of 2016 General Obligation Bonds, Series A, and Actions Related Thereto**

- 5) Bruce Nguyen – Teacher on the East Side, asked the Board to let the people speak. Folks have been coming out despite having to work long hours. He urged the Board to not move forward on this right now even if they put themselves on the ballot.
- 6) Brenda Zendajas – Community member, stated that she is a community member that pays taxes. She wants to wait for a ‘trusted board’ and stated that she does not trust this current board. She addressed Trustee Khanh Tran and stated, “I don’t trust you, I don’t understand why you continue to attack the Superintendent and other members—please think about the WE and not the I!”

President Esau Ruiz Herrera stated that we do need to tend to the needs of the District and the schools still have a lot to be done. The voters have spoken and have approved the issuance of the bonds and if we keep waiting, the construction costs will continue to go up. He commented that the investigations do not affect the conditions of our schools and we should be good stewards of the money. We will save ourselves more money in the long-run if we decide to proceed now. He stated that he, as one board member, wants to move forward and address the facility needs, particularly the heating and cooling. He stated that not too long ago, we had a facilities person perform an assessment and if we address all of the urgent matters and total them up, it’s a little over \$25M—we would still have money to address the community buildings.

Member Andrés Quintero reported that East Side Union High School District recently put out a parcel tax that unfortunately lost the tax election because there were many areas within Alum Rock District that voted against it because community members are looking at what’s going on in Alum Rock. He is asking his colleagues to defer this to the folks who get paid and are the experts. He commented that he is opposed to this because it is not the right time—the ‘*stay and rescind authority*’ will be enforced. Member Andrés Quintero addressed Clerk Dolores Marquez-Frausto and gave her thanks for recognizing his leadership for participating in the Sacramento trip. At this point, he is not sure if the State Superintendent will respond to his request.

Clerk Dolores Marquez-Frausto stated that she shared President Herrera’s sentiments—the construction costs are going up. She commented that she does not hold the fiscal advisors highly because they are dictating how we are supposed to be moving forward with general counsel. She felt they were overstepping their boundaries and thought it was inappropriate of Dr. William Gillaspie to come up to the podium and share his thoughts.

**9. SUPERINTENDENT / BOARD BUSINESS** *(continued)*

**9.09 Resolution No. 02-18/19. A Resolution of the ARUESD, Authorizing the Issuance of Election of 2016 General Obligation Bonds, Series A, and Actions Related Thereto**

Member Khanh Tran stated that he respects Mayor Sam Liccardo, but for him to threaten the Board stating to act a certain way, then he does not support them! He commented that when SOMOS Mayfair organized the walkout, they were not about the benefit of the students. He stated that, today, we still have a building that hasn't been built that is costing the District \$20M. He commented that President Esau Ruiz Herrera and Clerk Dolores Marquez-Frausto are about kids; Vice-President Karen Martinez voted for Del Terra and then turned against them; and Member Andrés Quintero is cool and ok.

**MOTION #02-01 by Clerk Dolores Marquez-Frausto to move forward with Resolution No. 02-18/19 a Resolution of the Issuance of Election of 2016 General Obligation Bonds, Series A, as presented. MOTION #02-01 was seconded by Member Khanh Tran.**

Member Andrés Quintero stated that at the end of the day, this will have to get resolved in courts. He will not be supporting this resolution.

Member Khanh Tran commented that when we execute this resolution, it's still not an automatic thing and the SCCOE has to write a letter and has to go through a process.

***MOTION #02-01 carried with a vote of 3 in favor (President Esau Ruiz Herrera, Clerk Dolores Marquez-Frausto, and Member Khanh Tran); 2 in opposition (Vice-President Karen Martinez and Member Andrés Quintero); no absent; and no abstention.***

**9.10 Resolution No. 03-18/19. A Resolution Requesting Board of Supervisors of the County of Santa Clara to Establish Tax Rate for Bonds of the Alum Rock Union Elementary School District expected to be Sold During Fiscal Year 2018-19, and Authorizing Necessary Actions in Connection Therewith**

**MOTION #02-02 by Clerk Dolores Marquez-Frausto to accept and adopt Resolution No. 03-18/19 as presented. MOTION #02-02 was seconded by Member Khanh Tran.**

Public Comments:

- 1) Ray Mueller – Community member stated, “Why are we wasting our time on this item when we still have 22 other items on this agenda?” School will be starting soon!

**9. SUPERINTENDENT / BOARD BUSINESS** *(continued)*

**9.10 Resolution No. 03-18/19. A Resolution Requesting Board of Supervisors of the County of Santa Clara to Establish Tax Rate for Bonds of the Alum Rock Union Elementary School District expected to be Sold During Fiscal Year 2018-19, and Authorizing Necessary Actions in Connection Therewith**

- 2) Janet Odelle – Community member asked, “Since the Bond Counsel has to go through the things they do to sell the bonds, and now we will have a state audit, will that affect us even more?”

***MOTION #02-02 carried with a vote of 3 in favor (President Esau Ruiz Herrera, Clerk Dolores Marquez-Frausto, and Member Khanh Tran); 2 in opposition (Vice-President Karen Martinez and Member Andrés Quintero); no absent; and no abstention.***

**11. BUSINESS**

**11.02 FCMAT Update**

Assistant Superintendent Kolvira Chheng invited Dr. Donald Zimring to speak. Dr. Donald Zimring, SCCOE Fiscal Expert, shared some updates. He reported that staff has made some significant progress and there is no missing money, it was just provided in a different format. He stated that the sale of the bonds at this time would set us back. He recognizes the increase in construction costs will have an impact; however, the District is under investigation and it would be more prudent to wait until the contractor is changed. The current contractor has been given Measure I money even though bond money hasn't been pulled for Measure I. The Board does have responsibility in this area.

**11.01 Fiscal Expert Update**

Assistant Superintendent Kolvira Chheng stated this agenda item was combined with ***Agenda Item 11.02 FCMAT Update***; therefore, there were no additional updates.

**9. SUPERINTENDENT / BOARD BUSINESS**

**9.08 Construction Management Agreements for Measure I and Measure J. Terminate Contract between ARUSD and Del Terra Real Estate**

Member Andrés Quintero commented that there seems to be patterns with the same individuals always involved in turmoil. We recently have read about the settlements in Montebello with the bullying involving the same contractors. He wants this District to move on and focus on quality education. He reported that after the last special board meeting, a letter was sent in regards to the 3<sup>rd</sup> party vendor, and we still have not received

9. SUPERINTENDENT / BOARD BUSINESS *(continued)*

**9.08 Construction Management Agreements for Measure I and Measure J.  
Terminate Contract between ARUSD and Del Terra Real Estate**

the requested documentation that we have been asking for. How is it that this vendor is going to have millions of dollars worth of projects in just two binders!

**MOTION #02-03 by Member Andrés Quintero to terminate the contract with Del Terra Real Estate Services for Contruction Management Agreements for Measures I and J. MOTION #02-03 was seconded by Vice-President Karen Martinez.**

Public Comment:

- 1) Janet Odell – Community member, stated if we do terminate the management contract with Del Terra, won't that show that we are trying to do the right thing?

***MOTION #02-03 failed with a vote of 2 in favor (Member Andrés Quintero and Vice-President Karen Martinez); 2 in opposition (President Esau Ruiz Herrera and Clerk Dolores Marquez-Frausto); no absent; and 1 abstention (Member Khanh Tran).***

**9.06 Direct Administration to seek an Independent Opinion from Legal Counsel, other than the ARUSD General Counsel, relative to California Government Code Section 1090, Conflict of Interest, and Del Terra Real Estate, specifically looking into issues raised in the past by ARUSD's previous Legal Counsel**

Member Andrés Quintero stated that several issues have been raised by our previous general counsel; therefore, he is asking for a full and unbiased legal opinion. Because of the relationship that Attorney Maribel Medina has had with Del Terra, he is not confident in moving forward with her findings; therefore, we need to find an independent firm so we can receive an honest assessment.

**MOTION #02-04 by Member Andrés Quintero to move to empower the SCCOE Fiscal Experts to find an independent third party law firm, not tied with Del Terra, and then give us an honest opinion relative to California Government Code Section 1090, Conflict of Interest. MOTION #02-04 was seconded by Member Khanh Tran.**

Member Khanh Tran agrees if we find a person who is agreed upon by general counsel and the SCCOE Fiscal Advisor, that can work in a joint collaborative effort and then we can split the cost.

President Esau Ruiz Herrera stated that he will not support this. He does not trust the SCCOE Fiscal Advisor because he feels the fiscal advisor is an adverse partner and not sure if he would look to them for any legal interests. He feels the Board should not delegate this responsibility to anyone other than themselves.

**9. SUPERINTENDENT / BOARD BUSINESS** *(continued)*

**9.06 Direct Administration to seek an Independent Opinion from Legal Counsel, other than the ARUSD General Counsel, relative to California Government Code Section 1090, Conflict of Interest, and Del Terra Real Estate, specifically looking into issues raised in the past by ARUSD's previous Legal Counsel**

Clerk Dolores Marquez-Frausto commented that she will not be supporting this either. The Board was elected by the voters to make legal decisions and she does not trust the SCCOE.

Dr. William Gillaspie, SCCOE Fiscal Advisor, stated that the SCCOE will work in collaboration with the Board to find the very best third party attorney and then bring final approval to the Board.

President Esau Ruiz Herrera stated he would support the process of the collaboration that would bring back a legal counsel to the Board.

Public Comment:

- 1) Jocelyn Merz – AREA President, commented that it was getting late and we have gone through an hour of ridiculous discussion about bonds. And now, why would we trust the Board to make a decision to select an attorney when the majority vote would win – there was no point in doing this now!

Member Andrés Quintero commented the reason why he brought this item up was because he believes there would be a buffer and a trusted person to bring a third party. This would get us to where we want to go.

Member Khanh Tran commented that he is glad to live in a democracy—all board members make their own decisions. This is a board room, and it is not a place to elect new officials! He addressed Jocelyn Merz and told her, “Get back in the classroom and start teaching!”

***MOTION #02-04 carried with a vote of 4 in favor; no opposition; no absent; and 1 abstention (Clerk Dolores Marquez-Frausto).***

**9.05 Termination of Alum Rock Union Elementary School District's Contract with General Counsel, Leal and Trejo, APC**

Member Andrés Quintero stated that he constantly see issues with general counsel. Over the course of time, she has overstepped her boundaries and has taken action without board approval and he finds this very concerning. In addition, her response was filled with several errors. In addition, her hourly fee of \$260 is too expensive.

**9. SUPERINTENDENT / BOARD BUSINESS *(continued)***

**9.05 Termination of Alum Rock Union Elementary School District's Contract with General Counsel, Leal and Trejo, APC**

**MOTION #02-05 by Member Andrés Quintero to terminate the Contract with General Counsel, Leal and Trejo as presented. MOTION #02-05 was seconded by Vice-President Karen Martinez.**

***MOTION #02-05 failed with a vote of 2 in favor (Member Andrés Quintero and Vice-President Karen Martinez); 2 in opposition (President Esau Ruiz Herrera and Clerk Dolores Marquez-Frausto); no absent; and 1 abstention (Member Khanh Tran).***

**8. CONTRACTS OVER \$100,000**

**8.01 Approve San Jose Police Department, Secondary Employment Unit, for Middle Schools, \$180,000**

**MOTION #02-06 by Member Andrés Quintero to accept and approve the San Jose Police Department Contract as presented. MOTION #02-06 was seconded by Clerk Dolores Marquez-Frausto.**

Vice-President Karen Martinez requested that she wants anti-bullying prevention services be provided to staff, students, and parents and she wants to ensure that we add to the language, in the job scope, that we are able to make some updates.

President Esau Ruiz Herrera commented that he was not sure that we can determine their job duties. We can only provide guidelines and parameters such as positive role-modeling and assistance, but not beyond that.

***MOTION #02-06 carried with a unanimous vote; no opposition; no absent; and no abstention.***

**8.02 Approve AchieveKids, Special Education, \$188,714**

**MOTION #02-07 by Clerk Dolores Marquez-Frausto to accept and approve the AchieveKids Contract as presented. MOTION #02-07 was seconded by Member Andrés Quintero.**

***MOTION #02-07 carried with a unanimous vote; no opposition; no absent; and no abstention.***

**8. CONTRACTS OVER \$100,000 (continued)**

**8.03 Approve Maxim Healthcare Services, Student Services, \$100,000**

**MOTION #02-08 by Clerk Dolores Marquez-Frausto to accept and approve the Maxim Healthcare Services Contract as presented. MOTION #02-08 was seconded by Vice-President Karen Martinez.**

***MOTION #02-08 carried with a unanimous vote; no opposition; no absent; and no abstention.***

**8.04 Approve Think Together “Late Start Kinder Academy” Contract, 9 Schools, State & Federal, \$112,640**

**MOTION #02-09 by Member Andrés Quintero to accept and approve the Think Together Contract as presented. MOTION #02-09 was seconded by Clerk Dolores Marquez-Frausto.**

***MOTION #02-09 carried with a unanimous vote; no opposition; no absent; and no abstention.***

**8.05 Approve Citizen Schools, ASES Program, 4 Schools, State & Federal, \$627,617**

**MOTION #02-10 by Member Andrés Quintero to accept and approve the Citizen Schools ASES Program Contract as presented. MOTION #02-10 was seconded by Vice-President Karen Martinez.**

***MOTION #02-10 carried with a unanimous vote; no opposition; no absent; and no abstention.***

**8.06 Approve YMCA of Silicon Valley-East Valley Branch, 2 Schools, ASES Program, State & Federal, \$234,576**

**MOTION #02-11 by Clerk Dolores Marquez-Frausto to accept and approve the YMCA of Silicon Valley-East Valley Branch Contract as presented. MOTION #02-11 was seconded by Member Andrés Quintero.**

***MOTION #02-11 carried with a unanimous vote; no opposition; no absent; and no abstention.***

**8. CONTRACTS OVER \$100,000 (continued)**

**8.07 Approve City of San Jose, Parks, Recreation, and Neighborhood Services, Lyndale, ASES Program, State & Federal, \$113,123**

**MOTION #02-12 by Member Andrés Quintero to accept and approve the City of San Jose, Parks, Recreation, and Neighborhood Services, for the Lyndale ASES Program Contract as presented. MOTION #02-12 was seconded by Vice-President Karen Martinez**

Assistant Superintendent Rene Sanchez confirmed that we have the ASES Program at all of the schools.

***MOTION #02-12 carried with a unanimous vote; no opposition; no absent; and no abstention.***

**8.08 Child Nutrition Services-RFP 1819-CNS01 Distribution of Commercial Food Products-Food and Nutrition Products, Paper Supplies, Cleaning Supplies, and Delivery Services for the 2018-19 School Year, \$1,000,000**

**MOTION #02-13 by Clerk Dolores Marquez-Frausto to accept and approve the CNS Contract as presented. MOTION #02-13 was seconded by Member Andrés Quintero.**

Board Comments: Clerk Dolores Marquez-Frausto and Member Khanh Tran.

Mary Fell, Director, Child Nutrition, spoke on this item and briefly explained the process.

***MOTION #02-13 carried with a unanimous vote; no opposition; no absent; and no abstention.***

**8.09 Assignment of United States Department of Agriculture (USDA) Commodities for 2018-19 School Year, \$1,715,000**

**MOTION #02-14 by Member Andrés Quintero to accept and approve the United States Department of Agriculture (USDA) Commodities Contract as presented. MOTION #02-14 was seconded by Clerk Dolores Marquez-Frausto.**

Mary Fell, Director, Child Nutrition, spoke on this item and briefly explained the contract.

***MOTION #02-14 carried with a unanimous vote; no opposition; no absent; and no abstention.***

**8. CONTRACTS OVER \$100,000 (continued)**

**8.10 Approve CMAS Contract No. 7-17-99-31-02 Mohawk Carpet Distribution, Inc., MOT, \$106,000**

**MOTION #02-15 by Member Andrés Quintero to accept and approve the CMAS with Mohawk Carpet Distribution Contract as presented. MOTION #02-15 was seconded by President Esau Ruiz Herrera.**

Assistant Superintendent Kolvira Chheng reported that this contract was for the entire district; however, mainly for the school sites and our own maintenance staff would be the installers.

***MOTION #02-15 carried with a unanimous vote; no opposition; no absent; and no abstention.***

**9. SUPERINTENDENT / BOARD BUSINESS**

**9.01 Request to Change Date of September Board Meeting**

There was dialogue on this agenda item with the Board revisiting possible meeting dates other than the scheduled September 13 date. However, after the discussion, no action was taken and the meeting date remained as originally scheduled, September 13, 2018.

There was consensus from the Board to combine both of these agenda items with one action:

**9.02 2018-19 CSBA Membership Dues for \$13,122 per year, period from July 1, 2018-June 30, 2019**

**9.03 2018-19 CSBA Education Legal Alliance (ELA) Membership Dues for \$3,280 per year, period from July 1, 2018-June 30, 2019**

**MOTION #02-16 by Member Andrés Quintero to Table both Agenda Items 9.02 and 9.03 until next month. MOTION #02-16 was seconded by Clerk Dolores Marquez-Frausto.**

The Board had dialogue on both agenda items.

***MOTION #02-16 failed with a vote of 2 in favor (Member Andrés Quintero and Clerk Dolores Marquez-Frausto); 2 in opposition (President Esau Ruiz Herrera and Vice-President Karen Martinez); no absent; and 1 abstention (Member Khanh Tran).***

The Board had additional dialogue. Administration reminded the Board that payment was due on both agenda items by Friday, August 31, 2018.

**9. SUPERINTENDENT / BOARD BUSINESS *(continued)***

**9.02 2018-19 CSBA Membership Dues for \$13,122 per year, period from July 1, 2018-June 30, 2019**

**9.03 2018-19 CSBA Education Legal Alliance (ELA) Membership Dues for \$3,280 per year, period from July 1, 2018-June 30, 2019**

**MOTION #02-17 by Member Andrés Quintero to accept and approve both Agenda Items 9.02 and 9.03 as submitted. MOTION #02-17 was seconded by President Esau Ruiz Herrera.**

***MOTION #02-17 carried with a unanimous vote; no opposition; no absent; and no abstention.***

**9.04 Call for CSBA Nominations for Directors-At-Large Asian/Pacific Islander and Hispanic**

President Esau Ruiz Herrera gave some information from past CSBA Nominations. The Board had brief dialogue on this agenda item. President Esau Ruiz Herrera nominated Mr. George Sanchez, Board Member from Franklin McKinley School District, and explained to the audience his reasons why.

**MOTION #02-18 by President Esau Ruiz Herrera to nominate Mr. George Sanchez, Franklin McKinley School District, for the CSBA Director-at-Large, Asian/Pacific Islander and Hispanic. MOTION #02-18 was seconded by Member Andrés Quintero.**

***MOTION #02-18 carried with a unanimous vote; no opposition; no absent; and no abstention.***

**9.07 Administration will provide a Verbal Report on the status of the Vietnamese Dual Language Immersion Program at ARUSD**

Member Andrés Quintero stated that he asked this item be placed on the agenda and wanted to know about the progress today from a year ago. Superintendent Hilaria Bauer stated that she has been working with Principal Le Tran and are currently looking for teachers with certification with a possibility of a Saturday school or an afterschool program, but first need to verify if families are interested. Superintendent Hilaria Bauer commented that she will be following up and will inform the Board when she has more information.

## **10. INSTRUCTIONAL SERVICES**

### **10.01 Title I – Authorization for School-Wide Program at Adelante II Dual Language Academy**

**MOTION #02-19 by Member Andrés Quintero to accept and approve the Title I- Authorization for School-Wide Program at Adelante II as presented. MOTION #02-19 was seconded by Clerk Dolores Marquez-Frausto.**

***MOTION #02-19 carried with a unanimous vote; no opposition; no absent; and no abstention.***

### **10.02 English Language Learners-Reclassification Criteria**

**MOTION #02-20 by Vice-President Karen Martinez to accept and approve the English Language Learners-Reclassification Criteria as presented. MOTION #02-20 was seconded by Clerk Dolores Marquez-Frausto and Member Andrés Quintero.**

***MOTION #02-20 carried with a unanimous vote; no opposition; no absent; and no abstention.***

### **10.03 Seal of Biliteracy Achievement Awards-Criteria**

**MOTION #02-21 by Member Andrés Quintero to accept and approve the Seal of Biliteracy Achievement Awards-Criteria as presented. MOTION #02-21 was seconded by Clerk Dolores Marquez-Frausto.**

***MOTION #02-21 carried with a unanimous vote; no opposition; no absent; and no abstention.***

### **10.04 Obsolete Materials: Teacher Curriculum and Instruction (TCI)**

**MOTION #02-22 by Clerk Dolores Marquez-Frausto to accept and approve the Obsolete Materials: TCI as presented. MOTION #02-22 was seconded by Member Andrés Quintero.**

Member Khanh Tran asked if “obsolete” meant computers. Superintendent Hilaria Bauer replied, “No, it means books.”

***MOTION #02-22 carried with a unanimous vote; no opposition; no absent; and no abstention.***

## **12. HUMAN RESOURCES**

### **12.01 Information Regarding Resignations**

There was consensus from the Board to accept the resignations as presented.

## **13. CONSENT CALENDAR**

Member Andrés Quintero asked to pull two agenda items to be discussed separately:

### ***13.02 Approve Contracts for Professional Services – Firms***

### ***13.08 Approve the Certification of Correction for the 2016-17 Audit Report***

Member Khanh Tran asked to pull one agenda item to be discussed separately:

### ***13.01 Approval of Minutes for the following meetings: (1) June 14, 2018 and (2) July 12, 2018, Regular Board Meetings***

**MOTION #02-23 by Vice-President Karen Martinez to accept and approve the Consent Calendar as amended. MOTION #02-23 was seconded by Clerk Dolores Marquez-Frausto.**

***MOTION #02-23 carried with a vote of 4 in favor (President Esau Ruiz Herrera, Clerk Dolores Marquez-Frausto, Member Andrés Quintero, and Member Khanh Tran); no opposition; no absent; and no abstention. Vice-President Karen Martinez not voting.***

### ***13.01 Approval of Minutes for the following meetings: (1) June 14, 2018 and (2) July 12, 2018, Regular Board Meetings***

Member Khanh Tran asked administration if the previous minutes had been corrected? Marie Sanchez, Recording Secretary, replied, "Yes, they have been corrected."

**MOTION #02-24 by Member Khanh Tran to accept and approve the Minutes for June 14, 2018 and July 12, 2018 as presented. MOTION #02-24 was seconded by Member Andrés Quintero.**

***MOTION #02-24 carried with a unanimous vote; no opposition; no absent; and no abstention.***

### **13. CONSENT CALENDAR *(continued)***

#### **13.02 Approve Contracts for Professional Services – Firms**

Member Andrés Quintero stated that he had some concerns with the auditing firm contract. He would like the District to begin to search for other firms because he has lost confidence with this firm. Member Khanh Tran also was in agreement with Member Andrés Quintero and also wants them out and perhaps have the fiscal advisor recommend another audit firm.

**MOTION #02-25 by Member Andrés Quintero to accept and approve the Contracts for Professional Services-Firms as presented. MOTION #02-25 was seconded by Member Khanh Tran.**

***MOTION #02-25 carried with a unanimous vote; no opposition; no absent; and no abstention.***

#### **13.08 Approve the Certification of Correction for the 2016-17 Audit Report**

Member Andrés Quintero stated that after reviewing the recent reports, he would now be supporting the certification.

**MOTION #02-26 by Member Andrés Quintero to accept and approve the Certification of Correction for the 2016-17 Audit Report as presented. MOTION #02-26 was seconded by Member Khanh Tran.**

***MOTION #02-26 carried with a unanimous vote; no opposition; no absent; and no abstention.***

Member Khanh Tran addressed to President Esau Ruiz Herrera that he had a question on *Agenda Item 13.07 Notices of Employment and Changes of Status/August 9, 2018, HR Department.*

President Esau Ruiz Herrera stated this agenda item had not been pulled for separate discussion; therefore, it could not be addressed at this time.

### **14. FUTURE BOARD AGENDA REQUESTS**

#### **14.01 Requests from Board of Trustees and/or Public**

President Esau Ruiz Herrera reminded the Board that they could submit any future agenda items in writing via email.

## **15. ADJOURNMENT**

### **15.01 President Adjourns the Meeting**

President Esau Ruiz Herrera adjourned the meeting at 10:10 p.m.

Respectfully submitted,

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Dolores Marquez-Frausto  
Board Clerk

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue  
San Jose, CA 95127

14.02

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Board of Trustees:

Subject: CONTRACTS FOR PROFESSIONAL SERVICES – FIRMS/ORGANIZATIONS

**Staff Analysis:**

The following contracts for professional services are being presented to the Board of Trustees for review and approval.

**Recommendation:**

Staff recommends approval of the following contracts for professional services on the attached sheets. Contract details are on file in the Purchasing Office.

Prepared by: Maria Martinez m.m. Title: Procurement Manager

Approved by: Kolvira Chheng K Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Recommend Approval

14.02

Agenda Placement

Meeting: September 13, 2018

Special Board Meeting

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**Alum Rock Union Elementary School District  
September 13, 2018 Board Meeting**

**PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS**

<b><u>DEPARTMENT</u></b>	<b><u>DATE OF SERVICE</u></b>	<b><u>CONSULTANT NAME</u></b>	<b><u>COST &amp; FUNDING</u></b>	<b><u>PURPOSE</u></b>
Dorsa Viviana Garcia	09/12/18- 06/05/19	BAWSI Bay Area Women's Sports Initiative	No Cost	Provide mentoring and leadership opportunities for 2 <sup>nd</sup> -5 <sup>th</sup> grade girls through health and wholeness Funding: N/A
Fischer Dr. Imee Almazan	10/08/18- 03/19/19	Stir Fry Seminars	\$5,500.00	Professional development: Diversity, cultural awareness, and cultural sensitivity training for staff Funding: General
Linda Vista Ted Henderson	09/14/18- 06/11/19	Recess 101	\$35,750.00	Provide services to enrich the overall quality of the school day experience for students and educators Funding: General
LUCHA Kristin Burt (missing COI & Endorsements)	09/17/18- 04/17/19	SJSU Research Foundation/SJ Area Writing Project	\$2,250.00	Facilitate after-school writing classes for 4 <sup>th</sup> and 5 <sup>th</sup> grade students Funding: General
Mathson Vince Iwasaki	08/13/18- 06/30/19	SEEDS School Services	\$31,400.00	Master Contract: Seeds is providing restorative practices training at Mathson M.S, Chavez, & San Antonio E.S. Funding: By Cowell Foundation Grant
Ocala Steam Tracy Leathers	07/01/18- 12/31/18	RAFT	\$2,000.00	Design and implement a makerspace for hands on learning at Ocala Steam Academy Funding: General
Painter George Kleidon	09/17/18- 06/11/19	Think Together, Inc.	\$11,930.00 NTE	Provide a program leader to assist with student activities which promote and support communication, collaboration and conflict resolution skills Funding: General
Renaissance at Fischer Doug Kleinhenz	09/14/18- 06/11/19	The Audacity Performing Arts Project	\$4,999.00	After school musicals performances – Lion King Jr. Funding: Restricted

**PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS**

Renaissance at Mathson Doug Kleinhenz	09/14/18-06/11/19	The Audacity Performing Arts Project	\$4,999.00	After school musicals performances – Aladdin Jr Funding: Restricted
Ryan School Raquel Katz	11/27/18-03/01/19	The Audacity Performing Arts Project	\$3,000.00	Produce and direct Disney’s Lion King Kids, rehearsals and performance Funding: ASBF
Academic Services Rene Sanchez	09/14/18-06/30/19	ACSA	\$35,625.00	ACSA will provide coaching and training for first and second year administrators Funding: General
Academic Services Rene Sanchez	09/14/18-12/30/19	WestEd	No Cost	Observe and measure the effectiveness of online supplemental math products as they are integrated into 4th grade mathematics instruction Funding: N/A
Human Resources Jess Serna	09/14/18-06/30/19	McPherson & Jacobson, LLC.	\$6,250.00	Recruitment and screening of Assistant Superintendent of Human Resources candidates Funding: General
Instructional Services Barbara Campbell	09/08/18-01/26/19	Conscious Teaching, LLC	\$12,500.00	Three workshops focusing on classroom management strategies and brain compatible teaching and learning Funding: Restricted/General
Special Education Jean Gallagher	08/01/18-06/30/19	Safe & Civil Schools	\$5,400.00	Professional development for “Positive Climate to Reduce Suspensions and Improve Motivation” Funding: General
Special Education Jean Gallagher	08/01/18-06/30/19	The Speech Pathology Group	\$850.00	Professional development for speech therapists “Evaluation and Eligibility Determination for English Learners” Funding: General

**Alum Rock Union Elementary School District  
September 13, 2018 Board Meeting**

**PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS**

Special Education Jean Gallagher	07/01/18- 06/30/19	Spectrum School	\$93,792.00	Tuition related cost for non-public school for students residing in Alum Rock Funding: Sp Ed
Special Education Jean Gallagher	07/01/18- 06/30/19	Vista Center For The Blind & Visually Impaired	\$8,750.00	Contract Increase C1819048 Original contract amount \$4,000.00 New contract amount \$12,750.00 Increase due to a new student added to the contract Funding: General
State & Federal Sandra Garcia	09/13/18- 09/13/19	Big Brothers Big Sisters of the Bay Area	No Cost	Trainings targeted at serving and mentoring foster youth to include trainings on college access, workplace development and permanency Funding: N/A
State & Federal Sandra Garcia	09/13/18- 09/13/19	The Future Talent of Silicon Valley dba: The First Tee of Silicon Valley	No Cost	Master Contract: Set of 18 golf activity plans designed to expose students to core values, life skills, and healthy habits. Services available to all ARUSD schools Funding: N/A
State & Federal Sandra Garcia	09/14/18- 06/30/19	Grail Family Services	\$10,000.00	Parent education about the importance of reading to improve student's abilities. Sessions offered at Dorsa and San Antonio schools Funding: Restricted
State & Federal Sandra Garcia	09/14/18- 09/14/19	Panorama Education, Inc.	\$26,260.00	Administer district-wide surveys of family, staff and students of ARUSD Funding: Restricted
Student Services Norma Flores	09/14/18- 06/30/19	Asians Americans for Community Involvement (AACI)	No Cost	Substance abuse prevention through lunch clubs at specific middle schools sites facilitated through AACI Funding: N/A

**PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS**

Student Services Norma Flores	09/14/18- 06/30/21	Assistance League of San Jose–Operation School Bell	No Cost	Master Contract: Provide uniforms and socks for students in need at various schools within the district Funding: N/A
Student Services Norma Flores	09/14/18- 06/30/21	Assistance League of San Jose	No Cost	Master Contract: For “Readers are Leaders.” The League will furnish volunteers to read to students. District-wide program Funding: N/A
Student Services Norma Flores	09/14/18- 06/30/19	Fresh Lifelines for Youth, Inc. (FLY)	No Cost	Provide youth with practical information about laws relevant to their daily lives at Fischer, Renaissance at Fischer and Renaissances at Mathson and Mathson M.S Funding: N/A
Student Services Norma Flores	09/30/18- 06/30/19	Lozano Smith Attorneys at Law	\$5,000.00	Provide legal consultations and professional developments regarding various school climate topics Funding: General
Student Services Norma Flores	09/14/18- 06/30/19	Uplift Family Services	No Cost	Provide classroom presentations, parent and staff trainings, targeted to address bullying, drug and alcohol use and self-mutilation Funding: N/A
Superintendent Hilaria Bauer	09/18/18- 09/18/18	Carlos Pino dba: Churros el Guero	\$727.50	Providing desserts for the 2018 Back To District Night Funding: General



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

2018 SEP -6 AM 11:46

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: Dosa Elementary (School/Dept.) VENDOR NO. \_\_\_\_\_  
 PROGRAM MANAGER: Viviana Garcia

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: BAWSI  
 Address: 1922 Th Alameda Sutie 420 City: San Jose State: CA Zip: 95126  
 Phone: (\_\_\_\_\_) Email Address: \_\_\_\_\_  
 SSN: \_\_\_\_\_ or Fed I.D. #: 55-097084

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** start date September 12, 2018 end date June 5, 2019  
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

To transform the health and wholeness for 2n-5th grade girls at Dorsa Elementary. To provide mentoring and leadership opportunities. Introduce stations of sports, foundations for sport and confidence building (FREE Program)

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ \_\_\_\_\_ (describe rate agreement) Zero Cost to the District

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: Fischer Middle School (School/Dept.) VENDOR NO. \_\_\_\_\_  
 PROGRAM MANAGER: Imee Almazan, Ed.D.

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Stir Fry Seminars  
 Address: 2311 8th Street City: Berkeley State: CA Zip: 94710  
 Phone: ( 510 ) 204-8840 Email Address: melissa@stirfryseminars.com  
 SSN: \_\_\_\_\_ or Fed I.D. #: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date October 8, 2018 end date March 19, 2019  
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Diversity, cultural awareness, and cultural sensitivity training/professional development for staff

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$5,500 (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	130	5000	5815	5,500	LCAP	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

2018 SEP -6 PM 3:09

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: Linda Vista (School/Dept.)

VENDOR NO. 21359

PROGRAM MANAGER: Ted Henderson

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Recess 101

Address: 1900 Camden Av. Suite 101 City: San Jose State: CA Zip: 95124

Phone: (408) 508-0302

Email Address: \_\_\_\_\_

SSN: \_\_\_\_\_ or Fed I.D. #: 46-2789450

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** start date September 14, 2018 end date June 11, 2019
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Recess 101 provides direct services to enrich the overall quality of the school day experience for students and educators. Recess supervision, support of Anti-bullying program and PE support for K-5.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

       a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ 35,750 (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	020	5000	0	22,750		
03	020	2850	0	13,000		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: LUCHA Elementary School (School/Dept.) VENDOR NO. \_\_\_\_\_  
 PROGRAM MANAGER: Kristin Burt

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: SJSU Research Foundation/SJ Area Writing Project  
 Address: SJAWP Office, FO 126 SJSU City: San Jose State: CA Zip: 95192  
 Phone: (408) 924-4412 Email Address: sanjosewritingproject@sjsu.edu  
 SSN: \_\_\_\_\_ or Fed I.D. #: 94-6017638

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 17, 2018 end date April 17, 2019  
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

SJSURF/SJAWP will facilitate two sessions of after-school writing classes for fourth and fifth grade students. Classes will cover various writing genres and focus on moving students through the writing process. These classes will be held on Mondays and Wednesdays after school.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

X a. **Fee Rate:** \$ 50 per hour/day of service as may be requested by ARUESD, not to exceed a maximum of 45 hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

b. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	2850	5000	5815	\$2,250	LCAP	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

## MASTER CONTRACT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: Mathson Middle School (School/Dept.)

VENDOR NO. 22286

PROGRAM MANAGER: Vince Iwasaki

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: SEEDS School Services

Address: 2530 San Pablo Avenue, Suite A City: Berkeley State: CA Zip: 94702

Phone: ( 510 ) 548 - 2377 Email Address: www.seedscrc.org

SSN: \_\_\_\_\_ or Fed I.D. #: 94 - 305 - 4165

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date 08/13/18 end date 06/30/19

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

### MASTER CONTRACT

SEEDS is providing restorative practices training to Mathson Middle, Chavez Elementary,

& San Antonio Elementary funded by a Cowell Foundation grant.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service,

X b. **Other:** \$ 31,400 (describe rate agreement) for services for the year

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
06	9111	0305	8699	31,400	Cowell Founda	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: Ocala STEAM Academy (School/Dept.) VENDOR NO. 13054  
 PROGRAM MANAGER: Tracy Leathers

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: RAFT  
 Address: 1355 Ridder Park Dr City: San Jose State: CA Zip: 95131  
 Phone: 408 451-1420 Email Address: \_\_\_\_\_  
 SSN: \_\_\_\_\_ or Fed I.D. #: 77-0365627

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date 07/01/2018 end date 12/31/2018  
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

To design and implement a makerspace for hands-on learning at Ocala STEAM Academy.  
Build & deliver makerspace furniture as per agreed-upon design  
Supply makerspace via delivery of 3-5 pallets of recycled materials

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Other:** \$ 2000 (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	260	28500	5815	2000.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

2018 AUG 27 AM 11:53

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: Painter/State and Federal (School/Dept.) VENDOR NO. \_\_\_\_\_  
 PROGRAM MANAGER: George Kleidon

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: THINK Together Inc.  
 Address: 2101 E. Fourth St. Suite B-200 City: Santa Ana State: CA Zip: 92705  
 Phone: 408 946-2727 Email Address: \_\_\_\_\_  
 SSN: \_\_\_\_\_ or Fed I.D. #: 33-0781751

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date 9/17/2018 end date 6/11/2019  
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Think Together will provide a Program Leader to assist with student activities which promote and support communication, collaboration, and conflict resolution skills during recess and lunch periods, as well as during monthly grade level meetings.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ \_\_\_\_\_ (describe rate agreement) Not to exceed \$ 11,930.00

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	360	5210	5815	11,930.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

**PURCHASING**

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: Renaissance Academy @ Fischer (School/Dept.)

VENDOR NO. 22678

PROGRAM MANAGER: Doug Kleinhenz

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: The Audacity Performing Arts Project, Inc.

Address: 979 Bucknam Ave. City: Campbell State: CA Zip: 95008

Phone: (408) 348-4026

Email Address: \_\_\_\_\_

SSN: \_\_\_\_\_ or Fed I.D. #: 46-1444034

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 14, 2018 end date June 11, 2019

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

After School Musicals Performances - Lion King Jr.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ 4999.00 (describe rate agreement) Total cost of services

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
06	290	1190	5815	4999.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT PURCHASING

## INDEPENDENT CONTRACTOR AGREEMENT

2018 AUG 27 PM 12:41

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: Renaissance Academy @ Mathson (School/Dept.) VENDOR NO. 22678  
PROGRAM MANAGER: Doug Kleinhenz

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: The Audacity Performing Arts Project, Inc.

Address: 979 Bucknam Ave. City: Campbell State: CA Zip: 95008

Phone: (408) 348-4026 Email Address: \_\_\_\_\_

SSN: \_\_\_\_\_ or Fed I.D. #: 46-1444034

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 14, 2018 end date June 11, 2019

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

After School Musicals Performances - Alladin Jr.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ 4999.00 (describe rate agreement) Total cost of services

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
06	295	1190	5815	4999.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

## INDEPENDENT CONTRACTOR AGREEMENT

2018 AUG 23 AM 9:25

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: Ryan Elementary (School/Dept.) VENDOR NO. 22678  
 PROGRAM MANAGER: Raquel Katz

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: The Audacity Performing Arts Project  
 Address: 979 Bucknam Ave City: San Jose State: CA Zip: 95008  
 Phone: (408) 348-4026 Email Address: stonecollonge@gmail.com  
 SSN: \_\_\_\_\_ or Fed I.D. #: 46-1444043

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** start date November 27, 2018 end date March 1, 2019  
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

The Audacity Performing Arts will produce and direct Disneys Lion King Kids, rehearsals and performance

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Other:** \$3,000.00 (describe rate agreement) 1000.00 to be paid by October 1, 2018 and remainder balance of 2000.00 by February 1, 2019

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
95	100	05020	5815	3,000.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: Academic Services (School/Dept.) VENDOR NO. \_\_\_\_\_  
PROGRAM MANAGER: Rene Sanchez

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: ACSA  
Address: 1029 J Street #500 City: Sacramento State: CA Zip: 95814  
Phone: ( ) Email Address: jruzic@acsa.org  
SSN: \_\_\_\_\_ or Fed I.D. #: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 14, 2018 end date June 30, 2019  
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

The Association of California School Administrators (ACSA) will provide coaching and training for the First and Second Year Administrators.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ 35,625.00 (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	305	5020	5815	\$35,625.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

2018 SEP -6 PM 12:35

## Request for Contracted Services

To: BUSINESS OFFICE Contract No.: \_\_\_\_\_ Vendor No.: \_\_\_\_\_

Academic Services (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☒ **MOU** (negotiated Agreement)

☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**

☒ Scope of Work/Proposal

**Note:** All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: WestEd

Address: 300 Lakeside Drive, 25th Floor City: Oakland State: CA Zip: 94612

Phone: (510) 302-4253 Email: kflynn@wested.org

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

**CONTRACT TERM:** start dates September 14, 2018 end date December 30, 2019

### CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

WestEd will continue work with the Alum Rock Union School District to observe and measure the effectiveness of online, supplemental math products as they are integrated into fourth grade mathematics instruction along with WLS studies.

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

     a. **Fee Rate:** \$ \_\_\_\_\_ per \_\_\_\_\_ Not to Exceed \_\_\_\_\_ of services.

  X   b. **Other:** \$ No Cost to the District

Describe other related costs: \_\_\_\_\_

BUDGET CODE: \_\_\_\_\_

Accountant Initials

Accountant Initials

### APPROVALS:

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:**

Site/Department Administrator: [Signature] Date: 9-6-18

Director of Fiscal Services: \_\_\_\_\_ Date: \_\_\_\_\_

Asst. Supt., of Business Services: \_\_\_\_\_ Date: \_\_\_\_\_

Superintendent: \_\_\_\_\_ Date: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

2018 SEP -6 PM 12:36

## Request for Contracted Services

To: BUSINESS OFFICE Contract No. \_\_\_\_\_ Vendor No. \_\_\_\_\_  
Human Resources (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☒ MOU (negotiated Agreement)  
☐ Exhibit B & C (Fingerprinting and TB Test)

☐ MASTER CONTRACT PARTICIPATION  
☐ Scope of Work/Proposal

Note: All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement

Name of Individual/Company: McPherson & Jacobson, LLC *Handwritten signature*  
Address: 11725 Arbor St., Suite 220 City: Omaha State: NE Zip: 68144  
Phone: (402) 991-7031 Email: mail@macnjake.com  
SSN: \_\_\_\_\_ Fed I.D. #: 47-0808812

CONTRACT TERM: start dates 09/14/2018 end date 06/30/2019

### CONTRACTOR'S OBLIGATION

Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

Recruitment and screening of Assistant Superintendent of Human Resources candidates

COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

X a. Fee Rate: \$ 6250 per \_\_\_\_\_ Not to Exceed \_\_\_\_\_ of services.  
X b. Other: \$ Expenses including advertising, consultant travel and office expenses

Describe other related costs: \_\_\_\_\_

BUDGET CODE: 03-600-7700-0-5815

Accountant Initials \_\_\_\_\_

Accountant Initials \_\_\_\_\_

### APPROVALS:

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: Jim Barton (on behalf of Jess Samra) Date: 9/6/2018  
Director of Fiscal Services: \_\_\_\_\_ Date: \_\_\_\_\_  
Asst. Supt., of Business Services: \_\_\_\_\_ Date: \_\_\_\_\_  
Superintendent: \_\_\_\_\_ Date: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

2018 SEP -6 PM 3:10

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_

FROM: Instructional Services (School/Dept.) VENDOR NO. \_\_\_\_\_

PROGRAM MANAGER: Barbara Campbell

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Conscious Teaching, LLC

Address: 21 Crest Road City: Fairfax State: CA Zip: 94930

Phone: (\_\_\_\_\_) \_\_\_\_\_ Email Address: 20-2986950

SSN: \_\_\_\_\_ or Fed I.D. #: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** start date September 8, 2018 end date January 26, 2019

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Three workshops focusing on classroom management strategies and brain-compatible teaching and learning.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Other:** \$ 12,500.00 (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
06	360	13860	5815	\$4,500	ASES	
03	305	50200	5815	\$8,000	LCAP PD	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: Special Education Department (School/Dept.) VENDOR NO. \_\_\_\_\_  
 PROGRAM MANAGER: Jean Gallagher

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Safe & Civil Schools  
 Address: P.O. Box 50550 City: Eugene State: OR Zip: 97405  
 Phone: (541) 245-1442 Email Address: \_\_\_\_\_  
 SSN: \_\_\_\_\_ or Fed I.D. #: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date August 2018 end date June 30, 2019  
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Professional Development for "Positive Climate to Reduce Suspensions and Improve Motivation" on 10/19/18.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ 5,400 (describe rate agreement) Presentation/travel expenses

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	305	5020-0	5815	5,400		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
2019 AUG 16 PM 2:34

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: Special Education Department (School/Dept.) VENDOR NO. 22414  
 PROGRAM MANAGER: Jean Gallagher

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: The Speech Pathology Group  
 Address: 2021 Ygnacio Valley Rd. #C-103 City: Walnut Creek State: CA Zip: 94598  
 Phone: (925) 945-1474 Email Address: \_\_\_\_\_  
 SSN: \_\_\_\_\_ or Fed I.D. #: 94-3290122

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date August 2018 end date June 30, 2019  
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Professional Development for Speech Therapists "Evaluation and Eligibility Determination for English Learners".

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

       a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Other:** \$ 850.00 (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	305	5020-0	5815	850.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## Request for Contracted Services

To: BUSINESS OFFICE Contract No.: \_\_\_\_\_ Vendor No.: \_\_\_\_\_

Special Education (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☐ MOU (negotiated Agreement)  
☐ Exhibit B & C (Fingerprinting and TB Test)

☒ MASTER CONTRACT PARTICIPATION  
☐ Scope of Work/Proposal

Note: All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: Spectrum School

Address: 16360 San Pablo Ave City: San Pablo State: Ca Zip: 94806

Phone: (510) 741-2729 Email: \_\_\_\_\_

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

CONTRACT TERM: start dates July 1, 2018 end date June 30, 2019

### CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

Tuition related cost for non public school for students residing in Alum Rock.

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

X a. Fee Rate: \$ 246.00 per day Not to Exceed 210 days of services.

X b. Other: \$ \$42,132 + 51,660 = 93,792

Describe other related costs: 1:1 aide, speech & OT

BUDGET CODE: 08-380-1820-0-5100

Accountant Initials \_\_\_\_\_

Accountant Initials \_\_\_\_\_

### APPROVALS:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: [Signature] Date: 8-23-18

Director of Fiscal Services: \_\_\_\_\_ Date: \_\_\_\_\_

Asst. Supt., of Business Services: \_\_\_\_\_ Date: \_\_\_\_\_

Superintendent: \_\_\_\_\_ Date: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

## PURCHASE ORDER / CONTRACT ADJUSTMENT

2018 AUG 29 PM 4: 26

P.O. No. \_\_\_\_\_ Contract No. C1819048 Contract Original Amount: \$ 4,000

Vendor Name: Vista Center for the Blind & VI Vendor No. 21032

Please check:

- ☐ Cancel P.O. / Contract
- ☐ Change Item No.: \_\_\_\_\_
- ☐ Increase Amount Line Item No.: \_\_\_\_\_
- ☐ Decrease Amount Line Item No.: \_\_\_\_\_
- ☐ Add Line No.: \_\_\_\_\_
- ☐ Delete Line No.: \_\_\_\_\_
- ☐ Change org key/object code to: \_\_\_\_\_
- ☐ Change Vendor No. to: \_\_\_\_\_
- ☐ Add Freight: \$ \_\_\_\_\_
- ☐ Add Tax: \_\_\_\_\_ %
- ☐ Disencumber
- ☐ Change Order No.: \_\_\_\_\_
- ☐ Other \_\_\_\_\_

### CONTRACTS ONLY

Change Orders:

Contracts Original Amount \$ 4,000  
Change Order #: 1 \$ 8,750  
Change Order #: \_\_\_\_\_ \$ \_\_\_\_\_  
Change Order #: \_\_\_\_\_ \$ \_\_\_\_\_

**New Contract Total** \$ 12,750  
(including Change Orders)

Line #	FUND	LOCATION	PROGRAM	ACCOUNT	\$ AMOUNT	Accountant Signature & Date
1	08	380	1801-H	5815	+8,750	

Reason for Adjustment: A new student with orientation and mobility needs is added to the contract.

School/Dept.: <u>Special Education</u>	Requested by: <u>LeAnne Depew</u>
Approval: _____ (for Contracts Only) <u>[Signature]</u> <u>125</u>	Assistant Superintendent of Business Services
	Program Manager
	Purchasing Manager
Date Requested: _____	Date Entered: _____ Entered By: _____

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of \$15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

2018 AUG 27 AM 11:58

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: State & Federal Programs (School/Dept.) VENDOR NO. \_\_\_\_\_  
 PROGRAM MANAGER: Sandra Garcia

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Big Brothers Big Sisters of the Bay Area  
 Address: 65 Battery Street City: San Francisco State: CA Zip: 94111  
 Phone: ( 415 ) 503-4035 Email Address: cbarron@bbbsba.org  
 SSN: \_\_\_\_\_ or Fed I.D. #: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 13, 2018 end date September 13, 2019  
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

BBBS BA will provide two trainings annually that are targeted at serving and mentoring foster youth, and also provide access to all BBBS BA match activities and events that include training on college access, workplace development and permanency including social and emotional intelligence.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Other:** \$ "NO COST" (describe rate agreement) Services at no cost to ARUSD in the first twelve months of the program.

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
-	-	-	-	\$0		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
2018 AUG 27 PM 12:37

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: State & Federal Programs (School/Dept.) VENDOR NO. \_\_\_\_\_  
 PROGRAM MANAGER: Sandra Garcia

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: The Future Talent of Silicon Valley DBA The First Tee of Silicon Valley  
 Address: 1922 The Alameda Suite 214 City: San Jose State: CA Zip: 95126  
 Phone: (408) 288-2937 Email Address: chris@TFTSV.org  
 SSN: 46-3102278 or Fed I.D. #: 46-3102278

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 13, 2018 end date September 13, 2019

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

The First Tee Drive is a set of 18 golf activity plans designed to expose students to Core

Values, Life Skills, and Healthy Habits. Drive Curriculum, Training, Support, and Delivery.  
To Serve Aptitud @Goss, San Antonio, and other ARUSD schools.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ "**NO COST**" (describe rate agreement) Services at no cost to ARUSD in the  
first twelve months of the program.

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
-	-MASTER	CONTRACT-	-	\$0	-	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**

*[Signature]*



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: State & Federal (School/Dept.) VENDOR NO. 17569  
PROGRAM MANAGER: Sandra Garcia

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Grail Family Services  
Address: 2003 E San Antonio City: San Jose State: CA Zip: 95116  
Phone: (408) 347-7892 Email Address: \_\_\_\_\_  
SSN: \_\_\_\_\_ or Fed I.D. #: 77-0397354

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 14, 2018 end date June 30, 2019  
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Parent education about importance of reading to improve students' reading abilities. The initiative is designed to provide 2-8 week parent education sessions to parents at Dorsa and San Antonio Elementary Schools.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ 10,000.00 (describe rate agreement) Not to Exceed \$10,000.00

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
06	360	11900	5815	10,000.00	Title I	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: State and Federal Programs (School/Dept.) VENDOR NO. 22390  
PROGRAM MANAGER: [Signature]

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Panorama Education, Inc.  
Address: 24 School Street, Suite 4 City: Boston State: MA Zip: 02108  
Phone: (617)366-8123 Email Address: \_\_\_\_\_  
SSN: \_\_\_\_\_ or Fed I.D. #: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date 09/14/2018 end date 09/14/2019  
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Administer District-wide surveys of family, staff and students of Alum Rock Union  
Elementary School District.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ 26,260.00 (describe rate agreement) Not To Exceed \$26,260.00

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
06	360	11900	5815	26,260.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

## INDEPENDENT CONTRACTOR AGREEMENT

2018 AUG 35 AM 9:11

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: Student Services (School/Dept.)

VENDOR NO. \_\_\_\_\_

PROGRAM MANAGER: Norma Flores

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Asians Americans for Community Involvement (AACI)

Address: 2400 Moorpark Ave. Suite 300 City: San Jose State: CA Zip: 95128

Phone: (\_\_\_\_) \_\_\_\_\_

Email Address: \_\_\_\_\_

SSN: \_\_\_\_\_ or Fed I.D. #: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date 9/14/18 end date 6/30/19
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary.

Substance abuse prevention through lunch clubs at specific middle school sites facilitated through AACI. All materials are provided by AACI

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

a. **Fee Rate:** \$ 0 per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

b. **Other:** \$ 0 (describe rate agreement) No Cost to District

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
				<u>0</u>		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

2018 AUG 16 PM 2:41

## Request for Contracted Services

To: BUSINESS OFFICE Contract No.: \_\_\_\_\_ Vendor No.: \_\_\_\_\_

Student Services (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☒ **MOU** (negotiated Agreement)  
☒ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**  
☒ Scope of Work/Proposal

**Note:** All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: Assistance League of San Jose-Operation School Bell

Address: P.O. Box 20174 City: San Jose State: CA Zip: 95160

Phone: 408-504-6407 Email: gloria.cutshall@yahoo.com

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

**CONTRACT TERM:** start dates September 14, 2018 end date June 30, 2021

### CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

The Assistance League of San Jose will furnish a designated number of uniforms and socks for students in need for Arbuckle, Cassell, Chavez, Cureton, Dorsa, Aptitud, Hubbard, Lyndale, McCollam, Meyer, Painter, Russo/McEntee, Ryan and San Antonio.

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

     a. **Fee Rate:** \$ \_\_\_\_\_ per \_\_\_\_\_ Not to Exceed \_\_\_\_\_ of services.

X b. **Other:** \$ 0

Describe other related costs: No cost to the District

BUDGET CODE: \_\_\_\_\_

Accountant Initials

Accountant Initials

### APPROVALS:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: [Signature] Date: 8/13/18

Director of Fiscal Services: \_\_\_\_\_ Date: \_\_\_\_\_

Asst. Supt. of Business Services: \_\_\_\_\_ Date: \_\_\_\_\_

Superintendent: \_\_\_\_\_ Date: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

2018 AUG 16 PM 2:48

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: Student Services (School/Dept.) VENDOR NO. \_\_\_\_\_  
PROGRAM MANAGER: Norma Flores

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Assistance League of San Jose  
Address: PO Box 20174 City: San Jose State: CA Zip: 95160  
Phone: 408-504-6407 Email Address: gloria.cutshall@yahoo.com  
SSN: \_\_\_\_\_ or Fed I.D. #: 77-0033914

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 14, 2018 end date June 30, 2021  
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Master Contract for "Readers are Leaders" Philanthropic Program. Assistance League will furnish volunteers to read to students. Books will instill the love for reading and motivate students to read on their own. All books and props will be donated. Open to all schools.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ 0 per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ 0 (describe rate agreement) No cost to district

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
	Master	Contract				

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.  
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.  
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

## INDEPENDENT CONTRACTOR AGREEMENT

SEP 16 PM 3:03

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: Student Services (School/Dept.) VENDOR NO. \_\_\_\_\_  
PROGRAM MANAGER: Norma Flores

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Fresh Lifelines for Youth Inc. (FLY)  
Address: 568 Valley Way City: Milpitas State: CA Zip: 95035  
Phone: ( ) Email Address: \_\_\_\_\_  
SSN: \_\_\_\_\_ or Fed I.D. #: 52-2234595

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 14, 2018 end date June 30, 2019

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

FLY will provide youth with practical information about laws relevant to their daily lives, a positive with laws actors and case management at the following school sites: Ocala, Fischer, Renaissance at Fischer and Renaissance at Mathson.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

a. **Fee Rate:** \$ 0 per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

b. **Other:** \$ 0 (describe rate agreement) No cost to district

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: Student Services (School/Dept.) VENDOR NO. \_\_\_\_\_  
PROGRAM MANAGER: Norma Flores

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2830 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Lozano Smith Attorneys at Law  
Address: 2001 N. Main St. #500 City: Walnut Creek State: CA Zip: 94596  
Phone: 925-953-1620 Email Address: agarcia@lozanosmith.com  
SSN: \_\_\_\_\_ or Fed I.D. #: 80-0874383

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 30, 2018 end date June 30, 2019  
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Student Services Department will reach out to Lozano-Smith Attorneys at Law for legal consultations and professional developments regarding various school climate topics.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Other:** \$ 5000.00 (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	340	32200	5810	\$5,000.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: Student Services (School/Dept.)

VENDOR NO. \_\_\_\_\_

PROGRAM MANAGER: Norma Flores

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Uplift Family Services

Address: 251 Llewellyn Ave City: Campbell State: CA Zip: 95008

Phone: (408) 379-3790 Email Address: \_\_\_\_\_

SSN: \_\_\_\_\_ or Fed I.D. #: 94-2295953

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date 9/14/18 end date 6/30/19

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Uplift Family Services provides Addiction and Prevention Program (APS). APS will provide classroom presentations parent and staff trainings, targeted services will address bullying, drug & alcohol use and self mutilation.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

a. **Fee Rate:** \$ 0 per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

b. **Other:** \$ 0 (describe rate agreement) No cost to district

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: Supt. Office (School/Dept.)

VENDOR NO. 22846

PROGRAM MANAGER: Sandra Garcia

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Carlos Pino / Churros el Guero

Address: 365 Bradley Ave City: San Jose State: CA Zip: 95127

Phone: (408) 603-7362 Email Address: churroselguero@gmail.com

SSN: 938-63-6588 or Fed I.D. #: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** start date Sept. 18, 2018 end date Sept. 18, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Vendor will be on-site at San Antonio Elementary School, host school for the 2018 Back to District Night, making and serving hot churros to families and students.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

  X   b. **Other:** \$ 727.50 (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	360	5410	4300	\$727.50		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue  
San Jose, CA 95127

14.03

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Board of Trustees:

Subject : Acceptance of Memorandum(s) of Understanding

**Staff Analysis:**

The District has received the following Memorandums of Understanding as summarized on the sheet dated September 13, 2018.

**Recommendation:**

Staff recommends acceptance of these memorandums of understanding.

Prepared by: Maria Martinez M.M. Title: Procurement Manager

Approved by: Kolvira Chheng K Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Recommend Approval

14.03

Agenda Placement

Meeting: September 13, 2018

Regular Board Meeting

Hilaria Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**Alum Rock Union Elementary School District**  
**September 13, 2018 Board Meeting**

**MEMORANDUMS OF UNDERSTANDING**

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<b><u>SCHOOL / DEPARTMENT</u></b>	<b><u>DATE OF SERVICE</u></b>	<b><u>CONSULTANT NAME</u></b>	<b><u>COST</u></b>	<b><u>PURPOSE</u></b>
Early Learning Dr. Dianna Ballesteros	08/16/18- 12/31/19	Santa Clara County and Santa Clara County of Education	No Cost	Pilot a Co-Op Preschool program for families not eligible for state subsidized care or are on a waiting list for state subsidized care Funding: N/A
Human Resources Jess Serna	09/14/18- 06/30/21	Concordia University	No Cost	School Counselor Practicum/ Fieldwork Agreement – Applied field experience and practice in school and classroom environments for university students Funding: N/A
Human Resources Jess Serna	09/14/18- 06/30/21	University of Southern California Rossier School of Education	No cost	USC School/District Placement Agreement – field placement experience in the areas of teaching, school counseling and social work Funding: N/A
State & Federal Sandra Garcia	08/14/18- 10/30/18	Santa Clara County Office of Education	\$810.00	Professional development to Principals, Assistant Principals, and School Site Council members on the requirements around School Site Councils Funding: Restricted
Student Services Norma Flores	09/14/18- 06/30/21	Kaiser Foundation Health Plan Inc.	No Cost	Master Contract: Provide live theatre educational health assembly programs and workshops covering a range of age appropriate topics Funding: N/A



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT PURCHASING

## INDEPENDENT CONTRACTOR AGREEMENT

2016 SEP 6 AM 11:12

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: Early Learning (School/Dept.) VENDOR NO. \_\_\_\_\_  
 PROGRAM MANAGER: Dr. Dianna Ballesteros

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Santa Clara County and Santa Clara County of Education  
 Address: 1290 Ridder Park Dr City: San Jose State: CA Zip: 95131  
 Phone: (408) 453-6500 Email Address: \_\_\_\_\_  
 SSN: \_\_\_\_\_ or Fed I.D. #: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date August 16, 2018 end date December 31, 2019

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Pilot a Co-Op Preschool program for families not eligible for state subsidized care or are on a wait list for state subsidized care. The goals of this pilot project are to build a cradle-to-success model by ensuring all children have access to high quality early childhood education.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Other:** \$ In kind cost (describe rate agreement) District will provide appropriate, nutritional foods, custodial, maintenance, security services, technological access, and support staff.

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
				0		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

2018 AUG 23 AM 9:14

## Request for Contracted Services

To: BUSINESS OFFICE Contract No.: \_\_\_\_\_ Vendor No.: \_\_\_\_\_

Human Resources (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☒ **MOU** (negotiated Agreement)  
☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**  
☐ Scope of Work/Proposal

**Note:** All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: Concordia University

Address: 1530 Concordia West City: Irvine State: CA Zip: 92612

Phone: (949) 233-7582 Email: provost@cui.edu

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

**CONTRACT TERM:** start dates 09/14/2018 end date 06/30/2021

### CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

School Counselor Practicum/Fieldwork Agreement - applied field experience and practice  
in school and classroom environments for university students

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

     a. **Fee Rate:** \$ \_\_\_\_\_ per \_\_\_\_\_ Not to Exceed \_\_\_\_\_ of services.

X b. **Other:** \$ No cost

Describe other related costs: \_\_\_\_\_

BUDGET CODE: N/A

Accountant Initials

Accountant Initials

### APPROVALS:

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: [Signature] Date: 8/22/18

Director of Fiscal Services: \_\_\_\_\_ Date: \_\_\_\_\_

Asst. Supt., of Business Services: \_\_\_\_\_ Date: \_\_\_\_\_

Superintendent: \_\_\_\_\_ Date: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## Request for Contracted Services

To: BUSINESS OFFICE Contract No.: \_\_\_\_\_ Vendor No.: \_\_\_\_\_

Human Resources (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☒ **MOU** (negotiated Agreement)  
☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**  
☐ Scope of Work/Proposal

**Note:** All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: University of Southern California, Rossier School of Education

Address: 3551 Trousdale Pkwy, ADM 352 City: Los Angeles State: CA Zip: 90089

Phone: ( 213 ) 740-0224 Email: whouser@rossieronline.usc.edu

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

**CONTRACT TERM:** start dates 09/14/2018 end date 06/30/2021

### CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

USC School/District Placement Agreement - field placement experience in the areas of  
teaching, school counseling and social work

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per \_\_\_\_\_ Not to Exceed \_\_\_\_\_ of services.

X b. **Other:** \$ No cost

Describe other related costs: \_\_\_\_\_

BUDGET CODE: N/A

Accountant Initials

Accountant Initials

### APPROVALS:

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: \_\_\_\_\_ Date: 01/22/18

Director of Fiscal Services: \_\_\_\_\_ Date: \_\_\_\_\_

Asst. Supt., of Business Services: \_\_\_\_\_ Date: \_\_\_\_\_

Superintendent: \_\_\_\_\_ Date: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## Request for Contracted Services

To: BUSINESS OFFICE Contract No.: \_\_\_\_\_ Vendor No.: 10547

State & Federal Programs (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☒ **MOU** (negotiated Agreement)  
☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**  
☐ Scope of Work/Proposal

**Note:** All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement (PUR-116) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: Santa Clara County Office of Education

Address: 1290 Ridder Park Drive City: San Jose State: CA Zip: 95131

Phone: (408) 453- 6529 Email: mayra\_ayala@sccoe.org

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

**CONTRACT TERM:** start dates August 14, 2018 end date October 30, 2018

### CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals, scope of work, and other documentation.)

SCCOE to provide professional development to Principals, Assistant Principals and School Site Council members about the requirements around School Site Councils.

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

     a. **Fee Rate:** \$ \_\_\_\_\_ per \_\_\_\_\_ Not to Exceed \_\_\_\_\_ of services.

  X   b. **Other:** \$ 405.00 half day (less than 4 hours) totaling up to \$810.00

Describe other related costs: \_\_\_\_\_

**BUDGET CODE:** 06-360-1190-0-5815

Accountant Initials \_\_\_\_\_

Accountant Initials \_\_\_\_\_

### APPROVALS:

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: [Signature] Date: 8/9/18

Director of Fiscal Services: \_\_\_\_\_ Date: \_\_\_\_\_

Asst. Supt., of Business Services: \_\_\_\_\_ Date: \_\_\_\_\_

Superintendent: \_\_\_\_\_ Date: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED

2018 AUG 23 AM 9:30

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: Student Services (School/Dept.) VENDOR NO. \_\_\_\_\_  
 PROGRAM MANAGER: Norma Flores

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Kaiser Foundation Health Plan Inc Kaiser Permanente Education  
 Address: 1438 Webster St. Suite 205 City: Oakland State: CA Zip: 94612  
 Phone: (510) 302-4123 Email Address: \_\_\_\_\_  
 SSN: \_\_\_\_\_ or Fed I.D. #: 94-1105628

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date September 14, 2018 end date June 30, 2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Kaiser Permanente as an innovator in health care strives to improve health providing free of charge live theatre educational health assembly programs and workshops, covering a range of age-appropriate topics.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

a. **Fee Rate:** \$ 0 per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

b. **Other:** \$ 0 (describe rate agreement) No cost to district

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
	<u>various school</u>					

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

**CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING**

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 30, 2018

**Subject:**                    **Acceptance of Donations**

**Staff Analysis:**        The District has received donations as summarized on the sheets dated September 13, 2018.

**Recommendation:** Staff recommends approval for acceptance of these donations.

Approved by: Kolvira Chheng <sup>kc</sup> Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting:        September 13, 2018  
                     Regular Board Meeting

**Recommend Approval**

14.04  
Agenda Placement

Hilaria Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**ACCEPTANCE OF DONATIONS**

<b><u>DONOR NAME</u></b>	<b><u>DESCRIPTION OR PURPOSE</u></b>	<b><u>ESTIMATED VALUE</u></b>	<b><u>RECEIVING SCHOOL OR DEPARTMENT</u></b>
Raising a Reader	Materials & Supplies	6 Refresher Sets: Each contains 25 blue library bags, 10 books and 2 red bags	Cureton and Ryan Elementary
YourCause LLC for Wells Fargo Foundation Educational Matching Gifts	Materials & Supplies	\$ 124.80	Lyndale Elementary
YourCause LLC for Applied Materials Foundation & Employee	Materials & Supplies	\$ 25.00	Painter Elementary
YourCause LLC for Applied Materials Foundation & Employee	Materials & Supplies	\$ 200.00	Painter Elementary
America's Charities	Materials & Supplies	\$ 978.75	Painter Elementary
Mr. Thomas Tu Cam Ha	Materials & Supplies	\$ 200.00	Painter Elementary
Environmental Volunteers	Materials & Supplies	\$ 500.00	Painter Elementary

Office of Superintendent of Schools

14.05

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**


To the Board of Trustees:

Date: August 30, 2018

**Subject:**                **Approval of Fundraising Activities**

**Staff Analysis:**      The District has received fundraising requests as summarized on the attached sheets dated September 13, 2018.

**Recommendation:** Staff recommends approval of these fundraising event requests.

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting:      September 13, 2018  
                    Regular Board Meeting

**Recommend Approval**

14.05  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**APPROVAL OF FUNDRAISING ACTIVITY**

---

<b><u>SCHOOL</u></b>	<b><u>FUNDRAISING ACTIVITY</u></b>	<b><u>ACTIVITY SPONSOR</u></b>	<b><u>DATE</u></b>
Chavez Elementary	Pizza Kit Catalog Sales	ASB	09/14/18-10/03/18
Chavez Elementary	Popcorn Catalog Sales	ASB	09/14/18-10/15/18
Chavez Elementary	Spirit Wear Sales	ASB	09/14/18-06/15/19
Chavez Elementary	Contributions to the ASB Account	ASB	09/14/18-06/30/19
Renaissance Acad II	Back to School Night Sales	PTO	09/25/18-09/25/18
Renaissance Acad II	Game Night Sales	PTO	09/21/18-09/21/18
Ryan STEAM Acad	Contributions to the ASB Account	ASB	08/21/18-06/01/19

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

14.06

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:

August 31, 2018

**Subject:** Vendor & Payroll Warrants

**Staff Analysis:** Summary of Vendor and Payroll Warrants issued during August month of Fiscal Year 2017/2018.

Total of vendor warrants	\$	7,655,210.37
Total of payroll warrants	\$	2,087,198.20
<b>Total</b>	<b>\$</b>	<b>9,742,408.57</b>

**Recommendation:** Staff recommends approval of the Vendor & Payroll Warrants for the month of August 2018.

Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Recommend Approval**

14.06  
Agenda Placement

Hilaria Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**August 2018**

<b><u>VENDOR WARRANTS</u></b>	<b><u>AMOUNT</u></b>
General Fund (Incl Payroll A/P)	\$6,169,636.37
Cafeteria Fund	\$34,416.85
Deferred Maintenance Fund	\$0.00
Building Fund	\$0.00
Capital Facilities Fund	\$0.00
County School Facility Fund	\$0.00
Special Reserve for Capital Outlay Fund	\$0.00
Health and Welfare	\$1,451,117.96
Worker's Comp	\$39.19
<b>Subtotal</b>	<b><u>\$7,655,210.37</u></b>
Student Body Fund	\$0.00
<b>Total Vendor Warrants</b>	<b><u><u>\$7,655,210.37</u></u></b>

<b><u>PAYROLL WARRANTS</u></b>	
10th of the month	\$463,760.30
EOM Payroll	\$1,553,660.35
Vacation Pay Out	\$65,697.47
Manual Checks	\$6,333.04
Void Checks	(\$2,252.96)
<b>Total Payroll Warrants</b>	<b><u><u>\$2,087,198.20</u></u></b>
<b>Grand Total All Warrants</b>	<b><u><u>\$9,742,408.57</u></u></b>

	From	To
AP Warrants used #	142716	- 143151
AP Direct Deposit Stub Used #	V1010828	- V1011262
Payroll Direct Deposit Pay Stub used #	V60285541	- V60286364
Payroll Checks used #	10120027	- 10120160

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

14.07

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**


To the Board of Trustees:

Date: August 31, 2018

**Subject:** Santa Clara County Treasury Investment Portfolio Status

**Staff Analysis:** In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with county-policy, the Santa Clara County Treasury Investment Portfolio Report as of June 30, 2018 is submitted for the Board of Trustee's review and acceptance.

**Recommendation:** Staff recommends that the Board of Trustees approve the SCC Investment Portfolio Report as of June 30, 2018.

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Recommend Approval**

14.07

Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

# County of Santa Clara



## Finance Agency Controller-Treasurer Department

County Government Center  
70 W. Hedding Street, East Wing, 2nd Floor  
San Jose, California 95110-1705  
(408) 299-5200 FAX (408) 288-9237

August 16, 2018

Submitted by: Alan Minato  
Alan Minato, Controller-Treasurer

TO: BOARD OF TRUSTEES, SANTA CLARA COUNTY SCHOOL DISTRICTS  
BOARDS OF DIRECTORS, SANTA CLARA COUNTY SPECIAL PURPOSE DISTRICTS

FROM: EMILY HARRISON, DIRECTOR OF FINANCE Emily Harrison

SUBJECT: COUNTY OF SANTA CLARA TREASURY INVESTMENT PORTFOLIO STATUS

### RECOMMENDATION

Receive and file the June 30, 2018 Detailed Investment Portfolio Listing.

### DISCUSSION

In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with County Policy, the Santa Clara County Treasury Investment Portfolio Report as of June 30, 2018 is submitted for your review and acceptance.

The attached detailed investment reports list each investment of the County Treasury Pool as well as individual reports for specific investment funds that each school district or special district has in the County Treasury. The reports include the respective purchase and maturity dates, par value, amortized cost, market value, and yield to maturity for each investment.

A summary of market value versus cost is provided below for Commingled Investments of the County Pool.

	Cost	Market Value	Increase (Decrease)	Percent
Commingled Investments	\$7,584,141,151	\$7,523,222,987	(\$60,918,164)	(0.80%)

The yield of the pool on June 30, 2018 was 1.79%. As a comparison, on June 30, 2018 the yield of a 6-month Treasury Bill was 2.11%. A two-year Treasury Note was 2.53%. The State of California Local Agency Investment Fund (LAIF) yield was 1.85%.

Attached with the current investment strategy is a schedule that lists the average weighted maturities and yield for the Commingled Treasury Pool. Charts outlining investment concentration and distribution of bond maturities are provided for the Pool. Also included is a chart showing the one-year history of the Pool along with interest rates offered by selected comparable instruments.

Securities are purchased with the expectation that they will generally be held to maturity, hence unrealized gains or losses are not reflected in the yield calculations.

The market values of Pool securities were taken from pricing services provided by Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

A combination of securities maturing, new revenues, and tax receipts will adequately cover the anticipated cash flow needs for the next six months. Cash flows are continually monitored and are considered paramount in the selection of securities purchased for the Pool.

Attachments:

June 2018 Quarterly Investment Summary



# Quarterly Investment Report

June 30, 2018



## Quarterly Investment Review Table of Contents

### Quarterly Investment Report Table of Contents

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Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Ken Yeager, S. Joseph Simitian

County Executive: Jeffrey V. Smith



## Santa Clara County Commingled Pool and Segregated Investments

June 30, 2018

Fund	Cost Value**	Market Value	Variance	% Variance
Commingled Investment Pool	\$7,584,141,151	\$7,523,222,987	-\$60,918,164	-0.80%
Worker's Compensation	\$28,261,805	\$28,157,905	-\$103,900	-0.37%
Park Charter Fund	\$4,167,571	\$4,138,745	-\$28,826	-0.69%
San Jose-Evergreen	\$16,844,311	\$16,797,624	-\$46,687	-0.28%
Medical Malpractice Insurance Fund (1)	\$9,217,743	\$9,056,992	-\$160,751	-1.74%
<b>Total</b>	<b>\$7,642,632,582</b>	<b>\$7,581,374,253</b>	<b>-\$61,258,329</b>	<b>-0.80%</b>

(1) Managed by Chandler Asset Management, Inc.

### Summary of Yields\* for Select Santa Clara County Investment Funds

Fund	2018		2017
	Apr 30	May 31	Jun 30
Commingled Investment Pool	1.68%	1.73%	1.79%
Worker's Compensation	1.44%	1.67%	1.67%
<b>Weighted Yield</b>	<b>1.68%</b>	<b>1.73%</b>	<b>1.79%</b>

\*Yield to maturity (YTM) is the rate of return paid on a bond, note, or other fixed income security if the investor buys and holds it to its maturity date and if the coupon interest paid over the life of the bond is reinvested at the same rate as the coupon rate. The calculation for YTM is based on the coupon rate, length of time to maturity, and market price at time of purchase.

Yield is a snapshot measure of the yield of the portfolio on the day it was measured based on the current portfolio holdings on that day. This is not a measure of total return, and is not intended to be, since it does not factor in unrealized capital gains and losses and reinvestment rates are dependent upon interest rate changes

\*\*Cost Value is the amortized book value of the securities as of the date of this report.



## Santa Clara County Commingled Pool and Segregated Investments

### Portfolio Strategy

June 30, 2018

The U.S. economy has sustained consistent growth over the last ten years, a period considered the second-longest U.S. expansion on record. Most recently, gross domestic product (GDP), the value of all goods and services produced across the U.S. economy increased by 4.1 percent during the second quarter ended June 30, 2018. Strong business investment, consumer spending buoyed by job growth, increased government spending, tax incentives and accelerating exports were among the factors contributing to second quarter growth, the most rapid quarterly advancement since 2014. Second quarter growth significantly exceeded the 2 percent increase posted in the prior quarter.

Consumers ratcheted up spending at a 4 percent annualized rate in the second quarter. This was a significant driver in second quarter performance. With so many previously unemployed finding jobs, aggregate U.S. household income has risen briskly. The unemployment rate was 4 percent in June. Any level of unemployment below 4.5 percent is interpreted by Federal Reserve Bank policy makers and by most economists as a signal that the domestic economy has reached full employment. Wages and salaries of private sector workers rose 2.9 percent in June from a year earlier, a pace equivalent to the prior quarter. Wage growth continues to remain subdued and has been stubbornly sluggish following the 2007-2009 recession. Of note, consumers sustained spending levels without relying on savings. The consumer savings rate in June stood at 6.9 percent, in line with its average since 2012.

It is uncertain whether the current pace of growth will continue throughout the remainder of 2018. Trade, an important contributor to the economy's recent performance may reduce growth in late 2018. Net exports added 1.06 percentage point to the second quarter's 4.1 percent GDP growth rate. Economists believe a one and only surge in soybean exports occurred as buyers abroad rushed to buy supplies before China's 25 percent retaliatory tariffs on the U.S. crop took effect in July. As a candidate, Donald Trump won support with promises to address unfair trade relationships. The White House in 2018, began efforts to fulfill this pledge. The Trump administration, which announced tariffs on steel and aluminum, plans a 25 percent levy on \$50 billion in Chinese goods, and has threatened a 10 percent tariff on a further \$200 billion in goods. As soon as the latter half of 2018, retaliation from other countries against U.S. tariffs may cause some U.S. manufacturers to be driven out of business, while others will be forced, ironically, to shift production overseas.

The 2017 tax law revision may not spur further growth. Tax revision was designed to encourage investments by lowering the corporate tax rate and by letting companies immediately expense certain capital expenditures instead of depreciating them over time. Spending on equipment is growing briskly but no faster than before the change in tax law. Recent data on equipment orders suggest current spending is modestly trending downward. So far, oil and gas corporations have accounted for most of the capital expenditures, but the real catalyst was the rebound in oil prices from the 2016 lows and not the tax law revision.

# Santa Clara County Commingled Pool and Segregated Investments

## Portfolio Strategy

June 30, 2018



Federal Reserve Bank policy makers are widely expected to increase the benchmark federal funds rate in September by a quarter of a percentage point, to a range between 2 percent and 2.25 percent. Policy makers raised the rate twice this year, most recently in June to a range between 1.75 percent and 2 percent, and have offered guidance implying two more hikes in the latter half of 2018 and three in 2019. Policy makers have placed a limit upon the U.S. economy of no more than 2 percent year-to-year growth in inflation. Consequently, they have been raising short-term interest rates to prevent the economy from significantly breaching this threshold and to prevent the economy from over-heating as a result. Inflation, currently, is close to the Federal Reserve Bank's 2 percent goal. Inflation increased 2.2 percent for the year ending June, while the core rate that excludes food and energy rose 1.9 percent.

The portfolio strategy continues to focus on the:

- (1) acquisition of high quality issuers;
- (2) identifying and selecting bonds with attractive valuations;
- (3) appropriately sizing the liquidity portion of the portfolio to ensure adequate cash for near term obligations; and
- (4) ensuring that monies targeted for longer term investments are deployed in vehicles with favorable risk-adjusted yields.

Broker-dealers have generally down-sized the amount of securities carried in inventories in response to risk-curbing rules crafted after the 2008 financial crisis. These risk curbing rules include the international regulatory framework for banks called Basel III and the U.S. 2010 Dodd-Frank Law. The Treasury Division has increased its capability to review a larger volume of inventory listings to find attractive bonds. Portfolio structuring does not solely rely on interest rate anticipation strategies, which primarily speculate on the direction of interest rates to earn favorable returns.



## **Santa Clara County Commingled Pool and Segregated Investments**

### **Portfolio Compliance, Review, and Monitoring**

**June 30, 2018**

#### **Yield and Weighted Average Maturity**

The yield of the Commingled Pool is 1.79 and the weighted average life is 479 days.

#### **Compliance**

The County Treasurer believes the Commingled Pool contains sufficient cash flow from liquid and maturing securities, bank deposits and incoming cash to meet the next six months of expected expenditures.

#### **Review and Monitoring**

FTN Financial Main Street Advisors, the County's investment advisor, currently monitors the Treasury Department's investment activities.

#### **Additional Information**

Securities are purchased with the expectation that they will be held to maturity, so unrealized gains or losses are not reflected in the yield calculations. The market values of securities were taken from pricing services provided by the Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.



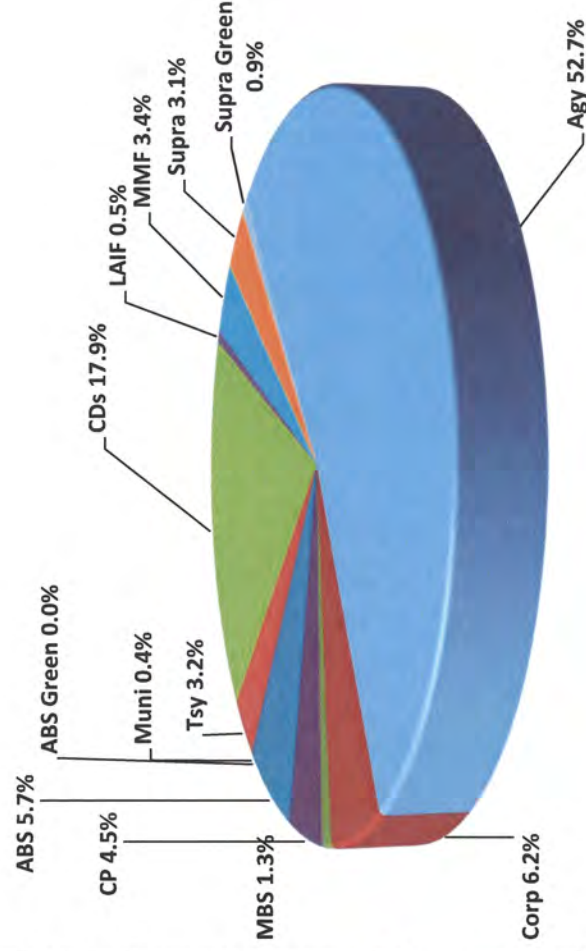
## Santa Clara County Commingled Pool

### Allocation by Security Types

June 30, 2018

Sector	6/30/2018	3/31/2018	% Chng
Federal Agencies	52.73%	57.49%	-4.8%
Corporate Bonds	6.23%	5.85%	0.4%
Mortgage Backed Securities	1.29%	0.76%	0.5%
Commercial Paper	4.50%	1.46%	3.0%
ABS	5.68%	5.28%	0.4%
ABS Green Bonds	0.03%	0.04%	0.0%
Municipal Securities	0.42%	0.43%	0.0%
U.S. Treasuries	3.22%	4.00%	-0.8%
Negotiable CDs	17.93%	15.99%	1.9%
LAIF	0.54%	0.54%	0.0%
Money Market Funds	3.40%	3.92%	-0.5%
Supranationals	3.10%	3.28%	-0.2%
Supranationals Green Bonds	0.92%	0.94%	0.0%
<b>Total</b>	<b>100.00%</b>	<b>100.00%</b>	

Sector	6/30/2018	3/31/2018
Federal Agencies	3,999,083,670	4,296,008,000
Corporate Bonds	472,745,630	437,414,509
Mortgage Backed Securities	97,638,101	57,053,963
Commercial Paper	341,479,876	109,151,654
ABS	430,657,064	394,758,524
ABS Green Bonds	2,317,839	3,087,091
Municipal Securities	32,009,467	32,013,562
U.S. Treasuries	244,084,503	298,655,247
Negotiable CDs	1,360,000,000	1,195,000,000
LAIF	40,853,909	40,702,471
Money Market Funds	258,136,233	293,144,175
Supranational	235,134,859	245,052,408
Supranationals Green Bonds	70,000,000	70,000,000
<b>Total</b>	<b>7,584,141,151</b>	<b>7,472,041,604</b>



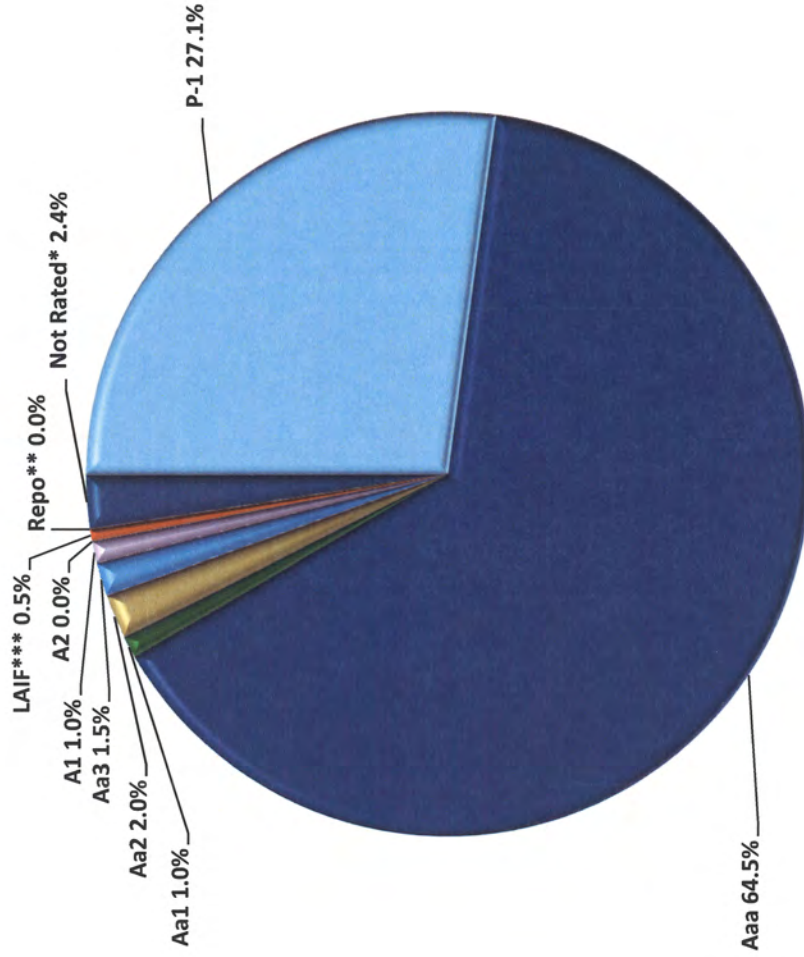
Amounts are based on book value



# Santa Clara County Commingled Pool

## Allocation by Ratings

June 30, 2018



Moody's Rating	Portfolio \$	Portfolio %
P-1	2,053,528,059	27.1%
Aaa	4,894,677,095	64.5%
Aa1	74,959,299	1.0%
Aa2	150,213,684	2.0%
Aa3	112,216,635	1.5%
A1	74,711,753	1.0%
A2	-	0.0%
A3	-	0.0%
LAIF***	40,853,909	0.5%
Repo**	-	0.0%
Not Rated*	182,980,718	2.4%
<b>Total</b>	<b>7,584,141,151</b>	<b>100.0%</b>

\*Not Rated by Moody's but A-1+ by S&P

\*\*Repurchase Agreements are not rated, but are collateralized by U.S. Treasury securities or securities issued by the Federal Agencies of the U.S.

\*\*\*LAIF is not rated, but is comprised of State Code allowable securities

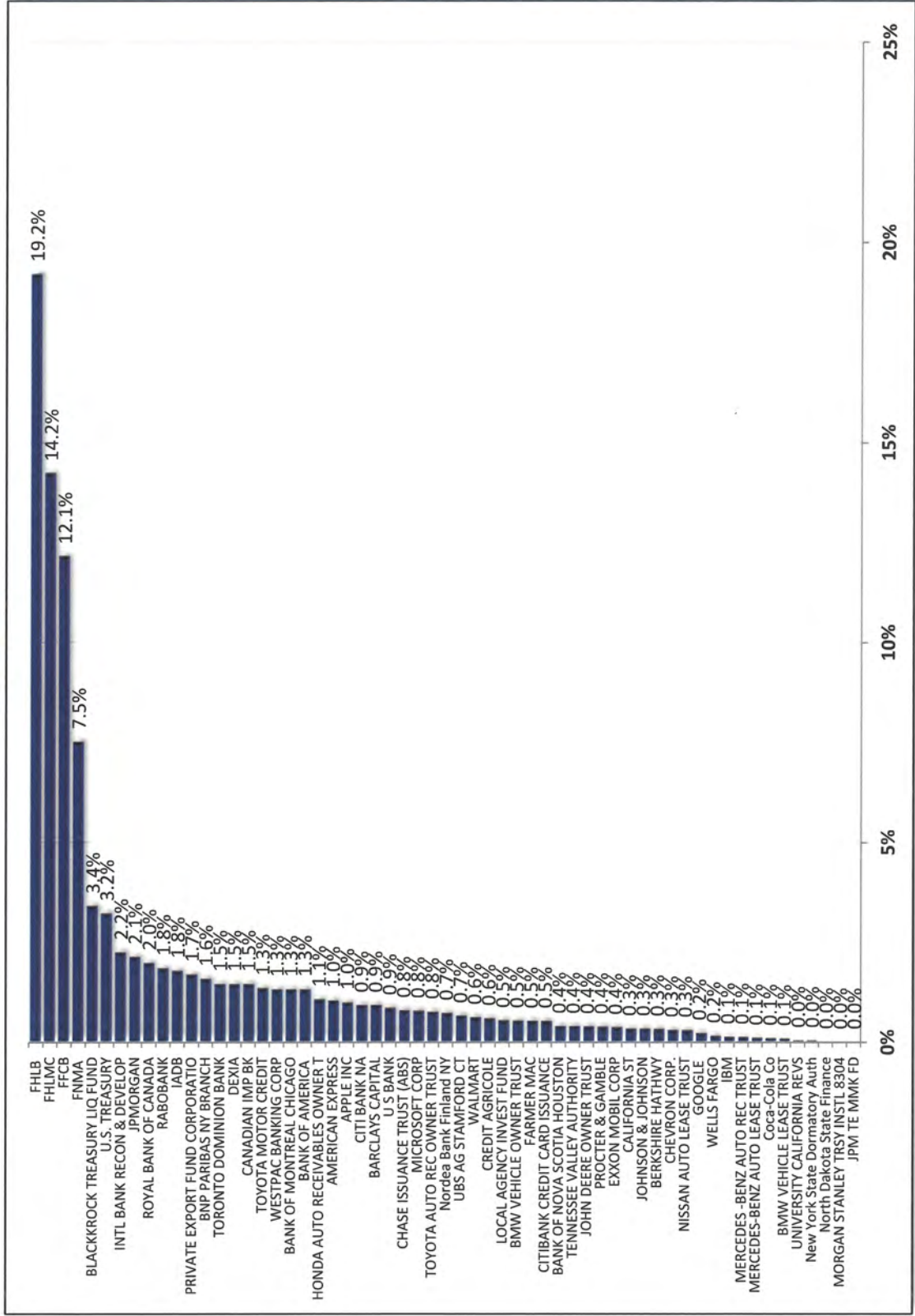
Amounts are based on book values



# Santa Clara County Commingled Pool

## Holdings by Issuer - Percent of Commingled Pool

June 30, 2018

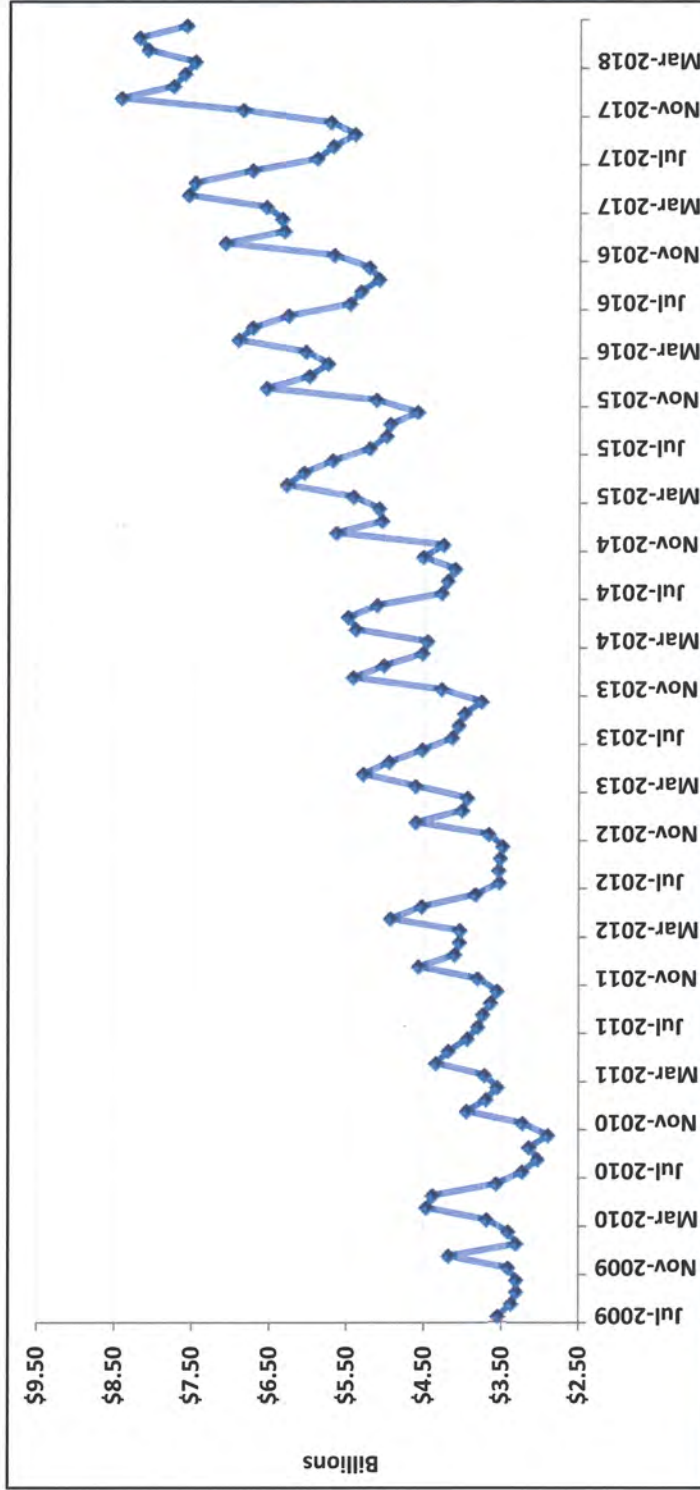


Amounts are based on book values



# Santa Clara County Commingled Pool Historical Month End Book Values

June 30, 2018



Fiscal Year	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
FY 2010	\$3.541	\$3.373	\$3.307	\$3.307	\$3.408	\$4.175	\$3.307	\$3.408	\$3.687	\$4.463	\$4.384	\$3.536
FY 2011	\$3.230	\$3.032	\$3.143	\$2.898	\$3.227	\$3.943	\$3.695	\$3.551	\$3.712	\$4.339	\$4.179	\$3.935
FY 2012	\$3.801	\$3.736	\$3.637	\$3.555	\$3.805	\$4.567	\$4.097	\$4.040	\$4.032	\$4.926	\$4.525	\$3.833
FY 2013	\$3.508	\$3.517	\$3.515	\$3.469	\$3.645	\$4.600	\$3.918	\$3.982	\$4.606	\$5.286	\$4.952	\$4.521
FY 2014	\$4.133	\$4.052	\$3.975	\$3.758	\$4.271	\$5.419	\$5.019	\$4.520	\$4.461	\$5.386	\$5.487	\$5.108
FY 2015	\$4.267	\$4.194	\$4.096	\$4.051	\$4.247	\$5.639	\$5.045	\$5.085	\$5.420	\$6.284	\$6.065	\$5.690
FY 2016	\$5.212	\$4.990	\$4.941	\$4.587	\$5.120	\$6.543	\$5.997	\$5.752	\$6.040	\$6.911	\$6.728	\$6.263
FY 2017	\$5.469	\$5.328	\$5.088	\$5.220	\$5.671	\$7.082	\$6.319	\$6.348	\$6.550	\$7.556	\$7.469	\$6.730
FY 2018	\$5.898	\$5.689	\$5.408	\$5.720	\$6.850	\$8.427	\$7.754	\$7.608	\$7.472	\$8.079	\$8.192	\$7.584

Amounts in billions

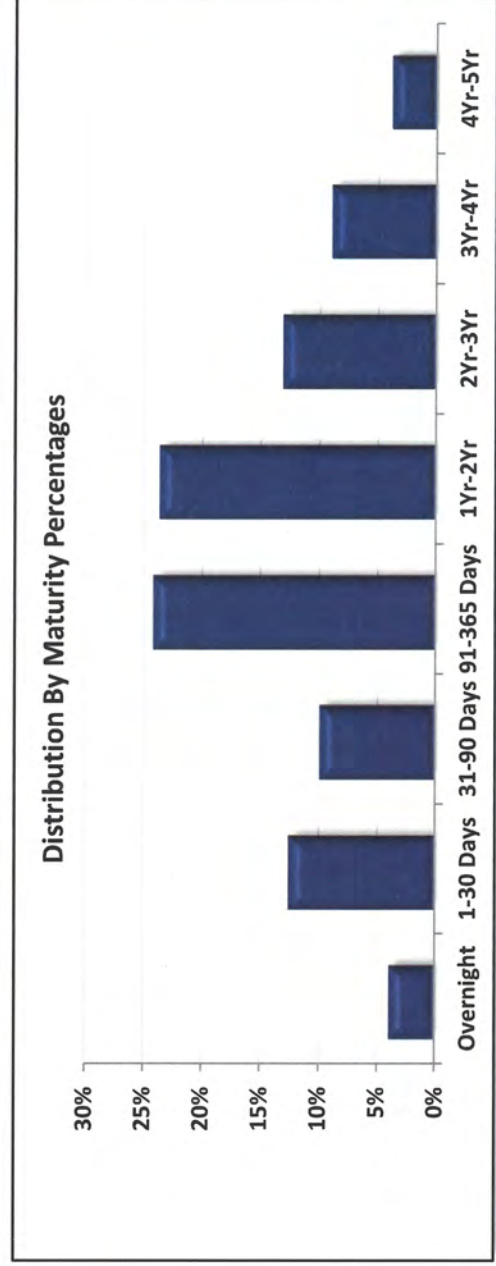
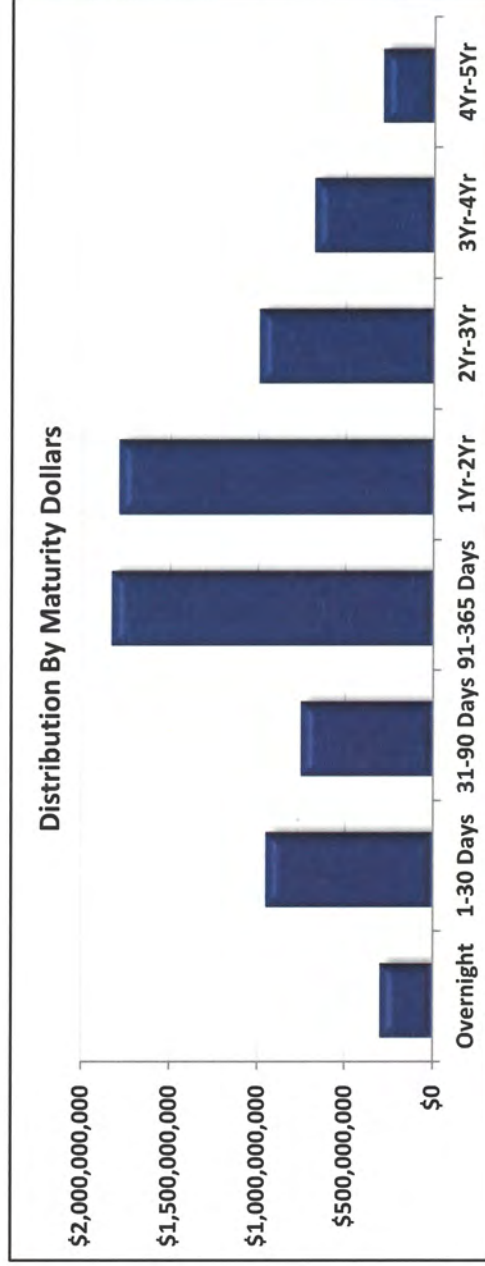


# Santa Clara County Commingled Pool

## Distribution by Maturity

June 30, 2018

Maturity	Amount*
Overnight	298,990,142
1-30 Days	952,097,170
31-90 Days	751,829,948
91-365 Days	1,830,018,117
1Yr-2Yr	1,790,258,597
2Yr-3Yr	992,957,055
3Yr-4Yr	679,433,532
4Yr-5Yr	288,556,592
	7,584,141,151



Maturity	Amount*
Overnight	3.94%
1-30 Days	12.55%
31-90 Days	9.91%
91-365 Days	24.13%
1Yr-2Yr	23.61%
2Yr-3Yr	13.09%
3Yr-4Yr	8.96%
4Yr-5Yr	3.80%
	100.00%

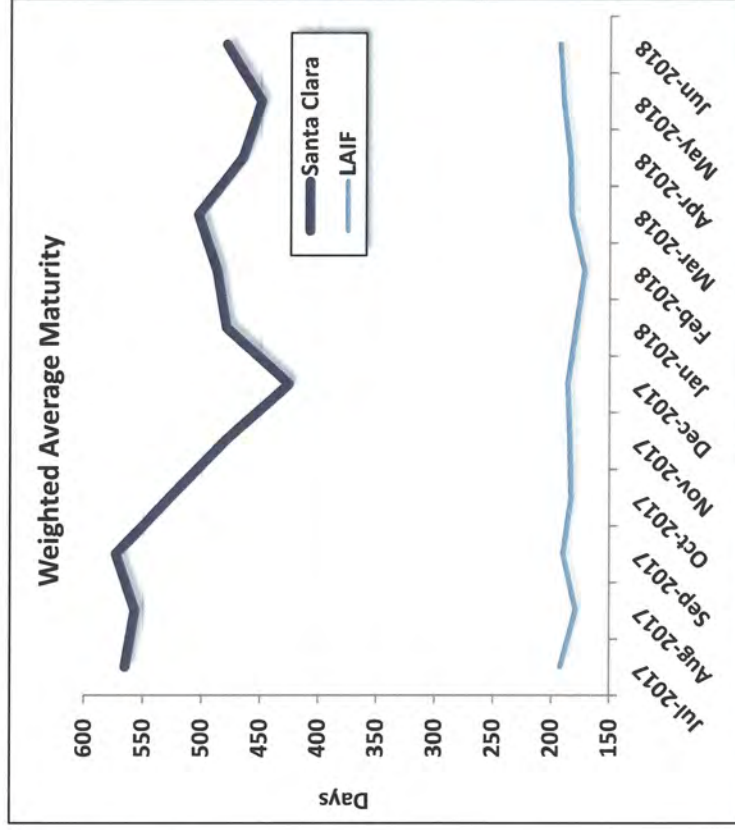
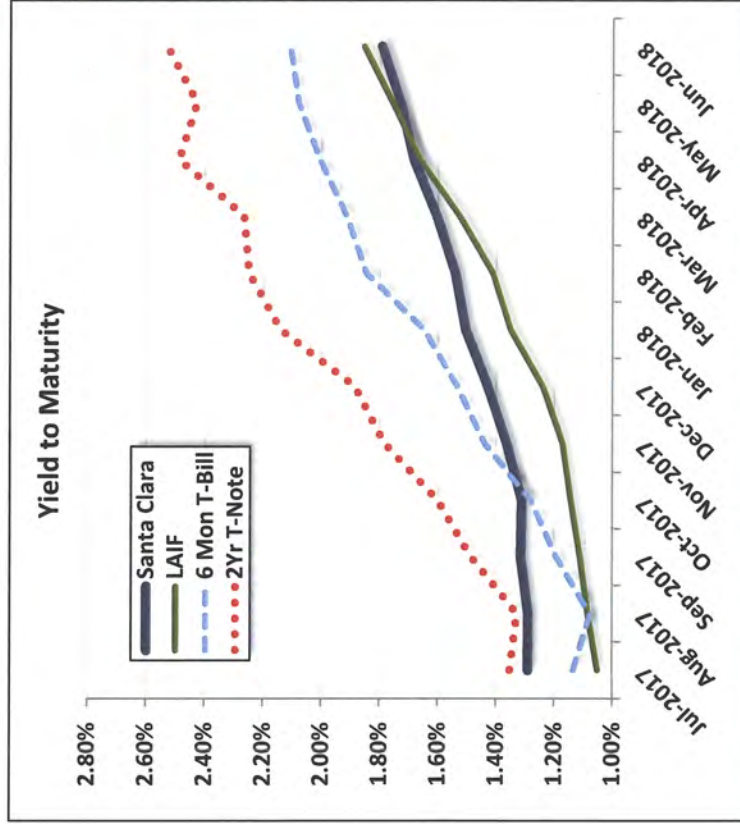
\* Amounts are based on book value



## Santa Clara County Commingled Pool

### Yield to Maturity and Weighted Average Maturity

June 30, 2018



Item	Jul-17	Aug-17	Sep-17	Oct-17	Nov-17	Dec-17	Jan-18	Feb-18	Mar-18	Apr-18	May-18	Jun-18
SCC YTM	1.29%	1.29%	1.32%	1.31%	1.36%	1.43%	1.50%	1.54%	1.60%	1.68%	1.73%	1.79%
LAIF YTM	1.05%	1.08%	1.11%	1.14%	1.17%	1.24%	1.35%	1.41%	1.52%	1.66%	1.76%	1.85%
6 Mon T-Bill	1.13%	1.08%	1.19%	1.28%	1.44%	1.53%	1.65%	1.85%	1.91%	2.01%	2.08%	2.11%
2Yr T-Note	1.35%	1.33%	1.49%	1.60%	1.78%	1.89%	2.14%	2.25%	2.27%	2.49%	2.43%	2.53%
SCC WAM	565	557	573	527	480	425	479	487	503	465	449	479
LAIF WAM	192	179	190	183	184	186	179	172	183	184	190	193



## Santa Clara County

### Approved Issuers and Broker/Dealers

June 30, 2018

#### Direct Commercial Paper Issuers

General Electric  
Toyota Motor Credit  
US Bank, NA  
Dexia Credit Local, NY

#### Broker/Dealers

Academy Securities, Inc  
Bank of America Merrill Lynch  
Barclays Capital, Inc  
BMO Capital Markets  
BNP Paribas Securities Corp  
BNY Mellon Capital Markets, LLC  
BOK Financial Securities (Bank of Oklahoma)  
Brean Capital LLC  
Cantor Fitzgerald & Co  
Citigroup Global Markets Inc  
Daiwa Capital Markets America Inc  
Deutsche Bank Securities Inc  
FTN Financial Capital Markets  
Incapital LLC  
Jefferies & Co  
JP Morgan Securities, Inc  
Keybank Capital Markets, Inc  
Loop Capital Markets LLC  
Mizuho Securities USA, Inc  
MUFG Securities USA LLC  
Raymond James, Inc.  
RBC Capital Markets, Inc  
UBS Financial Services Inc  
Vining Sparks LP  
Williams Capital

# **Santa Clara County Commingled Pool** **Compliance with Investment Policy**

June 30, 2018



Parameters		In Compliance
Item/Sector		
Maturity	Weighted Average Maturity (WAM) must be less than 24 months	Yes
Interest Periods	Securities must pay interest within one year of the initial investment and at least semiannually in subsequent years	Yes
Investment Swaps	Similar maturity swaps, so as not to affect cash flow needs, should have minimum 5 basis point gain	Yes
Issuer Limits	No more than 5% of the portfolio shall be invested in aggregate of any single institution of the following types: Bankers Acceptances, CP, Negotiable CDs, and Corporate Notes	Yes
U.S. Treasuries	No sector limit, no issuer limit, max maturity 5 years	Yes
U.S. Federal Agencies	No sector limit, no issuer limit, max maturity 5 years	Yes
LAIF	No sector limit, no issuer limit, CA State's deposit limit \$65 million	Yes
Repurchase Agreements	No sector limit, no issuer limit, max maturity 92 days, treasury and agency collateral at 102% of investment, if maturity exceeds 15 days, must be collateralized by securities with 5 years or less maturities	Yes
Commercial Paper	Sector limit 40%, issuer limit 5%, max maturity 270 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by domestic corporation w/ at least \$500 mil of assets, and long term debt rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Corporate Bonds	Sector limit 30%, issuer limit 5%, max maturity 5 years, rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's), issued by domestic corps/depositories	Yes
Money Market Funds	Sector limit 20%, issuer limit 10%, rated by at least two: AAA-m (S&P/Fitch)/Aaa-mf (Moody's), MMF has at least \$500 mil managed	Yes
Negotiable Certificates of Deposit	Sector limit 30%, issuer limit 5%, max maturity 5 years, if under 1 year rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), if greater than 1 year rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Municipal Securities	Sector limit 10%, no issuer limit, State of CA, local CA agencies, and other municipal securities of the other 49 states, if long-term rated, then by at least two: A- (S&P/Fitch)/A3 (Moody's), if short-term rated, then by at least two: SP-1 (S&P), MIG-1 (Moody's), F-1 (Fitch), revenue based bonds payable solely out of the States' or local agencies' revenues	Yes
Mortgage-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of conforming residential mortgage loans insured by FHLMC/FNMA and residential mortgages guaranteed by FHA (GNMA)	Yes
Asset-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of loans such as installment/receivables, security must be rated by at least two: AA- (S&P/Fitch), Aa3 (Moody's), issuer rated by at least two: A- (S&P/Fitch), A3 (Moody's)	Yes
Supranational Debt Obligations	Sector limit 10%, max maturity 5 years, issued or unconditionally guaranteed by the IBRD, rated by at least two: AAA (S&P/Fitch), Aaa (Moody's)	Yes
Bankers' Acceptances	Sector limit 40%, issuer limit 5%, max maturity 180 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by commercial banks, collateral must exceed market value of security by 2%	Yes, None in Portfolio
Securities Lending	Sector limit 20%, max maturity 92 days for loans and reinvestment, loan counterparty must be a primary dealer, loaned securities must be owned for at least 30 days	Yes, None in Portfolio

**SANTA CLARA COUNTY INVESTMENTS**  
**Fund COMM - COMMINGLED POOL**  
**Investments by Fund**  
**June 30, 2018**

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Negotiable CDs</b>											
06052TZT0	38564	BANK OF AMERICA	04/05/2018	50,000,000.00	50,000,000.00	49,999,550.00	2.490	2.455	2.490	01/04/2019	187
06052TYS3	38180	BANK OF AMERICA CORP	11/10/2017	50,000,000.00	50,000,000.00	49,990,400.00	1.660	1.660	1.660	07/20/2018	19
06742TCQ7	38251	BARCLAYS CAPITAL	12/07/2017	70,000,000.00	70,000,000.00	69,972,700.00	1.840	1.840	1.865	08/17/2018	47
06371ESV4	38097	BANK OF MONTREAL CHICAGO	11/01/2017	50,000,000.00	50,000,000.00	49,976,305.50	1.610	1.610	1.632	07/13/2018	12
06371EVN4	38168	BANK OF MONTREAL CHICAGO	11/22/2017	50,000,000.00	50,000,000.00	49,970,098.00	1.720	1.720	1.743	07/31/2018	30
34959TMZ6	38456	BNP PARIBAS	02/15/2018	60,000,000.00	60,000,000.00	59,925,480.00	2.290	2.290	2.290	02/01/2019	215
05582WQ64	38200	BNP PARIBAS NY BRANCH	11/30/2017	60,000,000.00	60,000,000.00	59,968,440.00	1.770	1.770	1.794	08/24/2018	54
06417GZU5	38186	BANK OF NOVA SCOTIA HOUSTON	11/03/2017	30,000,000.00	30,000,000.00	29,991,660.00	1.670	1.670	1.693	07/31/2018	30
13606BQF6	38189	CANADIAN IMP BK	11/14/2017	60,000,000.00	60,000,000.00	59,983,800.00	1.720	1.720	1.743	08/14/2018	44
13606BQL3	38191	CANADIAN IMP BK	11/17/2017	50,000,000.00	50,000,000.00	49,990,150.00	1.700	1.700	1.723	07/31/2018	30
17305TYP1	38658	CITI BANK NA	04/27/2018	70,000,000.00	70,000,000.00	70,021,140.00	2.320	2.344	2.377	09/31/2018	61
22534H3T6	38562	CREDIT AGRICOLE	04/05/2018	45,000,000.00	45,000,000.00	45,012,060.00	2.510	2.475	2.510	01/31/2019	214
25215FCN2	38190	DEXIA	11/16/2017	70,000,000.00	70,000,000.00	69,974,170.00	1.600	1.600	1.622	07/31/2018	30
25215FDD3	38688	DEXIA	05/04/2018	40,000,000.00	40,000,000.00	40,003,800.00	2.490	2.455	2.490	01/28/2019	211
55590AQT6	38382	Nordea Bank Finland NY	01/11/2018	55,000,000.00	55,000,000.00	54,988,041.35	1.850	1.850	1.875	08/09/2018	39
21685VP78	38185	RABOBANK	11/10/2017	50,000,000.00	50,000,000.00	49,996,800.00	1.600	1.600	1.622	07/06/2018	5
21685VT66	38284	RABOBANK	12/14/2017	90,000,000.00	90,000,000.00	89,953,200.00	1.770	1.770	1.794	08/24/2018	54
78009NVR4	36156	ROYAL BANK OF CANADA	07/30/2015	20,000,000.00	20,000,000.00	19,994,540.00	1.693	1.669	1.693	07/30/2018	29
78009N3W4	38158	ROYAL BANK OF CANADA	11/21/2017	75,000,000.00	75,000,000.00	74,946,750.00	1.720	1.720	1.743	08/24/2018	54
78009N5M4	38303	ROYAL BANK OF CANADA	12/19/2017	40,000,000.00	40,000,000.00	40,006,200.00	2.325	2.141	2.171	12/19/2018	171
78012UDV4	38751	ROYAL BANK OF CANADA	05/23/2018	15,000,000.00	15,000,000.00	15,001,035.00	2.660	2.660	2.696	05/24/2019	327
89113XPM0	38181	TORONTO DOMINION BANK	11/28/2017	60,000,000.00	60,000,000.00	59,984,100.00	1.720	1.720	1.743	07/27/2018	26
89113XEC26	38563	TORONTO DOMINION BANK	04/05/2018	50,000,000.00	50,000,000.00	50,019,250.00	2.550	2.515	2.550	01/31/2019	214
90275DHB9	38472	UBS AG STAMFORD CT	02/21/2018	50,000,000.00	50,000,000.00	49,992,650.00	2.170	2.170	2.200	09/21/2018	82
96121T5P9	38339	WESTPAC BANKING CORP	12/29/2017	50,000,000.00	50,000,000.00	49,996,500.00	1.800	1.800	1.825	07/13/2018	12
96121T5N4	38340	WESTPAC BANKING CORP	12/29/2017	50,000,000.00	50,000,000.00	49,999,100.00	1.790	1.790	1.814	07/03/2018	2
<b>Subtotal and Average</b>				<b>1,360,000,000.00</b>	<b>1,360,000,000.00</b>	<b>1,359,657,919.85</b>		<b>1.923</b>	<b>1.949</b>		<b>75</b>
<b>Mortgage Backed Securities (MBS)</b>											
3137AYCE9	38387	FHLMC Multi-Family	01/12/2018	20,157,000.00	20,000,000.00	19,690,974.00	2.682	2.199	2.230	10/25/2022	1,577
3137AYCE9	38391	FHLMC Multi-Family	01/16/2018	7,555,078.13	7,500,000.00	7,394,115.25	2.682	2.209	2.239	10/25/2022	1,577

Portfolio SCL2  
AP  
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Report Ver 7.3.6.1

# Fund COMM - COMMINGLED POOL

## Investments by Fund

June 30, 2018

Page 2

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Mortgage Backed Securities (MBS)</b>											
3137AWQH1	38465	FHLMC Multi-Family	02/22/2018	5,460,553.13	5,580,000.00	5,424,071.36	2.307	3.155	3.198	08/25/2022	1,516
3137B36J2	38643	FHLMC Multi-Family	03/20/2018	20,345,312.50	20,000,000.00	20,204,006.00	3.320	2.865	2.905	02/25/2023	1,700
3137AYCE9	38666	FHLMC Multi-Family	04/17/2018	11,616,539.06	11,745,000.00	11,563,524.48	2.682	3.032	3.074	10/25/2022	1,577
3137AYCE9	38774	FHLMC Multi-Family	06/05/2018	19,788,281.25	20,000,000.00	19,690,974.00	2.682	3.070	3.112	10/25/2022	1,577
3138LAYM5	38477	FNMA Multi-Family	02/27/2018	3,536,318.00	3,576,554.24	3,504,344.90	2.550	2.888	2.928	09/01/2022	1,523
3138B1XP4	38664	FNMA Multi-Family	04/30/2018	4,589,509.50	4,500,000.00	4,563,630.45	3.560	2.746	2.784	09/25/2021	1,182
3138B1XP4	38665	FNMA Multi-Family	04/30/2018	4,589,509.50	4,500,000.00	4,563,630.45	3.560	2.746	2.784	09/25/2021	1,182
<b>Subtotal and Average</b>				<b>97,638,101.07</b>	<b>97,401,554.24</b>	<b>96,589,270.89</b>		<b>2.744</b>	<b>2.783</b>		<b>1,560</b>
<b>Federal Agency Bonds</b>											
3132X0E98	38395	FARMER MAC	01/17/2018	40,000,000.00	40,000,000.00	39,998,040.00	1.650	1.828	1.650	08/17/2018	47
3133EEWG1	35799	FFCB NOTES	04/01/2015	9,944,789.31	9,950,000.00	9,877,345.10	1.300	1.353	1.371	04/01/2019	274
3133EFPJ0	36370	FFCB NOTES	11/19/2015	14,999,770.00	15,000,000.00	14,956,365.00	1.290	1.276	1.294	11/19/2018	141
3133EFTN0	36584	FFCB NOTES	02/24/2016	14,996,182.16	15,000,000.00	14,891,220.00	1.030	1.057	1.072	02/12/2019	226
3133EFAY0	36726	FFCB NOTES	04/27/2016	26,827,349.31	26,855,000.00	26,570,471.28	1.000	1.112	1.128	04/26/2019	299
3133EGWH4	37018	FFCB NOTES	09/30/2016	10,006,102.95	10,000,000.00	9,550,520.00	1.280	1.243	1.260	09/29/2021	1,186
3133EGYN9	37049	FFCB NOTES	10/17/2016	5,000,000.00	5,000,000.00	4,984,790.00	0.940	0.927	0.940	10/17/2018	108
3133EGJ48	37112	FFCB NOTES	11/17/2016	24,980,848.40	25,000,000.00	24,884,950.00	0.890	1.082	1.087	11/16/2018	138
3133EGT47	37194	FFCB NOTES	12/09/2016	10,000,000.00	10,000,000.00	9,759,740.00	2.010	1.982	2.010	12/08/2021	1,256
3133EGX87	37265	FFCB NOTES	12/20/2016	35,000,000.00	35,000,000.00	35,082,355.00	2.204	1.751	1.776	08/20/2019	415
3133EG2D6	37305	FFCB NOTES	12/30/2016	25,011,861.70	25,000,000.00	24,730,050.00	1.550	1.490	1.511	09/27/2019	453
3133EG5D3	37378	FFCB NOTES	01/27/2017	5,000,000.00	5,000,000.00	4,880,045.00	2.030	2.002	2.030	01/27/2022	1,306
3133EG5Q4	37399	FFCB NOTES	02/01/2017	20,000,000.00	20,000,000.00	19,894,740.00	1.300	1.282	1.300	02/01/2019	215
3133EG6C4	37404	FFCB NOTES	02/03/2017	14,998,887.78	15,000,000.00	14,772,105.00	1.550	1.533	1.554	02/03/2020	582
3133EG3X1	37466	FFCB NOTES	02/16/2017	14,994,707.15	15,000,000.00	14,923,515.00	1.250	1.297	1.315	01/17/2019	200
3133EHB0A	37502	FFCB NOTES	03/02/2017	19,987,534.81	20,000,000.00	19,667,200.00	1.520	1.537	1.558	03/02/2020	610
3133EHCX9	37566	FFCB NOTES	03/20/2017	13,469,619.54	13,475,000.00	13,396,265.58	1.450	1.485	1.506	03/20/2019	262
3133EHCX9	37574	FFCB NOTES	03/20/2017	25,001,438.89	25,000,000.00	24,853,925.00	1.450	1.422	1.441	03/20/2019	262
3133EG3X1	37628	FFCB NOTES	03/30/2017	24,988,639.88	25,000,000.00	24,872,525.00	1.250	1.316	1.334	01/17/2019	200
3133EHEZ2	37639	FFCB NOTES	04/06/2017	15,000,000.00	15,000,000.00	14,753,100.00	1.600	1.578	1.600	04/06/2020	645
3133EHFL2	37665	FFCB NOTES	04/13/2017	14,996,584.92	15,000,000.00	14,736,660.00	1.550	1.541	1.563	04/13/2020	652
3133EHFL2	37666	FFCB NOTES	04/13/2017	9,998,157.22	10,000,000.00	9,824,440.00	1.550	1.539	1.560	04/13/2020	652

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<b>Federal Agency Bonds</b>											
3133EHFL2	37667	FFCB NOTES	04/13/2017	9,998,157.22	10,000,000.00	9,824,440.00	1.550	1.539	1.560	04/13/2020	652
3133EHGA5	37725	FFCB NOTES	04/21/2017	25,004,308.33	25,000,000.00	24,686,600.00	1.440	1.406	1.426	10/21/2019	477
3133EHGE7	37746	FFCB NOTES	04/24/2017	26,502,277.29	26,500,000.00	26,435,798.00	1.200	1.180	1.196	10/24/2018	115
3133EHGG1	37761	FFCB NOTES	05/03/2017	5,000,000.00	5,000,000.00	4,869,770.00	1.750	1.726	1.750	05/03/2021	1,037
3133EHGG1	37762	FFCB NOTES	05/03/2017	10,000,000.00	10,000,000.00	9,739,540.00	1.750	1.726	1.750	05/03/2021	1,037
3133EHJA2	37793	FFCB NOTES	05/08/2017	34,993,082.96	35,000,000.00	34,355,265.00	1.550	1.539	1.561	05/08/2020	677
3133EHKH5	37841	FFCB NOTES	05/23/2017	12,006,482.74	12,000,000.00	11,751,528.00	1.570	1.522	1.543	07/23/2020	753
3133EHMR1	37901	FFCB NOTES	06/12/2017	5,000,000.00	5,000,000.00	4,953,765.00	1.375	1.356	1.375	06/12/2019	346
3133EHMR1	37905	FFCB NOTES	06/12/2017	5,000,000.00	5,000,000.00	4,953,765.00	1.375	1.356	1.375	06/12/2019	346
3133EHWM1	38024	FFCB NOTES	09/01/2017	3,004,845.00	3,000,000.00	2,906,532.00	1.700	1.624	1.647	09/01/2021	1,158
3133EHWM1	38025	FFCB NOTES	09/01/2017	5,000,000.00	5,000,000.00	4,844,220.00	1.700	1.676	1.700	09/01/2021	1,158
3133EHWM1	38026	FFCB NOTES	09/01/2017	7,012,357.92	7,000,000.00	6,781,908.00	1.700	1.619	1.642	09/01/2021	1,158
3133EHZA4	38046	FFCB NOTES	09/20/2017	9,975,290.76	10,000,000.00	9,670,420.00	1.660	1.715	1.739	09/20/2021	1,177
3133EHJ95	38081	FFCB NOTES	10/26/2017	9,997,293.98	10,000,000.00	9,798,470.00	1.750	1.737	1.762	10/26/2020	848
3133EHJ95	38082	FFCB NOTES	10/26/2017	4,996,520.83	5,000,000.00	4,899,235.00	1.750	1.756	1.780	10/26/2020	848
3133EHP31	38126	FFCB NOTES	11/10/2017	9,973,326.82	9,975,000.00	9,724,557.68	1.950	1.928	1.955	11/02/2021	1,220
3133EHW58	38169	FFCB NOTES	11/27/2017	14,996,391.67	15,000,000.00	14,734,005.00	1.900	1.943	1.970	11/27/2020	880
3133EHW58	38204	FFCB NOTES	12/01/2017	21,508,884.38	21,550,000.00	21,167,853.85	1.900	1.954	1.982	11/27/2020	880
3133EGYC3	38310	FFCB NOTES	12/20/2017	8,329,393.22	8,550,000.00	8,162,308.80	1.730	2.338	2.371	10/12/2022	1,564
3133EJGH6	38506	FFCB NOTES	03/15/2018	23,005,705.98	23,000,000.00	22,894,545.00	2.440	2.395	2.429	10/15/2020	837
3133EJHS1	38544	FFCB NOTES	03/27/2018	24,499,418.53	24,500,000.00	24,474,789.50	2.250	2.222	2.253	06/27/2019	361
3133EJHL6	38545	FFCB NOTES	03/27/2018	9,992,348.89	10,000,000.00	9,969,160.00	2.375	2.387	2.420	03/27/2020	635
3133EJLU1	38629	FFCB NOTES	04/24/2018	14,993,297.62	15,000,000.00	14,975,280.00	2.420	2.829	2.869	01/24/2020	572
3133EJLU1	38630	FFCB NOTES	04/24/2018	29,992,493.33	30,000,000.00	29,950,560.00	2.420	2.814	2.854	01/24/2020	572
3133EJXP1	38718	FFCB NOTES	05/21/2018	4,978,484.55	5,000,000.00	5,019,820.00	2.875	3.253	3.299	12/21/2022	1,634
3133EJSU4	38831	FFCB NOTES	06/29/2018	10,002,688.94	10,000,000.00	10,002,090.00	2.530	2.476	2.511	12/26/2019	543
3130A4WA6	35803	FHLB NOTES	04/06/2015	25,000,000.00	25,000,000.00	24,997,250.00	1.000	0.986	1.000	07/06/2018	5
313383VN8	35826	FHLB NOTES	04/09/2015	9,532,479.03	9,460,000.00	9,409,956.60	2.000	1.321	1.340	09/13/2019	439
313383VN8	35827	FHLB NOTES	04/09/2015	25,344,455.97	25,155,000.00	25,021,930.05	2.000	1.332	1.351	09/13/2019	439
3130A4WA6	35855	FHLB NOTES	04/14/2015	11,999,983.48	12,000,000.00	11,998,680.00	1.000	0.996	1.010	07/06/2018	5
3130A4YA4	35865	FHLB NOTES	04/15/2015	16,915,204.67	16,915,000.00	16,895,716.90	1.050	1.025	1.039	08/15/2018	45
313378QK0	35867	FHLB NOTES	04/15/2015	10,044,206.49	10,000,000.00	9,973,520.00	1.875	1.196	1.213	03/08/2019	250

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds</b>											
3130A57J2	35952	FHLB NOTES	05/06/2015	9,999,984.43	10,000,000.00	9,998,890.00	1.000	0.997	1.011	07/06/2018	5
3130A57G8	35958	FHLB NOTES	05/07/2015	14,999,545.85	15,000,000.00	14,986,260.00	1.050	1.066	1.081	08/07/2018	37
3130A6AE7	36228	FHLB NOTES	09/16/2015	4,999,261.87	5,000,000.00	4,990,430.00	1.125	1.182	1.199	09/14/2018	75
3130A5Z77	36288	FHLB NOTES	10/21/2015	5,033,938.65	5,000,000.00	4,920,655.00	1.830	1.469	1.490	07/29/2020	759
3130A5Z77	36289	FHLB NOTES	10/19/2015	5,038,574.19	5,000,000.00	4,920,655.00	1.830	1.424	1.444	07/29/2020	759
3130A5Z77	36298	FHLB NOTES	10/23/2015	5,037,770.51	5,000,000.00	4,920,655.00	1.830	1.432	1.452	07/29/2020	759
3130A5Z77	36308	FHLB NOTES	10/26/2015	10,062,005.84	10,000,000.00	9,841,310.00	1.830	1.498	1.519	07/29/2020	759
3130A5Z77	36310	FHLB NOTES	10/26/2015	5,031,832.57	5,000,000.00	4,920,655.00	1.830	1.490	1.511	07/29/2020	759
313379EE5	36402	FHLB NOTES	12/02/2015	17,228,477.63	17,200,000.00	17,075,162.40	1.625	1.243	1.261	06/14/2019	348
313375K48	36508	FHLB NOTES	01/11/2016	20,032,171.55	20,000,000.00	19,995,920.00	2.000	1.175	1.191	09/14/2018	75
313379EE5	36550	FHLB NOTES	02/05/2016	15,070,983.13	15,000,000.00	14,891,130.00	1.625	1.102	1.117	06/14/2019	348
3130A7PU3	36679	FHLB NOTES	04/11/2016	10,009,071.43	10,000,000.00	9,766,270.00	1.200	1.131	1.147	04/08/2020	645
3133782M2	36707	FHLB NOTES	04/19/2016	20,087,942.25	20,015,000.00	19,913,884.22	1.500	0.946	0.960	03/08/2019	250
313378J77	36756	FHLB NOTES	05/12/2016	15,187,787.83	15,000,000.00	14,831,265.00	1.875	1.105	1.120	03/13/2020	621
313378J77	36760	FHLB NOTES	05/13/2016	6,072,189.39	6,000,000.00	5,932,506.00	1.875	1.133	1.149	03/13/2020	621
3130A8KR3	36854	FHLB NOTES	06/29/2016	25,250,283.06	25,250,000.00	25,246,717.50	0.820	0.715	0.725	07/06/2018	5
3133834H1	36877	FHLB NOTES	07/12/2016	15,091,279.15	15,000,000.00	14,652,840.00	1.375	1.040	1.055	06/12/2020	712
313378J77	36886	FHLB NOTES	07/15/2016	15,198,435.66	15,000,000.00	14,831,265.00	1.875	1.055	1.069	03/13/2020	621
3130A7L37	36896	FHLB NOTES	07/21/2016	5,010,410.27	5,000,000.00	4,963,770.00	1.250	0.939	0.952	03/15/2019	257
313380WG8	36993	FHLB NOTES	09/30/2016	19,608,301.16	19,500,000.00	18,988,066.50	1.375	1.100	1.115	09/11/2020	803
313380WG8	37022	FHLB NOTES	10/06/2016	12,037,853.00	12,000,000.00	11,684,964.00	1.375	1.210	1.227	09/11/2020	803
3133834H1	37037	FHLB NOTES	10/13/2016	10,025,191.36	10,000,000.00	9,768,560.00	1.375	1.225	1.242	06/12/2020	712
313380WG8	37054	FHLB NOTES	10/24/2016	10,033,929.85	10,000,000.00	9,737,470.00	1.375	1.199	1.216	09/11/2020	803
313383HJ8	37058	FHLB NOTES	10/19/2016	15,167,295.01	15,000,000.00	14,755,905.00	1.750	1.147	1.163	06/12/2020	712
313380WG8	37072	FHLB NOTES	10/28/2016	13,892,148.63	13,860,000.00	13,496,133.42	1.375	1.248	1.266	09/11/2020	803
3130AA3R7	37108	FHLB NOTES	11/17/2016	34,996,311.04	35,000,000.00	34,479,130.00	1.375	1.363	1.382	11/15/2019	502
3130AABG2	37149	FHLB NOTES	11/30/2016	14,959,248.69	15,000,000.00	14,593,875.00	1.875	1.931	1.957	11/29/2021	1,247
3130AAE46	37190	FHLB NOTES	12/08/2016	49,999,485.49	50,000,000.00	49,739,700.00	1.250	1.234	1.252	01/16/2019	199
3130AADN5	37289	FHLB NOTES	12/28/2016	40,001,237.60	40,000,000.00	39,601,520.00	1.375	1.353	1.371	06/28/2019	362
3130A8Y72	37372	FHLB NOTES	01/26/2017	34,784,426.95	35,000,000.00	34,572,195.00	0.875	1.430	1.450	08/05/2019	400
3130AAXX1	37548	FHLB NOTES	03/10/2017	19,993,619.78	20,000,000.00	19,872,000.00	1.375	1.471	1.491	03/18/2019	260
3130AAXX1	37549	FHLB NOTES	03/10/2017	19,993,619.78	20,000,000.00	19,872,000.00	1.375	1.471	1.491	03/18/2019	260

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<b>Federal Agency Bonds</b>											
3130AAXX1	37614	FHLB NOTES	03/28/2017	25,000,226.23	25,000,000.00	24,840,000.00	1.375	1.354	1.373	03/18/2019	260
3130A6AE7	37626	FHLB NOTES	03/31/2017	19,995,617.21	20,000,000.00	19,961,720.00	1.125	1.217	1.234	09/14/2018	75
313382K69	37711	FHLB NOTES	04/13/2017	25,884,058.61	25,860,000.00	25,235,584.44	1.750	1.690	1.714	03/12/2021	985
313378CR0	37726	FHLB NOTES	04/19/2017	25,378,921.68	25,000,000.00	24,552,175.00	2.250	1.794	1.819	03/11/2022	1,349
313378J77	37778	FHLB NOTES	04/28/2017	14,078,809.04	14,000,000.00	13,842,514.00	1.875	1.513	1.535	03/13/2020	621
3130ABDX1	37816	FHLB NOTES	05/24/2017	30,000,000.00	30,000,000.00	29,741,370.00	1.400	1.380	1.400	05/24/2019	327
313379R87	37961	FHLB NOTES	06/30/2017	5,018,163.97	5,000,000.00	4,882,385.00	1.875	1.722	1.746	06/11/2021	1,076
3130ACE26	38033	FHLB NOTES	09/08/2017	21,948,190.60	22,000,000.00	21,389,500.00	1.375	1.462	1.482	09/28/2020	820
3130A3U05	38102	FHLB NOTES	11/03/2017	10,016,214.67	10,000,000.00	9,795,390.00	1.875	1.781	1.806	12/11/2020	894
3130ACUK8	38178	FHLB NOTES	11/28/2017	25,000,000.00	25,000,000.00	24,488,300.00	2.000	1.972	2.000	05/28/2021	1,062
3130AAE46	38202	FHLB NOTES	11/30/2017	24,937,681.65	25,000,000.00	24,869,850.00	1.250	1.892	1.716	01/16/2019	199
313383HUB	38247	FHLB NOTES	12/08/2017	24,913,732.02	25,000,000.00	24,593,175.00	1.750	1.905	1.932	06/12/2020	712
3130A66T9	38269	FHLB NOTES	12/13/2017	24,806,298.08	25,000,000.00	24,456,250.00	1.625	1.961	1.989	09/11/2020	803
3130ADJH6	38418	FHLB NOTES	01/29/2018	7,611,695.94	7,615,000.00	7,572,165.63	2.100	2.099	2.128	01/29/2020	577
313370US5	38436	FHLB NOTES	02/05/2018	5,058,279.38	5,000,000.00	5,023,900.00	2.875	2.292	2.324	09/11/2020	803
313379EE5	38469	FHLB NOTES	02/21/2018	24,870,377.91	25,000,000.00	24,818,550.00	1.625	2.149	2.179	06/14/2019	348
3130ADUY6	38570	FHLB NOTES	04/06/2018	31,568,720.68	31,575,000.00	31,425,776.55	2.450	2.425	2.459	09/21/2020	813
3130ADXU1	38578	FHLB NOTES	04/09/2018	19,984,690.79	20,000,000.00	19,933,920.00	2.320	2.340	2.372	01/09/2020	557
3130A02T6	38596	FHLB NOTES	04/13/2018	25,015,458.82	25,000,000.00	24,988,600.00	2.375	2.289	2.321	09/13/2019	439
3130A8Y72	38615	FHLB NOTES	04/19/2018	24,601,138.41	25,000,000.00	24,601,138.41	0.875	2.330	2.363	08/05/2019	400
3130AECJ7	38733	FHLB NOTES	05/21/2018	9,996,598.07	10,000,000.00	10,003,070.00	2.625	2.607	2.643	05/28/2020	697
3130AEHC3	38814	FHLB NOTES	06/21/2018	35,000,000.00	35,000,000.00	34,995,660.00	1.943	1.916	1.942	11/29/2018	151
3137EADM8	35873	FHLMC NOTES	04/16/2015	29,976,495.21	30,000,000.00	29,544,180.00	1.250	1.296	1.314	10/02/2019	458
3137EADM8	36322	FHLMC NOTES	10/29/2015	9,986,371.05	10,000,000.00	9,848,060.00	1.250	1.343	1.362	10/02/2019	458
3137EADM8	36332	FHLMC NOTES	11/04/2015	18,299,627.82	18,350,000.00	18,071,190.10	1.250	1.456	1.476	10/02/2019	458
3137EADM8	36337	FHLMC NOTES	11/06/2015	7,971,875.05	8,000,000.00	7,878,448.00	1.250	1.519	1.540	10/02/2019	458
3137EADM8	36340	FHLMC NOTES	11/09/2015	9,957,857.38	10,000,000.00	9,848,060.00	1.250	1.576	1.598	10/02/2019	458
3137EAECE9	36989	FHLMC NOTES	09/27/2016	19,907,509.52	20,000,000.00	19,086,220.00	1.125	1.261	1.278	08/12/2021	1,138
3137EAECE9	36992	FHLMC NOTES	09/29/2016	9,957,666.74	10,000,000.00	9,543,110.00	1.125	1.248	1.265	08/12/2021	1,138
3137EAECE5	37443	FHLMC NOTES	02/09/2017	20,006,831.76	20,000,000.00	19,685,820.00	1.500	1.456	1.477	01/17/2020	565
3134G3A91	37454	FHLMC NOTES	01/19/2017	19,998,854.66	20,000,000.00	19,735,280.00	1.400	1.385	1.404	08/22/2019	417
3134GA6W9	37580	FHLMC NOTES	02/28/2017	11,150,000.00	11,150,000.00	11,013,055.70	1.500	1.479	1.500	08/28/2019	423

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**Fund COMM - COMMINGLED POOL**  
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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds</b>											
3137EAEF2	37835	FHLMC NOTES	04/20/2017	44,907,517.50	45,000,000.00	44,064,675.00	1.375	1.471	1.491	04/20/2020	659
3137EAEJ4	38057	FHLMC NOTES	09/29/2017	14,979,687.78	15,000,000.00	14,673,615.00	1.625	1.663	1.687	09/29/2020	821
3137EAEK1	38135	FHLMC NOTES	11/15/2017	24,981,012.94	25,000,000.00	24,538,025.00	1.875	1.881	1.908	11/17/2020	870
3137EAEB1	38403	FHLMC NOTES	01/19/2018	24,707,050.00	25,000,000.00	24,605,075.00	0.875	1.985	2.013	07/19/2019	383
3137EAEI9	38462	FHLMC NOTES	02/16/2018	44,896,050.00	45,000,000.00	44,655,210.00	2.375	2.433	2.466	02/16/2021	961
3135G0YM9	35814	FNMA NOTES	04/07/2015	25,044,627.12	25,000,000.00	24,995,225.00	1.875	1.009	1.023	09/18/2018	79
3135G0UU5	35847	FNMA NOTES	04/13/2015	7,028,849.89	7,000,000.00	6,909,489.00	1.750	1.474	1.494	03/06/2020	614
3135G0UU5	35856	FNMA NOTES	04/14/2015	14,645,242.45	14,584,000.00	14,395,385.13	1.750	1.469	1.489	03/06/2020	614
3138FTZZ5	36088	FNMA NOTES	06/26/2015	7,363,832.02	7,370,000.00	7,349,113.42	1.750	1.397	1.417	01/30/2019	213
3135G0A78	36347	FNMA NOTES	11/13/2015	9,992,795.76	10,000,000.00	9,866,570.00	1.625	1.650	1.672	01/21/2020	569
3135G0A78	36381	FNMA NOTES	11/18/2015	20,002,310.05	20,000,000.00	19,733,140.00	1.625	1.585	1.607	01/21/2020	569
3135G0A78	36383	FNMA NOTES	11/24/2015	9,995,024.72	10,000,000.00	9,866,570.00	1.625	1.535	1.568	01/21/2020	569
3135G0A78	36384	FNMA NOTES	11/24/2015	9,995,323.98	10,000,000.00	9,866,570.00	1.625	1.533	1.566	01/21/2020	569
3135G0H63	36505	FNMA NOTES	01/08/2016	24,997,083.18	25,000,000.00	24,876,725.00	1.375	1.376	1.395	01/28/2019	211
3135G0N33	37253	FNMA NOTES	12/19/2016	19,859,687.80	20,000,000.00	19,667,580.00	0.875	1.515	1.536	08/02/2019	397
3135G0S38	37582	FNMA NOTES	02/09/2017	10,036,001.81	10,000,000.00	9,746,940.00	2.000	1.866	1.892	01/05/2022	1,284
3138FTZZ5	37620	FNMA NOTES	03/29/2017	10,890,250.03	10,865,000.00	10,834,208.59	1.750	1.324	1.343	01/30/2019	213
3135G0T45	37783	FNMA NOTES	04/10/2017	39,864,524.57	40,000,000.00	38,779,240.00	1.875	1.943	1.970	04/05/2022	1,374
3135G0S38	38079	FNMA NOTES	10/25/2017	9,997,488.74	10,000,000.00	9,746,940.00	2.000	1.979	2.007	01/05/2022	1,284
3135G0S38	38142	FNMA NOTES	11/16/2017	11,391,034.02	11,395,000.00	11,106,638.13	2.000	1.982	2.010	01/05/2022	1,284
3135G0T78	38163	FNMA NOTES	11/22/2017	4,963,947.06	5,000,000.00	4,838,690.00	2.000	2.149	2.179	10/05/2022	1,557
3135G0T60	38209	FNMA NOTES	12/04/2017	9,920,712.55	10,000,000.00	9,772,840.00	1.500	1.866	1.892	07/30/2020	760
3135G0N33	38367	FNMA NOTES	01/05/2018	39,532,179.19	40,000,000.00	39,335,160.00	0.875	1.946	1.973	08/02/2019	397
880591EV0	38503	TENNESSEE VALLEY AUTHORITY	03/08/2018	19,963,514.72	20,000,000.00	19,883,240.00	2.250	2.327	2.360	03/15/2020	623
880591EV0	38815	TENNESSEE VALLEY AUTHORITY	06/21/2018	9,941,748.72	10,000,000.00	9,941,620.00	2.250	2.535	2.570	03/15/2020	623
<b>Subtotal and Average</b>				<b>2,447,531,938.77</b>	<b>2,448,476,000.00</b>	<b>2,418,758,287.48</b>		<b>1.601</b>	<b>1.624</b>		<b>544</b>

**Federal Agency Bonds - CALLABLE**

3133EF2L0	36688	FFCB NOTES	04/13/2016	20,000,000.00	20,000,000.00	19,545,100.00	1.400	1.380	1.400	04/13/2020	652
3133EF2L0	36689	FFCB NOTES	04/13/2016	15,000,000.00	15,000,000.00	14,658,825.00	1.400	1.380	1.400	04/13/2020	652
3133EF2L0	36690	FFCB NOTES	04/13/2016	15,000,000.00	15,000,000.00	14,658,825.00	1.400	1.380	1.400	04/13/2020	652
3133EGKA2	36866	FFCB NOTES	07/06/2016	20,000,000.00	20,000,000.00	19,239,660.00	1.500	1.479	1.500	07/06/2021	1,101

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds - CALLABLE</b>											
3133EG2P9	37300	FFCB NOTES	12/29/2016	10,000,000.00	10,000,000.00	9,805,760.00	2.320	2.288	2.320	12/29/2021	1,277
3133EG2P9	37301	FFCB NOTES	12/29/2016	15,000,000.00	15,000,000.00	14,708,640.00	2.320	2.288	2.320	12/29/2021	1,277
3133EHKT9	38272	FFCB NOTES	12/13/2017	10,833,501.33	10,915,000.00	10,555,263.43	2.220	2.368	2.400	11/25/2022	1,608
3133EGG82	38326	FFCB NOTES	12/26/2017	1,950,346.62	2,000,000.00	1,913,868.00	1.520	2.262	2.293	11/15/2021	1,233
3133EGNK7	38341	FFCB NOTES	12/29/2017	4,382,282.41	4,450,000.00	4,324,901.60	1.320	2.049	2.077	07/27/2020	757
3133EGAH8	38342	FFCB NOTES	12/29/2017	10,687,595.00	10,875,000.00	10,505,532.75	1.550	2.144	2.174	05/17/2021	1,051
3133EGKD6	38346	FFCB NOTES	12/29/2017	6,870,548.32	7,000,000.00	6,747,041.00	1.625	2.188	2.218	10/05/2021	1,192
3133EFF28	38368	FFCB NOTES	01/05/2018	5,170,757.39	5,245,000.00	5,099,241.45	1.850	2.171	2.202	03/01/2021	974
3130A3XL3	36117	FHLB NOTES	07/09/2015	7,233,575.13	7,250,000.00	7,130,469.25	1.500	1.624	1.646	02/10/2020	589
3130A7HM0	36641	FHLB NOTES	03/29/2016	19,997,518.52	20,000,000.00	19,846,120.00	1.250	1.249	1.267	03/29/2019	271
3130A9W49	37131	FHLB NOTES	11/25/2016	15,000,000.00	15,000,000.00	14,688,450.00	1.250	1.232	1.250	02/24/2020	603
3130AANA2	37382	FHLB NOTES	01/30/2017	25,000,000.00	25,000,000.00	24,564,275.00	1.750	1.726	1.750	07/30/2020	760
3130AANA2	37383	FHLB NOTES	01/30/2017	5,000,000.00	5,000,000.00	4,912,855.00	1.750	1.726	1.750	07/30/2020	760
3130AB3T1	37641	FHLB NOTES	04/24/2017	20,000,000.00	20,000,000.00	19,637,560.00	1.750	1.726	1.750	07/24/2020	754
3130ABZE9	38016	FHLB NOTES	08/28/2017	8,400,000.00	8,400,000.00	8,233,537.20	1.650	1.627	1.650	08/28/2020	789
3130ACK52	38389	FHLB NOTES	01/12/2018	24,746,194.30	25,000,000.00	24,462,125.00	1.700	2.134	2.164	10/05/2020	827
3134G7AS7	36393	FHLMC NOTES	11/27/2015	5,000,000.00	5,000,000.00	4,992,610.00	1.000	0.986	1.000	08/27/2018	57
3134G8JT7	36583	FHLMC NOTES	02/24/2016	12,500,000.00	12,500,000.00	12,281,700.00	1.500	1.479	1.500	02/24/2020	603
3134G8TV1	36644	FHLMC NOTES	03/29/2016	7,000,000.00	7,000,000.00	6,946,268.00	1.220	1.203	1.220	03/29/2019	271
3134G8S93	36648	FHLMC NOTES	03/30/2016	20,000,000.00	20,000,000.00	19,698,220.00	1.500	1.479	1.500	12/30/2019	547
3134G8PD5	36649	FHLMC NOTES	03/30/2016	19,998,574.60	20,000,000.00	19,722,620.00	1.350	1.337	1.355	09/30/2019	456
3134G8PD5	36650	FHLMC NOTES	03/30/2016	3,714,735.23	3,715,000.00	3,663,476.67	1.350	1.337	1.355	09/30/2019	456
3134G8PD5	36651	FHLMC NOTES	03/30/2016	6,375,000.00	6,375,000.00	6,286,585.13	1.350	1.331	1.350	09/30/2019	456
3134G8PD5	36654	FHLMC NOTES	03/30/2016	12,499,331.85	12,500,000.00	12,326,637.50	1.350	1.335	1.354	09/30/2019	456
3134G8DD6	36721	FHLMC NOTES	04/26/2016	11,996,722.22	12,000,000.00	11,885,676.00	1.150	1.167	1.184	04/26/2019	299
3134G8YU7	36722	FHLMC NOTES	04/26/2016	24,994,888.89	25,000,000.00	24,911,925.00	1.050	1.099	1.115	10/26/2018	117
3134G8XA2	36725	FHLMC NOTES	04/27/2016	10,000,000.00	10,000,000.00	9,993,670.00	1.000	0.986	1.000	07/27/2018	26
3134G9AV9	36729	FHLMC NOTES	04/28/2016	14,994,321.43	15,000,000.00	14,750,490.00	1.250	1.261	1.279	10/28/2019	484
3134G9AV9	36730	FHLMC NOTES	04/28/2016	4,248,391.07	4,250,000.00	4,179,305.50	1.250	1.261	1.279	10/28/2019	484
3134G9HM2	36785	FHLMC NOTES	05/26/2016	9,000,000.00	9,000,000.00	8,836,317.00	1.300	1.282	1.300	11/26/2019	513
3134G9HW0	36787	FHLMC NOTES	05/26/2016	5,250,000.00	5,250,000.00	5,158,944.00	1.250	1.232	1.250	11/26/2019	513
3134G9QW0	36823	FHLMC NOTES	06/14/2016	20,000,000.00	20,000,000.00	19,795,160.00	1.280	1.262	1.280	06/14/2019	348

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# Fund COMM - COMMINGLED POOL

## Investments by Fund June 30, 2018

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds - CALLABLE</b>											
3134G9Z1	36848	FHLMC NOTES	06/28/2016	10,627,068.69	10,625,000.00	10,581,122.50	1.000	0.946	0.959	12/28/2018	180
3134GAX7	37148	FHLMC NOTES	11/30/2016	15,000,000.00	15,000,000.00	14,932,875.00	1.000	0.986	1.000	11/30/2018	152
3134G3X90	37344	FHLMC NOTES	01/19/2017	10,002,061.54	10,000,000.00	9,807,260.00	1.700	1.867	1.690	09/25/2020	817
3134GBBM3	37518	FHLMC NOTES	03/29/2017	25,000,000.00	25,000,000.00	24,570,675.00	2.000	1.972	2.000	03/29/2021	1,002
3134GA577	37578	FHLMC NOTES	02/28/2017	3,500,000.00	3,500,000.00	3,423,346.50	2.125	2.095	2.125	01/26/2022	1,305
3134GA577	37579	FHLMC NOTES	02/28/2017	20,000,000.00	20,000,000.00	19,561,980.00	2.125	2.096	2.125	01/26/2022	1,305
3134GBEW8	37621	FHLMC NOTES	04/26/2017	25,000,000.00	25,000,000.00	24,752,800.00	1.500	1.478	1.500	07/26/2019	390
3134GBEW8	37668	FHLMC NOTES	04/26/2017	8,000,000.00	8,000,000.00	7,920,896.00	1.500	1.479	1.500	07/26/2019	390
3134GBGZ9	37669	FHLMC NOTES	04/27/2017	15,000,000.00	15,000,000.00	14,615,145.00	2.000	1.972	2.000	01/27/2022	1,306
3134GBEF5	37696	FHLMC NOTES	04/27/2017	3,640,000.00	3,640,000.00	3,586,908.96	1.700	1.676	1.700	04/27/2020	666
3134GBJJ2	37741	FHLMC NOTES	04/28/2017	10,000,000.00	10,000,000.00	9,780,690.00	1.750	1.726	1.750	01/26/2021	940
3134GBJJ2	37742	FHLMC NOTES	04/26/2017	25,000,000.00	25,000,000.00	24,451,725.00	1.750	1.726	1.750	01/26/2021	940
3134GBLR1	37801	FHLMC NOTES	05/25/2017	20,000,000.00	20,000,000.00	19,556,480.00	1.750	1.726	1.750	11/25/2020	878
3134GBLO3	37804	FHLMC NOTES	05/22/2017	20,000,000.00	20,000,000.00	19,648,540.00	1.650	1.627	1.650	05/22/2020	691
3134GBPM8	37817	FHLMC NOTES	05/24/2017	15,000,000.00	15,000,000.00	14,625,720.00	2.000	1.972	2.000	02/24/2022	1,334
3134GBRR5	37849	FHLMC NOTES	06/15/2017	20,000,000.00	20,000,000.00	19,436,880.00	1.800	1.775	1.800	06/15/2021	1,080
3134GBRU8	37861	FHLMC NOTES	06/22/2017	30,000,000.00	30,000,000.00	29,339,190.00	1.625	1.602	1.625	06/22/2020	722
3134GBSM5	37880	FHLMC NOTES	06/22/2017	5,450,000.00	5,450,000.00	5,328,514.05	1.700	1.676	1.700	09/22/2020	814
3134GBRZ7	37893	FHLMC NOTES	06/20/2017	15,000,000.00	15,000,000.00	14,761,395.00	1.500	1.479	1.500	12/20/2019	537
3134GBSW3	37894	FHLMC NOTES	06/22/2017	5,000,000.00	5,000,000.00	4,886,540.00	1.750	1.726	1.750	12/22/2020	905
3134GBYF3	37988	FHLMC NOTES	07/27/2017	5,750,000.00	5,750,000.00	5,612,402.50	1.800	1.775	1.800	01/27/2021	941
3134GBFE4	38055	FHLMC NOTES	09/28/2017	6,000,000.00	6,000,000.00	5,860,554.00	1.600	1.578	1.600	09/28/2020	820
3134GBU83	38090	FHLMC NOTES	10/30/2017	15,000,000.00	15,000,000.00	14,657,220.00	2.000	1.972	2.000	10/29/2021	1,216
3134GBZ70	38170	FHLMC NOTES	11/27/2017	25,000,000.00	25,000,000.00	24,556,975.00	1.875	1.849	1.875	11/27/2020	880
3134GBZ70	38171	FHLMC NOTES	11/27/2017	10,000,000.00	10,000,000.00	9,822,790.00	1.875	1.849	1.875	11/27/2020	880
3134GSAC9	38334	FHLMC NOTES	12/28/2017	10,000,000.00	10,000,000.00	9,849,130.00	2.050	2.021	2.050	12/28/2020	911
3134GSAX3	38352	FHLMC NOTES	01/08/2018	25,000,000.00	25,000,000.00	24,458,625.00	2.220	2.189	2.220	07/01/2022	1,461
3134G9Q75	38408	FHLMC NOTES	01/23/2018	19,829,834.25	20,000,000.00	19,749,680.00	1.250	2.033	2.062	07/26/2019	390
3134GSFE0	38466	FHLMC NOTES	02/26/2018	20,000,000.00	20,000,000.00	19,899,720.00	2.500	2.465	2.500	02/26/2021	971
3134SGSG8	38496	FHLMC NOTES	03/29/2018	20,000,000.00	20,000,000.00	19,927,720.00	2.700	2.663	2.700	03/29/2022	1,367
3134GSMY8	38796	FHLMC NOTES	06/15/2018	15,000,000.00	15,000,000.00	15,006,930.00	2.750	3.426	3.474	06/15/2023	1,810
3134GSPR0	38927	FHLMC NOTES	06/28/2018	22,000,000.00	22,000,000.00	22,007,414.00	3.000	2.958	3.000	06/28/2022	1,458

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds - CALLABLE</b>											
3135G2WX1	36576	FNMA NOTES	02/22/2016	15,500,000.00	15,500,000.00	15,397,266.00	1.250	1.232	1.250	02/22/2019	236
3135G3NC5	36782	FNMA NOTES	05/24/2016	4,999,252.31	5,000,000.00	4,939,655.00	1.000	1.003	1.016	05/24/2019	327
3135G3QY4	36793	FNMA NOTES	05/27/2016	5,000,000.00	5,000,000.00	4,949,460.00	1.250	1.212	1.229	05/30/2019	333
3135G3QY4	36794	FNMA NOTES	05/27/2016	15,000,000.00	15,000,000.00	14,848,360.00	1.250	1.212	1.229	05/30/2019	333
3135G3QY4	36795	FNMA NOTES	05/27/2016	5,000,000.00	5,000,000.00	4,949,460.00	1.250	1.212	1.229	05/30/2019	333
3135G3PR0	36797	FNMA NOTES	05/27/2016	24,966,599.50	25,000,000.00	24,211,125.00	1.500	1.526	1.548	05/26/2021	1,060
3135G3TD7	36845	FNMA NOTES	06/28/2016	12,984,290.61	12,980,000.00	12,828,250.82	1.200	1.150	1.165	06/28/2019	362
3135G3TB1	36846	FNMA NOTES	06/28/2016	12,054,978.99	12,050,000.00	11,897,254.20	1.100	1.043	1.057	06/28/2019	362
3135G3SQ9	36849	FNMA NOTES	06/28/2016	25,000,000.00	25,000,000.00	24,695,225.00	1.150	1.134	1.150	06/28/2019	362
3135G0L50	36875	FNMA NOTES	07/12/2016	30,000,000.00	30,000,000.00	29,572,500.00	1.050	1.035	1.050	07/12/2019	376
3135G3SY2	36880	FNMA NOTES	07/13/2016	7,500,000.00	7,500,000.00	7,389,555.00	1.250	1.232	1.250	09/30/2019	456
3135G3A62	36902	FNMA NOTES	07/26/2016	15,000,000.00	15,000,000.00	14,782,305.00	1.050	1.035	1.050	07/26/2019	390
3135G4BV4	37017	FNMA NOTES	09/30/2016	20,008,427.08	20,000,000.00	19,445,740.00	1.450	1.411	1.430	09/30/2020	822
3135G0Q30	37103	FNMA NOTES	10/21/2016	29,998,732.95	30,000,000.00	29,522,220.00	1.180	1.167	1.183	09/27/2019	453
3135G4MD2	37509	FNMA NOTES	03/16/2017	3,000,000.00	3,000,000.00	2,948,565.00	1.850	1.824	1.850	09/16/2020	808
3135G4NP4	38233	FNMA NOTES	12/06/2017	39,833,843.88	40,000,000.00	39,273,560.00	1.800	1.979	2.007	07/24/2020	754
3135G4NP4	38235	FNMA NOTES	12/07/2017	5,974,579.51	6,000,000.00	5,891,034.00	1.800	1.983	2.011	07/24/2020	754
<b>Subtotal and Average</b>				<b>1,214,463,953.62</b>	<b>1,215,720,000.00</b>	<b>1,195,167,051.01</b>		<b>1.652</b>	<b>1.675</b>		<b>741</b>
<b>US Treasury Notes</b>											
912828VQ0	35887	U.S. TREASURY NOTES	04/20/2015	15,005,370.28	15,000,000.00	14,994,660.00	1.375	0.918	0.931	07/31/2018	30
912828S43	38300	U.S. TREASURY NOTES	12/15/2017	19,786,525.13	20,000,000.00	19,668,760.00	0.750	1.770	1.794	07/15/2019	379
912828TN0	38302	U.S. TREASURY NOTES	12/19/2017	24,766,494.46	25,000,000.00	24,594,725.00	1.000	1.792	1.817	08/31/2019	426
912828B33	38309	U.S. TREASURY NOTES	12/20/2017	24,957,895.04	25,000,000.00	24,895,500.00	1.500	1.766	1.791	01/31/2019	214
912828XK1	38355	U.S. TREASURY NOTES	01/09/2018	29,991,577.54	30,000,000.00	29,990,070.00	0.875	1.585	1.607	07/15/2018	14
912828K82	38377	U.S. TREASURY NOTES	01/10/2018	24,980,153.73	25,000,000.00	24,973,400.00	1.000	1.623	1.645	08/15/2018	45
912828RH5	38379	U.S. TREASURY NOTES	01/11/2018	24,977,613.61	25,000,000.00	24,962,000.00	1.375	1.713	1.737	09/30/2018	91
912828SX9	38386	U.S. TREASURY NOTES	01/12/2018	19,859,694.32	20,000,000.00	19,779,680.00	1.125	1.878	1.904	05/31/2019	334
912828K5	38457	U.S. TREASURY NOTES	02/15/2018	24,798,774.79	25,000,000.00	24,729,500.00	1.375	2.102	2.132	07/31/2019	395
<b>Subtotal and Average</b>				<b>209,124,098.90</b>	<b>210,000,000.00</b>	<b>208,588,295.00</b>		<b>1.710</b>	<b>1.734</b>		<b>210</b>
<b>Corporate Bonds</b>											

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<b>Corporate Bonds</b>											
037933CB4	36918	APPLE INC	08/04/2016	29,989,118.74	30,000,000.00	29,575,560.00	1.100	1.118	1.134	08/02/2019	397
037933DJ6	38124	APPLE INC	11/13/2017	44,970,180.00	45,000,000.00	44,172,630.00	2.000	2.001	2.029	11/13/2020	866
084664CG4	36620	BERKSHIRE HATHWY	03/15/2016	4,999,106.30	5,000,000.00	4,973,965.00	1.700	1.702	1.726	03/15/2019	257
084664CK5	36933	BERKSHIRE HATHWY	08/15/2016	9,996,371.48	10,000,000.00	9,849,530.00	1.300	1.314	1.333	08/15/2019	410
084670BO0	38830	BERKSHIRE HATHWY	06/29/2018	9,852,203.48	10,000,000.00	9,839,750.00	2.200	2.732	2.770	03/15/2021	988
166764BH2	36765	CHEVRON CORP.	05/16/2016	15,000,000.00	15,000,000.00	14,864,325.00	1.561	1.539	1.561	05/16/2019	319
166764BP4	37503	CHEVRON CORP.	03/03/2017	8,000,000.00	8,000,000.00	7,902,312.00	1.991	1.963	1.991	03/03/2020	611
02079KAA5	38601	GOOGLE INC	04/16/2018	17,137,793.68	16,705,000.00	17,059,095.89	3.625	2.645	2.682	05/19/2021	1,053
459200JN2	37380	IBM	01/27/2017	9,994,968.89	10,000,000.00	9,868,750.00	1.900	1.906	1.933	01/27/2020	575
478160BR4	38504	JOHNSON & JOHNSON	03/08/2018	25,105,298.16	25,282,000.00	25,044,602.02	1.125	2.160	2.190	03/01/2019	243
48125L RG9	36966	JPMORGAN	09/23/2016	12,494,781.94	12,500,000.00	12,310,687.50	1.650	1.661	1.685	09/23/2019	449
191216BT6	38529	Coca-Cola Co	03/19/2018	7,384,907.57	7,500,000.00	7,330,732.50	1.875	2.646	2.682	10/27/2020	849
594918BF0	36329	MICROSOFT CORP	11/03/2015	19,997,740.74	20,000,000.00	19,925,920.00	1.300	1.315	1.334	11/03/2018	125
594918BN3	36923	MICROSOFT CORP	08/08/2016	9,996,213.80	10,000,000.00	9,843,120.00	1.100	1.119	1.135	08/08/2019	403
594918BV5	37419	MICROSOFT CORP	02/06/2017	14,994,649.31	15,000,000.00	14,808,330.00	1.850	1.847	1.873	02/06/2020	585
594918AY0	38123	MICROSOFT CORP	11/13/2017	14,090,837.10	14,096,000.00	13,922,478.24	1.850	1.847	1.872	02/12/2020	591
742718EQ8	38524	PROCTER & GAMBLE	03/15/2018	19,326,585.93	20,000,000.00	19,244,880.00	1.700	2.728	2.766	11/03/2021	1,221
742718EO8	38526	PROCTER & GAMBLE	03/16/2018	9,669,289.06	10,000,000.00	9,622,440.00	1.700	2.709	2.747	11/03/2021	1,221
89236TDE2	36776	TOYOTA MOTOR CREDIT	05/20/2016	19,991,729.63	20,000,000.00	19,782,800.00	1.400	1.428	1.447	05/20/2019	323
89236TDH5	37057	TOYOTA MOTOR CREDIT	10/18/2016	4,998,918.98	5,000,000.00	4,929,715.00	1.550	1.545	1.567	10/18/2019	474
89236TDJ6	37702	TOYOTA MOTOR CREDIT	04/17/2017	4,998,624.26	5,000,000.00	4,913,985.00	1.950	1.938	1.965	04/17/2020	656
89236TDM4	38700	TOYOTA MOTOR CREDIT	05/10/2018	8,168,458.48	8,200,000.00	8,165,609.20	1.700	1.533	1.554	01/09/2019	192
90331HML4	36773	U S BANK	05/19/2016	19,732,590.69	19,589,000.00	19,409,192.57	2.125	1.533	1.554	10/28/2019	484
90331HNJ8	38409	U S BANK	01/23/2018	44,984,193.75	45,000,000.00	44,592,075.00	2.350	2.340	2.373	01/23/2020	571
931142EA7	38076	WALMART	10/20/2017	6,992,094.63	7,000,000.00	6,858,152.00	1.900	1.920	1.947	12/15/2020	898
931142EG4	38826	WALMART	06/27/2018	39,987,669.27	40,000,000.00	40,105,200.00	2.850	2.843	2.862	06/23/2020	723
94988J5D5	36805	WELLS FARGO	06/02/2016	11,998,445.26	12,000,000.00	11,892,492.00	1.750	1.740	1.764	05/24/2019	327
30231GAP7	38495	EXXON MOBIL CORP	03/06/2018	6,719,106.09	6,744,000.00	6,710,030.47	1.708	2.239	2.270	03/01/2019	243
30231GAP7	38505	EXXON MOBIL CORP	03/09/2018	8,234,231.66	8,265,000.00	8,223,369.20	1.708	2.244	2.275	03/01/2019	243
30231GAD4	38515	EXXON MOBIL CORP	03/12/2018	12,958,520.94	13,000,000.00	12,934,519.00	1.819	2.236	2.267	03/15/2019	257
<b>Subtotal and Average</b>				<b>472,745,629.82</b>	<b>473,881,000.00</b>	<b>468,676,247.59</b>		<b>1.971</b>	<b>1.999</b>		<b>574</b>

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<b>Asset Backed Securities (ABS)</b>											
02582JHG8	37862	AMERICAN EXPRESS	05/30/2017	33,994,553.20	34,000,000.00	33,707,127.40	1.640	1.602	1.624	12/15/2021	1,263
02582JHQ6	38646	AMERICAN EXPRESS	03/21/2018	44,984,775.50	45,000,000.00	44,880,903.00	2.670	2.653	2.690	10/17/2022	1,569
05581RAD8	36569	BMW VEHICLE LEASE TRUST	02/17/2016	141,250.30	141,306.90	141,221.86	1.340	1.333	1.351	01/22/2019	205
05582XAD4	37036	BMW VEHICLE LEASE TRUST	10/13/2016	6,394,980.79	6,395,826.32	6,370,811.60	1.430	1.423	1.443	09/20/2019	446
05582QAD9	36893	BMW VEHICLE OWNER TRUST	07/20/2016	8,436,597.85	8,436,635.81	8,362,588.30	1.160	1.147	1.163	11/25/2020	878
06559QAD9	38412	BMW VEHICLE OWNER TRUST	01/24/2018	31,999,680.00	32,000,000.00	31,677,027.20	2.350	2.329	2.362	04/25/2022	1,394
161571HF4	36929	CHASE ISSUANCE TRUST (ABS)	08/11/2016	22,993,668.10	23,000,000.00	22,667,008.30	1.270	1.267	1.285	07/15/2021	1,110
161571HN7	38708	CHASE ISSUANCE TRUST (ABS)	05/10/2018	37,000,000.00	37,000,000.00	36,988,903.70	2.273	2.197	2.228	04/17/2023	1,751
43814NAC9	36587	HONDA AUTO RECEIVABLES OWNER T	02/25/2016	5,679,923.72	5,680,730.95	5,655,513.05	1.220	1.213	1.230	12/18/2019	535
43814QAC2	36800	HONDA AUTO RECEIVABLES OWNER T	05/31/2016	6,796,933.39	6,797,065.25	6,760,916.42	1.390	1.375	1.395	04/15/2020	654
43814RAC0	37059	HONDA AUTO RECEIVABLES OWNER T	10/25/2016	11,499,253.65	11,500,000.00	11,376,706.20	1.210	1.199	1.216	12/18/2020	901
43814TAC6	37615	HONDA AUTO RECEIVABLES OWNER T	03/28/2017	16,499,018.25	16,500,000.00	16,334,425.80	1.720	1.706	1.729	06/22/2020	722
43811BAC8	37976	HONDA AUTO RECEIVABLES OWNER T	06/27/2017	27,997,578.00	28,000,000.00	27,588,226.40	1.680	1.666	1.690	08/16/2021	1,142
43814UAG4	38738	HONDA AUTO RECEIVABLES OWNER T	05/30/2018	11,999,738.40	12,000,000.00	12,014,764.80	3.010	2.988	3.030	05/18/2022	1,417
47787WAC3	36216	JOHN DEERE OWNER TRUST	09/09/2015	834,496.53	834,655.70	833,321.09	1.440	1.552	1.573	10/15/2019	471
47788MAC4	36600	JOHN DEERE OWNER TRUST	03/02/2016	5,179,883.39	5,180,698.83	5,157,331.29	1.360	1.353	1.371	04/15/2020	654
47788NAC2	36905	JOHN DEERE OWNER TRUST	07/27/2016	6,509,736.09	6,510,254.31	6,465,774.95	1.250	1.240	1.257	06/15/2020	715
47787XAC1	37507	JOHN DEERE OWNER TRUST	03/02/2017	8,498,789.60	8,500,000.00	8,420,718.80	1.780	1.769	1.793	04/15/2021	1,019
47788CAC6	38476	JOHN DEERE OWNER TRUST	02/28/2018	8,249,406.83	8,250,000.00	8,207,584.28	2.660	2.641	2.678	04/18/2022	1,387
58768MAC5	37065	MERCEDES-BENZ AUTO LEASE TRUST	10/26/2016	3,900,208.88	3,900,221.36	3,888,480.52	1.350	1.335	1.354	08/15/2019	410
58769DAE0	38785	MERCEDES-BENZ AUTO LEASE TRUST	06/11/2018	3,965,156.25	4,000,000.00	3,966,451.60	2.010	2.701	2.739	01/17/2023	1,661
58769BAD6	36956	MERCEDES-BENZ AUTO REC TRUST	09/14/2016	9,453,443.75	9,454,596.27	9,385,963.21	1.260	1.251	1.269	02/16/2021	961
65478UAD1	36557	NISSAN AUTO LEASE TRUST	02/10/2016	4,058,889.48	4,059,811.87	4,033,884.29	1.340	1.335	1.353	10/15/2020	837
65478DAD9	38475	NISSAN AUTO LEASE TRUST	02/28/2018	18,497,885.45	18,500,000.00	18,401,805.70	2.650	2.585	2.621	05/16/2022	1,415
89237KAD5	36801	TOYOTA AUTO REC OWNER TRUST	03/02/2016	7,087,785.76	7,088,187.66	7,054,542.87	1.250	1.238	1.256	03/16/2020	624
89231LAD9	37035	TOYOTA AUTO REC OWNER TRUST	10/12/2016	13,998,247.20	14,000,000.00	13,882,862.00	1.230	1.222	1.239	10/15/2020	837
89238MAD0	37568	TOYOTA AUTO REC OWNER TRUST	03/15/2017	9,998,823.00	10,000,000.00	9,902,598.00	1.730	1.684	1.708	02/16/2021	961
89238KAD4	38137	TOYOTA AUTO REC OWNER TRUST	11/15/2017	23,997,787.20	24,000,000.00	23,618,332.80	1.930	1.914	1.940	01/18/2022	1,297
<b>Subtotal and Average</b>				<b>390,658,530.56</b>	<b>390,729,991.23</b>	<b>387,725,795.43</b>		<b>1.898</b>	<b>1.924</b>		<b>1,202</b>

**Asset Backed Securities-Green Bond**

89231UAD9	36753	TOYOTA AUTO REC OWNER TRUST	05/11/2016	2,317,838.67	2,317,892.61	2,305,712.55	1.300	1.283	1.301	04/15/2020	654
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<b>Municipal Bonds</b>											
13083CSQ4	36313	CALIFORNIA ST	10/27/2015	10,244,881.92	10,220,000.00	10,051,983.20	1.800	1.832	1.855	04/01/2020	654
13083CP87	36731	CALIFORNIA ST	04/28/2016	14,958,456.85	15,000,000.00	14,453,550.00	1.500	1.608	1.630	04/01/2021	1,005
65887PHS7	37523	North Dakota State Finance	03/06/2017	556,127.99	500,000.00	549,030.00	5.000	1.400	1.420	10/01/2021	1,188
64900CEM9	36822	New York State Dormitory Auth	06/14/2016	3,000,000.00	3,000,000.00	2,966,550.00	1.455	1.435	1.455	07/01/2019	365
91412GWV3	35763	UNIVERSITY CALIFORNIA REVS	03/25/2015	250,000.00	250,000.00	248,895.00	2.003	1.975	2.002	05/15/2019	318
91412GD36	36710	UNIVERSITY CALIFORNIA REVS	04/20/2016	3,000,000.00	3,000,000.00	2,965,440.00	1.169	1.152	1.168	05/15/2019	318
<b>Subtotal and Average</b>				<b>32,009,466.76</b>	<b>31,970,000.00</b>	<b>31,235,448.20</b>		<b>1.557</b>	<b>1.578</b>		<b>761</b>
<b>Commercial Paper, Discount</b>											
46640QGT2	38091	JP Morgan Securities	10/31/2017	59,926,391.54	60,000,000.00	59,903,866.80	1.680	1.716	1.740	07/27/2018	26
46640QK59	38351	JP Morgan Securities	01/08/2018	49,733,526.22	50,000,000.00	49,695,292.50	2.000	2.047	2.076	10/05/2018	96
46640QNU1	38585	JP Morgan Securities	05/03/2018	39,402,775.74	40,000,000.00	39,337,278.40	2.550	2.635	2.671	01/28/2019	211
7426M3NJ4	38687	PRIVATE EXPORT FUND CORPORATIO	05/03/2018	49,378,436.83	50,000,000.00	49,311,867.50	2.230	2.300	2.332	01/18/2019	201
7426M3PF0	38766	PRIVATE EXPORT FUND CORPORATIO	06/01/2018	49,276,879.59	50,000,000.00	49,202,729.00	2.280	2.347	2.379	02/15/2019	229
7426M3PB9	38788	PRIVATE EXPORT FUND CORPORATIO	06/13/2018	29,575,608.89	30,000,000.00	29,531,181.90	2.280	2.336	2.369	02/11/2019	225
89233HN42	38577	TOYOTA MOTOR CREDIT	04/09/2018	64,186,257.66	65,000,000.00	64,218,878.75	2.420	2.493	2.528	01/04/2019	187
<b>Subtotal and Average</b>				<b>341,479,876.47</b>	<b>345,000,000.00</b>	<b>341,201,094.85</b>		<b>2.246</b>	<b>2.277</b>		<b>159</b>
<b>Federal Agency Discount Notes</b>											
313313D96	38301	FFCB DISCOUNT NOTE	12/19/2017	49,863,365.66	50,000,000.00	49,840,000.00	1.620	1.657	1.680	08/31/2018	61
313312BT6	38510	FFCB DISCOUNT NOTE	04/18/2018	35,529,251.67	36,000,000.00	35,520,624.00	2.100	2.157	2.187	02/11/2019	225
313384AD1	38365	FHLB DISCOUNT	01/04/2018	34,873,881.36	35,000,000.00	34,620,250.00	1.800	1.850	1.876	01/04/2019	187
313384CH0	38543	FHLB DISCOUNT	03/27/2018	17,058,124.19	17,300,000.00	17,055,239.60	2.110	2.171	2.201	02/25/2019	239
313385YY6	38828	FHLB DISCOUNT	06/28/2018	199,963,174.89	200,000,000.00	199,968,800.00	1.650	1.673	1.696	07/05/2018	4
<b>Subtotal and Average</b>				<b>337,087,777.77</b>	<b>338,300,000.00</b>	<b>337,004,913.60</b>		<b>1.766</b>	<b>1.790</b>		<b>66</b>
<b>Treasury Bills</b>											
912796PR4	38415	U S TREASURY BILL	01/25/2018	34,960,404.29	35,000,000.00	34,959,680.00	1.610	1.645	1.668	07/26/2018	25
<b>Subtotal and Average</b>				<b>34,960,404.29</b>	<b>35,000,000.00</b>	<b>34,959,680.00</b>		<b>1.646</b>	<b>1.669</b>		<b>25</b>

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<b>Local Agency Investment Fund</b>											
SYS8506	8506	LOCAL AGENCY INVEST FUND	07/01/2015	40,853,908.94	40,853,908.94	40,853,908.94	1.900	1.873	1.900		1
		<b>Subtotal and Average</b>		<b>40,853,908.94</b>	<b>40,853,908.94</b>	<b>40,853,908.94</b>		<b>1.874</b>	<b>1.900</b>		<b>1</b>
<b>Money Market</b>											
SYS37590	37590	JPM TE MMK FD	02/27/2017	38.11	38.11	38.11	1.780	1.755	1.780		1
SYS34292	34292	MORGAN STANLEY TRSY INSTL 8304	05/21/2013	191,840.68	191,840.68	191,840.68	1.780	1.755	1.780		1
SYS23519	23519	BLACKROCK TREASURY LIQ FUND	02/01/2008	257,944,354.00	257,944,354.00	257,944,354.00	1.800	1.775	1.800		1
		<b>Subtotal and Average</b>		<b>258,136,232.79</b>	<b>258,136,232.79</b>	<b>258,136,232.79</b>		<b>1.775</b>	<b>1.800</b>		<b>1</b>
<b>Supranationals - Green Bond</b>											
45818WB09	38324	IADB	12/21/2017	25,000,000.00	25,000,000.00	24,974,600.00	2.398	2.346	2.379	01/15/2022	1,294
45905UVR2	36643	INTL BANK RECON & DEVELOP	03/29/2016	45,000,000.00	45,000,000.00	44,705,565.00	1.170	1.154	1.170	01/29/2019	212
		<b>Subtotal and Average</b>		<b>70,000,000.00</b>	<b>70,000,000.00</b>	<b>69,680,165.00</b>		<b>1.580</b>	<b>1.602</b>		<b>598</b>
<b>Supranationals</b>											
4581X0CX4	37663	IADB	04/12/2017	34,949,856.35	35,000,000.00	34,407,170.00	1.625	1.680	1.704	05/12/2020	681
45818WB0P	38317	IADB	12/21/2017	45,032,251.80	45,000,000.00	44,982,720.00	2.418	2.314	2.347	07/15/2022	1,475
45818WB0M7	38385	IADB	01/12/2018	20,113,428.12	20,000,000.00	20,096,020.00	2.548	2.260	2.292	07/15/2021	1,110
45818WB0M7	38739	IADB	05/30/2018	10,075,036.78	10,000,000.00	10,048,010.00	2.548	2.236	2.267	07/15/2021	1,110
459058ER0	36258	INTL BANK RECON & DEVELOP	10/07/2015	14,997,776.44	15,000,000.00	14,956,155.00	1.000	1.043	1.057	10/05/2018	96
459058ER0	36259	INTL BANK RECON & DEVELOP	10/07/2015	24,996,294.06	25,000,000.00	24,926,925.00	1.000	1.043	1.057	10/05/2018	96
459058FA6	36653	INTL BANK RECON & DEVELOP	03/30/2016	19,973,267.50	20,000,000.00	19,575,800.00	1.376	1.435	1.455	03/30/2020	638
459058FK4	36881	INTL BANK RECON & DEVELOP	07/13/2016	39,996,948.20	40,000,000.00	39,281,600.00	0.876	0.869	0.881	08/15/2019	410
45905UT79	38567	INTL BANK RECON & DEVELOP	04/04/2018	25,000,000.00	25,000,000.00	25,000,000.00	2.122	2.121	2.151	05/03/2019	306
		<b>Subtotal and Average</b>		<b>235,134,859.25</b>	<b>235,000,000.00</b>	<b>233,274,400.00</b>		<b>1.655</b>	<b>1.678</b>		<b>699</b>
<b>ABS - Cont.</b>											
17305EFW0	37208	CITIBANK CREDIT CARD ISSUANCE	12/08/2016	39,998,533.75	40,000,000.00	39,408,564.00	1.750	1.725	1.749	11/19/2021	1,237
		<b>Subtotal and Average</b>		<b>39,998,533.75</b>	<b>40,000,000.00</b>	<b>39,408,564.00</b>		<b>1.726</b>	<b>1.750</b>		<b>1,237</b>
		<b>Total Investments and Average</b>		<b>7,584,141,151.43</b>	<b>7,592,786,579.81</b>	<b>7,523,222,987.18</b>		<b>1.769</b>	<b>1.793</b>		<b>479</b>

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**Fund WK - WORKERS COMP**  
**Investments by Fund**  
**June 30, 2018**

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds</b>											
3137EAE09	37310	FHLMC NOTES	12/30/2016	2,436,041.62	2,500,000.00	2,385,777.50	1.125	1.961	1.988	08/12/2021	1,138
3135G0R39	37309	FNMA NOTES	12/30/2016	3,477,175.65	3,500,000.00	3,433,370.50	1.000	1.489	1.510	10/24/2019	480
		<b>Subtotal and Average</b>		<b>5,913,217.27</b>	<b>6,000,000.00</b>	<b>5,819,148.00</b>		<b>1.684</b>	<b>1.707</b>		<b>751</b>
<b>US Treasury Notes</b>											
912828A75	34792	U.S. TREASURY NOTES	12/31/2013	4,494,715.01	4,500,000.00	4,484,884.50	1.500	1.721	1.745	12/31/2018	183
		<b>Subtotal and Average</b>		<b>4,494,715.01</b>	<b>4,500,000.00</b>	<b>4,484,884.50</b>		<b>1.722</b>	<b>1.746</b>		<b>183</b>
<b>Money Market</b>											
SYS34789	34789	BLACKROCK TREASURY LIQ FUND	12/26/2013	17,853,872.73	17,853,872.73	17,853,872.73	1.640	1.617	1.640		1
		<b>Subtotal and Average</b>		<b>17,853,872.73</b>	<b>17,853,872.73</b>	<b>17,853,872.73</b>		<b>1.618</b>	<b>1.640</b>		<b>1</b>
		<b>Total Investments and Average</b>		<b>28,261,805.01</b>	<b>28,353,872.73</b>	<b>28,157,905.23</b>		<b>1.648</b>	<b>1.671</b>		<b>186</b>

**Fund PCF - PARK CHARTER FUND**  
**Investments by Fund**  
**June 30, 2018**

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds</b>											
3137EAE9	37307	FHLMC NOTES	12/30/2016	487,208.32	500,000.00	477,155.50	1.125	1.951	1.988	08/12/2021	1,138
3135G0R39	37308	FNMA NOTES	12/30/2016	1,490,218.14	1,500,000.00	1,471,444.50	1.000	1.488	1.508	10/24/2019	480
		<b>Subtotal and Average</b>		<b>1,977,426.46</b>	<b>2,000,000.00</b>	<b>1,948,600.00</b>		<b>1.605</b>	<b>1.627</b>		<b>642</b>
<b>Money Market</b>											
SYS33657	33657	BLACKROCK TREASURY LIQ FUND	09/30/2012	2,190,144.90	2,190,144.90	2,190,144.90	1.640	1.617	1.640		1
		<b>Subtotal and Average</b>		<b>2,190,144.90</b>	<b>2,190,144.90</b>	<b>2,190,144.90</b>		<b>1.618</b>	<b>1.640</b>		<b>1</b>
		<b>Total Investments and Average</b>		<b>4,167,571.36</b>	<b>4,190,144.90</b>	<b>4,138,744.90</b>		<b>1.611</b>	<b>1.634</b>		<b>305</b>

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# Fund SJE - SAN JOSE- EVERGREEN

## Investments by Fund

June 30, 2018

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Municipal Bonds</b>											
011770Z56	35082	ALASKA ST	05/08/2014	207,794.20	200,000.00	207,234.00	5.000	1.252	1.270	08/01/2019	396
011770Z56	35083	ALASKA ST	05/08/2014	259,742.75	250,000.00	259,042.50	5.000	1.252	1.270	08/01/2019	396
13063C509	37543	CALIFORNIA ST	03/14/2017	560,515.13	500,000.00	562,840.00	5.000	1.844	1.870	08/01/2022	1,492
13063BFJ6	37573	CALIFORNIA ST	03/20/2017	434,834.36	400,000.00	424,236.00	5.250	2.661	2.698	03/01/2022	1,339
13063BAM4	37714	CALIFORNIA ST	03/08/2017	242,304.32	225,000.00	235,586.25	5.250	0.957	0.971	10/01/2021	1,188
20772JQ96	37546	Connecticut-F	03/09/2017	549,773.28	500,000.00	541,960.00	5.000	1.873	1.900	11/15/2021	1,233
34153P3H9	34972	FLORIDA STATE BOARD EDUCATION	04/01/2014	361,205.36	350,000.00	361,007.50	5.000	1.351	1.370	06/01/2019	335
341150M31	35185	FLORIDA STATE BOARD EDUCATION	06/27/2014	1,133,558.44	1,065,000.00	1,134,927.90	5.000	1.588	1.610	07/01/2020	731
373384N28	34845	GEORGIA ST	01/22/2014	507,956.69	500,000.00	507,365.00	5.000	1.055	1.070	12/01/2018	153
373384YJ9	34915	GEORGIA ST	03/10/2014	363,410.99	350,000.00	362,082.00	5.000	1.035	1.050	07/01/2019	365
544525RT7	34702	LOS ANGELES CALIF DEPT WTR & P	12/05/2013	500,000.00	500,000.00	500,000.00	5.000	0.946	0.960	07/01/2018	0
57582PK74	35081	MASSACHUSETTS ST	05/08/2014	544,371.69	530,000.00	543,790.60	5.000	1.242	1.260	04/01/2019	274
57582RKW5	37561	MASSACHUSETTS ST	03/16/2017	224,167.60	200,000.00	221,842.00	5.000	1.607	1.630	04/01/2022	1,370
5741925H9	34869	MARYLAND ST	02/05/2014	210,125.85	205,000.00	209,821.60	5.000	1.114	1.130	03/01/2019	243
5741927Y0	34870	MARYLAND ST	02/05/2014	102,645.60	100,000.00	102,490.00	5.000	1.114	1.130	03/15/2019	257
574193JK5	35122	MARYLAND ST	06/02/2014	451,083.98	440,000.00	450,348.80	5.000	1.095	1.110	03/01/2019	243
574193KQ0	35289	MARYLAND ST	08/21/2014	702,400.65	700,000.00	701,981.00	5.000	0.798	0.810	08/01/2018	31
604129P91	34860	MINNESOTA ST	01/30/2014	1,009,611.42	1,000,000.00	1,008,780.00	5.000	1.035	1.050	10/01/2018	92
6041294D5	35288	MINNESOTA ST	08/21/2014	214,210.75	200,000.00	213,584.00	5.000	1.410	1.430	08/01/2020	762
658256B43	34900	NORTH CAROLINA ST	03/03/2014	117,942.67	115,000.00	117,689.85	5.000	1.035	1.050	03/01/2019	243
658256T93	35131	NORTH CAROLINA ST	06/05/2014	387,899.10	365,000.00	387,053.30	5.000	1.400	1.420	05/01/2020	670
644682F27	34961	NEW HAMPSHIRE ST	03/27/2014	840,000.00	840,000.00	840,000.00	5.000	1.045	1.060	07/01/2018	0
677521Q82	37526	OHIO ST	03/08/2017	338,098.22	305,000.00	334,502.65	5.000	1.509	1.530	10/01/2021	1,188
924258ZN9	34946	VERMONT ST	03/21/2014	104,020.88	100,000.00	103,837.00	5.000	1.262	1.280	08/15/2019	410
93974CC65	37524	WASHINGTON ST	03/08/2017	552,878.69	500,000.00	545,825.00	5.000	1.352	1.371	07/01/2021	1,096
97705L4E4	34880	WISCONSIN STATE	02/13/2014	257,495.21	250,000.00	257,250.00	5.000	1.198	1.214	05/01/2019	304
97705L4E4	34881	WISCONSIN STATE	02/13/2014	103,024.76	100,000.00	102,900.00	5.000	1.169	1.185	05/01/2019	304
97705MFT7	37717	WISCONSIN STATE	03/29/2017	560,936.19	500,000.00	557,145.00	5.000	1.647	1.670	05/01/2022	1,400
<b>Subtotal and Average</b>				<b>11,841,808.78</b>	<b>11,290,000.00</b>	<b>11,795,121.95</b>		<b>1.333</b>	<b>1.352</b>		<b>581</b>

### Money Market - Tax Exempt

SY533615	33615	Dreyfus Tax Exempt Inst	09/25/2012	5,002,501.85	5,002,501.85	5,002,501.85	1.320	1.301	1.320		1
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**SANTA CLARA COUNTY INVESTMENTS**  
**Transaction Activity Report**  
**April 1, 2018 - June 30, 2018**  
**Sorted by Transaction Date - Transaction Date**  
**COMMINGLED POOL FUND**

Investment #	Fund	CUSIP	Inv Descip	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
38551	COMM	313385VB9	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,989,027.80			-249,989,027.80
38552	COMM	36960MD34	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38541	COMM	313385VA1	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38548	COMM	313385VA1	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		150,000,000.00		150,000,000.00
35799	COMM	3133EEWG3	FEDERAL FARM CR	Interest	INCAPITAL LLC	FHCB NOTES			84,675.00	84,675.00
35873	COMM	3137EADM8	FEDERAL HOME LN	Interest	Daiwa Capital Market	FHLMC NOTES			187,500.00	187,500.00
36313	COMM	13069CSQ4	CALIFORNIA ST FOR	Interest	WELLS FARGO	CALIFORNIA ST			91,980.00	91,980.00
36322	COMM	3137EADM8	FEDERAL HOME LN	Interest	KEYBANC CAPITAL	FHLMC NOTES			62,500.00	62,500.00
36332	COMM	3137EADM8	FEDERAL HOME LN	Interest	KEYBANC CAPITAL	FHLMC NOTES			114,687.50	114,687.50
36337	COMM	3137EADM8	FEDERAL HOME LN	Interest	KEYBANC CAPITAL	FHLMC NOTES			50,000.00	50,000.00
36340	COMM	3137EADM8	FEDERAL HOME LN	Interest	KEYBANC CAPITAL	FHLMC NOTES			112,500.00	112,500.00
36731	COMM	13063CP87	CALIFORNIA ST FOR	Interest	WELLS FARGO	CALIFORNIA ST			12,500.00	12,500.00
37523	COMM	65887PHS7	NORTH DAKOTA	Interest	LOOP CAPITAL	North Dakota State F			171,875.00	171,875.00
38379	COMM	91282BRH5	UNITED STATES	Interest	Daiwa Capital Market	U.S. TREASURY				
38379	COMM	91282BRH5	UNITED STATES	Accr Int	Daiwa Capital Market	U.S. TREASURY		97,269.92	-87,269.92	0.00
<b>Totals for 04/02/2018</b>							<b>399,981,944.47</b>	<b>400,097,269.92</b>	<b>833,447.58</b>	<b>948,773.03</b>
38553	COMM	313385VC7	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,989,027.80			-249,989,027.80
38554	COMM	36960MD42	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,875.00			-149,992,875.00
38551	COMM	313385VB9	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38552	COMM	36960MD34	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
34292	COMM	SYS34292	MSTI 0.0%	Interest		MORGAN STANLEY			249.89	249.89
34292	COMM	SYS34292	MSTI 0.0%	Purchase		MORGAN STANLEY	249.89		-249.89	
37590	COMM	SYS37590	JPM TE 0.44%	Interest	JPMorganChase	JPM TE MMK FD			46.71	46.71
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	JPMorganChase	JPM TE MMK FD	46.71		-46.71	
<b>Totals for 04/03/2018</b>							<b>399,982,199.40</b>	<b>400,000,000.00</b>	<b>296.60</b>	<b>18,097.20</b>
38555	COMM	313385VD5	FHDN DISC NOTE	Purchase	CITIGROUP GLOBAL	FHLB DISCOUNT	49,997,777.78			-49,997,777.78
38558	COMM	313385VD5	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,888.90			-249,988,888.90
38661	COMM	313385VD5	FHDN DISC NOTE	Purchase	MERRILL LYNCH	FHLB DISCOUNT	49,997,777.78			-49,997,777.78
38557	COMM	36960MD59	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,875.00			-149,992,875.00
38567	COMM	45905UT79	IBRD 1.1% MAT	Purchase	BMO CAPITAL	INTL BANK RECON &	25,000,000.00			-25,000,000.00
38556	COMM	63873KD53	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333.34
38553	COMM	313385VC7	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38554	COMM	36960MD42	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00

SANTA CLARA COUNTY INVESTMENTS

Transaction Activity Report

Sorted by Transaction Date - Transaction Date

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Investment #	Fund	CUSIP	Inv Descrpt	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
Totals for 04/04/2018										
38564	COMM	06052TZT0	BANK AMER N A	Purchase	MERRILL LYNCH	BANK OF AMERICA	50,000,000.00			-50,000,000.00
38562	COMM	22534H3T6	CREDIT AGRICOLE	Purchase	JPMorganChase	CREDIT AGRICOLE	45,000,000.00			-45,000,000.00
38561	COMM	313385VE3	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,888.90			-249,988,888.90
38560	COMM	36960MD67	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,875.00			-149,992,875.00
38566	COMM	6387KD61	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	49,997,666.67			-49,997,666.67
38565	COMM	21687BD65	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			-49,997,666.67
38563	COMM	89113XE28	TORONTO	Purchase	JPMorganChase	TORONTO	50,000,000.00			-50,000,000.00
38555	COMM	313385VD5	FHDN DISC NOTE	Redemption	CITIGROUP GLOBAL	FHLB DISCOUNT		50,000,000.00		50,000,000.00
38556	COMM	63873KD53	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch		100,000,000.00		100,000,000.00
38557	COMM	36960MD59	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38558	COMM	313385VD5	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38661	COMM	313385VD5	FHDN DISC NOTE	Redemption	MERRILL LYNCH	FHLB DISCOUNT		50,000,000.00		50,000,000.00
36258	COMM	459058ER0	IBRD 1.1% MAT	Interest	Daiwa Capital Market	INTL BANK RECON &			75,000.00	75,000.00
36259	COMM	459058ER0	IBRD 1.1% MAT	Interest	MERRILL LYNCH	INTL BANK RECON &			125,000.00	125,000.00
37783	COMM	3135GOT45	FEDERAL NATL MTGInterest		JPMorganChase	FNMA NOTES			375,000.00	375,000.00
38163	COMM	3135GOT78	FEDERAL NATL MTGInterest		KEYBANC CAPITAL	FNMA NOTES			49,722.20	49,722.20
38163	COMM	3135GOT78	FEDERAL NATL MTGAccr Int		KEYBANC CAPITAL	FNMA NOTES	12,777.78		-12,777.78	0.00
38346	COMM	3133EGKD6	FEDERAL FARM CR Interest		BREAN CAPITAL LLC	FFCB NOTES			56,875.00	56,875.00
38346	COMM	3133EGKD6	FEDERAL FARM CR Accr Int		BREAN CAPITAL LLC	FFCB NOTES	26,541.67		-26,541.67	0.00
38389	COMM	3130ACK52	FEDERAL HOME Interest		DEUTSCHE BANK	FHLB NOTES			212,500.00	212,500.00
38389	COMM	3130ACK52	FEDERAL HOME Accr Int		DEUTSCHE BANK	FHLB NOTES	114,513.89		-114,513.89	0.00
Totals for 04/05/2018							644,977,097.24	600,153,833.34	740,263.86	-44,083,000.04
38573	COMM	313385WG7	FHDN DISC NOTE	Purchase	MIZUHO	FHLB DISCOUNT	74,912,250.00			-74,912,250.00
38569	COMM	313385VH6	FHLB DISC NOTE	Purchase	UBS FINANCE	FHLB NOTES	249,986,666.65			-249,986,666.65
38570	COMM	3130ADUJY6	FEDERAL HOME	Purchase	KEYBANC CAPITAL	FHLB NOTES	31,600,286.31			-31,600,286.31
38568	COMM	36960MD91	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,978,625.00			-149,978,625.00
38572	COMM	63873KD95	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	99,986,000.00			-99,986,000.00
38571	COMM	21687BD99	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,993,000.00			-49,993,000.00
38560	COMM	36960MD67	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38561	COMM	313385VE3	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38565	COMM	21687BD65	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000.00
38566	COMM	6387KD61	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch		50,000,000.00		50,000,000.00
36679	COMM	3130A7PU3	FEDERAL HOME Interest		KEYBANC CAPITAL	FHLB NOTES			60,000.00	60,000.00
37639	COMM	3133HEZ2	FEDERAL FARM CR Interest		INCAPITAL LLC	FFCB NOTES			120,000.00	120,000.00
Totals for 04/06/2018							656,436,827.96	500,000,000.00	180,000.00	-156,256,827.96
38575	COMM	313385VJ2	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,888.90			-249,988,888.90
38578	COMM	3130ADJU1	FEDERAL HOME	Purchase	INCAPITAL LLC	FHLB NOTES	19,982,400.00			-19,982,400.00
38574	COMM	36960MDA8	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,875.00			-149,992,875.00

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38576	COMM	63873KDA2	NATXNY DISC NOTE Purchase		MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333.34
38579	COMM	21687BDA6	RABO DISC NOTE Purchase		MERRILL LYNCH	RABOBANK	49,997,666.67			-49,997,666.67
38577	COMM	89233HN42	TOYO ZERO CPN Purchase		TOYOTA MOTOR	TOYOTA MOTOR	63,820,250.00			-63,820,250.00
38568	COMM	36960MD91	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
38569	COMM	313385VH6	FHLB DISC NOTE Redemption		UBS FINANCE	FHLB NOTES	250,000,000.00			250,000,000.00
38571	COMM	21687BD99	RABO DISC NOTE Redemption		MERRILL LYNCH	RABOBANK	50,000,000.00			50,000,000.00
38572	COMM	63873KD95	NATXNY DISC NOTE Redemption		MERRILL LYNCH	Natixis NY Branch	100,000,000.00			100,000,000.00
<b>Totals for 04/09/2018</b>							<b>633,777,413.91</b>	<b>550,000,000.00</b>		<b>-83,777,413.91</b>
38581	COMM	313385VK9	FHDN DISC NOTE Purchase		UBS FINANCE	FHLB DISCOUNT	249,988,750.00			-249,988,750.00
38580	COMM	36960MDC4	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,985,750.00			-149,985,750.00
38582	COMM	63873KDB0	NATXNY DISC NOTE Purchase		MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333.34
36352	COMM	459058DN0	IBRD 1.375% MAT Redemption		DEUTSCHE BANK	INTL BANK RECON &	20,000,000.00			20,000,000.00
38574	COMM	36960MDA8	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
38575	COMM	313385VJ2	FHDN DISC NOTE Redemption		UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38576	COMM	63873KDA2	NATXNY DISC NOTE Redemption		MERRILL LYNCH	Natixis NY Branch	100,000,000.00			100,000,000.00
38579	COMM	21687BDA6	RABO DISC NOTE Redemption		MERRILL LYNCH	RABOBANK	50,000,000.00			50,000,000.00
36352	COMM	459058DN0	IBRD 1.375% MAT Interest		DEUTSCHE BANK	INTL BANK RECON &	137,600.00		137,600.00	137,600.00
<b>Totals for 04/10/2018</b>							<b>499,969,833.34</b>	<b>570,000,000.00</b>	<b>137,600.00</b>	<b>70,167,766.66</b>
38583	COMM	313385VL7	FHLB DISC NOTE Purchase		UBS FINANCE	FHLB NOTES	249,988,750.00			-249,988,750.00
38584	COMM	63873KDC8	NATXNY DISC NOTE Purchase		MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333.34
38585	COMM	21687BDC2	RABO DISC NOTE Purchase		MERRILL LYNCH	RABOBANK	49,997,666.67			-49,997,666.67
38586	COMM	9113A3E18	UPS DISC NOTE Purchase		MERRILL LYNCH	UNITED PARCEL	37,664,394.44			-37,664,394.44
34292	COMM	SYS34292	MSTI 0. % Purchase			MORGAN STANLEY	150,000,000.00			-150,000,000.00
38581	COMM	313385VK9	FHDN DISC NOTE Redemption		UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38582	COMM	63873KDB0	NATXNY DISC NOTE Redemption		MERRILL LYNCH	Natixis NY Branch	100,000,000.00			100,000,000.00
<b>Totals for 04/11/2018</b>							<b>587,646,144.45</b>	<b>350,000,000.00</b>		<b>-237,646,144.45</b>
38588	COMM	313385VM5	FHDN DISC NOTE Purchase		UBS FINANCE	FHLB DISCOUNT	249,988,958.35			-249,988,958.35
38587	COMM	36960MDD2	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38589	COMM	63873KDD6	NATXNY DISC NOTE Purchase		MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333.34
38592	COMM	21687BDD0	RABO DISC NOTE Purchase		MERRILL LYNCH	RABOBANK	49,997,666.67			-49,997,666.67
38590	COMM	9113A3E26	UPS DISC NOTE Purchase		MERRILL LYNCH	UNITED PARCEL	49,952,777.78			-49,952,777.78
38580	COMM	36960MDC4	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
38583	COMM	313385VL7	FHLB DISC NOTE Redemption		UBS FINANCE	FHLB NOTES	250,000,000.00			250,000,000.00
38584	COMM	63873KDC8	NATXNY DISC NOTE Redemption		MERRILL LYNCH	Natixis NY Branch	100,000,000.00			100,000,000.00
38585	COMM	21687BDC2	RABO DISC NOTE Redemption		MERRILL LYNCH	RABOBANK	50,000,000.00			50,000,000.00
38310	COMM	3133EGYC3	FEDERAL FARM CR Interest		INCAPITAL LLC	FFCB NOTES			73,957.50	73,957.50
38310	COMM	3133EGYC3	FEDERAL FARM CR Accr Int		INCAPITAL LLC	FFCB NOTES			-27,939.50	0.00
<b>Totals for 04/12/2018</b>							<b>599,927,652.81</b>	<b>550,027,939.50</b>	<b>46,018.00</b>	<b>-49,853,695.31</b>

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38594	COMM	313385VQ6	FHFN DISC NOTE	Purchase	UBS FINANCE	FHNB DISCOUNT	249,966,666.65			-249,966,666.65
38596	COMM	3130A02T6	FEDERAL HOME	Purchase	KEYBANC CAPITAL	FHNB NOTES	25,067,729.17			-25,067,729.17
38593	COMM	36960MDG5	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,978,750.01			-149,978,750.01
38595	COMM	63873KDG9	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	99,986,000.00			-99,986,000.00
38597	COMM	21687BDG3	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,993,000.00			-49,993,000.00
8506	COMM	SYS8506	LAIF 1.65%	Purchase		LOCAL AGENCY	151,437.80			-151,437.80
38587	COMM	36960MDD2	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
38588	COMM	313385VM5	FHFN DISC NOTE	Redemption	UBS FINANCE	FHNB DISCOUNT	250,000,000.00			250,000,000.00
38589	COMM	63873KDD6	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch	100,000,000.00			100,000,000.00
38592	COMM	21687BDD0	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK	50,000,000.00			50,000,000.00
36888	COMM	3133EF2L0	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES		140,000.00		140,000.00
36889	COMM	3133EF2L0	FEDERAL FARM CR	Interest	Daiwa Capital Market	FFCB NOTES		105,000.00		105,000.00
36690	COMM	3133EF2L0	FEDERAL FARM CR	Interest	CANTOR	FFCB NOTES		105,000.00		105,000.00
37865	COMM	3133EHFL2	FEDERAL FARM CR	Interest	Daiwa Capital Market	FFCB NOTES		116,250.00		116,250.00
37866	COMM	3133EHFL2	FEDERAL FARM CR	Interest	DEUTSCHE BANK	FFCB NOTES		77,500.00		77,500.00
37667	COMM	3133EHFL2	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES		77,500.00		77,500.00
8506	COMM	SYS8506	LAIF 1.65%	Interest		LOCAL AGENCY		151,437.80		151,437.80
Totals for 04/13/2018							575,143,583.63	550,000,000.00	772,687.80	-24,370,895.83
35953	COMM	161571GY4	CHAIT 1.36% MAT	Interest	JPMorganChase	CHASE ISSUANCE			28,333.33	28,333.33
35953	COMM	161571GY4	CHAIT 1.36% MAT	Redemption	JPMorganChase	CHASE ISSUANCE				0.00
35953	COMM	161571GY4	CHAIT 1.36% MAT	Interest	JPMorganChase	CHASE ISSUANCE				0.00
35953	COMM	161571GY4	CHAIT 1.36% MAT	Redemption	JPMorganChase	CHASE ISSUANCE				0.00
36623	COMM	58768FAD8	MBALT 1.52% MAT	Interest	BARCLAYS CAPITAL	MERCEDES-BENZ			9,812.37	9,812.37
36623	COMM	58768FAD8	MBALT 1.52% MAT	Redemption	BARCLAYS CAPITAL	MERCEDES-BENZ				3,709,412.06
36929	COMM	161571HF4	CHAIT 1.27% MAT	Interest	JPMorganChase	CHASE ISSUANCE	3,709,412.06		24,341.66	24,341.66
36929	COMM	161571HF4	CHAIT 1.27% MAT	Redemption	JPMorganChase	CHASE ISSUANCE		639,239.68		639,239.68
37862	COMM	02582JHG8	AME 1.64% MAT	Interest	ROYAL BANK OF	AMERICAN			46,466.68	46,466.68
37862	COMM	02582JHG8	AME 1.64% MAT	Redemption	ROYAL BANK OF	AMERICAN		794,562.18		794,562.18
38137	COMM	89238KAD4	TAOT 1.93% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			38,599.99	38,599.99
38137	COMM	89238KAD4	TAOT 1.93% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC		511,624.95		511,624.95
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			40,854.17	40,854.17
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO		349,124.84		349,124.84
38646	COMM	02582JHQ6	AME 2.67% MAT	Interest	BARCLAYS CAPITAL	AMERICAN			80,100.00	80,100.00
38646	COMM	02582JHQ6	AME 2.67% MAT	Redemption	BARCLAYS CAPITAL	AMERICAN		762,733.08		762,733.08
Totals for 04/15/2018							6,766,696.79		268,508.20	7,035,204.99
38599	COMM	313385VR4	FHFN DISC NOTE	Purchase	UBS FINANCE	FHNB DISCOUNT	249,988,750.00			-249,988,750.00
38598	COMM	36960MDH3	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38601	COMM	02079KAA5	GOOG 3.625% MAT	Purchase	INCAPITAL LLC	GOOGLE INC	17,416,333.70			-17,416,333.70
38600	COMM	63873KDH7	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333.34

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38602	COMM	21687BDH1	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			-49,997,666.67
35953	COMM	161571GY4	CHAIR 1.36% MAT	Redemption	JPMorganChase	CHASE ISSUANCE		24,995,595.00		24,995,595.00
35953	COMM	161571GY4	CHAIR 1.36% MAT	Cap G/L	JPMorganChase	CHASE ISSUANCE		4,405.00		4,405.00
36753	COMM	89231UAD9	TOYO 1.3% MAT	Redemption	CITIGROUP GLOBAL	TOYOTA AUTO REC		272,520.46		272,520.46
36753	COMM	89231UAD9	TOYO 1.3% MAT	Cap G/L	CITIGROUP GLOBAL	TOYOTA AUTO REC		13.93		13.93
38593	COMM	36960MDG5	GE DISC NOTE MAT	Redemption	UBS FINANCE	FHLB DISCOUNT		150,000,000.00		150,000,000.00
38594	COMM	313365VQ6	FHDN DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch		250,000,000.00		250,000,000.00
38595	COMM	63873KDG9	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK		100,000,000.00		100,000,000.00
38597	COMM	21687BDG3	RABO DISC NOTE	Redemption	CITIGROUP GLOBAL	TOYOTA AUTO REC		50,000,000.00		50,000,000.00
36753	COMM	89231UAD9	TOYO 1.3% MAT	Interest	BMO CAPITAL	IADB		3,344.44		3,344.44
38317	COMM	45818WBP0	IADB 1.42917% MAT	Interest	BMO CAPITAL	IADB		201,600.00		201,600.00
38324	COMM	45818WBP0	IADB 1.64203% MAT	Interest	BANK OF AMERICA	IADB		110,750.00		110,750.00
38385	COMM	45818WBM7	IADB 1.92152% MAT	Interest	INCAPITAL LLC	FFCB NOTES		96,000.00		96,000.00
38506	COMM	3133EJGH6	FEDERAL FARM CR	Interest	CITIGROUP GLOBAL	JOHN DEERE		46,766.59		46,766.59
35726	COMM	47787UAD5	JDOT 1.32% MAT	Interest	CITIGROUP GLOBAL	JOHN DEERE		878.09		878.09
35726	COMM	47787UAD5	JDOT 1.32% MAT	Redemption	CITIGROUP GLOBAL	JOHN DEERE		286,412.08		286,412.08
36216	COMM	47787WAC3	JDOT 1.44% MAT	Interest	ROYAL BANK OF	JOHN DEERE		2,472.96		2,472.96
36216	COMM	47787WAC3	JDOT 1.44% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		397,895.36		397,895.36
36557	COMM	65478UAD1	NALT 1.34% MAT	Interest	MERRILL LYNCH	NISSAN AUTO		5,879.62		5,879.62
36557	COMM	65478UAD1	NALT 1.34% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO		392,446.05		392,446.05
36500	COMM	47788MAC4	JDOT 1.36% MAT	Interest	MERRILL LYNCH	JOHN DEERE		8,253.23		8,253.23
36500	COMM	47788MAC4	JDOT 1.36% MAT	Redemption	MERRILL LYNCH	JOHN DEERE		736,051.78		736,051.78
36801	COMM	89237KAD5	TAOT 1.25% MAT	Interest	MERRILL LYNCH	TOYOTA AUTO REC		10,210.83		10,210.83
36801	COMM	89237KAD5	TAOT 1.25% MAT	Redemption	MERRILL LYNCH	TOYOTA AUTO REC		935,374.68		935,374.68
36800	COMM	43814QAC2	HAROT 1.39% MAT	Interest	MERRILL LYNCH	HONDA AUTO		10,627.89		10,627.89
36800	COMM	43814QAC2	HAROT 1.39% MAT	Redemption	MERRILL LYNCH	HONDA AUTO		845,728.60		845,728.60
36905	COMM	47788NAC2	JDOT 1.25% MAT	Interest	ROYAL BANK OF	JOHN DEERE		8,990.03		8,990.03
36905	COMM	47788NAC2	JDOT 1.25% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		672,990.21		672,990.21
36956	COMM	58769BAD6	MBART 1.26% MAT	Interest	CITIGROUP GLOBAL	MERCEDES-BENZ		12,380.74		12,380.74
36956	COMM	58769BAD6	MBART 1.26% MAT	Redemption	CITIGROUP GLOBAL	MERCEDES-BENZ		792,799.76		792,799.76
37035	COMM	89231LAD9	TAOT 1.23% MAT	Interest	JPMorganChase	TOYOTA AUTO REC		14,350.00		14,350.00
37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC		485,999.16		485,999.16
37065	COMM	58768MAC5	MBALT 1.35% MAT	Interest	BANK OF AMERICA	MERCEDES-BENZ		5,625.00		5,625.00
37065	COMM	58768MAC5	MBALT 1.35% MAT	Redemption	BANK OF AMERICA	MERCEDES-BENZ		305,842.68		305,842.68
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	BANK OF AMERICA	JOHN DEERE		12,608.33		12,608.33
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	BANK OF AMERICA	JOHN DEERE		241,768.49		241,768.49
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	BANK OF AMERICA	TOYOTA AUTO REC		14,416.67		14,416.67
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	BANK OF AMERICA	TOYOTA AUTO REC		292,132.86		292,132.86
37976	COMM	43811BAC8	HAROT 1.58% MAT	Interest	CITIGROUP GLOBAL	HONDA AUTO		39,200.00		39,200.00
37976	COMM	43811BAC8	HAROT 1.58% MAT	Redemption	CITIGROUP GLOBAL	HONDA AUTO		693,675.57		693,675.57

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38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest	ROYAL BANK OF	JOHN DEERE			27,431.25	27,431.25
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		157,546.62		157,546.62
Totals for 04/16/2018							587,391,000.38	582,509,198.29	631,785.67	15,749,983.58
38604	COMM	313385VS2	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,750.00			-249,988,750.00
38665	COMM	3137AYCE9	FHLMCM 2.882%	Purchase	BREAN CAPITAL LLC	FHLMCM Multi-Family	11,644,539.14			-11,644,539.14
38603	COMM	36960MDJ9	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38605	COMM	63873KDJ3	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333.34
38606	COMM	21687BDJ7	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			-49,997,666.67
38598	COMM	36960MDH3	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
38599	COMM	313385VR4	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38600	COMM	63873KDH7	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch	100,000,000.00			100,000,000.00
38602	COMM	21687BDH1	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK	50,000,000.00			50,000,000.00
37049	COMM	3133EGYN8	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			23,500.00	23,500.00
37702	COMM	89236TDJ6	TOYOTA MOTOR	Interest	BANK OF AMERICA	TOYOTA MOTOR			48,750.00	48,750.00
Totals for 04/17/2018							561,619,205.82	550,000,000.00	72,250.00	-11,546,955.82
38610	COMM	313312BT6	FCDN ZERO CPN	Purchase	MIZUHO	FFCB DISCOUNT	35,372,100.00			-35,372,100.00
38608	COMM	313385VT0	FHBL DISC NOTE	Purchase	UBS FINANCE	FHLB NOTES	249,988,645.85			-249,988,645.85
38607	COMM	36960MDK6	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38609	COMM	63873KDK0	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333.34
38611	COMM	21687BDK4	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			-49,997,666.67
34292	COMM	SYS34292	MSTI 0.0%	Purchase		MORGAN STANLEY	50,000,000.00			-50,000,000.00
36129	COMM	3133XQSE3	FEDERAL HOME	Redemption	BREAN CAPITAL LLC	FHBLB NOTES	5,000,000.00			5,000,000.00
36683	COMM	3133EF3B1	FEDERAL FARM CR	Redemption	MERRILL LYNCH	FFCB NOTES	35,000,000.00			35,000,000.00
38603	COMM	36960MDJ9	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
38604	COMM	313385VS2	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38605	COMM	63873KDJ3	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch	100,000,000.00			100,000,000.00
38606	COMM	21687BDJ7	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK	50,000,000.00			50,000,000.00
36129	COMM	3133XQSE3	FEDERAL HOME	Interest	BREAN CAPITAL LLC	FHBLB NOTES			102,750.00	102,750.00
36683	COMM	3133EF3B1	FEDERAL FARM CR	Interest	MERRILL LYNCH	FFCB NOTES			131,250.00	131,250.00
37057	COMM	89236TDH5	TOYOTA MOTOR	Interest	MERRILL LYNCH	TOYOTA MOTOR			38,750.00	38,750.00
36587	COMM	43814NAC9	HAROT 1.22% MAT	Interest	JPMorganChase	HONDA AUTO			8,377.11	8,377.11
36587	COMM	43814NAC9	HAROT 1.22% MAT	Redemption	JPMorganChase	HONDA AUTO	867,846.29			867,846.29
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	BARCLAYS CAPITAL	HONDA AUTO			11,595.83	11,595.83
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	BARCLAYS CAPITAL	HONDA AUTO	376,906.91			376,906.91
Totals for 04/18/2018							635,346,662.53	591,244,753.20	292,722.94	-43,809,186.39
38613	COMM	313385VU7	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,645.85			-249,988,645.85
38615	COMM	3130ABY72	FEDERAL HOME	Purchase	KEYBANC CAPITAL	FHLB NOTES	24,573,215.28			-24,573,215.28
38612	COMM	36960MDL4	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38614	COMM	63873KDL8	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333.34

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38616	COMM	21687BDL2	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			-49,997,666.67
38607	COMM	36960MDK6	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
38608	COMM	313385V70	FHLB DISC NOTE	Redemption	UBS FINANCE	FHLB NOTES	250,000,000.00			250,000,000.00
38609	COMM	63873KDK0	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch	100,000,000.00			100,000,000.00
38611	COMM	21687BDK4	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK	50,000,000.00			50,000,000.00
38303	COMM	78009NSM4	RBC 1.735% MAT	Interest	ROYAL BANK OF	ROYAL BANK OF			70,549.11	70,549.11
<b>Totals for 04/19/2018</b>							<b>574,547,777.81</b>	<b>550,000,000.00</b>	<b>70,549.11</b>	<b>-24,477,228.70</b>
38620	COMM	0556C3DP3	BUUSC DISC	Purchase	MERRILL LYNCH	BMW US CAPITAL	44,993,812.50			-44,993,812.50
38618	COMM	313385VX1	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,985,937.50			-249,985,937.50
38617	COMM	36960MDP5	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,978,750.01			-149,978,750.01
38619	COMM	63873KDP9	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	99,986,000.00			-99,986,000.00
38621	COMM	21687BDP3	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,993,000.00			-49,993,000.00
38612	COMM	36960MDL4	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
38613	COMM	313385VU7	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38614	COMM	63873KDL8	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch	100,000,000.00			100,000,000.00
38616	COMM	21687BDL2	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK	50,000,000.00			50,000,000.00
37265	COMM	3133EGX67	FFCB 0.859% MAT	Interest	INCAPITAL LLC	FFCB NOTES			58,531.83	58,531.83
37935	COMM	3137EAEF2	FHLMC 1.375% MAT	Interest	CITIGROUP GLOBAL	FHLMC NOTES			309,375.00	309,375.00
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Interest	MERRILL LYNCH	BMW VEHICLE			5,158.44	5,158.44
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Redemption	MERRILL LYNCH	BMW VEHICLE	1,351,307.07			1,351,307.07
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Interest	MIZUHO	BMW VEHICLE			8,341.67	8,341.67
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Redemption	MIZUHO	BMW VEHICLE	405,091.35			405,091.35
<b>Totals for 04/20/2018</b>							<b>594,917,500.01</b>	<b>551,756,398.42</b>	<b>381,406.94</b>	<b>-42,779,594.65</b>
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	BANK OF AMERICA	HONDA AUTO			23,649.99	23,649.99
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	BANK OF AMERICA	HONDA AUTO			612,841.31	612,841.31
<b>Totals for 04/21/2018</b>									<b>23,649.99</b>	<b>636,491.30</b>
38623	COMM	313385VY9	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,645.85			-249,988,645.85
38622	COMM	36960MDQ3	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38626	COMM	43707MDQ7	HOMED DISC NOTE	Purchase	MERRILL LYNCH	HOMED DEPOT	49,997,708.33			-49,997,708.33
38624	COMM	63873KDK7	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333.34
38628	COMM	6558G3Q3	NDAFNY DISC NOTE	Purchase	CITIGROUP GLOBAL	Nordea Bank Finland	49,997,666.67			-49,997,666.67
38625	COMM	21687BDQ1	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			-49,997,666.67
38617	COMM	36960MDP5	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
38618	COMM	313385VX1	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38619	COMM	63873KDP9	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch	100,000,000.00			100,000,000.00
38620	COMM	0556C3DP3	BUUSC DISC	Redemption	MERRILL LYNCH	BMW US CAPITAL	45,000,000.00			45,000,000.00
38621	COMM	21687BDP3	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK	50,000,000.00			50,000,000.00
37725	COMM	3133EHGA5	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			180,000.00	180,000.00
35985	COMM	43813NAC0	HAROT 1.04% MAT	Interest	JPMorganChase	HONDA AUTO			310.56	310.56

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35986	COMM	43813NAC0	HAROT 1.04% MAT	Redemption	JPMorganChase	HONDA AUTO	649,969,937.53	303,851.13	180,310.56	-54,485,775.84
Totals for 04/23/2018										
38633	COMM	0530A3DR6	ADPPP DISC NOTE	Purchase	CITIGROUP	GLOBAL AUTO DATA PROC	56,997,340.00			-56,997,340.00
38629	COMM	3133EJUL1	FEDERAL FARM CR	Purchase	Daiwa Capital Market	FFCB NOTES	14,992,500.00			-14,992,500.00
38630	COMM	3133EJUL1	FEDERAL FARM CR	Purchase	INCAPITAL LLC	FFCB NOTES	29,991,600.00			-29,991,600.00
38638	COMM	313385VZ6	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,600.00			-249,988,600.00
38634	COMM	36960MDR1	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38635	COMM	43707MDR5	HOME D DISC NOTE	Purchase	MERRILL LYNCH	HOME DEPOT	65,662,935.59			-65,662,935.59
38631	COMM	65558GDR1	NDAFNY DISC NOTE	Purchase	CITIGROUP	GLOBAL Nordea Bank Finland	99,995,333.34			-99,995,333.34
38622	COMM	36960MDQ3	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
38623	COMM	313385VY9	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38624	COMM	63873KQD7	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch	100,000,000.00			100,000,000.00
38625	COMM	21687BDQ1	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK	50,000,000.00			50,000,000.00
38626	COMM	43707MDQ7	HOME D DISC NOTE	Redemption	MERRILL LYNCH	HOME DEPOT	50,000,000.00			50,000,000.00
38628	COMM	65558GDDQ3	NDAFNY DISC NOTE	Redemption	CITIGROUP	GLOBAL Nordea Bank Finland	50,000,000.00			50,000,000.00
37641	COMM	3130AB3T1	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES		175,000.00		175,000.00
37746	COMM	3133EHGE7	FEDERAL FARM CR	Interest	MIZUHO	FFCB NOTES		159,012.00		159,012.00
Totals for 04/24/2018							667,621,225.60	650,000,000.00	334,012.00	-17,287,213.60
38638	COMM	313385WA0	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,611.10			-249,988,611.10
38637	COMM	36960MDS9	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38640	COMM	43707MDS3	HOME D DISC NOTE	Purchase	MERRILL LYNCH	HOME DEPOT	16,999,220.83			-16,999,220.83
38639	COMM	63873KDS3	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333.34
38641	COMM	21687BDS7	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			-49,997,666.67
35684	COMM	3130A4GJ5	FEDERAL HOME	Redemption	DEUTSCHE BANK	FHLB NOTES	20,000,000.00			20,000,000.00
35685	COMM	3130A4GJ5	FEDERAL HOME	Redemption	MERRILL LYNCH	FHLB NOTES	12,000,000.00			12,000,000.00
38631	COMM	65558GDR1	NDAFNY DISC NOTE	Redemption	CITIGROUP	GLOBAL Nordea Bank Finland	100,000,000.00			100,000,000.00
38633	COMM	0530A3DR6	ADPPP DISC NOTE	Redemption	CITIGROUP	GLOBAL AUTO DATA PROC	57,000,000.00			57,000,000.00
38634	COMM	36960MDR1	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
38635	COMM	43707MDR5	HOME D DISC NOTE	Redemption	MERRILL LYNCH	HOME DEPOT	85,666,000.00			85,666,000.00
38636	COMM	313385VZ6	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
35686	COMM	3130A4GJ5	FEDERAL HOME	Interest	DEUTSCHE BANK	FHLB NOTES		112,500.00		112,500.00
35685	COMM	3130A4GJ5	FEDERAL HOME	Interest	MERRILL LYNCH	FHLB NOTES		67,500.00		67,500.00
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Interest	JPMorganChase	BMW VEHICLE		9,666.94		9,666.94
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Interest	JPMorganChase	BMW VEHICLE	60,879.36			60,879.36
38387	COMM	3137AYCE9	FHLMCM 2.682% MAT	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family		44,700.00		44,700.00
38387	COMM	3137AYCE9	FHLMCM 2.682% MAT	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family	440,220.17			440,220.17
38391	COMM	3137AYCE9	FHLMCM 2.682% MAT	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family		16,762.50		16,762.50
38391	COMM	3137AYCE9	FHLMCM 2.682% MAT	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family		0.00		0.00
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Interest	JPMorganChase	BMW VEHICLE		60,577.76		60,577.76

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38412	COMM	09659QAD9	BMWOT 2.35% MAT	Redemption	JPMorganChase	BMW VEHICLE		638,593.17		638,593.17
38465	COMM	3137AWQH1	FHLMCM 2.307% Interest	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			10,727.55	10,727.55
38465	COMM	3137AWQH1	FHLMCM 2.307% Redemption	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family		123,649.94		123,649.94
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT Interest	Interest	BOK FINANCIAL	FNMA Multi-Family			7,948.78	7,948.78
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT Redemption	Redemption	BOK FINANCIAL	FNMA Multi-Family		5,221.42		5,221.42
38643	COMM	3137B36J2	FHLMCM 3.32% MAT Interest	Interest	BARCLAYS CAPITAL	FHLMCM Multi-Family			55,333.33	55,333.33
38643	COMM	3137B36J2	FHLMCM 3.32% MAT Redemption	Redemption	BARCLAYS CAPITAL	FHLMCM Multi-Family		415,220.88		415,220.88
38643	COMM	3137B36J2	FHLMCM 3.32% MAT Interest	Interest	BARCLAYS CAPITAL	FHLMCM Multi-Family			-38,733.33	-38,733.33
38643	COMM	3137B36J2	FHLMCM 3.32% MAT Redemption	Redemption	BARCLAYS CAPITAL	FHLMCM Multi-Family				0.00
Totals for 04/25/2018							566,973,748.61	656,349,784.94	346,983.53	89,723,019.86
38648	COMM	313385WB8	FHDM DISC NOTE	Purchase	MIZUHO	FHDL DISCOUNT				-89,995,444.00
38663	COMM	313385WB8	FHDM DISC NOTE	Purchase	UBS FINANCE	FHDL DISCOUNT				-249,988,611.10
38647	COMM	36960MDT7	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC				-149,992,916.67
38649	COMM	63873KDT1	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch				-99,995,333.34
38650	COMM	21687BDT5	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK				-49,997,666.67
38651	COMM	9113A3DT8	UPS DISC NOTE	Purchase	MERRILL LYNCH	UNITED PARCEL				-49,997,916.67
38637	COMM	36960MDS9	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38638	COMM	313385WA0	FHDM DISC NOTE	Redemption	UBS FINANCE	FHDL DISCOUNT		250,000,000.00		250,000,000.00
38639	COMM	63873KDS3	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch		100,000,000.00		100,000,000.00
38640	COMM	43707MDS3	HOME D DISC NOTE	Redemption	MERRILL LYNCH	HOME DEPOT		17,000,000.00		17,000,000.00
38641	COMM	21687BDST	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000.00
36721	COMM	3134G9DD6	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMCM NOTES			69,000.00	69,000.00
36722	COMM	3134G8YU7	FEDERAL HOME LN	Interest	JPMorganChase	FHLMCM NOTES			131,250.00	131,250.00
36726	COMM	3133EF4Y0	FEDERAL FARM CR	Interest	DEUTSCHE BANK	FFCB NOTES			134,275.00	134,275.00
37621	COMM	3134G8EW8	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMCM NOTES			187,500.00	187,500.00
37668	COMM	3134G8EW8	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMCM NOTES			60,000.00	60,000.00
38081	COMM	3133EHJ95	FEDERAL FARM CR	Interest	MIZUHO	FFCB NOTES			87,500.00	87,500.00
38082	COMM	3133EHJ95	FEDERAL FARM CR	Interest	MIZUHO	FFCB NOTES			43,750.00	43,750.00
Totals for 04/26/2018							699,967,888.45	567,000,000.00	713,275.00	-132,254,613.45
38658	COMM	1730STYP1	CITIBANK N A NY	Purchase	CITIGROUP GLOBAL CITI	BANK NA				-70,000,000.00
38653	COMM	313385WE2	GE DISC NOTE MAT	Purchase	UBS FINANCE	FHDL NOTES				-249,966,041.65
38657	COMM	36960ME17	NATXNY DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC				-149,971,666.68
38656	COMM	63873KOW4	RABO DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch				-99,986,000.00
38655	COMM	21687BDW8	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK				-49,993,000.00
38654	COMM	9113A3E26	UPS DISC NOTE	Purchase	MERRILL LYNCH	UNITED PARCEL				-29,993,333.33
38647	COMM	36960MDT7	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38648	COMM	313385WB8	FHDM DISC NOTE	Redemption	MIZUHO	FHDL DISCOUNT		100,000,000.00		100,000,000.00
38649	COMM	63873KDT1	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch		100,000,000.00		100,000,000.00
38650	COMM	21687BDT5	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000.00

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38651	COMM	9113A3DT8	UPS DISC NOTE	Redemption	MERRILL LYNCH	UNITED PARCEL		50,000,000.00		50,000,000.00
38663	COMM	313385WB8	FHDM DISC NOTE	Redemption	UBS FINANCE	FHLM DISCOUNT	250,000,000.00			250,000,000.00
38725	COMM	3134G8XA2	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			50,000.00	50,000.00
37696	COMM	3134GBEF5	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			30,940.00	30,940.00
38529	COMM	191216BT6	KOPP 1.875% MAT	Interest	INCAPITAL LLC	Coca-Cola Co			70,312.50	70,312.50
38529	COMM	191216BT6	KOPP 1.875% MAT	Acq Int	INCAPITAL LLC	Coca-Cola Co		55,468.75	-55,468.75	0.00
Totals for 04/27/2018							649,910,041.66	700,055,468.75	95,783.75	50,241,210.84
38271	COMM	3130AD3E0	FEDERAL HOME	Interest	DEUTSCHE BANK	FHLM NOTES			75,218.05	75,218.05
Totals for 04/28/2018									75,218.05	75,218.05
38662	COMM	313385WF9	FHDM DISC NOTE	Purchase	UBS FINANCE	FHLM DISCOUNT	249,989,027.80			-249,989,027.80
38664	COMM	3136B1XP4	FNMM 3.56% MAT	Purchase	VINING SPARKS IBG FNMA Multi-Family		4,615,319.50			-4,615,319.50
38665	COMM	3136B1XP4	FNMM 3.56% MAT	Purchase	JPMorganChase	FNMA Multi-Family	4,615,319.50			-4,615,319.50
38660	COMM	7426M3EW5	PEFCO DISC NOTE	Purchase	WILLIAMS CAPITAL	PRIVATE EXPORT	49,923,750.00			-49,923,750.00
38653	COMM	313385WE2	RABO DISC NOTE	Redemption	UBS FINANCE	FHLM NOTES	250,000,000.00			250,000,000.00
38655	COMM	21687BDW8	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK	50,000,000.00			50,000,000.00
38656	COMM	63873KDW4	FEDERAL HOME LN Interest		MERRILL LYNCH	Natixis NY Branch	100,000,000.00			100,000,000.00
36729	COMM	3134G9AV9	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			93,750.00	93,750.00
36730	COMM	3134G9AV9	FEDERAL HOME LN Interest		WELLS FARGO	FHLMC NOTES			26,562.50	26,562.50
36773	COMM	90331HML4	USB 2.125% MAT	Interest	BARCLAYS CAPITAL U S BANK				208,133.13	208,133.13
38090	COMM	3134GBU83	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			149,166.60	149,166.60
Totals for 04/30/2018							309,143,416.80	400,000,000.00	477,612.23	91,334,195.43
38670	COMM	313385WG7	FHDM DISC NOTE	Purchase	UBS FINANCE	FHLM DISCOUNT	249,988,680.55			-249,988,680.55
38671	COMM	36960ME25	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		149,992,916.67			-149,992,916.67
38672	COMM	63873KE29	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.34			-99,995,333.34
38669	COMM	21687BE23	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			-49,997,666.67
38673	COMM	9113A3E26	UPS DISC NOTE	Purchase	RAYMOND JAMES & FFCB NOTES	UNITED PARCEL	49,997,847.22			-49,997,847.22
35940	COMM	3133EEE71	FEDERAL FARM CR	Redemption	INCAPITAL LLC	FFCB NOTES	5,000,000.00			5,000,000.00
35941	COMM	3133EEE71	FEDERAL FARM CR	Redemption	INCAPITAL LLC	FFCB NOTES	5,000,000.00			5,000,000.00
38686	COMM	9113A3E18	UPS DISC NOTE	Redemption	MERRILL LYNCH	UNITED PARCEL	37,700,000.00			37,700,000.00
38657	COMM	36960ME17	GE DISC NOTE MAT	Redemption	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000.00			150,000,000.00
38662	COMM	313385WF9	FHDM DISC NOTE	Redemption	UBS FINANCE	FHLM DISCOUNT	250,000,000.00			250,000,000.00
35940	COMM	3133EEE71	FEDERAL FARM CR	Interest	RAYMOND JAMES & FFCB NOTES				23,000.00	23,000.00
35941	COMM	3133EEE71	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			23,000.00	23,000.00
Totals for 05/01/2018							599,972,444.45	447,700,000.00	46,000.00	-152,226,444.45
38674	COMM	09659CE38	BNP P DISC NOTE	Purchase	MERRILL LYNCH	BNP PARIBAS NY	49,997,666.67			-49,997,666.67
38676	COMM	313385WH5	FHLM DISC NOTE	Purchase	UBS FINANCE	FHLM NOTES	249,988,750.00			-249,988,750.00
38677	COMM	36960ME33	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		149,992,916.67			-149,992,916.67
38678	COMM	63873KE37	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	99,995,333.33			-99,995,333.33

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38675	COMM	21687BE31	RABO DISC NOTE	Purchase		RABOBANK	49,997,666.67			-49,997,666.67
38492	COMM	3133EFMU8	FEDERAL FARM CR	Redemption	DEUTSCHE BANK	FFCB NOTES		13,300,000.00		13,300,000.00
38573	COMM	313385WG7	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		75,000,000.00		75,000,000.00
38590	COMM	9113A3E26	UPS DISC NOTE	Redemption	MERRILL LYNCH	UNITED PARCEL		50,000,000.00		50,000,000.00
38654	COMM	9113A3E26	UPS DISC NOTE	Redemption	MERRILL LYNCH	UNITED PARCEL		30,000,000.00		30,000,000.00
38669	COMM	21687BE23	RABO DISC NOTE	Redemption		RABOBANK		50,000,000.00		50,000,000.00
38670	COMM	313385WG7	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38671	COMM	36960ME25	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38672	COMM	63873KE29	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch		100,000,000.00		100,000,000.00
38673	COMM	9113A3E26	UPS DISC NOTE	Redemption		UNITED PARCEL		50,000,000.00		50,000,000.00
38492	COMM	3133EFMU8	FEDERAL FARM CR	Interest	DEUTSCHE BANK	FFCB NOTES			63,175.00	63,175.00
38126	COMM	3133EHP31	FFCB 1.95% MAT	Interest	KEYBANC CAPITAL	FFCB NOTES			97,256.25	97,256.25
38126	COMM	3133EHP31	FFCB 1.95% MAT	Accr Int	KEYBANC CAPITAL	FFCB NOTES		4,322.50	-4,322.50	0.00
34292	COMM	SYS34292	MSTI 0.0%	Interest		MORGAN STANLEY			158,830.58	158,830.58
34292	COMM	SYS34292	MSTI 0.0%	Purchase		MORGAN STANLEY				-158,830.58
37590	COMM	SYS37590	JPM TE 0.44%	Interest	JPMorganChase	JPM TE MMK FD	158,830.58		50.91	50.91
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	JPMorganChase	JPM TE MMK FD	50.91			-50.91
Totals for 05/02/2018							600,131,214.83	768,304,322.50	314,990.24	168,488,097.91
38682	COMM	313385WJ1	FHLB DISC NOTE	Purchase	UBS FINANCE	FHLB NOTES	249,988,750.00			-249,988,750.00
38683	COMM	36960ME41	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38685	COMM	46840QNU1	JPMSCC ZERO CPN	Purchase	JPMorganChase	JP Morgan Securities	39,235,000.00			-39,235,000.00
38686	COMM	63873KE45	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	49,997,666.67			-49,997,666.67
38687	COMM	7426M3NJ4	PEFCO ZERO CPN	Purchase	WILLIAMS CAPITAL	PRIVATE EXPORT	49,194,722.22			-49,194,722.22
38681	COMM	21687BE49	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK	49,997,666.67			-49,997,666.67
38674	COMM	09659CE38	BNP P DISC NOTE	Redemption	MERRILL LYNCH	BNP PARIBAS NY		50,000,000.00		50,000,000.00
38675	COMM	21687BE31	RABO DISC NOTE	Redemption		RABOBANK		50,000,000.00		50,000,000.00
38676	COMM	313385WH5	FHLB DISC NOTE	Redemption	UBS FINANCE	FHLB NOTES		250,000,000.00		250,000,000.00
38677	COMM	36960ME33	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38678	COMM	63873KE37	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch		100,000,000.00		100,000,000.00
36329	COMM	594918BF0	MSFT 1.3% MAT	Interest	JPMorganChase	MICROSOFT CORP			130,000.00	130,000.00
37761	COMM	3133EHHG1	FFCB 1.75% MAT	Interest	INCAPITAL LLC	FFCB NOTES			43,750.00	43,750.00
37762	COMM	3133EHHG1	FFCB 1.75% MAT	Interest	Daiwa Capital Market	FFCB NOTES			87,500.00	87,500.00
38524	COMM	742718EQ8	PG 1.7% MAT	Interest	MIZUHO	PROCTER &			170,000.00	170,000.00
38524	COMM	742718EQ8	PG 1.7% MAT	Accr Int	MIZUHO	PROCTER &		124,666.67	-124,666.67	0.00
38526	COMM	742718EQ8	PG 1.7% MAT	Interest	MIZUHO	PROCTER &			85,000.00	85,000.00
38526	COMM	742718EQ8	PG 1.7% MAT	Accr Int	MIZUHO	PROCTER &		62,805.56	-62,805.56	0.00
Totals for 05/03/2018							588,406,722.23	600,187,472.23	328,777.77	12,109,527.77
38688	COMM	25215FDD3	DEXIA CR LOC N Y	Purchase	DEXIA	DEXIA	40,000,000.00			-40,000,000.00
38690	COMM	313385WM4	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,986,250.00			-249,986,250.00

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38691	COMM	36960ME74	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,978,750.00		-149,978,750.00	
38692	COMM	63873KE78	NATXNY DISC NOTE Purchase		MERRILL LYNCH	Natixis NY Branch	49,993,000.00		-49,993,000.00	
38689	COMM	21687BE72	RABO DISC NOTE Purchase		MERRILL LYNCH	RABOBANK	49,993,000.00		-49,993,000.00	
38681	COMM	21687BE49	RABO DISC NOTE Redemption		MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000.00
38682	COMM	313385WJ1	FHLB DISC NOTE Redemption		UBS FINANCE	FHLB NOTES	250,000,000.00		250,000,000.00	
38683	COMM	36960ME41	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00		150,000,000.00	
38686	COMM	63873KE45	NATXNY DISC NOTE Redemption		MERRILL LYNCH	Natixis NY Branch	50,000,000.00		50,000,000.00	
Totals for 05/04/2018							539,931,000.00	500,000,000.00		-39,931,000.00
38694	COMM	313385WN2	FHFN DISC NOTE Purchase		UBS FINANCE	FHLB DISCOUNT	249,988,750.00		-249,988,750.00	
38695	COMM	36960ME82	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67		-149,992,916.67	
38696	COMM	63873KE86	NATXNY DISC NOTE Purchase		MERRILL LYNCH	Natixis NY Branch	49,997,666.67		-49,997,666.67	
38693	COMM	21687BE80	RABO DISC NOTE Purchase		MERRILL LYNCH	RABOBANK	49,997,666.67		-49,997,666.67	
38689	COMM	21687BE72	RABO DISC NOTE Redemption		MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000.00
38690	COMM	313385WM4	FHFN DISC NOTE Redemption		UBS FINANCE	FHLB DISCOUNT	250,000,000.00		250,000,000.00	
38691	COMM	36960ME74	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00		150,000,000.00	
38692	COMM	63873KE78	NATXNY DISC NOTE Redemption		MERRILL LYNCH	Natixis NY Branch	50,000,000.00		50,000,000.00	
Totals for 05/07/2018							499,977,000.01	500,000,000.00		22,999.99
38697	COMM	313385WP7	FHFN DISC NOTE Purchase		UBS FINANCE	FHLB DISCOUNT	249,988,750.00		-249,988,750.00	
38698	COMM	36960ME90	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67		-149,992,916.67	
38699	COMM	63873KE94	NATXNY DISC NOTE Purchase		MERRILL LYNCH	Natixis NY Branch	99,995,333.00		-99,995,333.00	
38693	COMM	21687BE80	RABO DISC NOTE Redemption		MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000.00
38694	COMM	313385WN2	FHFN DISC NOTE Redemption		UBS FINANCE	FHLB DISCOUNT	250,000,000.00		250,000,000.00	
38695	COMM	36960ME82	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00		150,000,000.00	
38696	COMM	63873KE86	NATXNY DISC NOTE Redemption		MERRILL LYNCH	Natixis NY Branch	50,000,000.00		50,000,000.00	
37793	COMM	313385HJ2	FEDERAL FARM CR Interest		JPMorganChase	FFCB NOTES			271,250.00	271,250.00
Totals for 05/08/2018							499,976,999.67	500,000,000.00	271,250.00	294,250.33
38702	COMM	313385WQ5	FHFN DISC NOTE Purchase		UBS FINANCE	FHLB DISCOUNT	249,988,750.00		-249,988,750.00	
38704	COMM	36960ME47	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67		-149,992,916.67	
38705	COMM	63873KEA1	NATXNY DISC NOTE Purchase		MERRILL LYNCH	Natixis NY Branch	49,997,666.67		-49,997,666.67	
38701	COMM	21687BEA5	RABO DISC NOTE Purchase		MERRILL LYNCH	RABOBANK	49,997,666.67		-49,997,666.67	
38697	COMM	313385WP7	FHFN DISC NOTE Redemption		UBS FINANCE	FHLB DISCOUNT	250,000,000.00		250,000,000.00	
38698	COMM	36960ME90	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00		150,000,000.00	
38699	COMM	63873KE94	NATXNY DISC NOTE Redemption		MERRILL LYNCH	Natixis NY Branch	100,000,000.00		100,000,000.00	
Totals for 05/09/2018							499,977,000.01	500,000,000.00		22,999.99
38708	COMM	161571HN7	CHAIR 2.1227% MAT Purchase		BARCLAYS CAPITAL	CHASE ISSUANCE	37,000,000.00		-37,000,000.00	
38707	COMM	313385WR3	FHFN DISC NOTE Purchase		UBS FINANCE	FHLB DISCOUNT	249,988,750.00		-249,988,750.00	
38706	COMM	36960MEB5	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67		-149,992,916.67	
38700	COMM	89236TDM4	TOYOTA MOTOR Purchase		KEYBANK CAPITAL	TOYOTA MOTOR	8,206,755.89		-8,206,755.89	

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38701	COMM	21687BEA5	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000.00
38702	COMM	313385WQ5	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38704	COMM	36960MEAF	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38705	COMM	63873KEA1	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch		50,000,000.00		50,000,000.00
Totals for 05/10/2018							445,188,422.56	500,000,000.00		54,811,577.44
38711	COMM	313385WU6	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT				-249,966,250.00
38712	COMM	36960MEEF	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC				-149,978,750.00
38713	COMM	63873KEE3	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch				-49,993,000.00
38710	COMM	21687BEE7	RABO DISC NOTE	Purchase	MERRILL LYNCH	RABOBANK				-49,993,000.00
35965	COMM	3133EEJ50	FEDERAL FARM CR	Redemption	JPMorganChase	FFCB NOTES		5,000,000.00		5,000,000.00
38706	COMM	36960MEB5	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC				150,000,000.00
38707	COMM	313385WR3	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
35965	COMM	3133EEJ50	FEDERAL FARM CR	Interest	JPMorganChase	FFCB NOTES			25,750.00	25,750.00
Totals for 05/11/2018							499,931,000.00	405,000,000.00	25,750.00	-94,905,250.00
38714	COMM	313385WV4	FHLBD DISC NOTE	Purchase	UBS FINANCE	FHLB DISCO				-249,988,854.15
38716	COMM	36960MEF6	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC				-99,995,277.78
38715	COMM	36164KEF4	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC				-49,997,638.89
38710	COMM	21687BEE7	RABO DISC NOTE	Redemption	MERRILL LYNCH	RABOBANK		50,000,000.00		50,000,000.00
38711	COMM	313385WU6	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38712	COMM	36960MEEF	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38713	COMM	63873KEE3	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch		50,000,000.00		50,000,000.00
37663	COMM	4581XOCX4	IADB 1.625% MAT	Interest	ROYAL BANK OF	IADB			284,375.00	284,375.00
38124	COMM	037833DJ6	APPLE INC, SR NT	Interest	JPMorganChase	APPLE INC			450,000.00	450,000.00
Totals for 05/14/2018							399,981,770.82	500,000,000.00	734,375.00	100,752,604.18
38717	COMM	313385WV2	Purchase	Purchase	UBS FINANCE	FHLB NOTES				-249,988,854.15
38720	COMM	36960MEG4	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC				-49,997,638.89
38719	COMM	36164KEG2	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC				-99,995,277.78
36623	COMM	58768FAD8	MBALT 1.52% MAT	Redemption	BARCLAYS CAPITAL	MERCEDES-BENZ		3,610,856.62		3,610,856.62
36753	COMM	89231UAD9	TOYO 1.3% MAT	Redemption	CITIGROUP GLOBAL	TOYOTA AUTO REC		250,269.04		250,269.04
36753	COMM	89231UAD9	TOYO 1.3% MAT	Cap G/L	CITIGROUP GLOBAL	TOYOTA AUTO REC		12.79		12.79
38714	COMM	313385WV4	FHLBD DISC NOTE	Redemption	UBS FINANCE	FHLB DISCO		250,000,000.00		250,000,000.00
38715	COMM	36164KEF4	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
38716	COMM	36960MEF6	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
35763	COMM	91412GVV3	Interest	Interest	MORGAN STANLEY	UNIVERSITY			2,503.75	2,503.75
36710	COMM	91412GD36	UNIVCA 1.169% MAT	Interest	WELLS FARGO	UNIVERSITY			17,535.00	17,535.00
36753	COMM	89231UAD9	TOYO 1.3% MAT	Interest	CITIGROUP GLOBAL	TOYOTA AUTO REC			3,049.19	3,049.19
37108	COMM	3130AA3R7	FHLB 1.375% MAT	Interest	BANK OF AMERICA	FHLB NOTES			240,625.00	240,625.00
38326	COMM	3133EGG82	FEDERAL FARM CR	Interest	BREAN CAPITAL LLC	FFCB NOTES			15,200.00	15,200.00
38326	COMM	3133EGG82	FEDERAL FARM CR	Accr Int	BREAN CAPITAL LLC	FFCB NOTES		3,462.22	-3,462.22	0.00

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35726	COMM	47787UAD5	JDOT 1.32% MAT	Interest	CITIGROUP GLOBAL JOHN DEERE	JOHN DEERE			567.32	567.32
35726	COMM	47787UAD5	JDOT 1.32% MAT	Redemption	CITIGROUP GLOBAL JOHN DEERE	JOHN DEERE		340,435.75		340,435.75
36216	COMM	47787WAC3	JDOT 1.44% MAT	Interest	ROYAL BANK OF	JOHN DEERE			2,011.07	2,011.07
36216	COMM	47787WAC3	JDOT 1.44% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		480,074.87		480,074.87
36557	COMM	65478UAD1	NALT 1.34% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			5,436.00	5,436.00
36557	COMM	65478UAD1	NALT 1.34% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO		369,139.11		369,139.11
36600	COMM	47788MAC4	JDOT 1.36% MAT	Interest	MERRILL LYNCH	JOHN DEERE			7,421.88	7,421.88
36600	COMM	47788MAC4	JDOT 1.36% MAT	Redemption	MERRILL LYNCH	JOHN DEERE		754,931.28		754,931.28
36601	COMM	89237KAD5	TAOT 1.25% MAT	Interest	MERRILL LYNCH	TOYOTA AUTO REC			9,233.14	9,233.14
36601	COMM	89237KAD5	TAOT 1.25% MAT	Redemption	MERRILL LYNCH	TOYOTA AUTO REC		877,303.39		877,303.39
36623	COMM	58768FAD8	MBALT 1.52% MAT	Interest	BARCLAYS CAPITAL	MERCEDES-BENZ			4,574.42	4,574.42
36623	COMM	58768FAD8	MBALT 1.52% MAT	Redemption	BARCLAYS CAPITAL	MERCEDES-BENZ		524.37		524.37
36623	COMM	58768FAD8	MBALT 1.52% MAT	Interest	BARCLAYS CAPITAL	MERCEDES-BENZ			0.00	0.00
36623	COMM	58768FAD8	MBALT 1.52% MAT	Redemption	BARCLAYS CAPITAL	MERCEDES-BENZ			0.00	0.00
36800	COMM	43814QAC2	HAROT 1.39% MAT	Interest	MERRILL LYNCH	HONDA AUTO			9,646.84	9,646.84
36800	COMM	43814QAC2	HAROT 1.39% MAT	Redemption	MERRILL LYNCH	HONDA AUTO		773,881.54		773,881.54
36905	COMM	47788NAC2	JDOT 1.25% MAT	Interest	ROYAL BANK OF	JOHN DEERE			8,306.15	8,306.15
36905	COMM	47788NAC2	JDOT 1.25% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		889,088.28		889,088.28
36929	COMM	161571HF4	CHAIT 1.27% MAT	Interest	JPMorganChase	CHASE ISSUANCE			24,341.66	24,341.66
36929	COMM	161571HF4	CHAIT 1.27% MAT	Redemption	JPMorganChase	CHASE ISSUANCE		657,816.92		657,816.92
36956	COMM	58769BAD6	MBART 1.26% MAT	Interest	CITIGROUP GLOBAL	MERCEDES -BENZ			11,541.68	11,541.68
36956	COMM	58769BAD6	MBART 1.26% MAT	Redemption	CITIGROUP GLOBAL	MERCEDES -BENZ		739,280.75		739,280.75
37035	COMM	89231LAD9	TAOT 1.23% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			14,350.00	14,350.00
37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC		503,347.35		503,347.35
37065	COMM	58768MAC5	MBALT 1.35% MAT	Interest	BANK OF AMERICA	MERCEDES-BENZ			5,626.33	5,626.33
37065	COMM	58768MAC5	MBALT 1.35% MAT	Redemption	BANK OF AMERICA	MERCEDES-BENZ		416,323.25		416,323.25
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	BANK OF AMERICA	JOHN DEERE			12,608.33	12,608.33
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	BANK OF AMERICA	JOHN DEERE		249,551.28		249,551.28
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	BANK OF AMERICA	TOYOTA AUTO REC			14,416.67	14,416.67
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	BANK OF AMERICA	TOYOTA AUTO REC		301,716.19		301,716.19
37862	COMM	02582JHG8	AME 1.64% MAT	Interest	ROYAL BANK OF	AMERICAN			46,466.68	46,466.68
37862	COMM	02582JHG8	AME 1.64% MAT	Redemption	ROYAL BANK OF	AMERICAN		817,365.97		817,365.97
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	CITIGROUP GLOBAL	HONDA AUTO			39,200.00	39,200.00
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	CITIGROUP GLOBAL	HONDA AUTO		714,558.89		714,558.89
38137	COMM	89238KAD4	TAOT 1.93% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			38,599.99	38,599.99
38137	COMM	89238KAD4	TAOT 1.93% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC		526,674.93		526,674.93
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			40,854.16	40,854.16
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO		359,415.52		359,415.52
38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest	ROYAL BANK OF	JOHN DEERE			18,267.50	18,267.50
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		162,265.54		162,265.54

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38646	COMM	02582JHQ6	AME 2.67% MAT	Interest	BARCLAYS CAPITAL AMERICAN				100,125.00	100,125.00
38646	COMM	02582JHQ6	AME 2.67% MAT	Redemption	BARCLAYS CAPITAL AMERICAN		784,728.18			784,728.18
<b>Totals for 05/15/2018</b>										
38721	COMM	313385WX0	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,854.15			-249,988,854.15
38723	COMM	36960MEH2	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		49,997,638.89			-49,997,638.89
38722	COMM	36164KEH0	GE CAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		99,995,277.78			-99,995,277.78
38717	COMM	313385WW2		Redemption	UBS FINANCE	FHLB NOTES	250,000,000.00			250,000,000.00
38719	COMM	36164KEG2	GE CAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC		100,000,000.00			100,000,000.00
38720	COMM	36960MEG4	GE DISC NOTE MAT	Redemption	GENERAL ELECTRICGENERAL ELECTRIC		50,000,000.00			50,000,000.00
38765	COMM	166764BH2	CHEVRON CORP	Interest	WELLS FARGO	CHEVRON CORP.			117,075.00	117,075.00
37112	COMM	3133EGJ48	FFCB 0.89% MAT	Interest	KEYBANC CAPITAL	FFCB NOTES			111,250.00	111,250.00
<b>Totals for 05/16/2018</b>										
38724	COMM	313385WY8	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,854.15			-249,988,854.15
38725	COMM	36164KEJ6	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		24,998,819.44			-24,998,819.44
38726	COMM	36960MEJ8	GE CAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		124,994,097.22			-124,994,097.22
38497	COMM	3134G76G1	FEDERAL HOME LN	Redemption	DEUTSCHE BANK	FHLMC NOTES	13,875,000.00			13,875,000.00
38721	COMM	313385WX0	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38722	COMM	36164KEH0	GE CAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC		100,000,000.00			100,000,000.00
38723	COMM	36960MEH2	GE DISC NOTE MAT	Redemption	GENERAL ELECTRICGENERAL ELECTRIC		50,000,000.00			50,000,000.00
38497	COMM	3134G76G1	FEDERAL HOME LN	Interest	DEUTSCHE BANK	FHLMC NOTES			72,843.75	72,843.75
38135	COMM	3137EAEK1	FHLMC 1.875% MAT	Interest	JPMorganChase	FHLMC NOTES			236,979.17	236,979.17
38342	COMM	3133EGAH8	FEDERAL FARM CR	Interest	BREAN CAPITAL LLC	FFCB NOTES			84,281.25	84,281.25
38342	COMM	3133EGAH8	FEDERAL FARM CR	Accr Int	BREAN CAPITAL LLC	FFCB NOTES		19,665.63	-19,665.63	0.00
<b>Totals for 05/17/2018</b>										
38727	COMM	313385XB7	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,966,562.50			-249,966,562.50
38729	COMM	36960MEM1	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		99,985,833.34			-99,985,833.34
38728	COMM	36164KEM9	GE CAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		49,992,916.67			-49,992,916.67
38724	COMM	313385WY8	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38725	COMM	36164KEJ6	GE DISC NOTE MAT	Redemption	GENERAL ELECTRICGENERAL ELECTRIC		25,000,000.00			25,000,000.00
38726	COMM	36960MEJ8	GE CAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC		125,000,000.00			125,000,000.00
36587	COMM	43814NAC9	HAROT 1.22% MAT	Interest	JPMorganChase	HONDA AUTO			7,484.96	7,484.96
36587	COMM	43814NAC9	HAROT 1.22% MAT	Redemption	JPMorganChase	HONDA AUTO	799,495.97			799,495.97
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	BARCLAYS CAPITAL	HONDA AUTO			11,595.83	11,595.83
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	BARCLAYS CAPITAL	HONDA AUTO	389,690.54			389,690.54
<b>Totals for 05/18/2018</b>										
38601	COMM	02079KAA5	GOOG 3.625% MAT	Accr Int	INCAPITAL LLC	GOOGLE INC			19,080.79	19,080.79
							247,268.80		-247,268.80	0.00
<b>Totals for 05/19/2018</b>										
							247,268.80		-247,268.80	0.00

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38718	COMM	3133EJXP1	FEDERAL FARM CR Purchase		INCAPITAL LLC	FFCB NOTES	4,977,950.00			-4,977,950.00
38730	COMM	313385XC5	FHDN DISC NOTE Purchase		UBS FINANCE	FHLB DISCOUNT	249,988,854.15			-249,988,854.15
38733	COMM	3130AECJ7	FEDERAL HOME Purchase		INCAPITAL LLC	FHLB NOTES	9,995,400.00			-9,995,400.00
38732	COMM	36960MEN9	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	99,995,277.78			-99,995,277.78
38731	COMM	36164KEN7	GE CAP DISC NOTE Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	49,997,638.89			-49,997,638.89
34464	COMM	3135GOWA6	FEDERAL NATL MTGRRedemption		UBS FINANCE	FNMA NOTES	10,500,000.00			10,500,000.00
35762	COMM	3135GOWJ8	FEDERAL NATL MTGRRedemption		MIZUHO	FNMA NOTES	15,000,000.00			15,000,000.00
36378	COMM	3135GOWJ8	FEDERAL NATL MTGRRedemption		DEUTSCHE BANK	FNMA NOTES	15,000,000.00			15,000,000.00
38727	COMM	313385XB7	FHDN DISC NOTE Redemption		UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38728	COMM	36164KEM9	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
38729	COMM	36960MEM1	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
34464	COMM	3135GOWA6	FEDERAL NATL MTGInterest		UBS FINANCE	FNMA NOTES			54,075.00	54,075.00
35762	COMM	3135GOWJ8	FEDERAL NATL MTGInterest		MIZUHO	FNMA NOTES			65,625.00	65,625.00
36370	COMM	3133EFPJ0	FEDERAL FARM CR Interest		WELLS FARGO	FFCB NOTES			96,750.00	96,750.00
36378	COMM	3135GOWJ8	FEDERAL NATL MTGInterest		DEUTSCHE BANK	FNMA NOTES			65,625.00	65,625.00
36776	COMM	89236TDE2	TOYO 1.4% MAT Interest		BARCLAYS CAPITAL	TOYOTA MOTOR			140,000.00	140,000.00
37208	COMM	17305EFW0	CCCIT 1.75% MAT Interest		CITIGROUP GLOBAL	CITIBANK CREDIT			350,000.00	350,000.00
37265	COMM	3133EEX67	FFCB 0.859% MAT Interest		INCAPITAL LLC	FFCB NOTES			58,831.22	58,831.22
38303	COMM	78009N5M4	RBC 1.735% MAT Interest		ROYAL BANK OF	ROYAL BANK OF			75,933.52	75,933.52
38601	COMM	02079KAA5	GOOG 3.625% MAT Interest		INCAPITAL LLC	GOOGLE INC			302,778.13	302,778.13
36569	COMM	0581RAD8	BMWLT 1.34% MAT Interest		MERRILL LYNCH	BMW VEHICLE		1,432,025.02	3,658.79	3,658.79
36569	COMM	0581RAD8	BMWLT 1.34% MAT Redemption		MERRILL LYNCH	BMW VEHICLE			8,341.67	8,341.67
37036	COMM	0582XAD4	BMWLT 1.43% MAT Interest		MIZUHO	BMW VEHICLE		429,044.30		429,044.30
37038	COMM	0582XAD4	BMWLT 1.43% MAT Redemption		MIZUHO	BMW VEHICLE			23,649.99	23,649.99
37615	COMM	43814TAC6	HAROT 1.72% MAT Interest		BANK OF AMERICA	HONDA AUTO				
37615	COMM	43814TAC6	HAROT 1.72% MAT Redemption		BANK OF AMERICA	HONDA AUTO		637,347.55		637,347.55
Totals for 05/21/2018							414,956,120.82	442,998,416.87	1,245,268.32	29,287,564.37
38734	COMM	313385XD3	FHDN DISC NOTE Purchase		UBS FINANCE	FHLB DISCOUNT	249,988,854.15			-249,988,854.15
38736	COMM	36960MEP4	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	99,995,277.78			-99,995,277.78
38735	COMM	36164KEP2	GE CAP DISC NOTE Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	49,997,638.89			-49,997,638.89
35986	COMM	43813NAC0	HAROT 1.04% MAT Redemption		JPMorganChase	HONDA AUTO		616.47		616.47
38730	COMM	313385XC5	FHDN DISC NOTE Redemption		UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38731	COMM	36164KEN7	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
38732	COMM	36960MEN9	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
37804	COMM	3134GBLQ3	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			165,000.00	165,000.00
35986	COMM	43813NAC0	HAROT 1.04% MAT Interest		JPMorganChase	HONDA AUTO			0.53	0.53
35986	COMM	43813NAC0	HAROT 1.04% MAT Redemption		JPMorganChase	HONDA AUTO		64.35		64.35
35986	COMM	43813NAC0	HAROT 1.04% MAT Interest		JPMorganChase	HONDA AUTO				0.00
35986	COMM	43813NAC0	HAROT 1.04% MAT Redemption		JPMorganChase	HONDA AUTO				0.00

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<b>Totals for 05/22/2018</b>										
38746	COMM	313385XE1	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	399,981,770.82	400,000,680.82	185,000.53	183,910.53
38747	COMM	36960MEQ2	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	249,988,854.15			-249,988,854.15
38748	COMM	36164KEQ0	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,995,277.78			-99,995,277.78
38751	COMM	78012UDV4	RBC 2.66% MAT	Purchase	RBC CAPITAL	ROYAL BANK OF	49,997,638.89			-49,997,638.89
38734	COMM	313385XD3	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	15,000,000.00			-15,000,000.00
38735	COMM	36164KEP2	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	250,000,000.00			250,000,000.00
38736	COMM	36960MEP4	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
34292	COMM	SY534292	MSTI 0.1%	Redemption	MORGAN STANLEY	MORGAN STANLEY	100,000,000.00			100,000,000.00
37590	COMM	SY537590	JPM TE 0.44%	Redemption	JPMorganChase	JPM TE MMK FD	200,364,423.16			200,364,423.16
							40,278.98			40,278.98
<b>Totals for 05/23/2018</b>							<b>414,981,770.82</b>	<b>600,404,702.14</b>		<b>185,422,931.32</b>
38749	COMM	313385XF8	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,888.90			-249,988,888.90
38753	COMM	36960MER0	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,995,277.78			-99,995,277.78
38752	COMM	36164KER8	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,997,638.89			-49,997,638.89
38746	COMM	313385XE1	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38747	COMM	36960MEQ2	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
38748	COMM	36164KEQ0	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
36782	COMM	3136G3NC5	FEDERAL NATL MTG Interest	Interest	INCAPITAL LLC	FNMA NOTES			25,000.00	25,000.00
36805	COMM	94988J5D5	WELLS 1.75% MAT	Interest	WELLS FARGO	WELLS FARGO			105,000.00	105,000.00
37131	COMM	3130A9W49	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES			93,750.00	93,750.00
37816	COMM	3130ABDX1	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES			210,000.00	210,000.00
<b>Totals for 05/24/2018</b>							<b>399,981,805.57</b>	<b>400,000,000.00</b>	<b>433,750.00</b>	<b>451,944.43</b>
38754	COMM	313385XK7	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,955,555.55			-249,955,555.55
38756	COMM	36960MEV1	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,981,111.12			-99,981,111.12
38755	COMM	36164KEV9	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,990,555.56			-49,990,555.56
38749	COMM	313385XF8	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38752	COMM	36164KER8	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
38753	COMM	36960MER0	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
37801	COMM	3134GBLR1	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLB NOTES			175,000.00	175,000.00
38272	COMM	3133EHKT9	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			121,156.50	121,156.50
38272	COMM	3133EHKT9	FEDERAL FARM CR	Acrr Int	INCAPITAL LLC	FFCB NOTES		12,115.65	-12,115.65	0.00
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Interest	JPMorganChase	BMW VEHICLE			9,611.27	9,611.27
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Redemption	JPMorganChase	BMW VEHICLE				
38387	COMM	3137AYCE9	FHLMCM 2.682% Interest	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family	765,831.52			765,831.52
38387	COMM	3137AYCE9	FHLMCM 2.682% Interest	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family			44,700.00	44,700.00
38391	COMM	3137AYCE9	FHLMCM 2.682% Interest	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			16,762.50	16,762.50
38391	COMM	3137AYCE9	FHLMCM 2.682% Interest	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family				
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Interest	JPMorganChase	BMW VEHICLE			62,666.66	62,666.66
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Redemption	JPMorganChase	BMW VEHICLE		657,455.74		657,455.74

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38465	COMM	3137AWQH1	FHLMCM 2.307% Interest	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			10,727.55	10,727.55
38465	COMM	3137AWQH1	FHLMCM 2.307% Redemption	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family		125,548.24		125,548.24
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT Interest	Interest	BOK FINANCIAL	FNMA Multi-Family			7,688.07	7,688.07
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT Redemption	Redemption	BOK FINANCIAL	FNMA Multi-Family		5,661.38		5,661.38
38643	COMM	3137B36J2	FHLMCM 3.32% MAT Interest	Interest	BARCLAYS CAPITAL	FHLMCM Multi-Family			55,333.34	55,333.34
38643	COMM	3137B36J2	FHLMCM 3.32% MAT Redemption	Redemption	BARCLAYS CAPITAL	FHLMCM Multi-Family		420,933.62		420,933.62
38664	COMM	3136B1XP4	FNMA 3.55% MAT Interest	Interest	VINING SPARKS IBG	FNMA Multi-Family			13,350.00	13,350.00
38664	COMM	3136B1XP4	FNMA 3.55% MAT Redemption	Redemption	VINING SPARKS IBG	FNMA Multi-Family				0.00
38664	COMM	3136B1XP4	FNMA 3.56% MAT Interest	Interest	VINING SPARKS IBG	FNMA Multi-Family			-12,905.00	-12,905.00
38664	COMM	3136B1XP4	FNMA 3.56% MAT Redemption	Redemption	VINING SPARKS IBG	FNMA Multi-Family				0.00
38665	COMM	3136B1XP4	FNMA 3.56% MAT Interest	Interest	VINING SPARKS IBG	FNMA Multi-Family			13,350.00	13,350.00
38665	COMM	3136B1XP4	FNMA 3.56% MAT Redemption	Redemption	JPMorganChase	FNMA Multi-Family		103,517.88		103,517.88
38665	COMM	3136B1XP4	FNMA 3.56% MAT Interest	Interest	JPMorganChase	FNMA Multi-Family			-12,905.00	-12,905.00
38665	COMM	3136B1XP4	FNMA 3.56% MAT Redemption	Redemption	JPMorganChase	FNMA Multi-Family				0.00
38666	COMM	3137AYCE9	FHLMCM 2.682% Interest	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			26,250.08	26,250.08
38666	COMM	3137AYCE9	FHLMCM 2.682% Redemption	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family		257,451.79		257,451.79
38666	COMM	3137AYCE9	FHLMCM 2.682% Interest	Interest	BREAN CAPITAL LLC	FHLMCM Multi-Family			-14,000.04	-14,000.04
38666	COMM	3137AYCE9	FHLMCM 2.682% Redemption	Redemption	BREAN CAPITAL LLC	FHLMCM Multi-Family				0.00
Totals for 05/25/2018							399,927,222.23	402,348,515.82	504,670.28	2,925,963.87
38758	COMM	313385XL5	FHDM DISC NOTE Purchase	Purchase	UBS FINANCE	FHDM DISCOUNT				-249,988,958.35
38759	COMM	36960MEW9	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC				-149,992,916.67
38754	COMM	313385XK7	FHDM DISC NOTE Redemption	Redemption	UBS FINANCE	FHDM DISCOUNT		250,000,000.00		250,000,000.00
38755	COMM	36164KEV9	GE CAP DISC NOTE Redemption	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
38756	COMM	36960MEV1	GE DISC NOTE MAT Redemption	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
38785	COMM	3134G9HM2	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMCM NOTES			58,500.00	58,500.00
38787	COMM	3134G9HW0	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMCM NOTES			32,812.50	32,812.50
38797	COMM	3136G3PR0	FEDERAL NATL MTG Interest	Interest	BREAN CAPITAL LLC	FNMA NOTES			187,500.00	187,500.00
37149	COMM	3130AABG2	FEDERAL HOME Interest	Interest	BANK OF AMERICA	FHLMCM NOTES			140,625.00	140,625.00
38169	COMM	3133EHWS8	FFCB 1.9% MAT Interest	Interest	INCAPITAL LLC	FFCB NOTES			142,500.00	142,500.00
38170	COMM	3134GBZ70	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMCM NOTES			234,375.00	234,375.00
38171	COMM	3134GBZ70	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMCM NOTES			93,750.00	93,750.00
38178	COMM	3130ACUK8	FEDERAL HOME Interest	Interest	INCAPITAL LLC	FHLMCM NOTES			250,000.00	250,000.00
38204	COMM	3133EHWS8	FFCB 1.9% MAT Interest	Interest	INCAPITAL LLC	FFCB NOTES			204,725.00	204,725.00
38204	COMM	3133EHWS8	FFCB 1.9% MAT Accr Int	Accr Int	INCAPITAL LLC	FFCB NOTES		4,549.44		0.00
38271	COMM	3130AD3E0	FEDERAL HOME Interest	Interest	DEUTSCHE BANK	FHLMCM NOTES			73,791.65	73,791.65
Totals for 05/29/2018							399,981,875.02	400,004,549.44	1,414,029.71	1,436,704.13
38760	COMM	313385XM3	FHDM DISC NOTE Purchase	Purchase	UBS FINANCE	FHDM DISCOUNT				-249,988,958.35
38762	COMM	36960MEX7	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC				-49,997,638.89
38761	COMM	36164KEX5	GE CAP DISC NOTE Purchase	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC				-99,995,277.78

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38738	COMM	43814JAG4	HAROT 3.01% MAT	Purchase	BARCLAYS CAPITAL	HONDA AUTO	11,989,738.40			-11,989,738.40
38739	COMM	45818WBM7	IADB 1.92152% MAT	Purchase	BMO CAPITAL	IADB	10,108,338.43			-10,108,338.43
38660	COMM	7426M3EW5	PEFCO DISC NOTE	Redemption	WILLIAMS CAPITAL	PRIVATE EXPORT		50,000,000.00		50,000,000.00
38758	COMM	313385XL5	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38759	COMM	36960MEW9	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38793	COMM	3136C3QY4	FEDERAL NATL MTGInterest		INCAPITAL LLC	FNMA NOTES			31,250.00	31,250.00
38794	COMM	3136C3QY4	FEDERAL NATL MTGInterest		INCAPITAL LLC	FNMA NOTES			93,750.00	93,750.00
38795	COMM	3136C3QY4	FEDERAL NATL MTGInterest		INCAPITAL LLC	FNMA NOTES			31,250.00	31,250.00
37148	COMM	3134GAXX7	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			75,000.00	75,000.00
<b>Totals for 05/30/2018</b>							<b>422,090,021.30</b>	<b>450,000,000.00</b>	<b>231,250.00</b>	<b>28,141,228.70</b>
38763	COMM	313385XN1	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	18,749,218.75			-18,749,218.75
38764	COMM	313385XN1	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	231,240,043.39			-231,240,043.39
38765	COMM	36164KF14	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38760	COMM	313385XM3	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38761	COMM	36164KEX5	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
38762	COMM	36960MEX7	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
38386	COMM	912828SX9	UNITED STATES	Interest	DEUTSCHE BANK	U.S. TREASURY			112,500.00	112,500.00
38386	COMM	912828SX9	UNITED STATES	Acq Int	DEUTSCHE BANK	U.S. TREASURY		26,579.67	-26,579.67	0.00
<b>Totals for 05/31/2018</b>							<b>399,982,178.81</b>	<b>400,026,579.67</b>	<b>85,920.33</b>	<b>130,321.19</b>
38767	COMM	313385XR2	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,989,236.10			-249,989,236.10
38768	COMM	36164KF48	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,978,750.01			-149,978,750.01
38766	COMM	7426M3PF0	PEFCO ZERO CPN	Purchase	WILLIAMS CAPITAL	PRIVATE EXPORT	49,179,833.33			-49,179,833.33
38763	COMM	313385XN1	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		18,750,000.00		18,750,000.00
38764	COMM	313385XN1	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		231,250,000.00		231,250,000.00
38765	COMM	36164KF14	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
<b>Totals for 06/01/2018</b>							<b>449,125,666.69</b>	<b>400,000,000.00</b>		<b>-49,125,666.69</b>
38770	COMM	313385XS0	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,989,236.10			-249,989,236.10
38771	COMM	36960MF57	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38767	COMM	313385XR2	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38768	COMM	36164KF48	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
34292	COMM	SYS34292	MSTI 0.0%	Interest	MORGAN STANLEY	MORGAN STANLEY			191,840.68	191,840.68
34292	COMM	SYS34292	MSTI 0.0%	Purchase	JPMorganChase	MORGAN STANLEY	191,840.68			-191,840.68
37590	COMM	SYS37590	JPM TE 0.44%	Interest	JPM TE MMK FD	JPM TE MMK FD			38.11	38.11
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	JPMorganChase	JPM TE MMK FD	38.11			-38.11
<b>Totals for 06/04/2018</b>							<b>400,174,031.56</b>	<b>400,000,000.00</b>	<b>191,878.79</b>	<b>17,847.23</b>
38772	COMM	313385XT8	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,989,236.10			-249,989,236.10
38774	COMM	3137AYCE9	FHLMCM 2.682%	Purchase	BREAN CAPITAL LLC	FHLMC Multi-Family	19,800,201.25			-19,800,201.25
38773	COMM	36960MF55	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67

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38770	COMM	313385XS0	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38771	COMM	36960MF57	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
Totals for 06/05/2018							419,782,354.02	400,000,000.00		-19,782,354.02
38775	COMM	313385XU5	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,989,305.55			-249,989,305.55
38776	COMM	36164KF71	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38772	COMM	313385XT8	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38773	COMM	36960MF65	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
Totals for 06/06/2018							399,982,222.22	400,000,000.00		17,777.78
38777	COMM	313385XV3	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,989,375.00			-249,989,375.00
38778	COMM	36164KF89	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38775	COMM	313385XU5	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38776	COMM	36164KF71	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
Totals for 06/07/2018							399,982,291.67	400,000,000.00		17,708.33
38779	COMM	313385XV7	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,988,125.00			-249,988,125.00
38780	COMM	36164KFB2	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,978,750.01			-149,978,750.01
35906	COMM	313379DT3	FEDERAL HOME	Redemption	WELLS FARGO	FHLB NOTES	20,000,000.00			20,000,000.00
35956	COMM	3133XRFZ8	FEDERAL HOME	Redemption	KEYBANC CAPITAL	FHLB NOTES	5,000,000.00			5,000,000.00
35957	COMM	313379DT3	FEDERAL HOME	Redemption	BREAN CAPITAL LLC	FHLB NOTES	5,000,000.00			5,000,000.00
38777	COMM	313385XV3	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38778	COMM	36164KFB9	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
35906	COMM	313379DT3	FEDERAL HOME	Interest	WELLS FARGO	FHLB NOTES	125,000.00			125,000.00
35956	COMM	3133XRFZ8	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES	118,750.00			118,750.00
35957	COMM	313379DT3	FEDERAL HOME	Interest	BREAN CAPITAL LLC	FHLB NOTES	31,250.00			31,250.00
37184	COMM	3133EGT47	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES	100,500.00			100,500.00
Totals for 06/08/2018							399,946,875.01	430,000,000.00	375,500.00	30,428,624.99
38781	COMM	313385XZ4	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,989,375.00			-249,989,375.00
38782	COMM	36164KFC0	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38785	COMM	58769DAE0	MBALT 2.01% MAT	Purchase	BOK FINANCIAL	MERCEDES-BENZ	3,976,769.59			-3,976,769.59
38779	COMM	313385XV7	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38780	COMM	36164KFB2	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
37961	COMM	313379RB7	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES	46,875.00			46,875.00
38102	COMM	3130A3UQ5	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES	93,750.00			93,750.00
Totals for 06/11/2018							403,959,061.26	400,000,000.00	140,625.00	-3,818,436.26
38786	COMM	313385YA8	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,989,444.45			-249,989,444.45
38787	COMM	36960MFD0	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,916.67			-149,992,916.67
38781	COMM	313385XZ4	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38782	COMM	36164KFC0	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
36877	COMM	3133834H1	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES	103,125.00			103,125.00

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37037	COMM	3133834H1	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			88,750.00	88,750.00
37058	COMM	313383HU8	FHLB 1.75% MAT	Interest	KEYBANC CAPITAL	FHLB NOTES			131,250.00	131,250.00
37901	COMM	3133EHMR1	FFCB 1.375% MAT	Interest	Daiwa Capital Market	FFCB NOTES			34,375.00	34,375.00
37905	COMM	3133EHMR1	FFCB 1.375% MAT	Interest	INCAPITAL LLC	FFCB NOTES			34,375.00	34,375.00
38247	COMM	313383HU8	FHLB 1.75% MAT	Interest	KEYBANC CAPITAL	FHLB NOTES			218,750.00	218,750.00
<b>Totals for 06/12/2018</b>							<b>399,982,361.12</b>	<b>400,000,000.00</b>	<b>590,625.00</b>	<b>608,263.88</b>
38789	COMM	313385YB6	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,989,375.00		-249,989,375.00	
38791	COMM	38960MFE8	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		74,996,458.33		-74,996,458.33	
38790	COMM	36164KFE6	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		74,996,458.33		-74,996,458.33	
38788	COMM	7426M3PB9	PEFCO ZERO CPN	Purchase	WILLIAMS CAPITAL	PRIVATE EXPORT	29,538,300.00		-29,538,300.00	
38786	COMM	313385YA8	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00		250,000,000.00	
38787	COMM	38960MFD0	GE DISC NOTE MAT	Redemption	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000.00		150,000,000.00	
<b>Totals for 06/13/2018</b>							<b>429,520,591.66</b>	<b>400,000,000.00</b>	<b>-29,520,591.66</b>	
38792	COMM	313385YC4	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	249,987,847.20		-249,987,847.20	
38794	COMM	38960MFF5	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		74,996,041.67		-74,996,041.67	
38783	COMM	36164KFF3	GE CAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		74,996,041.67		-74,996,041.67	
38795	COMM	63873KFF9	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	99,994,750.00		-99,994,750.00	
36442	COMM	3133EFSH1	FEDERAL FARM CR	Redemption	WELLS FARGO	FFCB NOTES	15,000,000.00		15,000,000.00	
36443	COMM	3133EFSH1	FEDERAL FARM CR	Redemption	MERRILL LYNCH	FFCB NOTES	20,000,000.00		20,000,000.00	
38789	COMM	313385YB6	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00		250,000,000.00	
38790	COMM	36164KFE6	GE DISC NOTE MAT	Redemption	GENERAL ELECTRICGENERAL ELECTRIC		75,000,000.00		75,000,000.00	
38791	COMM	38960MFE8	GE DISC NOTE MAT	Redemption	GENERAL ELECTRICGENERAL ELECTRIC		75,000,000.00		75,000,000.00	
36402	COMM	313379EE5	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES		139,750.00	139,750.00	
36442	COMM	3133EFSH1	FEDERAL FARM CR	Interest	WELLS FARGO	FFCB NOTES		87,750.00	87,750.00	
36443	COMM	3133EFSH1	FEDERAL FARM CR	Interest	MERRILL LYNCH	FFCB NOTES		117,000.00	117,000.00	
36550	COMM	313379EE5	FEDERAL HOME	Interest	DEUTSCHE BANK	FHLB NOTES		121,875.00	121,875.00	
36823	COMM	3134GQW0	FEDERAL HOME LN	Interest	JEFFERIES & CO.	FHLMG NOTES		128,000.00	128,000.00	
38469	COMM	313379EE5	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES		203,125.00	203,125.00	
38469	COMM	313379EE5	FEDERAL HOME	Accr Int	KEYBANC CAPITAL	FHLB NOTES		-75,607.64	-75,607.64	0.00
<b>Totals for 06/14/2018</b>							<b>499,974,680.54</b>	<b>435,075,607.64</b>	<b>721,892.36</b>	<b>-64,177,180.54</b>
38797	COMM	313385YF7	FHDN DISC NOTE	Purchase	U S BANK	FHLB DISCOUNT	249,963,541.65		-249,963,541.65	
38796	COMM	3134GSMY8	FEDERAL HOME LN	Purchase	INCAPITAL LLC	FHLMG NOTES	15,000,000.00		-15,000,000.00	
38798	COMM	36164KFJ5	GE CAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		149,976,249.99		-149,976,249.99	
38799	COMM	63873KFJ1	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch	99,984,250.00		-99,984,250.00	
35726	COMM	47787UAD5	JDOT 1.32% MAT	Redemption	CITIGROUP GLOBAL JOHN DEERE		150,658.34		150,658.34	
35864	COMM	3130A4Y71	FHLB 1.1% MAT	Redemption	WELLS FARGO	FHLB NOTES	26,500,000.00		26,500,000.00	
35936	COMM	459058EJ8	IBRD 1.1% MAT	Redemption	BARCLAYS CAPITAL INTL BANK RECON &		15,000,000.00		15,000,000.00	
35937	COMM	459058EJ8	IBRD 1.1% MAT	Redemption	BMO CAPITAL	INTL BANK RECON &	10,000,000.00		10,000,000.00	
36753	COMM	89231UAD9	TOYO 1.3% MAT	Redemption	CITIGROUP GLOBAL TOYOTA AUTO REC		246,451.44		246,451.44	

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36753	COMM	89231UAD9	TOYO 1.3% MAT	Cap G/L	CITIGROUP GLOBAL	TOYOTA AUTO REC		12.59		12.59
38792	COMM	313385YC4	PHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT	250,000,000.00			250,000,000.00
38793	COMM	36164KFF3	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	75,000,000.00			75,000,000.00
38794	COMM	36960MFF5	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	75,000,000.00			75,000,000.00
38795	COMM	63873KFF9	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch	100,000,000.00			100,000,000.00
38864	COMM	3130AAY71	FHLB 1% MAT	Interest	WELLS FARGO	FHLB NOTES			132,500.00	132,500.00
35936	COMM	459058EJ8	IBRD 1% MAT	Interest	BARCLAYS CAPITAL	INTL BANK RECON &			75,000.00	75,000.00
35937	COMM	459058EJ8	IBRD 1% MAT	Interest	BMO CAPITAL	INTL BANK RECON &			50,000.00	50,000.00
38753	COMM	89231UAD9	TOYO 1.3% MAT	Interest	CITIGROUP GLOBAL	TOYOTA AUTO REC			2,778.05	2,778.05
37849	COMM	3134GBRR5	FHLMC 1.8% MAT	Interest	INCAPITAL LLC	FHLMC NOTES			180,000.00	180,000.00
38076	COMM	931142EA7	WAL-MART STORES	Interest	BARCLAYS CAPITAL	WALMART			86,819.44	86,819.44
35726	COMM	47787UAD5	JDOT 1.32% MAT	Interest	CITIGROUP GLOBAL	JOHN DEERE			165.74	165.74
35726	COMM	47787UAD5	JDOT 1.32% MAT	Redemption	CITIGROUP GLOBAL	JOHN DEERE			11,416.44	11,416.44
35726	COMM	47787UAD5	JDOT 1.32% MAT	Interest	CITIGROUP GLOBAL	JOHN DEERE			0.00	0.00
35726	COMM	47787UAD5	JDOT 1.32% MAT	Redemption	CITIGROUP GLOBAL	JOHN DEERE			1,397.60	1,397.60
36216	COMM	47787WAC3	JDOT 1.44% MAT	Interest	ROYAL BANK OF	JOHN DEERE			5,022.49	5,022.49
36216	COMM	47787WAC3	JDOT 1.44% MAT	Redemption	ROYAL BANK OF	JOHN DEERE			284,706.35	284,706.35
36557	COMM	65478UAD1	NALT 1.34% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			5,022.49	5,022.49
36557	COMM	65478UAD1	NALT 1.34% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO			363,814.24	363,814.24
36600	COMM	47788MAC4	JDOT 1.36% MAT	Interest	MERRILL LYNCH	JOHN DEERE			6,527.30	6,527.30
36600	COMM	47788MAC4	JDOT 1.36% MAT	Redemption	MERRILL LYNCH	JOHN DEERE			508,027.92	508,027.92
36601	COMM	89237KAD5	TAOT 1.25% MAT	Interest	MERRILL LYNCH	TOYOTA AUTO REC			8,317.69	8,317.69
36601	COMM	89237KAD5	TAOT 1.25% MAT	Redemption	MERRILL LYNCH	TOYOTA AUTO REC			850,461.55	850,461.55
36800	COMM	43814QAC2	HAROT 1.39% MAT	Interest	MERRILL LYNCH	HONDA AUTO			8,749.84	8,749.84
36800	COMM	43814QAC2	HAROT 1.39% MAT	Redemption	MERRILL LYNCH	HONDA AUTO			744,272.30	744,272.30
36905	COMM	47788NAC2	JDOT 1.25% MAT	Interest	ROYAL BANK OF	JOHN DEERE			7,349.48	7,349.48
36905	COMM	47788NAC2	JDOT 1.25% MAT	Redemption	ROYAL BANK OF	JOHN DEERE			506,505.37	506,505.37
36929	COMM	161571HF4	CHAIT 1.27% MAT	Interest	JPMorganChase	CHASE ISSUANCE			24,341.66	24,341.66
36929	COMM	161571HF4	CHAIT 1.27% MAT	Redemption	JPMorganChase	CHASE ISSUANCE			677,169.75	677,169.75
36956	COMM	58769BAD6	MBART 1.26% MAT	Interest	CITIGROUP GLOBAL	MERCEDES-BENZ			10,762.04	10,762.04
36956	COMM	58769BAD6	MBART 1.26% MAT	Redemption	CITIGROUP GLOBAL	MERCEDES-BENZ			712,180.71	712,180.71
37035	COMM	89231LAD9	TAOT 1.23% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			14,350.00	14,350.00
37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC			521,729.87	521,729.87
37065	COMM	58768MAC5	MBALT 1.35% MAT	Interest	BANK OF AMERICA	MERCEDES-BENZ			5,158.83	5,158.83
37065	COMM	58768MAC5	MBALT 1.35% MAT	Redemption	BANK OF AMERICA	MERCEDES-BENZ			683,451.87	683,451.87
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	BANK OF AMERICA	JOHN DEERE			12,608.33	12,608.33
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	BANK OF AMERICA	JOHN DEERE			257,698.50	257,698.50
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	BANK OF AMERICA	TOYOTA AUTO REC			14,416.67	14,416.67
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	BANK OF AMERICA	TOYOTA AUTO REC			311,765.89	311,765.89
37862	COMM	02582JHG8	AME 1.64% MAT	Interest	ROYAL BANK OF	AMERICAN			46,466.68	46,466.68

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37962	COMM	02582JHG8	AME 1.64% MAT	Redemption	ROYAL BANK OF AMERICAN			840,971.89		840,971.89
37976	COMM	43811BAC8	HAROT 1.58% MAT	Interest	CITIGROUP GLOBAL HONDA AUTO				39,200.00	39,200.00
37976	COMM	43811BAC8	HAROT 1.58% MAT	Redemption	CITIGROUP GLOBAL HONDA AUTO			736,257.24		736,257.24
38137	COMM	89238KAD4	TAOT 1.93% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			38,599.99	38,599.99
38137	COMM	89238KAD4	TAOT 1.93% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC		542,221.63		542,221.63
38475	COMM	65478DAD9	NALT 2.85% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			40,854.16	40,854.16
38475	COMM	65478DAD9	NALT 2.85% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO		370,005.58		370,005.58
38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest	ROYAL BANK OF JOHN DEERE				18,287.50	18,287.50
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	ROYAL BANK OF JOHN DEERE			167,126.21		167,126.21
38646	COMM	02582JHQ6	AME 2.67% MAT	Interest	BARCLAYS CAPITAL AMERICAN				100,125.00	100,125.00
38646	COMM	02582JHQ6	AME 2.67% MAT	Redemption	BARCLAYS CAPITAL AMERICAN			807,273.41		807,273.41
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Interest	BARCLAYS CAPITAL CHASE ISSUANCE				78,754.87	78,754.87
38708	COMM	161571HN7	CHAIT 2.1227% MAT	Redemption	BARCLAYS CAPITAL CHASE ISSUANCE			591,139.95		591,139.95
38785	COMM	58769DAE0	MBALT 2.01% MAT	Interest	BOK FINANCIAL	MERCEDES-BENZ			6,700.00	6,700.00
38785	COMM	58769DAE0	MBALT 2.01% MAT	Redemption	BOK FINANCIAL	MERCEDES-BENZ		74,904.13		74,904.13
38785	COMM	58769DAE0	MBALT 2.01% MAT	Interest	BOK FINANCIAL	MERCEDES-BENZ			-5,806.67	-5,806.67
38785	COMM	58769DAE0	MBALT 2.01% MAT	Redemption	BOK FINANCIAL	MERCEDES-BENZ				0.00
Totals for 06/15/2018							514,924,041.64	562,460,223.17	1,009,446.69	48,545,628.22
38800	COMM	313385YG5	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT		249,987,500.00		-249,987,500.00
38802	COMM	36960MFK4	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC			99,994,722.22		-99,994,722.22
38801	COMM	36164KFK2	GE CAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC			49,997,361.11		-49,997,361.11
38803	COMM	63873KFK8	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch				-99,994,750.00
38797	COMM	313385YF7	FHDN DISC NOTE	Redemption	U S BANK	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38798	COMM	36164KFKJ5	GE CAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC			150,000,000.00		150,000,000.00
38799	COMM	63873KFKJ1	NATXNY DISC NOTE	Redemption	MERRILL LYNCH	Natixis NY Branch		100,000,000.00		100,000,000.00
36587	COMM	43814NAC9	HAROT 1.22% MAT	Interest	JPMorganChase	HONDA AUTO			6,667.84	6,667.84
36587	COMM	43814NAC9	HAROT 1.22% MAT	Redemption	JPMorganChase	HONDA AUTO		770,046.39		770,046.39
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	BARCLAYS CAPITAL HONDA AUTO				11,595.83	11,595.83
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	BARCLAYS CAPITAL HONDA AUTO			403,174.58		403,174.58
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	BARCLAYS CAPITAL HONDA AUTO				18,060.00	18,060.00
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	BARCLAYS CAPITAL HONDA AUTO			237,522.32		237,522.32
Totals for 06/18/2018							499,974,333.33	501,410,743.29	36,323.67	1,472,733.63
38804	COMM	313385YH3	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT		249,987,291.65		-249,987,291.65
38806	COMM	36960MFL2	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC			99,994,722.22		-99,994,722.22
38805	COMM	36164KFL0	GE CAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC			49,997,361.11		-49,997,361.11
38807	COMM	63873KFL6	NATXNY DISC NOTE	Purchase	MERRILL LYNCH	Natixis NY Branch				-99,994,750.00
38800	COMM	313385YG5	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38801	COMM	36164KFK2	GE CAP DISC NOTE	Redemption	GENERAL ELECTRICGENERAL ELECTRIC			50,000,000.00		50,000,000.00
38802	COMM	36960MFK4	GE DISC NOTE MAT	Redemption	GENERAL ELECTRICGENERAL ELECTRIC			100,000,000.00		100,000,000.00

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38803	COMM	63873KFK8	NATXNY DISC NOTE Redemption		MERRILL LYNCH	Natixis NY Branch		100,000,000.00		100,000,000.00
38303	COMM	78009N5M4	RBC 1.735% MAT Interest		ROYAL BANK OF	ROYAL BANK OF			70,494.16	70,494.16
Totals for 06/19/2018										
38808	COMM	313385YJ9	FHDN DISC NOTE Purchase		UBS FINANCE	FHLB DISCOUNT	249,987,013.90			-249,987,013.90
38809	COMM	36960MFM0	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,083.33			-149,992,083.33
38810	COMM	63873KFM4	NATXNY DISC NOTE Purchase		MERRILL LYNCH	Natixis NY Branch	99,994,750.00			-99,994,750.00
38804	COMM	313385YH3	FHDN DISC NOTE Redemption		UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38805	COMM	36164KFL0	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
38806	COMM	36960MFL2	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
38807	COMM	63873KFL6	NATXNY DISC NOTE Redemption		MERRILL LYNCH	Natixis NY Branch		100,000,000.00		100,000,000.00
37265	COMM	3133EGX67	FFCB 0.859% MAT Interest		INCAPITAL LLC	FFCB NOTES			62,319.69	62,319.69
37893	COMM	3134GBRZ7	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			112,500.00	112,500.00
36569	COMM	05581RAD8	BMWLT 1.34% MAT Interest		MERRILL LYNCH	BMW VEHICLE			2,073.63	2,073.63
36569	COMM	05581RAD8	BMWLT 1.34% MAT Redemption		MERRILL LYNCH	BMW VEHICLE		1,552,149.80		1,552,149.80
37036	COMM	05582XAD4	BMWLT 1.43% MAT Interest		MIZUHO	BMW VEHICLE			8,421.54	8,421.54
37036	COMM	05582XAD4	BMWLT 1.43% MAT Redemption		MIZUHO	BMW VEHICLE		504,093.81		504,093.81
Totals for 06/20/2018										
38811	COMM	313385YK6	FHDN DISC NOTE Purchase		UBS FINANCE	FHLB DISCOUNT	249,987,152.80			-249,987,152.80
38814	COMM	3130AEHE3	FEDERAL HOME Purchase		DEUTSCHE BANK	FHLB NOTES	35,000,000.00			-35,000,000.00
38812	COMM	36960MFM8	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,992,083.33			-149,992,083.33
38813	COMM	63873KFN2	NATXNY DISC NOTE Purchase		MERRILL LYNCH	Natixis NY Branch	99,994,750.00			-99,994,750.00
38815	COMM	880591EV0	TENNESSEE Purchase		KEYBANC CAPITAL	TENNESSEE	10,005,175.00			-10,005,175.00
38808	COMM	313385YJ9	FHDN DISC NOTE Redemption		UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38809	COMM	36960MFM0	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38810	COMM	63873KFM4	NATXNY DISC NOTE Redemption		MERRILL LYNCH	Natixis NY Branch		100,000,000.00		100,000,000.00
38718	COMM	3133EJPX1	FEDERAL FARM CR Interest		INCAPITAL LLC	FFCB NOTES			11,979.15	11,979.15
37615	COMM	43814TAC6	HAROT 1.72% MAT Interest		BANK OF AMERICA	HONDA AUTO			23,649.99	23,649.99
37615	COMM	43814TAC6	HAROT 1.72% MAT Redemption		BANK OF AMERICA	HONDA AUTO		663,527.16		663,527.16
Totals for 06/21/2018										
38816	COMM	313385YNO	FHDN DISC NOTE Purchase		UBS FINANCE	FHLB DISCOUNT	249,961,458.35			-249,961,458.35
38817	COMM	36960MFR9	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,976,249.99			-149,976,249.99
36066	COMM	3134G67C1	FEDERAL HOME LN Redemption		MORGAN STANLEY	FHLMC NOTES		10,000,000.00		10,000,000.00
38308	COMM	17305TUF7	CITINA 1.81% MAT Redemption		CITIGROUP GLOBAL CITI BANK NA			55,000,000.00		55,000,000.00
38811	COMM	313385YH3	FHDN DISC NOTE Redemption		UBS FINANCE	FHLB DISCOUNT		250,000,000.00		250,000,000.00
38812	COMM	36960MFM8	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38813	COMM	63873KFN2	NATXNY DISC NOTE Redemption		MERRILL LYNCH	Natixis NY Branch		100,000,000.00		100,000,000.00
36066	COMM	3134G67C1	FEDERAL HOME LN Interest		MORGAN STANLEY	FHLMC NOTES			60,000.00	60,000.00
37861	COMM	3134GBRU8	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			243,750.00	243,750.00
37880	COMM	3134GBSM5	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			46,325.00	46,325.00

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37894	COMM	3134GBSW3	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMC NOTES			43,750.00	43,750.00
38308	COMM	17305TUF7	CITINA 1.81% MAT	Interest	CITIGROUP GLOBAL CITI BANK NA				511,576.39	511,576.39
Totals for 06/22/2018							399,937,708.34	565,000,000.00	905,401.39	165,967,693.05
38818	COMM	313385YP5	FHDM DISC NOTE	Purchase	UBS FINANCE	FHLM DISCOUNT	249,987,291.65			-249,987,291.65
38819	COMM	36960MFS7	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		149,992,083.33			-149,992,083.33
38816	COMM	313385YN0	FHDM DISC NOTE	Redemption	UBS FINANCE	FHLM DISCOUNT		250,000,000.00		250,000,000.00
38817	COMM	36960MFR9	GE DISC NOTE MAT	Redemption	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000.00			150,000,000.00
36893	COMM	05882QAD9	BMWOT 1.16% MAT	Interest	JPMorganChase	BMW VEHICLE			8,870.82	8,870.82
36893	COMM	05882QAD9	BMWOT 1.16% MAT	Interest	JPMorganChase	BMW VEHICLE		736,646.28		736,646.28
38387	COMM	3137AYCE9	FHLMCM 2.682% MAT	Interest	BREAN CAPITAL LLCFHLMC Multi-Family			44,700.00		44,700.00
38387	COMM	3137AYCE9	FHLMCM 2.682% MAT	Redemption	BREAN CAPITAL LLCFHLMC Multi-Family					0.00
38391	COMM	3137AYCE9	FHLMCM 2.682% MAT	Interest	BREAN CAPITAL LLCFHLMC Multi-Family			16,762.50		16,762.50
38391	COMM	3137AYCE9	FHLMCM 2.682% MAT	Redemption	BREAN CAPITAL LLCFHLMC Multi-Family					0.00
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Interest	JPMorganChase	BMW VEHICLE			62,666.66	62,666.66
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Redemption	JPMorganChase	BMW VEHICLE	676,902.49			676,902.49
38465	COMM	3137AWQH1	FHLMCM 2.307% MAT	Interest	BREAN CAPITAL LLCFHLMC Multi-Family			10,727.55		10,727.55
38465	COMM	3137AWQH1	FHLMCM 2.307% MAT	Redemption	BREAN CAPITAL LLCFHLMC Multi-Family		127,519.60			127,519.60
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Interest	BOK FINANCIAL	FNMA Multi-Family		5,261.47		5,261.47
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Redemption	BOK FINANCIAL	FNMA Multi-Family			7,925.06	7,925.06
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	BARCLAYS CAPITAL FHLMC Multi-Family			55,333.34		55,333.34
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	BARCLAYS CAPITAL FHLMC Multi-Family		426,843.79			426,843.79
38664	COMM	3136B1XP4	FNMM 3.56% MAT	Interest	VINING SPARKS IBG FNMA Multi-Family			13,350.00		13,350.00
38664	COMM	3136B1XP4	FNMM 3.56% MAT	Redemption	VINING SPARKS IBG FNMA Multi-Family					0.00
38665	COMM	3136B1XP4	FNMM 3.56% MAT	Interest	JPMorganChase	FNMA Multi-Family		13,350.00		13,350.00
38665	COMM	3136B1XP4	FNMM 3.56% MAT	Redemption	JPMorganChase	FNMA Multi-Family	106,932.65			106,932.65
38666	COMM	3137AYCE9	FHLMCM 2.682% MAT	Interest	BREAN CAPITAL LLCFHLMC Multi-Family			26,250.07		26,250.07
38666	COMM	3137AYCE9	FHLMCM 2.682% MAT	Redemption	BREAN CAPITAL LLCFHLMC Multi-Family		261,342.52			261,342.52
Totals for 06/25/2018							399,979,374.98	402,341,448.80	259,936.00	2,622,009.82
38820	COMM	313385YQ3	FHDM DISC NOTE	Purchase	UBS FINANCE	FHLM DISCOUNT	249,987,291.65			-249,987,291.65
38821	COMM	36960MFT5	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		149,992,083.33			-149,992,083.33
38818	COMM	313385YP5	FHDM DISC NOTE	Redemption	UBS FINANCE	FHLM DISCOUNT		250,000,000.00		250,000,000.00
38819	COMM	36960MFS7	GE DISC NOTE MAT	Redemption	GENERAL ELECTRICGENERAL ELECTRIC		150,000,000.00			150,000,000.00
Totals for 06/26/2018							399,979,374.98	400,000,000.00		20,625.02
38822	COMM	313385YR1	FHDM DISC NOTE	Purchase	UBS FINANCE	FHLM DISCOUNT	159,992,000.00			-159,992,000.00
38823	COMM	313385YR1	FHDM DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		89,995,550.00			-89,995,550.00
38825	COMM	36960MFU2	GE DISC NOTE MAT	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		49,997,361.11			-49,997,361.11
38824	COMM	36164KFU0	GE CAP DISC NOTE	Purchase	GENERAL ELECTRICGENERAL ELECTRIC		49,997,361.11			-49,997,361.11
38826	COMM	931142EG4	WALMART INC, SR	Purchase	CITIGROUP GLOBAL WALMART		39,987,600.00			-39,987,600.00
38820	COMM	313385YQ3	FHDM DISC NOTE	Redemption	UBS FINANCE	FHLM DISCOUNT		250,000,000.00		250,000,000.00

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38821	COMM	36960MFT5	GE DISC NOTE MAT	Redemption	GENERAL	ELECTRICGENERAL ELECTRIC	389,969,872.22	400,000,000.00		150,000,000.00
<b>Totals for 06/27/2018</b>										10,030,127.78
38828	COMM	313385YY6	FHDN DISC NOTE	Purchase	UBS FINANCE	FHLB DISCOUNT	199,935,833.32			-199,935,833.32
38827	COMM	3134GSPR0	FHLMC 3% MAT	Purchase	INCAPITAL LLC	FHLMC NOTES	22,000,000.00			-22,000,000.00
38829	COMM	36960MFTV0	GE DISC NOTE MAT	Purchase	GENERAL	ELECTRICGENERAL ELECTRIC	149,992,083.33			-149,992,083.33
38271	COMM	3130AD3E0	FEDERAL HOME	Redemption	DEUTSCHE BANK	FHLB NOTES		50,000,000.00		50,000,000.00
38336	COMM	22534HY88	CRA 1.81% MAT	Redemption	ROYAL BANK OF	CREDIT AGRICOLE		50,000,000.00		50,000,000.00
38822	COMM	313385YR1	FHDN DISC NOTE	Redemption	UBS FINANCE	FHLB DISCOUNT		160,000,000.00		160,000,000.00
38823	COMM	313385YR1	FHDN DISC NOTE	Redemption		FHLB DISCOUNT		90,000,000.00		90,000,000.00
38824	COMM	36164KFU0	GECAP DISC NOTE	Redemption	GENERAL	ELECTRICGENERAL ELECTRIC		50,000,000.00		50,000,000.00
38825	COMM	36960MFTU2	GE DISC NOTE MAT	Redemption	GENERAL	ELECTRICGENERAL ELECTRIC		50,000,000.00		50,000,000.00
36845	COMM	3136G3TD7	FEDERAL NATL MTGInterest		BREAN CAPITAL LLC	FNMA NOTES		50,000,000.00	77,880.00	77,880.00
36846	COMM	3136G3TB1	FEDERAL NATL MTGInterest		INCAPITAL LLC	FHLMC NOTES			66,275.00	66,275.00
36848	COMM	3134G8ZR1	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			53,125.00	53,125.00
36849	COMM	3136G3SQ9	FEDERAL NATL MTGInterest		INCAPITAL LLC	FHLMC NOTES			143,750.00	143,750.00
37289	COMM	3130AADN5	FEDERAL HOME Interest		INCAPITAL LLC	FHLMC NOTES			275,000.00	275,000.00
38271	COMM	3130AD3E0	FEDERAL HOME LN Interest		DEUTSCHE BANK	FHLB NOTES			79,136.10	79,136.10
38334	COMM	3134GSAC9	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			102,500.00	102,500.00
38336	COMM	22534HY88	CRA 1.81% MAT	Interest	ROYAL BANK OF	CREDIT AGRICOLE			457,527.78	457,527.78
38544	COMM	3133EJHS1	FEDERAL FARM CR Interest		INCAPITAL LLC	FFCB NOTES			137,812.50	137,812.50
<b>Totals for 06/28/2018</b>							371,927,916.65	450,000,000.00	1,393,006.38	79,465,089.73
38830	COMM	084670BQ0	BERKSHIRE	Purchase	INCAPITAL LLC	BERKSHIRE	9,915,455.56			-9,915,455.56
38831	COMM	3133EJSU4	FEDERAL FARM CR	Purchase	KEYBANC CAPITAL	FFCB NOTES	10,004,808.33			-10,004,808.33
35816	COMM	3130A4UP5	FEDERAL HOME	Redemption	WELLS FARGO	FHLB NOTES		25,000,000.00		25,000,000.00
35893	COMM	3134G8TF0	FEDERAL HOME LN	Redemption	Daiwa Capital Market	FHLMC NOTES		25,000,000.00		25,000,000.00
36796	COMM	3130A8BD4	FEDERAL HOME	Redemption	CITIGROUP GLOBAL	FHLB NOTES		30,000,000.00		30,000,000.00
38829	COMM	36960MFTV0	GE DISC NOTE MAT	Redemption	GENERAL	ELECTRICGENERAL ELECTRIC		150,000,000.00		150,000,000.00
35816	COMM	3130A4UP5	FEDERAL HOME	Interest	WELLS FARGO	FHLB NOTES			131,250.00	131,250.00
35893	COMM	3134G8TF0	FEDERAL HOME LN	Interest	Daiwa Capital Market	FHLMC NOTES			116,250.00	116,250.00
36796	COMM	3130A8BD4	FEDERAL HOME	Interest	CITIGROUP GLOBAL	FHLB NOTES			131,250.00	131,250.00
37300	COMM	3133EG2P9	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			116,000.00	116,000.00
37301	COMM	3133EG2P9	FEDERAL FARM CR	Interest	Daiwa Capital Market	FFCB NOTES			174,000.00	174,000.00
<b>Totals for 06/29/2018</b>							19,920,263.89	230,000,000.00	668,750.00	210,748,486.11
35886	COMM	912828VK3	UNITED STATES	Redemption	MERRILL LYNCH	U.S. TREASURY		25,000,000.00		25,000,000.00
38338	COMM	912828VK3	UNITED STATES	Redemption	DEUTSCHE BANK	U.S. TREASURY		30,000,000.00		30,000,000.00
35886	COMM	912828VK3	UNITED STATES	Interest	MERRILL LYNCH	U.S. TREASURY			171,875.00	171,875.00
38338	COMM	912828VK3	UNITED STATES	Interest	DEUTSCHE BANK	U.S. TREASURY			206,250.00	206,250.00
<b>Totals for 07/02/2018</b>								55,000,000.00	378,125.00	55,378,125.00

Portfolio SCL2

AP

TA (PRF\_TA) 7.1.1

Report Ver. 7.3.6.1

Run Date: 07/19/2018 - 08:30

SANTA CLARA COUNTY INVESTMENTS

Transaction Activity Report

Sorted by Transaction Date - Transaction Date

Page 27

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
Grand Total							30,869,440,875.	30,752,875,213.	20,502,003.50	-96,063,658.04

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

14.08

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: **Approve/Ratify Notices of Employment and Changes of Status/September 13, 2018/**  
**Human Resources Department**

Staff Analysis:

This item includes recommendations such as new hires, leaves of absence, or other changes in employee status.

Recommendation:

The Board is requested to approve the hiring of any new employee, and any change of status for existing employees.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:  
**Recommend Approval**

Meeting: September 13, 2018  
Regular Board Meeting

14.08  
Agenda Placement

Hilaria Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-  
HUMAN RESOURCES DEPARTMENT  
MEETING OF THE BOARD OF TRUSTEES  
September 13, 2018**

**CERTIFICATED OFFERS OF EMPLOYMENT:**

**I. New Hires:**

1. Cloutier, Jennifer	Core/Mathson Middle school	08/21/2018
2. Guerrero, Haydee	Core/Ocala Middle School	08/21/2018
3. Guerrero, Tanya	Speech Therapist/Adelante Academy I	08/15/2018
4. Hernandez, Steven	P.E./George Middle School	08/23/2018
5. Johnstone, Amber	Core/Sheppard Middle School	08/23/2018
6. Lange, Brooke	Core/Renaissance Academy I	08/15/2018

**II. Residential Substitute Teacher Contract:**

1. Cook, Steve	08/21/2018-09/28/2018
2. Faines, Anna	08/21/2018-09/28/2018
3. Faines, James	08/21/2018-09/28/2018
4. Harlow, William	08/21/2018-09/28/2018
5. Hawkins, Zane	08/21/2018-09/28/2018
6. Hernandez, Frank	08/21/2018-09/28/2018
7. Loui, Florence	08/21/2018-09/28/2018
8. Manundo, Marlon	08/21/2018-09/28/2018
9. Morquecho, Leticia	08/21/2018-09/28/2018
10. Rojas, Raul	08/21/2018-09/28/2018
11. Sanchez, John	08/21/2018-09/28/2018
12. Solis, Salvador	08/21/2018-09/28/2018

**III. New Hire Substitute Teacher:**

1. Ravishanker, Uma	06/18/2018
2. Tovar, Eglentina	05/21/2018

**IV. Employee Contracts:**

1. Ausin, Lydia	ELPAC Tester	08/21/2018-09/28/2018
2. Bills, Treina	School Counselor Intern	08/01/2018-06/30/2019
3. Campbell, Douglas	Substitute Principal	08/01/2018-06/30/2019
4. Castro, Patrice	ELPAC Tester	08/21/2018-09/28/2018
5. Clay, Gertrude	ELPAC Tester	08/21/2018-09/28/2018
6. Clay, Theresa	ELPAC Tester	08/21/2018-09/28/2018
7. Colon-Arellano, Mildred	Substitute Principal	08/01/2018-06/30/2019
8. Dauch, Lawrence	Substitute Principal	08/01/2018-06/30/2019
9. Duran, Brooke Elke	School Counselor Intern	08/01/2018-06/30/2019
10. Farhoomand, Shabnam O.	School Counselor Intern	08/01/2018-06/30/2019
11. Gonzalez, Raul	School Counselor Intern	08/01/2018-06/30/2019
12. Guardado, Martha	School Counselor Intern	08/01/2018-06/30/2019
13. Henry, Savannah	School Counselor Intern	08/01/2018-06/30/2019
14. Kinoshita, Gloria	ELPAC Tester	08/21/2018-09/28/2018

15. Leon, Oscar	Substitute Principal	08/01/2018-06/30/2019
16. London, Corinne	Behavior Support	09/20/2018-06/30/2019
17. Lynn, Pam	ELPAC Tester	08/21/2018-09/28/2018
18. Martin, Marisol Zavaleta	ELPAC Tester	08/21/2018-09/28/2018
19. Moran, Elba	ELPAC Tester	08/21/2018-09/28/2018
20. Moreno, Cristian	School Counselor Intern	08/08/2018-06/14/2019
21. Nielsen, Susan	ELPAC Tester	08/21/2018-09/28/2018
22. Park, Grace Hyewon	School Counselor Intern	08/01/2018-06/30/2019
23. Pimentel-Lopez, Berenice	School Counselor Intern	08/01/2018-06/30/2019
24. Ploch-Branscum, Ryan Allan	School Counselor Intern	08/01/2018-06/30/2019
25. Rice, Amber Leann	School Counselor Intern	08/01/2018-06/30/2019
26. Rocha, Maria Elena	ELPAC Tester	08/21/2018-09/28/2018
27. Rojas-Gonzales, Evelia	ELPAC Tester	08/21/2018-09/28/2018
28. Sanchez, Mario	Substitute Principal	08/01/2018-06/30/2019
29. Siegfried, Margaret	BTSA Advisor	08/15/2018-06/30/2019
30. Stephens Denis Dutkiewicz	Substitute Principal	08/01/2018-06/30/2019
31. Teer, Megan	School Counselor Intern	08/01/2018-06/30/2019
32. Wagner, Marilyn	ELPAC Tester	08/21/2018-09/28/2018
33. Wolford, Margaret	ELPAC Tester	08/21/2018-09/28/2018
34. Young, Hannah Caitlin	School Counselor Intern	08/01/2018-06/30/2019

#### **CERTIFICATED CHANGES OF STATUS:**

##### **V. Changing from PLOA 2018/19 status to Retirement:**

1. Barboza, Dennis	Core/George Middle School	06/15/2018
--------------------	---------------------------	------------

##### **VI. Rehired from Temporary Contract for the 2018-19 School Year and Change of Status Effective 08/15/2018:**

1. Astorga, Jose A	Arbuckle Elementary	Probationary 0
2. Brundidge, Kaitlin Faye	L.U.C.H.A. Academy	Probationary 0
3. Buchanan, Blake Harlan	Dorsa Elementary	Probationary 0
4. Cervantes, Alondra Rios	George Middle School	Temporary
5. Dumpson, Claudia Marie	Adelante Academy II	Probationary 0
6. Dunn, Nicole Rose	Renaissance Academy II	Probationary II
7. Estrada, Luis Angel	Adelante Academy I	Temporary
8. Francis, Mark Jensen	Adelante Academy II	Probationary 0
9. Gonzalez, Rosa	Ocala Middle School	Temporary
10. Gutierrez, Christina Alisia	Mathson Middle School	Probationary 0
11. Hinsch, Laura Jane	Renaissance Academy I	Probationary 0
12. Miller, Haley Elizabeth	Arbuckle Elementary	Temporary
13. Morales, Elizabeth	Adelante Academy II	Temporary
14. O'Neill, Melanie M	Arbuckle Elementary	Probationary 0
15. Perez, Gabriela Aquino	Adelante Academy II	Probationary 0
16. Perez-Carrillo, Jennifer	Adelante Academy I	Probationary 0
17. Rivas, Sandra Farias	Adelante Academy II	Probationary 0
18. Rodrigues, Amy	Student Services Dept.	Probationary I
19. Stamborski, Anna	Ocala Middle School	Probationary II
20. Strand, Alyssa Monika	V.A.P.A. Program	Probationary 0
21. Urena, Alondra Beas	L.U.C.H.A. Academy	Probationary I
22. Vega, Benjamin	V.A.P.A. Program	Probationary 0
23. Vu, Minh Hieu Thi	L.U.C.H.A. Academy	Probationary 0

**VII. ED Code Assignment 44831 2018/2019:**

Chan, Sarah-Speech Therapist/Special Education Department

Under the provisions of this statute, the governing board of a school district or a county office of education may contract or employ an individual to provide speech and language services if the individual has verified the following: 1) master's degree in communicative disorders, 2) valid license issued by the Speech-Language Pathology and Audiology Board, 3) passage of the California Basic Educational Skills Test (CBEST), and 4) the criminal record summary pursuant to EC §44332.6.

**VIII. Provisional Internship Permit**

- |                            |                             |
|----------------------------|-----------------------------|
| 1. Cervantes, Alondra Rios | SDC/George Middle School    |
| 2. Johnstone, Amber        | Core/Sheppard Middle School |

**IX. Probationary 0 Status to Probationary II Status Effective 8/15/2018:**

- |                              |                            |
|------------------------------|----------------------------|
| 1. Alfaro, Sabrina Monica    | Cassell Elementary         |
| 2. Brown, Obafemi Abdu       | Hubbard Media Arts Academy |
| 3. Flores Jr, Luis Enrique   | Ocala Middle School        |
| 4. Sriprasad, Anusha Sandhya | Painter Elementary         |
| 5. Wehner, Joan              | Cureton Elementary         |

**X. Probationary 0 Status to Permanent Status Effective 8/15/2018:**

- |                              |                            |
|------------------------------|----------------------------|
| 1. Bohigian, Kyle Jacob      | Hubbard Media Arts Academy |
| 2. Flores, Julieta Guadalupe | Mathson Middle School      |
| 3. Guzman, Elizabeth Nunez   | Adelante Academy I         |
| 4. Hare, Kayla Diane         | Ocala Middle School        |

**XI. Probationary I Status to Probationary II Status Effective 8/15/2018:**

- |                                |                       |
|--------------------------------|-----------------------|
| 1. Abad, Melanie Ann           | Fischer Middle School |
| 2. Aquino, Rosa Elia           | Lyndale Elementary    |
| 3. Bamberg, Madelyn Marie      | Cassell Elementary    |
| 4. Bennett, Jessica Megan      | L.U.C.H.A. Academy    |
| 5. Chan, Irene Ian Wai         | Dorsa Elementary      |
| 6. Conklin, Julia Yvette       | Mathson Middle School |
| 7. Franco, Brittany Lynne      | Chavez Elementary     |
| 8. Garcia, Xochilt Sanchez     | Ocala Middle School   |
| 9. George, Marisa L            | Fischer Middle School |
| 10. Guerrero, Jaime            | Adelante Academy I    |
| 11. Hernandez, Taylor Alyssa   | George Middle School  |
| 12. Herrera, Alejandro Rosendo | George Middle School  |
| 13. Larios, Maria              | Russo/McEntee Academy |
| 14. Lozano, Francisco          | Adelante Academy I    |
| 15. Manluco, Joseph Matthew    | Mathson Middle School |
| 16. McDonnal, Karen Leong      | Lyndale Elementary    |
| 17. Miller, Christopher J      | Cureton Elementary    |
| 18. Moran, Maria               | Russo/McEntee Academy |
| 19. Pellegrino, Liana Noelle   | Ryan Elementary       |
| 20. Penaloza, Daisy Trujillo   | Dorsa Elementary      |

**XI. Probationary I Status to Probationary II Status Effective 8/15/2018 (continued):**

21. Porter, Linda	Dorsa Elementary
22. Ramirez, Zonia	Adelante Academy I
23. Serra, Vanesa Perez	Adelante Academy I
24. Springer, Jesse Loren Smith	Sheppard Middle School
25. Swank, Radel Susan	Dorsa Elementary
26. Sylvester, Jasmin Daniela	Special Education Dept.
27. Tarde, Ketki Pravin	George Middle School
28. Ury, Patricia Joan	Renaissance Academy II
29. Woods, Jasmine	Sheppard Middle School

**XII. Probationary II Status to Permanent Status Effective 8/15/2018:**

1. Alderette, Jennifer	Cassell Elementary
2. Ambard, April Heather	McCollam Elementary
3. Anderson, Allison Rose	Special Education Dept.
4. Apilado, Aaron Jed Belen	Hubbard Media Arts Academy
5. Berryessa, Kathleen Kristine	Hubbard Media Arts Academy
6. Bisaccia, Nichole Marie	George Middle School
7. Briones, Marisol Elena	Linda Vista Elementary
8. Brooks, Martin Alan	Aptitud Community At Goss
9. Chan, Sarah	Meyer Elementary
10. Dearing, Brett Aryn	Cureton Elementary
11. Dela Cruz, Kenneth	Special Education Dept.
12. DeSimone, Vito G	Sheppard Middle School
13. Duncan, Emily E	George Middle School
14. Dutra, Patricia Louise Marie	Lyndale Elementary
15. Elemen, Annette Marie	L.U.C.H.A. Academy
16. Gonzalez, Maricruz	Adelante Academy I
17. Goodwin, Jacqueline Lashon	Renaissance Academy I
18. Grant, Erika Lynn	Renaissance Academy I
19. Greensides, Karen Jeanne	Meyer Elementary
20. Gregoire, Nicole Renee	McCollam Elementary
21. Hanna, Nadia	Linda Vista Elementary
22. Harris, Amber	Fischer Middle School
23. Huang, Teresa M	Hubbard Media Arts Academy
24. Husain, Sarah Ali	L.U.C.H.A. Academy
25. Johnston, Clarissa Ann Harvey	Ryan Elementary
26. Kaur, Jaskamal	Arbuckle Elementary
27. Korten, Monica Turrey	Aptitud Community At Goss
28. Lee-Curtis, Kianga Shani	Mathson Middle School
29. Leyba, Marisol Andrade	McCollam Elementary
30. Lozano, Lorena	Sheppard Middle School
31. Macias, Marisa Celina	Sheppard Middle School
32. Mandell, Taylor Michele	Aptitud Community At Goss
33. Morton, Andrea Elizabeth	Renaissance Academy I
34. Nielsen, Tobi Stevens	Aptitud Community At Goss
35. Ochinero, Sinead Una Ann	Aptitud Community At Goss
36. Ordaz, Shaylyn Norene	George Middle School
37. Pcholkin, Nicholas	Hubbard Media Arts Academy

**XII. Probationary II Status to Permanent Status Effective 8/15/2018 (continued):**

38. Pham, Thao Le Thu	Special Education Dept.
39. Picasso, Jessica	Linda Vista Elementary
40. Reeves, Nannette Gunter	Cassell Elementary
41. Richardson, Patricia	Renaissance Academy II
42. Rivas, Allison Bautista	Cureton Elementary
43. Robinson, Amanda Kelly	Sheppard Middle School
44. Rodrigues, Brad Steven	Renaissance Academy II
45. Samples, Rachel	George Middle School
46. Sigmon, Audrey Ellen	Dorsa Elementary
47. Thomas, Maria Tomly C	Mathson Middle School
48. Thralls, Gregory Richard	Hubbard Media Arts Academy
49. Wade, Jountey D	McCollam Elementary

## **CLASSIFIED PERSONNEL – September 13, 2018**

### **CLASSIFIED OFFERS OF EMPLOYMENT:**

#### **I. New Hire:**

1. Chandler, April Isabella	Paraeducator Special Education I / Ocala	08/20/18
2. Coronel, Anamaria Beltran	Bus Monitor/Special Education Services	05/18/18
3. Cruz, Brianda G Pacheco	Community Liaison / Russo-McEntee	08/20/18
4. Gonzalez, Grisel Guadalupe	Health Assistant/Painter	08/20/18
5. Escobar, Claudia Marcela	Office Assistant III/Child Nutrition Services	08/17/18
6. Hernandez, Magdalia Robles	Bus Monitor/Special Education Services	04/24/18
7. Herr, Poua Karen	Library & Learning Center Assistant/ Arbuckle & Aptitud	08/20/18
8. Razo, Bertha	Bus Monitor/Special Education Services	04/30/18
9. Soliveres, Shella E.	Paraeducator Special Education I/Meyer	08/20/18

#### **II. Change of Status:**

1. Bautista, Alejandra Guadalupe Basulto	School Administrative Assistant/Ryan	08/08/18
2. Robles, Maria Concepcion	Child Nutrition Assistant I/Hubbard	08/20/18

#### **III. Contracted Employee:**

1. Ames, Ruth Ann-Moline	Piano Accompanist/VAPA Program	08/28/18-06/30/19
2. Talo, Matthew	Audio Technician/VAPA Program	08/20/18-06/30/19
3. Nguyen, Vincent	Audio Technician/VAPA Program	08/20/18-06/30/19

#### **IV. Classified Substitute Personnel:**

1. Ceja, Mayra Castaneda	Substitute Child Nutrition	08/24/18
2. Contreras, Roberto Jr.	Substitute Maintenance	08/22/18
3. Delgado, Isabel C. S.	Substitute Custodian	07/23/18
4. Fabes, Betzabe L.V.	Substitute Paraeducator	08/22/18
5. Garcia, Cesar Edgardo	Substitute Custodian	07/23/18
6. Khakipour, Mayam	Substitute Paraeducator	08/22/18
7. Martinez, Alfredo V.	Substitute Custodian	07/23/18
8. Olmedo, Ramon F.	Substitute Paraeducator	08/22/18
9. Perez, Destiny P.	Substitute Clerical	07/23/18
10. Villalobos, Lesly	Substitute Paraeducator	08/22/18
11. Zapien, Ymelda	Substitute Clerical	07/23/18

#### **V. Classified Substitute Terminated:**

1. Lam Vo, Josephine	Substitute Clerical	08/10/17
2. Padilla, Jessica	Substitute Paraeducator	08/17/18
3. Rojas, Gabriela H.	Substitute Paraeducator	08/23/18

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

14.09

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: Out of State Travel

**Harvard Law School: Negotiation and Leadership Program  
October 14 – 18, 2018  
Cambridge, MA**

Summary:

The Harvard Law School: Negotiation and Leadership Program distills cutting-edge research and real-world examples into three days of targeted executive education negotiation training. Attendees will examine complex negotiation scenarios and discover a range of competitive and cooperative negotiation strategies taught by top negotiation experts. They will learn to sharpen their negotiation skills, improve working relationships, and achieve better outcomes. Harvard Law School: Program on Negotiation and Leadership will provide intense training on negotiations. Total estimated cost \$32,682.

Recommendation:

The staff recommends approval of attendance to Harvard Law School: Negotiation & Leadership Program for Dr. Hilaria Bauer, Vince Iwasaki, Tereasa Smith, Kolvira Chheng, Carlos Moran and Avtar Gill.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:

**Recommend Approval**

Meeting:

September 13, 2018

Board Meeting

14.09  
Agenda Placement

H. Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## TRAVEL APPROVAL FORM Attach Supporting Document

Date of Request: 8/9/2018

1. Program Manager / Principal: Board President Dept. / School Site: Superintendent's Office
2. Title of Conference / Meeting: Harvard Law School: Negotiation & Leadership Program
3. Place: Cambridge, MA
4. Purpose: To attend the Harvard Law School: Negotiation & Leadership Program
5. Date (s) of Conference / Meetings: October 15 - 17, 2018
6. Principal / Program Manager Approval: [Signature] Date: 8-9-18
7. Departure Date / Time: October 14, 2018 Hour: AM ☐ PM ☒
8. Return Date / Time: October ~~17~~, 2018 18, 2018 Hour: AM ☐ PM ☒
9. Human Resources:

Names(s) of Employees Attending	Sub?		Bilingual		Sub Request?: (Name)	Code Sub To:
	Yes	No	Yes	No		
<u>Hilaria Bauer</u>		<input checked="" type="checkbox"/>				
<u>Vince Iwasaki</u>		<input checked="" type="checkbox"/>				
<u>Tereasa Smith</u>		<input checked="" type="checkbox"/>				
<u>Kolvira Chheng</u>		<input checked="" type="checkbox"/>				
<u><del>Dess/Sehna</del> Carlos Moran</u>		<input checked="" type="checkbox"/>				
<u>Avtar Gill</u>		<input checked="" type="checkbox"/>				

Substitute: ☐ Available ☐ Unavailable ☐ No Sub Needed

Remarks: \_\_\_\_\_

Human Resources Dept. Approval: ☐ Yes ☐ No

Asst. Superintendent of Human Resources \_\_\_\_\_ Date \_\_\_\_\_

### 10. Business Services:

Cost Description	Total Estimated Costs	Reimbursement Requested
Transportation	\$ <b>600.00</b>	\$
Registration	<b>2,997.00</b>	
Lodging	<b>1,600.00</b>	
Meals	<b>150.00</b>	
Shuttle / Parking	<b>100.00</b>	
Other		
Total	\$ <b>5,447.00 /EA</b>	\$

**Attach Purchase Order(s), Invoice(s) and Registration Form(s).**

11. Account Code: HB,VI,TS: 03-710-71100-5200//KC: 03-400-7200-5200//JS 03-600-77000-5200//

Approved by: \_\_\_\_\_ Approved By: [Signature] AG: 03-460-7900-5200

Business Services / Purchasing

Date

Superintendent

Date

1. This form must be completed and submitted at least 60 days prior to the proposed trip.
2. Cash advance request, submit the completed form 60 days prior to cash advance due date.
3. The approved yellow travel approval copy will be returned to the originator. This authorization must be received by the originator before the trip begins.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

14.10

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: Out of State Travel

**Harvard Law School: Negotiation and Leadership Program  
October 14 – 18, 2018  
Cambridge, MA**

Summary:

The Harvard Law School: Negotiation and Leadership Program distills cutting-edge research and real-world examples into three days of targeted executive education negotiation training. Attendees will examine complex negotiation scenarios and discover a range of competitive and cooperative negotiation strategies taught by top negotiation experts. They will learn to sharpen their negotiation skills, improve working relationships, and achieve better outcomes. Harvard Law School: Program on Negotiation and Leadership will provide intense training on negotiations. Total estimated cost \$27,175.

Recommendation:

The staff recommends approval of attendance to Harvard Law School: Negotiation & Leadership Program for Sandra Rivera, Ryan Jaegar, Alfonso Urango, Melody Todd and LeAnne Depew.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:

**Recommend Approval**

Meeting:

September 13, 2018

Board Meeting

14.10  
Agenda Placement

Hilaria Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## TRAVEL APPROVAL FORM Attach Supporting Document

Date of Request: 9/7/18

1. Program Manager / Principal: Carlos Moran Dept. / School Site: Human Resources/KMc
2. Title of Conference / Meeting: Harvard Law School: Negotiation & Leadership Program
3. Place: Cambridge, MA
4. Purpose: Professional development at Harvard Negotiation & Leadership Program
5. Date (s) of Conference / Meetings: October 15-17, 2018
6. Principal / Program Manager Approval: C. Moran Date: 9/7/18
7. Departure Date / Time: October 14, 2018 Hour: AM ☒ PM ☐
8. Return Date / Time: October 18, 2018 Hour: AM ☐ PM ☒
9. Human Resources:

Names(s) of Employees Attending	Sub?		Bilingual		Sub Request?: (Name)	Code Sub To:
	Yes	No	Yes	No		
Sandra Rivera	X					036007700 0
Ryan Jaeger	X					036007700 0
Melody Todd		X				
LeAnne Depew	X					036007700 0
Alfonso Urango	X					036007700 0

Substitute: ☐ Available ☐ Unavailable ☐ No Sub Needed

Remarks: \_\_\_\_\_

Human Resources Dept. Approval: ☒ Yes ☐ No

C. Moran  
Asst. Superintendent of Human Resources Date

### 10. Business Services:

Cost Description	Total Estimated Costs	Reimbursement Requested
Transportation	\$ 650.00	\$
Registration	2,997.00	
Lodging	1,600.00	
Meals	88.00	
Shuttle / Parking	100.00	
Other		
Total	\$ 5,435.00 each	\$

Attach Purchase Order(s), Invoice(s) and Registration Form(s).

11. Account Code: 03 600 7700 0 5200 (HR)

Approved by: \_\_\_\_\_ Date: \_\_\_\_\_ Approved By: \_\_\_\_\_ Date: \_\_\_\_\_  
Business Services / Purchasing Superintendent

1. This form must be completed and submitted at least 60 days prior to the proposed trip.
2. Cash advance request, submit the completed form 60 days prior to cash advance due date.
3. The approved yellow travel approval copy will be returned to the originator. This authorization must be received by the originator before the trip begins.

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: Out of State Travel

**NEU National Conference  
Las Vegas, NV  
October 17-20, 2018**

**Summary:**

No Excuses University is a network of schools that strongly believe that every child deserves to get the opportunity to be rigorously and adequately prepared for college. Through leadership trainings, staff PDs, resources and a stringent application process, Ocala STEAM Academy shall be immersed in a culture of universal achievement. This critical component strengthens the belief of the school community that all students are capable of meeting high academic standards that will turn the vision of college to a reality. This central school culture belief shall drive collaboration, aligning of behavior and academic standards, assessment of standards and management of data. Through this guided process and the rich resources of No Excuses University National Conference, the Ocala Staff shall have the tools to pursue a purposeful and meaningful system of interventions for every student. Estimated cost \$6657.87.

**Recommendation:**

Staff recommends approval of out-of-state travel Tracy Leathers, Alfredo Acosta, Shawn Lewis and Michael Weiler.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:  
**Recommend Approval**

Meeting: September 13, 2018  
Regular Board Meeting

14.11  
Agenda Placement

Hilaria Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## TRAVEL APPROVAL FORM Attach Supporting Document

Date of Request: 6/29/18

1. Program Manager / Principal: Tracy Leathers Dept. / School Site: Ocala

2. Title of Conference / Meeting: NEU National Conference

3. Place: Las Vegas, NV

4. Purpose: No Focuses University annual network conference review and renewal process

5. Date (s) of Conference / Meetings: Oct 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31

6. Principal / Program Manager Approval: [Signature] Date 6/29/18

7. Departure Date / Time: 10/17 3:00 PM Hour: AM ☐ PM ☒

8. Return Date / Time: 10/21 2:00 PM Hour: AM ☐ PM ☐

9. Human Resources:

Names(s) of Employees Attending	Sub? Yes No	Bilingual Yes No	Sub Request?: (Name)	Code Sub To:
Tracy Leathers	<input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>		062601190
Alfred Acosta	<input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>		
Shawn Lewis	<input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>		
Michael Weiden	<input checked="" type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>		
	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>		
	<input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/>		

Substitute: ☐ Available ☒ Unavailable ☐ No Sub Needed

Remarks:

Human Resources Dept. Approval: ☒ Yes ☐ No

[Signature] 8/23/18  
Asst. Superintendent of Human Resources Date

### 10. Business Services:

Cost Description	Total Estimated Costs	Reimbursement Requested
Transportation <u>12.00</u>	\$ <u>1412.00</u>	\$
Registration	<u>2300.00</u>	
Lodging	<u>2745.89</u>	
Meals	<u>200.00</u>	
Shuttle / Parking		
Other		
Total	\$ <u>6657.89</u>	\$

Attach Purchase Order(s), Invoice(s) and Registration Form(s).

11. Account Code: 0520050005200

Approved by: \_\_\_\_\_ Date \_\_\_\_\_ Approved By: \_\_\_\_\_ Date \_\_\_\_\_  
Business Services / Purchasing Superintendent

- This form must be completed and submitted at least 60 days prior to the proposed trip.
- Cash advance request, submit the completed form 60 days prior to cash advance due date.
- The approved yellow travel approval copy will be returned to the originator. This authorization must be received by the originator before the trip begins.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

14.12

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: Out of State Travel

**VILs Coach Camp  
October 22 – 25, 2018  
Atlanta, GA**

Summary:

VILs Coach Camp will provide opportunities to explore resources, tools, and coaching best practices to support implementation of VILs program. Attendees will learn collaboratively by working with VILs coaches, principals and mentors from previous cohorts to review processes and procedures, ask questions, network and learn best practices for coaching faculty with implementation of transformative teacher practices. No cost.

Recommendation:

The staff recommends approval of attendance to VILs Coach Camp for Katherine Grunewald.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:

**Recommend Approval**

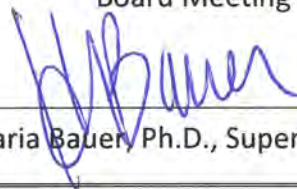
Meeting:

September 13, 2018

Board Meeting

14.12

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

92:11:11 8702 P  
P 4 2018 AM 11:25  
HUMAN RESOURCES

## TRAVEL APPROVAL FORM Attach Supporting Document

Date of Request: 8/28/18

1. Program Manager / Principal: Imee Almazan Dept. / School Site: Fischer

2. Title of Conference / Meeting: VILS Garch Camp

3. Place: Atlanta GA

4. Purpose: Coaching best practices to support implementation of the VILS program

5. Date (s) of Conference / Meetings: Oct. 22-25 2018

6. Principal / Program Manager Approval: [Signature] Date: 8/28/18

7. Departure Date / Time: Oct 21, 2018 7 Hour: AM ☒ PM ☐

8. Return Date / Time: Oct 26, 2018 5 Hour: AM ☐ PM ☒

9. Human Resources:

Names(s) of Employees Attending	Sub?		Bilingual		Sub Request?: (Name)	Code Sub To:
	Yes	No	Yes	No		
<u>Katherine Gurneveld</u>		<input checked="" type="checkbox"/>				

Substitute: ☐ Available ☐ Unavailable ☐ No Sub Needed

Remarks: \_\_\_\_\_

Human Resources Dept. Approval: ☐ Yes ☐ No

Asst. Superintendent of Human Resources \_\_\_\_\_ Date \_\_\_\_\_

### 10. Business Services:

Cost Description	Total Estimated Costs	Reimbursement Requested
Transportation	\$ <u>0</u>	\$
Registration		
Lodging		
Meals		
Shuttle / Parking		
Other		
Total	\$ <u>0</u>	\$

Attach Purchase Order(s), Invoice(s) and Registration Form(s).

11. Account Code: \_\_\_\_\_

Approved by: \_\_\_\_\_ Date \_\_\_\_\_ Approved By: \_\_\_\_\_ Date \_\_\_\_\_  
Business Services / Purchasing Superintendent

- This form must be completed and submitted at least 60 days prior to the proposed trip.
- Cash advance request, submit the completed form 60 days prior to cash advance due date.
- The approved yellow travel approval copy will be returned to the originator. This authorization must be received by the originator before the trip begins.

14.13

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: September 4, 2018

**Subject:** **Approve Education Protection Account (EPA)  
Spending Plan for 2018-19**

**Staff Analysis:** Proposition 30 provides that all K-14 local agencies have the sole authority to determine how the funds received from the EPA are spent, but with these provisions:

*The spending plan must be approved by the governing board during a public meeting;*

*EPA funds cannot be used for salaries or benefits of administrators or any other administrative costs (as determined through the account code structure);*

*Each year, the local agency must publish on its website an accounting of how much money was received from the EPA, and how the funds were expended.*

In addition, there will now be a requirement for the annual financial audit to include verification that the EPA funds were used as specified by Proposition 30, and the additional costs of the audit would be an allowable expense from the EPA. If EPA funds are not expended in accordance with the requirements of Proposition 30, civil or criminal penalties could be incurred.

The District's spending plan is as follows: salary and benefit expenditures for teachers in the amount of \$11,366,818 (the estimated amount of EPA funds to be received quarterly during the last ten days of September, December, March, and June for the 2018-19 fiscal year) will be transferred from resource 0000 (unrestricted) to resource 1400 (Education Protection Act). No administrative costs will be charged to the EPA resource.

**Recommendation:** Staff recommends that the Board of Trustees approve the Education Protection Account (EPA) Spending Plan for 2018-19.

Approved by: Kolvira Chheng  Title: Director of Fiscal Services, Business Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Recommend Approval**

14.13  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

# Alum Rock Union Elementary (69369) -

## EDUCATION PROTECTION ACCOUNT

	2017-18	2018-19	2019-20	2020-21
<b>EDUCATION PROTECTION ACCOUNT (EPA) MINIMUM</b>				
A-1 Total ADA for EPA Minimum	9,627.06	9,256.43	9,006.02	8,742.22
A-2 Minimum Funding per ADA	200	200	200	200
A-3 EPA Minimum Funding (A-1 * A-2)	1,925,412	1,851,286	1,801,204	1,748,444
<b>EPA PROPORTIONATE SHARE CAP</b>				
Adjusted Total Revenue Limit	48,891,313	47,009,058	45,737,343	44,397,627
Current Year Adjusted NSS Allowance	-	-	-	-
B-1 Adjusted Revenue Limit/Adjusted General Purpos	48,891,313	47,009,058	45,737,343	44,397,627
B-2 Local Revenue/In-lieu of Property Taxes	29,413,440	31,686,866	31,460,750	31,212,303
B-3 EPA Proportionate Share Cap (B-1 - B-2; If less tha	19,477,873	15,322,192	14,276,593	13,185,324
<b>EPA PROPORTIONATE SHARE</b>				
C-1 Adjusted Revenue Limit/Adjusted General Purpos	48,891,313	47,009,058	45,737,343	44,397,627
C-2 Statewide EPA Proportionate Share Ratio	24.000000000%	24.000000000%	24.000000000%	24.000000000%
C-3 EPA Proportionate Share (C-1 * C-2)	11,733,915	11,282,174	10,976,962	10,655,430
<b>EPA ENTITLEMENT</b>				
D-1 EPA Entitlement (if C-3 < B-3, then C-3, else grea	11,733,915	11,282,174	10,976,962	10,655,430
D-2 Miscellaneous Adjustments**	-	-	-	-
D-3 Adjusted EPA Entitlement (D-1 + D-2)	11,733,915	11,282,174	10,976,962	10,655,430
D-4 Prior Year Annual Adjustment	70,373	-	-	-
D-5 P2 Entitlement Net of PY Adjustment	11,804,288	11,282,174	10,976,962	10,655,430
C-2 Statewide EPA Proportionate Share Ratio (Annual	24.000000000%			
Adjusted EPA Allocation (used to calculate LCFF Re	11,733,915	11,282,174	10,976,962	10,655,430

# Aptitud Community Academy at Goss (6046247)

## EDUCATION PROTECTION ACCOUNT

	2017-18	2018-19	2019-20	2020-21
<b>EDUCATION PROTECTION ACCOUNT (EPA) MINIMUM ENTITLEMEN</b>				
A-1 Total ADA for EPA Minimum	426.33	423.22	423.76	423.49
A-2 Minimum Funding per ADA	200	200	200	200
A-3 EPA Minimum Funding (A-1 * A-2)	85,266	84,644	84,752	84,698
<b>EPA PROPORTIONATE SHARE CAP</b>				
Adjusted Total Revenue Limit	-	-	-	-
Current Year Adjusted NSS Allowance	-	-	-	-
B-1 Adjusted Revenue Limit/Adjusted General Purpose Funding for	-	-	-	-
B-2 Local Revenue/In-lieu of Property Taxes	1,292,616	1,292,616	1,292,616	1,296,616
B-3 EPA Proportionate Share Cap (B-1 - B-2; If less than 0, B-3 = 0)	-	-	-	-
<b>EPA PROPORTIONATE SHARE</b>				
C-1 Adjusted Revenue Limit/Adjusted General Purpose Funding for	-	-	-	-
C-2 Statewide EPA Proportionate Share Ratio	24.00000000%	24.00000000%	24.00000000%	24.00000000%
C-3 EPA Proportionate Share (C-1 * C-2)	-	-	-	-
<b>EPA ENTITLEMENT</b>				
D-1 EPA Entitlement (if C-3 < B-3, then C-3, else greater of A-3 or B-3)	85,266	84,644	84,752	84,698
D-2 Miscellaneous Adjustments**	-	-	-	-
D-3 Adjusted EPA Entitlement (D-1 + D-2)	85,266	84,644	84,752	84,698
D-4 Prior Year Annual Adjustment	(14)	-	-	-
D-5 P2 Entitlement Net of PY Adjustment	85,252	84,644	84,752	84,698
C-2 Statewide EPA Proportionate Share Ratio (Annual)	24.00000000%			
Adjusted EPA Allocation (used to calculate LCFF Revenue)	85,266	84,644	84,752	84,698

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 21, 2018

**Subject:**                    **Resolution No. 04-18/19**  
**CREATING A COLLEGE CULTURE**

Creating a college-bound culture supports the district's goal of maintaining high student achievement and providing all students with access to university preparation courses.

**Staff Analysis:**            Creating a college-bound culture in our district provides a framework for staff, parents, and the community to engage in collaboration to prepare all students to attend college. Through a variety of activities for students and their families, Alum Rock schools promote a college-going culture in order to celebrate, inspire, and promote college aspirations for all.

**Recommendation:**    Staff recommends approval of Resolution No. 04-18/19, Creating a College Culture, as presented.

Submitted by: Rene Sanchez

*RS*

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting:            September 13, 2018  
Regular Board Meeting

**Recommend Approval**

*14.14*

Agenda Placement

*H. Bauer*

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**RESOLUTION NO. 04-18/19**  
**CREATING A COLLEGE CULTURE IN THE ALUM ROCK SCHOOL DISTRICT**  
**OCTOBER 2018**

**WHEREAS**, the mission of the Alum Rock Union School District states: We believe that all students can learn, all students can succeed. We recognize and value the diversity of our student population. We believe that diversity enhances students' ability and opportunity to learn. In order to achieve this mission, we have a single goal: to increase the academic achievement of our students.

**WHEREAS**, according to the Alum Rock Union School District Board of Trustees, the parent and community goal for 2018-2019 is to focus on the development and strengthening of a system for increasing parent support of District schools and involvement in student learning; and

**WHEREAS**, the demand for educated workers will rise by 2025, with two out of five jobs requiring a college degree; and

**WHEREAS**, a Bachelor's Degree provides young people with a lifetime income gain of approximately \$1,000,000 and college graduates are 50% more likely to vote; and

**WHEREAS**, we believe every child should have a dream for college or post-secondary education; and

**WHEREAS**, when adults share their belief that everyone can go to college and talk with young people about their education, they inspire young people to design their own pathway to college and demystify college for children and youth;

**NOW, THEREFORE BE IT RESOLVED**, that the Board of Trustees of the Alum Rock Union School District and the Superintendent create a multilingual "College for All" public engagement campaign to inform students, parents and staff of the value of a college education and the requirements necessary for students to enroll in four-year colleges and universities in the 2018-2019 school year; and

**RESOLVED**, further, that the Superintendent establish an implementation committee that collaborates with employee and employer organizations, post-secondary institutions, and other stakeholders, including parents and students, to incorporate community involvement in the development and implementation of the District's strategy; and

**BE IT FURTHER RESOLVED**, that the Alum Rock Union School District encourages every educator and every staff member to inspire and encourage students to believe that they can go to college by creating a college culture.

**PASSED AND ADOPTED** this 13<sup>th</sup> day of September, 2018, by the Board of Trustees of the Alum Rock Union School District, County of Santa Clara, State of California.

Ayes \_\_\_\_\_

Noes \_\_\_\_\_

Absent \_\_\_\_\_

Abstain \_\_\_\_\_

**Board of Trustees:**

Esau Ruiz Herrera, President

Karen Martinez, Vice-President

Dolores Marquéz - Frausto, Clerk

Khanh Tran, Member

Andrés Quintero, Member

**I HEREBY CERTIFY** that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September, 13th, 2018.

\_\_\_\_\_  
Hilaria Bauer, Ph.D., Superintendent

\_\_\_\_\_  
Date

14.15

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 21, 2018

**Subject:** Resolution No. 05-18/19  
Hispanic Heritage Month  
September 15 – October 15, 2018

**Staff Analysis:** Latino contributions to the growth and development of the United States have been many. As this nation looks forward to the 21<sup>st</sup> century, Latinos will continue to play a major role in meeting the opportunities and challenges ahead. Hispanic Heritage Month celebrates the Latino contribution. It also lets us recognize America's cultural diversity – and the contributions of all of its citizens. Seventy-eight percent of the students in the Alum Rock Union School District are of Hispanic origin; therefore, the Board is encouraged to proclaim September 15<sup>th</sup> – October 15<sup>th</sup> *Hispanic Heritage Month*. Educational communities are encouraged to commemorate this time with appropriate instructional activities.

**Recommendation:** Staff recommends approval of Resolution No. 05-18/19, Hispanic Heritage Month, as presented.

Submitted by: Rene Sanchez *R-S* Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Recommend Approval**

*14.15*  
Agenda Placement

*H. Bauer*  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**RESOLUTION NO. 05-18/19**  
**HISPANIC HERITAGE MONTH**  
**SEPTEMBER 15 – OCTOBER 15, 2018**

**WHEREAS**, more than one in eight people in the United States are of Hispanic origin; and

**WHEREAS**, 44.2% of the population in the West Region of the United States is of Hispanic origin; and

**WHEREAS**, 78% of the students in the Alum Rock Union School District are of Hispanic origin

**WHEREAS**, the contributions of the Hispanics in the development and success of America are woven into almost every segment of the nation's history, and to envision America untouched by Latinos is to imagine a country without much of the folklore and many of its achievements; and

**WHEREAS**, recognizing that America's cultural diversity-and the contributions of all its citizens-has made America's fabric strong throughout its history; and

**WHEREAS**, Hispanics have always played major roles in building this country and making it a better place;

**NOW, THEREFORE, BE IT RESOLVED**, that the Alum Rock Union School District joins with all other educational agencies, governmental bodies, and community organizations in proclaiming and designating September 15 to October 15, 2018, *Hispanic Heritage Month*, and encourages all educational communities to observe this month with appropriate instructional activities.

**PASSED AND ADOPTED** this 13<sup>th</sup> day of September, 2018, at a regular meeting by the following vote:

---

Ayes\_\_\_\_\_

Noes\_\_\_\_\_

Absent\_\_\_\_\_

Abstain\_\_\_\_\_

**Board of Trustees:**

Esau Ruiz Herrera, President

Karen Martinez, Vice-President

Dolores Marquéz - Frausto, Clerk

Khanh Tran, Member

Andrés Quintero, Member

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I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 13, 2018.

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Dr. Hilaria Bauer, Ph.D., Superintendent

---

Date

14.16

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**


To the Board of Trustees:

Date: August 21, 2018

**Subject:** Resolution No. 06-18/19  
Character Education Month  
October, 2018

**Staff Analysis:** The State Superintendent of Public Instruction and the State Board of Education encourage California's local education agencies to promote effective character education programs by taking a proactive and comprehensive approach that encourages responsible, ethical, civic-minded behavior in all phases of school life. Local school boards are encouraged to observe the month of October, 2018, as Character Education Month.

**Recommendation:** Staff recommends proclaiming October, 2018, as Character Education Month.

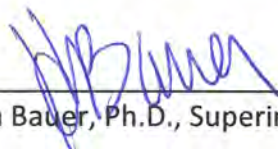
Submitted by: Rene Sanchez  Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Recommend Approval**

14.16  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**RESOLUTION NO. 06-18/19**  
**CHARACTER EDUCATION MONTH, OCTOBER 2018**

**WHEREAS**, California's State Superintendent of Public Instruction and the State Board of Education believe that all students enrolled in California Public Schools have the right to a comprehensive curriculum that promotes a common core of personal and social values as the basis of good character;

**WHEREAS**, the State Superintendent of Public Instruction and the State Board of Education believe that America's heritage and laws reflect a common core of personal and social values that holds the citizens of this democracy responsible for acting morally and ethically, being actively involved in school and community, resolving differences peacefully, and respecting the rights, dignity, and property of others;

**WHEREAS**, the State Superintendent of Public Instruction and the State Board of Education believe that the character of our state is only as strong as the character of each individual citizen;

**WHEREAS**, although character development is, first and foremost, an obligation of families, the efforts of Faith communities and schools, as well as youth, civic, and human services organizations also play a very significant role by supporting families and otherwise fostering and promoting good character;

**WHEREAS**, state law calls upon educators to impress upon students the principles of morality, truth, justice, patriotism, and a true comprehension of the rights, duties, and dignity of American citizenship; and the meaning of equality and human dignity, all of which result in awakening students to the moral and ethical virtues that build a fundamental strength of character;

**WHEREAS**, an effective character education program requires an intentional, proactive, consistent, and comprehensive approach that promotes a common core of personal and social values in all phases of school life, core values that include trustworthiness, respect, responsibility, fairness, caring citizenship, civic virtue, honesty, and personal responsibilities; now, therefore, be it

**RESOLVED**, the State Superintendent of Public Instruction and the State Board of Education, join in proclaiming and observing the month of October, 2018, as CHARACTER EDUCATION MONTH, and be it further

**RESOLVED**, the State Superintendent of Public Instruction and the State Board of Education encourage California's local education agencies to promote effective character education programs by taking a proactive and comprehensive approach that encourages responsible, ethical, civic-minded behavior in all phases of school life. Local school boards are encouraged to observe the month of October, 2018, as Character Education Month.

**PASSED AND ADOPTED** this 13<sup>th</sup> day of September, 2018, at a regular meeting by the following vote:

Ayes \_\_\_\_\_

Noes \_\_\_\_\_

Absent \_\_\_\_\_

Abstain \_\_\_\_\_

**Board of Trustees:**

Esau Ruiz Herrera, President

Karen Martinez, Vice-President

Dolores Marqu  z - Frausto, Clerk

Khanh Tran, Member

Andr  s Quintero, Member

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**I HEREBY CERTIFY** that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 13, 2018.

\_\_\_\_\_  
Dr. Hilaria Bauer, Ph.D., Superintendent

\_\_\_\_\_  
Date

14.17

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 21, 2018

**Subject:**

**Resolution No. 07-18/19**

**WEEK OF THE SCHOOL ADMINISTRATOR – OCTOBER 9 – 15, 2018**

*October 9 – 15, 2018, has been proclaimed as the Week of the School Administrator*

**Staff Analysis:**

The week of October 9 through October 15, 2018, has been designated as the "Week of the School Administrator" to commend the administrators of California public schools for their support and contributions to the quality of public education in this state.

**Recommendation:**

Staff recommends approval of Resolution No. 07-18/19, Week of the School Administrator as presented.

Submitted by: Rene Sanchez

*RS*

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Recommend Approval**

*14.17*

Agenda Placement

*[Signature]*

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_

Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_

Not Approved: \_\_\_\_\_

Tabled: \_\_\_\_\_

**Resolution No. 07-18/19**  
**PROCLAIMING OCTOBER 9 - 15, 2018, AS THE**  
**"WEEK OF THE SCHOOL ADMINISTRATOR"**

**WHEREAS**, the week of October 9 through October 15, 2018, has been designated as the "Week of the School Administrator," and

**WHEREAS**, approximately 15,000 certificated and classified school administrators work in California's public schools; and

**WHEREAS**, nearly sixty percent (60%) of these administrators are principals, and vice principals providing direct support for the educational programs at school sites; and

**WHEREAS**, research has determined that one of the main attributes of effective schools is the competent leadership of principals; and

**WHEREAS**, other certificated and classified administrators provide leadership and support for the educational program by developing and implementing the curriculum, recruiting, training, supporting teachers and parents, planning and mainstreaming school facilities, and providing transportation, nutrition, and social service programs to pupils and their families; and

**WHEREAS**, a school's administrative team includes confidential employees who perform and assist in the performance of many critical functions;

**NOW, THEREFORE, BE IT RESOLVED**, that the week of October 9 - 15, 2018, be proclaimed the "Week of the School Administrator": in honor of the many outstanding administrative teams in California's public school districts; and

**PASSED AND ADOPTED** this 13<sup>th</sup> day of September, 2018, by the Board of Trustees of the Alum Rock Union School District, County of Santa Clara, State of California.

Ayes \_\_\_\_\_ Noes \_\_\_\_\_ Absent \_\_\_\_\_ Abstain \_\_\_\_\_

**Board of Trustees:**

Esau Ruiz Herrera, President

Karen Martinez, Vice-President

Dolores Marquéz - Frausto, Clerk

Khanh Tran, Member

Andrés Quintero, Member

**I HEREBY CERTIFY** that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 13, 2018.

\_\_\_\_\_  
Hilaria Bauer, Ph.D., Superintendent

\_\_\_\_\_  
Date

14.18

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:


Date: August 31, 2018

**Subject:** Resolution #08 – 18/19  
GANN Limit Calculations

**Staff Analysis:** In November of 1979, California voters approved Proposition 4, an initiative that added Article XIII B to the California Constitution. This constitutional amendment, known as the Gann Initiative, placed limits on the growth of expenditures for publicly funded programs. Division 9 of Title 1, beginning with Section 7900 of the Government Code, was then added to law to specify the process for calculating state and local government appropriation limits and appropriations subject to limitation under Article XII B of the Constitution. These constitutional and statutory sections explain and define the appropriations limit and appropriations subject to limitation as they apply to state and local government, and require that each entity of government formally "adopt" its appropriations limit for a given fiscal year.

Education Code sections 1629 and 42132 specify that each year district Governing Boards shall adopt a resolution identifying their estimated appropriations limits for the current year and their actual appropriations limit for the preceding year. The documentation supporting the GANN adoption resolution shall be made available to the public.

**Recommendation:** Staff recommends that the Board accept the GANN Limit Calculations and adopt Resolution #08 – 18/19 as presented.

Submitted by: Efrain Robles Title: Director, Fiscal Services  
Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Recommend Approval**

14.18  
Agenda Placement

  
Hilana Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT**  
RESOLUTION NO 08 – 18/19

**RESOLUTION FOR ADOPTING THE “GANN” LIMIT**

*(Normal, no increase to Limit pursuant to G.C. 7902.1 [nothing on line K {COE line P}])*

WHEREAS, in November of 1979, the California electorate did adopt Proposition 4, commonly called the Gann Amendment, which added Article XIII-B to the California Constitution; and,

WHEREAS, the provisions of that Article establish maximum appropriation limitations, commonly called “Gann Limits,” for public agencies, including school districts; and,

WHEREAS, the District must establish a revised Gann limit for the 2017-2018 fiscal year and a projected Gann Limit for the 2018-2019 fiscal year in accordance with the provisions of Article XIII-B and applicable statutory law; and,

NOW, THEREFORE, BE IT RESOLVED that this Board does provide public notice that the attached calculations and documentation of the Gann limits for the 2017-2018 and 2018-2019 fiscal years are made in accord with applicable constitutional and statutory law;

AND BE IT FURTHER RESOLVED that this Board does hereby declare that the appropriations in the Budget for the 2017-2018 and 2018-2019 fiscal years do not exceed the limitations imposed by Proposition 4;

AND BE IT FURTHER RESOLVED that the Superintendent provides copies of this resolution along with the appropriate attachments to interested citizens of this district.

**PASSED AND ADOPTED** this 13<sup>th</sup> day of September, 2018, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, State of California.

---

Ayes \_\_\_\_\_ Noes \_\_\_\_\_ Absent \_\_\_\_\_ Abstain \_\_\_\_\_

**Board of Trustees:**

Esau Ruiz Herrera, President

Karen Martinez, Vice-President

Dolores Márquez-Frausto, Clerk

Andres Quintero, Member

Khanh Tran, Member

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**I HEREBY CERTIFY** that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on September 13, 2018.

---

Hilaria Bauer, Ph.D., Superintendent

---

Date

	2017-18 Calculations			2018-19 Calculations		
	Extracted Data	Adjustments*	Entered Data/ Totals	Extracted Data	Adjustments*	Entered Data/ Totals
<b>A. PRIOR YEAR DATA</b> (2016-17 Actual Appropriations Limit and Gann ADA are from district's prior year Gann data reported to the CDE)	<b>2016-17 Actual</b>			<b>2017-18 Actual</b>		
1. FINAL PRIOR YEAR APPROPRIATIONS LIMIT (Preload/Line D11, PY column)	64,826,425.23		64,826,425.23			64,307,958.39
2. PRIOR YEAR GANN ADA (Preload/Line B3, PY column)	10,185.59		10,185.59			9,744.38
<b>ADJUSTMENTS TO PRIOR YEAR LIMIT</b>	<b>Adjustments to 2016-17</b>			<b>Adjustments to 2017-18</b>		
3. District Lapses, Reorganizations and Other Transfers						
4. Temporary Voter Approved Increases						
5. Less: Lapses of Voter Approved Increases						
6. TOTAL ADJUSTMENTS TO PRIOR YEAR LIMIT (Lines A3 plus A4 minus A5)		0.00				0.00
7. ADJUSTMENTS TO PRIOR YEAR ADA (Only for district lapses, reorganizations and other transfers, and only if adjustments to the appropriations limit are entered in Line A3 above)						
<b>3. CURRENT YEAR GANN ADA</b> (2017-18 data should tie to Principal Apportionment Software Attendance reports and include ADA for charter schools reporting with the district)	<b>2017-18 P2 Report</b>			<b>2018-19 P2 Estimate</b>		
1. Total K-12 ADA (Form A, Line A6)	9,318.26		9,318.26	9,098.12		9,098.12
2. Total Charter Schools ADA (Form A, Line C9)	426.12		426.12	423.22		423.22
3. TOTAL CURRENT YEAR P2 ADA (Line B1 plus B2)			9,744.38			9,521.34
<b>D. LOCAL PROCEEDS OF TAXES/STATE AID RECEIVED</b> <b>TAXES AND SUBVENTIONS (Funds 01, 09, and 62)</b>	<b>2017-18 Actual</b>			<b>2018-19 Budget</b>		
1. Homeowners' Exemption (Object 8021)	109,830.30		109,830.30	109,830.00		109,830.00
2. Timber Yield Tax (Object 8022)	0.00		0.00	0.00		0.00
3. Other Subventions/In-Lieu Taxes (Object 8029)	0.00		0.00	0.00		0.00
4. Secured Roll Taxes (Object 8041)	23,758,394.91		23,758,394.91	23,747,815.00		23,747,815.00
5. Unsecured Roll Taxes (Object 8042)	1,575,834.55		1,575,834.55	1,575,834.00		1,575,834.00
6. Prior Years' Taxes (Object 8043)	0.00		0.00	0.00		0.00
7. Supplemental Taxes (Object 8044)	4,588,297.95		4,588,297.95	4,593,000.00		4,593,000.00
8. Ed. Rev. Augmentation Fund (ERAF) (Object 8045)	13,852,800.00		13,852,800.00	12,707,150.00		12,707,150.00
9. Penalties and Int. from Delinquent Taxes (Object 8048)	0.00		0.00	0.00		0.00
10. Other In-Lieu Taxes (Object 8082)	0.00		0.00	0.00		0.00
11. Comm. Redevelopment Funds (objects 8047 & 8625)	0.00		0.00	0.00		0.00
12. Parcel Taxes (Object 8621)	4,007,769.10		4,007,769.10	4,126,899.00		4,126,899.00
13. Other Non-Ad Valorem Taxes (Object 8622) (Taxes only)	0.00		0.00	0.00		0.00
14. Penalties and Int. from Delinquent Non-LCFF Taxes (Object 8629) (Only those for the above taxes)	0.00		0.00	0.00		0.00
15. Transfers to Charter Schools in Lieu of Property Taxes (Object 8096)						
16. TOTAL TAXES AND SUBVENTIONS (Lines C1 through C15)	47,892,926.81	0.00	47,892,926.81	46,860,528.00	0.00	46,860,528.00
<b>OTHER LOCAL REVENUES (Funds 01, 09, and 62)</b>						
17. To General Fund from Bond Interest and Redemption Fund (Excess debt service taxes) (Object 8914)	0.00		0.00	0.00		0.00
18. TOTAL LOCAL PROCEEDS OF TAXES (Lines C16 plus C17)	47,892,926.81	0.00	47,892,926.81	46,860,528.00	0.00	46,860,528.00

	2017-18 Calculations			2018-19 Calculations		
	Extracted Data	Adjustments*	Entered Data/ Totals	Extracted Data	Adjustments*	Entered Data/ Totals
<b>EXCLUDED APPROPRIATIONS</b>						
19. Medicare (Enter federally mandated amounts only from objs. 3301 & 3302; do not include negotiated amounts)			2,298,991.85			2,454,572.37
<b>OTHER EXCLUSIONS</b>						
20. Americans with Disabilities Act						
21. Unreimbursed Court Mandated Desegregation Costs						
22. Other Unfunded Court-ordered or Federal Mandates						
23. TOTAL EXCLUSIONS (Lines C19 through C22)			2,298,991.85			2,454,572.37
<b>STATE AID RECEIVED (Funds 01, 09, and 62)</b>						
24. LCFF - CY (objects 8011 and 8012)	69,836,648.29		69,836,648.29	72,816,235.00		72,816,235.00
25. LCFF/Revenue Limit State Aid - Prior Years (Object 8019)	215,545.00		215,545.00	0.00		0.00
26. TOTAL STATE AID RECEIVED (Lines C24 plus C25)	70,052,193.29	0.00	70,052,193.29	72,816,235.00	0.00	72,816,235.00
<b>DATA FOR INTEREST CALCULATION</b>						
27. Total Revenues (Funds 01, 09 & 62; objects 8000-8799)	134,719,647.93		134,719,647.93	135,151,372.62		135,151,372.62
28. Total Interest and Return on Investments (Funds 01, 09, and 62; objects 8660 and 8662)	247,711.54		247,711.54	100,000.00		100,000.00
<b>APPROPRIATIONS LIMIT CALCULATIONS</b>	<b>2017-18 Actual</b>			<b>2018-19 Budget</b>		
<b>D. PRELIMINARY APPROPRIATIONS LIMIT</b>						
1. Revised Prior Year Program Limit (Lines A1 plus A6)			64,826,425.23			64,307,958.39
2. Inflation Adjustment			1.0369			1.0367
3. Program Population Adjustment (Lines B3 divided by [A2 plus A7]) (Round to four decimal places)			0.9567			0.9771
4. PRELIMINARY APPROPRIATIONS LIMIT (Lines D1 times D2 times D3)			64,307,958.39			65,141,361.88
<b>APPROPRIATIONS SUBJECT TO THE LIMIT</b>						
5. Local Revenues Excluding Interest (Line C18)			47,892,926.81			46,860,528.00
6. Preliminary State Aid Calculation						
a. Minimum State Aid in Local Limit (Greater of \$120 times Line B3 or \$2,400; but not greater than Line C26 or less than zero)			1,169,325.60			1,142,560.80
b. Maximum State Aid in Local Limit (Lesser of Line C26 or Lines D4 minus D5 plus C23; but not less than zero)			18,714,023.43			20,735,406.25
c. Preliminary State Aid in Local Limit (Greater of Lines D6a or D6b)			18,714,023.43			20,735,406.25
7. Local Revenues in Proceeds of Taxes						
a. Interest Counting in Local Limit (Line C28 divided by [Lines C27 minus C28] times [Lines D5 plus D6c])			122,697.05			50,052.02
b. Total Local Proceeds of Taxes (Lines D5 plus D7a)			48,015,623.86			46,910,580.02
8. State Aid in Proceeds of Taxes (Greater of Line D6a, or Lines D4 minus D7b plus C23; but not greater than Line C26 or less than zero)			18,591,326.38			20,685,354.23
9. Total Appropriations Subject to the Limit						
a. Local Revenues (Line D7b)			48,015,623.86			
b. State Subventions (Line D8)			18,591,326.38			
c. Less: Excluded Appropriations (Line C23)			2,298,991.85			
d. TOTAL APPROPRIATIONS SUBJECT TO THE LIMIT (Lines D9a plus D9b minus D9c)			64,307,958.39			

[illegible]

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

14.19

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 20, 2018

**Subject:** School-sponsored Field Trip List

**Staff Analysis:** Student field trips are an important component of the educational program. Field trips supplement and enrich classroom-learning experiences and provide opportunities for students to relate their new learning to the outside world.

**Recommendation:** Staff recommends approval of out-of-county school-sponsored field trip.

Submitted by: Sandra Garcia *SG* Title: Director of State and Federal Programs

Approved by: Rene Sanchez *R.S.* Title: Assistant Superintendent of Instructional Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Recommend Approval**

*14.19*  
\_\_\_\_\_  
Agenda Placement

*H. Bauer*  
\_\_\_\_\_  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



Overnight Field Trips are in **BOLD**

[illegible]

14.20

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: September 10, 2018

**Subject:** **Application for Exemption from the Required Expenditures for Classroom Teachers' Salaries**

**Staff Analysis:** Pursuant of Education Code 41372, elementary school districts shall expend during each fiscal year at least 60% of the district's current expense of education for the payment of salaries of classroom teachers. For 2017-18 fiscal year, the Alum Rock Union Elementary School District did not spend the minimum percentage of its budget on classroom teacher salaries as required by EC Section 41372 and, therefore, is requesting from the County Superintendent of Schools an exemption from this requirement. Per attached End of Year Report (Third Interim), having to meet this requirement would result in the District not meeting its 3% minimum reserve requirement. As reported by School Services of CA, Inc., over 40% of elementary school districts in the State did not meet this requirement in fiscal year 2016-17.

**Recommendation:** Staff recommends that the Board of Trustees approve the application for exemption from the required expenditures for classroom teachers' salaries.

Approved by: Kolvira Chheng <sup>xc</sup> Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: September 13, 2018  
Regular Board Meeting

**Recommend Approval**

14.20  
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

## Application for Exemption from the Required Expenditures for Classroom Teachers' Salaries

*Pursuant to Education Code Section 41372*

To: County Superintendent of Schools

For 2017-18 fiscal year, the Alum Rock Union Elementary School District did not spend the minimum percentage of its budget on classroom teacher salaries as required by *EC* Section 41372. We are requesting an exemption from this requirement as provided by law.

Reason for request (Check one):

☒ Serious hardship to the school district  
(Please attach a written explanation, the district's latest interim report, and a multiyear projection for the current and two subsequent fiscal years that reflects the financial impact of meeting the requirement of *EC* 41372.)

☐ Payment of classroom teacher salaries that are in excess of those paid by other comparable school districts  
(Please attach a classroom teacher salary & benefits comparison for at least three other comparable school districts. The comparison should include annual classroom teacher salaries paid at the beginning, average, and maximum salary levels plus the average annual employer contributions for health & welfare benefits.)

☐ Deficiency is less than \$1,000.00 (exemption is automatically approved)

### **A. Deficiency Amount**

(Source: Form CEA)

- |   |                  |
|---|------------------|
| 1. Enter the minimum percentage for your district type.<br>(60% Elementary/ 50% High School/ 55% Unified) | 60%              |
| 2. Enter the percentage spent by your district.   | 56.92%           |
| 3. Percentage below the minimum.<br>(Line 1 minus line 2)   | 3.08%            |
| 4. Enter the district's current expense of education (Form CEA)   | \$132,276,522.24 |
| 5. Deficiency Amount.<br>(Line 3 times line 4)  | \$4,074,116.88   |

### **B. Certification of the School District Governing Board**

It is hereby certified that the information contained in this application is true and correct.

\_\_\_\_\_  
Signature of Authorized Official

\_\_\_\_\_  
Title

\_\_\_\_\_  
Print Name of Authorized Official

\_\_\_\_\_  
Date

**C. Decision of the County Superintendent of Schools**  
(Completed by the County Superintendent of Schools or Designee)

Based on my review of the information contained with this application, I have taken the following action with respect to the school district named on this application (Check one):

\_\_\_\_\_ I am granting the request for exemption from the requirements of *Education Code* Section 41372.

\_\_\_\_\_ I am granting a partial exemption from the requirements of *Education Code* Section 41372. The amount not exempted is \$\_\_\_\_\_ (A written explanation of the reason(s) for approving a partial exemption is attached.)

\_\_\_\_\_ I am denying the request for exemption from the requirements of *Education Code* Section 41372. (A written explanation of the reason(s) for denying the exemption is attached.)

It is hereby certified that the information contained in this application has been reviewed and is true and correct.

\_\_\_\_\_  
Signature of County Superintendent

\_\_\_\_\_/\_\_\_\_\_  
County Office/Date

\_\_\_\_\_  
Signature of Authorized Designee

\_\_\_\_\_/\_\_\_\_\_  
Title of Authorized Designee/Date

Current Expense Formula/Minimum Classroom Compensation

PART I - CURRENT EXPENSE FORMULA	Total Expense for Year (1)	EDP No.	Reductions (See Note 1) (2)	EDP No.	Current Expense of Education (Col 1 - Col 2) (3)	EDP No.	Reductions (Extracted) (See Note 2) (4a)	Reductions (Overrides)* (See Note 2) (4b)	EDP No.	Current Expense- Part II (Col 3 - Col 4) (5)	EDP No.
1000 - Certificated Salaries	62,420,457.81	301	0.00	303	62,420,457.81	305	1,360,256.83		307	61,060,200.98	309
2000 - Classified Salaries	19,573,541.75	311	140,444.67	313	19,433,097.08	315	2,084,697.72		317	17,348,399.36	319
3000 - Employee Benefits	33,724,543.73	321	75,209.88	323	33,649,333.85	325	1,373,702.42		327	32,275,631.43	329
4000 - Books, Supplies Equip Replace. (6500)	6,555,686.54	331	0.00	333	6,555,686.54	335	1,161,030.11		337	5,394,656.43	339
5000 - Services . . . & 7300 - Indirect Costs	19,960,115.19	341	0.00	343	19,960,115.19	345	3,762,481.15		347	16,197,634.04	349
<b>TOTAL</b>					<b>142,018,690.47</b>	<b>365</b>			<b>TOTAL</b>	<b>132,276,522.24</b>	<b>369</b>

Note 1 - In Column 2, report expenditures for the following programs: Nonagency (Goals 7100-7199), Community Services (Goal 8100), Food Services (Function 3700), Fringe Benefits for Retired Persons (Objects 3701-3702), and Facilities Acquisition & Construction (Function 8500).

Note 2 - In Column 4, report expenditures for: Transportation (Function 3600), Lottery Expenditures (Resource 1100), Special Education Students in Nonpublic Schools (Function 1180), and other federal or state categorical aid in which funds were granted for expenditures in a program not incurring any teacher salary expenditures or requiring disbursement of the funds without regard to the requirements of EC Section 41372.

\* If an amount (even zero) is entered in any row of Column 4b or in Line 13b, the form uses only the values in Column 4b and Line 13b rather than the values in Column 4a and Line 13a.

PART II: MINIMUM CLASSROOM COMPENSATION (Instruction, Functions 1000-1999)		Object	EDP No.	
1.	Teacher Salaries as Per EC 41011. . . . .	1100	51,244,464.48	375
2.	Salaries of Instructional Aides Per EC 41011. . . . .	2100	2,586,215.04	380
3.	STRS. . . . .	3101 & 3102	11,033,222.30	382
4.	PERS. . . . .	3201 & 3202	613,293.78	383
5.	OASDI - Regular, Medicare and Alternative. . . . .	3301 & 3302	991,338.27	384
6.	Health & Welfare Benefits (EC 41372) (Include Health, Dental, Vision, Pharmaceutical, and Annuity Plans). . . . .			
7.	Unemployment Insurance. . . . .	3401 & 3402	7,656,820.80	385
8.	Workers' Compensation Insurance. . . . .	3501 & 3502	25,038.33	390
9.	OPEB, Active Employees (EC 41372). . . . .	3601 & 3602	1,686,024.53	392
10.	Other Benefits (EC 22310). . . . .	3751 & 3752	0.00	
11.	SUBTOTAL Salaries and Benefits (Sum Lines 1 - 10). . . . .	3901 & 3902	24,898.35	393
12.	Less: Teacher and Instructional Aide Salaries and Benefits deducted in Column 2. . . . .		75,861,315.88	395
13a.	Less: Teacher and Instructional Aide Salaries and Benefits (other than Lottery) deducted in Column 4a (Extracted). . . . .		0.00	
b.	Less: Teacher and Instructional Aide Salaries and Benefits (other than Lottery) deducted in Column 4b (Overrides)*. . . . .		568,668.76	396
14.	TOTAL SALARIES AND BENEFITS. . . . .			396
15.	Percent of Current Cost of Education Expended for Classroom Compensation (EDP 397 divided by EDP 369) Line 15 must equal or exceed 60% for elementary, 55% for unified and 50% for high school districts to avoid penalty under provisions of EC 41372. . . . .		75,292,647.12	397
16.	District is exempt from EC 41372 because it meets the provisions of EC 41374. (If exempt, enter 'X') . . . . .		56.92%	

PART III: DEFICIENCY AMOUNT		
A deficiency amount (Line 5) is only applicable to districts not meeting the minimum classroom compensation percentage required under EC 41372 and not exempt under the provisions of EC 41374.		
1. Minimum percentage required (60% elementary, 55% unified, 50% high) . . . . .	60.00%	
2. Percentage spent by this district (Part II, Line 15) . . . . .	56.92%	
3. Percentage below the minimum (Part III, Line 1 minus Line 2) . . . . .	3.08%	
4. District's Current Expense of Education after reductions in columns 4a or 4b (Part I, EDP 369). . . . .	132,276,522.24	
5. Deficiency Amount (Part III, Line 3 times Line 4) . . . . .	4,074,116.88	

PART IV: Explanation for adjustments entered in Part I, Column 4b (required)

**FORM MYP**  
**MULTIYEAR PROJECTIONS**

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Description	Object Codes	Projected Year Totals (Form 011) (A)	% Change (Cols. C-A/A) (B)	2018-19 Projection (C)	% Change (Cols. E-C/C) (D)	2019-20 Projection (E)
(Enter projections for subsequent years 1 and 2 in Columns C and E; current year - Column A - is extracted)						
<b>A. REVENUES AND OTHER FINANCING SOURCES</b>						
1. LCFF/Revenue Limit Sources	8010-8099	104,394,727.00	0.69%	105,115,170.00	-0.98%	104,080,079.00
2. Federal Revenues	8100-8299	0.00	0.00%		0.00%	0.00
3. Other State Revenues	8300-8599	3,349,600.00	112.45%	7,116,203.00	-75.13%	1,769,547.00
4. Other Local Revenues	8600-8799	6,052,709.00	2.58%	6,208,755.00	2.16%	6,342,670.00
5. Other Financing Sources						
a. Transfers In	8900-8929	0.00	0.00%		0.00%	0.00
b. Other Sources	8930-8979	0.00	0.00%		0.00%	0.00
c. Contributions	8980-8999	(21,810,698.66)	6.01%	(23,121,519.00)	2.74%	(23,754,674.00)
6. Total (Sum lines A1 thru A5c)		91,986,337.34	3.62%	95,318,609.00	-7.22%	88,437,622.00
<b>B. EXPENDITURES AND OTHER FINANCING USES</b>						
1. Certificated Salaries						
a. Base Salaries				48,866,162.30		45,149,154.30
b. Step & Column Adjustment				732,992.00		677,283.00
c. Cost-of-Living Adjustment						
d. Other Adjustments				(4,450,000.00)		(3,560,000.00)
e. Total Certificated Salaries (Sum lines B1a thru B1d)	1000-1999	48,866,162.30	-7.61%	45,149,154.30	-6.38%	42,266,437.30
2. Classified Salaries						
a. Base Salaries				15,612,816.81		15,245,309.08
b. Step & Column Adjustment				312,492.27		304,906.00
c. Cost-of-Living Adjustment						
d. Other Adjustments				(680,000.00)		
e. Total Classified Salaries (Sum lines B2a thru B2d)	2000-2999	15,612,816.81	-2.35%	15,245,309.08	2.00%	15,550,215.08
3. Employee Benefits	3000-3999	22,718,578.08	-2.19%	22,221,913.00	0.86%	22,414,126.00
4. Books and Supplies	4000-4999	4,784,799.00	-21.42%	3,760,015.00	3.02%	3,873,567.00
5. Services and Other Operating Expenditures	5000-5999	12,523,875.08	-12.79%	10,922,163.00	1.81%	11,119,527.00
6. Capital Outlay	6000-6999	503,439.83	0.00%	503,440.00	0.00%	503,440.00
7. Other Outgo (excluding Transfers of Indirect Costs)	7100-7299, 7400-7499	22,000.00	0.00%	22,000.00	0.00%	22,000.00
8. Other Outgo - Transfers of Indirect Costs	7300-7399	(2,839,647.33)	-7.38%	(2,630,112.00)	2.13%	(2,686,033.00)
9. Other Financing Uses						
a. Transfers Out	7600-7629	0.00	0.00%	0.00	0.00%	0.00
b. Other Uses	7630-7699	0.00	0.00%	0.00	0.00%	0.00
10. Other Adjustments (Explain in Section F below)						
11. Total (Sum lines B1 thru B10)		102,192,023.77	-6.85%	95,193,882.38	-2.24%	93,063,279.38
<b>C. NET INCREASE (DECREASE) IN FUND BALANCE</b>						
(Line A6 minus line B11)		(10,205,686.43)		124,726.62		(4,625,657.38)
<b>D. FUND BALANCE</b>						
1. Net Beginning Fund Balance (Form 011, line F1c)		21,834,894.11		11,629,207.68		11,753,934.30
2. Ending Fund Balance (Sum lines C and D1)		11,629,207.68		11,753,934.30		7,128,276.92
3. Components of Ending Fund Balance (Form 011)						
a. Nonspendable	9710-9719	20,000.00		20,000.00		20,000.00
b. Restricted	9740					
c. Committed						
1. Stabilization Arrangements	9750	0.00		0.00		0.00
2. Other Commitments	9760	0.00		0.00		0.00
d. Assigned	9780	250,000.00		250,000.00		250,000.00
e. Unassigned/Unappropriated						
1. Reserve for Economic Uncertainties	9789	4,381,859.00		4,005,747.00		3,957,983.00
2. Unassigned/Unappropriated	9790	6,977,348.68		7,478,187.30		2,900,293.92
f. Total Components of Ending Fund Balance						
(Line D3f must agree with line D2)		11,629,207.68		11,753,934.30		7,128,276.92

2017-18 End of Year Projection  
General Fund  
Multiyear Projections  
Unrestricted

43 69369 0000000  
Form MYP1

Description	Object Codes	Projected Year Totals (Form 011) (A)	% Change (Cols. C-A/A) (B)	2018-19 Projection (C)	% Change (Cols. E-C/C) (D)	2019-20 Projection (E)
<b>E. AVAILABLE RESERVES</b>						
1. General Fund						
a. Stabilization Arrangements	9750	0.00		0.00		0.00
b. Reserve for Economic Uncertainties	9789	4,381,859.00		4,005,747.00		3,957,983.00
c. Unassigned/Unappropriated	9790	6,977,348.68		7,478,187.30		2,900,293.92
(Enter other reserve projections in Columns C and E for subsequent years 1 and 2; current year - Column A - is extracted)						
2. Special Reserve Fund - Noncapital Outlay (Fund 17)						
a. Stabilization Arrangements	9750	0.00		0.00		0.00
b. Reserve for Economic Uncertainties	9789	0.00		0.00		0.00
c. Unassigned/Unappropriated	9790	0.00		0.00		0.00
3. Total Available Reserves (Sum lines E1a thru E2c)		11,359,207.68		11,483,934.30		6,858,276.92
<b>F. ASSUMPTIONS</b>						
Please provide below or on a separate attachment, the assumptions used to determine the projections for the first and second subsequent fiscal years. Further, please include an explanation for any significant expenditure adjustments projected in lines B1d, B2d, and B10. For additional information, please refer to the Budget Assumptions section of the SACS Financial Reporting Software User Guide.						
18-19 Step and column increase of 1.5% for certificated and 2% for classified. In addition there is a reduction of 50 teachers at \$89k per teacher and reduction in classified staff. There is also a reduction of classified sub and extra duty of \$280k.						
19-20 Step and column increase of 1.5% for certificated and 2% for classified. In addition there is a reduction of 40 teachers at an average of \$89k for each teacher.						

Description	Object Codes	Projected Year Totals (Form 011) (A)	% Change (Cols. C-A/A) (B)	2018-19 Projection (C)	% Change (Cols. E-C/C) (D)	2019-20 Projection (E)
(Enter projections for subsequent years 1 and 2 in Columns C and E; current year - Column A - is extracted)						
<b>A. REVENUES AND OTHER FINANCING SOURCES</b>						
1. LCFF/Revenue Limit Sources	8010-8099	0.00	0.00%	0.00	0.00%	0.00
2. Federal Revenues	8100-8299	7,035,848.39	-11.76%	6,208,535.00	-1.28%	6,129,075.00
3. Other State Revenues	8300-8599	8,736,876.83	-0.80%	8,667,343.00	-0.09%	8,659,737.00
4. Other Local Revenues	8600-8799	2,043,881.11	-83.82%	330,775.00	-1.45%	325,991.00
5. Other Financing Sources						
a. Transfers In	8900-8929	0.00	0.00%	0.00	0.00%	0.00
b. Other Sources	8930-8979	0.00	0.00%	0.00	0.00%	0.00
c. Contributions	8980-8999	21,810,698.66	6.01%	23,121,519.00	2.74%	23,754,674.00
6. Total (Sum lines A1 thru A5c)		39,627,304.99	-3.28%	38,328,172.00	1.41%	38,869,477.00
<b>B. EXPENDITURES AND OTHER FINANCING USES</b>						
1. Certificated Salaries						
a. Base Salaries				13,130,613.18		12,242,033.10
b. Step & Column Adjustment				196,145.35		183,630.50
c. Cost-of-Living Adjustment						
d. Other Adjustments				(1,084,725.43)		16,271.00
e. Total Certificated Salaries (Sum lines B1a thru B1d)	1000-1999	13,130,613.18	-6.77%	12,242,033.10	1.63%	12,441,934.60
2. Classified Salaries						
a. Base Salaries				4,834,938.57		4,706,822.82
b. Step & Column Adjustment				96,884.25		94,136.46
c. Cost-of-Living Adjustment				0.00		0.00
d. Other Adjustments				(225,000.00)		(50,870.00)
e. Total Classified Salaries (Sum lines B2a thru B2d)	2000-2999	4,834,938.57	-2.65%	4,706,822.82	0.92%	4,750,089.28
3. Employee Benefits	3000-3999	10,675,927.51	-1.13%	10,554,885.00	3.94%	10,970,600.00
4. Books and Supplies	4000-4999	4,043,018.90	-39.32%	2,453,460.08	-3.80%	2,360,211.12
5. Services and Other Operating Expenditures	5000-5999	7,181,009.09	-36.08%	4,590,303.32	-1.59%	4,517,304.00
6. Capital Outlay	6000-6999	0.00	0.00%	15,000.00	0.00%	15,000.00
7. Other Outgo (excluding Transfers of Indirect Costs)	7100-7299, 7400-7499	1,581,379.00	0.00%	1,581,379.00	0.00%	1,581,379.00
8. Other Outgo - Transfers of Indirect Costs	7300-7399	2,423,063.33	-9.74%	2,187,139.00	2.09%	2,232,959.00
9. Other Financing Uses						
a. Transfers Out	7600-7629	0.00	0.00%	0.00	0.00%	0.00
b. Other Uses	7630-7699	0.00	0.00%	0.00	0.00%	0.00
10. Other Adjustments (Explain in Section F below)						
11. Total (Sum lines B1 thru B10)		43,869,949.58	-12.63%	38,331,022.32	1.40%	38,869,477.00
<b>C. NET INCREASE (DECREASE) IN FUND BALANCE (Line A6 minus line B11)</b>						
		(4,242,644.59)		(2,850.32)		0.00
<b>D. FUND BALANCE</b>						
1. Net Beginning Fund Balance (Form 011, line F1e)		4,245,494.91		2,850.32		0.00
2. Ending Fund Balance (Sum lines C and D1)		2,850.32		0.00		0.00
3. Components of Ending Fund Balance (Form 011)						
a. Nonspendable	9710-9719	0.00				
b. Restricted	9740	2,850.71				
c. Committed						
1. Stabilization Arrangements	9750					
2. Other Commitments	9760					
d. Assigned	9780					
e. Unassigned/Unappropriated						
1. Reserve for Economic Uncertainties	9789					
2. Unassigned/Unappropriated	9790	(0.39)		0.00		0.00
f. Total Components of Ending Fund Balance (Line D3f must agree with line D2)		2,850.32		0.00		0.00

Description	Object Codes	Projected Year Totals (Form 011) (A)	% Change (Cols. C-A/A) (B)	2018-19 Projection (C)	% Change (Cols. E-C/C) (D)	2019-20 Projection (E)
<b>E. AVAILABLE RESERVES</b>						
1. General Fund						
a. Stabilization Arrangements	9750					
b. Reserve for Economic Uncertainties	9789					
c. Unassigned/Unappropriated Amount	9790					
(Enter current year reserve projections in Column A, and other reserve projections in Columns C and E for subsequent years 1 and 2)						
2. Special Reserve Fund - Noncapital Outlay (Fund 17)						
a. Stabilization Arrangements	9750					
b. Reserve for Economic Uncertainties	9789					
c. Unassigned/Unappropriated	9790					
3. Total Available Reserves (Sum lines E1a thru E2c)						
<b>F. ASSUMPTIONS</b>						
Please provide below or on a separate attachment, the assumptions used to determine the projections for the first and second subsequent fiscal years. Further, please include an explanation for any significant expenditure adjustments projected in lines B1d, B2d, and B10. For additional information, please refer to the Budget Assumptions section of the SACS Financial Reporting Software User Guide.						
18-19 Step and column increase of 1.5% for certificated and 2% for classified. Reduction of \$1,084,725.43 due to categorical programs that are going away and carryover from Special Ed. 19-20 Step and column increase of 1.5% for certificated and 2% for classified. Reduction of \$200,000 due to reduction in substitute time and overtime in Fund 05 and \$25,000 reduction in Special Ed additional time.						

Description	Object Codes	Projected Year Totals (Form 011) (A)	% Change (Cols. C-A/A) (B)	2018-19 Projection (C)	% Change (Cols. E-C/C) (D)	2019-20 Projection (E)
(Enter projections for subsequent years 1 and 2 in Columns C and E; current year - Column A - is extracted)						
<b>A. REVENUES AND OTHER FINANCING SOURCES</b>						
1. LCFF/Revenue Limit Sources	8010-8099	104,394,727.00	0.69%	105,115,170.00	-0.98%	104,080,079.00
2. Federal Revenues	8100-8299	7,035,848.39	-11.76%	6,208,535.00	-1.28%	6,129,075.00
3. Other State Revenues	8300-8599	12,086,476.83	30.59%	15,783,546.00	-33.92%	10,429,284.00
4. Other Local Revenues	8600-8799	8,096,590.11	-19.23%	6,539,530.00	1.97%	6,668,661.00
5. Other Financing Sources						
a. Transfers In	8900-8929	0.00	0.00%	0.00	0.00%	0.00
b. Other Sources	8930-8979	0.00	0.00%	0.00	0.00%	0.00
c. Contributions	8980-8999	0.00	0.00%	0.00	0.00%	0.00
6. Total (Sum lines A1 thru A5c)		131,613,642.33	1.54%	133,646,781.00	-4.74%	127,307,099.00
<b>B. EXPENDITURES AND OTHER FINANCING USES</b>						
1. Certificated Salaries						
a. Base Salaries				61,996,775.48		57,391,187.40
b. Step & Column Adjustment				929,137.35		860,913.50
c. Cost-of-Living Adjustment				0.00		0.00
d. Other Adjustments				(5,534,725.43)		(3,543,729.00)
e. Total Certificated Salaries (Sum lines B1a thru B1d)	1000-1999	61,996,775.48	-7.43%	57,391,187.40	-4.67%	54,708,371.90
2. Classified Salaries						
a. Base Salaries				20,447,755.38		19,952,131.90
b. Step & Column Adjustment				409,376.52		399,042.46
c. Cost-of-Living Adjustment				0.00		0.00
d. Other Adjustments				(905,000.00)		(50,870.00)
e. Total Classified Salaries (Sum lines B2a thru B2d)	2000-2999	20,447,755.38	-2.42%	19,952,131.90	1.75%	20,300,304.36
3. Employee Benefits	3000-3999	33,394,505.59	-1.85%	32,776,798.00	1.85%	33,384,726.00
4. Books and Supplies	4000-4999	8,827,817.90	-29.61%	6,213,475.08	0.33%	6,233,778.12
5. Services and Other Operating Expenditures	5000-5999	19,704,884.17	-21.28%	15,512,466.32	0.80%	15,636,831.00
6. Capital Outlay	6000-6999	503,439.83	2.98%	518,440.00	0.00%	518,440.00
7. Other Outgo (excluding Transfers of Indirect Costs)	7100-7299, 7400-7499	1,603,379.00	0.00%	1,603,379.00	0.00%	1,603,379.00
8. Other Outgo - Transfers of Indirect Costs	7300-7399	(416,584.00)	6.33%	(442,973.00)	2.28%	(453,074.00)
9. Other Financing Uses						
a. Transfers Out	7600-7629	0.00	0.00%	0.00	0.00%	0.00
b. Other Uses	7630-7699	0.00	0.00%	0.00	0.00%	0.00
10. Other Adjustments				0.00		0.00
11. Total (Sum lines B1 thru B10)		146,061,973.35	-8.58%	133,524,904.70	-1.19%	131,932,756.38
<b>C. NET INCREASE (DECREASE) IN FUND BALANCE</b> (Line A6 minus line B11)		(14,448,331.02)		121,876.30		(4,625,657.38)
<b>D. FUND BALANCE</b>						
1. Net Beginning Fund Balance (Form 011, line F1e)		26,080,389.02		11,632,058.00		11,753,934.30
2. Ending Fund Balance (Sum lines C and D1)		11,632,058.00		11,753,934.30		7,128,276.92
3. Components of Ending Fund Balance (Form 011)						
a. Nonspendable	9710-9719	20,000.00		20,000.00		20,000.00
b. Restricted	9740	2,850.71		0.00		0.00
c. Committed						
1. Stabilization Arrangements	9750	0.00		0.00		0.00
2. Other Commitments	9760	0.00		0.00		0.00
d. Assigned	9780	250,000.00		250,000.00		250,000.00
e. Unassigned/Unappropriated						
1. Reserve for Economic Uncertainties	9789	4,381,859.00		4,005,747.00		3,957,983.00
2. Unassigned/Unappropriated	9790	6,977,348.29		7,478,187.30		2,900,293.92
f. Total Components of Ending Fund Balance (Line D3f must agree with line D2)		11,632,058.00		11,753,934.30		7,128,276.92

Description	Object Codes	Projected Year Totals (Form 011) (A)	% Change (Cols. C-A/A) (B)	2018-19 Projection (C)	% Change (Cols. E-C/C) (D)	2019-20 Projection (E)
<b>E. AVAILABLE RESERVES (Unrestricted except as noted)</b>						
1. General Fund						
a. Stabilization Arrangements	9750	0.00		0.00		0.00
b. Reserve for Economic Uncertainties	9789	4,381,859.00		4,005,747.00		3,957,983.00
c. Unassigned/Unappropriated	9790	6,977,348.68		7,478,187.30		2,900,293.92
d. Negative Restricted Ending Balances (Negative resources 2000-9999)	9797	(0.39)		0.00		0.00
2. Special Reserve Fund - Noncapital Outlay (Fund 17)						
a. Stabilization Arrangements	9750	0.00		0.00		0.00
b. Reserve for Economic Uncertainties	9789	0.00		0.00		0.00
c. Unassigned/Unappropriated	9790	0.00		0.00		0.00
3. Total Available Reserves - by Amount (Sum lines E1 thru E2c)		11,359,207.29		11,483,934.30		6,858,276.92
4. Total Available Reserves - by Percent (Line E3 divided by Line F3c)		7.78%		8.60%		5.20%
<b>F. RECOMMENDED RESERVES</b>						
1. Special Education Pass-through Exclusions						
For districts that serve as the administrative unit (AU) of a special education local plan area (SELPA):						
a. Do you choose to exclude from the reserve calculation the pass-through funds distributed to SELPA members?	Yes					
b. If you are the SELPA AU and are excluding special education pass-through funds:						
1. Enter the name(s) of the SELPA(s):						
2. Special education pass-through funds (Column A: Fund 10, resources 3300-3499 and 6500-6540, objects 7211-7213 and 7221-7223; enter projections for subsequent years 1 and 2 in Columns C and E)		0.00				
2. District ADA						
Used to determine the reserve standard percentage level on line F3d (Col. A: Form A1, Estimated P-2 ADA column, Lines A4 and C4; enter projections)		9,717.71		9,448.81		9,185.55
3. Calculating the Reserves						
a. Expenditures and Other Financing Uses (Line B11)		146,061,973.35		133,524,904.70		131,932,756.38
b. Plus: Special Education Pass-through Funds (Line F1b2, if Line F1a is No)		0.00		0.00		0.00
c. Total Expenditures and Other Financing Uses (Line F3a plus line F3b)		146,061,973.35		133,524,904.70		131,932,756.38
d. Reserve Standard Percentage Level (Refer to Form 01CSI, Criterion 10 for calculation details)		3%		3%		3%
e. Reserve Standard - By Percent (Line F3c times F3d)		4,381,859.20		4,005,747.14		3,957,982.69
f. Reserve Standard - By Amount (Refer to Form 01CSI, Criterion 10 for calculation details)		0.00		0.00		0.00
g. Reserve Standard (Greater of Line F3e or F3f)		4,381,859.20		4,005,747.14		3,957,982.69
h. Available Reserves (Line E3) Meet Reserve Standard (Line F3g)		YES		YES		YES



## Current Expense of Education

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- The calculation of the Current Expense of Education Actuals (CEA) is a legal requirement and used to determine the percentage of expenditures for the direct classroom cost of teaching students
- Included in the calculation are the salaries and benefits of teachers and paraprofessionals measured against the total General Fund expenditures of the district
- Certain categorical programs and types of costs are excluded from the calculation
- Salaries and benefits within the General Fund and Charter School Fund (09) are used
- Required percentages have not been amended per the LCFF and are:

Elementary	High	Unified
60%	50%	55%



## Current Expense of Education

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- Elementary districts are those with the highest threshold and are also the largest percentage of districts who failed to meet the requirement

Did Not Meet CEA % by Type of District

