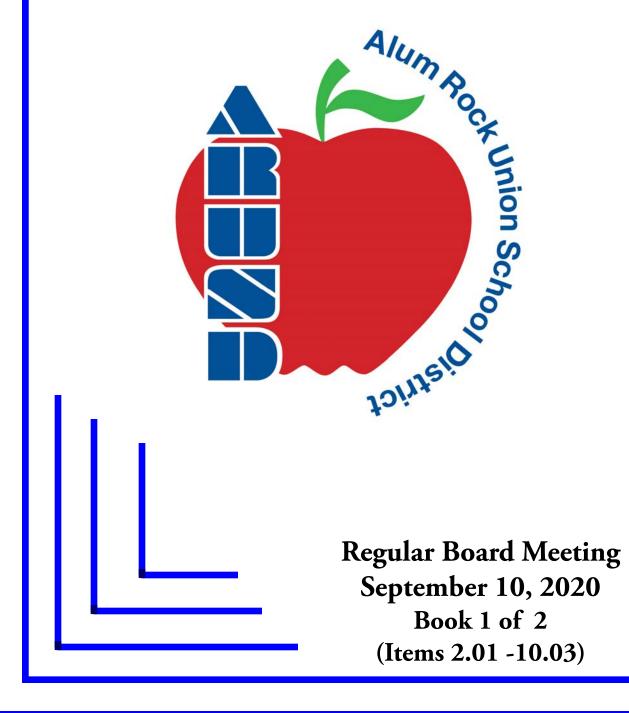
### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

### **BOARD OF TRUSTEES**





### Thursday, September 10, 2020 REGULAR BOARD MEETING AGENDA

Type: REGULAR BOARD MEETING AMENDED AGENDA

Time: 5:30 p.m.

Code: Regular #4-20/21

PURSUANT TO GOVERNOR GAVIN NEWSON'S EXECUTIVE ORDERS NOS. N-29-20 AND N-33-20, AND IN ORDER TO NOT PREVENT, HINDER, OR DELAY APPROPRIATE ACTIONS TO PREVENT AND MITIGATE THE EFFECTS OF THE COVID-19 PANDEMIC, THE September 10, 2020, REGULAR BOARD MEETING WILL BE CONDUCTED EXCLUSIVELY VIA CONFERENCE TELEPHONE AND THROUGH "ZOOM" VIDEO CONFERENCING. THE DISTRICT OFFICE AND BOARD ROOM WILL NOT BE OPEN AND AVAILABLE FOR THE PUBLIC TO ATTEND AND PARTICIPATE IN THE BOARD MEETING AT THE DISTRICT OFFICE. MEMBERS OF THE PUBLIC MAY PARTICIPATE AND COMMENT IN OPEN SESSION THROUGH THE FOLLOWING MEANS:

**1.** Zoom - Live Board Meeting and use "Raise Hand" function to request opportunity to make a comments.

2. THE ZOOM MEETING CAN BE ACCESSED AS FOLLOWS:

Join Zoom Meeting https://zoom.us/j/91351028940

One tap mobile

+4086380968, Meeting ID:91351028940# US (San Jose)

+16699006833, Meeting ID:91351028940# US (San Jose)

Zoom Spanish Interpretation available- Zoom Instructions link www.arusd.org/zoomesp. If you call in you will not be able to access this function.

THE MEETING MAY ALSO BE VIEWED THROUGH LIVE STREAM AS FOLLOWS:

1. Live stream Youtube English Channel "AlumRock TV"

https://www.arusd.org/alumrocktv

In compliance with the Americans with Disabilities Act and Executive Order N-29-20, if you need Special Assistance, Disability-Related modifications or accommodation, including auxiliary aids or services, in order to participate in the Regular Board Meeting of the Board of Trustees, please contact the office of the District Superintendent at (408) 928-6822 or by email at maribel.carrillo@arusd.org immediately and the district will make reasonable arrangements to ensure accommodation and electronic accessibility to this meeting.

### 1. OPEN SESSION - CALL TO ORDER AND ROLL CALL

1.01 CALL TO ORDER / ROLL CALL / PLEDGE OF ALLEGIANCE -- BOARD PRESIDENT ERNESTO BEJARANO.

1.02 DISCUSSION AND/OR MODIFICATION(S) OF THE AGENDA. The Board may change the order of business including, but not limited to, an announcement that an agenda item will be considered out of order, that consideration of an item has been withdrawn, postponed, rescheduled or removed from the Consent Calendar for separate discussion and possible action.

### 2. PUBLIC HEARING

2.01 PUBLIC HEARING: GANN Limit Calculations.

2.02 Presentation and Public Hearing on the 2020-2021 draft Learning Continuity and Attendance Plan.

2.03 Public Hearing Regarding Sufficiency of Instructional Materials.

### 3. SPECIAL PRESENTATION-DISCUSSION, CONSIDERATION AND ACTION.

3.01 Presentation of the Citizen's Bond Oversight Committee (CBOC) School Sites' Visit (Information Only).

3.02 Presentation of the Fiscal Crisis Management Assistance Team (FCMAT) Report on the Fiscal Health Risk Analysis (FHRA) of the District (Information Only).

### 4. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD

4.01 REQUESTS TO ADDRESS THE BOARD provides members of the public an opportunity to speak to the Board about any matter under the jurisdiction of the Board and not otherwise on the agenda. Those who wish to address specific agenda items will have an opportunity to do so when that agenda item is introduced and presented during this meeting. Individuals wishing to speak are requested to submit a comment or request for comment as specified above. There is a two-minute time limit for each speaker. The Board will automatically refer to staff any formal written requests that are brought before them at this time. Written matters may be placed on a future meeting.

### **5. COMMENTS AND COMMUNICATION**

5.01 Teamsters.

5.02 California School Employee's Association (CSEA).

5.03 Alum Rock Administrator's Association (ARAA).

- 5.04 Alum Rock Educator's Association (AREA).
- 5.05 Superintendent.

5.06 Board of Trustees/Communications/Comments.

### 6. SUPERINTENDENT / BOARD BUSINESS--BOARD DISCUSSION AND/OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

6.01 Approve Board Policy 1312.1, Complaints Concerning District Employees – Third Reading.

6.02 Approve Board Policy 1312.3, Uniform Complaint Procedures – Second Reading.

6.03 Approve Board Policy 5125, Student Records-Third Reading.

6.04 Approve Board Policy 3580, District Records – Second Reading.

6.05 Board Policy 4119.21/4219.21/4319.21, Professional Standards – First Reading (Information Only).

6.06 Board Policy 4119.11/4219.11/4319.11, Sexual Harassment-Personnel – First Reading (Information Only).

6.07 Board Policy 5145.7, Sexual Harassment-Students – First Reading (Information Only).

6.08 Approve Biennial Notice - Conflict of Interest Code Amended.

6.09 Approve Board Resolution #14-20/21 Censure of Board Member Dolores Marquez. (Board Vice-President Corina Herrera-Loera)

6.10 District Energy Savings Solar Power Agreement Follow up (Information Only). (Board President Ernesto Bejarano)

### 7. CONTRACTS OVER \$100,000--BOARD DISCUSSION AND/OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

7.01 Approve the Sunbelt Staffing Contract for the 2020-2021 SY. An Occupational Therapist will provide services to students at various sites with occupational therapy identified needs as per the students' IEP. Submitted by: Special Education, \$113,100.

### 8. BUSINESS--BOARD DISCUSSION AND/OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

8.01 Approve Unaudited Actual Financial Reports for 2019–2020 Report to the Board on Unaudited Actuals as Required by Law.

8.02 Update on California School Finance Authority's (CSFA) Pooled TRANs Program for K-12 (Information Only).

8.03 Update on Request for Proposal (RFP) for Program Management Services for Construction Projects (Information Only).

8.04 Approve Citizen's Bond Oversight Committee (CBOC) Appointment.

### 9. HUMAN RESOURCES-- BOARD DISCUSSION AND/OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

9.01 Resignations (Information Only).

### 10. CONSENT CALENDAR-- BOARD DISCUSSION AND/ OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

10.01 Approve Board Meeting Minutes for the following dates:(1) June 11, 2020, Regular Board Meeting.

10.02 Approve Acceptance of Donations.

10.03 Approve Acceptance of Vendor & Payroll Warrants.

10.04 Approve the Santa Clara County Treasury Investment Portfolio Status.

10.05 Approve/Ratify Notices of Employment and Changes of Status/September 10, 2020/Human Resources Department.

10.06 Approve Resolution No. 11-20/21, Regarding Sufficiency or Insufficiency of Instructional Materials.

10.07 Approve Resolution No. 12-20/21 GANN Limit Calculations.

10.08 Approve Resolution No. 08-20/21; CREATING A COLLEGE CULTURE

10.09 Approve Resolution No. 09-20/21; HISPANIC HERITAGE MONTH, September 15 - October 15, 2020

10.10 Approve Resolution No. 10-20/21; CHARACTER EDUCATION MONTH, October 2020.

10.11 Approve Resolution No. 11-20/21; WEEK OF THE SCHOOL ADMINISTRATOR, October 11 - 17, 2020.

10.12 Approve Memorandum(s) of Understanding.

10.13 Approve Contracts for Professional Services -Firms/Organizations.

### **11. CLOSED SESSION**

11.01 RECESS TO CLOSED SESSION: The Board will recess to Closed Session at approximately 9:00 p.m. Open Session will resume at conclusion of Closed Session.

11.02 ANNOUNCEMENT AND PUBLIC COMMENTS REGARDING ITEMS TO BE DISCUSSED IN CLOSED SESSION (Government Code Section 54957.7).

11.03 CONFERENCE WITH LEGAL COUNSEL--ANTICIPATED LITIGATION Significant exposure to litigation pursuant to paragraph (2) or (3) of subdivision (d) of section 54956.9: Claim for Damages of Nicole Kindle on Behalf of Student and two (2) potential cases.

11.04 CONFERENCE WITH LABOR NEGOTIATORS, EMPLOYEE ORGANIZATION: CSEA (California School Employees Association); District Negotiators: (1) Hilaria Bauer, Ph.D., Superintendent; (2) Carlos Moran, Assistant Superintendent, Human Resources and (3) Jess Serna, Lead Negotiator.

11.05 CONFERENCE WITH LABOR NEGOTIATORS, EMPLOYEE ORGANIZATION: AREA (Alum Rock Educators Association); District Negotiators: (1) Hilaria Bauer, Ph.D., Superintendent; (2) Carlos Moran, Assistant Superintendent, Human Resources and (3) Jess Serna, Lead Negotiator.

11.06 CONFERENCE WITH LABOR NEGOTIATORS, EMPLOYEE ORGANIZATION: TEAMSTERS; District Negotiators: (1) Hilaria Bauer, Ph.D., Superintendent; (2) Carlos Moran, Assistant Superintendent, Human Resources and (3) Jess Serna, Lead Negotiator.

11.07 PUBLIC EMPLOYEE PERFORMANCE EVALUATION (Government Code Section 54957): Superintendent.

### **12. RECONVENE TO OPEN SESSION-DISTRICT OFFICE BOARD ROOM**

12.01 REPORT OF ACTION TAKEN IN CLOSED SESSION.

### **13. RECEIVE REPORTS**

### **14. FUTURE BOARD AGENDA REQUESTS**

14.01 Requests from Board of Trustees and/or from the Public. Requests shall be submitted in writing to the Superintendent or designee with supporting documents and information, if any, at least one week before the scheduled meeting date. Items submitted less than a week before the scheduled meeting date may be postponed to a later meeting in order to allow sufficient time for consideration and research of the issue. BB 9322

### 15. ADJOURNMENT

15.01 President adjourns the meeting.

### 16. NEXT MEETING(S)

16.01 October 8, 2020 (2020-21 School Year).

201

### Office of Superintendent of Schools

### **ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:

- Subject: Public Hearing: GANN Limit Calculations
- Staff Analysis: In November of 1979, California voters approved Proposition 4, an initiative that added Article XIII B to the California Constitution. This constitutional amendment, known as the Gann Initiative, placed limits on the growth of expenditures for publicly funded programs. Division 9 of Title 1, beginning with Section 7900 of the Government Code, was then added to law to specify the process for calculating state and local government appropriation limits and appropriations subject to limitation under Article XII B of the Constitution. These constitutional and statutory sections explain and define the appropriations limit and appropriations subject to limitation as they apply to state and local government, and require that each entity of government formally "adopt" its appropriations limit for a given fiscal year.

Education Code sections 1629 and 42132 specify that each year district Governing Boards shall adopt a resolution identifying their estimated appropriations limits for the current year and their actual appropriations limit for the preceding year. The documentation supporting the GANN adoption resolution shall be made available to the public.

### \*PUBLIC HEARING\*

Submitted by: <u>Kolvira Chh</u>	eng			
To the Board of Trustees:	Meeting: September 10, 2020			
Public Hearing	Regular Board Meeting			
Agenda Placement	Hilaria Bauer, Ph.D., Superintendent			
	DISPOSITION BY BOARD OF TRUSTEES			
Motion by:	Seconded by:			
Approved:	Not Approved: Tabled:			

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

2.02

Office of Superintendent of Schools

### **ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 26, 2020

Subject:Presentation and Public Hearing on the 2020-2021 draft Learning Continuity<br/>and Attendance PlanStaff Analysis:The Public Hearing is scheduled at 5:30 p.m., or soon thereafter, to solicit<br/>the recommendations and comments of members of the public regarding<br/>the specific actions and expenditures proposed to be included in the<br/>District's Learning Continuity and Attendance Plan. A copy of the District's<br/>proposed plan is available for inspection at the District Office, Reception

Area, 2930 Gay Avenue, San Jose, CA 95127.

### \*PUBLIC HEARING and PRESENTATION\*

Submitted by: <u>Sandra Garcia</u>	Title: <u>Director of State and Federal Programs</u> Title: <u>Assistant Superintendent, Instructional Services</u>
To the Board of Trustees: Public Hearing and Presentation 2.02 Agenda Placement	Meeting: <u>September 10, 2020</u> Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent
DISPOSITION Motion by: Approved:Not Appro	N BY BOARD OF TRUSTEES Seconded by: oved: Tabled:



2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

### PUBLIC HEARING ANNOUNCEMENT

Issued on August 31, 2020

A Public Hearing is required to obtain assurances from the Governing Board that the school district will comply with SB 98, which establishes California *EC* Section 43509 and the Learning Continuity and Attendance Plan (Learning Continuity Plan) requirements for the 2020–21 school year. SB 98 requires that the governing board of a school district shall hold at least one public hearing to solicit the recommendations and comments of members of the public regarding the specific actions and expenditures proposed to be included in the Learning Continuity and Attendance Plan. The requirements include the following:

- 1. The Governing Board will hold a public hearing.
- 2. The Learning Continuity and Attendance Plan will address the specific actions and expenditures anticipated to address the impacts of COVID-19 including a description of how the LEA will provide continuity of learning and address the impact of COVID-19 on pupils, families and staff.
- 3. A copy of the 2020/2021 Learning Continuity and Attendance Plan will be available for public inspection at:

Alum Rock Union Elementary School District – Reception Area 2930 Gay Avenue San Jose, CA 95127 (408) 928-6800

### Public Hearing will be held on September 10, 2020 5:30 p.m. Alum Rock Union Elementary School District Board Room

Hilaria Bauer, Ph.D., Superintendent

Board of Trustees: Ernesto Bejarano, President \* Corina Herrera-Loera, Vice-President Andrés Quintero, Clerk \* Linda Chavez, Member \* Dolores Márquez-Frausto, Member



2930 Gay Avenue, San José, CA 95127 · F

Phone: 408-928-6800

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www.arusd.org

### AVISO DE AUDIENCIA PÚBLICA

Emitido el 31 de agosto de 2020

Una audiencia pública es requerida para obtener garantías por parte de la Mesa Directiva de que el distrito escolar cumplirá con el Proyecto de Ley del Senado SB 98, la cual establece los requisitos para el ciclo escolar 2020-21 referentes a la Sección 43509 del Código de Educación de California y al Plan de Continuidad de Aprendizaje y Asistencia Escolar (*Learning Continuity Plan*, por su nombre en inglés). La SB 98 requiere que la mesa directiva de un distrito escolar lleve a cabo mínimo una audiencia pública para solicitar las recomendaciones y comentarios de los miembros del público con respecto a las acciones y gastos propuestos que serán incluidos en el Plan de Continuidad de Aprendizaje y Asistencia Escolar. Los requisitos incluyen lo siguiente:

- 1. La Mesa Directiva llevará a cabo una audiencia pública.
- 2. El Plan de Continuidad de Aprendizaje y Asistencia Escolar abordará las acciones y gastos específicos anticipados para poder atender los impactos por COVID-19, incluyendo una descripción del modo en que la Entidad Educativa Local (LEA, por sus siglas en inglés) proporcionará la continuidad educativa y atender el impacto creado por el COVID-19 sobre los alumnos, las familias, y los maestros.
- 3. Una copia del Plan de Continuidad de Aprendizaje y Asistencia Escolar 2020-2021 estará disponible para que el público lo pueda inspeccionar en:

Oficina del Distrito Escolar de Alum Rock – Área de Recepción 2930 Gay Avenue San Jose, CA 95127 (408) 928-6800

La Audiencia Pública se llevará a cabo el: 10 de septiembre de 2020 5:30 p.m. Oficina del Distrito Escolar de Alum Rock Sala de Juntas de la Mesa Directiva



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### THÔNG BÁO VỀ BUỔI ĐIỀU TRẦN TRƯỚC CÔNG CHÚNG

Phát hành ngày 31 tháng 8 năm 2020

Một buổi họp Điều Trần Trước Công Chúng bắt buộc phải có để bảo đảm là Ban Hội Đồng Quản Trị của học khu sẽ tuân theo SB 98, mà được thành lập bởi Luật Giáo Dục của Tiểu Bang California Đoạn 43509 và Kế Hoạch Tiếp Tục Học và Điểm Danh (Kế Hoạch Tiếp Tục Học) bắt buộc cho năm học 2020–21. SB 98 bắt buộc ban hội đồng quản trị của một học khu phải có ít nhất là một buổi điều trần trước công chúng để lấy các đề nghị và những lời phê bình của công chúng về những biện pháp được dùng và các khoản chi tiêu được đề ra trong Kế Hoạch Tiếp Tục Học và Điểm Danh. Các đòi hỏi gồm có những điều sau đây:

- 1. Ban Hội Đồng Quản Trị sẽ mở một buổi điều trần trước công chúng.
- 2. Kế Hoạch Tiếp Tục Học và Điểm Danh sẽ nói rõ những biện pháp và các khoản chi tiêu được dự tính vì ảnh hưởng của Bịnh Dịch COVID-19 gồm có nói rõ ràng là làm thế nào Cơ Quan LEA sẽ có cách tiếp tục học và nói về ảnh hưởng của Bịnh Dịch COVID-19 đối với học sinh, đối với gia đình và đối với nhân viên.
- Một bản sao của Kế Hoạch Tiếp Tục Học và Điểm Danh cho năm học 2020/2021 sẽ có sẵn để công chúng xem tại:

Học Khu Alum Rock Union Elementary School District – "Khu Tiếp Tân" (Reception Area) 2930 Gay Avenue San Jose, CA 95127 (408) 928-6800

Buổi Điều Trần Trước Công Chúng sẽ được tổ chức vào ngày 10 tháng 9 năm 2020 Vào lúc 5:30 chiều tại Học Khu Alum Rock Union Elementary School Phòng Hội Đồng Quản Trị (Board Room)



### PUBLIC HEARING ANNOUNCEMENT

Issued on August 31, 2020

A Public Hearing is required to obtain assurances from the Governing Board that the school district will comply with SB 98, which establishes California *EC* Section 43509 and the Learning Continuity and Attendance Plan (Learning Continuity Plan) requirements for the 2020–21 school year. SB 98 requires that the governing board of a school district shall hold at least one public hearing to solicit the recommendations and comments of members of the public regarding the specific actions and expenditures proposed to be included in the Learning Continuity and Attendance Plan. The requirements include the following:

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### AVISO DE AUDIENCIA PÚBLICA

Emitido el 31 de agosto de 2020

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### THÔNG BÁO VỀ BUỔI ĐIỀU TRẦN TRƯỚC CÔNG CHÚNG

Phát hành ngày 31 tháng 8 năm 2020

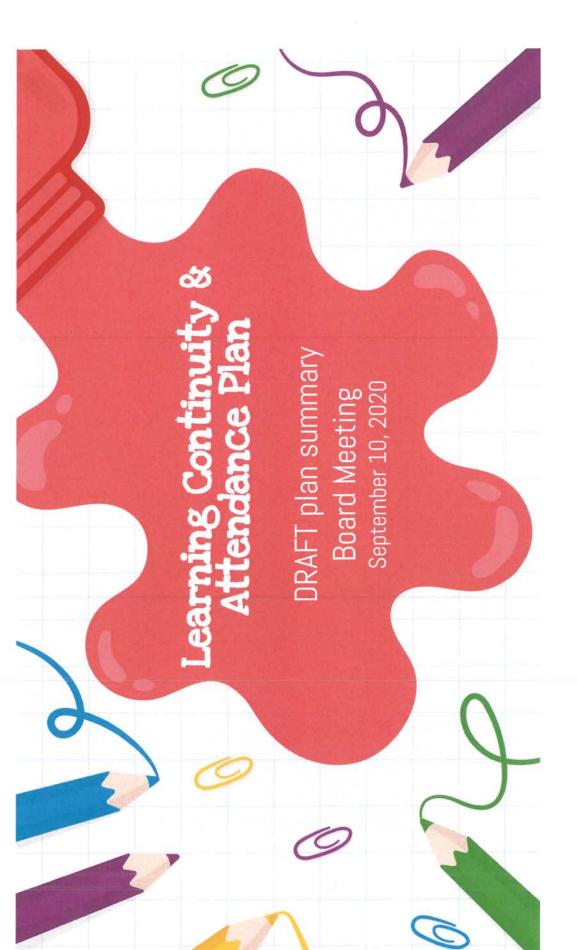
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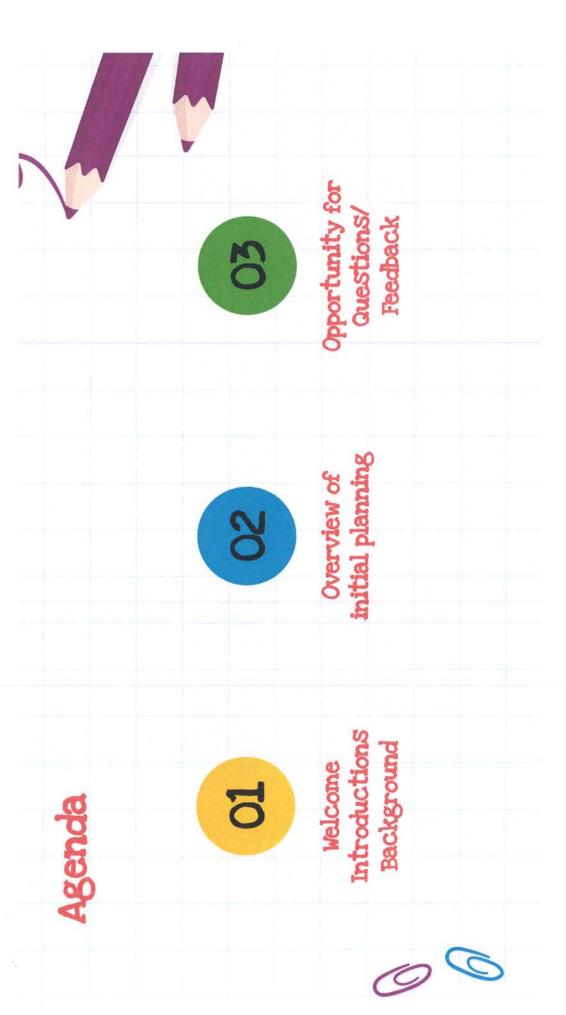
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Học Khu Alum Rock Union Elementary School District – "Khu Tiếp Tân" (Reception Area) 2930 Gay Avenue San Jose, CA 95127

(408) 928-6800

Buổi Điều Trần Trước Công Chúng sẽ được tổ chức vào ngày 10 tháng 9 năm 2020 Vào lúc 5:30 chiều tại Học Khu Alum Rock Union Elementary School Phòng Hội Đồng Quản Trị (Board Room)

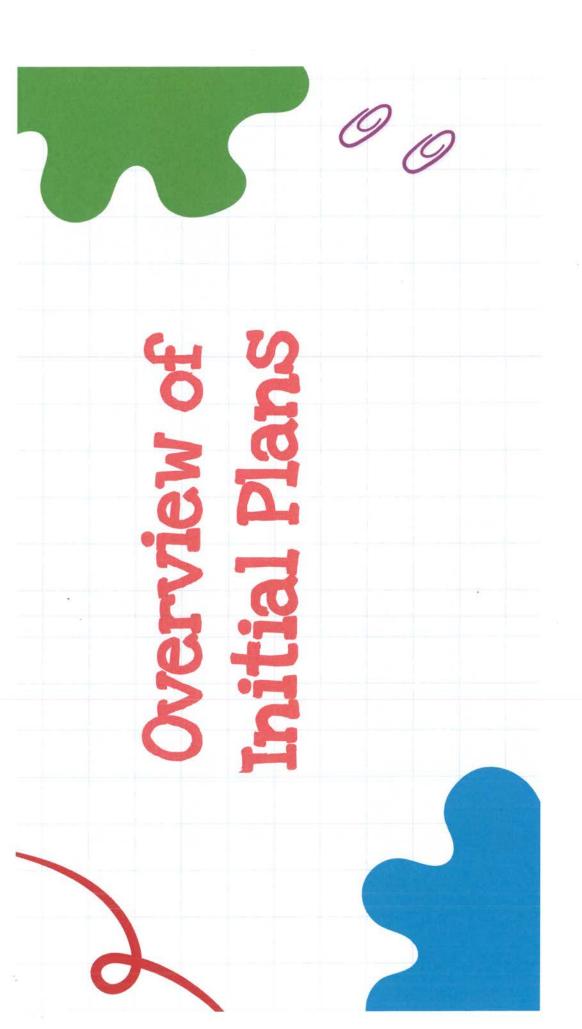




### Background

## What is the Learning Continuity and Attendance Plan?

- and Accountability Plan by December 15, 2020 is being replaced by the For the 2020-21 school year, the requirement to adopt a Local Control Learning Continuity and Attendance Plan.
  - LEAs will provide a summary of how the LEA will provide continuity of learning and address the impact of COVID-19 on pupils, families and staff.





## Unstance Learning

1:1 device access is provided for all students in the form of chromebooks and ipads, and mobile hotspots are provided for families with connectivity needs.

Daily instructional minutes exist as follows: minimums of 180 minutes for students in grades TK-K, 230 minutes for students in grades 1-3, and 240 minutes for students in grades 4-8

Synchronous and asynchronous delivery in whole group and small groups is provided, including morning meetings to support SEL needs Guidelines have also been established regarding attendance taking, grading, feedback norms, and student engagement

Staff collaboration and professional development will be built into our weekly schedules All adopted core curriculum is available online as well as edtech supplements such as Seesaw and MobyMax, in addition to EL supports such as Listenwise and BrainPOP EL Teachers have been provided with district zoom accounts for instructional delivery Google classroom and Seesaw are used as communication platforms for families

## Devices and Connectivity

- Provide and support Windows laptops, chromebooks, iPads for all Alum Rock students \*
- Provide iPads to students in TK/K grades
- Provide Windows laptops or chromebooks to students from 1st through 8th grade
- 5 of our middle school receive iPads with built-in Internet connectivity through Verizon Innovation Learning Schools (VILS) Program \*
  - Offer support for internet connectivity through T-mobile and AT&T hotspots for students that need it \*
- Offer extended Tech Support hours until 7:00pm on weekdays and from 10:00am to 4:00pm on weekends \*
- Offer tech support in English, Spanish and Vietnamese

## **Professional Development**

- Staff surveys will inform professional development efforts
- District developed offerings will be provided, per our survey results
- instructional platforms and programs, supports for English Language Learners, school-home communication methods, socio-emotional supports for students Examples of district-provided trainings include:video-conferencing, essential and staff, and online instructional design topics
- Examples of voluntary trainings include: supplemental instructional applications, making effective use of devices such as chromebooks or ipads ×
  - Edtech coaching and mentoring will be provided to teachers requesting additional support in programs and platforms ×
- Training formats will include both synchronous and asynchronous delivery methods
- The Alludo Learning platform will also be available for all teachers and administrators, offering "gameified" professional learning

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arts at the beginning of the year through the FastBridge system for students in grades 1-8, and through the ESGI platform for students in grades TK, kindergarten as well as those in In-person and online diagnostics will be administered to students in math and language SDC classes Schools will analyze data, set growth targets, and monitor student performance (academic progress will be monitored using eduClimber) Assessment windows will take place midyear and end of year to monitor performance of all student subgroups

Reading progress will be measured and monitored using the Book Nook reading inventory for students in grades K-5.

a combination of progress monitoring assessments alongside Initial and Summative ELPAC English language development for our English Language Learners will be assessed through Additional formative assessment data is available through our MobyMax program assessments

Additional tutoring options will be provided

## Support for Students with Unique Needs-SPED, Foster Youth, Homeless Youth, ELS

- English Learners will continue to receive both integrated and designated English Language Development services
- Additional programs to support English language development provided BrainPOP EL and Listenwise (MS)
  - Extensive PD opportunities for teachers on providing EL support in content areas.

# Our District provides additional support to our displaced families and Foster youth.

- McKinney Vento students will be cased managed by a School Link Services Coordinator to identify and link students to identified needs
  - Prioritized enrollment for After School Activities
- School Supplies
- School Uniforms
- Tutoring and Mentoring
- Transportation aid for Students and Parents
- Eyeglasses
- Dental Care
- Counseling Assistance

## Sped (Special Education):

- IEPs will continue to be implemented to the greatest extent possible through synchronous and asynchronous methods
- Service providers are continuously monitoring progress on goals and adjusting supports to ensure that

students are making progress towards their goals.

- For pupils with exceptional needs who currently receive special education, any learning loss will be addressed through the IEP process.
- During distance learning, if teachers or families have concerns regarding a student's access or goal progress, IEP teams will convene to consider and implement additional modifications and accommodations to address the student's learning.
- progress or regression due to school closures. If there are any such concerns, the student's teacher will work with the IEP team and family to discuss the student's individual needs, which may include When schools return to in-person instruction, District staff working with the students will closely monitor skills and performance to confirm whether or not there are any concerns regarding goal the offering of compensatory services.

### Mental Health & Melhess and Social-Emotional Learning

### Mental Health & Wellness Social Emotional Learning

### **Mental Health**

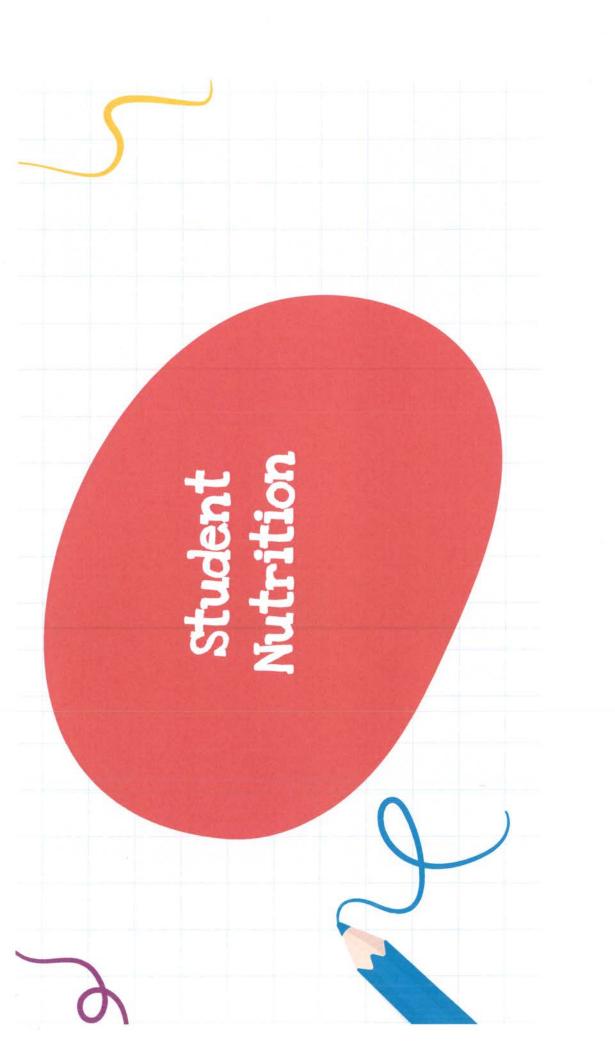
- Professional Development
- Site based counseling
- After-hours crisis support
- Wellness resource & support
- requests Wellness Wednesdays for staff

## Social Emotional Learning

- Professional Development
  - SEL Awareness
- Morning Meetings
- Communities of Care
- Kimochis, PBIS, Restorative Practices

### student and Family Family Outreach and Outreach and

Family Fromoment	<ul> <li>School Link Services</li> <li>FRC Support</li> </ul>	<ul> <li>Community Resource Linkages</li> </ul>	<ul> <li>Homeless Support</li> <li>Live IT Support</li> </ul>	<ul> <li>Community Liaisons, Parent</li> <li>Square, Counseling Services</li> <li>Parent Tech Workshops and other</li> </ul>	relevant topics to support during school closures
Student and Family Engagement and Outreach	<ul> <li>After School Program prioritization</li> <li>Site based counseling</li> </ul>	<ul> <li>After-hours crisis support</li> <li>Wellness resource &amp; support requests</li> </ul>	<ul> <li>Online Platforms, Google Classroom, Zoom and SeeSaw</li> </ul>	<ul> <li>Weekly Engagement Log</li> <li>Tiered Re-engagement Plan</li> <li>Strategies Include (Phone, email, Parent</li> </ul>	Square, Home visits)

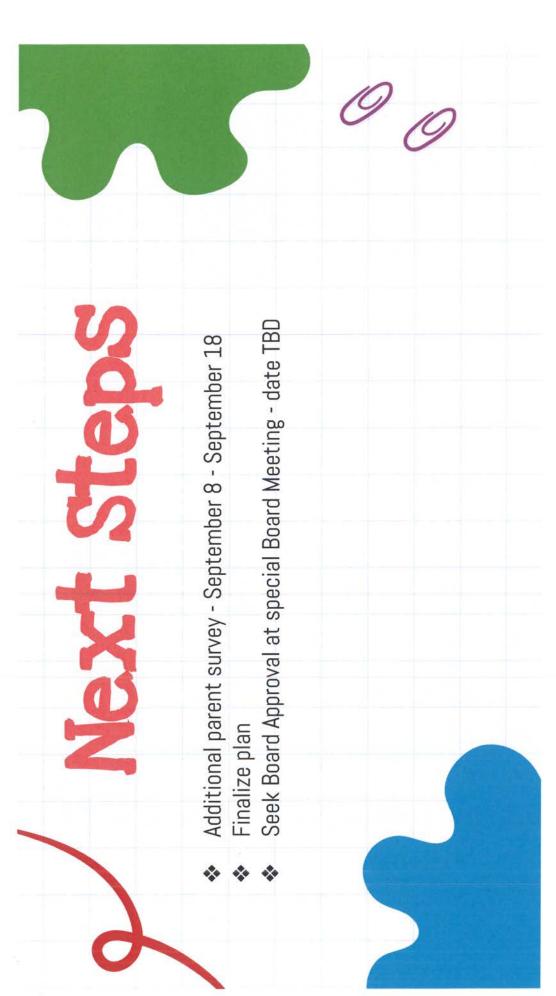


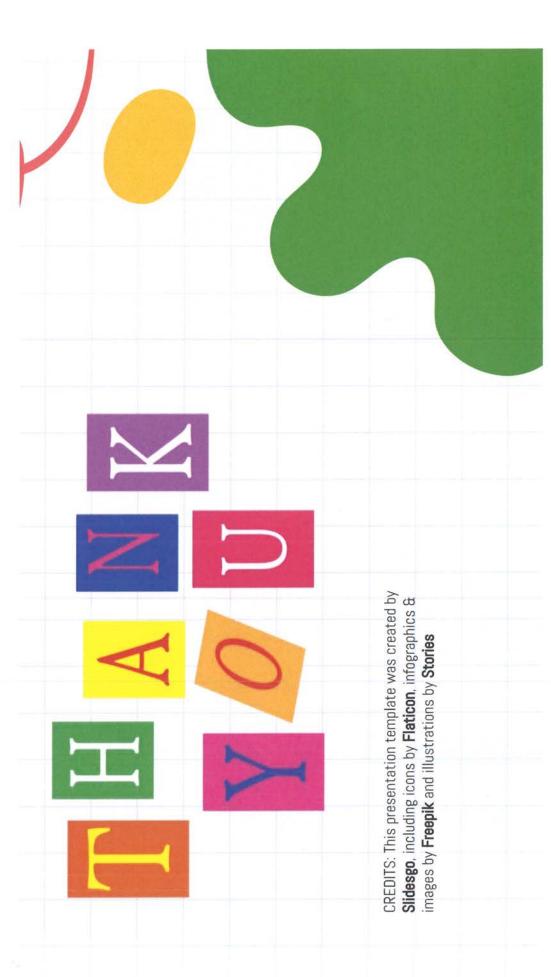
## Meal Support for Students

- 10 school sites Monday-Thursday 11am 1pm- Adelante II Academy, Cassell Elementary, 2 school sites Monday-Thursday 12pm - 1pm- Lyndale Elementary, San Antonio/LUCH Will provide curbside grab and go meals from 12 school locations for all ARUSD Fischer Middle, George Middle, Hubbard Academy, Linda Vista Elementary, Mathson CNS has partnered with our transportation team to deliver meals to SPED, Middle, Ocala Academy, Painter/Sheppard, and Ryan Academy. Students will receive breakfast, lunch and supper each day Extended locations: students. ٠ \* \* ×
- All ARUSD students will receive meals at no cost through School Nutrition Alternate Program Provision 2. \*

quarantined, and high need student populations to start in September.

meals in the classroom upon return to school sites. This will ensure that students Prepared to offer breakfast, lunch and supper via grab and go models o and staff on site adhere to all safety protocols.





Learning Continuity	Learning Continuity and Attendance Plan Template (2020–21)	emplate (2020–21)
The instructions for completing the Learning Continuity and Attendance Plan is https://www.cde.ca.gov/re/lc/documents/Irngcntntyatndncpln-instructions.docx.	The instructions for completing the Learning Continuity and Attendance Plan is available at <a href="https://www.cde.ca.gov/re/lc/documents/lrngcntntyatndncpln-instructions.docx">https://www.cde.ca.gov/re/lc/documents/lrngcntntyatndncpln-instructions.docx</a> .	<b>DRAFT</b> September 3, 2020
Local Educational Agency (LEA) Name	Contact Name and Title	Email and Phone
Alum Rock Union Elementary School District	Sandra Garcia Director, State & Federal Programs	sandra.garcia@arusd.org (408) 928-6935
General Information		
[A description of the impact the COVID-19 pandemic has had	pandemic has had on the LEA and its community.]	
The Alum Rock School District lies in an area of Santa Clara Pandemic. Since the school closures in March, Alum Rock in Staff worked quickly and relentlessly to distribute student wol develop communication systems, and coordinate temporary families.	The Alum Rock School District lies in an area of Santa Clara County that has been one of the communities most affected by the Covid-19 Pandemic. Since the school closures in March, Alum Rock implemented a three phase approach to address the needs of our community. Staff worked quickly and relentlessly to distribute student work and devices, develop site plans, distribute meals, establish online platforms, develop communication systems, and coordinate temporary agreements with all bargaining units to ensure continuity of service to our families.	County that has been one of the communities most affected by the Covid-19 mplemented a three phase approach to address the needs of our community. It and devices, develop site plans, distribute meals, establish online platforms, agreements with all bargaining units to ensure continuity of service to our
During this emergency response phase, despite our best efforts, was not able to provide 1:1 services such as Speech, APE, OT. plans for providing IEP services virtually. Communication with pa support staff, SLS coordinators and District partners in check-in c	During this emergency response phase, despite our best efforts, we faced some unique challenges with Distance Learning. Initially, SPED was not able to provide 1:1 services such as Speech, APE, OT. By Phase II, staff was able to communicate 1:1 with families to develop plans for providing IEP services virtually. Communication with parents was another challenge addressed by involving Principals, teachers, support staff, SLS coordinators and District partners in check-in calls and home visits.	we faced some unique challenges with Distance Learning. Initially, SPED By Phase II, staff was able to communicate 1:1 with families to develop arents was another challenge addressed by involving Principals, teachers, calls and home visits.
As we initiate the new school year, the Alum Rock community continues to be or Clara County has 14, 872 positive cases of Covid-19 and 214 deaths. Between Covid-19 cases ranging from 7,000 - 11,000 cases daily. Alum Rock School Dis in the county (95116, 95127, 95122) with an average of 1,500 cumulative cases.	As we initiate the new school year, the Alum Rock community continues to be one of the most impacted in the County. Currently, Santa Clara County has 14, 872 positive cases of Covid-19 and 214 deaths. Between July and August, there has been an uptick of confirmed Covid-19 cases ranging from 7,000 - 11,000 cases daily. Alum Rock School District serves students in the three highest impacted zip codes in the county (95116, 95127, 95122) with an average of 1,500 cumulative cases.	st impacted in the County. Currently, Santa gust, there has been an uptick of confirmed students in the three highest impacted zip codes
Stakeholder Engagement	זנ	

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[A description of the efforts made to solicit stakeholder feedback.]
During the initial school closure period, Alum Rock Union Elementary School District (ARUSD) held three Town Hall meetings on May 28, July 7, July 8 and July 15 to share updates with the community and provide an opportunity to ask questions. Stakeholder groups including parents, teachers, staff, and community members were involved in the Town Hall meetings. These meetings were conducted in English, Spanish and Vietnamese. Students, teachers and parents were also asked to participate in a District-wide survey (in June 2020) intended to gather information regarding the needs of our teachers, students and their families specific to their experience with the school closures.
In August, ARUSD held two input sessions on August 25 and August 26 via Zoom. The intended purpose of these sessions was to provide information to stakeholders on our initial development of the Learning Continuity Plan. Time was also provided to engage participants in a dialogue regarding current services, the challenges still needing to be addressed and an opportunity to ask questions. We had over 300 participants between the two input sessions. Stakeholders were informed of these meetings through email, our district website, social media, information were provided in English, Spanish and Vietnamese.
Leaders from the California School Employee Association (CSEA), Alum Rock Educators Association (AREA), Teamsters and Alum Rock Administrators Association (ARAA) were invited to provide input to the plan. In addition, each individual school held input sessions for their staff, parents, and community in September 2020.
Parents were asked to participate in another pulse survey in September. The survey provided parents the opportunity to provide additional input in English, Spanish and Vietnamese.
As part of the requirements under SB98, a copy of the draft plan was shared with our parent advisory groups on September 1 to provide an opportunity for members to ask questions and provide feedback on the plan. This draft will also be presented at a Public Hearing at our regularly scheduled Board Meeting on September 10 to solicit the recommendations and comments from members of the public regarding the plan the plan and expenditures related to the plan.
A final draft will be presented to the Alum Rock Board of Trustees for approval at a special board meeting. Once approved, the plan will be submitted to the SCCOE.
[A description of the options provided for remote participation in public meetings and public hearings.]
All Town Hall and Input Sessions during the school closures were conducted via Zoom with teleconferencing as an option. Participants were able to share comments or ask questions via the "chat" function on Zoom and/or by using breakout rooms to engage in dialogue. Interpretation was provided in Spanish at all meetings. Presentations were shared on the screen for all participants and were translated into Spanish. The presentations were also posted to the District website for the community to access.
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[A summary of the feedback provided by specific stakeholder groups.] The District collected feedback from stakeholders from May to August 2020 that informed our reopening plans. Parents were most concerned with making sure that there were ways for students and teachers to make positive connections, working on better student engagement and participation in class and the need for consistent communication from teachers in regards to schedules, grading and feedback on assignments and student progress. Another common theme among parents was the need for parent training on the use of the various platforms used for instruction and communication. Teachers were most needing support with developing creative ways to engage students via Zoom, ideas for differentiating lessons effectively while in a virtual or hybrid classroom, the process for supporting students who are not participating and keeping track of student attendance and engagement. All groups expressed concerns and shared expectations that the District have comprehensive protocols to ensure the health and safety of all students, staff, and families upon return to on-site instruction
[A description of the aspects of the Learning Continuity and Attendance Plan that were influenced by specific stakeholder input.] Planning for reopening has been an arduous process that was influenced by the feedback received from stakeholders as well as the changing conditions and directives from state and local authorities. While many items were influenced by stakeholder input the following are the most significant impacts that directed our planning and development of our Learning Continuity and Attendance Plan.
The specific stakeholder input received throughout the summer months has significantly influenced the district's Learning Continuity and Attendance Plan both through the impacts on development of the district's ReOpening Plans and through direct feedback on the plan itself. Themes that emerged from the parent/caregiver and student surveys administered in the spring included the need to provide (a) daily, live, synchronous instruction, (b) increased connection to staff and peers and sense of belonging at school, and (c) more resources for parents to support their students learning and use of technology, and (d) expanded support services for students struggling the most with Distance Learning. This input has influenced the following aspects of the district's Learning Continuity and Attendance Plan.
<ol> <li>Development of clear expectations for daily, live instruction for all students every day. A minimum number of minutes for both synchronous and asynchronous instruction has been established for all grade levels. All students begin the day at 9 am with a Morning Meeting. The intent of this meeting is to provide connection between teachers and students as well as an opportunity for teachers to share information on their classes and assignments.</li> </ol>
2) Development of a Social Emotional Learning (SEL) program focused on universal themes that support connecting, belonging, and other aspects of social and emotional well-being. These lessons support the implementation of school wide practices as well as the daily integration of Social Emotional Learning into academic instruction. The SEL program will be an integral component for students and all staft.
3) A communication plan was developed to provide relevant and timely information to parents and caregivers for supporting their own students' learning. The Distance Learning plan includes specific expectations for regular communication from teachers to students and families through a variety of platforms and apps. Communications will include the week's learning goals, schedule of Zoom times for synchronous instruction, content to be taught, assignments to be completed during asynchronous time and any other relevant information. This communication is intended to provide parents some of the key information and tools they need to support students in meeting goals.

Teachers are also expected to be available to students and family members outside of instructional time. This includes being available to parents and students and identifying the times at which support is available.
Continuity of Learning
In-Person Instructional Offerings
[A description of the actions the LEA will take to offer classroom-based instruction whenever possible, particularly for students who have experienced significant learning loss due to school closures in the 2019–2020 school year or are at a greater risk of experiencing learning loss due to future school closures.]
Reopening will happen over a three phase rollout. Phase one will have 100% of our students participating in distance learning, per state and local health recommendations and guidelines. Instructional minutes for all grade levels are guided by state guidelines, and we have established consistencies regarding the expectations around synchronous and asynchronous instruction. Assessment plan information is
covered in detail in the Fubil Learning loss section below. Staff is piloting an on-site program using ASES partners as support for connecting students to their on-line classes and providing follow up help with asynchronous assignments. Services will be offered to our most impacted students including Foster Youth, displaced students and students experiencing difficulty with distance learning or connectivity. Placement in in-person offerings will be by principal referral.
Phase two will reintroduce a percentage of students to in-person learning, as permitted by the department of health. Instruction will be provided using a combination of a blended and hybrid model. Class size will follow social distancing recommendations as per state and county guidelines. Distance learning will continue for the majority of students. As we reopen we will survey families regarding their intention to continue with distance learning or to move to in-person offerings.
Phase three will reintroduce all students to in-person learning, as permitted by the department of health. In addition, families will be surveyed to determine their readiness to return to live instruction. Distance learning accommodations will be developed for families uncomfortable or unable to commit to a return to live instruction for the 2020/2021 school year.
All reopening information is available on our district website in English, Spanish, and Vietnamese.
Upon return to school, the following health and safety guidelines and procedures will be followed by all students and staff:
Screening Process

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<ul> <li>Staff and students (with parent support) will need to self-screen for symptoms each morning before coming onto district campuses or facilities. The screening tool asks the following questions:</li> <li>Do you have any of these symptoms that are not caused by another condition? Fever or chills, recent loss of taste or smell, cough, sore throat, shortness of breath or difficulty breathing, congestion, fatigue, nausea, vomiting, muscle or body aches, diarrhea, or a headache</li> <li>Within the past 14 days, have you had contact with anyone that you know had COVID-19 or COVID-like symptoms? Contact is being in a cohort for any length of time, within 6 feet (2 meters) or closer for more than 15 minutes with a person, or having direct contact with fluids from a person with COVID-19 (for example, being coughed or sneezed on).</li> <li>Have you had a positive COVID-19 test for an active virus in the past 10 days?</li> <li>Within the past 14 days, has a public health or medical professional told you to self-monitor, self-isolate, or self-quarantine because of concerns about COVID-19 infection?</li> </ul>
Staff or students who answer "yes" to any question will be asked to remain at home and will work with our District representative on next steps. The District also has developed an illness response protocol and a response process for when someone is diagnosed with COVID-19. All employees will need to have mandatory testing every month.
Procuring PPE:
The District began procuring personal protective equipment, or PPE, and sanitation supplies and equipment in the spring of 2020 knowing that these items would be essential for the health and safety of our staff and students when it was time to have students return to in-person instruction.
The District has purchased a variety of items including but not limited to: masks, face shields, electrostatic sanitizing machines, disinfecting wipes, hand sanitizer, touchless thermometers (enough for all school offices), disposable gloves and gowns, soap dispensers, tissues, cleaning and disinfecting supplies, trash cans.
Masks and Face Shields:
When staff or students are using campus areas, both groups are required to wear masks. Students should wear clean, cloth masks from home or will be provided with a mask at school. Staff members must wear a mask & will have available: district-provided masks, clear face masks, and can opt to add a face shield, if needed. Mask breaks can occur throughout the day when students and/or adults can be six feet apart and ideally outside.
Cleaning and Disinfecting:
When staff or students are using campus areas, daily cleaning and sanitizing protocols will be followed with an emphasis on high-traffic areas. Areas include: classroom desks, door handles, faucets, table tops & chairs, soap, paper towel and sanitizer dispensers, main offices and restrooms. Additionally, All HVAC units will be serviced and MERV 13 filters will be installed in all units.
2020-21 Learning Continuity and Attendance Plan for Alum Rock Union Elementary School District

Physical Distancing:
Physical Distancing Protocols have been put in place to protect students and staff. Classroom furniture has been rearranged to ensure a 6 foot distance between students to the greatest extent possible. School schedules have been modified and only groups of students will be in classrooms at any given time. Students will be placed in stable cohorts and meals will be served in classrooms. Arrival, dismissal, recess, and lunch times will be staggered to allow for adequate spacing between students. Social distancing markers have been purchased for all District facilities and will be placed strategically to help remind staff and students of the need to keep 6 feet apart.
Hygiene Practices:
Signs and videos have been created to train and remind staff and students about good hygiene practices, including: washing hands for 20 seconds and the importance of covering coughs and sneezes. All employees will be provided supplies to sanitize their desk areas at the end of each day, which is in addition to the cleaning and disinfecting that will be done each evening.
Schools and teachers identify standards and skills that students need additional instruction with or possibly need extension or enrichment. Once the standards and skills are identified, instruction can be added to small group time during core instruction or to the Response to Instruction period. Additionally, the District uses trend data by school and grade to determine next steps for professional development
Actions Related to In-Person Instructional Offerings [additional rows and actions may be added as necessary] Description On-site Learning Care Pods Yes
Distance Learning Program
<b>Continuity of Instruction</b> [A description of how the LEA will provide continuity of instruction during the school year to ensure pupils have access to a full curriculum of substantially similar quality regardless of the method of delivery, including the LEA's plan for curriculum and instructional resources that will ensure instructional continuity for pupils if a transition between in-person instruction and distance learning is necessary.] Continuity of instruction will be maintained through thoughtful instructional program planning, effective two-way communication, and intentionality with regard to adult practices; including professional development and collaboration. Key to our distance learning vision is 1:1 device access for all struction the form of chromehools and index as well as intended to adult practices; including professional development and collaboration. Key to our distance learning vision is 1:1 device access for all structures in the form of chromehools and index as well as intended.
2020-21 Learning Continuity and Attendance Plan for Alum Rock Union Elementary School District

for families in need. In addition, device and material distribution will take place prior to the start of the school year. Technology support is also enabled to ensure that breakage and lost/stolen devices does not become a hindrance to learning. We are closely tracking device distribution, accounting for devices on loan as well as planning for collection and distribution arising from enrollment fluctuation throughout the year. This foundation makes it possible to deliver our distance learning instructional model, consisting of daily minimums of 180 minutes of daily learning for students in grades 6-8.
Our instructional day starts with a morning meeting for SEL support and check in for student engagement. The remainder of the day consists of a mixture of synchronous and asynchronous delivery, allowing for daily live interaction between teachers and students. Guidelines have also been established regarding attendance monitoring, grading, feedback norms, and student engagement. Within our asynchronous and synchronous and synchronous instruction, small group instruction, socio-emotional attention via a daily morning meeting, and consistent parent communication.
All teachers have access to the adopted core curriculum as the foundation for teaching core subjects. They have access to the online planning tools and supplemental student consumables are available for distribution. In addition a variety of online support programs have been provided by the district. Nearpod is provided to all teachers with succinct lessons in language art, ELD, digital citizenship and provides a platform for teachers to create their own lessons. Our district also provides MobyMax, which is a standards based, independent practice platform providing instruction at a student's individual pathway or teachers have the ability to assign standard-based support lessons. Seesaw is also provided to all teachers will be available for use by students in a variety of core subject areas. All online adopted curriculum as well as supplementary programs will be available for use by students and teachers during in person and distance learning.
Attention and support will be provided to English Language Learners, students with IEPs and 504 plans, as well as students in special populations in the form of online interventions. General communication and announcements will take place through the Parent Square application, allowing dissemination of information, updates, and alerts. Daily instructional communication in terms of class updates, assignment directions, and student feedback will be provided through the Seesaw platform for students in grades TK-2nd, and through Google Classroom for students in grades 3-8. It's important to note that these platforms enable effective two way communication, allowing for regular input and feedback on the instructional program.
uilt into our weekly schedules and fac mboard, padlet, and flipgrid to name a enable effective video-conferencing
Access to Devices and Connectivity [A description of how the LEA will ensure access to devices and connectivity for all pupils to support distance learning.] Alum Rock offers various technology programs and apps to students and staff. Accordingly, various types of instructional computing devices are available to students and staff. We provide and support Windows laptops, Chromebooks, iPads to pupils as per their program
2020-21 Learning Continuity and Attendance Plan for Alum Rock Union Elementary School District

requirements. iPads are provided to students in TK/K grades and Windows laptops or Chromebooks to students from 1st through 8th grade. Alum Rock also runs the VILS (Verizon Innovation Learning Schools) program and through this program the students and staff at five of our middle schools receive iPads with built-in internet connectivity. For students outside the VILS program, our district offers internet connectivity through 2000 Tmobile Hotspots. Recently, we received a Digital Inclusion Grant of 2200 AT&T hotspots to support wireless connectivity for our students. We are supporting all our students with 1:1 devices and hotspots, as needed. The IT department has also extended our Tech Support hours until 7:00pm on weekdays and added service from 10:00am to 4:00pm on weekends. Additionally, we provide tech support in English/ Spanish and Vietnamese.	School trainings are offered by IT department staff, by request. Individualized training is provided during tech support hours, as needed. All school sites are providing tech workshops for parents led by Principals, Community Liaisons and/or after school providers.	Pupil Participation and Progress [A description of how the LEA will assess pupil progress through live contacts and synchronous instructional minutes, and a description of how the LEA will measure participation and time value of pupil work.]	Teachers will be tracking synchronous and asynchronous assignments through a weekly log in Illuminate. This log will encompass work that adds up to the mandated instructional minutes (TK-K = 180 minutes, 1-3 = 230 minutes, and 4-8 = 240 minutes). In conjunction with this, teachers will fill out a report in our Illuminate platform that summarizes student engagement and any interventions that have occurred as a result of re-engagement.	These interventions include phone calls, emails, text messages, home visits, and more. The report will be viewed by teachers, community liaisons, school leaders, and district leaders in order to monitor engagement and re-engagement efforts.	Teachers will monitor student participation during synchronous and asynchronous instructional time and assign participation credit. All assigned class work must be turned in and then provided a grade in google classroom or eschool. Teachers will be monitoring student progress using a variety of methods including daily live sessions(synchronous participation), small groups, assignment completion and assessment results.	Academic progress will be measured by our district assessment plan (with considerations for distance learning) and in class formative assessments. This progress will be communicated to our families through our different platforms as well as our trimester and semester (depending on the school) report cards. The report cards cover progress towards mastery of grade level standards, as well as communicate out a students' participation in class discussions and assignments.	
School trainings are offered by IT department staff. by request. Individualized training is provided during tech support hours, as needed. All school sites are providing tech workshops for parents led by Principals, Community Llaisons and/or after school providers. <b>Publi Participation and Progress</b> through live contacts and synchronous instructional minutes, and a description of how the LEA will assess pupil progress through live contacts and synchronous instructional minutes, and a description of how the LEA will assess pupil progress through live contacts and synchronous instructional minutes, and a description of how the LEA will assess pupil are value of pupil work.] Teachers will be tracking synchronous and asynchronous assignments through a weekly log in Illuminate. This log will encompase work that addited instructional minutes (TK4 = 180 minutes, 1-3 = 230 minutes). 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The report will be viewed by teachers, community liaisons, school leaders, and district leaders in order to monitor engagement and re-engagement efforts. Teachers will a monitor student participation during synchronous and asynchronous instructional time and assign participation credit. All aliasons, school leaders, and district leaders in order to monitor engagement and re-engagement efforts. Teachers will be turned in and then provided a grade in google class work must be turned in and then provided a grade in google classnoom or escibool. Teachers will be monitoring student progress using a variety of methods including daily live sessions(synchronous participation), small groups, assignment completion and assessment results. This progress will be measured by our district assessment plan (with considerations for distance learning) and in class formative assessment results. This progress will be communicated to our families through our different platforms as well as our timester and semester (depending on the school) report cards. The report cards cover progress towards mastery of grade level standards, as well as communicate distributed in students' participation in class discussions and assignments.	These interventions include phone calls, emails, text messages, home visits, and more. The report will be viewed by teachers, community liaisons, school leaders, and district leaders in order to monitor engagement and re-engagement efforts. Teachers will monitor student participation during synchronous and asynchronous instructional time and assign participation credit. All assigned class work must be turned in and then provided a grade in google classroom or eschool. Teachers will be monitoring student progress using a variety of methods including daily live sessions(synchronous participation), small groups, assignment completion and assessment results. All assessment results. All progress will be measured by our district assessment plan (with considerations for distance learning) and in class formative (depending on the school) report cards. The report reards cover progress towards mastery of grade level standards, as well as communicate out a students' participation in class discussions and assignments.	Teachers will monitor student participation during synchronous and asynchronous instructional time and assign participation credit. All assigned class work must be turned in and then provided a grade in google classroom or eschool. Teachers will be monitoring student progress using a variety of methods including daily live sessions(synchronous participation), small groups, assignment completion and assessment results. Academic progress will be measured by our district assessment plan (with considerations for distance learning) and in class formative assessments. This progress will be measured by our district assessment plan (with considerations for distance learning) and in class formative assessments. This progress will be communicated to our families through our different platforms as well as our trimester and semester (depending on the school) report cards. The report cards cover progress towards mastery of grade level standards, as well as communicate out a students' participation in class discussions and assignments.	Academic progress will be measured by our district assessment plan (with considerations for distance learning) and in class formative assessments. This progress will be communicated to our families through our different platforms as well as our trimester and semester (depending on the school) report cards. The report cards cover progress towards mastery of grade level standards, as well as communicate out a students' participation in class discussions and assignments.		

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Distance Learning Protessional Development [A description of the professional development and resources that will be provided to staff to support the distance learning program, including technological support.] [A description of the professional development and resources that will be provided to staff to support the distance learning program, including technological support.] Professional development will be delivered virtually for all ARUSD teachers, administrators, and support staff. Topics and modes of delivery will be identified through stakeholder surveys and input sessions. Session topics will be divided into universal offerings that pertain to each member of the stakeholder group and voluntary offerings that are applicable to subgroups such as elementary staff or middle school staff. Examples of universal offerings include video-conferencing, essential instructional platforms and programs, supports for English Language Learners, school-home communication methods, socio-emotional supports for students and staff, and online instructional design topics,
among others. Examples of voluntary offerings include supplemental instructional applications, making effective use of devices such as chromebooks or ipads, to name a few. Professional development modalities will also be taken into consideration to ensure a variety of delivery methods to meet the needs of diverse learners. Depending on the topic and stakeholder interest we will design learning opportunities that offer a blend of synchronous and asynchronous flavor in order to be responsive to adult learning theory. In the case of asynchronous offerings we will include optional office hours, so staff can interact with content independently and also have the opportunity for thought partnership to ensure clarity and proficiency. For larger scale offerings we will consider a webinar style format as appropriate. In addition, we will utilize Alludo, which adds a "gameified" element to the professional learning process. This platform is accessible 24/7, which is also responsive to our stakeholder groups needs. Within this platform we offer professional development related to distance learning strategies and tools, online assessment tools, digital citizenship, Google apps for Education, and maintaining effective online environments, among others. In addition to the topics and modalities previously addressed, we will develop and provide a variety of tutorials. Topics will include procedures for logging into edtech programs, device troubleshooting, connecting to hotspots, as well as core curriculum and edtech program "how-to's".
<b>Staff Roles and Responsibilities</b> [A description of the new roles and responsibilities of affected staff as a result of COVID-19.] Memorandums of Understanding were negotiated with all bargaining units to address changes to our collective bargaining agreements.
Teachers and paraeducators will be providing the same type of support, but from a remote location. Their work with students will be remote via distance learning. Bus drivers will be assisting the Child Nutrition Department to transport food and meals to our families in the community. Campus paraeducators who normally supervise students during lunch time will be supporting with re-engagement activities such as phone calls home. Campus paraeducators will also support recess and lunch monitoring of students for our on-site support programs.
Supports for Pupils with Unique Needs
[A description of the additional supports the LEA will provide during distance learning to assist pupils with unique needs, including English learners, pupils with exceptional needs served across the full continuum of placements, pupils in foster care, and pupils who are experiencing homelessness.]
All English Language Learners will be supported during instructional time with integrated ELD strategies to support development of academic vocabulary, content vocabulary and language functions. In addition, an emphasis is placed on speaking, listening and reading
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skills to support language development. To support listening skills and literacy, Listenwise was added as a supplemental program to be u in all content areas by middle school teachers and our 3rd -8th graders in the K-8 schools. A series of Constructing Meaning professional development opportunities are being offered to build capacity with our teachers around supporting language learning in content areas. In partnership with SCCOE, we are offering teachers additional learning opportunities using the EL Toolkit of strategies that they can incorporate into their instruction. In elementary levels, BrainPOP ELL was purchased to support additional instruction and learning to supplement in class learning.	skills and literacy, Listenwise was added as a supplemental program to be used th graders in the K-8 schools. A series of Constructing Meaning professional with our teachers around supporting language learning in content areas. In learning opportunities using the EL Toolkit of strategies that they can POP ELL was purchased to support additional instruction and learning to
Through the use of small group instruction and designated ELD we will support students learning aligned to proficiency level. Additional programs that support small group guided reading, vocabulary and literacy skills were purchased such as Book Nook , RAZ Kids. We are exploring more instructional supports for teachers to use during their small group instruction.	ig aligned to proficiency level. Additional d such as Book Nook , RAZ Kids. We are
All McKinney Vento students will be case-managed by a School Link Services Coordinator to identify and link students to identified needs (food, shelter, tutors, counseling services, school supplies, uniforms and transportation). Foster Youth will be assigned to our school counselors and counselors and counselor interns so that they can provide regular check-ins to determine additional support needed. Additionally, a team will be assembled to participate in professional development emphasizing Trauma Informed MTSS with a focus on supporting foster youth. Tutoring services will be provided to address learning loss for identified high need students.	entify and link students to identified needs Youth will be assigned to our school onal support needed. Additionally, a team will with a focus on supporting foster youth.
For our students with IEPs, our special education staff, which includes special education teachers, speech and language pathologists, schopsychologists, occupational therapists, adapted physical education specialists, counselors, and applied behavior analysis therapists, will continue to provide activities informed by the IEP goals areas and services may be implemented to support group or individual needs. Students will have online and off-line interactions with their special education teachers and paraprofessionals as appropriate. Tools for learning can include the use of Google classroom, Seesaw, Class Dojo, other online educational platforms, video-conferencing, live or pre-recorded lessons, mailed packets of materials, and content-specific activities or programs for reading, math, and writing. Special education staff will collaborate with families to support the student's educational progress during distance learning. They will work in partnership with general education teachers in order for students to access the general education curriculum with the modifications and accommodations in their ludividual Education Program (IEP).	includes special education teachers, speech and language pathologists, school cation specialists, counselors, and applied behavior analysis therapists, will and services may be implemented to support group or individual needs. Decial education teachers and paraprofessionals as appropriate. Tools for class Dojo, other online educational platforms, video-conferencing, live or pre- occific activities or programs for reading, math, and writing. Special education cational progress during distance learning. They will work in partnership with a general education curriculum with the modifications and accommodations in
Actions related to the Distance Learning Program [additional rows and actio Description	[additional rows and actions may be added as necessary]
Professional Development for Teachers	
Technology devices	500,000 Yes
Learning Platforms and support services	1,250,000 Yes
2020-21 Learning Continuity and Attendance Plan for Alum Rock Union Elementary School District	Page 10 of 20

Description	Total Funds	Contributing
Additional materials for students	100,000	Yes
Residential substitutes	270,000	Yes
Expanded nutrition services	1,400,000	Yes
Interpretation	250,000	Yes
Tech support for parents/staff	80,000	Yes
Curriculum Design and Support	50,000	Yes
Pupil Learning Loss		
[A description of how the LEA will address pupil learning loss that results from COVID-19 during the 2019–2020 and 2020–21 school years, including how the LEA will assess pupils to measure learning status, particularly in the areas of English language arts, English language development, and mathematics.]	g the 2019–2020 and 2020–2 <sup>•</sup> English language arts, Engli	21 school years, ish language
For pupils with exceptional needs who currently receive special education, any learning loss will be addressed through the IEP process. During distance learning, if teachers or families have concerns regarding a student's access or academic progress. IEP teams will convene to consider and implement additional modifications and accommodations to address the student's learning. When schools return to in-person instruction, District staff working with the students will closely monitor their skills and performance to confirm whether or not there are any concerns regarding educational progress or regression due to school closures. If there are any such concerns, the student's teacher will work with the IEP team and family to discuss the student's individual needs, which may include the offering of compensatory services.	ill be addressed through the l academic progress. IEP tea nt's learning. When schools r nce to confirm whether or not ' such concerns, the student's offering of compensatory serv	IEP process. tms will convene return to in-person t there are any s teacher will work vices.

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Special education staff and service providers such as speech pathologists, occupational therapists, adapted physical education teachers, and ABA assistants will continue to monitor progress on goals to ensure that those supports put in place to account for any learning loss have been effective. Data will be gathered using curriculum based assessments, and when appropriate standardized assessments. Special education staff and assigned service providers will continue to report and analyze data as it pertains to an individual student's progress on IEP goals which is reported to parents/guardians with the same frequency that general education students receive report cards. As part of the IEP process, IEP teams meet annually, or in response to a parent request, to monitor continued student progress on goals and determine if additional supports or modifications are appropriate.
Staff will prioritize and target additional learning recovery supports to students who are English learners; low-income; foster youth; pupils with exceptional needs; and pupils experiencing homelessness. As mentioned earlier, displaced students will be case-managed by our school-linked services coordinators and Foster Youth by our counselors and/or counselor interns as a way to monitor their specific needs as related to their school progress. Tutoring services will be provided to address learning loss for identified high need students.
Pupil Learning Loss Strategies
[A description of the actions and strategies the LEA will use to address learning loss and accelerate learning progress for pupils, as needed, including how these strategies differ for pupils who are English learners; low-income; foster youth; pupils with exceptional needs; and pupils experiencing homelessness.]
Learning Loss will be addressed through small group instruction and targeted interventions. Through the use of eduClimber, teachers will be able to create intervention groups, decide on formative assessments and interventions, and monitor for effectiveness. This will allow for a three tiered intervention system to support students in learning grade level standards. Students with Individual Education Plans (IEP) will receive services through special education that include monitoring for academic progress. Students with 504 Plans will continue to receive any and all accommodations throughout distance learning. English Learners will be monitored and based on their English Proficiency progress, interventions will be provided. This is in conjunction with Integrated and Designated English Language Development support throughout their academic day.
Site administrators will be co-teachers in their teachers' Google classroom or Seesaw in order to provide support, information needed by parents. Virtual classroom visits will be conducted to monitor participation. Principals will provide opportunities for teachers to collaborate, plan together to address specific needs of their students. The administrators will check in on fast bridge diagnostics, mobymax progress as well as progress on BrainPOP ELL.
Effectiveness of Implemented Pupil Learning Loss Strategies
[A description of how the effectiveness of the services or supports provided to address learning loss will be measured.]
Learning Loss will be addressed through small group instruction and targeted interventions. Through the use of eduClimber, teachers will be able to create intervention groups, decide on formative assessments and interventions, and monitor for effectiveness. This will allow for a three tiered intervention system to support students in learning grade level standards. Students with Individual Education Plans (IEP) will receive services through special education that include monitoring for academic progress. Students with 504 Plans will continue to receive
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Actions to Address Pupil Learning Loss [additional rows and actions may be added as necessary] Description Student Interventions/Tutoring 500,000	e added as necessary] Total Funds Contributing 500,000 Yes
Extended Day Programming	1,485,520 Yes
Extended Year Programming	730,000 Yes
Mental Health and Social and Emotional Well-Being	
[A description of how the LEA will monitor and support mental health and social and emotional well-being of pupils and staff during the scho year, including the professional development and resources that will be provided to pupils and staff to address trauma and other impacts of COVID-19 on the school community.] Mental Health Support	health and social and emotional well-being of pupils and staff during the school nat will be provided to pupils and staff to address trauma and other impacts of
In an effort to serve our families most in need of these resources, every three weeks, a notice to all families via Parent Square and email is sent to all ARUSD families to remind them of the link on the district website where they can request support to access resources and/or services such as mental health assistance, rent and utilities assistance, hygiene products, referrals to food distribution centers, and food from a local community based organization within East San Jose. Additionally, families may access information on the district website in order to access mental health, wellness, and social emotional learning information. <sup>2020-21 Learning Continuity and Attendance Plan for Alum Rock Union Elementary School District</sup>	o all families via Parent Square and email is Lest support to access resources and/or rals to food distribution centers, and food from nformation on the district website in order to Page 13 of 20

<ul> <li>In order to address the mental health support needs of students and staff, the LEA will utilize a multi-layered approach. Supporting staff via a series of professional developments focused on increasing their understanding of the following concepts:</li> <li>Understanding the Impact of Trauma</li> <li>Navigating Grief &amp; Loss</li> <li>Trauma Informed Practice</li> <li>The Importance of Connection</li> </ul>
Access to these professional developments and coaching support via the Coordinator of Mental Wellness Support Services will provide staff with increased capacity to build spaces for students where they feel safe and seen. Additionally, a short presentation will serve as a review of the referral process for requesting services on behalf of students and families. At the onset of crisis, the Coordinator of Mental Wellness Support Services conducts an initial assessment and supports employees , as needed, in obtaining therapeutic services through their insurance. Requests for support services can be made to address the following: Mental Health support for student and/or family Resourcing to address support needs which may include the following: rent or utility support, food needs, basic hygiene needs, school supply needs, diaper and wipes, and Legal Aid Support.
The LEA will be providing students access to the following supports:
1) Site-Based Counseling:
All district schools with access to a site-based School Counselor Intern (SCI) for a minimum of thirteen hours per week. School Counselor Interns will utilize the Child & Adolescent Needs & Strengths Assessment to determine what level of support services students may need. Students who are considered Tier 1 or Tier 2 (MTSS) will be provided with support via the School Counselor Intern. Students who present as Tier 3 will be referred to partnering agencies for intensive therapeutic support. Additionally, SCIs will be able to provide crisis counseling and assessment with the ability to either draft a safety plan with students and family or request support from partnering agencies to assess students for possible hospitalization.
2) After Hours Crisis Counseling:
The Coordinator of Mental Wellness Support Services will assist and work with partners such as Mobile Crisis to coordinate after hours crisis support which may occur for students. Crisis response and assessment will take place for any student who makes reference to self-harm or suicide. The response would involve reaching out to parents to discuss safety concerns, conducting an assessment to gauge level of lethality/severity, and the development of a safety plan or referral to Mobile Crisis for an extended more intensive assessment to be conducted.
3) Quick Resourcing Response:
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The development and maintenance of the Wellness Resource and Support Requests survey will require a collaborative effort by the Coordinator of Mental Wellness Support Services, District SLS Coordinators, and School Counselor Interns. The survey may and has been utilized by families to request support. The collaborative reaches out to the family to gain an increased understanding of what support needs may exist in order to make appropriate service linkage occur for students and/or families.
4) Wellness Wednesdays
5) ARUSD is also participating in a Trauma informed MTSS series currently offered through the Santa Clara County Office of Education. This is a 3 year offering with the first year consisting of support from Alia Innovations and the SCCOE. During years 2 and 3, technical assistance will be provided by the SCCOE team. This series is intended to expand knowledge and understanding of the human impacts of trauma on youth development, as well as to deepen understanding of how trauma manifests in behaviors. Our district will receive tools and resources for different ways to address trauma in schools.
Social and Emotional Learning Support
Several elementary schools and TK sites will be implementing an SEL curriculum, Kimochis. Students will explore feelings and how to express them positively and respectfully as the teacher uses various instructional strategies such as using puppets. Teachers and administrators will be receiving training and follow throughout the school year. Other sites will continue to strengthen their PBIS and Restorative Justice practices tailored to distance learning. Additionally, every student in ARUSD will participate in Morning Meetings daily which is a Tier 1 approach. Teachers and administrators were trained on Morning to kickstart day, allow for a sense of connectedness, and address SEL needs. Teachers and administrators were trained on Morning Meetings before the school year began. There will be future offerings for teachers throughout the school year to address areas such as but not limited, self management and self awareness through Wellness Wednesdon (professional development series). Administrators will also receive professional development on fostering Communities of Care throughout the school year. Sources that will support this work include but are not limited to Brene Brown's Dare to Lead, Elena Aguilar's Onward, and Fischer & Frey's Distance Learning Playbook.
_
rupii anu raminy Engagement ang Outreach
[A description of pupil engagement and outreach, including the procedures for tiered reengagement strategies for pupils who are absent from distance learning and how the LEA will provide outreach to pupils and their parents or guardians, including in languages other than English, when pupils are not meeting compulsory education requirements, or if the LEA determines the pupil is not engaging in instruction and is at risk of learning loss.]
ARUSD will implement tiered levels of support for pupil engagement.

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						·····		_	0
Tier 1 - Once a student has 2 missed interactions within a week, the teacher will outreach to the student which may include multiple phone calls, texts and or emails. Student attendance will be documented and managed with the current SIS (E-School Plus)	Tier 2 - Outreach will take place when a student misses 4 interactions within a week. The student/family will receive multiple phone calls, text and emails from the school's Administrative Assistant and or the school Office Assistant.	Tier 3 - Will be more outgoing personalized support. Once the student misses a week in a month of interactions, the student/family will be contacted by the School Principal or the Community Liaison. Students will be reached by multiple calls, emails or text then followed up with a wellness check at students home.	Tier 4 - Will be that of intensive support. Once a student misses 12 plus interactions within a month, the Districts Student Services Department will contact student/family by phone, emails, text and a follow up wellness check at the students home. Pupil and Family Engagement Outreach will be assigned to school Community Liaisons and School Link Services Coordinators.	Support staff will create pathways of communication via phone, text, emails, Parent Square and District Website. They will outreach to parents to determine needs including, technology support, health, and mental health and communicate with parents regarding academic progress.	School Nutrition A description of how the LEA will provide nutritionally adequate meals for all pupils, including those students who are eligible for free or reduced-price meals, when pupils are participating in both in-person instruction and distance learning, as applicable.]	For ARUSD 20-21 Fall 100% distance learning, Child Nutrition Services (CNS) will provide curbside grab and go meals from 12 school locations. We have extended the number of sites serving meals this school year compared to March's school closures to increase students access to nutritious meals. Students will receive breakfast, lunch and supper meals for each school day. Meals will follow the School National Program, School Breakfast Program and Child and Adult Care Food Program meal requirements.	As of September 1st, USDA approved to apply for the Summer Seamless Option Meal Program that will allow us to provide FREE meals to all children 18 and younger. This waiver is contingent upon USDA funding.	Meals will be provided Monday-Thursday from 11:00-1:00pm. On Thursday, students will receive both Thursday and Friday meals, a total of five days worth of meals will be provided to every participating student. Outreach and information regarding meals was disseminated through	2020-21 Learning Continuity and Attendance Plan for Alum Rock Union Elementary School District
Tier 1 - C calls, tex	Tier 2 - ( and ema	Tier 3 - <sup>1</sup> contacte wellness	Tier 4 - V Departm Engagen	Support parents t progress	Scho [A descrip reduced-p	For ARU locations access to Program	As of Se all childre	Meals wi five days	

flyers, messages on the District's Parent Square app, social media outlets such as facebook and instagram, and other district communication outlets.
In addition to grab and go meal distribution sites, CNS has partnered with our transportation team to deliver meals to SPED, quarantined, and high need student populations. Our goal is to be able to provide meals to students who do not have the means to pick up meals from one of our locations and reduce the food gap during distant learning. We have applied for the various waivers for meal service time requirement, to allow parent and guardian pick up meals for children, non-congregate feeding, monitoring requirements, and meal pattern flexibility to support and increase access to meals. Food service personnel, school community engagement facilitators, principals, and administrators continue to engage and inform parents and students about our free breakfast, lunch and supper meals throughout the year.
Alum Rock School District has participated in the alternate meal claiming School Nutrition Program Provision 2. Through provision 2 all ARUSD students receive meals at no cost, giving all students the opportunity to receive meals without monetary limitations. Being a Provision 2 district, our families are not required to complete or submit a meal application form. Notice of Provision 2 schools "meals at no cost" will be posted on our ARUSD website and cafeterias. All meal distribution flyers inform families all breakfast, lunch and supper meals are free.
Our CNS department will provide enrichment materials that will be engaging and allow students to receive nutrition education during distance learning. In addition we want to highlight and provide information on the harvest of the month fruit and vegetable that will be offered to students every Wednesday of the week. For example, this month we will highlight blueberries and Cauliflower that will be served for lunch on Wednesday.
Alum Rock CNS Department established various procedures and protocols to ensure the safety of staff and community members by requiring staff and volunteers to use proper PPE and follow safety guidelines. In addition, strict procedures were established at all sites to ensure social distancing throughout the day while adhering to our rigorous food safety procedures and standard protocols. In addition, we developed a menu that consists of food items that are safe to transport through this alternate meal service program allowing all meals to be safe for students.
As our distance learning program continues to develop, change or extend into spring, we have already developed procedures that will allow us to continue to serve students through distance learning or on site programs. When campuses are open to students and the District is providing in-person instruction, we will continue to offer breakfast, lunch and supper via grab and go models or meals in the classroom. This will help students and staff on site adhere to all safety protocols.
2020-21 Learning Continuity and Attendance Plan for Alum Rock Union Elementary School District

Continuit	
Additional Actions to Implement the Learning (	and actions may be added as necessary]

Section	Description	Total Funds	Contributing
Mental Health and Social and Counselors Emotional Well-Being	Counselors	1,100,000	Yes
Mental Health and Social and SLS Coordinators Emotional Well-Being	SLS Coordinators	200,000	Yes
N/A			Yes
Mental Health and Social and Coordinator Emotional Well-Being	Coordinator	193,340	Yes

# Increased or Improved Services for Foster Youth, English Learners, and Low-Income Students

Percentage to Increase or Improve Services	Increased Apportionment based on the Enrollment of Foster Youth, English Learners, and Low- Income students
31.90%	\$22,046,664

## **Required Descriptions**

[For the actions being provided to an entire school, or across the entire school district or county office of education (COE), an explanation of (1) how the needs of foster youth, English learners, and low-income students were considered first, and (2) how these actions are effective in meeting the needs of these students.]	Alum Rock School District serves some of the most vulnerable populations in Santa Clara County, including foster youth, English Learners and low-income students. When evaluating the entirety of its school programs, Alum Rock must first consider these and other significant student groups in order to ensure the schools build models that support academic continuity where students and teachers are fully engaged in the educational process. The needs of foster youth, English learners (EL), and low-income students are prioritized when evaluating the school program to determine areas where additional support is needed, and actions are designed with those student groups in mind.	In March 2020 when schools shifted to distance learning, Alum Rock immediately assessed the status of the school programs with respect to remote learning environments and evaluated the needs of our most vulnerable students. The immediate need among students was for devices and connectivity to continue the learning process in the home. These items were offered to all students, but principally benefited low-income students who may not otherwise have access to technology outside of the classroom. Understanding the needs of these specific students, our School-Linked Services Coordinators and Community Liaisons partnered to make follow up calls and home visits to families of students not participating in distance learning. Staff worked to prioritize services to these students on an as needed basis.	[A description of how services for foster youth, English learners, and low-income students are being increased or improved by the percentage required.]	In addition to the targeted support required for Foster Youth, English Learners and low-income students, Alum Rock increases/improves services for these students through other federal funding sources and grants.	Professional Development Research clearly demonstrates a positive link between teacher professional development, teaching practices and student outcomes. During this school closure period, we have invested funding towards the professional development of staff to be able to quickly adjust to teaching in a Distance Learning environment. Furthermore, some of the professional learning in areas such as social emotional learning provides the necessary tools needed to work with the diverse needs of these students.	On-line platforms As our District experienced school closures, staff had to quickly move to implement on-line tools to allow for continuity of learning. These tools support student learning by providing the flexibility for whole class or small group instruction which allows for differentiation of instruction based on students' needs. The opportunity to teach in smaller groups will be most effective with unduplicated pupils needing additional support.	chnology
[For the actior (1) how the ne meeting the n	Alum Rock S and low-inco student grou in the educat school progra	In March 202 to remote lea devices and low-income s specific stude families of sti	[A description required.]	In addition to services for th	Professional I Research cleathis school cloathis school cloadh a Distance Leanne cloanne cloanne cloanne cloadh a Distance Leanne cloadh a the cload	On-line platfo As our Distric tools support based on stuc support.	Technology

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According to research on the topic of the Digital Divide, there is a gap between students who lack access to technology and those students that have it. This lack of access impacts the challenges unduplicated pupils face when gaining access to on-line classes during Distance Learning. Alum Rock is able to provide 1:1 device access for all students and hot spots for any student needing this support.	Social Emotional Learning and Counseling Support According to research on this topic, school climate refers to relationships among students and staff/teachers, active student engagement, values and norms, and shared approaches and practices. During this pandemic era, Alum Rock is aware of the impact on students' mental health. We have invested time and resources towards professional development in the area of social emotional learning for all staff. Furthermore, additional services such as, SLS coordinators, Counselors and Counselor Interns ensure that our students have their mental health and safety needs met.	Parent Community Involvement, Outreach and Training One of the most accurate predictors of student success is the extent to which parents are involved in their child's education and encourage learning at home. Due to the varying educational levels and language abilities of the parents of our unduplicated students, Alum Rock has moved towards providing extended tech support, parent tech trainings and support with more workshops planned throughout the year. Recognizing that communication between home and school is essential, Alum Rock has expanded its translation and interpretation services to provide English/Spanish, English/Vietnamese translation services.	Community Liaisons As stated above, there is a direct link between parent involvement and student success in school. Unfortunately, the students most in need are typically our unduplicated pupils whose parents do not attend school meetings, trainings or events. The role of the Community Liaison is to bridge the gap between home and school and encourage participation by providing outreach to parents that are disenfranchised or alienated from school. During Distance Learning, the Community Liaison role is even more crucial as we work to connect all students to their virtual classrooms and provide the necessary resources to support their learning.	
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2020-21 Learning Continuity and Attendance Plan for Alum Rock Union Elementary School District

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

2.03

Office of Superintendent of Schools

### **ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Board of Trustees:

Date: August 18, 2020

### Subject: **Public Hearing Regarding Sufficiency of Instructional Materials**

Education Code Section 60119 requires an annual Public Hearing and a resolution adopted Staff Analysis: in order to obtain assurance from the Governing Board that there are sufficient textbooks and instructional materials.

### **\*PUBLIC HEARING\***

Submitted by: <u>Sandra Garcia</u> Approved by: <u>Rene Sanchez</u> <b>F.5</b>	Title: <u>Director of State &amp; Federal Programs</u> Title: <u>Assistant Superintendent, Instructional Services</u>
To the Board of Trustees: *PUBLIC HEARING* 203 Agenda Placement	Meeting: <u>September 10, 2020</u> Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent
DISPOSITION E	BY BOARD OF TRUSTEES
Motion by:	Seconded by:

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_



### PUBLIC HEARING ANNOUCEMENT

Issued on August 26, 2020

An annual Public Hearing is required and a resolution adopted in order to obtain assurances from the Governing Board that the school district will comply with Education Code Section 60119, which states that the governing boards of school districts which receive funds for the instructional materials from any state source are subject to the requirements of this section. The requirements include the following:

- 1. The Governing Board will hold a public hearing
- 2. If the Governing Board determines that there are insufficient textbooks of instructional materials, the Governing Board shall provide information to the public setting for the reason that each pupil does not have sufficient textbooks or instructional materials

Public Hearing will be held on September 10, 2020 5:30 p.m. Alum Rock Union Elementary School District Board Room

Hilaria Bauer, Ph.D., Superintendent

Board of Trustees: Ernesto Bejarano, President · Corina Herrera-Loera, Vice-President Andrés Quintero, Clerk · Linda Chavez, Member · Dolores Márquez-Frausto, Member



### AVISO DE AUDIENCIA PÚBLICA

Emitido el 26 de agosto, 2020

Se requiere efectuar una Audiencia Pública Anual y adoptar una resolución para poder obtener garantías de parte de la Mesa Directiva que el distrito cumplirá con la Sección del Código de Educación 60119, el cual indica que las mesas directivas de los distritos escolares que reciben fondos para los materiales de enseñanza de parte de cualquier fuente estatal están sujetas a los requisitos de esta sección. Los requisitos incluyen lo siguiente:

- 1. La Mesa Directiva llevará a cabo una audiencia pública
- Si la Mesa Directiva decide que no hay suficientes libros de texto y materiales para la enseñanza, la Mesa Directiva proporcionará información al entorno público acerca de la razón por la cual cada estudiante no tiene suficientes textos o materiales de enseñanza

La Audiencia Pública se llevará a cabo el 10 de septiembre, 2020 5:30 p.m. Sala de Reuniones de la Mesa Directiva del Distrito Escolar Elemental de Alum Rock

Hilaria Bauer, Ph.D., Superintendent

Board of Trustees: Ernesto Bejarano, President · Corina Herrera-Loera, Vice-President Andrés Quintero, Clerk · Linda Chavez, Member · Dolores Márquez-Frausto, Member



### THÔNG BÁO VỀ BUỔI ĐIỀU TRẦN TRƯỚC CÔNG CHÚNG Phát hành ngày 26 tháng 8 năm 2020

Một buổi họp Điều Trần Trước Công Chúng là cần thiết và là một quyết định được chấp thuận để có bảo đảm của Ban Hội Đồng Quản Trị rằng học khu sẽ tuân theo Luật Giáo Dục Đoạn 60119, mà đoạn này quy định rằng các ban hội đồng quản trị của những học khu mà có nhận ngân khoản về tài liệu giáo huấn của bất cứ một nguồn trợ cấp nào của tiểu bang thì phải làm theo các đòi hỏi của đoạn này. Các đòi hỏi gồm có những điều sau đây:

- 1. Ban Hội Đồng Quản Trị sẽ mở một buổi điều trần trước công chúng.
- 2. Nếu Ban Hội Đồng Quản Trị cho rằng đã không có đủ sách học hay tài liệu học vấn thì Ban Hội Đồng Quản Trị phải cung cấp thông tin cho công chúng chứng minh là mỗi học sinh không có đủ sách học hay không có đủ tài liệu học vấn.

Buổi Điều Trần Trước Công Chúng sẽ được tổ chức vào ngày 10 tháng 9 năm 2020 Vào lúc 5:30 chiều tại Học Khu Alum Rock Union Elementary School Phòng Hội Đồng Quản Trị (Board Room)

Hilaria Bauer, Ph.D., Superintendent

Board of Trustees: Ernesto Bejarano, President · Corina Herrera-Loera, Vice-President Andrés Quintero, Clerk · Linda Chavez, Member · Dolores Márquez-Frausto, Member

### Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: August 24, 2020

3.01

### Subject: Citizen's Bond Oversight Committee (CBOC) School Sites' Visit Presentation

**Staff Analysis:** The Measure J and Measure I Independent Citizen's Bond Oversight Committee (CBOC) will present their school sites' visit. The report will be presented by the committee members.

### \*\*INFORMATION ONLY\*\*

Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services
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To the Board of Trustees:	Meeting: September 10, 2020 Regular Board Meeting
**INFORMATION ONLY**	A AMA
Agenda Placement	Hilaria Bauer, Ph.D., Superintendent

	DISPOSITION BY BOARD OF	TRUSTEES
Motion by:	Seconded b	ру:
Approved:	Not Approved:	Tabled:



### Office of Superintendent of Schools

### **ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 24, 2020

Subject: Presentation of the Fiscal Crisis Management Assistance Team (FCMAT) Report on the Fiscal Health Risk Analysis (FHRA) of the District

### \*\*INFORMATION ONLY\*\*

X	
pproved by: Kolvira Chheng Title: Assistant Superintendent, Business Services	

To the Board of Trustees:	Meeting: September 10, 2020 Regular Board Meeting
**INFORMATION ONLY** 3. 02 Agenda Placement	Hilaria Bayer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES				
Motion by:	Seconded by:			
Approved:	Not Approved:	Tabled:		



April 24, 2020

Dr. Hilaria Bauer, Superintendent Alum Rock Union School District 2930 Gay Avenue San Jose, CA 95127



Dear Dr. Bauer,

The Fiscal Crisis Management Assistance Team (FCMAT) recently informed us that they would be conducting a Fiscal Health Risk Analysis (FHRA) on selected districts throughout the State. Per the 2018-19 Budget Act, FCMAT can engage automatically with an LEA to conduct a FHRA to determine the LEA's level of risk for insolvency, if the LEA has any of the following:

- A disapproved budget
- A negative interim report certification
- Three consecutive qualified interim report certifications
- A downgrade of an interim certification by the county superintendent
- A "lack of going concern" designation by the county superintendent

Since Alum Rock Union School District has had six consecutive qualified interim report certifications, FCMAT informed us they would include ARUSD on the list of districts to have an FHRA. It is my understanding that a representative from FCMAT will soon be contacting you and/or Kolvira Chheng to discuss the process further. Please let me or Dr. James Novak know if we can be of assistance.

Sincerely,

Mary Ann Dewan, Ph.D. County Superintendent of Schools

cc: Dr. James Novak, Chief Business Officer

County Board of Education: Joseph Di Salvo, Rosemary Kamei, Kathleen M. King, Grace H. Mah, Peter Ortiz, Claudia Rossi, Anna Song 1290 Ridder Park Drive, San Jose, CA 95131-2304 (408) 453-6500 www.sccce.org

Transforming Education through Leadership, Service, and Advocacy



### FISCAL CRISIS & MANAGEMENT ASSISTANCE TEAM STUDY AGREEMENT April 29, 2020

The Fiscal Crisis and Management Assistance Team (FCMAT), hereinafter referred to as the team, and the Alum Rock Union School District, hereinafter referred to as the district, mutually agree as follows:

### 1. BASIS OF AGREEMENT

The team provides a variety of services to local education agencies (LEAs). In accordance with the 2018-19 Budget Act, the team has been assigned to study the fiscal health of the district due to the county superintendent's agreement with the district's qualified certification of its 2019-20 second interim report per Education Code 42131. The team may include staff from FCMAT, county offices of education, the California Department of Education, other school districts, or private contractors. All work shall be performed in accordance with the terms, standards and conditions of this agreement.

The county superintendent will be notified of this agreement between the district and FCMAT and will receive a copy of the final report. The final report will also be published on the FCMAT website.

### 2. <u>SCOPE OF THE WORK</u>

### A. Scope and Objectives of the Study

Prepare an analysis using the 20 factors in FCMAT's Fiscal Health Risk Analysis and identify the district's specific risk rating for fiscal insolvency.

- B. Services and Products to be Provided
  - Orientation Meeting If on-site review is needed, the team will conduct an orientation session at the district to brief district management and supervisory personnel on the team's procedures and the purpose and schedule of the study.
  - 2. On-site Review The team will conduct an on-site review at the district office and at school sites if necessary.
  - 3. Draft Report Electronic copies of a preliminary draft report will be delivered to the district's administration for review and comment.

4. Final Report – Electronic copies of the final report will be delivered to the district's administration and to the county superintendent following completion of the review. Printed copies are available from FCMAT upon request.

### 3. **PROJECT PERSONNEL**

The FCMAT study team may include:

A. Julie AuvilFCMAT StaffB. John Von FlueFCMAT Consultant

### 4. **PROJECT COSTS**

Pursuant to the 2018-19 Budget Act, costs for the study shall be as follows:

- A. All staff member and consultant daily rates and expenses will be covered by a specific state apportionment for this purpose.
- B. Based on the elements noted in section 2A, the total cost of the services is \$0.

### 5. <u>RESPONSIBILITIES OF THE DISTRICT</u>

- A. The district will provide office and conference room space during on-site reviews.
- B. The district will provide the following items:
  - 1. Current or proposed detailed organizational charts.
  - 2. Any documents requested on a supplemental list. Documents requested on the supplemental list should be provided to FCMAT only in electronic format; if only hard copies are available, they should be scanned by the district and sent to FCMAT in electronic format. Documents should be provided in advance of fieldwork; any delay in the receipt of the requested documents may affect the start date and/or completion date of the project. Upon approval of the signed study agreement, access will be provided to FCMAT's online SharePoint document repository, where the district will upload all requested documents.
- C. The district's administration will review a draft copy of the report resulting from the study. Any comments regarding the accuracy of the data presented in the report or the practicability of the recommendations will be reviewed with the team prior to completion of the final report. All such comments should be provided to the team within five working days after receipt of the draft.

Pursuant to Education Code (EC) 45125.1(c), representatives of FCMAT will have limited contact with pupils. The district shall take appropriate steps to comply with EC 45125.1(c).

### 6. <u>PROJECT SCHEDULE</u>

The schedule of services will be determined jointly by FCMAT and the district.

### 7. <u>COMMENCEMENT AND COMPLETION OF WORK</u>

FCMAT will begin work as soon as it has assembled an available and appropriate study team consisting of FCMAT staff and independent consultants, taking into consideration other jobs FCMAT has previously undertaken and assignments from the state. The team will work expeditiously to complete its work and deliver its report, subject to the cooperation of the district and any other parties from which, in the team's judgment, it must obtain information. Once the team has completed its fieldwork, it will proceed to prepare a draft report and a final report. The district understands and agrees that FCMAT is a state agency and all FCMAT reports are published on the FCMAT website and made available to interested parties in state government. In the absence of extraordinary circumstances, FCMAT will not withhold preparation, publication and distribution of a report once fieldwork has been completed, and the district shall not request that it do so.

### 8. **INDEPENDENT CONTRACTOR**

FCMAT is an independent contractor and is not an employee or engaged in any manner with the district. The manner in which FCMAT's services are rendered shall be within its sole control and discretion. FCMAT representatives are not authorized to speak for, represent, or obligate the district in any manner without prior express written authorization from an officer of the district.

### 9. <u>INSURANCE</u>

During the term of this agreement, FCMAT shall maintain liability insurance of not less than \$1 million unless otherwise agreed upon in writing by the district, automobile liability insurance in the amount required under California state law, and workers' compensation as required under California state law. FCMAT shall provide certificates of insurance, with Alum Rock Union School District named as additional insured, indicating applicable insurance coverages upon request prior to the commencement of on-site work.

### 10. HOLD HARMLESS

FCMAT shall hold the district, its board, officers, agents and employees harmless from all suits, claims and liabilities resulting from negligent acts or omissions of its board, officers, agents and employees undertaken under this agreement. Conversely, the district shall hold FCMAT, its board, officers, agents and employees harmless from all suits, claims and liabilities resulting from negligent acts or omissions of its board, officers, agents and employees undertaken under this agreement.

### 11. <u>COVID-19 PANDEMIC</u>

Because of the existence of COVID-19 and the resulting shelter-in-home, local educational agency closures and other related considerations, at FCMAT's sole discretion, the Scope of Work, Project Costs, Responsibilities of District (Sections I, IV and V herein) and other provisions herein may be revised. Examples of such revisions may include, but not be limited by, the following:

- A. Orientation and exit meetings, interviews and other information-gathering activities may be conducted remotely via telephone, video conferencing, etc. References to site work, fieldwork shall be interpreted appropriately given the circumstances.
- B. Activities performed remotely that are normally performed in the field shall be billed hourly as provided as if performed in the field (excluding out-of-pocket costs).
- C. The district may be relieved of its duty to provide conference and other work area facilities for the team.

### 12. FORCE MAJEURE

Neither party will be liable for any failure of or delay in the performance of this study agreement due to causes beyond the reasonable control of the party, except for payment obligations by the district.

### 13. CONTACT PERSON

Name:	Dr. Hilaria Bauer
Telephone:	(408) 928-6800
E-Mail:	hilaria.bauer@arusd.org

Dr. Hilaria Bauer, Superintendent Alum Rock Union School District

Date

April 29, 2020

Date

Michael H. Fine Chief Executive Officer Fiscal Crisis and Management Assistance Team

### About FCMAT

The Fiscal Crisis and Management Assistance Team (FCMAT) was state agency. FCMAT's mission is to assist California's K-14 local created by legislation in 1991 as an independent and external providing management assistance and professional learning educational agencies (LEAs) to identify, prevent and resolve financial, operational and data management challenges by opportunities.

development of chief business officials, and help create efficient FCMAT's services are used not just to help avert fiscal crisis, but to promote sound financial practices, support the training and organizational operations.

FCMAT's data management services help LEAs to meet state reporting responsibilities, improve data quality and inform instructional program decisions.

K-14 LEAs and the implementation of major educational reforms. FCMAT's support has evolved based on the changing needs of

percent of FCMAT's work is the result of an LEA inviting FCMAT to wenty percent of FCMAT's work is a result of assignments by the state Legislature and oversight agencies to conduct fiscal crisis perform proactive preventive services or professional learning. reviews for LEAs, including K-12 school districts, county offices intervention, including fiscal health risk analyses (FHRAs) and of education, community colleges and charter schools. Eighty Since its inception, FCMAT has performed more than 1,000 extraordinary audits per Education Code section 1241.5.

FCMAT is funded through appropriations in the state budget and modest fees to requesting agencies.

Chief Executive Officer Michael H. Fine FCMAT

1300 17th Street - City Centre Bakersfield, CA 93301-4533 Telephone 661-636-4611 Website: www.fcmat.org Fax 661-636-4647

### CSIS

Website: https://csis.fcmat.org 500 Capitol Mall, Suite 200 Telephone 916-325-9200 Sacramento, CA 95814 Fax 916-325-9290

### ASSISTANCE TEAM FISCAL CRISIS & MANAGEMENT TAN





- Management Assistance and Crisis Intervention
  - Professional Learning
- Fiscal Resources, Software and Publications
- Data Management

Management Assistance and Crisis Intervention FCMAT may be invited into a county office of education, school district, charter school or community college to provide management assistance related to the fiscal health of the LEA. FCMAT can also be assigned by the Superintendent of Public Instruction (SPI), the county superintendent of schools, the California Community Colleges Board of Governors or the state Legislature to provide assistance with a fiscal crisis or management challenge. Based on the 2018-19 Budget Act, FCMAT also can endage	Special Education Monitoring Tool FCMAT has developed a monitoring tool for special education expenditure and contribution trends, enabling district business offices (and county offices conducting reviews) to quickly assess their monitoring of contribution rates, identification rates, staffing/ caseload analysis and NPS/NPA costs. SCFF Calculator and Help Desk The Student Centered Funding Formula (SCFF) Calculator and Online Help Desk have been produced to assist community	Professional Learning Services FCMAT's professional learning services support FCMAT's mission to help LEAs identify, prevent and resolve financial, operational and data management challenges and help build capacity in school business leadership. Professional learning includes regional and entity-specific workshops; various leadership preparation programs; written resources, manuals and guides; and product development. FCMAT delivers and partners with other organizations in quality
Generation: Evice-to budget Act, roward also can engage automatically with an LEA to conduct a fiscal health risk analysis (FHRA) to determine the LEA's level of risk for insolvency, if the LEA has a disapproved budget; a negative interim report certification; three consecutive qualified interim report certifications; a downgrade of an interim certification by the countly superintendent; or a "lack of going concern" designation by the countly superintendent.	colleges as they transition to the SCFF, and to assist in budget development and fiscal projections. FCMAT will provide the field with updates to the tool as warranted. <b>LCFF Calculator and Help Desk</b> The Local Control Funding Formula (LCFF) Calculator, Online Help Desk and associated resources continue to reflect FCMAT's committed support to users related to the LCFF. FCMAT continues	professional learning for county offices, K-12, community college and charter school personnel. FCMAT continues to develop and provide numerous publications, software tools, workshops and professional learning opportunities to help LEAs operate more effectively and fulfill their fiscal oversight and data management responsibilities.
When a request or assignment is received, FCMAT works closely with the LEA to define the scope of work, assemble a team, conduct on-site fieldwork and provide a written report with findings and recommendations to help identify and resolve issues, overcome challenges and plan for the future. <b>Fiscal Resources</b>	to provide the field with updates to the tool as warranted. Fiscal Health Risk Analysis FCMAT has developed the Fiscal Health Risk Analysis as a tool to help evaluate an LEA's fiscal health and risk of insolvency in the current and two subsequent fiscal years. Versions are available for K-12 and for community colleges. Each section of the analysis	FCMAT'S Chief Business Official (CBO) Mentor Program is a year-long experience designed to produce qualified CBOs. The program consists of classroom training, and each participant is assigned an experienced CBO or recognized expert in the field to serve as a mentor and provide one-on-one support and assistance as needed.
<b>Projection-Pro Software</b> Projection-Pro is a free online multiyear financial projection software service built from the ground up for California's local educational agencies, including school districts, county offices of education, charter schools and oversight agencies. The software is designed to build a foundational multiyear and cash flow projection based on best practices and statewide assumptions. The data created initially by the software will contain many elements of a quality projection. LEAs then customize the projection with local information to produce	covers key aspects of organizational health. Indicators of Risk or Potential Insolvency FCMAT has compiled the list of indicators of risk or potential insolvency to assist both K-12 and community college districts in recognizing indicators that may eventually contribute to an LEA's insolvency and loss of local control. The existence of any one of the indicators increases risk and the need for assistance from outside agencies. Identifying issues early is the key to maintaining fiscal health. Accounting Controls Risk Analysis	In addition to the CBO Mentor Program, FCMAT supports all of the statewide leading CBO preparation programs by providing staff instructors or assisting financially to lower the cost to attendees. <b>Publications</b> FCMAT has developed and continues to update a number of publications to assist LEAs in their many responsibilities, including: the Associated Student Body Manual, Fraud Prevention Guide and Desk Reference; the Fiscal Oversight Guide; the COE Fiscal Procedural Manual; and the Charter School Accounting and Best Practices Manual.
a comprete projectuon. The results can be used by LEAS in preparing budgets, interim reports and year-end financial reports, and in performing "what if" scenarios for collective bargaining, LCAP planning and other analyses. For more information or to request services, visit www.fcmat.org and click on "request our services," or call (661) 636-4611.	FCMAT has developed the Accounting Controls Risk Analysis as a management tool to evaluate key indicators that may assist a county office of education in determining whether a fiscally accountable or fiscally independent school district has adequate accounting controls to maintain its status.	<b>Data Management</b> The California School Information Services (CSIS) division of FCMAT assists the California Department of Education (CDE) with the implementation of the California Longitudinal Pupil Achievement Data System (CALPADS). CSIS also hosts and maintains the Ed-Data website (www.ed-data.org) and provides technical expertise to the Ed-Data partnership: the CDE, EdSource and FCMAT.



To: Hilaria Bauer, Ph.D., Superintendent

From: Kolvira Chheng, Assistant Superintendent of Business Services

Re: Response to Fiscal Crisis Management Assistance Team's (FCMAT) Fiscal Health Risk Analysis (FHRA) Report

Date: September 10, 2020

The District would like to thank the FCMAT Team for their professionalism and collaborative efforts in conducting a Fiscal Health Risks Analysis (FHRA) of the District. The District will take into consideration the results of the report to ensure the fiscal solvency of the District. The FCMAT's FHRA Report noted major risks factors in the following areas:

- Budget Development and Adoption
- Budget Monitoring
- Contributions and Transfer
- Deficit Spending
- Fund Balance and Reserves for Economic Uncertainty
- Internal Controls and Fraud Prevention
- Non-Voter-Approved Debt and Risk Management

The District would like to take this opportunity to provide additional information to mitigate the concerns in the areas noted above. In the areas of Budget Development and Adoption, Budget Monitoring, Contributions and Transfer Deficit Spending, Fund Balance and Reserves for Economic Uncertainty, the District administration has been transparent in recognizing and informing the Board of the need to make reductions in order to keep the District solvent, such as self-certifying its budget with a Qualified Certification since the 2017-18 fiscal year budget cycle. Moreover, the Board has taken some significant and difficult actions in order to keep the District solvent. Some of those actions include, but not limited to the following:

• In December of 2018 the Board adopted Resolution No. 20-18/19, Resolution Establishing Agreement Between the Governing Board of the District and the Santa Clara County Superintendent of Schools Regarding Necessary Revisions for Compliance with Statutory Budget Requirements in Conjunction with the Local Control Accountability Plan (Education Code Sections 42127 and 52070). This resolution required the District to provide monthly updates for an entire year to the Santa Clara County Office of Education on how the District is compliant with the recommendations and any necessary revisions by SCCOE.



2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

- Starting with the 2019-20 fiscal year, implement the Supplemental Employee Retirement Plan (SERP).
- For the 2019-20 fiscal year, approved the reduction of 51.1 FTEs in AREA unit, 6.0 FTEs in certificated management, 2.00 FTEs in classified management, 21.80 FTEs in CSEA unit and 6.0 FTEs in Teamsters unit for a total estimated saving of \$7.7M.
- Starting with the 2019-20 fiscal year, approved the reduction of work hours from 8 to 6 hours per day for a certain classification of the CSEA unit for an estimated saving of \$340K.
- For the 2020-21 fiscal year, approved the reduction of 8.21 FTEs in classified staff for an estimated saving of \$600K.

In conversations with the FCMAT Team, District administration was informed that FCMAT had sought to conduct the FHRA in previous years, but was persuaded not to do so by SCCOE, noting that the District has made significant progress with budgeting and monitoring process. This is supported by the SCCOE approving the District's 2020-21 Budget without conditions for the first time since the 2016-17 fiscal year budget cycle. The District administration is committed to continue identifying and informing the Board regarding budget issues/challenges and providing recommendations in order to keep the District solvent.

In the areas of Internal Controls and Fraud Prevention and Non-Voter-Approved Debt and Risk Management, the District has corrected all reported audit findings from the most recent and prior two audits as note on page #11, section 1.4 of the FCMAT FHRA Report. The District has successfully transitioned to the SCCOE financial and payroll system, known as QCC. Additionally, the District has implemented the position control system in QCC. These accomplishments will with assist the District with receiving additional support and oversight from SCCOE and implementing best practices within the District's operations.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

### Office of Superintendent of Schools

### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Subject: Approve Board Policy 1312.1, Complaints Concerning District Employees – Third Reading.

Attached is the third reading for Board Policy 1312.1, Complaints Concerning District Employees.

Recommendation:

Staff recommends approval of third reading.

Submitted by: Hilaria Bauer	Title:	Superintendent		
	×			
To the Board of Trustees: <b>Recommend Approval</b> $(O \cdot O)$ Agenda Placement	Meeting:	September 10, 2020 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent		
DISPOSITION BY BOARD OF TRUSTEES				
Motion by:	Motion by: Seconded by:			
Approved: N	Not Approved:	Tabled:		

### **Alum Rock Union ESD**

### **Board Policy**

**Complaints Concerning District Employees** 

### BP 1312.1 Community Relations

The Governing Board recognizes its accountability to the public for the quality of the district's educational program and the performance of district employees. The district shall provide a process by which a complaint submitted by any person regarding an employee can be resolved impartially, expeditiously, and with minimal disruption to district operations and the educational program.

(cf. 4119.21/4219.21/4319.21 - Professional Standards)

When a concern regarding an employee is presented during a Board meeting or to an individual Board member or employee outside of a Board meeting, the complainant shall be informed of the appropriate complaint procedure.

(cf. 9323 - Meeting Conduct)

Any complaint regarding the Superintendent shall be initially filed in writing with the Board. The Board shall consult with legal counsel or appoint an appropriate agent to conduct the investigation.

The Superintendent or designee shall determine whether a complaint against any other employee should be considered a complaint against the district and/or an individual employee, and whether it should be resolved by the district's process for complaints concerning personnel and/or other district procedures. Any complaint of child abuse or neglect alleged against a district employee shall be reported to the appropriate local agencies in accordance with law and BP 5141.4 - Child Abuse Prevention and Reporting. Any complaint alleging that an employee engaged in unlawful discrimination (such as discriminatory harassment, intimidation, or bullying) in district programs and activities shall be filed in accordance with BP/AR 1312.3 - Uniform Complaint Procedures. Any complaint by an employee, job applicant, volunteer, intern, or independent contractor alleging unlawful discrimination or harassment by an employee shall be filed in accordance with AR 4030 - Nondiscrimination in Employment. The Board of Trustees accepts responsibility for providing a means by which the public can hold employees accountable for their actions. The Board desires that complaints be resolved expeditiously without disrupting the educational process.

The Superintendent or designee shall develop regulations which permit the public to submitcomplaints against district employees in an appropriate way. These regulations shall protect therights of involved parties. The Board may serve as an appeals body if the complaint is notresolved. (cf. 1312.2 - Complaints Concerning Instructional Materials)
(cf. 1312.3 - Uniform Complaint Procedures)
(cf. 3555 - Nutrition Program Compliance)
(cf. 4030 - Nondiscrimination in Employment)
(cf. 4144/4244/4344 - Complaints)
(cf. 5141.4 - Child Abuse Prevention and Reporting)
(cf. 5145.3 - Nondiscrimination/Harassment)
(cf. 5145.7 - Sexual Harassment)

### (cf. 3515.2 - Disruptions)

Any complaint subject to this policy and the accompanying administrative regulation shall be investigated by the principal, the employee's immediate supervisor, the Superintendent or designee, legal counsel, agent of the Board, and/or other appropriate person who is not the subject of the complaint or subordinate to the employee charged in the complaint. The complainant and the employee shall have an opportunity to present information relevant to the complaint.

A complaint that is filed anonymously may be investigated by the Superintendent or designee depending on the specificity and reliability of the information.

If a complainant requests confidentiality, the Superintendent or designee shall inform the complainant that the request may limit the district's ability to investigate the employee's conduct or take other necessary action. However, the Superintendent or designee shall take all reasonable steps to investigate and resolve the complaint without divulging the complainant's identity.

The Board prohibits retaliation against complainants. The Superintendent or designee at his/herdiscretion may keep a complainant's identity confidential, except to the extent necessary toinvestigate the complaint. The district will not investigate anonymous complaints unless it sodesires.

### Appeals

If either the complainant or the employee submits an appeal of the Superintendent's decision to the Board, the Board shall determine whether to uphold the Superintendent's decision without hearing the complaint, appoint an appeals committee to advise the Board, or hear the appeal itself.

(cf. 9130 - Board Committees)

If it the Board decides to hear the complaint, the matter shall be addressed in closed session in accordance with law Government Code 54957 unless the employee requests that it be heard in

open session. The Board shall review the original complaint and additional information provided by the Superintendent or designee regarding the steps taken to resolve the issue.

(cf. 9321 - Closed Session Purposes and Agendas) (cf. 9323 - Meeting Conduct)

The Board's decision shall be final.

(cf. 4118 - Dismissal/Suspension/Disciplinary Action) (cf. 4218 - Dismissal/Suspension/Disciplinary Action)

Legal Reference: EDUCATION CODE 33308.1 Guidelines on procedure for filing child abuse complaints 35146 Closed sessions 44031 Personnel file contents and inspection 44811 Disruption of public school activities 44932-44949 Resignation, dismissal and leaves of absence (rights of employee; procedures to follow) 48987 Child abuse guidelines GOVERNMENT CODE 54957 Closed session; complaints re employees 54957.6 Closed session; salaries or fringe benefits PENAL CODE 273 Cruelty or unjustifiable punishment of child 11164-11174.3 Child Abuse and Neglect Reporting Act WELFARE AND INSTITUTIONS CODE 300 Minors subject to jurisdiction of juvenile court COURT DECISIONS

Baca v. Moreno Valley Unified School District, (1996) 936 F. Supp. 719

Management Resources: CDE LEGAL ADVISORIES

0910.93 Guidelines for parents to report suspected child abuse by school district employees or other persons against a pupil at school site (LO:4-93)

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT adopted: July 2, 2013 San Jose, California <u>Revised: July 9August 13September 10, 2020</u>

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

### 6.02

### Office of Superintendent of Schools

### **ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: Approve Board Policy 1312.3, Uniform Complaint Procedures – Second Reading.

Attached is the second reading for Board Policy 1312.3, Uniform Complaint Procedures.

Recommendation:

Staff recommends approval of second reading.

Submitted by: Hilaria Bauer	Title:	Superintendent		
To the Board of Trustees: <b>Recommend Approval</b> <b>Le.D</b> Agenda Placement	Meeting:	September 10, 2020 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent		
DISPOSITION BY BOARD OF TRUSTEES				
Motion by:	Seconded by:			
		Tabled		

# Alum Rock Union ESD Board Policy

**Uniform Complaint Procedures** 

# BP 1312.3 Community Relations

The Board of Trustees recognizes that the district has the primary responsibility to ensure compliance with applicable state and federal laws and regulations governing educational programs. The Board encourages early resolution of complaints whenever possible. To resolve complaints which may require a more formal process, the Board adopts the uniform system of complaint processes specified in 5 CCR 4600-4670 and the accompanying administrative regulation.

#### Complaints Subject to UCP

The district's uniform complaint procedures (UCP) shall be used to investigate and resolve the following complaints:

1. Any complaint alleging district violation of applicable state or federal laws or regulations governing any program subject to the UCP which is offered by the district, including After School Education and Safety programs; bilingual education; child nutrition programs; compensatory education; school safety plans; special education programs; California State Preschool Programs; and any other district-implemented state categorical program that is not funded through the local control funding formula pursuant to Education Code 64000

(cf. 3553 - Free and Reduced Price Meals)
(cf. 3555 - Nutrition Program Compliance)
(cf. 5148.2 - Before/After School Programs)
(cf. 5148.3 - Preschool/Early Childhood Education)
(cf. 6159 - Individualized Education Program)
(cf. 6171 - Title I Programs)
(cf. 6174 - Education for English Learners)

2. Any complaint, by a student, employee, or other person participating in a district program or activity, alleging the occurrence of unlawful discrimination (such as discriminatory harassment, intimidation, or bullying) in district programs and activities, including in those programs or activities funded directly by or that receive or benefit from any state financial assistance, based on the person's actual or perceived characteristics of race or ethnicity, color, ancestry, nationality, national origin, immigration status, ethnic group identification, age, religion, marital status, pregnancy, parental status, physical or mental disability, medical condition, sex, sexual orientation, gender, gender identify, gender expression, or genetic information, or any other characteristic identified in Education Code 200 or 220, Government Code 11135, or Penal Code 422.55, or based on the person's association with a person or group

with one or more of these actual or perceived characteristics (5 CCR 4610)

(cf. 0410 - Nondiscrimination in District Programs and Activities) (cf. 5145.3 - Nondiscrimination/Harassment) (cf. 5145.7 - Sexual Harassment)

3. Any complaint alleging district noncompliance with the requirement to provide reasonable accommodation to a lactating student on school campus to express breast milk, breastfeed an infant child, or address other breastfeeding-related needs of the student (Education Code 222)

(cf. 5146 - Married/Pregnant/Parenting Students)

4. Any complaint alleging district noncompliance with requirements to provide a pregnant or parenting student the accommodations specified in Education Code 46015, including those related to the provision of parental leave, right of return to the school of previous enrollment or to an alternative education program, if desired, and possible enrollment in school for a fifth year of instruction to enable the student to complete state and Board-imposed graduation requirements (Education Code 46015)

5. Any complaint alleging district noncompliance with the prohibition against requiring students to pay fees, deposits, or other charges for participation in educational activities (5 CCR 4610)

(cf. 3260 - Fees and Charges) (cf. 3320 - Claims and Actions Against the District)

6. Any complaint alleging district noncompliance with applicable requirements of Education Code 52060-52077 related to the implementation of the local control and accountability plan, including the development of a local control funding formula budget overview for parents/guardians (Education Code 52075)

(cf. 0460 - Local Control and Accountability Plan) (cf. 3100 - Budget)

7. Any complaint alleging noncompliance with requirements related to the development of a school plan for student achievement or the establishment of a school site council, as required for the consolidated application for specified federal and/or state categorical funding (Education Code 64000-64001, 65000-65001)

(cf. 0420 - School Plans/Site Councils)

8. Any complaint, by or on behalf of a student who is a foster youth as defined in Education Code 51225.2, alleging district noncompliance with any requirement applicable to the student regarding placement decisions; the responsibilities of the district's educational liaison to the student; the award of credit for coursework satisfactorily completed in another school, district, or

country; school or records transfer; or the grant of an exemption from Board-imposed graduation requirements (Education Code 48853, 48853.5, 49069.5, 51225.1, 51225.2)

(cf. 6173.1 - Education for Foster Youth)

9. Any complaint alleging district noncompliance with the physical education instructional minutes requirement for students in elementary school (Education Code 51210, <u>51222</u>, 51223)

(cf. 6142.7 - Physical Education and Activity)

10.Complaints regarding the noncompliance of a license-exempt California State PreschoolProgram (CSPP) with health and safety standards specified in Health and Safety Code1596.7925 and related state regulations (Education Code 8235.5; Health and Safety<br/>Code 1596.7925)

110. Any complaint alleging retaliation against a complainant or other participant in the complaint process or anyone who has acted to uncover or report a violation subject to this policy

1112. Any other complaint as specified in a-district policy

The Board recognizes that alternative dispute resolution (ADR) can, depending on the nature of the allegations, offer a process to reach a resolution to thefor resolving a complaint in a manner that is acceptable to all parties. An ADR process such as mediation may be offered to resolve complaints that involve more than one student and no adult. However, mediation shall not be offered or used to resolve any complaint involving sexual assault or where there is a reasonable risk that a party to the mediation would feel compelled to participate. The Superintendent or designee shall ensure that the use of ADR is consistent with state and federal laws and regulations.

The district shall protect all complainants from retaliation. In investigating complaints, the confidentiality of the parties involved shall be protected as required by law. For any complaint alleging retaliation or unlawful discrimination (such as discriminatory harassment, intimidation, or bullying), the Superintendent or designee shall keep the identity of the complainant, and/or the subject of the complaint if different from the complainant, confidential when appropriate and as long as the integrity of the complaint process is maintained.

(cf. 4119.23/4219.23/4319.23 - Unauthorized Release of Confidential/Privileged Information)
(cf. 5125 - Student Records)
(cf. 9011 - Disclosure of Confidential/Privileged Information)

When an allegation that is not subject to UCP is included in a UCP complaint, the district shall refer the non-UCP allegation to the appropriate staff or agency and shall investigate and, if appropriate, resolve the UCP-related allegation(s) through the district's UCP.

The Superintendent or designee shall provide training to district staff to ensure awareness and knowledge of current law and requirements related to UCP, including the steps and timelines

specified in this policy and the accompanying administrative regulation.

(cf. 4131 - Staff Development) (cf. 4231 - Staff Development) (cf. 4331 - Staff Development)

The Superintendent or designee shall maintain a record of each complaint and subsequent related actions, including steps taken during the investigation and all information required for compliance with 5 CCR 4631 and 4633.

(cf. 3580 - District Records)

Non-UCP Complaints

The following complaints shall not be subject to the district's UCP but shall be referred to the specified agency: (5 CCR 4611)

1. Any complaint alleging child abuse or neglect shall be referred to the County Department of Social Services Protective Services Division and the appropriate law enforcement agency.

(cf. 5141.4 - Child Abuse Prevention and Reporting)

2. Any complaint alleging health and safety violations by a child development program shall, for licensed facilities, be referred to Department of Social Services and shall, for licensing-exempt facilities, be referred to the appropriate Child Development regional administrator.

3. Any complaint alleging fraud shall be referred to the Legal, Audits and Compliance Branch of the California Department of Education.

Any complaint alleging employment discrimination or harassment shall be investigated and resolved by the district in accordance with the procedures specified in AR 4030 - Nondiscrimination in Employment, including the right to file the complaint with the California Department of Fair Employment and Housing.

Any complaint related to sufficiency of textbooks or instructional materials, emergency or urgent facilities conditions that pose a threat to the health or safety of students or staff, <u>or</u> teacher vacancies and misassignments, <u>or health and safety violations in any license-exempt California</u> <u>State Preschool Program</u> shall be investigated and resolved in accordance with the procedures in AR 1312.4 - Williams Uniform Complaint Procedures. (Education Code 8235.5, 35186)

(cf. 1312.4 - Williams Uniform Complaint Procedures)

Legal Reference:

EDUCATION CODE

200-262.4 Prohibition of discrimination

8200-8498 Child care and development programs

8500-8538 Adult basic education

18100-18203 School libraries

32280-32289 School safety plan, uniform complaint procedures

33380-33384 California Indian Education Centers

35186 Williams uniform complaint procedures

44500-44508 California Peer Assistance and Review Program for Teachers

46015 Parental leave for students

48853-48853.5 Foster youth

48985 Notices in language other than English

49010-49014 Student fees

49060-49079 Student records, especially:

49069.5 Records of foster youth

49490-49590 Child nutrition programs

49701 Interstate Compact on Educational Opportunity for Military Children

51210 Courses of study grades 1-6

51223 Physical education, elementary schools

51225.1-51225.2 Foster youth, homeless children, former juvenile court school students, military-connected students, migrant students, and newly arrived immigrant students; course credits; graduation requirements

51226-51226.1 Career technical education

51228.1-51228.3 Course periods without educational content

52060-52077 Local control and accountability plan, especially:

52075 Complaint for lack of compliance with local control and accountability plan requirements

52160-52178 Bilingual education programs

52300-52462 Career technical education

52500-52616.24 Adult schools

54000-54029 Economic Impact Aid

54400-54425 Compensatory education programs

54440-54445 Migrant education

54460-54529 Compensatory education programs

56000-56865 Special education programs

59000-59300 Special schools and centers

64000-64001 Consolidated application process; school plan for student achievement

65000-65001 School site councils

GOVERNMENT CODE

11135 Nondiscrimination in programs or activities funded by state

12900-12996 Fair Employment and Housing Act

HEALTH AND SAFETY CODE

1596.792 California Child Day Care Act; general provisions and definitions

1596.7925 California Child Day Care Act; health and safety regulations

104420 Tobacco-Use Prevention Education

PENAL CODE

422.55 Hate crime; definition

422.6 Interference with constitutional right or privilege

CODE OF REGULATIONS, TITLE 2

11023 Harassment and discrimination prevention and correction

CODE OF REGULATIONS, TITLE 5

3080 Applicability of uniform complaint procedures to complaints regarding students with disabilities

4600-4670 Uniform complaint procedures

4680-4687 Williams uniform complaint procedures

4900-4965 Nondiscrimination in elementary and secondary education programs

UNITED STATES CODE, TITLE 20

1221 Application of laws

1232g Family Educational Rights and Privacy Act

1681-1688 Title IX of the Education Amendments of 1972

6301-6576 Title I Improving the Academic Achievement of the Disadvantaged

6801-7014 Title III language instruction for limited English proficient and immigrant students

UNITED STATES CODE, TITLE 29

794 Section 504 of Rehabilitation Act of 1973

UNITED STATES CODE, TITLE 42

2000d-2000e-17 Title VI and Title VII Civil Rights Act of 1964, as amended

2000h-2-2000h-6 Title IX of the Civil Rights Act of 1964

6101-6107 Age Discrimination Act of 1975

12101-12213 Title II equal opportunity for individuals with disabilities

CODE OF FEDERAL REGULATIONS, TITLE 28

35.107 Nondiscrimination on basis of disability; complaints

CODE OF FEDERAL REGULATIONS, TITLE 34

99.1-99.67 Family Educational Rights and Privacy Act

100.3 Prohibition of discrimination on basis of race, color or national origin

104.7 Designation of responsible employee for Section 504

106.8 Designation of responsible employee for Title IX

106.9 Notification of nondiscrimination on basis of sex

110.25 Notification of nondiscrimination on the basis of age

Management Resources:

CALIFORNIA DEPARTMENT OF EDUCATION PUBLICATIONS Uniform Complaint Procedure 2020-21 Program Instrument

Sample UCP Board Policies and Procedures

U.S. DEPARTMENT OF EDUCATION, OFFICE FOR CIVIL RIGHTS PUBLICATIONS Dear Colleague Letter, September 22, 2017

Dear Colleague Letter: Title IX Coordinators, April 2015

Dear Colleague Letter: Responding to Bullying of Students with Disabilities, October 2014 Dear Colleague Letter: Harassment and Bullying, October 2010

Revised Sexual Harassment Guidance: Harassment of Students by School Employees, Other Students, or Third Parties, January 2001

U.S. DEPARTMENT OF JUSTICE PUBLICATIONS

Guidance to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons, 2002 WEB SITES CSBA: http://www.csba.org

California Department of Education: http://www.cde.ca.gov

Family Policy Compliance Office: https://www2.ed.gov/policy/gen/guid/fpco

U.S. Department of Education, Office for Civil Rights: http://www.ed.gov/ocr

U.S. Department of Justice: http://www.justice.gov

Policy \_\_\_\_ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT adopted: \_July 2, 2013 \_\_\_San Jose, California <u>R</u>revised: \_September 12, 2019 <u>Revised: August 13September 10, 2020</u>

# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

0.15

### Office of Superintendent of Schools

# ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Subject: Approve Board Policy 5125, Student Records-Third Reading .

#### Approve:

Submitted by:Hilaria Bauer, Ph.D.	Title:	Superintendent
To the Board of Trustees: Approve	Meeting:	September 10, 2020 Regular Board Meeting
6.03 Agenda Placement		Hilaria Bauer, Ph.D., Superintendent
DISPOSITION BY BOARD OF TRUSTEES		

Motion by:		Seconded by:	
Approved:	Not Approved:	Tabled:	

# Alum Rock Union ESD Board Policy

Student Records

BP 5125 Students

The Board of Trustees Governing Board recognizes the importance of keeping accurate, comprehensive student records as required by law. <u>The Superintendent or designee shall ensure</u> that the district's administrative regulation and school site procedures for maintaining the confidentiality of student records are consistent with state and federal law.

The Superintendent or designee shall establish <u>administrative</u> regulations governing the identification, <u>collection</u>, <u>descriptionretention</u>, and security of student records, <u>as well as timely</u> access for authorized persons. These regulations shall ensure <u>parental the</u> rights <u>of authorized</u> <u>persons to have timely access</u> to <u>review</u>, <u>inspect</u>, <u>and copy</u> student records <u>and shall protect the student and his/her family from invasion of privacywhile maintaining the confidentiality of student records consistent with state and federal law.</u>

(cf. 3580 - District Records)
(cf. 4040 - Employee Use of Technology)
(cf. 5125.1 - Release of Directory Information)
(cf. 5125.2 - Withholding Grades, Diploma or Transcripts)
(cf. 5125.3 - Challenging Student Records)

The Superintendent or designee shall designate a certificated employee to serve as custodian of records with responsibility for student records at the district level. At each school, the principal or a certificated designee employee shall act as custodian of records for students enrolled at that school. The custodian of records shall be responsible for implementing Board policy and administrative regulation regarding student records. (5 CCR 431)

All appropriate personnel shall receive training regarding district policies and procedures for gathering and handling sensitive student information.

(cf. 4131 - Staff Development) (cf. 4231 - Staff Development) (cf. 4331 - Staff Development)

The district shall not collect or solicit social security numbers or the last four digits of social security numbers of students or their parents/guardians, unless otherwise required to do so by state or federal law. (Education Code 49076.7)

No information or documents regarding the citizenship or immigration status of students or their family members shall be collected, except as required by state or federal law or as required to

administer a state or federally supported educational program. The Superintendent or designee shall not disclose student records to a person, agency, or organization for immigration enforcement purposes without parental consent, a court order, or judicial subpoena. If a district employee receives such a request, he/she shall immediately report the request to the Superintendent. The Superintendent shall report the request to the Board in a timely manner that ensures the confidentiality and privacy of any potentially identifying information. (Education Code 234.7)

(cf. 5145.13 - Response to Immigration Enforcement)

The Superintendent or designee shall not compile a list, registry, or database based on students' national origin, ethnicity, or religious belief, practice, or affiliation, nor shall he/she disclose student information to federal government authorities for the purpose of compiling such a list, registry, or database for purposes of immigration enforcement. Such information may only be compiled or exchanged with other local, state, or federal agencies if the information is aggregated and is not personally identifiable. (Government Code 8310.3)

Student Records from Social Media

The Superintendent or designee may gather and maintain information from the social media of any district student, provided that the district first notifies students and parents/guardians about the proposed program, offers an opportunity for public comment at a regularly scheduled Board meeting, and gathers only information that directly pertains to school safety or student safety. (Education Code 49073.6)

(cf. 0450 - Comprehensive Safety Plan) (cf. 5131.2 - Bullying) (cf. 5145.6 - Parental Notifications) (cf. 9322 - Agenda/Meeting Materials) (cf. 9323 - Meeting Conduct)

The Superintendent or designee may enter into a contract with a third party for the digital storage, management, and retrieval of student records and/or to authorize a third party provider of digital software to access, store, and use student records, provided that the contract meets the requirements of Education Code 49073.1 and other applicable state and federal laws.

(cf. 3312 - Contracts)

Legal Reference:

EDUCATION CODE

234.7 Student protections relating to immigration and citizenship status
17604 Contracts
48201 Student records for transfer students who have been suspended/expelled
4853.5 Foster youth; placement, immunizations
48902 Notification of law enforcement of specified violations

48904-48904.3 Withholding grades, diplomas, or transcripts

48918 Rules governing expulsion procedures

48980 Parental notifications

48985 Notices in parent/guardian's primary language

49060-49079 Student records

49091.14 Parental review of curriculum

51747 Independent study programs

56050 Surrogate parents

56055 Foster parents

69432.9 Cal Grant program; notification of grade point average

CODE OF CIVIL PROCEDURE 1985.3 Subpoena duces tecum FAMILY CODE 3025 Access to records by noncustodial parents 6552 Caregiver's authorization affidavit

GOVERNMENT CODE 6252-6260 Inspection of public records HEALTH AND SAFETY CODE 120440 Immunizations; disclosure of information <u>PENAL CODE</u> 245 Assault with deadly weapon

WELFARE AND INSTITUTIONS CODE 681 Truancy petitions 701 Juvenile court law

16010 Health and education records of a minor
CODE OF REGULATIONS, TITLE 5
430-438 Individual student records
16020-16027 Destruction of records of school districts
UNITED STATES CODE, TITLE 20
1232g Family Educational Rights and Privacy Act
1232h Protection of Pupil Rights Amendment
UNITED STATES CODE, TITLE 26
152 Definition of dependent child
UNITED STATES CODE, TITLE 42
11434a McKinney-Vento Homeless Assistance Act; definitions
CODE OF FEDERAL REGULATIONS, TITLE 16
Part 312 Children's Online Privacy Protection Rule

CODE OF FEDERAL REGULATIONS, TITLE 34 99.1-99.67 Family Educational Rights and Privacy 300.501 Opportunity to examine records for parents of student with disability Management Resources: <u>CSBA PUBLICATIONS</u> Legal Guidance on Providing All Children Equal Access to Education, Regardless of

Immigration Status, February 2017 <u>CALIFORNIA OFFICE OF THE ATTORNEY GENERAL PUBLICATIONS</u> Promoting a Safe and Secure Learning Environment for All: Guidance and Model Policies to

Assist California's K-12 Schools in Responding to Immigration Issues, April 2018

FEDERAL REGISTER

Final Rule and Analysis of Comments and Changes, Family Educational Rights and Privacy, December 9, 2008, Vol. 73, No. 237, pages 74806-74855 <u>NATIONAL SCHOOL BOARDS ASSOCIATION PUBLICATIONS</u> <u>Data in the Cloud: A Legal and Policy Guide for School Boards on Student Data Privacy in the</u> <u>Cloud Computing Era, April 2014</u>

U.S. DEPARTMENT OF EDUCATION PUBLICATIONS IDEA and FERPA Confidentiality Provisions, 2014

Joint Guidance on the Application of <u>the Family Educational Rights and Privacy Act (FERPA)</u> and <u>the Health Insurance Portability and Accountability Act of 1996</u> (HIPAA) to Student Health Records, November 2008 Balancing Student Privacy and School Safety: A Guide to the Family Educational Rights and Privacy Act for Elementary and Secondary Schools, October 2007

WEB SITES

<u>CSBA: http://www.csba.org</u> California Department of Education: <u>http://www.cde.ca.gov</u> National School Boards Association: http://www.nsba.org

U.S. Department of Education. Family Policy Compliance Office, http://www.ed.gov/policy/gen/guid/fpco/index.html

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT adopted: July 2, 2013 San Jose, California <u>Revised: July 9August 13September 10, 2020</u>

# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

#### Office of Superintendent of Schools

#### ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: Approve Board Policy 3580, District Records – Second Reading.

Attached is the second reading for Board Policy 3580, District Records.

Recommendation:

Staff recommends approval of second reading.

Submitted by: Hilaria Bauer	Title:	Superintendent	
To the Board of Trustees: <b>Recommend Approval</b> <b>6</b> • <b>O</b> Agenda Placement	Meeting:	September 10, 2020 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent	
DISPOSITION BY BOARD OF TRUSTEES Motion by: Seconded by:			
	Approved:	Tabled:	

# Alum Rock Union ESD Board Policy District Records

# BP 3580 Business and Noninstructional Operations

The Governing Board recognizes the importance of securing and retaining district documents. The Superintendent or designee shall ensure that district records are developed, maintained, and disposed of in accordance with law, Board policy, and administrative regulation.

(cf. 1340 - Access to District Records) (cf. 3440 - Inventories) (cf. 4112.6/4212.6/4312.6 - Personnel Files) (cf. 5125 - Student Records)

The Superintendent or designee shall consult with district legal counsel, site administrators, district information technology staff, personnel department staff, and others as necessary to develop a secure document management system that provides for the storage, retrieval, archiving, and destruction of district documents, including electronically stored information such as email. This document management system shall be designed to comply with state and federal laws regarding security of records, record retention and destruction, response to "litigation hold" discovery requests, and the recovery of records in the event of a disaster or emergency.

(cf. 0440 - District Technology Plan)
(cf. 3516 - Emergencies and Disaster Preparedness Plan)
(cf. 4040 - Employee Use of Technology)

(cf. 9011 - Board Member Electronic Communications)

The Superintendent or designee shall ensure the confidentiality of records as required by law and shall establish regulations to safeguard data against damage, loss, or theft.

<u>(cf. 4112.6/4212.6/4312.6 - Personnel Files)</u> (cf. 5125 - Student Records) (cf. 5125.1 - Release of Directory Information)

The Superintendent or designee shall ensure that employees receive information about the district's document management system, including retention and confidentiality requirements and an employee's obligations in the event of a litigation hold established on the advice of legal counsel.

(cf. 4131 - Staff Development) (cf. 4231 - Staff Development) (cf. 4331 - Staff Development) If the district discovers or is notified that a breach of security of district records containing unencrypted personal information has occurred, the Superintendent or designee shall notify every individual whose personal information was, or is reasonably believed to have been, acquired by an unauthorized person. Personal information includes, but is not limited to, a social security number, driver's license or identification card number, medical information, health insurance information, or an account number in combination with an access code or password that would permit access to a financial account. (Civil Code 1798.29)

The Superintendent or designee shall provide the notice in a timely manner either in writing or electronically, unless otherwise provided in law. The notice shall include the material specified in Civil Code 1798.29, be formatted as required, and be distributed in a timely manner, consistent with the legitimate needs of law enforcement to conduct an uncompromised investigation or any measures necessary to determine the scope of the breach and restore reasonable integrity of the data system. (Civil Code 1798.29)

(cf. 1112 - Media Relations) (cf. 1113 - District and School Web Sites) (cf. 4112.9/4212.9/4312.9 - Employee Notifications) (cf. 5145.6 - Parental Notifications)

#### Safe at Home Program

District public records shall not include the actual addresses of students, parents/guardians, or employees when a substitute address is designated by the Secretary of State pursuant to the Safe at Home program. (Government Code 6206, 6207)

When a substitute address card is provided pursuant to this program, the confidential, actual address may be used only to establish district residency requirements for enrollment and for school emergency purposes.

(cf. 5111.1 - District Residency) (cf. 5141 - Health Care and Emergencies)

Legal Reference: EDUCATION CODE 35145 Public meetings 35163 Official actions, minutes and journal 35250-35255 Records and reports 44031 Personnel file contents and inspection 49065 Reasonable charge for transcripts 49069 Absolute right to access CIVIL CODE 1798.29 Breach of security involving personal information

CODE OF CIVIL PROCEDURE

1985.8 Electronic Discovery Act

2031.010-2031.060 Civil Discovery Act, scope of discovery demand

2031.210-2031.320 Civil Discovery Act, response to inspection demand

GOVERNMENT CODE

6205-6210 Confidentiality of addresses for victims of domestic violence, sexual assault or stalking

6252-6265 Inspection of public records

12946 Retention of employment applications and records for two years

PENAL CODE

11170 Retention of child abuse reports

CODE OF REGULATIONS, TITLE 5

430 Individual student records; definition

432 Varieties of student records

16020-16022 Records, general provisions

16023-16027 Retention of records

UNITED STATES CODE, TITLE 20

1232g Family Educational Rights and Privacy Act

CODE OF FEDERAL REGULATIONS, TITLE 34

99.1-99.8 Family Educational Rights and Privacy Act

Management Resources: WEB SITES California Secretary of State: http://www.sos.ca.gov/safeathome

PolicyALUM ROCK UNION ELEMENTARY SCHOOL DISTRICTapproved:July 2, 2013Rrevised:San Jose, CaliforniaRevised:JunelyAugust 913 September 10, 2020

# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

605

# Office of Superintendent of Schools

#### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

 Board Policy 4119.21/4219.21/4319.21, Professional Standards – First Reading

 Subject:
 (Information Only).

Attached is the first reading for Board Policy 4119.21/4219.21/4319.21, Professional Standards.

Recommendation:

Staff recommends approval of first reading.

Submitted by: Hilaria Bauer	Title:	Superintendent	
To the Board of Trustees: Information Only U.DS Agenda Placement	Meeting:	September 10, 2020 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent	
DISPOSITION BY BOARD OF TRUSTEES         Motion by:       Seconded by:			
Approved: N	lot Approved:	Tabled:	

# Alum Rock Union ESD Board Policy Professional Standards

BP 4119.21/4219.21/4319.21 Personnel

The Board of Trustees expects district employees to maintain the highest ethical standards, <u>behave professionallyexhibit professional behavior</u>, follow district policies and regulations, abide by state and federal laws, and exercise good judgment when interacting with students and other members of the school community. Employees <u>shall engage in conduct should that</u> enhances the integrity of the district, advances the goals of the district's educational programs, and contributes to a positive school climate.

(cf. 0200 - Goals for the School District)
(cf. 4119.1/4219.1/4319.1 - Civil and Legal Rights)
(cf. 5131 - Conduct)
(cf. 5137 - Positive School Climate)

The Board encourages district employees to accept as guiding principles the professional standards and codes of ethics adopted by educational or professional associations to which they may belong.

(cf. 2111 - Superintendent Governance Standards) (cf. 9005 - Governance Standards)

Each employee is expected should make a commitment to acquire the knowledge and skills necessary to fulfill his/her responsibilities and to contribute should focus on his/her contribution to the learning and achievement of district students.

(cf. 4112.2 - Certification) (cf. 4131 - Staff Development) (cf. 4231 - Staff Development) (cf. 4331 - Staff Development)

Inappropriate Conduct

Inappropriate employee conduct includes, but is not limited to:

1. Engaging in any conduct that endangers students, staff, or others, including, but not limited to, physical violence, threats of violence, or possession of a firearm or other weapon

(cf. 0450 - Comprehensive Safety Plan)

# (cf. 3515.7 - Firearms on School Grounds)

(cf. 4158/4258/4358 - Employee Security)

2. Engaging in harassing or discriminatory behavior towards students, parents/guardians, staff, or community members, or failing or refusing to intervene when an act of discrimination, harassment, intimidation, or bullying against a student is observed

(cf. 0410 - Nondiscrimination in District Programs and Activities)
(cf. 4119.11/4219.11/4319.11 - Sexual Harassment)
(cf. 5131.2 - Bullying)
(cf. 5145.3 - Nondiscrimination/Harassment)
(cf. 5145.7 - Sexual Harassment)

3. Physically abusing, sexually abusing, neglecting, or otherwise willfully harming or injuring a child

4. Engaging in inappropriate socialization or fraternization with a student or soliciting, encouraging, or maintaining an inappropriate written, verbal, or physical relationship with a student

5. Possessing or viewing any pornography on school grounds, or possessing or viewing child pornography or other imagery portraying children in a sexualized manner at any time

6. Using profane, obscene, or abusive language against students, parents/guardians, staff, or community members

7. Willfully disrupting district or school operations by loud or unreasonable noise or other action

## (cf. 3515.2 - Disruptions)

8. Using tobacco, alcohol, or an illegal or unauthorized substance, or possessing or distributing any controlled substance, while in the workplace, on district property or at a school-sponsored activity

(cf. 3513.3 - Tobacco-Free Schools) (cf. 3513.4 - Drug and Alcohol Free Schools)

(cf. 4020 - Drug and Alcohol Free Workplace)
(cf. 4112.41/4212.41/4312.41 - Employee Drug Testing)
(cf. 4112.42/4212.42/4312.42 - Drug and Alcohol Testing for School Bus Drivers)

9. Dishonesty Being dishonest with students, parents/guardians, staff, or members of the public, including, but not limited to, <u>falsifying falsification of</u> information in employment records or other school records

10. Divulging confidential information about students, district employees, or district operations to persons <u>or entities</u> not authorized to receive the information

(cf. 3580 - District Records)

(cf. 4119.23/4219.23/4319.23 - Unauthorized Release of Confidential/Privileged Information) (cf. 5125 - Student Records)

(cf. 5125.1 - Release of Directory Information)

11. Using district equipment or other district resources for the employee's own commercial purposes or for political activities

(cf. 4119.25/4219.25/4319.25 - Political Activities of Employees)

12. Using district equipment or communications devices for personal purposes while on duty, except in an emergency, during scheduled work breaks, or for personal necessity

Employees shall be notified that computer files and all electronic communications, including, but not limited to, email and voice mail, are not private. To ensure proper use, the Superintendent or designee may monitor employee usage of district technological resources at any time without the employee's consent.

(cf. 4040 - Employee Use of Technology)

13. Causing damage to or engaging in theft of property belonging to students, staff, or the district

14. Wearing inappropriate attire (cf. 4119.22/4219.22/4319.22 - Dress and Grooming)

#### Reports of Misconduct

An employee who observes or has evidence of another employee's inappropriate conduct shall immediately report such conduct to the principal or Superintendent or designee. An employee who has knowledge of or suspects child abuse or neglect shall file a report pursuant to the district's child abuse reporting procedures as detailed in AR 5141.4 - Child Abuse Prevention and Reporting.

(cf. 1312.1 - Complaints Concerning District Employees) (cf. 5141.4 - Child Abuse Prevention and Reporting)

Any reports of employee misconduct shall be promptly investigated. Any employee who is found to have engaged in inappropriate conduct in violation of law or Board policy shall be subject to disciplinary action and, in the case of a certificated employee, may be subject to a report to the Commission on Teacher Credentialing. The Superintendent or designee shall notify local law enforcement as appropriate.

(cf. 4117.4 - Dismissal)
(cf. 4117.7 - Employment Status Reports)
(cf. 4118 - Suspension/Disciplinary Action)
(cf. 4218 - Dismissal/Suspension/Disciplinary Action)

An employee who has knowledge of but fails to report inappropriate employee conduct may also be subject to discipline.

The district prohibits retaliation against anyone who files a complaint against an employee or reports an employee's inappropriate conduct. Any employee who retaliates against any such complainant, reporter, or other participant in the district's complaint process shall be subject to discipline.

#### Notifications

The section(s) of the district's employee code of conduct addressing interactions with students shall be provided to parents/guardians at the beginning of each school year and shall be posted on school and/or district web sites. (Education Code 44050)

(cf. 1113 - District and School Web Sites) (cf. 5145.6 - Parental Notifications)

Legal Reference: EDUCATION CODE 200-262.4 Prohibition of discrimination <u>44050 Employee code of conduct; interaction with students</u> 44242.5 Reports and review of alleged misconduct PENAL CODE 11164-11174.4 Child Abuse and Neglect Reporting Act CODE OF REGULATIONS, TIFLE 5 80303 Reports of dismissal, resignation and other terminations for alleged misconduct 80331-80338 Rules of conduct for professional educators

Management Resources:

COMMISSION ON TEACHER CREDENTIALING PUBLICATIONS California Professional Standards for Educational Leaders, February 2014 California Standards for the Teaching Profession, 2009 COUNCIL OF CHIEF STATE SCHOOL OFFICERS PUBLICATIONS Professional Standards for Educational Leaders, 2015

Educational Leadership Policy Standards: ISLLC 2008, 2008 NATIONAL EDUCATION ASSOCIATION PUBLICATIONS Code of Ethics of the Education Profession, 1975 WESTED PUBLICATIONS Moving Leadership Standards into Everyday Work: Descriptions of Practice, 2003 WESTED AND ASSOCIATION OF CALIFORNIA SCHOOL ADMINISTRATORS-PUBLICATIONS

California Professional Standards for Educational Leaders, 2001 WEB SITES

CSBA: http://www.csba.org

Association of California School Administrators: http://www.acsa.org California Department of Education: http://www.cde.ca.gov California Federation of Teachers: http://www.cft.org California School Employees Association: http://www.csea.com California Teachers Association: http://www.cta.org Commission on Teacher Credentialing: http://www.ctc.ca.gov Council of Chief State School Officers: http://www.ccsso.org WestEd: http://www.WestEd.org

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT adopted: July 2, 2013 San Jose, California <u>Revised: September 10, 2020</u>

# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127



#### Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

# Board Policy 4119.11/4219.11/4319.11, Sexual Harassment-Personnel – First Reading Subject: (Information Only).

Attached is the first reading for Board Policy 4119.11/4219.11/4319.11, Sexual Harassment-Personnel.

**Recommendation:** 

Staff recommends approval of first reading.

Submitted by: Hilaria Bauer	Title:	Superintendent	
To the Board of Trustees: Information Only 6.06 Agenda Placement	Meeting:	September 10, 2020 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent	
DISPOSITION BY BOARD OF TRUSTEES			
Motion by: Approved:	Not Approved:	nded by: Tabled:	

# Alum Rock Union ESD Board Policy Sexual Harassment

BP 4119.11/4219.11/4319.11 Personnel

The following policy shall apply to all district employees, interns, volunteers, contractors, and job applicants, and other persons with an employment relationship with the district.

The Board of Trustees is committed to providing a safe work environment that is free of harassment and intimidation. The Board prohibits sexual harassment against district employees and retaliatory behavior or action against any person who complains, testifies, or otherwise participates in the complaint process established for the purpose of this policy.

(cf. 0410 - Nondiscrimination in District Programs and Activities) (cf. 4030 - Nondiscrimination in Employment)

Sexual harassment includes, but is not limited to, harassment that is based on the <u>sex</u>, gender, gender identity, gender expression, or sexual orientation of the victim <u>and harassment based on</u> <u>pregnancy</u>, childbirth, or related medical conditions.

This policy shall apply to all district employees and to other persons on district property or with some employment relationship with the district, such as interns, volunteers, contractors, and job applicants.

(cf. 0410 - Nondiscrimination in District Programs and Activities) (cf. 4030 - Nondiscrimination in Employment)

Any district employee who engages or participates in sexual harassment or who aids, abets, incites, compels, or coerces another to commit sexual harassment in violation of this policy is subject to disciplinary action, up to and including dismissal.

(cf. 4117.4 – Dismissal) (cf. 4118 – Suspension/Disciplinary Action) (cf. 4218 – Dismissal/Suspension/Disciplinary Action)

The Superintendent or designee shall take all actions necessary to ensure the prevention, investigation, and correction of sexual harassment, including but not limited to:

- 1. Providing training to employees in accordance with law and administrative regulation
- 2. Publicizing and disseminating the district's sexual harassment policy to employees and others to whom the policy may apply

#### (cf. 4112.9/4212.9/4312.9 - Employee Notifications)

- 3. Ensuring prompt, thorough, and fair, and equitable investigation of complaints
- 4. Taking timely and appropriate corrective/remedial action(s), which may require interim separation of the complainant and the alleged harasser and subsequent monitoring of developments

The Superintendent or designee shall periodically evaluate the effectiveness of the district's strategies to prevent and address harassment. Such evaluation may involve conducting regular anonymous employee surveys to assess whether harassment is occurring or is perceived to be tolerated, partnering with researchers or other agencies with the needed expertise to evaluate the district's prevention strategies, and using any other effective tool for receiving feedback on systems and/or processes. As necessary, changes shall be made to the harassment policy, complaint procedures, or training.

#### Sexual Harassment Reports and Complaints

Any district District employees who feels that they have he/she has been sexually harassed in the performance of their his/her district responsibilities or who havehas knowledge of any incident of sexual harassment by or against another employee shall immediately report the incident to their his/her direct supervisor, a district administrator, or another supervisor, the district's Title IX Ceoordinator for nondiscrimination, the Superintendent, or, if available, a complaint hotline or an ombudsman. Employees may bypass their supervisor in filing a complaint if the supervisor is the subject of the complaint. A supervisor or other administrator who receives a harassment complaint shall promptly notify the Title IX Ceoordinator.

Complaints of sexual harassment shall be filed and investigated in accordance with the complaint procedure specified in AR 4030 - Nondiscrimination in Employment. An employee may bypass his/her supervisor in filing a complaint where the supervisor is the subject of the complaint.

Once notified, the Title IX Coordinator shall ensure the complaint is addressed through either AR 4119.12/4219.12/4319.12 - Title IX Sexual Harassment Complaint Procedures for complaints meeting the Title IX definition of sexual harassment or AR 4030 - Nondiscrimination in Employment for complaints meeting the state definition, as applicable, and shall offer supportive measures to the complainant.

(cf. 4119.12/4219.12/4319.12 - Title IX Sexual Harassment Complaints) (cf. 4030 - Nondiscrimination in Employment)

All complaints and allegations of sexual harassment shall be kept confidential to the extent necessary to carry out the investigation or to take other subsequent necessary actions. (2 CCR 11023)

Upon investigation of a sexual harassment complaint, any district employee found to have engaged or participated in sexual harassment or to have aided, abetted, incited, compelled, or

coerced another to commit sexual harassment in violation of this policy shall be subject to disciplinary action, up to and including dismissal, in accordance with law and the applicable collective bargaining agreement.

(cf. 4117.7/4317.7 - Employment Status Reports) (cf. 4118 - Dismissal/Suspension/Disciplinary Action) (cf. 4218 - Dismissal/Suspension/Disciplinary Action)

Legal Reference

EDUCATION CODE

200-262.4 Prohibition of discrimination on the basis of sex

GOVERNMENT CODE

12900-12996 Fair Employment and Housing Act, especially:

12940 Prohibited discrimination

12950 Sexual harassment; distribution of information

12950.1 Sexual harassment training

LABOR CODE

1101 Political activities of employees

1102.1 Discrimination: sexual orientation

CODE OF REGULATIONS, TITLE 2

11009 Employment discrimination

11021 Retaliation

11023 Harassment and discrimination prevention and correction

11024 Sexual harassment training and education

11034 Terms, conditions, and privileges of employment

CODE OF REGULATIONS, TITLE 5

4900-4965 Nondiscrimination in elementary and secondary education programs receiving state financial assistance

UNITED STATES CODE, TITLE 20

1681-1688 Title IX of the Education Amendments of 1972prohibition against discrimination UNITED STATES CODE, TITLE 42

2000e-2000e-17 Title VII, Civil Rights Act of 1964, as amended

CODE OF FEDERAL REGULATIONS, TITLE 34

106.1-106.9 Nondiscrimination on the basis of sex in education programs or activities

106.51-<u>106.82</u><u>106.61</u>Nondiscrimination on the basis of sex in employment in education program or activities

COURT DECISIONS

Department of Health Services v. Superior Court of California, (2003) 31 Cal.4th 1026

Faragher v. City of Boca Raton, (1998) 118 S.Ct. 2275

Burlington Industries v. Ellreth, (1998) 118 S.Ct. 2257

Gebser v. Lago Vista Independent School District, (1998) 118 S.Ct. 1989

Oncale v. Sundowner Offshore Serv. Inc., (1998) 118 S.Ct. 998

Meritor Savings Bank, FSB v. Vinson et al., (1986) 447 U.S. 57

Management Resources: <u>U.S. EQUAL EMPLOYMENT OPPORTUNITY COMMISSION PUBLICATIONS</u> <u>Promising Practices for Preventing Harassment</u>, November 2017 WEB SITES California Department of Fair Employment and Housing: http://www.dfeh.ca.gov Equal Employment Opportunity Commission: http://www.eeoc.gov U.S. Department of Education, Office for Civil Rights: http://www.ed.gov/about/offices/list/ocr/index.html

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT adopted: July 2, 2013 San Jose, California Revised: April 9, 2020 Revised: September 10, 2020

## ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

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# Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

## Subject: Board Policy 5145.7, Sexual Harassment-Students – First Reading (Information Only).

Attached is the first reading for Board Policy 5145.7, Sexual Harassment-Students.

Recommendation:

Staff recommends approval of first reading.

Submitted by: Hilaria Bauer	Title:	Superintendent
To the Board of Trustees: Information Only 6-07 Agenda Placement	Meeting:	September 10, 2020 Regular Board Meeting Hilana Bauer, Ph.D., Superintendent
DI	SPOSITION BY BOARD	OF TRUSTEES
Motion by:	Secor	nded by:
Approved:	Not Approved:	Tabled:

# Alum Rock Union ESD Board Policy Sexual Harassment

BP 5145.7 Students

The Board of Trustees is committed to maintaining a safe school environment that is free from harassment and discrimination. The Board prohibits, at school or at school-sponsored or school-related activities, sexual harassment targeted at any student by anyone. The Board also prohibits retaliatory behavior or action against any person who reports, files a complaint or testifies about, or otherwise supports a complainant in alleging sexual harassment.

(cf. 0410 - Nondiscrimination in District Programs and Activities (cf. 5131 - Conduct) (cf. 5131.2 - Bullying) (cf. 5137 - Positive School Climate) (cf. 5145.3 - Nondiscrimination/Harassment)

The district strongly encourages any students who feels that he/she is they are being or has have been sexually harassed on school grounds or at a school-sponsored or school-related activity by another student or an adult, or who has experienced off-campus sexual harassment that has a continuing effect on campus, to immediately contact his/hertheir teacher, the principal, the district's Title IX Coordinator, or any other available school employee. Any employee who receives a report or observes an incident of sexual harassment shall notify the <u>Title IX</u> <u>Coordinator principal or a district compliance officer</u>.

Once notified, the <u>Title IX Coordinator principal or compliance officer shall ensure the</u> complaint is addressed through <u>Title IX complaint procedures or uniform complaint procedures</u>, as applicable, and shall offer supportive measures to the complainant. take the steps to investigate and address the allegation, as specified in the accompanying administrative regulation. (cf. 0410 Nondiscrimination in District Programs and Activities)</u> (cf. 1312.1 - Complaints Concerning District Employees) (cf. 1312.3 - Uniform Complaint Procedures) (cf. 5131 - Conduct) (cf. 5131 - Conduct) (cf. 5137 - Positive School Climate) (cf. 5141.4 - Child Abuse Prevention and Reporting) (cf. 5145.71 - Title IX Sexual Harassment Complaint Procedures)

(cf. 5145.3 – Nondiscrimination/Harassment) (cf. 4119.11/4219.11/4319.11 – Sexual Harassment)

#### (cf. 6142.1 - Sexual Health and HIV/AIDS Prevention Instruction)

The Superintendent or designee shall inform students and parents/guardians of take appropriate actions to reinforce the district's sexual harassment policy by disseminating it through parent/guardian notifications, publishing it on the district's web site, and including it in student and staff handbooks. All district staff shall be trained regarding the policy.

#### Instruction/Information

The Superintendent or designee shall ensure that all district students receive age-appropriate instruction and information on sexual harassment. Such instruction and information shall include:

- 1. What acts and behavior constitute sexual harassment, including the fact that sexual harassment could occur between people of the same sex and could involve sexual violence
- 2. A clear message that students do not have to endure sexual harassment under any circumstance
- 3. Encouragement to report observed instances of sexual harassment, even <u>whenwhere</u> the \_\_\_\_\_alleged victim of the harassment has not complained
- 4. A clear message that student safety is the district's primary concern, and that any separate rule violation involving an alleged victim or any other person reporting a sexual harassment incident will be addressed separately and will not affect the manner in which the sexual harassment complaint will be received, investigated, or resolved
- 5. A clear message that, regardless of a complainant's noncompliance with the writing, timeline, or other formal filing requirements, every sexual harassment allegation that involves a student, whether as the complainant, respondent, or victim of the harassment, shall be investigated and prompt action shall be taken to respond to stop any harassment, prevent recurrence, and address any continuing effect on students
- 6. Information about the district's procedures for investigating complaints and the person(s) to whom a report of sexual harassment should be made
- 7. Information about the rights of students and parents/guardians to file a criminal complaint, as applicable, including the right to file a civil or criminal complaint while the district investigation of a sexual harassment complaint continues
- 8. A clear message that, when needed, the district will <u>implement supportive</u>take interim measures to ensure a safe school environment for a student who is the complainant or victim of sexual harassment and/or other students during an investigation and that, to the extent possible, when such interim measures are taken, they shall not disadvantage the complainant or victim of the alleged harassment

#### Complaint Process and Disciplinary Actions

The Superintendent or designee shall ensure that any complaints regarding sexual harassment are immediately investigated in accordance with administrative regulation. When the Superintendent or designee has determined that harassment has occurred, he/she shall take prompt, appropriate action to end the harassment and to address its effects on the victim.

Sexual harassment complaints by and against students shall be investigated and resolved in accordance with law and district procedures specified in AR 1312.3 – Uniform Complaint Procedures. Principals are responsible for notifying students and parents/guardians that complaints of sexual harassment can be filed under AR 1312.3 and where to obtain a copy of the procedures.

(cf. 1312.3 - Uniform Complaint Procedures)

Upon <u>completion of an</u> investigation of a sexual harassment complaint, any student found to have engaged in sexual harassment or sexual violence in violation of this policy shall be subject to disciplinary action. For students in grades 4-8, disciplinary action may include suspension and/or expulsion, provided that, in imposing such discipline, the entire circumstances of the incident(s) shall be taken into account.

(cf. 5144 - Discipline)

(cf. 5144.1 - Suspension and Expulsion/Due Process)

(cf. 5144.2 - Suspension and Expulsion/Due Process (Students with Disabilities))

Upon investigation of a sexual harassment complaint, any employee found to have engaged in sexual harassment or sexual violence toward any student shall <u>be subject to disciplinary action</u>, <u>up to and including dismissal</u>, <u>have his/her employment terminated</u> in accordance with laws, and the applicable collective bargaining agreement.

(cf. 4117.7 - Employment Status Report) (cf. 4118 - Dismissal/Suspension/Disciplinary Action) (cf. 4119.11/4219.11/4319.11 - Sexual Harassment) (cf. 4218 - Dismissal/Suspension/Disciplinary Action) (cf. 4119.11/4219.11/4319.11 - Sexual Harassment)

Confidentiality and Record-Keeping

All complaints and allegations of sexual harassment shall be kept confidential except as necessary to carry out the investigation or take other subsequent necessary action. (5 CCR 4964)

(cf. 4119.23/4219.23/4319.23 - Unauthorized Release of Confidential/Privileged Information) (cf. 5125 - Student Records)

In accordance with law, tThe Superintendent or designee shall maintain a record of all reported

cases of sexual harassment to enable the district to monitor, address, and prevent repetitive harassing behavior in district schools.

(cf. 3580 - District Records)

Legal Reference:

EDUCATION CODE

200-262.4 Prohibition of discrimination on the basis of sex

48900 Grounds for suspension or expulsion

48900.2 Additional grounds for suspension or expulsion; sexual harassment

48904 Liability of parent/guardian for willful student misconduct

48980 Notice at beginning of term

48985 Notices, report, statements and records in primary language

CIVIL CODE

51.9 Liability for sexual harassment; business, service and professional relationships
1714.1 Liability of parents/guardians for willful misconduct of minor
GOVERNMENT CODE
12950.1 Sexual harassment training
CODE OF REGULATIONS, TITLE 5
4600-4687 Uniform complaint procedures
4900-4965 Nondiscrimination in elementary and secondary education programs
UNITED STATES CODE, TITLE 20
1092 Definition of sexual assault
1221 Application of laws
1232g Family Educational Rights and Privacy Act
1681-1688 Title IX of the Education Amendments of 1972, discrimination

UNITED STATES CODE, TITLE 34

12291 Definition of dating violence, domestic violence, and stalking

UNITED STATES CODE, TITLE 42

1983 Civil action for deprivation of rights

2000d-2000d-7 -Title VI, Civil Rights Act of 1964

2000e-2000e-17 -Title VII, Civil Rights Act of 1964 as amended

CODE OF FEDERAL REGULATIONS, TITLE 34

99.1-99.67 Family Educational Rights and Privacy

106.1-106.71-82 Nondiscrimination on the basis of sex in education programs COURT DECISIONS

Donovan v. Poway Unified School District, (2008) 167 Cal.App.4th 567 Flores v. Morgan Hill Unified School District, (2003, 9th Cir.) 324 F.3d 1130 Reese v. Jefferson School District, (20012000, 9th Cir.) 208 F.3d 736 Davis v. Monroe County Board of Education, (1999) 526 U.S. 629 Gebser v. Lago Vista Independent School District, (1998) 524 U.S. 274 Oona by Kate S. v. McCaffrey, (1998, 9th Cir.) 143 F.3d 473 Doe v. Petaluma City School District, (1995, 9th Cir.) 54 F.3d 1447

Management Resources: CSBA PUBLICATIONS Providing a Safe, Nondiscriminatory School Environment for Transgender and Gender-Nonconforming Students, Policy Brief, February 2014 Safe Schools: Strategies for Governing Boards to Ensure Student Success, 2011 U.S. DEPARTMENT OF EDUCATION, OFFICE FOR CIVIL RIGHTS PUBLICATIONS Dear Colleague Letter: Transgender Students, May 2016 Examples of Policies and Emerging Practices for Supporting Transgender Students, May 2016 Dear Colleague Letter: Title IX Coordinators, April 2015 Questions and Answers on Title IX and Sexual Violence, April 2014 Dear Colleague Letter: Sexual Violence, April 4, 2011 Sexual Harassment: It's Not Academic, September 2008 Revised Sexual Harassment Guidance: Harassment of Students by School Employees, Other Students, or Third Parties, January 2001 WEB SITES CSBA: http://www.csba.org California Department of Education: http://www.cde.ca.gov U.S. Department of Education, Office for Civil Rights: http://www.ed.gov/about/offices/list/ocr

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT adopted: July 2, 2013 San Jose, California Revised: March 12, 2020 Revised: September 10, 2020 ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

#### Office of Superintendent of Schools

#### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: August 26, 2020

#### Subject: Biennial Notice – Conflict of Interest Code Amendment

**Staff Analysis:** The Political Reform Act requires every local government agency to review its conflict of interest code biennially to determine if it is accurate or, alternatively, that the code must be amended. A formal notice must be submitted to the Board of Supervisors of the County of Santa Clara no later than October 31, 2020. An amendment needs to be submitted to the Board of Supervisors amending our Conflict of Interest Code to reflect current operations in the District.

Recommendation: Staff recommends that the Board of Trustees approve the Amended Conflict of Interest Code as presented.

Approved by: <u>Hilaria Bauer</u>	<u>, Ph.D.</u>	tle: <u>Superintendent</u>
To the Board of Trustees: <b>Recommend Approval</b> $\boxed{\mu \cdot D}$ Agenda Placement	Meeting:	September 10, 2020 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent
	DISPOSITION BY BC	ARD OF TRUSTEES
Motion by:	Seconded by:	
Approved:	Not Approved:	Tabled:

# 2020 Local Agency Biennial Notice

#### Name of Agency: Alum Rock Union Elementary School District

Mailing Address: 2930 Gay Avenue, San Jose, CA 95127

Contact Person: Patricia Tovar c/o Hilaria Bauer Phone No. 408 928-6846

#### E-mail: patricia.tovar@arusd.org

Accurate disclosure is essential to monitor whether officials have conflicts of interest and to help ensure public trust in government. The biennial review examines current programs to ensure that the agency's code includes disclosure by those agency officials who make or participate in making governmental decisions.

This agency has reviewed its conflict-of-interest code and has determined that:

An amendment is required. The following amendments are necessary:

(Check all that apply)

□ Include new positions that must be designated.

- □ Revise disclosure categories.
- $\boxtimes$  Revise the titles of existing positions.
- Delete positions that have been abolished and/or positions that no longer make or participate in making governmental decisions.
- Other (describe): Click or tap here to enter text.

□ No amendment is required.

#### Verification (to be completed if no amendment is required)

This agency's conflict of interest code accurately designates all positions that make or participate in the making of governmental decisions. The disclosure categories assigned to those positions accurately requires that all investments, business positions, interests in real property, and sources of income that may foreseeably be affected materially by the decisions made by those holding the designated positions are reported. The code includes all other provisions required by Government Code Section 87302.

Executive Officer

7/31/20

All agencies must complete and return this notice regardless of how recently your code was approved or amended. Please return this notice no later than **September 4, 2020** to:

Office of the County Counsel Attn: Kim Forrester 70 W. Hedding Street, 9<sup>th</sup> Floor, East Wing San Jose, CA 95110 kim.forrester@cco.sccgov.org

	Designated Position	Title
Deleted Position(s)		:
	Bond Account Manager Chief Academic Services Officer Chief Human Resources Officer Chief School Transformation and Support Officer Chief Special Services Officer Chief Technology Officer Director-Facilities, Bonds, and Leases Maintenance and Transportation Manager	Bond Account Manager Chief Academic Services Officer Chief Human Resources Officer Chief School Transformation and Support Officer Chief Special Services Officer Chief Technology Officer Director-Facilities, Bonds, and Leases Maintenance and Transporation Manager
Revise the Titles of Existing Position(s):	Assistant Superintendent-Educational Services	Assistant Superintendent-Instructional Services (correction: from 2018 should have been changed at that time)

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

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#### Office of Superintendent of Schools

#### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Subject: Board Resolution # 14-20/21 Censure of Board Member Dolores Marquez .

Staff Analysis: For statement of Hate and Inciting others to violence.

#### Approve:

Submitted by: Hilaria Bauer, Ph.D.	Title:	Superintendent
To the Board of Trustees: Approve	Meeting:	September 10, 2020 Regular Board Meeting
Q.D9 Agenda Placement		Hilaria Bauer, Ph.D., Superintendent
DISPOSI	FION BY BOARD (	DF TRUSTEES

Motion by:	Secondec	1 by:	
Approved:	Not Approved:	Tabled:	

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT BOARD RESOLUTION # 14-20/21 CENSURE OF BOARD MEMBER DOLORES MARQUEZ FOR STATEMENT OF HATE AND INCITING OTHERS TO VIOLENCE

WHEREAS, the Alum Rock Union Elementary School District Board Bylaw 9000 provides:

"The Board of Trustees has been elected by the community to provide leadership and citizen oversight of the district. The Board shall ensure that the district is responsive to the values, beliefs, and priorities of the community" and further states "Establishing and adhering to standards of responsible governance"; and

WHEREAS, the Alum Rock Union Elementary School District Board Bylaw 9005 states:

"To maximize Board effectiveness and public confidence in district governance, Board Members are expected to govern responsibly and hold themselves to the highest standards of ethical conduct"; and

**WHEREAS,** the Alum Rock Union Elementary School District Board Bylaw 9006 (Board of Trustees' Code of Conduct"), provides that:

"The Board and its members will conduct themselves lawfully with integrity and high ethical standards in order to model the behaviors expected of staff and students and to build public confidence and credibility."

**WHEREAS**, Board Bylaw 9006 sections 3(g) - 3(i) further provides that to build trust among members and to ensure an environment conducive to effective governance, Board members will:

"g. Demonstrate respect for the public as a whole and community members individually at all times and in all situations;

h. Demonstrate courtesy and respect in your communications by being responsive to one another and to the Superintendent and all staff;

i. Refrain from personal comments and attacks against each other, staff and members of the community . . . ."

WHEREAS, at the August 13, 2020, regular Board meeting, member Dolores Marquez voiced her disagreement with a Board discussion stating that, as to the Superintendent, the Board President, the Board Vice President and the District's legal counsel, "I hope they hang you guys for this"; and

WHEREAS, hangings in this nation are a symbol of hatred and oppression against ethnic minorities including, especially, Black, Indigenous, and People of Color; and

WHEREAS, the Board respects the First Amendment rights of freedom of speech of all citizens but finds that Dolores Marquez's statement was hateful, an incitement to violence, and disruptive of the orderly conduct of the Board's meeting and was made by her while sitting and participating in her official capacity as a Board member; and

WHEREAS, on October 10, 2019, this Board adopted Resolution #08-19/20 censuring Dolores Marquez for receiving and depositing a \$5,000 cashier's check on October 4, 2016, in violation of the law and Board Policy and failing to produce any documentation to substantiate the source of the money and failing to report contributions totaling \$1,750 and failing to report expenditures totaling \$2,330 on campaign disclosure statements in violation of the law, for which she was fined \$7,500 by the Fair Political Practices Commission; and

**WHEREAS,** in Resolution 08-19/20 the Board also requested that Dolores Marquez publicly disclose and substantiate the source of the \$5,000 cashier's check referenced above to assure the Board and public there is no conflict of interest on any matters before the Board of Trustees; and

WHEREAS, Dolores Marquez has refused to respond and failed to disclose and substantiate to the State and to this Board the source of the \$5,000 cashier's check she received and deposited in support of her 2016 reelection campaign; and

**WHEREAS**, the Board finds that by her statements and conduct, and her failure and refusal to respond to the previous censure resolution 08-19/20, Dolores Marquez has demonstrated that she is unwilling to abide by the policies and principles of good and responsible governance which this Board has adopted and is responsible for observing and enforcing.

**NOW THEREFORE BE IT RESOLVED THAT,** the Alum Rock Union Elementary School District Board of Trustees does hereby censure Board Member Dolores Marquez for the conduct as described above.

**BE IT FURTHER RESOLVED,** that the Board denounces Dolores Marquez's use of hate speech and incitement to violence and finds her conduct to be a serious offence and violation of the Board Bylaws.

**BE IT FURTHER RESOLVED AND DIRECTED,** the District shall not disburse, reimburse or spend any funds for any trainings, workshops, conferences or events, training materials, or related travel or any other expenditures, for the benefit of Dolores Marquez until such time that Dolores Marquez has attended and completed trainings in (1) anger management, (2) board governance, and (3) implicit bias, to be selected and paid for by the District, and she provided reasonable proof of the completion of such trainings to the satisfaction of the Board.

**BE IT FURTHER RESOLVED,** that the Alum Rock Union Elementary School District Board directs that a copy of this Resolution be placed immediately on the District's website for a period of 60 calendar days.

#### PASSED AND ADOPTED BY THE BOARD OF TRUSTEES OF THE ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT ON THIS \_\_ DAY OF \_\_\_\_\_, 2020, BY THE FOLLOWING VOTE:

Ayes:	Noes:	Absent:	Abstair	n:
Ernesto Beja	arano, President	::		
Corina Herr	era-Loera, Vice F	President:		
Andres Quir	ntero, Clerk:			
Dolores Ma	rquez, Board Me	ember:		
Linda Chave	ez, Board Membe	er:		

I HEREBY CERTIFY THAT the foregoing resolution was duly and regularly introduced, passed and adopted by the Members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on \_\_\_\_\_\_, 2020.

\_\_\_\_\_, 2020

Andres Quintero, Clerk of the Board

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

#### Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: District Energy Savings Solar Power Agreement Follow up.

#### Information Only

Submitted by:	Hilaria Bauer, Ph.D.	Title:	Superintendent	
Submitted by.	miana Dauer, Fil.D.	nue	Superintendent	

To the Board of Trustees:

Meeting:

September 10, 2020 Regular Board Meeting

10.1D

**Information Only** 

6.10

Agenda Placement

Hilaria Ba Ph.D., Superintendent

### 

7.0

Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 3, 2020

Subject: Sunbelt Staffing

**Staff Analysis:** The proposed contract is for occupational therapy services with Sunbelt Staffing. Services will take place on days school is in session and during Extended School Year (ESY). Occupational therapist services students at various sites with occupational therapy identified needs as per the students' IEP.

Occupational therapist will provide flexibility of services (remote or virtual) during the 2020-2021 school year.

**Recommendation:** Staff recommends that the Board of Trustees approve the contract with Sunbelt Staffing for the 2020-2021 school year. The cost of this contract is \$113,100.

Submitted by: Anthony Colonna	Title:         Director, Special Education Department           Title:         Assistant Superintendent of Instructional Services
To the Board of Trustees: Recommend Approval 7.01 Agenda Placement	Meeting: September 10, 2020 Regular Board Meeting Hilaria Bauet, Ph.D., Superintendent
DIS	POSITION BY BOARD OF TRUSTEES
Motion by:	Seconded by:
Approved: Not	Approved: Tabled:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

			INDEPENDENT	CONTRA	CTOR AGREE	MENT	
то	DIVISI	ON OF BUSINESS SEP	RVICES			CONTRACT N	0.
FR	ом: <u>Sp</u>	ecial Education		(Sc	hool/Dept.)		
		IANAGER; <u>Anthon</u>					
1.	PARTIES	5: The Alum Rock Unio	on Elementary School Di	istrict (ARUES	D), whose address is	2930 Gay Avenue,	San Jose, CA 95127, and
	Name of	Individual/Company:	Sunbelt Staffing	L			1
			Rd #200				
	Phone: (	813 792-34	58	En	nail Address:		
							10.000,000,000,000,000,000,000,000,000,0
2.	-	agree and promise a CT TERM: start date	s tollows: August18, 2020	)	end date	June 30, 2021	an a
3.	products,	and/or reports:					wing services, materials,
	A. Descr exhibi	iption of services to b is and other document	e provided and expecte ation if necessary:	d results (e.g	. services, materials,	products and/or rep	oorts). Attach proposals,
	educa Occup	pational therapi i <del>ed needs per t</del> tion students in pational therapis 2021 school ye	st services stude he students' IEP need of require st will provide fle ar.	ents at vai <u>Therap</u> d educati xibility of	ious sites with ist will provide onally-related services (remo	occupational services to al occupational t ote or virtual) o	therapy t special herapy. during the
	expressed (Invoice) v	i nerein, ARUESD st which shall be submitte	nali pav Contractor und	on Contractor is from the en	's submission of a d of the month in whi	nonedu documente	the payment provisions d demand for payment ices were rendered, and
	<u>Х</u> а.	Fee Rate: \$ <u>87/hr</u> hours/da service.	per hour/d ys of services. ARUES	lay of service D may, but is	as may be requested not obligated to, req	d by ARUESD, not t juest the maximum	o exceed a maximum of number of hours/days of
	b.	Other: \$	(describe r	rate agreemer	t)		
	080-65	500-0-5815-00-	5001-3140-0000	00-380-1	801		
,	BUDGET	1					
	FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT 113,100	PROG. TITLE	BUS OFC
Į							

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the ö. Contractor, or canceled immediately by written mutual consent.
- INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall 7. not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any linancial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or 8 modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

4.

5.

# Sunbelt ?Staffing.

Our healthcare and educational professionals change lives every day, all over the country, simply by doing a job they love. That's because we are dedicated to putting talented healthcare and educational professionals in communities and work environments where the rewards of the job go well beyond excellent pay and benefits. It's about making a difference and improving the lives of others.

For three decades, we have worked daily to pair ideal candidates with just the right healthcare facilities and schools across the country. The result is a well-connected, well-respected brand, built by a high quality of service that focuses on improving the lives of others.

Sunbelt Cares is our way of being present in our community. Through Sunbelt Cares, we encourage people to invest time back into every community they're in. But it's less about what and more about why Sunbelt Cares exists. It's the product of our work and our passion. As a company-wide initiative, we created Sunbelt Cares to spread warmth and vitality to each other by supporting environmental and social causes that are important to us. Our job doesn't end when we connect healthcare or educational professionals with great job opportunities across the nation. It goes far beyond that. At Sunbelt, a job well done means happy people, who are not only engaged in their work, but in their communities too.

Our specialties include:

- <u>Nursing</u>
- Therapy
- Advanced Practice
- <u>Schools</u>
- Occupational Therapist



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

#### Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

Date: August 24, 2020

To the Board of Trustees:

Subject: Unaudited Actual Financial Reports for 2019 – 2020 Report to the Board on Unaudited Actuals as Required by Law

- **Staff Analysis**: Staff will provide an update on the Unaudited Actuals Financial Report for Fiscal Year 2019 – 2020. Education Code section 42100 requires that the Governing Board of each school district approve an annual statement for all revenues and expenditures of the district for the fiscal year.
- **Recommendation:** Staff recommends that the Board approve the 2019–2020 Unaudited Actuals Financial Report.

Prepared by: Efrain Robles	_ Title:	Director, Fiscal Services
Submitted by: Kolvira Chheng	_ Title:	Assistant Superintendent, Business Services

To the Board of Trustees:	Meeting:	September 10, 2020 Regular Board Meeting
Recommend Approval		Allaria Bauer, Ph.D., Superintendent

	DISPOSITION BY BOARD O	OF TRUSTEES
Motion by:	Seconded	oy:
Approved:	Not Approved: Tabled:	

UNAUDITED ACTUAL FINANCIAL REPORT:				
To the County Superintendent of Schools:				
2019-20 UNAUDITED ACTUAL FINANCIAL REPOR with Education Code Section 41010 and is hereby a the school district pursuant to Education Code Section	pproved and filed by the governing board of			
Signed:	Date of Meeting: Sep 10, 2020			
Clerk/Secretary of the Governing Board				
(Original signature required)				
To the Superintendent of Public Instruction:				
2019-20 UNAUDITED ACTUAL FINANCIAL REPOR by the County Superintendent of Schools pursuant to				
Signed:	Date:			
Signed: County Superintendent/Designee	Date:			
	Date:			
County Superintendent/Designee				
County Superintendent/Designee (Original signature required)				
County Superintendent/Designee (Original signature required) For additional information on the unaudited actual re	ports, please contact:			
County Superintendent/Designee (Original signature required) For additional information on the unaudited actual re For County Office of Education:	ports, please contact: For School District:			
County Superintendent/Designee (Original signature required) For additional information on the unaudited actual re For County Office of Education: <u>Ann Redd-Oyedele</u>	ports, please contact: For School District: Efrain Robles			
County Superintendent/Designee (Original signature required) For additional information on the unaudited actual re For County Office of Education: <u>Ann Redd-Oyedele</u> Name <u>Senior Advisor</u> Title	ports, please contact: For School District: <u>Efrain Robles</u> Name <u>Fiscal Director</u> Title			
County Superintendent/Designee (Original signature required) For additional information on the unaudited actual re For County Office of Education: <u>Ann Redd-Oyedele</u> Name Senior Advisor Title (408) 453-6593	ports, please contact: For School District: Efrain Robles Name Fiscal Director Title (408) 928-6839			
County Superintendent/Designee (Original signature required) For additional information on the unaudited actual re For County Office of Education: <u>Ann Redd-Oyedele</u> Name Senior Advisor Title (408) 453-6593 Telephone	ports, please contact: For School District: Efrain Robles Name Fiscal Director Title (408) 928-6839 Telephone			
County Superintendent/Designee (Original signature required) For additional information on the unaudited actual re For County Office of Education: <u>Ann Redd-Oyedele</u> Name Senior Advisor Title (408) 453-6593	ports, please contact: For School District: Efrain Robles Name Fiscal Director Title (408) 928-6839			

#### Unaudited Actuals FINANCIAL REPORTS 2019-20 Unaudited Actuals Summary of Unaudited Actual Data Submission

Following is a summary of the critical data elements contained in your unaudited actual data. Since these data may have fiscal implications for your agency, please verify their accuracy before filing your unaudited actual financial reports.

Form	Description	Value
CEA	Percent of Current Cost of Education Expended for Classroom Compensation	61.79%
	Must equal or exceed 60% for elementary, 55% for unified, and 50% for high school	
	districts or future apportionments may be affected. (EC 41372)	
	CEA Deficiency Amount	\$0.00
	Applicable to districts not exempt from the requirement and not meeting the minimum classroom	
	compensation percentage - see Form CEA for further details.	
ESMOE	Every Student Succeeds Act (ESSA) Maintenance of Effort (MOE) Determination	MOE Met
	If MOE Not Met, the 2021-22 apportionment may be reduced by the lesser of the following two percentages:	
	MOE Deficiency Percentage - Based on Total Expenditures	
	MOE Deficiency Percentage - Based on Expenditures Per ADA	
	MOE Beneleney Fereentage - Based on Expenditules Fer ABA	
GANN	Adjustments to Appropriations Limit Per Government Code Section 7902.1	\$0.00
	If this amount is not zero, it represents an increase to your Appropriations Limit. The Department of	
	Finance must be notified of increases within 45 days of budget adoption.	
	Adjusted Appropriations Limit	\$62,885,686.92
	Appropriations Subject to Limit	\$62,885,686.92
	These amounts represent the board approved Appropriations Limit and Appropriations Subject to	
	Limit pursuant to Government Code Section 7906 and EC 42132.	
ICR	Preliminary Proposed Indirect Cost Rate	7.11%
	Fixed-with-carry-forward indirect cost rate for use in 2021-22, subject to CDE approval.	



#### Office of Superintendent of Schools

#### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: September 1, 2020

Subject: Update on California School Finance Authority's (CSFA) Pooled Tax and Revenue Anticipation Note (TRAN) Program for K-12 Districts

- **Staff Analysis:** On June 29, 2020, Governor Newson signed the 2020 Budget Act, which eliminated the revenue reductions proposed at May Revision, but imposed cash deferrals across fiscal years. Absent any additional federal funds, below are the cash deferrals from 2020-21 to 2021-22:
  - From February 2021 to November 2021
  - Form March 2021 to October 2021
  - From April 2021 to September 2021
  - From May 2021 to August 2021
  - From June 2021 to July 2021

Recognizing the hardship cash deferrals will have on school districts, the State is offering a Pooled TRANs Program for K-12 Districts through the California School Finance Authority (CSFA). The District has submitted an application to participate in this program and there is no upfront cost to apply. In October 2020, the Board will need to approve a resolution to effectively participate in the CSFA's Pooled TRANs Program for K-12 Districts.

Please see attachment for additional information.

\*\*INFORMATION ONLY\*\*

Approved by: <u>Kolvira Chhen</u>	gX Title: Assistant S	uperintendent, Business Services
To the Board of Trustees: INFORMATION ONLY 8-072 Agenda Placement	Meeting: Hilaria	September 10, 2020 Regular Board Meeting Bouer, Ph.D., Superintendent
D	SPOSITION BY BOARI	O OF TRUSTEES
Motion by:	Secon	ded by:
Approved:	Not Approved:	Tabled:





Search



Home ->> CSFA ->> Pooled TRANs Program for K-14 Districts



CALIFORNIA SCHOOL FINANCE AUTHORITY

Apply Here

CSFA's Pooled TRANs Program for K-12 & Community College Districts A lower cost alternative to single district TRAN with greater support and oversight than other TRAN pools

#### **Click here for webinar details**

Will my district need a TRAN?

- Districts are expected to receive only about 70% of their State apportionment payments for 2020-21, with deferrals starting in February 2021 and continuing through June 2021. The apportionment deferrals are expected to continue for 2021-22
- ✓ Unless a district has significant amount of cash reserves, it's likely the district will need to borrow from external sources like its county or investors by issuing a Tax and Revenue Anticipation Note (TRAN)
- A review of your district's financial situation, particularly current and projected cash balances, will determine the size and timing of the TRAN

What is a TRAN and how is sizing and timing determined?

- ✓ TRANs are a short-term cash management tool used to even out temporary cash deficits in advance of the receipt of revenues, like the State's deferred apportionment payments or local property tax payments
- / Because districts benefit from issuing TRANs at lower, tax-exempt interest rates, there are few federal tax law requirements:
  - Prepare monthly cash flows to determine the projected cash deficit, which must be within six months of TRAN issuance
  - The maximum TRAN size will cover the projected cash deficit plus a minor working capital reserve of up to 5% of last fiscal year's expenditures
  - The TRAN must be repaid within 13 months of issuance from the same fiscal year's revenues

Will stand-alone TRANs or pooled TRANs be the best option for my district?

- ✓ Districts issuing their own TRAN (stand-alone TRAN) have maximum control over the timing of their issuance and may select their own financing team members, but also have ultimate responsibility for cost controls and TRAN execution
- ✓ Pooled TRANs typically offer an experienced financing team that is "pre-assembled" to save the time and effort necessary for a district to construct their own team for a short-term borrowing
- ✓ Pooled TRANs lower upfront fees by combining the TRANs of multiple districts at one time to create economies of scale
- ✓ Pooled TRANs aggregate the issues of multiple districts into a larger offering (without creating a joint obligation) to attract more investors and increase competition to lower interest costs

board comprised of the elected State Treasurer, the elected State Superintendent of Public Instruction and the Director of Finance appointed by the Governor

- ✓ CSFA has a 35-year history helping our K-12 schools, community colleges and county offices finance the acquisition of property; construction, remodeling, and renovation of capital projects; refinance debt; and secure working capital like TRANs
- CSFA's state-level intercept of TRAN debt service will streamline repayment for districts and increase investor security
- ✓ The pooled TRAN will be supported by the State Treasurer's Office (STO) which has expertise in overseeing all bonds issuances of the state
- ✓ The financing team selected by CSFA and the STO have over 30 years of K-14 TRAN expertise and competitive fees
- ✓ An experienced CPA firm will be on hand to assist districts and county offices with the preparation of monthly cash flows
- Financing documents will be standardized for consistency, and specific directions for board action will be provided to districts
- ✓ With the State's apportionments deferred from February through June 2021 and from July through November 2021, we expect most districts to project cash deficits by June 2021
- ✓ If your district expects to need a TRAN to manage the State deferrals, the Pooled TRANs will close and provide funds in February 2021 before the deferrals begin. CSFA anticipates offering additional series of Pooled TRANs in April, May and/or June. Districts will have the option to participate in any of these series of pooled TRANs in April, May and/or June. Districts will have the option to participate in any of these series. Please see below the key dates for the February Deferrals TRANs
- ✓ If your district expects to need a TRAN before the State deferrals begin, a series of Pooled TRANs will close and provide funds in early December of 2020. Please see below the key dates for the *Regular TRAN*
- Note: if your district will need cash before February 2021 and again in the spring to manage the deferrals, federal tax law require that your district utilize two different TRANs to permit tax-exempt borrowings

Application period for districts	Until Sept. 4	Until Sept. 25
Cash flows developed & TRANs sized / Financing documents prepared	August & September	mid-September to mid- November
District board approvals	by Oct, 12	by Jan. 4
CSFA Board approval	Oct. 19	Jan. 11
Credit ratings received	Oct. 26	Jan. 18
Interest rates determined	Nov. 9	Feb. 1
TRAN closing and funds available	Nov. 30	Feb. 22

- · Issuer: California School Finance Authority
- · Borrowers: K-14 school districts, community college districts and county offices of education
- Issuer's Counsel: Office of the State Attorney General
- Agent for Sale: State Treasurer's Office.
- Municipal Advisor: Montague DeRose and Associates
- Note Counsel: Norton Rose Fulbright
- Disclosure Counsel: Nixon Peabody
- Joint Sr. Managing Underwriters: RBC Capital Markets & Citi.

- · Cash Flow Consultant: Eide Bailly
- · Interested Parties: CA Dept. of Finance, CA State Controller's Office, CA Dept. of Education

What are the upfront costs and expected interest rates?

- ✓ There is no cost to apply to the Pooled TRANs Program
- ✓ The issuance costs will be competitive with other TRAN pools and likely less than those paid for a stand-alone TRAN. CSFA & the State Treasurer's Office are currently finalizing the financing team's fees
- $\checkmark$  We expect to periodically post estimates of issuance costs and interest rates

contact with questions?			RBC Capital Markets	Citi	Norton Rose Fulbright	Eide Bailly
	Katrina Johantgen Executive Director 213.620.2305	Annette Yee Managing Director 831.626.4524	Frank Vega Managing Director 213.362.4113	Chris Mukai Managing Director 213.486.8992	Ann La Morena Rohlin 213.892.9327	Caroline Larson Partner 909.755.2817
		Mike Kremer Managing Director 805.728.1958	Christen Gair Director 213.362.3950	Victor Andrade Director 213.486.7144 Debra Saunders Director 415.951.1749		
low do Lapply?	secure, eco-frie	an online applica ndly application p the button below	process	treamlined,	Appl	y Here

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#### Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 31, 2020

#### Subject: Update on Request for Proposals (RFP) for Program Management (PM) Services

#### Staff Analysis:

On July 24, 2020, the District issued a request for proposal (RFP) for Program Management Services with the goal of having the Board of Trustees make a final decision in October 2020. The deadline for interested firms to submit their proposal was Thursday, August 27, 2020. The District received six (6) proposals and all proposals met the requirements of the RFP.

#### Request to the Board of Trustees

Staff is requesting the Board to provide direction on the vetting process. Possible options include, but not limited to the following:

- 1. Board will interview interested firms during a board meeting and select a finalist.
- 2. Board directs staff to form a committee to interview the firms and bring back a recommendation for the Board to consider.

#### \*\*INFORMATION ONLY\*\*

Approved by: <u>Kolvira Chheng</u>	Title: Assistant Superintendent, Business Services
To the Board of Trustees:	Meeting: September 10, 2020 Regular Board Meeting
**INFORMATION ONLY**	HRmuer
Agenda Placement	Hilaria Bauer, Ph.D., Superintendent

	DISPOSITION BY BOARD OF	TRUSTEES
Motion by:	Seconded b	ру:
Approved:	Not Approved:	Tabled:



Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 24, 2020

Subject: Citizen's Bond Oversight Committee (CBOC) Appointment

Staff Analysis: Alum Rock Union Elementary School District Independent Citizen's Bond Oversight Committee (CBOC) Bylaws; "Bylaws" defines Membership, Qualification Standards, Ethics: Conflict of Interest, Term, Appointment and Removal Process.

Based on the membership requirements, defined in the Bylaws, the CBOC is a seven (7) member's committee, which consists of one (1) Senior Citizen Group, two (2) Community-at-Large, two (2) PTA/SCC/Parent, one (1) Taxpayer's Organization and one (1) Business Representative. The CBOC currently has two vacancies, which include one (1) Business Representative and one (1) Senior Citizen's Group.

The following individual has applied for the vacant position: Alfonso Meza Business Representative

**Recommendation:** Staff has reviewed the application and recommend that the Board appoint accordingly the following applicant to the CBOC:

Alfonso Meza Business Representative

Title: Assistant Superintendent, Business Services Approved by: Kolvira Chheng To the Board of Trustees: September 10, 2020 Meeting: Regular Board Meeting **Recommend Approval** Bauer, Ph.D., Superintendent Agenda Placement

	DISPOSITION BY BOARD OF	TRUSTEES
Motion by:	Seconded b	ру:
Approved:	Not Approved:	Tabled:

	Measures J and I	
Citizens' Oversight Committee		
	for	
<b>Alum Rock Union Elementary School District</b>		
14, 2008, the Boa With the voters o million Facilities	, the Board of Trustees approved the formation of the Oversight Committee and Bylaws; on Augurd adopted and certified a resolution to officially enter the minutes of the election results. f Alum Rock Union Elementary School District, Measure J was passed on November 6, 2012, a \$12 Bond, followed with passing of Measure I, a \$140 million on June 7, 2016 to continue to fund scho onstruction. This is an application for Citizens' Oversight Committee (COC) consideration.	
Printed Nam	e of Applicant ALFONSO Mezza	
	<b>Application for Appointment</b>	
By submittir	ng this application, I certify that I meet the following criteria:	
<ol> <li>Commitmer</li> <li>Willingness</li> <li>Willingness</li> <li>Understand</li> <li>Possess ne</li> <li>Live within the</li> </ol>	and ability to work collaboratively in a group with diverse opinions at to regular attendance at meetings of the Citizens' Oversight Committee (COC) to abide by the Code of Conduct established by the COC to communicate responsibly to the community and accept the role of the COC related to the Bond Measures cessary background and experience to serve on the COC he boundaries of Alum Rock Union Elementary School District (ARUESD) onsultant, contractor, employee or vendor of ARUESD	
	Term: 2 year term* *commencing as of the date of appointment by the Board	
(Submit C	Completed Applications to the Business Office, c/o Assistan Superintendent of Business Services)	
Signature	alganio m	

Note: The Board will appoint seven (7) members of the Citizens' Oversight Committee at a regularly scheduled Board Meeting.

#### **Citizens' Oversight Committee Membership:**

California law requires certain persons to be represented on the Citizens' Oversight Committee ("Committee"). Please indicate all Committee designation(s) for which you are qualified:

Business Representative	Active member of Senior Citizen Group
Company Name:	Group Name:
Member of Taxpayer Organization	Parent/Guardian of a School District Student
Org. Name:	Student/School Name:
Active PTA, Site Council, School Distric	t
Name of PTA/SSC:	Community at Large (2)
	Community Name:

• If possible, please provide a contact person and phone number of the organization on which you are active. The school District may contact these organizations to verify your participation.

<b>General Informa</b>	
Name:	ALFONDO MER
Home Address:	34 pala av.
	San Jose Ca Zip: 95127
Home Telephone:	6692352655E-mail: ponchomeza @gmail.com

#### **Employer Information:**

Name of Employer	Isa, inc.
Work Address:	17865 ELM ROCA
_	Morgon hill Ca 95037
Work Telephone:	4085931408 E-mail: Socorroleasco@hetmail.von

Educational Background: (Response optional you may attach a resume or additional pages, if needed.)

College and/or V	University:	
Degree/Major: _	Middle	School-
Vocational and/	or Other Institutio	ns:
Additional In	formation:	
1. Have you be	en a member of ar	ny school district committee?
Yes	No	If so, in what capacity and when?
school distri	ct? loyees are prohibi	nber of your immediate family, ever been employed by the ited by law from being members of the Committee) If yes, please explain:
105	10	
	-	or consultant to the school district? (NOTE: Vendors, contractors, district are prohibited by law from being members of the COC.)
4. List present youth organi		ip in any <u>community service</u> (e.g. volunteer, civic or

#### **Qualifications:**

1. Describe your skills, training and experience in finance, facilities and/or construction. (You may attach an additional page or resume, if needed.)

ave design. Wastetratment systems, Construction. activical, plumming, Welding, etc. also. J Ruase Ceo, of a Company 70 employees.

2. Describe your involvement with Alum Rock Union Elementary School District or its schools.

help. the latin Commity to get ther Education.

#### **Please Answer the following Questions:**

No

- 1. How long have you been a resident within the District? 25 Years 6 Months
- 2. Do you have any children or grandchildren who now attend (or have attended) Alum Rock Union Elementary schools? \_\_\_\_Yes \_\_\_\_No

Which schools: \_\_\_\_\_

3. Do you know of any reason such as a potential conflict of interest, which would adversely affect your ability to serve on the Measures J and I Committee?

Yes

If yes, please explain:

4. Explain why you would like to be appointed to this Committee. (You may attach additional pages, if needed.)

e. the Community

- 5. Are you able to complete at least 1 term (2 years) as a member of the COC and refrain from becoming an employee, vendor, contractor, or consultant of the School District during such time period?
  - \_\_\_\_Yes \_\_\_\_No
- 6. Are you available to attend Committee meetings on weeknights?

/ Yes No If no, please explain.

7. Members of the COC will be required to file financial disclosure/conflict of interest statements pursuant to rules and forms established by the Fair Political Practices Commission. Are you willing to file such financial disclosure statement if appointed to the COC?

Yes No

#### **Certificate of Application:**

All answers and statements in this document are true and complete to the best of my knowledge and belief.

and pro- Date: agost- 6,2020 Signature:

Please submit a completed signed application to Patricia Tovar in the Business Services Department, Alum Rock Union Elementary School District Office, 2930 Gay Avenue, San Jose, CA 95127. If you plan to fax your application (408 928-6445) or email (<u>patricia.tovar@arusd.org</u>), it is your responsibility to call to confirm that your fax/email has been received. Application forms are available on the web page at <u>www.arusd.org</u> or from the district office by contacting Patricia Tovar at 408 928-6846.

Please be aware completed applications are public records available for public review.

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

1.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION** 

To the Board of Trustees:

Subject: Resignations (Information Only).

Staff Analysis:

Pursuant to Board Policy 4117.2 the Superintendent or her designee has accepted the following resignations.

Submitted by: Carlos Moran		Assistant Superintendent, Human Resources
To the Board of Trustees: Information Only	Meeting:	September 10, 2020 Regular Board Meeting
Agenda Placement		Hilania Bauer, Ph.D., Superintendent

	DISPOSITION BY BOARD OF	TRUSTEES	
Motion by:	Seconded	l by:	_
Approved:	Not Approved:	Tabled:	

#### HUMAN RESOURCES DEPARTMENT MEETING OF THE BOARD OF TRUSTEES

#### September 10, 2020

#### **CLASSIFIED RESIGNATIONS:**

#### I. <u>Resignations:</u>

1.	Perez, Kerri Nellie Ann	Paraeducator Special Education II/Aptitud	08/17/2020
2.	Rodriguez, Edith A	Paraeducator 9.5mo & Campus Paraeducator/Aptitud	08/17/2020
3.	Southworth, Ella Anne	Health Assistant/Painter	08/10/2020

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

10.07

Office of Superintendent of Schools

#### ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:		
Subject:	APPROVAL OF BOARD MEETING MINUTES FOR THE FOLLOWING DATE: • June 11, 2020, Regular Board Meeting	
RECOMMEND APPROVAL		
Submitted by: Hilaria Ba	uer Title:	Superintendent
To the Board of Trustee Recommend Approval	s: Meeting:	August 13, 2020 Regular Board Meeting
10.01 Agenda Place	ement	Hilaria Bauer, Ph.D., Superintendent
2	DISPOSITION BY BOARD	OF TRUSTEES
Motion by:	Secor	nded by:
Approved:	Not Approved:	Tabled:

#### DRAFT MINUTES ALUM ROCK ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San Jose, CA 95127

#### MINUTES OF A REGULAR MEETING OF THE BOARD OF TRUSTEES of the Alum Rock Union School District Held on Thursday, June 11, 2020, 5:30 p.m. at the Alum Rock Union School Board Members and Superintendent participated via Zoom Meeting Meeting #18-19/20

#### 1. OPEN SESSION

#### 1.01 Call to Order/Roll Call/Pledge of Allegiance

President Ernesto Bejarano welcomed everyone in the audience. President Ernesto Bejarano called the Board Meeting to order at 5:36 p.m. followed by Roll Call.

Board Members Present:

Ernesto Bejarano	President
Corina Herrera-Loera	Vice-President
Andrés Quintero	Clerk
Linda Chavez	Member
Dolores Marquez-Frausto	Member

Administrative and Support Staff Members Present:

Dr. Hilaria Bauer	Superintendent
Rene Sanchez	Assistant Superintendent, Instructional Services
Carlos Moran	Assistant Superintendent, Human Resources
Kolvira Chheng	Assistant Superintendent, Business Services
Maribel Carrillo	Sr. Executive Assistant, Superintendent's Office

#### 1. **OPEN SESSION** (continued)

#### 1.01 Call to order/Roll Call/Pledge of Allegiance

President Ernesto Bejarano said there is a quorum.

President Ernesto Bejarano said the meeting is being live-streamed by the YouTube channel which is Alum Rock TV. He said there are multiple ways to participate in the meeting including text messaging and raising your hand through email.

#### 1.02 Discussion And/Or Modification(s) of the Agenda

Vice-President Corina Herrera-Loera said she would like to move item 6.04 before item 6.01.

#### 2. Special Presentation

#### 2.01 Special Recognition for ARUSD Classified and Certificated Retirees.

Assistant Superintendent Moran said that ARUSD Classified and Certificated Retirees will be honored tonight.

#### Certificated

- 1. Charlene Anderson
- 2. Anita Jimenez
- 3. Cathie Berg
- 4. Nannette Reeves
- 5. Conrad Garcia
- 6. Judith Schierling
- 7. Dorothy Singleton

#### Classifed

- 1. Franzia Boznos
- 2. Daniel Pena
- 3. Virginia Lepe

President Ernesto Bejarano thanked the classified and certificated retirees. He said that this has been an interesting year to finish your career.

Vice-President Corina Herrera-Loera thanked all the staff and teachers for making the district great. She commented that they spend more time at work than with their families.

Member Dolores Marquez-Frausto asked for clarification regarding teacher Anita Jimenez's last name because she knew her by her maiden name. She said she appreciated her because she believed she helped start the dual immersion school. She commented that Anita Jimenez was her children's teacher. She thanked Anita Jimenez for her years of service. She thanked the rest of the classified and certificated retirees because they choose to be a part of the Alum Rock family.

Clerk Andrés Quintero thanked the staff and everyone for their dedication. He said he hopes they could see the fruit of their labor. He thanked them for their service.

Member Linda Chavez thanked the retirees for their service. She told them to come to the district and visit.

Superintendent Bauer thanked the staff for all the years they worked at Alum Rock. She thanked Franzia Boznos for her years of service at Alum Rock's Purchasing Department.

#### 2.02 Presentation Teacher of the Year 2020

Assistant Superintendent Sanchez said the teacher selected to represent our district is Ms. Clarissa Johnston from Ryan Steam Academy. Ms. Johnston is doing an amazing job.

Ms. Clarissa Johnston said she is honored to receive this recognition. She thanked Principal Raquel Katz for being a great leader and coach.

President Ernesto Bejarano said the award is well deserved. He congratulated Ms. Clarissa Johnston.

Member Linda Chavez thanked Ms. Clarissa Johnston. She said Ms. Clarissa Johnston found her calling.

Vice-President Corina Herrera-Loera thanked Ms. Clarissa Johnston for being a great role model. She said she is someone young starting with so much passion. She commented she looked forward to hearing about all the wonderful things she would be doing at the district.

Clerk Andrés Quintero congratulated Ms. Clarissa Johnston and said she did a great job.

Member Dolores Marquez-Frausto thanked Ms. Clarissa Johnston for all she does for all the children in Alum Rock. She said she acknowledges the leadership that helps her and stands alongside her.

Superintendent Bauer thanked Mr. Clarissa Johnston for the programs she has and for helping Ryan Elementary.

#### 2.03 Summer Extended Year Overview- PRESENATION 2020

Director Sandra Garcia and Jason Sorich, Educational Technology Administrator, gave a PowerPoint presentation regarding the Summer Extended Year Overview, and the highlights are as follows:

Extended Year Vision

Extended year programming in Alum Rock takes place during the summer, but we have redefined the "summer school" paradigm to allow for:

• Blended instructional delivery allowing a majority of programs to be delivered virtually, with face-to-face options provided per social distancing guidelines for select special education settings

- Academic rigor that will ensure all students are prepared to thrive in a diverse and competitive world
- English learner scaffolds that will challenge students to reach and exceed academic proficiency
- Social-emotional supports that provide the tools and resources for students to be successful
- Innovative, 21st century-focused themes and environments that engage students and families

#### **Teacher Directed Programs-General Schedule**

#### ELA / ELL Classes:

• Teachers will create weekly hyperdocs to guide student work time

• Hyperdocs will guide the week's learning and should blend both online and offline student work

• Suggested daily work schedule:  $\circ$  9:00-10:00 - Whole class instruction  $\circ$  10:00-11:00 - Independent work time/Teacher office hours/Small groups  $\circ$  11:00-11:30 -Physical Activity/Art  $\circ$  11:30-12:00 - Class closing activity

• ELL with a focus on listening and speaking

#### ESY:

• Teacher Directed Virtual Instruction: Teacher will utilize a synchronous platform to offer daily direct instruction targeted at goals identified in the student's IEP. Instruction will be whole group along with targeted individual/small group intervention sessions and independent work time.

• Related Services: Related services (Speech, OT, APE, ABA) will be offered through inperson sessions at Painter/Sheppard. Students will be scheduled into small groups on alternating days for two-hour sessions to receive speech, OT, APE, and ABA services as prescribed by the student's IEP.

Member Corina Herrera-Loera asked how families enroll and asked how the enrollment is progressing.

Jason Sorich replied that enrollment is taking place.

Clerk Andrés Quintero said he knows what was said regarding the classes being full but he asked what the strategies are for reaching out to families. He said his concern is that these programs are very much needed. He asked if there are going to be open spots.

Jason Sorich replied that programs are targeted with specific information to provide support where it is needed. He said there is also a cutoff date.

Member Dolores Marquez-Frausto asked if this summer program was given by distance learning.

Jason Sorich replied the vast majority of the programs were delivered virtually. He said the special education program was face to face.

Member Dolores Marquez-Frausto asked if the students at grade level are being reached out to and do they understand that the program is virtual. She asked which classes are full.

Jason Sorich replied the ELA class is close to being full and there are roughly two-hundred spots.

Sandra Garcia said most of the elevate classes are full but there is a waiting list. She said there are spots available in the Jose Valdes program.

Assistant Superintendent Sanchez said one of the things that the district likes to offer is online programs. He said these programs would be self-directed. He said most of the devices are with many students.

Member Dolores Marquez-Frausto asked if there is a possibility the Board could see the physical learning. She said the packet she is looking at does not state where the programs will be.

Jason Sorich replied special education programs will be at Painter Elementary and Sheppard Middle School. He said locations can be provided.

Member Linda Chavez asked about visiting the sites and how it is going to be. She asked if someone can take pictures to know that the district is in compliance.

Jason Sorich replied that is could be set up.

President Ernesto Bejarano said he appreciates the partners, particularly the teachers and staff. He said the purpose of these programs, to his understanding, is to develop something for the summer program. He asked how their outcome would be and how the effectiveness of the programming is being measured.

Assistant Superintendent Sanchez said there is an assessment with students to review the data and the progress at the end of the program. He said there are objectives for each of the programs. He said he could bring a summary presentation to the Board in August or September.

President Ernesto Bejarano asked if the outcomes and data mentioned are all the same. He said he can imagine the new model brings along its unique challenges.

Public Comment:

1) Paul Soto said he is a 52-year resident. He commented the next generation will not have eye contact. He said with virtual learning there will not be a relationship between the student and the teacher.

## 2.04 Distance Learning Plan Implementation Highlights and Assessment Update

Assistant Superintendent Sanchez, Director Barbara Campbell, and Jason Sorich, Educational Technology Administrator, had a PowerPoint presentation regarding the Summer Extended Year Overview and the highlights are as follows:

Phase 1- Preparation (early March - March 14) • Prioritize students and staff safety • Notification to Site Administrators to begin planning for online learning • Establish definition and guidelines for Distance Learning for sites. • Communicate tools to be used • On-going communication between staff and administration • Set up online platform on District webpage • Plan for distribution of devices • Teachers plan lessons for a 3 week cycle • Textbooks sent home • Community -program providers - districtrictt staff come together to plan

#### Planning for Distance Learning – Conducted in Three Phases

Phase 2 - Planning (March 16 - April 2) • Schools closed but student learning continues through online and packets • Review new guidelines from California Department of Education • District Plan for Distance Learning • Distribute Devices -hot spots • Cycle 1 work distributes -Distribute packets, assign work on learning platforms • Continue PD on use of online platforms • Set up virtual weekly site meetings • Office Staff reaching out to families that have not responded • Ongoing communication to parents • Site create their individual SIte Plan based off District Plan • Align practices and expectations with ARUSD /AREA • Create Distance Learning Website

Phase 3 -Implementation (April 5- end of year) • Continue to support and sustain student distance learning • Distribute additional devices centrally • Determine Professional Development Needs • Set up PD opportunities for staff • Establish guidelines for grading - assessing student work • Communicate guidelines for grading (after spring break) • Continue to add resources to Distance Learning website • Guidelines for Seal of Biliteracy, Promotion, etc. • End of year celebrations planning • Connecting partners to support Distance Learning efforts

Draft Minutes – June 11, 2020, Regular Board Meeting #18-19/20

#### **Students with Disabilities**

Speech teachers and therapists delivering teletherapy
 SDC and RSP teachers created individual plans for each student to address IEP goals
 IEP meetings held virtually
 School psychologists conducted record reviews where possible to address the assessments process
 Many classrooms teachers conducted online lessons
 Continued collaboration to support SWD in the mainstream classroom
 Students and families in therapeutic classrooms received behavior support
 APE / OT supported students through online platforms

Member Corina Herrera-Loera said a parent of an eleven-year-old that just got promoted to  $6^{th}$  grade said her teacher did a fantastic job. She commented that there was so much done in so little time. She asked what they learned through this process that would be valuable moving forward.

Barbara Campbell replied what she learned was cohesiveness and the professional learning opportunities.

Jason Sorich said he would like to echo Barbara Campbell's comments. He said pushing forward in the support teams was valuable.

Member Dolores Marquez-Frausto asked what they believe is the most determinant thing that happened with the students learning.

Assistant Superintendent Sanchez said it was listening to parents experiences on how much they value connecting with teachers regularly and how happy the students were. He said that was a huge learning for them.

Member Dolores Marquez-Frausto thanked Assistant Superintendent Sanchez. She said the connection between the teacher and the child lays heavy on her. She said that connection is not going to happen in distance learning.

President Ernesto Bejarano said he was amazed by what was presented tonight because it would typically be developed over the course of many months or years. He said he did research on the impact of distance learning and Zoom meeting. He said evidence suggests that young children need to develop social skills. He said he appreciates all of the staff and teachers who went out of their comfort zone. He said it is not easy for a parent to learn on the spot how to log into the

tablet and Chromebook. He commented that one thing that is not represented here is the town hall model.

Superintendent Bauer said she wanted to inform those who live in East Side San Jose to let the people that manage the internet services know that the signal is not strong enough. She said connectivity was provided along with devices but the issue was the signal.

Barbara Campbell said she was in awe of how teachers engaged with students. She gave a shout out to the principals.

Dr. Annya Artigas, Coordinator of Mental Wellness and Support Services, said in terms of the SEL, both she and Dr. Imee Almazan, Coordinator of State & Federal Programs, covered it. She said there was a lot of thought that went into it. She said her department is making phone calls to parents. She commented that the parents are thankful for what her department is doing with the kids.

Member Linda Chavez said before COVID started, she asked about a Board Policy having to do with parent involvement. She said she never dreamed that parent involvement would be at their home. She said a bad thing happened, but the good thing is that parents were more involved. She thanked all the parents out there and told them to keep up the good work. She said that there is a lot of learning that did not happen because of this.

President Ernesto Bejarano asked if the Distance Learning page on our district's website could be translated. He said that would make it easier for parents to understand the resources in their language.

#### 3. Public Members Who Wish To Address The Board

#### 3.01 Requests to Address the Board

Public Comment:

- 1) Paul Soto thanked Assistant Superintendent Sanchez and Dr. Annya Artigas for emphasizing the element of communication.
- 2) Ms. Trinidad said she wanted to point out that everything that happened was a quick transition to online. She said her concern is the amount of time the teacher is not spending with the students.
- 3) Flor De Leon said she thanked the staff for everything that has been done in the district. She said that in order for parents to be teachers, they have to have the skills and education. She asked how the district is supporting kids with distance learning. She commented that the district is required by the state to have Health and Wellness as a priority.

#### 3. Public Members Who Wish To Address The Board (continued)

- 4) Veronica said she is a mother of two children that attend San Antonio Elementary. She said she urges the Board to end the funding with the police.
- 5) Jeanie Franco said she is a mother of two students at Cesar Chavez Elementary. She asked to defund the School Resource Officers. She asked to cancel all police contracts and redirect the funds.
- 6) Tim Espinoza said he is a parent of two students in the district. He said there was a social media post that suggests some are in support of the police department. He commented to redirect those funds for education support programs.
- 7) Jeffie Khalsa said he is a public school parent. He asked to cancel all the police contracts at the next Board meeting.
- 8) Brenda Zendejas said she calls for the Board to defund the police and to have police removed. She said she needs this to be an action in the next Board meeting.
- 9) Alan Daurie said he is a Business Agent for the union. He said as challenges are faced with COVID, he would like the relationship to continue positively.
- 10) Miguel said he is an Educator and Community Organizer. He asked to defund the police at the district.
- 11) Deliza Gonzalez said she wanted to echo what parents are saying regarding defunding police contracts. She said there is a need to have programs for kids more than ever. She said as a parent of Alum Rock School District and as a prior undocumented person herself, Member Linda Chavez betrayed the community by not supporting the letter to support undocumented people.
- 12) Victor Vasquez said last week Somos organized to dismantle police presence. He said Somos is standing with parents.
- 13) Olivia Ortiz said she has two kids that go to Cesar Chavez Elementary. She said there was a counselor at her kid's school that left and she has asked who will replace her. She said no one has given her an answer. She asked to cancel the police contract.
- 14) Myra Pelayo asked to cancel all contracts with police. She said, as other community members have stated, there are needs in other places.
- 15) Paul Soto said he wanted to speak about the school prison pipeline. He said by the time a child is in the 3<sup>rd</sup> grade, the California Department of Corrections borrows money against those numbers.

#### 3. Public Members Who Wish To Address The Board (continued)

16) Maria Martinez said she wanted to comment on what Deliza Gonzalez said regarding Member Linda Chavez. She said it made her sad to hear that Member Linda Chavez would not support the letter for undocumented families.

#### 6. Superintendent/ Board Business

#### 6.04 Adopt Resolution #37-19/20, Establishing an Ethnic Studies Adoption Committee for Alum Rock Union School District.

Vice-President Corina Herrera-Loera said she received a letter from Somos with two hundred ninety signatures supporting this item.

## MOTION #01-18 by Vice-President Corina Herrera-Loera to approve Action Item 6.04 as presented. MOTION #01-18 was seconded by Member Dolores Marquez-Frausto.

The Board had discussion.

President Ernesto Bejarano said on the second page it says that 98% of students is made up of an ethnic background. He said the way it is stated makes it seem like the remaining 2% of students has no racial or ethnic background which he believes is inaccurate.

Vice-President Corina Herrera-Loera replied that President Ernesto Bejarano made a good point. She said she received the numbers from Superintendent Bauer.

President Ernesto Bejarano said he did not want to speak on what was intended, but on his assumption.

Vice-President Corina Herrera-Loera said Superintendent Bauer confirmed the numbers.

Superintendent Bauer said the numbers are correct. She said what she thinks President Ernesto Bejarano is recommending is to add 98% minority and add it to the 2%.

Vice-President Corina Herrera-Loera said she would get back to President Ernesto Bejarano.

President Ernesto Bejarano thanked Vice-President Corina Herrera-Loera for bringing this to Alum Rock School District and he also thanked Diego Pacheco because has been at the forefront of this. He thanked his colleagues for getting this started. He said he would strongly be supporting this item on both a personal level and an academic lens. He said when he had the opportunity to take ethnic studies classes, it literally changed his life. He commented he would love for students not to wait to take the class until they are in high school or college.

#### 6. Superintendent/ Board Business (continued)

Member Dolores Marquez-Frausto thanked everyone for the comments they made. She thanked Vice-President Corina Herrera-Loera for bringing this to the forefront. She said to get Cesar Chavez to be something was challenging. She said they had to fight hard. She commented she really appreciated that it finally came.

Clerk Andrés Quintero thanked Vice-President Corina Herrera-Loera for bringing this proposal of ethnic studies forward. He said he thinks it is important for students to be able to see themselves reflected in the curriculum. He commented that it leads students to look upon their own culture not seen in broader society. He said he will be supporting this item.

President Ernesto Bejarano said a large percentage of the district is Chicano Latino. He said there is great diversity in the district. He said the exciting thing to him is that students will learn about their own identity. He asked Vice-President Corina Herrera-Loera if she had a chance to look at the wording and if she had any thoughts.

President Ernesto Bejarano commented along the lines the count would say it is for a different segment of the community.

Vice-President Corina Herrera-Loera replied she would accept the amendment.

Public Comment:

Assistant Superintendent Sanchez read the following public comment.

- 1) Gabriel Fernandez said he is urging the Board to support ethnic studies for curriculum at the schools.
- 2) Flor De Leon said she supports the adoption of ethnic studies.
- 3) Joanna Gonzalez-Lugo said she is a San Jose City College alumni. She said she supports ethnic studies resolution at Alum Rock School District. She commented she hopes that students at the district are provided with what their ancestors were not.
- 4) Valerie Ortiz said agrees to have ethnic studies for elementary and middle school students. She said a teacher once told her that she could not learn about her history because books were written by white people. She said that stuck with her.
- 5) Jorge Pacheco said he is a Trustee at Oak Grove School District and is a teacher there as well. He said he was proud of everyone and how ethnic studies are necessary especially during these times. He said he highly encourages the Board to adopt this resolution.

- 6) Francisco Robles said he was a product of Alum Rock School District. He said he attended Cassell Elementary and Ocala Middle School. He said he wants ethnic studies to be implemented.
- 7) Adam Perez said he is a product of the Alum Rock School District. He said he attended Lester Shields Elementary. He said that ethnic studies will build more strengths with the community. He said ethnic studies saved him from dropping out and now he is a senior a UC Riverside majoring in ethnic studies.
- 8) Jesus Covarrubias said he has been a professor of ethnic studies and Chicano studies for more than thirty years at both San Jose State University and San Jose City College. He congratulated the Board for taking this on.
- 9) Jacquline Gamboa said she s a teacher of ethnic studies at San Jose City College. She said she is in support of ethnic studies resolution. She said her students often comment that this is their first time learning about their culture.
- 10) Juan Gamboa said he is a professor at San Jose City College. He said teaching information through ethnic studies is empowerment. He said ethnic studies motivate students to continue their education.
- 11) Deliza Gonzalez said she wants to echo what everyone has already said. She urged the Board to vote in favor of ethnic studies. She thanked Vice-President Corina Herrera-Loera for bringing this item.
- 12) Demi Yang said she is major in ethnic studies from San Jose City College. She said she is an immigrant from Taiwan. She said when she first arrived she would stay with her Asian Community. She commented that when she took ethnic studies it changed her life.
- 13) Alexander Nguyen said he is a student at the University of San Francisco. He said he grew up in San Jose and attended McCollam Elementary School. He said he supports ethnic studies. He commented that he understood the the Chicano and Vietnamese Communities.
- 14) Araceli Aguayo said she is a parent of Alum Rock School District. She is supporting the ethnic studies program because it is important.
- 15) Nicole Dunie said she is a student at De Anza College. She said until she went to college she was exposed to look pass this sense of otherness.
- 16) Salvador Rosales said he is an Alum Rock alumni. He said his family owns a flower shop in Alum Rock area. He commented his parents were immigrants. He stated that ethic studies and chicano studies gave him a sense of belonging.

- 17) David Rosales said he attended San Jose City College. He stated that ethnic studies are good for all students.
- 18) Alma Rosales said Juan Gamboa was her teacher when she was fresh out of prison. She said she was in and out of prison for many years. She said taking an ethnic studies class sparked something inside of her. She commented she is now a case manager in the prison system.
- 19) Claudia Coello said she is a parent and a member of Empuje. She said students deserve to understand their roots. She commented that, at the same time, it is important they learn from others.
- 20) Joaquin Covarrubias said he is an alumnus of San Jose City College and a current student at UCLA. He said he is majoring in Chicano and Chicana studies. He said he was taught that Christopher Columbus was a hero. He said he saw the academic impacts of ethnic studies.
- 21) Flor DeLeon thanked Vice-President Corina Herrera-Loera for bringing this item for adoption. She said it strengthens the community and the nation. She said ethnic studies help students academically.
- 22) Jonathan Gomez said he is an Assistant Professor of Chicano and Chicana studies at San Jose State University. He thanked Vice-President Corina Herrera-Loera for introducing the resolution. He commented that students learn their history taking ethnic studies.
- 23) Starr Lopez said she is attending San Jose State University majoring in ethnic studies. She requested that the Board pass this resolution.
- 24) Maria Martinez said she is a parent at Alum Rock School District. She thanked Corina Herrera-Loera for bringing this item.
- 25) Brenda Zendejas thanked Corina Herrera-Loera for bringing this item. She said that this is 2020 and this is huge and major. She congratulated the Board, whoever is supporting this item.
- 26) Mayra Pelagio said she supports this item. She commented that she immigrated to the United States when she was thirteen years old and enrolled in school. She said it was not until her junior year at UC Davis that she learned about Chicano Studies.

- 27) Victor Vasquez said there is a famous chant, "people without a memory are people with a history". He said the people want memories and history to be a part of Alum Rock history.
- 28) Camille Llanes-Fontanilla thanked Vice-President Corina Herrera-Loera and as well as the Trustees. She said as a person who took ethnic studies at UC Berkeley, she knows how fundamental it has been to view causes and system changes. She said she does not want children to wait until they are in college to have these tools. She commented that she feels this will have a positive effect on bullying at the school campus.
- 29) Victoria Partida said she is a San Jose resident and soon to be a parent at Alum Rock. She said she supports the ethnic studies program. She commented that ethnic studies is necessary to teach our stories.
- 30) Leslie Carbajal said she attended school and it took a long time to find out about her history. She commented that she believed Christopher Columbus was such a great person.
- 31) Arturo Villarreal said he has been teaching ethnic studies at Evergreen Valley College for thirty years. He said he supports ethnic studies.
- 32) Gabriel Hernandez said he organized ethnic studies during the 1980s and 1990s. He said some of the students became authors and educators of ethnic studies.
- 33) Peter Ortiz said he is in support of this resolution. He commented he is grateful for Vice-President Corina Herrera-Loera for her leadership. He said Santa Clara County Board of Education has passed an ethnic studies resolution.
- 34) Paul Soto said this is a domino effect of white supremacy. He thanked Vice-President Corina Herrera-Loera for bringing this to the table.
- 35) Olivia Ortiz said she is a parent of Alum Rock. She thanked Vice-President Corina Herrera-Loera for bringing this item. She said she hopes this resolution gets implemented.
- 36) Aracely Mejia said she is a student from Gunderson High School. She said last year when she took Mexican American History, it altered the way she looks at herself. She said she used to feel ashamed of her Mexican heritage. When she took ethnic studies, she became proud of her heritage.

- 37) Techimalli Trinidad said she feels ethnic studies is important. She said she feels that ethnic studies should be included in all schools.
- 38) Tlanextia Trinidad said her first ethnic studies class was a home. She said she did not realize it until she took the class at San Jose City College. She commented she was raised by a single mother who was an educated Chicana. She said she attended Santa Teresa High School and was teased about how she spoke. She said ethnic studies creates a sense of pride.
- 39) Jesse Springer said he is a teacher at Alum Rock. He said he didn't take ethnic studies until he was in college. He said he is wholeheartedly in favor of ethnic studies at the school campus.
- 40) Monse said she is a student at Evergreen Valley College. She said she has attended several schools in the district. She commented ethnic studies was not taught in elementary schools nor middle schools.

Clerk Andrés Quintero said he appreciates everyone's comments, at least the ones that are in support of ethnic studies. She said ethnic studies is not just about one group, but those unrepresented in our society. He said he is in support of this item. He thanked his colleague for bringing this item.

Member Linda Chavez said that the reason the GI Forum was established was because one of the soliders died and they did not want to bury him. She said a lot of the vets that did not come back did not have all the help. She said she was glad that everyone spoke.

Board roll call vote:

MOTION #01-18 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

#### 4. Comments and Communications

#### 4.01 Teamsters

There were no representatives from Teamsters at this time.

#### 4.02 California School Employee's Association (CSEA)

There were no representatives from CSEA at this time.

#### 4. **Comments and Communications** *(continued)*

#### 4.03 Alum Rock Administrator's (ARAA)

There were no representatives from ARAA at this time.

#### 4.04 Alum Rock Educator's Association (AREA)

Jocelyn Merz, AREA President, said human rights are at the core of the public because of the current Black Lives Matter movement the recent murder of George Floyd. She said there are two members of the Equity Human Civil Rights team present, Veronica Talton and Maimona Afzal Berta.

Veronica Talton said, as an African American teacher, she is compelled to speak on race relations at this critical moment. She said she is the only African American at her site. She said they are fed up with injustices.

Maimona Afzal Berta said she is a director of the executive board of AREA. She said on behalf of Alum Rock Educators Association they demand the district put Black Lives Matter on the agenda. She said she noticed that when the district leadership became silent, it is hurtful not to see that Black Lives Matter. She commented they are promoting diversity and inclusion at the district.

#### 4.05 Superintendent

Superintendent Bauer said she received an email from a teacher asking why she had made an official statement regarding Black Lives Matter. She said she wanted to say in public that these times have been extremely challenging for her in a personal way. She stated that she stands against all brutality. She said she wants to honor Lynn White in a respectful way for her email. She said Lynn White has been teaching at Alum Rock for years. She said she does stand firm with Black Lives Matter.

#### 4.06 Board of Trustees/Communication/Comments

Vice-President Corina Herrera-Loera said she is very proud of the staff for gathering four hundred families for the Town Hall meetings for the reopening of schools. She said she did not make a personal statement on Black Lives Matter. She said she does stand with the Black Lives Matter movement. She said she looks forward to putting something together with her colleagues regarding Black Lives Matter.

#### 4. Comments and Communications (continued)

Member Dolores Marquez-Frausto thanked everyone who called in or logged in to speak to the Board. She said when she was an active employee, she was in the movement to try to have a Cesar Chavez holiday and some of the schools did not participate. She thanked Professor Covarrubias for being at the Board meeting. She commented she will always support the community for doing the right thing.

President Ernesto Bejarano said zoom challenges were talked about. He said to recognize this situation everyone is in members of the Board throughout the county collaborate on several issues.

Clerk Andrés Quintero thanked the administration for the work they did at the Town Halls. He said he knows it is intensive work. He said he welcomes comments from others. He suggested that Superintendent Bauer reach out to Franklin McKinley to see what resolutions they gave formally passed.

Member Linda Chavez thanked Veronica. She said some instances happen maybe the district can put some programs behind it. She said that because her children are brown, they had a lot of incidents with police that should have not happened. She said her children were targeted because of their skin color. She commented to not only support the victims, but to also support the people who have hate. She said there is a lot of bullying and there will probably always be.

#### 5.Contracts Over \$100,000

5.01 Approve the Citizen Schools contract for After School Programs which provide support with after school homework assistance, apprenticeship mentoring, science, technology, engineering, arts, and math enrichment at four (4) middle schools, State & Federal Department, \$539,768.00.

MOTION #02-18 by Clerk Andrés Quintero to approve Action Item 5.01 as presented. MOTION #02-18 was seconded by Member Linda Chavez.

Member Dolores Marquez-Frausto asked if we knew if we were going to get this or not.

Superintendent Bauer said the state budget is still being discussed.

Member Dolores Marquez-Frausto said she recommended this item be tabled until the Board knows what is going to happen with the budget. She said this item can always go back to the agenda.

#### 5.Contracts Over \$100,000

Director Sandra Garcia said she believes that the district is going to be funded. She said the question is if it is going to be funded at the current rate or with a reduction.

Member Dolores Marquez-Frausto said the district needs to be careful about what it's spending.

Clerk Andrés Quintero said this contract is for ASES grant and the money cannot be spent on something else.

Assistant Superintendent Chheng said the district will be getting after-school programming for the next year. He said as Sandra Garcia had mentioned earlier, the district is budgeting for a lower amount.

Assistant Superintendent Sanchez said the district looked for different scenarios, but the funding is for after-school programs.

Public Comment:

1) Veronica asked if the district is standing with Black Lives Matter. She said Member Linda Chavez spoke about her life experience. She said she does not want her children to be exposed to that.

Board Roll Call Vote

MOTION #02-15 carried with a vote of 4 in favor; no opposition; no absent; and one abstention (Dolores Marquez-Frausto).

#### 6. Superintendent/ Board Business

#### 6.01 Adopt Resolution #36-19/20 Electrican Requirements.

#### MOTION #03-18 by Clerk Andrés Quintero to approve Action Item 6.01 as presented. MOTION #03-18 was seconded by Member Linda Chavez.

Clerk Andrés Quintero said he appreciates the support from his colleagues. He thanked the administration for bringing this item forward. He said people doing this work need to do it in a safe matter. He said the district will make sure they are properly certified. He said he hopes he can count on his colleagues' support.

President Ernesto Bejarano asked Clerk Andrés Quintero if he said that the community can properly check certification.

Clerk Andrés Quintero replied that any member of the public can check and see if they have a certification. He said the district can have flowery language that says the person needs to be certificated with no mechanism of enforcement. He said some people might fly out of the radar.

President Ernesto Bejarano asked just to clarify if the public can report someone. He said if and when this resolution passes, as Clerk Andrés Quintero alluded to, there could be a process where the public can report.

Board Roll Call Vote

### MOTION #03-18 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

6.02 Adopt Resolution # 33-19/20 Order of Election, Specifications of the Election Order, and Consolidation Request.

MOTION #04-18 by Vice-President Corina Herrera-Loera to approve Action Item 6.02 as presented. MOTION #04-18 was seconded by President Ernesto Bejarano.

Board Roll Call Vote

MOTION #04-18 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

#### 6.03 Review draft of Board Governance Handbook

Member Linda Chavez asked if the Board was supposed to have a meeting on June 27<sup>th</sup>. She said she thought there was something tentative.

Superintendent Bauer said the meeting is to approve the budget and the Board meeting is on June 25<sup>th</sup>.

#### 6.05 Board Policy 9240, Board Training

Member Linda Chavez said she would like to request an item to have the new Board members signed up for Governance classes. She said for her first four months, she wanted to sign up for the governance classes and she did not get signed up.

6.06 Approve Board Policy 0410, Nondiscrimination in District Programs and Activities

MOTION #05-18 by Vice-President Corina Herrera-Loera to approve Action Item 6.06 as presented. MOTION #05-18 was seconded by Member Linda Chavez.

Board Roll Call Vote

MOTION #05-18 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

6.07 Approve Board Policy 5145.3, Nondiscrimination/Harassment

MOTION #06-18 by Vice-President Corina Herrera-Loera to approve Action Item 6.07 as presented. MOTION #06-18 was seconded by Member Dolores Marquez-Frausto.

Board Roll Call Vote

MOTION #06-18 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

6.08 Approve Board Policy 5145.9, Hate-Movidated Behavior

MOTION #07-18 by Member Dolores Marquez-Frausto to approve Action Item 6.08 as presented. MOTION #07-18 was seconded by Vice-President Corina Herrera-Loera.

Board Roll Call Vote

MOTION #07-18 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

6.09 Adopt Resolution #38-19/20, In Support of Digital Access and Inclusion.

### MOTION #08-18 by Member Dolores Marquez-Frausto to approve Action Item 6.09 as presented. MOTION #08-18 was seconded by Member Linda Chavez.

President Ernesto Bejarano said several people have been attending online forums. He said internet access is critical for everyone, not just for work. He said everyone needs to look at internet access as a utility. He commented that children and the community need long-term access. He said this resolution has been created to pressure our state and federal representatives to look for long-term solutions for the internet.

Vice-President Corina Herrera-Loera said she supports the resolution. She said she would like to reiterate is what Superintendent Bauer said. The district needs to make sure that the city, county, and other partners take the financial burden.

Clerk Andrés Quintero said he thinks that it is very important to highlight the issues that the Board has identified during this resolution.

Board Roll Call Vote

**MOTION** #08-18 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

#### 6.10 Adopt Resolution #39-19/20, Condemning All Discrimination and Racism Ensuring All Inclusion School Environment For All Students Regardless of Race, Sexual Orientation, Immigration Status and Other Protected Classes.

President Ernesto Bejarano said he stands in solidarity with his Black brothers and sisters. He said there is a clear item in front of the Board. He said it is important to also recognize the broader picture.

### MOTION #09-18 by Member Linda Chavez to extend the Board meeting until 11:30 p.m.

### MOTION #10-18 by Vice-President Corina Herrera-Loera to extend the Board meeting until 12:30 p.m. MOTION #10-15 was seconded by Clerk Andrés Quintero.

Member Dolores Marquez-Frausto said that in the consent items, the minutes from last month said she was not there and she was. She said she needed to pull the minutes.

Board Roll Call Vote

### **MOTION** #10-18 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

Public Comment:

1) Veronica said she wants the defunding of the police. She said she called for action to defund the police.

Board Roll Call Vote

### **MOTION** #09-18 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

#### 7. Business

#### 7.01 Budget Update

Assistant Superintendent Chheng had a PowerPoint presentation regarding the Budget Update and the highlights are as follows:

- May Revision –
- Governor's 2020-21 Budget Proposal ♣
- Legislature's 2020-21 Budget Proposal ♣
- Next Steps

On May 14, 2020 the Governor presented the May Revision } Santa Clara County Office Of Education (SCCOE) is directing school districts to develop their 2020-21 Budget based on the May Revision, since this is the latest official proposal by the Governor } State Revenue } Local Control Funding Formula (LCFF) Base Grant Proration Factor } FY 2020-21 = -10.00% } FY 2021-22 = -12.178% } FY 2022-23 = -14.95% } Categorical Programs } After School Education and Safety (ASES) – Revert the rate to \$7.50 from \$8.88 per student, per day.

On June 3, 2020 the Senate and Assembly reached an agreement on the 2020-21 State Budget } State Revenue } Local Control Funding Formula (LCFF) } FY 2020-21 = Fully Funding LCFF, including the 2.31% COLA } FY 2021-22 = More details to come } FY 2022-23 = More details to come } Categorical Programs } After School Education and Safety (ASES) – Funding at the 2019-20 level, which is \$8.88 per student, per day

President Ernesto Bejarano asked what the concentration is in the district.

Assistant Superintendent Chheng replied 5% of the undeveloped percentage. He said that includes the students that fall under free and reduced meals, English Learner and Foster Youth.

Vice-President Corina Herrera-Loera asked how much funds of the ESSER would come to Alum Rock.

Assistant Superintendent Chheng replied three million dollars.

Member Dolores Marquez-Frausto said she read in a news report that said the state is cheating the school district with lotto money.

Assistant Superintendent Chheng replied that there was a news article about the lottery money.

#### 7. **Business** (continued)

Public Comment:

1) Flor De Leon said she hopes that she does not see any cuts for LCFF.

#### 8. Human Resouces

#### 8.01 Resignations

8.02 Approve Resolution No. 34-19/20 – Recognition of Certificated Retirees

MOTION #11-18 by Clerk Andrés Quintero to approve Action Item 8.02 & 8.03 as presented. MOTION #11-18 was seconded by Vice-President Corina Herrera-Loera.

AMENDED MOTION #12-18 by Clerk Andrés Quintero to approve Action Item 8.02 as presented. MOTION #12-18 was seconded by Vice-President Corina Herrera-Loera.

Board Roll Call Vote

**MOTION** #12-18 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

8.03 Approve Resolution No. 35-19/20 – Recognition of Classified Staff

MOTION #13-18 by Member Linda Chavez to approve Action Item 8.03 as presented. MOTION #13-18 was seconded by Clerk Andrés Quintero.

Board Roll Call Vote

MOTION #13-18 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

#### 9. CONSENT CALENDAR

Member Dolores Marquez-Frausto asked to pull **Agenda Item 9.01** Approve Board Meeting Minutes for the following date: March 12, 2020, Regular Board Meeting.

There was a consensus from the Board to combine and take action on Agenda Items 9.02, 9.03, 9.04, and 9.05.

- Approve Item 9.02 Teamsters, Supervisory and Management/Confidential Calendars, 2020-2021.
- Agenda Item 9.03 Approve CSEA Calendar, 2020-2021.
- Agenda Item 9.04 Approve Contracts for Professional Services.
- Agenda Item 9.05 Approve/Ratify Notices of Employment and Changes of Status/June 11, 2020/Human Resouces Department.

### MOTION #14-18 by Andrés Quintero to approve Agenda Items 9.02, 9.03, 9.04, and 9.05, as presented. MOTION #14-18 was seconded by Member Linda Chavez.

Board Roll Call Vote

### MOTION #14-18 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

Member Dolores Marquez-Frausto asked why items 9.02 and 9.03 were separate and not together.

Assistant Superintendent Moran replied because CSEA representative requested to add a cover page.

#### 10. Closed Session

#### 10.01 Announcement and Public Comments Regarding Items to be Discussed In Closed Session

President Ernesto Bejarano announced the items that would be discussed in Closed Session. There were no public comments from the audience at this time.

#### 11. Future Board Agenda Requests

President Ernesto Bejarano asked to have a cancellation of School Resource Officers due to requests from the community members.

Vice-President Corina Herrera-Loera asked to have Black Lives Matter and review the School Resource Officers contract.

Counsel Rogelio Ruiz mentioned that there needs to be a Board meeting to have a hearing for the proposed budget.

Assistant Superintendent Chheng said there were changes regarding the public hearing for the budget. He said the LCAP adoption needs to happen before.

Public Comments:

- 1) Veronica asked to have Black Lives Matter and cancel any contract with the School Police Officers.
  - 2) Brenda Zendejas said she wanted to piggyback on what Veronica mentioned. She asked to have the Student Wellness Center added to the agenda for next month.

# 10.02 Recess To Closed Session: The Board will recess to Closed Session at approximately 8:30 p.m. Open session will resume at conclusion of Closed Session.

President Ernesto Bejarano announced that the Board would reconvene to Open Session in approximately 12:20 p.m.. The Board adjourned to Closed Session at 11:40 p.m.

#### 11. RECONVENE TO OPEN SESSION

#### 11.01 Call to Order / Roll Call

The Board reconvened to Open Session at 12:21 p.m. President Ernesto Bejarano welcomed everyone and took a second Roll Call in which all Board Members were present. There was a quorum.

Superintendent Bauer reported that the Board took the following actions:

10.07 Board approved Public Appointment/Employment (Government Code Section 54957): Title (1) Interim Principals; and (2) Director, Maintenance, Operations & Transportation.

#### 14. ADJOURNMENT

#### 14.01 President Adjourns the Meeting

President Ernesto Bejarano adjourned the meeting at 12:22 p.m.

Respectfully submitted,

Andrés Quintero, Board Clerk mc

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#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

#### Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 24, 2020

In D

### Subject: Acceptance of Donations

**Staff Analysis:** The District has received donations as summarized on the sheet dated September 10, 2020.

Recommendation: Staff recommends approval for acceptance of these donations.

K	
Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services	

To the Board of Trustees:	Meeting: September 10, 2020 Regular Board Meeting
Recommend Approval	
Agenda Placement	Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES				
Motion by:	Seconded	by:		
Approved:	Not Approved:	Tabled:		

#### ACCEPTANCE OF DONATIONS

DONOR NAME	DESCRIPTION OR PURPOSE	ESTIMATED VALUE		RECEIVING SCHOOL OR DEPARTMENT
Box Tops for Education	Materials & Supplies	\$	8.10	Dorsa Elementary
Citizen's Bank	Materials & Supplies	\$	223.85	Dorsa Elementary
YourCause, LLC for Pacific Gas & Electric	Materials & Supplies	\$	480.00	Dorsa Elementary
Lifetouch National School Studios	Materials & Supplies	\$	421.09	Linda Vista Elementary
YourCause, LLC for Pacific Gas & Electric	Materials & Supplies	\$	120.00	Ryan STEAM Academy
Children's Discovery Museum	Materials & Supplies	\$	80.00	Ryan STEAM Academy
Box Tops for Education	Materials & Supplies	\$	11.80	Ryan STEAM Academy
Lifetouch National School Studios	Materials & Supplies	\$	143.56	San Antonio Elementary



### **DORSA ELEMENTARY SCHOOL**

1290 Bal Harbor Way

San José, CA 95122

Fax: 408-928-7401 Phone: 408-928-7400

RECEIVED

2020 AUG 14 P 12: 15

August 12, 2020

**ARUESD Board Members** 2930 Gay Avenue San Jose, CA 95127

Dear Board Members:

On behalf of the Dorsa Elementary School community, I would like to petition your approval to accept the following donation in the amount of \$711.95

These donations will be used to pay for classroom materials

Thank you in advance for supporting our school by accepting these donations.

Respectfully Yours,

Viviana Garcia, Principal, Dorsa Elementary School

VCG/jm



# Linda Vista Elementary

100 Kirk Av, San Jose, CA 95127 (408) 928-7800 Fax: (408) 928-7801 \*

To: Alum Rock Union Elementary School District – Board of Trustees From: Rigoberto Gomez Principal – Linda Vista Re: Donation to Linda Vista Date: August 13, 2020

Please place the acceptance of these donations totaling \$421.09 for Linda Vista on the consent calendar for the next board meeting.

The donations are comprised of the following:

\$421.09 Lifetouch National School Studios

Please deposit in donation account: 010 9010 0 4300 00 1110 2700 0000 020 2110

Thank you, SE :E CI HZ SAY AZAZ Rigoberto Gomez

### **Ryan STEAM Academy**

1241 McGinness Ave San Jose CA 95127

Phone: (408)298-8653 FAX: (408)928-8651

August 20, 2020,

Please accept this donation from PG&E in the form of a check for the amount of \$120.00 (Check#1110178404). The funds will be utilized for distance learning material and student supplies. Please deposit these funds in our donations account# 06-100-2110-8699

Sincerely,

Principal

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### **Ryan STEAM Academy**

1241 McGinness Ave San Jose CA 95127

Phone: (408)298-8653 FAX: (408)928-8651

August 20, 2020,

Please accept this donation from Children's Discovery Museum in the form of a check for the amount of \$80.00 (Check#72965). The funds will be utilized for distance learning material and student supplies. Please deposit these funds in our donations account# 06-100-2110-8699

Sincerely,

Yeny Rosales

Principal

BUSINESS OFFICE RECEIVED 020 AUG 21 A II: 38

### **Ryan STEAM Academy**

1241 McGinness Ave San Jose CA 95127

Phone: (408)298-8653 FAX: (408)928-8651

August 20, 2020,

Please accept this donation from Box Tops Education in the form of a check for the amount of \$11.80 (Check#4365547). The funds will be utilized for distance learning material and student supplies. Please deposit these funds in our donations account# 06-100-2110-8699

Sincerely,

Keny Rosales

Principal

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Alum Rock Union Elementary School District San Antonio Elementary School 1721 East San Antonio Street San Jose, California 95116 408-928-8700

Dr. Ivan Montes- Principal

Mrs. Leticia Corona- School Admin. Assistant

2020

AUG

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August 20, 2020

Honorable Board of Trustees Alum Rock Union Elementary School District 2930 Gay Avenue San Jose, CA 95127

N W

Honorable Members of the Board:

Please accept the following donation of \$143.56 from Lifetouch National School Studios. The money will be used for materials and supplies for our students.

Thank you in advance for your approval. Sincerely Dr. Ivan Møntes Principal



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

#### Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:

August 31, 2020

Subject: Vendor & Payroll Warrants

**Staff Analysis:** Summary of Vendor and Payroll Warrants issued during August month of Fiscal Year 2020/2021.

Total of vendor warrants	\$ 2,820,801.99
Total of payroll warrants	\$ 3,610,467.51
Total	\$ 6,431,269.50

**Recommendation:** Staff recommends approval of the Vendor & Payroll Warrants for the month of August 2020.

Approved by: <u>Kolvira Chheng</u>	Title: Assistant Superintendent, Business Services

To the Board of Trustees:	Me	eeting:	September 10, 2020 Regular Board Meeting
Recommend Approval			
10.03 Agenda Placement			Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES				
Motion by:		Seconded by:		
Approved:	Not Approved:	Tabled:		

#### August 2020

	VENDOR WARRANTS		AMOUNT
Fund 010,050,060,080	General Fund (Incl Payroll A/P)		\$1,210,842.18
120	Child Development Fund		\$0.00
130	Cafeteria Fund		\$199,809.73
140	Deferred Maintenance Fund		\$0.00
210	Building Fund		\$3,095.82
250	Capital Facilities Fund		\$0.00
350	County School Facility Fund		\$0.00
400	Special Reserve for Capital Outlay Fund		\$0.00
670	Health and Welfare & Workers Comp		\$1,404,684.22
	Subtotal		\$2,818,431.95
95	Student Body Fund		\$2,370.04
	Total Vendor Warrants		\$2,820,801.99
	PAYROLL WARRANTS		
	10th of the month		\$345,683.51
	EOM Payroll		\$3,234,644.29
	Manual Checks		\$30,139.71
	Void Checks		\$0.00
	Total Payroll Warrants		\$3,610,467.51
	Grand Total All Warrants		\$6,431,269.50
	AP Warrants used #	<sup>то</sup> 4004138 - 4004322	

Payroll Direct Deposit Pay Stub used #	4708549 - 4709496
Payroll Checks used #	4400804 - 4400884

Vendor Name	Date	Warrant #	Fund	Sum of Amount
3CK GROUP LLC	8/27/2020	4004291	130	\$ 318
3CK GROUP LLC Total				\$ 318.
4IMPRINT INC.	8/14/2020	4004175	010	\$ 600
4IMPRINT INC. Total				\$ 600.
ACCOUNTABLE HEALTHCARE	8/21/2020	4004250	080	\$ 3,200
ACCOUNTABLE HEALTHCARE Total	State State State	NT SALE AND	3.20	\$ 3,200.
ACE EMPOWER ACADEMY	8/18/2020	4004199	010	\$ 23,540
ACE EMPOWER ACADEMY Total			10110	\$ 23,540.
AIRGAS, INC.	8/27/2020	4004292	050	\$ 42.
AIRGAS, INC. Total	Part and the second			\$ 42.
ALLIED REFRIGERATION INC.	8/6/2020	4004153	050	\$ 13.
ALLIED REFRIGERATION INC. Total				\$ 13.
ALPENSPRUCE EDUCATION	8/20/2020	4004228	060	\$ 10,750.
ALPENSPRUCE EDUCATION Total				\$ 10,750.
ALPHA BLANCA ALVARADO(ALPHA1)	8/18/2020	4004200	010	\$ 29,506.
ALPHA BLANCA ALVARADO(ALPHA1) Total	UT T UT IN U IN U	1001200		\$ 29,506.
ALPHA JOSE HERNANDEZ (ALPHA	8/18/2020	4004201	010	\$ 31,990.
ALPHA JOSE HERNANDEZ (ALPHA Total	5110/2020	4004201	510	\$ 31,990.
ALUM ROCK ADMINISTRATORS ASSOC	8/31/2020	4004314	010	\$ 525.
ALUM ROCK ADMINISTRATORS ASSOC Total	A CONTRACTOR OF A CONTRACTOR O	4004014	010	\$ 525.
AMAZON.COM LLC	8/21/2020	4004251	010	\$ 934.
AMAZON.COM LEC	8/24/2020	4004278		\$ 58.
AMAZON.COM LLC Total	0/24/2020	4004270	010	\$ <b>993</b> .
AMERICAN FIDELITY ASSURANCE	8/28/2020	4004305	010	\$ 200.
AMERICAN FIDELITY ASSURANCE Total	0/20/2020	4004303	010	\$ 200. \$ 200.
AMERICAN FIDELITY ASSURANCE TOTAL	8/28/2020	4004206	010	and the second s
	0/20/2020	4004306	010	State Stat
AMERICAN FIDELITY ASSURANCE CO Total	0/20/2020	4004222	010	
	8/20/2020	4004222	010	\$ 150.
ANTONIO ANAYA Total	0/00/0000	4004000	060	\$ 150. c 764
APPLE INC.	8/20/2020	4004229	060	\$ 764.
APPLE INC. Total	0/00/0000	4004007	010	\$ 764.
ASSOCIATION OF CALIFORNIA	8/28/2020	4004307	010	\$ 3,413.
ASSOCIATION OF CALIFORNIA Total	0/04/0000	1001070	040	\$ 3,413.
AT&T	8/24/2020	4004279		\$ 1,623.
		4004285		\$ 2,491.
	8/27/2020	4004293	010	\$ 416.
AT&T Total				\$ 4,532.
BARNES AND NOBLE	8/20/2020	4004230		\$ 286.
	8/24/2020	4004280	060	\$ 1,741.
BARNES AND NOBLE Total	State State			\$ 2,027.
BATTERY SYSTEMS INC	8/21/2020	4004252		\$ 48.
	8/20/2020	4004208	050	\$ 180.
BATTERY SYSTEMS INC Total				\$ 229.
BAY AREA FLOOR MACHINE	8/27/2020	4004294	010	\$ 2,315.
BAY AREA FLOOR MACHINE Total				\$ 2,315.
BIG JOE	8/27/2020	4004295	010	\$ 232.
BIG JOE Total				\$ 232.

Vendor Name	Date	Warrant # Fu	ind Sun	n of Amount
BIOMETRICS4ALL INC.	8/14/2020	4004165 010	\$	1.50
BIOMETRICS4ALL INC. Total			\$	1.50
BRAINPOP LLC.	8/6/2020	4004154 060	\$	15,264.00
BRAINPOP LLC. Total			\$	15,264.00
CALIFORNIA NEWSPAPERS	8/20/2020	4004231 210	\$	2,240.82
CALIFORNIA NEWSPAPERS Total			\$	2,240.82
CALIFORNIA SCHOOL BOARDS	8/27/2020	4004296 010	\$	24,268.00
CALIFORNIA SCHOOL BOARDS Total			\$	24,268.00
CAL-STEAM INC.	8/20/2020	4004209 050	\$	616.44
	8/24/2020	4004281 050	\$	176.44
CAL-STEAM INC. Total			\$	792.88
CANON FINANCIAL SERVICES INC.	8/21/2020	4004253 010	\$	425.01
		060		386.76
	8/20/2020	4004237 010		496.02
		060		386.76
CANON FINANCIAL SERVICES INC. Tota	I Contraction of the local sector	And Andrew Mark of	\$	1,694.55
CARD INTEGRATORS CORPORATION	8/21/2020	4004254 010		428.19
CARD INTEGRATORS CORPORATION T	and the second se		\$	428.19
CELLCO PARTNERSHIP	8/14/2020	4004173 010		4,907.68
CELLCO PARTNERSHIP Total			\$	4,907.68
CHAMPIONSHIP PRODUCTS	8/14/2020	4004166 010	Sale of the second s	198.32
CHAMPIONSHIP PRODUCTS Total			\$	198.32
CHRISTINE GARCIA-COGLIANDRO	8/31/2020	4004315 130		54.52
CHRISTINE GARCIA-COGLIANDRO Tota	AS A REAL PROPERTY OF A REAL PRO		\$	54.52
CINTAS CORPORATION	8/21/2020	4004255 010	1000	385.27
		050		87.45
	8/6/2020	4004155 010		465.07
	OT OT MOMO	050		87.45
	8/14/2020	4004187 010		420.27
	011412020	050	10.0	87.45
CINTAS CORPORATION Total	Second and and a	000	\$	1,532.96
CLASSLINK INC	8/6/2020	4004146 010		25,437.50
CLASSLINK INC Total	GIGILOLO	1001110 010	\$	25,437.50
COAST DESIGNS	8/6/2020	4004141 060		3,579.33
COAST DESIGNS Total	GIGILOLO	1001111 000	\$	3,579.33
DAYLIGHT FOODS INC	8/20/2020	4004218 130		4,599.57
DAYLIGHT FOODS INC Total	012012020	4004210 100	\$	4,599.57
DELTA DENTAL	8/21/2020	4004256 670		808.96
DELTA DENTAL Total	0/21/2020	4004200 010	\$	808.96
DENISSE MENDEZ	8/6/2020	4004147 130		153.41
DENISSE MENDEZ Total	01012020	-00-147 130	\$	153.41
DEPARTMENT OF TOXIC	8/6/2020	4004156 050		250.00
DEPARTMENT OF TOXIC Total	0/0/2020	4004150 050	\$	250.00
DISCOVERY BENEFITS	8/14/2020	4004176 670		60.00
DISCOVERY BENEFITS Total	0/14/2020	4004170 070	φ \$	60.00
DOCUMENT TRACKING SERVICES LLC	8/14/2020	4004192 060		11,150.00
DOGUMENT INAGAING SERVICES LLC	0/14/2020	4004192 000	Ð	11,100.00

Vendor Name	Date	Warrant #	Fund	Sum of	Amount
EDGES ELECTRICAL GROUP LLC	8/20/2020	4004210		\$	1,663.68
EDGES ELECTRICAL GROUP LLC Total	OT LOLO	1001210	000	\$	1,663.68
EDWIN O ORANTES	8/20/2020	4004223	010	\$	150.00
EDWIN O ORANTES Total				\$	150.00
ESPERANZA MORENO	8/27/2020	4004303	010	\$	1,566.00
ESPERANZA MORENO Total	A SUNA PROPERTY	her stand with the		\$	1,566.00
FLORES, LOUIE JR.	8/31/2020	4004316	010	\$	31.66
FLORES, LOUIE JR. Total				\$	31.66
FOSTER DAIRY FARMS	8/20/2020	4004219	130	\$	17,120.16
FOSTER DAIRY FARMS Total		When the start of		\$	17,120.16
FOUNDATION FOR EDUCATIONAL	8/6/2020	4004142	010	\$	22,500.00
FOUNDATION FOR EDUCATIONAL Total				\$	22,500.00
FRANNCO SOLANO MORATAYA	8/20/2020	4004224	050	\$	150.00
FRANNCO SOLANO MORATAYA Total				\$	150.00
GOLD STAR FOODS	8/20/2020	4004220	130	\$	173,461.21
GOLD STAR FOODS Total				\$	173,461.21
HAPPY NUMBERS INC	8/24/2020	4004282	060	\$	1,450.00
HAPPY NUMBERS INC Total				\$	1,450.00
HELLATEES INC.	8/10/2020	4004163	010	\$	2,534.60
HELLATEES INC. Total				\$	2,534.60
HOME DEPOT U.S.A. INC.	8/21/2020	4004257	050	\$	581.76
	8/14/2020	4004188	050	\$	2,058.71
	8/20/2020	4004238	050	\$	2,563.25
		4004239	010	\$	910.62
HOME DEPOT U.S.A. INC. Total				\$	6,114.34
HORIZON DISTRIBUTORS INC	8/21/2020	4004258		\$	148.03
	8/14/2020	4004189	050	\$	1,277.18
HORIZON DISTRIBUTORS INC Total				\$	1,425.21
ICONIX WATERWORKS (US) INC.	8/6/2020	4004157		\$	128.63
	8/20/2020	4004211	050	\$	1,205.85
ICONIX WATERWORKS (US) INC. Total				\$	1,334.48
IDN INC	8/21/2020	4004274		\$	62.41
	8/14/2020	4004193	050	\$	401.54
IDN INC Total	0/00/0000	1001000	0.4.0	\$	463.95
INTERNAL REVENUE SERVICE	8/28/2020	4004308	010	\$	500.00
INTERNAL REVENUE SERVICE Total	01010000	1001110	000	\$	500.00
INTERNATIONAL ASSOCIATION FOR	8/6/2020	4004143	060	\$	1,000.00
INTERNATIONAL ASSOCIATION FOR Total	0/0/2020	4004140	010	\$	1,000.00
INTERVISION SYSTEMS LLC	8/6/2020	4004148	010	\$	16,640.06
INTERVISION SYSTEMS LLC Total	0/44/2020	1001101	060	\$	16,640.06
IT MANAGEMENT CORPORATION IT MANAGEMENT CORPORATION Total	8/14/2020	4004194	000	\$ \$	9,289.33
ITSAVVY LLC	8/14/2020	4004177	010	<b>\$</b>	<b>9,289.33</b> 8,711.00
II GAVVI LLG	8/20/2020	4004177		э \$	2,038.45
ITSAVVY LLC Total	0/20/2020	4004232	000	\$	10,749.45
JAZZY LIEN NGUYEN	8/6/2020	4004149	130	\$	137.03
JAZZY LIEN NGUYEN Total	0/0/2020	4004143	100	\$	137.03
UNEET LIEN NOUTEN TUR				ψ	101.05

Vendor Name	Date	Warrant #	Fun	d Sum of	Amount
JOSE LUIS GALLO	8/20/2020	4004225	050	\$	150.00
JOSE LUIS GALLO Total				\$	150.00
JOYCE W.SIEGEL / RICHARD M.	8/14/2020	4004167	010	\$	227.28
JOYCE W.SIEGEL / RICHARD M. Total				\$	227.28
KAISER	8/14/2020	4004195	670	\$	195,562.10
	8/31/2020	4004320	670	\$	290.00
KAISER Total				\$	195,852.10
KAISER PERMANENTE	8/31/2020	4004321	670	\$	412.66
KAISER PERMANENTE Total				\$	412.66
KEENAN & ASSOCIATES	8/21/2020	4004275	670	\$	116,589.00
KEENAN & ASSOCIATES Total				\$	116,589.00
KELLY-MOORE PAINT COMPANY INC.	8/21/2020	4004259	050	\$	404.51
	8/20/2020	4004212	050	\$	154.44
KELLY-MOORE PAINT COMPANY INC. Total		and a strength of the	1.1.1	\$	558.95
KENNETH MCBRIAN	8/31/2020	4004317	010	\$	40.32
KENNETH MCBRIAN Total				\$	40.32
KHAI Q. TRAN	8/20/2020	4004213	050	\$	1,200.00
(HAI Q. TRAN Total	Part of the second	3	1222	\$	1,200.00
KIPP HEARTWOOD ACADEMY	8/18/2020	4004202	010	\$	40,823.00
KIPP HEARTWOOD ACADEMY Total				\$	40,823.00
KIPP PRIZE PREPARATORY ACADEMY	8/18/2020	4004203	010	\$	40,715.00
(IPP PRIZE PREPARATORY ACADEMY Total				\$	40,715.00
LAZEL INC	8/6/2020	4004150	060	\$	64,010.75
AZEL INC Total				\$	64,010.75
LDP INC	8/14/2020	4004168	060	\$	572.11
DP INC Total				\$	572.11
LEROY HAYNES CTR FOR CHILDREN	8/27/2020	4004297	080	\$	800.00
EROY HAYNES CTR FOR CHILDREN Total				\$	800.00
LETICIA ARRIAGA DE MALDONADO	8/27/2020	4004298	130	\$	150.00
ETICIA ARRIAGA DE MALDONADO Total	A REAL PROPERTY.			\$	150.00
LIDIA GALLARDO	8/20/2020	4004240	010	\$	150.00
IDIA GALLARDO Total				\$	150.00
LIONICIA CRUZ	8/31/2020	4004318	130	\$	5.22
IONICIA CRUZ Total				\$	5.22
LOWES COMPANIES INC.	8/21/2020	4004261	050	\$	362.97
	8/20/2020	4004215		\$	250.08
OWES COMPANIES INC. Total		1001210		\$	613.05
LOWE'S COMPANIES, INC.	8/21/2020	4004260	060	\$	1,365.08
	8/6/2020	4004158		\$	16,019.31
	8/20/2020	4004214		\$	10,623.07
OWE'S COMPANIES, INC. Total	012020	1001211		\$	28,007.46
MARIA C. GOCHEZ BLANCO	8/20/2020	4004216	050	\$	277.93
ARIA C. GOCHEZ BLANCO Total	51 m 01 m 0 m 0	1007210		\$	277.93
MARIA ERICA CARRASCO	8/20/2020	4004241	010	\$	73.40
ARIA ERICA CARRASCO Total	512012020		010	\$	73.40
MARICELA GONZALEZ MAGANA	8/31/2020	4004319	130	\$	12.08

Vendor Name	Date	Warrant #	1	I Sum of	
MCSIG	8/21/2020	4004262	670	\$	24,351.00
MCSIG Total				\$	24,351.00
MICHAEL J. GONZALEZ	8/21/2020	4004276	060	\$	247.50
MICHAEL J. GONZALEZ Total				\$	247.50
MID AMERICA ADMINISTRATION	8/17/2020	4004197	010	\$	970.88
	8/28/2020	4004309	010	\$	4,004.68
MID AMERICA ADMINISTRATION Total				\$	4,975.56
NAAMAN MARTINEZ RIVERA	8/20/2020	4004226	050	\$	145.9
NAAMAN MARTINEZ RIVERA Total				\$	145.91
NEARPOD INC.	8/6/2020	4004151	060	\$	70,000.00
NEARPOD INC. Total				\$	70,000.00
NESTLE WATERS NORTH AMERICA	8/21/2020	4004273	010	\$	195.75
	8/20/2020	4004221	010	\$	603.57
			050	\$	103.55
	8/28/2020	4004304		\$	55.19
		- 10 CT (TT (10) CT (1	130	\$	45.38
NESTLE WATERS NORTH AMERICA Total	17/2 - 1 Tell W			\$	1,003.44
NEXTEL OF CALIFORNIA INC.	8/14/2020	4004174	010	\$	2,335.88
NEXTEL OF CALIFORNIA INC. Total	011412020	1001111	010	\$	2,335.88
NORCAL TRUCK LEASING INC	8/21/2020	4004263	010	\$	670.00
HOROAL INCOR LEADING INC	8/14/2020	4004169		<b>\$</b>	1,310.00
	8/27/2020	4004299		\$	670.00
NORCAL TRUCK LEASING INC Total	0/21/2020	4004233	010	\$	2,650.00
OCCUPATIONAL HEALTH CTR OF CA	8/14/2020	4004179	010	\$	184.50
OCCUPATIONAL HEALTH CTR OF CA Total	0/14/2020	40041731	010	\$	184.50
OFFICE DEPOT	8/21/2020	4004269	010	\$	1,995.06
OFFICE DEPOT	0/21/2020	4004209		\$	1,690.80
		4004270			1,198.22
		4004271		\$ \$	1,447.75
	0/44/2020			10	
	8/14/2020	4004180		\$	281.33
		4004190		\$ \$	237.48
			060		41.66
			670	\$	32.34
	0/00/0000		130	\$	66.57
	8/20/2020	4004227		\$	792.87
			130	\$	32.76
		4004233		\$ \$ \$	630.83
			670	\$	38.23
			130		54.88
	00 100-0120 Million #140-014	4004242 (		\$ \$	510.42
	8/24/2020	4004283 (		\$	371.32
		4004286 (		\$	1,771.58
		4004287	010	\$	1,872.27
		(	050	\$ \$ \$	12.53
			130		13.59
		4004288 (	010	\$	427.35
			060	\$	407.28

Vendor Name	Date	Warrant #	Fund	Sum of	IN AN OWNER SOUTH ON ANY ANY I
OFFICE DEPOT Total				\$	13,927.12
O'REILLY AUTOMOTIVE STORES INC	8/14/2020	4004170	010	\$	431.58
		4004178	010	\$	802.42
O'REILLY AUTOMOTIVE STORES INC Total				\$	1,234.00
OSCAR HERNANDEZ	8/20/2020	4004243	050	\$	150.00
OSCAR HERNANDEZ Total				\$	150.00
P & R PAPER SUPPLY COMPANY	8/20/2020	4004244	130	\$	2,960.54
P & R PAPER SUPPLY COMPANY Total			1.1.12	\$	2,960.54
PAM L. ABERG	8/6/2020	4004159	210	\$	855.00
PAM L. ABERG Total				\$	855.00
PERSEUS ASSOCIATES	8/14/2020	4004181	010	\$	525.00
PERSEUS ASSOCIATES Total				\$	525.00
PG&E	8/10/2020	4004164	010	\$	130,393.36
PG&E Total	0.000.00000	1001010	040	\$	130,393.36
PRE-PAID LEGAL SERVICES INC.	8/28/2020	4004310	010	\$	189.75
PRE-PAID LEGAL SERVICES INC. Total	0/44/0000	1001100	100	\$	189.75
R & R REFRIGERATION & AIR	8/14/2020	4004182	130	\$	624.73
R & R REFRIGERATION & AIR Total	0/4 4/0000	4004400	010	\$	624.73
RANDALL E. KLEIN	8/14/2020	4004183	010	\$	750.00
RANDALL E. KLEIN Total	0/4 4/2020	4004400	010	\$	750.00
RECOLOGY SOUTH BAY	8/14/2020			\$	3,379.88
RECOLOGY SOUTH BAY Total	8/18/2020	4004198	010	\$ \$	12,364.95 15,744.83
RICK DELONG	8/6/2020	4004160	010	э \$	975.00
NON DELONG	8/20/2020	4004180		э \$	5,525.00
	012012020	4004217		э \$	1,400.00
	8/24/2020	4004243		\$ \$	830.00
RICK DELONG Total	012-112020	7007204	010	\$	8,730.00
ROCKETSHIP FUERZA	8/18/2020	4004204	010	\$	59,916.00
ROCKETSHIP FUERZA Total	C, TOTA ONO	1001201		\$	59,916.00
ROCKETSHIP SI SE PUEDE	8/18/2020	4004205	010	\$	37,309.00
ROCKETSHIP SI SE PUEDE Total			1.1.2	\$	37,309.00
RUSSELL SIGLER INC.	8/24/2020	4004289	050	\$	2,038.58
RUSSELL SIGLER INC. Total		San State State		\$	2,038.58
SAN JOSE WATER COMPANY	8/10/2020	4004162	010	\$	92,909.97
SAN JOSE WATER COMPANY Total		and the second		\$	92,909.97
SANDRA RENEE RIVERA	8/6/2020	4004144	010	\$	1,099.97
SANDRA RENEE RIVERA Total				\$	1,099.97
SANTA CLARA COUNTY OFFICE OF	8/6/2020	4004145	080	\$	18,545.89
		4004152	010	\$	412.05
SANTA CLARA COUNTY OFFICE OF Total				\$	18,957.94
SC FUELS	8/21/2020	4004277	010	\$	341.28
	8/14/2020	4004184	010	\$	543.19
SC FUELS Total				\$	884.47
SCHOOL SERVICES OF CALIFORNIA	8/20/2020	4004234	010	\$	325.00
				*	225 00
SCHOOL SERVICES OF CALIFORNIA Total SECURITY CONTRACTOR SERVICES	8/21/2020	4004264		\$ \$	325.00 139.84

Vendor Name	Date	Warrant #	Fund	Sum of	Amount
SECURITY CONTRACTOR SERVICES	8/14/2020	4004171	050	\$	1,154.75
	8/20/2020	4004246	050	\$	508.01
	8/27/2020	4004300	050	\$	168.76
SECURITY CONTRACTOR SERVICES Total				\$	1,971.36
SELF INSURED SCHOOLS OF	8/18/2020	4004206	670	\$	827,118.00
SELF INSURED SCHOOLS OF Total				\$	827,118.00
SERGEY NICOLAYAVICH KACHAGIN	8/27/2020	4004301	080	\$	46.85
SERGEY NICOLAYAVICH KACHAGIN Total				\$	46.85
SERVICE STATION SYSTEMS	8/14/2020	4004185	050	\$	100.00
SERVICE STATION SYSTEMS Total				\$	100.00
SEVERIN INTERMEDIATE HOLDINGS	8/31/2020	4004322	010	\$	165,361.40
SEVERIN INTERMEDIATE HOLDINGS Total				\$	165,361.40
SHIFFLER EQUIPMENT SALES INC.	8/20/2020	4004247	050	\$	38.39
SHIFFLER EQUIPMENT SALES INC. Total				\$	38.39
SPRINGBOARD COLLABORATIVE	8/20/2020	4004235	060	\$	69,000.00
SPRINGBOARD COLLABORATIVE Total		A STATE OF A STATE	1. 1. 1. 1.	\$	69,000.00
SPURR	8/20/2020	4004249	010	\$	2,513.42
SPURR Total			STATES.	\$	2,513.42
STATE DISBURSEMENT UNIT	8/28/2020	4004311	010	\$	899.00
STATE DISBURSEMENT UNIT Total	The Lot of the Lot of the			\$	899.00
STATE OF CALIFORNIA	8/21/2020	4004265	010	\$	386.00
	8/28/2020	4004312		\$	2,391.40
STATE OF CALIFORNIA Total	0/20/2020	1001012	010	\$	2,777.40
STEVEN WAYNE DOTY	8/20/2020	4004248	050	\$	440.55
STEVEN WAYNE DOTY Total	012012020	1001210	000	\$	440.55
SUNBELT RENTALS INC.	8/6/2020	4004161	050	\$	110.62
SUNBELT RENTALS INC. Total	01012020	4004101	000	\$	110.62
SYSCLOUD INC.	8/25/2020	4004290	010	\$	7,000.00
SYSCLOUD INC. Total	012312020	4004230	010	\$	7,000.00
TAG/AMS. INC.	8/14/2020	4004186	010	\$	130.00
TAG/AMS. INC. Total	0/14/2020	4004100	010	\$	130.00
TEAMSTERS LOCAL #150	8/28/2020	4004313	010	\$	10,255.00
TEAMSTERS LOCAL #150 Total	012012020	4004313	010	\$	10,255.00
TEAMSTERS MANAGED TRUST FUNDS	8/18/2020	4004207	670	\$	227,184.00
FEAMSTERS MANAGED TRUST FUNDS Total	CONTRACTOR OF A DESCRIPTION OF A DESCRIP	4004207	070	\$	227,184.00
THE PROPHET CORPORATION	8/6/2020	4004138	010	\$	6,035.45
THE PROPHET CORPORATION Total	0/0/2020	4004130	010	\$	6,035.45
THE TRUST FOR HIDDEN VILLA	9/6/2020	4004420	060	and the second se	
	8/6/2020	4004139	000	\$	98.50
THE TRUST FOR HIDDEN VILLA Total	0/0/2020	4004140	000	\$	98.50
TUTORWORKS INC.	8/6/2020	4004140	060	\$	4,725.00
TUTORWORKS INC. Total	0/04/0000	4004007	010	\$	4,725.00
TXL HOLDING CORPORATION	8/21/2020	4004267	010	\$	125.88
TXL HOLDING CORPORATION Total	0/04/0000	1001000	010	\$	125.88
U.S. BANK	8/21/2020	4004268	010	\$	2,690.42
U.S. BANK Total	0/07/0000	1001000	040	\$	2,690.42
	8/27/2020	4004302	010	\$	170.40
ULINE INC Total				\$	170.40

Vendor Name	Date	Warrant #	Fund	Sum	of Amount
UNITED PARCEL SERVICE	8/14/2020	4004172	010	\$	124.00
UNITED PARCEL SERVICE Total				\$	124.00
VIRCO INC.	8/20/2020	4004236	060	\$	7,870.16
VIRCO INC. Total				\$	7,870.16
VISION SERVICE PLAN	8/21/2020	4004266	670	\$	12,237.93
VISION SERVICE PLAN Total				\$	12,237.93
W.W. GRAINGER INC.	8/14/2020	4004191	050	\$	1,278.24
W.W. GRAINGER INC. Total			1.22	\$	1,278.24
Grand Total				\$	2,818,431.95

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

### 10.04

Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:

Date: August 24, 2020

Subject: Santa Clara County Treasury Investment Portfolio Status

**Staff Analysis:** In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statues of 1995 and in compliance with county-policy, the Santa Clara County Treasury Investment Portfolio Report as of June 30, 2020 is submitted for the Board of Trustee's review and acceptance.

Recommendation: Staff recommends that the Board of Trustees approve the SCC Investment Portfolio Report as of June 30, 2020.

	X	
Approved by:	Kolvira Chheng	Title: Assistant Superintendent, Business Services

To the Board of Trustees:	Meeting: September 10, 2020 Regular Board Meeting
Recommend Approval	HEmiler
Agenda Placement	Hilaria Bauer, Ph.D., Superintendent

	DISPOSITION BY BO	ARD OF TRUSTEES	
Motion by:		Seconded by:	
Approved:	Not Approved:	Tabled:	

### **County of Santa Clara**

#### Finance Agency Controller-Treasurer Department

County Government Center 70 W. Hedding Street, East Wing, 2nd Floor San Jose, California 95110-1705 (408) 299-5200 FAX (408) 288-9237

August 12, 2020

Submitted by:

Alan Minato Adam Minato, Director of Finance

ocuSigned by:

DocuSigned by

#### TO: BOARD OF TRUSTEES, SANTA CLARA COUNTY SCHOOL DISTRICTS BOARDS OF DIRECTORS, SANTA CLARA COUNTY SPECIAL PURPOSE DISTRICTS

FROM: GEORGE DOORLEY, CONTROLLER-TREASURE

SUBJECT: COUNTY OF SANTA CLARA TREASURY INVESTMENT PORTFOLIO STATUS

#### RECOMMENDATION

Receive and file the June 30, 2020 Detailed Investment Portfolio Listing.

#### DISCUSSION

In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with County Policy, the Santa Clara County Treasury Investment Portfolio Report as of June 30, 2020 is submitted for your review and acceptance.

The attached detailed investment reports list each investment of the County Treasury Pool as well as individual reports for specific investment funds that each school district or special district has in the County Treasury. The reports include the respective purchase and maturity dates, par value, amortized cost, market value, and yield to maturity for each investment.

A summary of market value versus cost is provided below for Commingled Investments of the County Pool.

	Cost	Market Value	(Decrease)	Percent	
Commingled Investments	\$8,977,141,112	\$9,084,210,851	\$107,069,739	1.19%	

### TO: SANTA CLARA COUNTY SCHOOL DISTRICTS AND SPECIAL PURPOSE DISTRICT Page 2

The yield of the Pool on June 30, 2020 was 1.54%. As a comparison, on June 30, 2020 the yield of a 6-month Treasury Bill was .13%. A two-year Treasury Note was .15%. The State of California Local Agency Investment Fund (LAIF) yield was 1.22%.

Attached with the current investment strategy is a schedule that lists the average weighted maturities and yield for the Commingled Treasury Pool. Charts outlining investment concentration and distribution of bond maturities are provided for the Pool. Also included is a chart showing the one-year history of the Pool along with interest rates offered by selected comparable instruments.

Securities are purchased with the expectation that they will generally be held to maturity, hence unrealized gains or losses are not reflected in the yield calculations.

The market values of Pool securities were taken from pricing services provided by Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

A combination of securities maturing, new revenues, and tax receipts will adequately cover the anticipated cash flow needs for the next six months. Cash flows are continually monitored and are considered paramount in the selection of securities purchased for the Pool.

Attachments:

June 30, 2020 Quarterly Investment Summary



# **Quarterly Investment Report**

June 30, 2020



Quarterly Investment Report Table of Contents

Summary of Cost Values versus Market Values and Yields
Portfolio Strategy, Liquidity Adequacy, Review and Monitoring
Commingled Pool: Allocation by Security Types
Commingled Pool: Allocation by Ratings
Commingled Pool: Holdings by Issuer
Commingled Pool: Historical Month End Book Values
Commingled Pool: Distribution by Maturity
Commingled Pool: Yield to Maturity and Weighted Average Maturity
Approved Issuers and Broker/Dealers
Commingled Pool: Compliance with Investment Policy
Commingled Pool: Month Ended April 30, 2020
Commingled Pool: Month Ended May 31, 2020
Holdings Report: Commingled Pool
Holdings Report: Worker's Compensation
Holdings Report: Park Charter Fund
Holdings Report: San Jose-Evergreen
Transaction Activity Report

Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Susan Ellenberg, S. Joseph Simitian County Executive: Jeffrey V. Smith





### June 30, 2020

Fund	Cost Value**	Market Value	Variance	% Variance
Commingled Investment Pool	\$8,977,141,112	\$9,084,210,851	\$107,069,739	1.19%
Worker's Compensation	\$29,429,692	\$30,046,833	\$617,141	2.10%
Park Charter Fund	\$4,335,811	\$4,408,496	\$72,685	1.68%
San Jose-Evergreen	\$20,873,482	\$21,174,815	\$301,333	1.44%
Medical Malpractice Insurance Fund (1)	\$9,549,621	\$9,912,807	\$363,186	3.80%
Total	\$9,041,329,718	\$9,149,753,802	\$108,424,084	1.20%

(1) Managed by Chandler Asset Management, Inc.

# Summary of Yields\* for Select Santa Clara County Investment Funds

runa		2020		2019
	Apr 30	May 31	Jun 30	Jun 30
Commingled Investment Pool	1.64%	1.65%	1.54%	2.27%
Worker's Compensation	1.26%	1.39%	1.39%	2.37%
Weighted Yield	1.64%	1.65%	1.54%	2.27%

paid over the life of the bond is reinvested at the same rate as the coupon rate. The calculation for YTM is based on the coupon rate, length of time to maturity, and market price \*Yield to maturity (YTM) is the rate of return paid on a bond, note, or other fixed income security if the investor buys and holds it to its maturity date and if the coupon interest at time of purchase. Yield is a snapshot measure of the yield of the portfolio on the day it was measured based on the current portfolio holdings on that day. This is not a measure of total return, and is not intended to be, since it does not factor in unrealized capital gains and losses and reinvestment rates are dependent upon interest rate changes

\*\*Cost Value is the amortized book value of the securities as of the date of this report.

### Portfolio Strategy

### June 30, 2020

beginnings of an upswing have emerged only a few months after the economic damage of the virus began. Although a good start, the two-month tally of more than 7 million new jobs is still only a third of what is needed to fully reverse the March-April unprecedented loss in employment. Non-farm payrolls dropped by 22 million during this period. The employment-Encouraging signs of an improving economy emerged in May and June of 2020 following the onset of a swift and steep down-turn. A deep economic recession began in March and opening, labor market reports and other recently released data have illustrated an impressive across the board start to recovery. Employers added workers to payrolls in May and April after state and local governments shuttered economic activity across the U.S. to mitigate the spread of the pandemic COVID-19 virus. Since the domestic economy began reconsensus forecasts. During the last recession, employment continued to deteriorate for more than a year after the 2008 collapse of Lehman Brothers; now, in comparison, the June in back-to-back record monthly increases. The 4.8 million net new jobs in June were almost twice the 2.5 million added in May. Both months came well ahead of median population ratio remains well below its February level.

Bureau of Labor Statistics (BLS) reported unemployment for April, May, and June as 14.7 percent, 13.3 percent and 11.1 percent respectively. Nonetheless, the official unemployment The unemployment rate since the recession began has been dismal and at unprecedented highs. In February 2020, the jobless rate hovered at a historical low of 3.5 percent. The rate understates the degree of joblessness. The BLS has admitted to misclassifying some individuals as employed by incorrectly counting those who are still getting paid but not actually showing up for work, probably workers on temporary paid leave. The unemployment rate was actually 19.7 percent in April and 16.3 percent in May.

the U.S. Congress and the Paycheck Protection Program (PPP), a principal component made this possible. PPP distributed more than \$500 billion in loans to small businesses and was Providing money directly to companies helped curb job losses. Funding provided by the stimulus program, the Coronavirus Aid, Relief, and Economic Security (CARES) Act enacted by set to expire at the end of June before Congress extended it through the beginning of August. Economist studies indicate the program boosted employment by 2 to 4.5 percent. PpP has been controversial and faced criticism for giving loans to businesses that were not severely impacted by the pandemic along with loans to larger, publicly traded firms who by virtue of their status have plenty access to substantial resources.

retail sales about in line with pre-pandemic levels. The value of retail purchases increased 7.5 percent in June from the prior month. May recorded an 18.2 percent surge, according to insurance benefits gave concrete support to U.S. personal incomes during the quarter. Data indicate the CARES relief measures which augmented weekly payments by \$600, has been Recovery in consumer spending began as states restarted their economies and consumers used their government stimulus checks. Increased spending in June brought the value of the Commerce Department. Retail purchases in May reflected the largest increase in more than six decades, after falling by the most on record in April. Expanded unemploymentsuccessful in stabilizing household incomes and overall economic growth.

As an indication of consumption trends, more than half of the total reduction in credit card spending between January and early June came from households in the top quartile of the came from reduced buying of goods or services that require in-person contact such as hotels, transportation and food services while spending on categories like landscaping services income distribution, while only 5 percent came from households in the bottom income quartile, the researchers found. The reason is twofold, the rich account for a larger share of total spending, and high-income households reduced their spending by 17 percent, whereas low-income households reduced their spending by only 4 percent. Most of the decline continued



### Portfolio Strategy

### June 30, 2020

had one of its best quarters ever, increasing by 26 percent. The index lost 3.08 percent the first half of 2020, ending June 30th. The Nasdag Composite is up more than 16 percent over Equity markets performed well, even as the underlying economy have experienced high levels unemployment and disruption. In the quarter ending June 30, 2020, the S&P 500 Index advance year to date, and the top-5 generated 73 percent of those gains. Most of these were tech stocks and others benefiting from the shift to remote working and sheltering in the same period, but the gains have been more concentrated than in any similar period in the past decade. The top-10 stocks account for 90 percent of the Nasdaq's 16 percent place. As of this writing, the 28th of July, Nasdaq had returned 22 percent in 2020.

The housing market has showed surprising strength. Home prices have accelerated while house sales rebounded. Various factors account for this. For mostly structural reasons, the change in the work environment. Weekly mortgage data showed that purchase applications rose for the eighth consecutive week ending June 30th and are approaching an 11-year supply of homes continues to fall to record lows. Furthermore, mortgage interest rates declined to historical lows, with few signs they are likely to rise for the foreseeable future. Demand for housing has been bolstered by strong interest in relocating from urban centers to the suburbs with the wide acceptance of working remotely from home, a structural high on a seasonally adjusted basis.

period of time. Policy makers were widely criticized during the most recent economic recovery for having tighten monetary policy too early and as a consequence, they are leaning in Inflation remained stubbornly below the Fed's 2 percent target throughout that period. The Fed's bias towards anticipating to pre-empt inflation induced it to raise interest rates too the opposite direction. Inflation remained dormant after the great 2008 recession and continued so as the unemployment rate fell to 3.5 percent during the subsequent recovery. early in the cycle and continue to boost rates into late 2018 even as equity and bond markets signaled the hikes had gone too far. The Fed was eventually forced to lower rates 75 Faced with the prospect of another prolonged period of low inflation, Federal Reserve Bank (Fed) policy officials have signaled they intend to keep rates near zero for a sustained basis points in 2019 to cushion a decelerating economy and then lowered rates to zero at the onset of the pandemic.

The CARES Act has been effective in supporting household income but key elements of the relief bill including expanded and enhanced unemployment benefits expire at the end of July. State and local governments need much more support than they have received so far. If Congress does enact an additional stimulus bill that extends unemployment benefits along with local governments, the likelihood of a stalled recovery increases significantly.

reversing re-openings of local economies in response to record new COVID-19 infections and concerns about declining hospitalization capacity. Workers in service jobs, such as leisure and hospitality, may see renewed job losses. Even if states continue re-openings, thereby restoring some jobs, the fear of the virus will likely persist until treatments or a vaccine are and 37 investors across pharmaceuticals and biotech, medical technology and health care services, found three in four health care executives and investors believe an effective and available. That means re-openings will only partially restore business activity. A major survey, conducted during the last week of May and first half of June, including 184 executives Concerns also exist that progress made in the second quarter could slow with the number of states, including some of the largest such as California and Texas, either halting or safe vaccine will not be widely available until the second half of 2021 or even later.



## **Portfolio Strategy**

### June 30, 2020

consuming entertainment outside to consuming at home. Those demand shifts might never fully reverse themselves. Temporary job losses will become permanent if many of these A more fundamental concern relates to structural adjustment. The pandemic has propelled consumers to online shopping; from working in offices to working remotely; and from retailers, restaurants and commercial property owners shrink or go out of business.

The portfolio strategy continues to focus on the:

(1) acquisition of high-quality issuers;

(2) identifying and selecting bonds with attractive valuations;

(3) appropriately sizing the liquidity portion of the portfolio to ensure adequate cash for near term obligations; and

(4) ensuring that monies targeted for longer term investments are deployed in vehicles with favorable risk-adjusted yields.

rules include the international regulatory framework for banks called Basel III and the U.S. 2010 Dodd-Frank Law. The Treasury Division has increased its capability to review a larger Broker-dealers have generally down-sized the amount of securities carried in inventories in response to risk-curbing rules crafted after the 2008 financial crisis. These risk curbing volume of inventory listings to find attractive bonds. Portfolio structuring does not solely rely on interest rate anticipation strategies, which primarily speculate on the direction of interest rates to earn favorable returns.



# Portfolio Liquidity Adequacy, Review, and Monitoring

June 30, 2020

## **Yield and Weighted Average Maturity**

The yield of the Commingled Pool is 1.54 and the weighted average life is 517 days.

### Liquidity Adequacy

The County Treasurer believes the Commingled Pool contains sufficient cash flow from liquid and maturing securities, bank deposits and incoming cash to meet the next six months of expected expenditures.

## **Review and Monitoring**

FHN Financial Main Street Advisors, the County's investment advisor, currently monitors the Treasury Department's investment activities.

## Additional Information

The market values of securities were taken from pricing services provided by the Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an Securities are purchased with the expectation that they will be held to maturity, so unrealized gains or losses are not reflected in the yield calculations. independent pricing service.



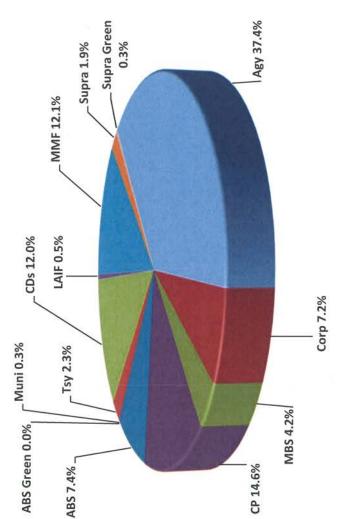
# Santa Clara County Commingled Pool

## Allocation by Security Types

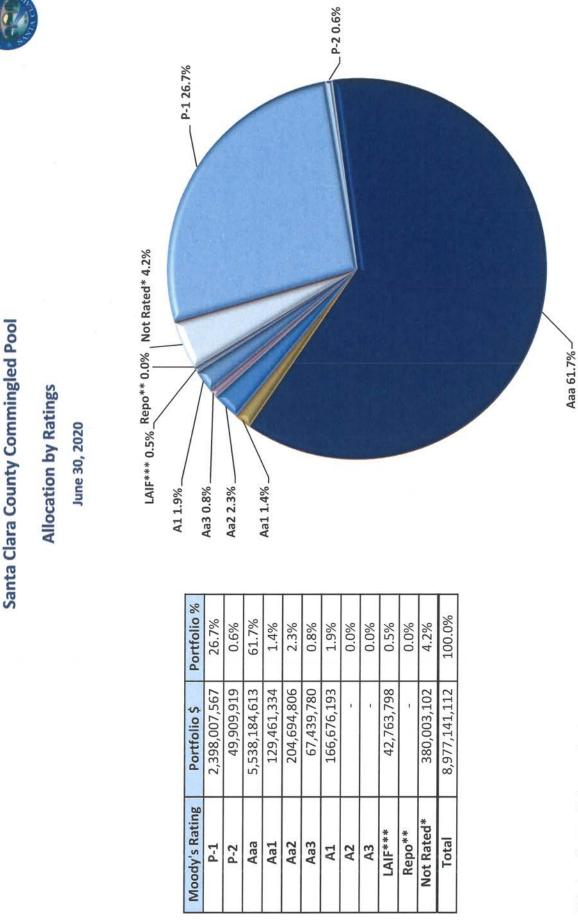
### June 30, 2020

Sector	6/30/2020	3/31/2020 % Chng	% Chng
Federal Agencies	37.36%	35.75%	1.6%
Corporate Bonds	7.22%	8.07%	-0.8%
Mortgage Backed Securities	4.22%	4.66%	-0.4%
Commercial Paper	14.56%	14.73%	-0.2%
ABS	7.35%	7.96%	-0.6%
ABS Green Bonds	0.00%	0.00%	0.0%
Municipal Securities	0.29%	0.37%	-0.1%
U.S. Treasuries	2.29%	3.88%	-1.6%
Negotiable CDs	11.97%	11.13%	0.8%
LAIF	0.48%	0.50%	0.0%
Money Market Funds	12.06%	9.33%	2.7%
Supranationals	1.92%	3.33%	-1.4%
Supranationals Green Bonds	0.28%	0.29%	0.0%
Total	100.00%	100.00%	

Sector	6/30/2020	3/31/2020
Federal Agencies	3,353,648,345	3,035,718,784
Corporate Bonds	648,454,093	685,019,170
Mortgage Backed Securities	378,497,505	396,108,612
Commercial Paper	1,307,125,176	1,251,019,417
ABS	660,107,365	675,685,487
ABS Green Bonds		i
Municipal Securities	25,911,692	31,483,790
U.S. Treasuries	205,516,899	329,742,397
Negotiable CDs	1,074,892,703	945,430,205
LAIF	42,763,798	42,549,653
Money Market Funds	1,082,563,925	792,142,405
Supranational	172,659,611	282,384,791
Supranationals Green Bonds	25,000,000	25,000,000
Total	8,977,141,112	8,492,284,710



Amounts are based on book value



\*Not Rated by Moody's but A-1+ by S&P

\*\*Repurchase Agreements are not rated, but are collateralized by U.S. Treasury securities or securities issued by the Federal Agencies of the U.S.

~

\*\*\*LAIF is not rated, but is comprised of State Code allowable securities

Amounts are based on book values



Santa Clara County Commingled Pool Holdings by Issuer - Percent of Commingled Pool

#### 14% 12.9% 12% 10% 8% June 30, 2020 %9 4% 2% %0 BLACKKROCK TREASURY LID FUND Dreyfus Governinger Lags Marrie Nat L'SEOCICEANNES 8020 JUNE Nat L'SEOCICEANNES 8020 LORIO Canadian Brink BC COMAI NY Canadian Brink BC BANK OF NOVA SCOTIA HOUSTON Walt District Of Modeny EXECON MODEN SAN FRANCISCACHPITAL CORP SAN FRANCISCACHT CA MORGAN STANLEY TRS FIRE FLI 8364 FHLMC MUFG Mitsubishi BANK UTD/ Credit Suisse VOLKSWAGEN AUTO RECEIVABLES OWN JOHNSON & JOHNSON & JOHNS Discover Card Excition No ALIV AUG ERECEVINELS FIL ALIV AUG RECEVINELS FIL ALIV AUG RECEVINELS FIL VISSAN AUTO VALESE FIL VOREYUTER O'ADALEYER FIL Harley Davides Faster Dis BANW VEHICLE LEASE PRU BANW VEHICLE LEASE PRU BANW VEHICLE LEASE PRU MERCEBIES - BENZ AUTO RECEBIES - BENZ AUTO REC RE BINW VEHICLE OWNER - REA Norder BENK & GAN BANK OF MONTREAL CHIC INTL BANK RECON & DE BANK OF AMERICA CREDIT FARMER PRIVATE EXPORT FUND CORPE BERKSHIRE H CHASTLIEE SUARCE TREN CHASTLIEE SUARCE TREN VERIZON OWNEE HONDA AUTO RECEIVABLES OV LOCAL AGENCYINE CONNECT BANK OF ANNER TOVOTA AUTO REC OWNER Capital One Multi Execu TORONTO DOMINION HOL MERCEDES-BENZ AUTO

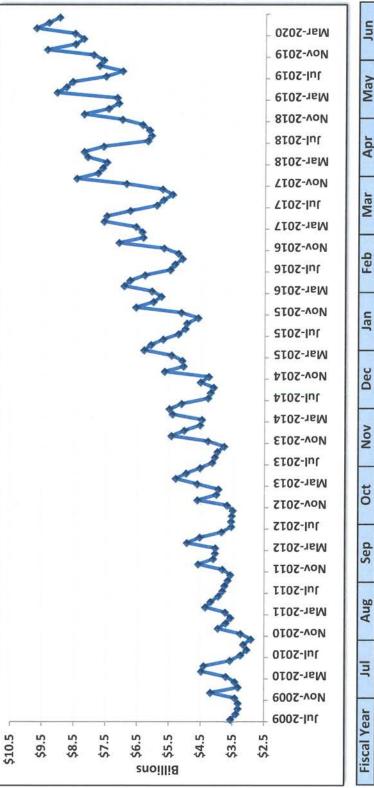
Amounts are based on book values



# Santa Clara County Commingled Pool

## **Historical Month End Book Values**

June 30, 2020



Fiscal Year	Int	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
FY 2010	\$3.541	\$3.373	\$3.307	\$3.307	\$3.408	\$4.175	\$3.307	\$3.408	\$3.687	\$4.463	\$4.384	\$3.536
FY 2011	\$3.230	\$3.032	\$3.143	\$2.898	\$3.227	\$3.943	\$3.695	\$3.551	\$3.712	\$4.339	\$4.179	\$3.935
FY 2012	\$3.801	\$3.736	\$3.637	\$3.555	\$3.805	\$4.567	\$4.097	\$4.040	\$4.032	\$4.926	\$4.525	\$3.833
FY 2013	\$3.508	\$3.517	\$3.515	\$3.469	\$3.645	\$4.600	\$3.918	\$3.982	\$4.606	\$5.286	\$4.952	\$4.521
FY 2014	\$4.133	\$4.052	\$3.975	\$3.758	\$4.271	\$5.419	\$5.019	\$4.520	\$4.461	\$5.386	\$5.487	\$5.108
FY 2015	\$4.267	\$4.194	\$4.096	\$4.051	\$4.247	\$5.639	\$5.045	\$5.085	\$5.420	\$6.284	\$6.065	\$5.690
FY 2016	\$5.212	\$4.990	\$4.941	\$4.587	\$5.120	\$6.543	\$5.997	\$5.752	\$6.040	\$6.911	\$6.728	\$6.263
FY 2017	\$5.469	\$5.328	\$5.088	\$5.220	\$5.671	\$7.082	\$6.319	\$6.348	\$6.550	\$7.556	\$7.469	\$6.730
FY 2018	\$5.898	\$5.689	\$5.408	\$5.720	\$6.850	\$8.427	\$7.754	\$7.608	\$7.472	\$8.079	\$8.192	\$7.584
FY 2019	\$6.180	\$6.068	\$6.127	\$6.350	\$6.987	\$8.199	\$7.425	\$7.105	\$7.151	\$9.054	\$8.767	\$8.565
FY 2020	\$7.510	\$6.984	\$7.179	\$7.583	\$7.909	\$9.363	\$8.487	\$8.219	\$8.494	\$9.705	\$9.321	\$8.977
Amounte in hillione												

Amounts in billions

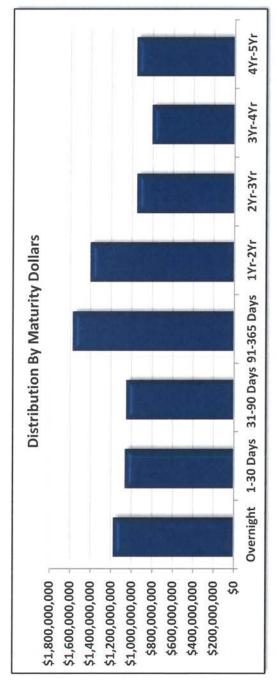


# Santa Clara County Commingled Pool

## **Distribution by Maturity**

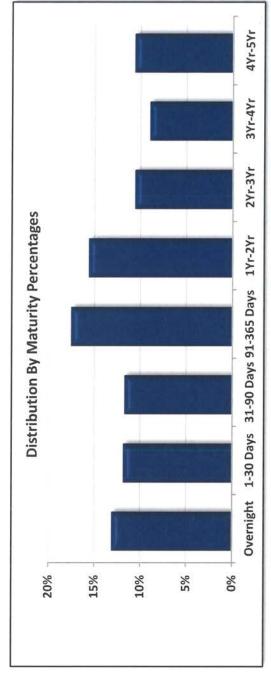
June 30, 2020

Maturity	Amount*
Overnight	1,175,327,724
1-30 Days	1,061,645,800
31-90 Days	1,050,718,907
91-365 Days	1,573,332,765
1Yr-2Yr	1,401,011,211
2Yr-3Yr	951,651,785
3Yr-4Yr	807,146,776
4Yr-5Yr	956,306,144
	8,977,141,112



Maturity	Amount*
Overnight	13.09%
1-30 Days	11.83%
31-90 Days	11.70%
91-365 Days	17.53%
1Yr-2Yr	15.61%
2Yr-3Yr	10.60%
3Yr-4Yr	8.99%
4Yr-5Yr	10.65%
	100.00%

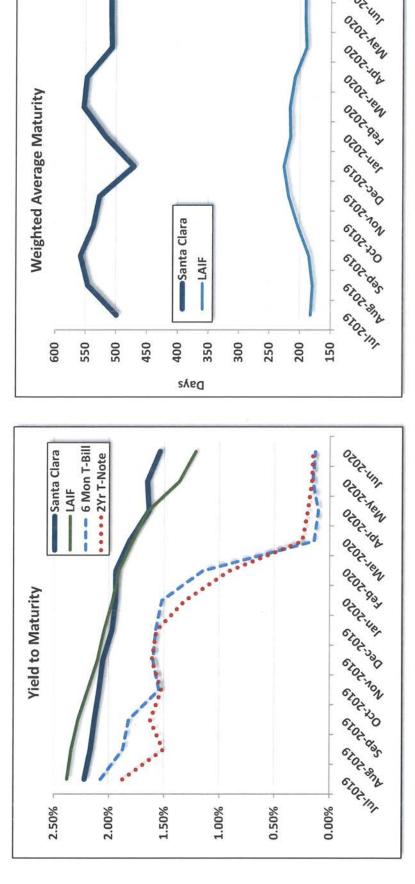
\*Amounts are based on book value





# **Yield to Maturity and Weighted Average Maturity**

June 30, 2020



Item	Jul-19	Jul-19 Aug-19	Sep-19	Oct-19	Nov-19	Dec-19	Jan-20	Feb-20	Mar-20	Apr-20	May-20	Jun-20
SCC YTM	2.22%	2.17%	2.13%	2.09%	2.05%	1.97%	1.94%	1.94%	1.81%	1.64%	1.65%	1.54%
LAIF YTM	2.38%	2.34%	2.28%	2.19%	2.10%	2.04%	1.97%	1.91%	1.79%	1.65%	1.36%	1.22%
6 Mon T-Bill	2.07%	1.87%	1.82%	1.55%	1.60%	1.58%	1.52%	1.15%	0.14%	0.10%	0.15%	0.13%
2Yr T-Note	1.87%	1.51%	1.62%	1.52%	1.61%	1.57%	1.31%	0.91%	0.25%	0.20%	0.16%	0.15%
SCC WAM	499	546	558	537	527	471	517	553	548	507	508	508
LAIF WAM	182	179	185	203	218	226	215	216	208	189	191	191

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## Approved Issuers and Broker/Dealers

#### June 30, 2020

Direct Commercial Paper Issuers Toyota Motor Credit US Bank, NA

### **Broker/Dealers**

BOK Financial Securities (Bank of Oklahoma) BNY Mellon Capital Markets, LLC Daiwa Capital Markets America Inc Bank of America Merrill Lynch Citigroup Global Markets Inc Deutsche Bank Securities Inc Keybanc Capital Markets, Inc **BNP Paribas Securities Corp** Mizuho Securities USA, Inc Academy Securities, Inc Loop Capital Markets LLC **MUFG Securities USA LLC** JP Morgan Securities, Inc **UBS Financial Serviec Inc** RBC Capital Markets, Inc Cantor Fitzgerald & Co **BMO Capital Markets** Raymond James, Inc. Barclays Capital, Inc Brean Capital LLC Vining Sparks LP Jefferies & Co Incapital LLC



Williams Capital

	In Compliance	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Vac	Yes	Yes	Yes	Yes	Yes	Yes, None in Portfolio	Yes, None in Portfolio
Santa Clara County Commingled Pool Compliance with Investment Policy June 30, 2020	Parameters	Weighted Average Maturity (WAM) must be less than 24 months	Securities must pay interest within one year of the initial investment and at least semiannually in subsequent years	Similar maturity swaps, so as not to affect cash flow needs, should have minimum 5 basis point gain	No more than 5% of the portfolio shall be invested in aggregate of any single institution of the following types: Bankers Acceptances, CP, Negotiable CDs, and Corporate Notes	No sector limit, no issuer limit, max maturity 5 years	No sector limit, no issuer limit, max maturity 5 years	No sector limit, no issuer limit, CA State's deposit limit \$65 million	No sector limit, no Issuer limit, max maturity 92 days, treasury and agency collateral at 102% of investment, if maturity exceeds 15 days, must be collateralized by securities with 5 years or less maturities	Sector limit 40%, issuer limit 5%, max maturity 270 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by domestic corporation w/ at least \$500 mil of assets, and long term debt rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Sector limit 30%, issuer limit 5%, max maturity 5 years, rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's), issued by domestic corps/depositories	Sector limit 20%, issuer limit 10%, rated by at least two: AAA-m (S&P/Fitch)/Aaa-mf (Moody's), MMF has at least \$500 mil managed	Sector limit 30%, issuer limit 5%, max maturity 5 years, if under 1 year rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), if greater than 1 year rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Sector limit 10%, no issuer limit, State of CA, local CA agencies, and other municipal securities of the other 49 states, if long- term rated, then by at least two: A- (S&P/Fitch)/A3 (Moody's), if short-term rated, then by at least two: SP-1 (S&P), MIG-1 (Moody's), F-1 (Fitch), revenue based bonds payable solely out of the States' or local agencies' revenues	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of conforming residential mortgages guaranteed by FHA (GNMA)	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of loans such as installment/receivables, security must be rated by at least two: AA- (S&P/Fitch), Aa3 (Moody's), issuer rated by at least two: A- (S&P/Fitch), A3 (Moody's), issuer rated by at least two: A-	Sector limit 10%, max maturity 5 years, issued or unconditionally gauranteed by the IBRD, rated by at least two: AAA (S&P/Fitch), Aaa (Moody's)	Sector limit 40%, issuer limit 5%, max maturity 180 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by commercial banks , collateral must exceed market value of security by 2%	Sector limit 20%, max maturity 92 days for loans and reinvestment, loan counterparty must be a primary dealer, loaned securities must be owned for at least 30 days
	Item/Sector	Maturity	Interest Periods	Investment Swaps	Issuer Limits	U.S. Treasuries	U.S. Federal Agencies	LAIF	Repurchase Agreements	Commercial Paper	Corporate Bonds	Money Market Funds	Negotiable Certificates of Deposit	Municipal Securities	Mortgage-Backed Securities	Asset-Backed Securities	Supranational Debt Obligations	Bankers' Acceptances	Securities Lending



# Santa Clara County Commingled Pool

Allocation by Security Types For the Month Ended April 30, 2020

Average Daily Balance	ŝ	9,468,018,588.96
ook Yield		1.639%
leighted Average Maturity		507 Days

AGY, 35.7%

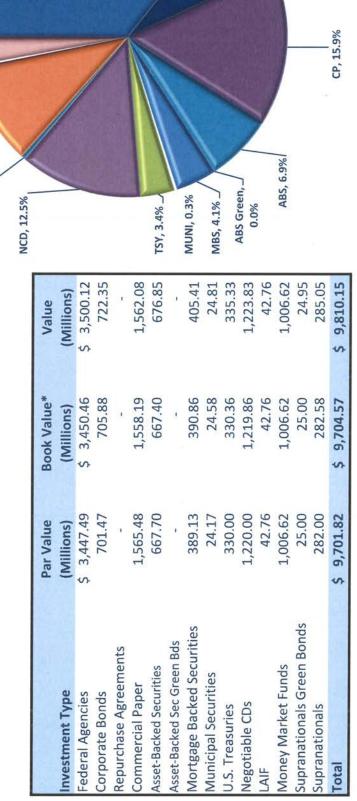
Supras, 2.9%

Supras Green Bonds, 0.3%

MMF, 10.3%

LAIF, 0.4%.

Asset Allocation By Market Value



\*Represents Amortized Book Value

CORP, 7.4%

\_ REPO, 0.0%



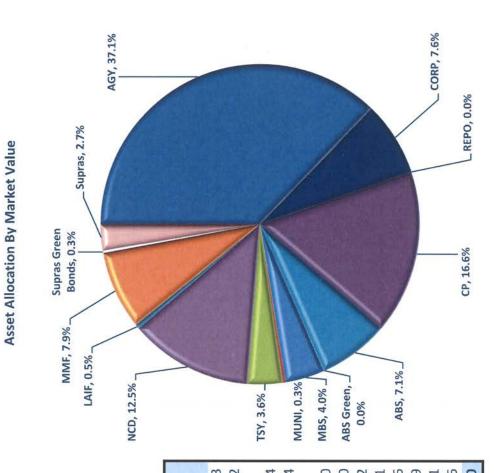
Allocation by Security Types For the Month Ended May 31, 2020

Average Daily Balance	ŝ	9,466,232,608.16
3ook Yield		1.654%
<b>Neighted Average Maturity</b>		508 Days

	Par Value	Book Value*	Value
Investment Type	(Millions)	(Millions)	(Millions)
Federal Agencies	\$ 3,446.19	\$ 3,449.58	\$ 3,498.73
Corporate Bonds	691.47	696.10	716.82
Repurchase Agreements	т	1	1
Commercial Paper	1,565.48	1,560.27	1,563.44
Asset-Backed Securities	660.84	660.54	673.04
Asset-Backed Sec Green Bds	ı	1	'
Mortgage Backed Securities	358.93	360.93	374.30
Municipal Securities	25.42	25.93	26.30
U.S. Treasuries	330.00	330.49	335.12
Negotiable CDs	1,175.00	1,174.88	1,178.51
LAIF	42.76	42.76	42.76
Money Market Funds	746.99	746.99	746.99
Supranationals Green Bonds	25.00	25.00	25.01
Supranationals	247.00	247.64	250.16
Total	\$ 9,315.07	\$ 9,321.12	\$ 9,431.20







CUSIP	Investment #	lssuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM Maturity عوج Date	Maturity Days To Date Maturity
Negotiable CDs										
06052TH94	40256	BANK OF AMERICA CORP	01/16/2020	40,000,000.00	40,000,000.00	40,076,240.00	1.770	1.770	1.794 08/10/2020	40
06367BBX6	40118	BANK OF MONTREAL CHICAGO	12/09/2019	50,000,000.00	50,000,000.00	50,069,800.00	1.800	1.800		
06367BJT7	40384	BANK OF MONTREAL CHICAGO	03/16/2020	25,000,000.00	25,000,000.00	25,137,275.00	1.250	1.250		(1
05586FWT0	40465	BNP PARIBAS NY BRANCH	04/16/2020	50,000,000.00	50,000,000.00	50,086,166.50	0.840	0.840	0.851 10/16/2020	•
06417MEF8	39984	BANK OF NOVA SCOTIA HOUSTON	10/29/2019	50,000,000.00	50,000,000.00	50,072,800.00	1.880	1.880	1.906 07/30/2020	
06417MHH1	40407	BANK OF NOVA SCOTIA HOUSTON	03/20/2020	9,892,703.18	10,000,000.00	10,002,428.60	0.525	2.504	2.538 02/10/202	~
06417MJA4	40441	BANK OF NOVA SCOTIA HOUSTON	04/07/2020	45,000,000.00	45,000,000.00	45,039,465.00	1.150	1.149	1.165 07/31/2020	30
22535CJM4	40075	Credit Agricole CIB NY	11/25/2019	50,000,000.00	50,000,000.00	50,056,350.00	1.820	1.795	1.820 07/24/2020	) 23
13606B7L4	40004	Canadian IMP BK COMM NY	11/01/2019	75,000,000.00	75,000,000.00	75,060,000.00	1.830	1.830	1.855 07/17/2020	
13606C2P8	40061	Canadian IMP BK COMM NY	11/20/2019	50,000,000.00	50,000,000.00	50,119,550.00	1.800	1.800	1.825 08/28/2020	58
17305TM25	40107	CITI BANK NA	12/06/2019	30,000,000.00	30,000,000.00	30,014,550.00	1.840	1.840	1.865 07/10/2020	6
17305TN65	40127	CITI BANK NA	12/10/2019	45,000,000.00	45,000,000.00	45,071,865.00	1.830	1.830	1.855 08/03/2020	33
22549LC37	39990	Credit Suisse NY	10/30/2019	50,000,000.00	50,000,000.00	50,072,500.00	1.910	1.909	1.936 07/31/2020	30
22549LZ24	40439	Credit Suisse NY	04/07/2020	50,000,000.00	50,000,000.00	50,062,400.00	1.250	1.250	1.267 08/14/2020	) 44
55379W5A0	39994	MUFG Mitsubishi BANK LTD/NY	10/30/2019	50,000,000.00	50,000,000.00	50,058,450.00	1.920	1.920	1.946 07/24/2020	0 23
55380TCG3	40452	MUFG Mitsubishi BANK LTD/NY	04/09/2020	50,000,000.00	50,000,000.00	50,147,950.00	1.180	1.180	1.196 10/30/2020	121
65558TWX9	40466	Nordea Bank Finland NY	04/16/2020	30,000,000.00	30,000,000.00	30,134,100.00	1.050	1.050	1.064 01/22/2021	1 205
21684XDR4	40225	RABOBANK	01/08/2020	50,000,000.00	50,000,000.00	50,072,200.00	1.780	1.780	1.804 07/31/2020	30
21684XDW3	40304	RABOBANK	02/03/2020	50,000,000.00	50,000,000.00	50,004,300.00	1.640	1.640	1.662 07/02/2020	1
78012USC0	40122	Royal Bank of Canada NY	12/10/2019	50,000,000.00	50,000,000.00	50,075,700.00	1.820	1.820	1.845 07/31/2020	30
78012USE6	40133	Royal Bank of Canada NY	12/11/2019	25,000,000.00	25,000,000.00	25,029,300.00	1.820	1.796	1.821 07/24/2020	) 23
78012USR7	40257	Royal Bank of Canada NY	01/16/2020	50,000,000.00	50,000,000.00	50,008,250.00	0.325	0.779	0.790 10/16/2020	107
90275DLL2	40438	UBS AMERICA	04/07/2020	50,000,000.00	50,000,000.00	50,334,300.00	1.520	1.499	1.520 01/29/2021	212
90275DKW9	40432	UBS AG STAMFORD CT	03/30/2020	50,000,000.00	50,000,000.00	50,433,700.00	1.900	1.900	1.926 01/22/202	205
		Subtota	Subtotal and Average	1,074,892,703.18	1,075,000,000.00	1,077,239,640.10		1.600	1.622	67
Mortgage Backed Securities (MBS)	Securities (MBS)									
3137AYCE9	38387	FHLMC Multi-Family	01/12/2018	20,157,000.00	20,000,000.00	20,847,090.00	2.682	2.443	2.477 10/25/2022	2 846

SANTA CLARA COUNTY INVESTMENTS Fund COMM - COMMINGLED POOL Investments by Fund June 30, 2020

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2.477 10/25/2022 10/25/2022 08/25/2022 02/25/2023

2.443 2.454 3.155 1.706

20,847,090.00 7,817,658.75 5,759,034.30 21,269,212.00

20,000,000.00 7,500,000.00 5,580,000.00 20,000,000.00

> 7,555,078.13 5,460,553.13

20,345,312.50

01/12/2018 01/16/2018 02/22/2018 03/20/2018

> FHLMC Nulti-Family FHLMC Nulti-Family FHLMC Nulti-Family

38391 38465

38643

3137AWQH1

3137B36J2

3137AYCE9

2.488 3.198 1.730

2.682 2.682 2.307

3.316

										1	
cusip	Investment #	suer	Purchase	Book Value	Par Value	Market Value	Current	ΥTM		Maturity Days To	s To
Mortgage Backe	Mortgage Backed Securities (MBS)						Kale	360	365	Date maturity	urity
3137AYCE9	38666	FHLMC Multi-Family	04/17/2018	11,616,539.06	11.745.000.00	12.242 453 60	2 682	2 879	2 919 11	10/25/2022	846
3137AYCE9	38744	FHLMC Multi-Family	06/05/2018	19,788,281.25	20,000,000.00	20,847,090.00	2.682	2.877		10/25/2022	846
3137B4WB8	38854	FHLMC Multi-Family	09/12/2018	4,768,285.16	4,775,000.00	5,100,035.21	3.060	2.968	-	07/25/2023	1,119
3137B5JM6	38864	FHLMC Multi-Family	09/25/2018	10,135,937.50	10,000,000.00	10,819,224.00	3.531	2.710	2.748 0	07/25/2023	1,119
3137B3NA2	38945	FHLMC Multi-Family	11/02/2018	10,605,782.00	10,605,782.00	11,287,994.68	3.250	3.173	3.217 0.	04/25/2023	1,028
3137B5KW2	39026	FHLMC Multi-Family	12/03/2018	10,092,187.50	10,000,000.00	10,806,683.00	3.458	3.164	3.208 0	08/25/2023	1,150
3137B04Y7	39295	FHLMC Multi-Family	03/11/2019	26,755,312.50	27,000,000.00	28,319,225.40	2.615	2.792	2.831 0	01/25/2023	938
3137BP4J5	39654	FHLMC Multi-Family	07/01/2019	20,649,294.22	20,366,863.14	21,329,539.59	2.446	1.911	1.938 0	03/25/2026	2,093
3137BUWM6	40385	FHLMC Multi-Family	03/16/2020	15,385,283.87	14,744,806.35	15,593,768.07	2.932	1.185	1.202 0	01/25/2023	938
3137BHCY1	40390	FHLMC Multi-Family	03/17/2020	11,331,300.00	10,590,000.00	11,527,668.25	2.811	1.026	1.040 0	01/25/2025	1,669
3138LAYM5	38477	FNMA Multi-Family	02/27/2018	3,398,481.98	3,437,149.92	3,540,410.81	2.550	2.795	2.834 0	09/01/2022	792
3136B1XP4	38664	FNMA Multi-Family	04/30/2018	2,512,552.10	2,463,549.63	2,495,554.84	3.560	2.746	2.784 0	09/25/2021	451
3136B1XP4	38665	FNMA Multi-Family	04/30/2018	2,512,552.10	2,463,549.63	2,495,554.84	3.560	2.746	2.784 0	09/25/2021	451
31381TYT1	39150	FNMA Multi-Family	01/14/2019	28,986,955.44	29,042,544.68	29,923,290.92	2.750	2.670	2.707 0:	03/01/2022	608
31381RZ23	39158	FNMA Multi-Family	01/16/2019	14,446,835.22	14,122,999.26	14,410,476.58	3.840	2.825	2.865 0	08/01/2021	396
31381RLL6	39218	FNMA Multi-Family	02/07/2019	11,819,727.39	11,572,013.96	11,781,091.90	3.840	2.833	2.872 0	07/01/2021	365
31381RLL6	39219	FNMA Multi-Family	02/07/2019	1,972,985.25	1,931,636.16	1,966,536.09	3.840	2.833	2.872 0	07/01/2021	365
3138LGKH8	39319	FNMA Multi-Family	03/19/2019	24,609,375.00	25,000,000.00	26,224,369.50	2.470	2.794	2.833 0	01/01/2024	1,279
3138EKX67	39457	FNMA Multi-Family	04/18/2019	10,631,079.74	10,674,026.01	11,088,300.97	2.513	2.578	2.614 0	03/01/2023	973
3138LEYD7	39587	FNMA Multi-Family	05/30/2019	18,214,581.12	18,544,912.38	19,351,706.01	1.970	2.656	2.693 1	11/01/2023	1,218
3138LGFF8	39609	FNMA Multi-Family	06/11/2019	4,976,953.13	5,000,000.00	5,103,953.25	2.150	2.249	2.280 0	01/01/2024	1,279
3138L2BU0	39632	FNMA Multi-Family	06/24/2019	6,691,503.37	6,699,878.21	6,695,189.90	2.310	2.315	2.347 1:	12/01/2022	883
3138L0U90	39734	FNMA Multi-Family	08/13/2019	5,085,937.50	5,000,000.00	5,167,483.10	2.590	1.983	2.011 0	09/01/2022	792
31381VBJ3	39735	FNMA Multi-Family	08/13/2019	5,070,735.42	4,968,264.96	5,124,523.55	2.830	2.010	2.038 0	06/01/2022	700
3138L0RM5	39844	FNMA Multi-Family	09/13/2019	8,755,951.12	8,697,177.23	8,932,768.63	2.135	1.872	1.898 1	11/01/2022	853
3136A9MN5	40056	FNMA Multi-Family	11/19/2019	8,474,461.78	8,390,556.23	8,603,311.37	2.301	1.834	1.859 0	09/25/2022	816
3138L0RC7	40293	FNMA Multi-Family	01/28/2020	6,770,646.71	6,676,754.85	6,886,457.16	2.350	1.778	1.803 1	11/01/2022	853
3136AXVB8	40511	FNMA Multi-Family	06/17/2020	17,995,248.08	16,808,171.00	17,692,845.55	2.646	0.677	0.687 0	07/25/2024	1,485
3138L0RM5A	40517	FNMA Multi-Family	06/24/2020	924,795.71	894,805.73	919,044.46	2.135	-0.699	-0.708 1	11/01/2022	853
			Subtotal and Average	378,497,504.98	375,295,441.33	391,969,546.28	1	2.349	2.382		1,003

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CUSIP	Investment #	lssuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	УТМ 360	YTM Mati 365 I	Maturity Days To Date Maturity
Federal Agency Bonds	/ Bonds									
31422BWJ5	40391	FARMER MAC	03/17/2020	20,000,000.00	20,000,000.00	19,992,920.40	0.190	0.190	0.192 04/01	04/01/2022
3133EGWH4	37018	FFCB NOTES	09/30/2016	10,002,340.86	10,000,000.00	10,135,275.90	1.280	1.243	1.260 09/29/2021	/2021
3133EGT47	37194	FFCB NOTES	12/08/2016	10,000,000.00	10,000,000.00	10,258,774.80	2.010	1.982	2.010 12/08/2021	/2021
3133EG5D3	37378	FFCB NOTES	01/27/2017	5,000,000.00	5,000,000.00	5,142,451.45	2.030	2.002	2.030 01/27	01/27/2022
3133EHHG1	37761	FFCB NOTES	05/03/2017	5,000,000.00	5,000,000.00	5,059,915.10	1.750	1.726	1.750 05/03/2021	/2021
3133EHHG1	37762	FFCB NOTES	05/03/2017	10,000,000.00	10,000,000.00	10,119,830.20	1.750	1.726	1.750 05/03/202	/2021
3133EHKH5	37841	FFCB NOTES	05/23/2017	12,000,192.21	12,000,000.00	12,015,360.72	1.570	1.522	1.543 07/23	07/23/2020
3133EHWM1	38024	FFCB NOTES	09/01/2017	3,001,785.00	3,000,000.00	3,052,816.77	1.700	1.624	1.647 09/01/202	/2021
3133EHWM1	38025	FFCB NOTES	09/01/2017	5,000,000.00	5,000,000.00	5,088,027.95	1.700	1.676	1.700 09/01/202	/2021
3133EHWM1	38026	FFCB NOTES	09/01/2017	7,004,552.92	7,000,000.00	7,123,239.13	1.700	1.619	1.642 09/01/202	/2021
3133EHZA4	38046	FFCB NOTES	09/20/2017	9,990,640.76	10,000,000.00	10,178,747.30	1.660	1.715	1.739 09/20	09/20/2021
3133EHJ95	38081	FFCB NOTES	10/26/2017	9,999,627.31	10,000,000.00	10,050,128.70	1.750	1.737	1.762 10/26	10/26/2020
3133EHJ95	38082	FFCB NOTES	10/26/2017	4,999,520.83	5,000,000.00	5,025,064.35	1.750	1.756	1.780 10/26	10/26/2020
3133EHP31	38126	FFCB NOTES	11/10/2017	9,974,329.89	9,975,000.00	10,208,359.64	1.950	1.928	1.955 11/02	11/02/2021
3133EHW58	38169	FFCB NOTES	11/27/2017	14,999,391.67	15,000,000.00	15,106,896.90	1.900	1.943	1.970 11/27	11/27/2020
3133EHW58	38204	FFCB NOTES	12/01/2017	21,543,069.95	21,550,000.00	21,703,575.21	1.900	1.954	1.982 11/27	11/27/2020
3133EJGH6	38506	FFCB NOTES	03/15/2018	23,000,720.17	23,000,000.00	23,161,832.37	2.440	2.395	2.429 10/15	10/15/2020
3133EJPX1	38718	FFCB NOTES	05/21/2018	4,988,106.36	5,000,000.00	5,319,200.70	2.875	3.253	3.299 12/21	12/21/2022
3133EJTT6	38837	FFCB NOTES	07/05/2018	4,999,141.33	5,000,000.00	5,062,118.70	2.625	2.623	2.659 01/05/2021	/2021
3133EJTT6	38838	FFCB NOTES	07/05/2018	4,999,141.33	5,000,000.00	5,062,118.70	2.625	2.623	2.659 01/05	01/05/2021
3133EJZH5	38878	FFCB NOTES	10/05/2018	13,931,110.52	14,000,000.00	14,777,088.76	2.800	2.997	3.038 09/13	09/13/2022
3133EJK24	38943	FFCB NOTES	11/01/2018	14,999,079.78	15,000,000.00	15,546,775.35	3.000	2.963	3.004 10/19	10/19/2021
3133EJW70	38999	FFCB NOTES	11/26/2018	34,989,509.72	35,000,000.00	35,849,110.15	2.875	2.869	2.909 05/26	05/26/2021
3133EJW70	39023	FFCB NOTES	11/30/2018	26,815,659.15	26,825,000.00	27,475,782.28	2.875	2.875	2.915 05/26	05/26/2021
3133EJ3B3	39075	FFCB NOTES	12/17/2018	9,993,863.33	10,000,000.00	10,378,722.50	2.800	2.805	2.844 12/17	2/17/2021
3133EJY60	39105	FFCB NOTES	12/24/2018	12,567,814.94	12,500,000.00	13,405,740.25	3.020	2.765	2.803 03/03	3/03/2023
3133EJ3B3	39108	FFCB NOTES	12/24/2018	4,304,026.13	4,300,000.00	4,462,850.68	2.800	2.695	2.732 12/17	12/17/2021
3133EJ4Q9	39157	FFCB NOTES	01/16/2019	19,995,057.34	20,000,000.00	20,227,215.80	2.550	2.562	2.598 01/11	01/11/2021
3133EJ5V7	39165	FFCB NOTES	01/18/2019	14,856,433.93	14,875,000.00	15,756,079.72	2.625	2.640	2.676 01/18	01/18/2023
3133EJ5P0	39173	FFCB NOTES	01/25/2019	9,992,265.33	10,000,000.00	10,370,510.80	2.600	2.615	2.652 01/18	01/18/2022
3133EKCS3	39296	FFCB NOTES	03/11/2019	20,005,833.33	20,000,000.00	20,319,064.40	2.550	2.472	2.506 03/11	03/11/2021
3133EKEW2	30357		0100100							

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Internal Agency Forcis         Internal Agency Forcis<	cUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YТМ 360	YTM Maturity Days To 365 Date Maturity	urity Days To Date Maturity
9966         FFCB NOTES         06222019         9.956.466.4         9.956.466.1         9.956.466.1         2.00         2.01 <th2.01< th=""> <th2.01< th="">         2.01</th2.01<></th2.01<>	Federal Agenc	y Bonds									
3954)         FFGB MOTES         060/20/19         000/20/14         00000000         0102/20/16         2200         2315         2135         2135         2135         2135         2135         2135         2135         2135         2135         2135         1231         2135         1231         2135         1231         2135         1231	3133EKLA2	39565	FFCB NOTES	05/22/2019	9,595,466.63	9,590,000.00	10,136,400.13	2.300	2.247	2.279 05/15/2023	1,048
3650         FFC8 NOTES         0662/019         9995/61.23         10000000         10401713.0         1713         173	3133EKNR3	39594	FFCB NOTES	06/03/2019	10,003,011.48	10,000,000.00	10,373,054.80	2.200	2.153	2.183 06/03/2022	702
3669         FTCB NOTES         07172019         20014255         200000000         0.2364046.20         2125         1291         1291          3701         FTCB NOTES         07172019         11,250,00000         0.236645.00         1295         1296         1201          3771         FTCB NOTES         071782019         11,250,00000         12560,0000         12569,560         1291         1291         1201           3771         FTCB NOTES         1211/2019         1494,7800         14,600,0000         53.689,560         1560         1560         1561         156	3133EKSN7	39639	FFCB NOTES	06/26/2019	9,969,616.32	10,000,000.00	10,440,713.30	1.770	1.850	-	1,090
3903         FFCB NOTES         07180209         11,231,0200         11,230,0700         1573         158         156 <t< td=""><td>3133EKPS9</td><td>39689</td><td>FFCB NOTES</td><td>07/17/2019</td><td>20,031,623.53</td><td>20,000,000.00</td><td>20,360,246.20</td><td>2.125</td><td>1.924</td><td>-</td><td>341</td></t<>	3133EKPS9	39689	FFCB NOTES	07/17/2019	20,031,623.53	20,000,000.00	20,360,246.20	2.125	1.924	-	341
3701         FFCB NOTES         0722009         1498.47.34         15.00.0000         15.258.66.5.0         1875         1896	3133EKVD5	39693	FFCB NOTES	07/18/2019	11,241,923.50	11,250,000.00	11,539,107.00	1.875	1.896	-	566
39751         FFCB NOTES         00/19/2019         19/66,313.6         20.0000000         20.305,93.3.0         1550         1550         1556         1557         1556         1556         1556         1556         1556         1556         1556         1556         1556         1556         1556         1556         1556         1557         1556 <td>3133EKVC7</td> <td>39701</td> <td>FFCB NOTES</td> <td>07/22/2019</td> <td>14,998,497.49</td> <td>15,000,000.00</td> <td>15,258,605.40</td> <td>1.875</td> <td>1.858</td> <td>1.884 07/19/2021</td> <td>383</td>	3133EKVC7	39701	FFCB NOTES	07/22/2019	14,998,497.49	15,000,000.00	15,258,605.40	1.875	1.858	1.884 07/19/2021	383
4013         FFCB NOTES         12/11/2019         14,44,760.00         14,450.0000         15,088,778.00         1550         1651         1653 <td>3133EKZV1</td> <td>39751</td> <td>FFCB NOTES</td> <td>08/19/2019</td> <td>19,995,933.05</td> <td>20,000,000.00</td> <td>20,305,993.60</td> <td>1.550</td> <td>1.546</td> <td>-</td> <td>411</td>	3133EKZV1	39751	FFCB NOTES	08/19/2019	19,995,933.05	20,000,000.00	20,305,993.60	1.550	1.546	-	411
40136         FFGB NOTES         11/1/2/19         197/6/179.20         20.000.0000         20.56/6/42.40         1.457         1.459         1.469           40162         FFGB NOTES         11/1/2/19         197/6/179.20         24.998,92.77         25.600.00000         25.66/9.975.50         1.430         1.697         1.637           40164         FFGB NOTES         12/1/6/2019         24.998,92.77         25.000.00000         25.66/9.975.50         1.630         1.697         1.632         1.637	3133ELCS1	40135	FFCB NOTES	12/11/2019	14,404,780.00	14,450,000.00	15,088,778.00	1.550	1.615	1.638 03/11/2024	1,349
4012         FFCB NOTES         1216/2019         24,988,92.79         25,000,0000         25,669,975.50         1,500         1,607         1,623           40163         FFCB NOTES         1216/2019         24,998,92.773         25,000,00000         25,669,975.50         1,500         1,607         1,623           40164         FFCB NOTES         1216/2019         24,998,92.713         25,000,00000         25,669,975.50         1,600         1,623         1,607         1,623           40261         FFCB NOTES         0/121/2020         24,998,92.713         30,000,00000         25,669,975.50         1,600         1,623         1,607         1,623         1,607         1,623         1,607         1,623         1,607         1,623         1,607         1,623         1,607         1,623         1,603         1,623         1,603         1,623         1,603         1,623         1,603         1,623         1,603         1,623         1,623         1,633 <th1< td=""><td>3133ELAE4</td><td>40136</td><td>FFCB NOTES</td><td>12/11/2019</td><td>19,976,179.20</td><td>20,000,000.00</td><td>20,580,642.40</td><td>1.625</td><td>1.659</td><td>1.682 08/22/2022</td><td>782</td></th1<>	3133ELAE4	40136	FFCB NOTES	12/11/2019	19,976,179.20	20,000,000.00	20,580,642.40	1.625	1.659	1.682 08/22/2022	782
4013         FFCB NOTES         1216/2019         24,948,962.79         25,000,0000         25,669.75.50         1.630         1.690         1.632           40264         FFCB NOTES         12/16/2019         24,998,962.79         25,000,0000         25,669.75.50         1.630         1.690         1.632           40264         FFCB NOTES         01/21/2020         29,990,271.78         25,000,0000         25,568.975.25         1.600         1.630         1.607         1.630         1.607         1.630         1.607         1.630         1.607         1.632         1.607         1.630         1.607         1.632         1.607         1.632         1.607         1.630         1.607         1.632         1.607         1.632         1.607         1.632         1.607         1.632         1.607         1.632         1.607         1.632         1.607         1.637         1.607         1.637         1.607         1.637         1.607         1.637         1.607         1.632         1.607         1.632         1.607         1.637         1.607         1.637         1.607         1.637         1.607         1.637         1.607         1.637         1.607         1.637         1.607         1.637         1.607         1.637         1.607 </td <td>3133ELDK7</td> <td>40162</td> <td>FFCB NOTES</td> <td>12/16/2019</td> <td>24,998,962.79</td> <td>25,000,000.00</td> <td>25,669,975.50</td> <td>1.630</td> <td>1.609</td> <td>1.632 06/15/2022</td> <td>714</td>	3133ELDK7	40162	FFCB NOTES	12/16/2019	24,998,962.79	25,000,000.00	25,669,975.50	1.630	1.609	1.632 06/15/2022	714
4014         FTCB NOTES         12/16/2019         24,983,92.79         25,000,0000         25,6697550         16.30         16.97         16.32           40267         FFCB NOTES         04277/2020         24,997,277.38         25,000,0000         25,669,975.50         16.30         16.40	3133ELDK7	40163	FFCB NOTES	12/16/2019	24,998,962.79	25,000,000.00	25,669,975.50	1.630	1.609	1.632 06/15/2022	714
40267         FFCB NOTES         01/12/12020         24,97/27778         25,000,0000         25,538,795         1600         1585         1607         1585         1607         1585         1607         1585         1607         1585         1607         1585         1607         1585         1607         1585         1607         1585         1607         1585         1607         1587         1607         1587         1607         1587         1607         1587         1469         1476         1470         1470         1470         1470         1470         1470         1470         1471         1472         1480         1472         1480         1475         1470         1472         1480         1472         1480         1475         1470         1511           36210         FHLB NOTES         10/19/2015         5.001,41387         5.000,0000         5.006,40475         1330         1472         1480         1717         1471         1471         1471         1471         1471         1471         1471         1471         1471         1471         1471         1471         1471         1471         1471         1471         1471         1471         14711         1471         1471	3133ELDK7	40164	FFCB NOTES	12/16/2019	24,998,962.79	25,000,000.00	25,669,975.50	1.630	1.609	1.632 06/15/2022	714
40484         FFCB NOTES         04/27/2020         29,990,221.33         30,000,0000         30,019,284.60         0.256         0.276         0.296         1.292         1.292         1.2926         1.2926         1.2926	3133ELHR8	40267	FFCB NOTES	01/21/2020	24,997,277.78	25,000,000.00	25,538,795.25	1.600	1.585	1.607 01/21/2022	569
36288         FHLB NOTES         10/21/2015         5,001,433         5,000,00000         5,006,404.75         1830         1,449         1,444           36298         FHLB NOTES         10/19/2015         5,001,413         5,000,0000         5,006,404.75         1830         1,442         1,444           36298         FHLB NOTES         10/19/2015         5,001,413         5,000,0000         5,006,404.75         1830         1,422         1,424         1,444           36308         FHLB NOTES         10/22/2015         5,001,413         5,000,0000         5,006,404.75         1830         1,421         1,422         1,423         1,212         1,412         1,422         1,422         1,422         1,	3133ELXM1	40484	FFCB NOTES	04/27/2020	29,990,221.33	30,000,000.00	30,019,284.60	0.250	0.276	0.280 07/27/2021	391
36289         FHLB NOTES         10/19/2015         5.001,413.87         5.000,000.00         5.006,404.15         1.830         1.424         1.444           36208         FHLB NOTES         10/7232015         5.001,413.87         5.000,000.00         5.006,404.15         1.830         1.422         1.452         1.452           36308         FHLB NOTES         10/7262015         5.001,113.87         5.000,00000         5.006,404.15         1.830         1.432         1.451         1.451         1.451         1.451         1.451         1.451         1.451         1.451         1.451         1.511         1.556 </td <td>3130A5Z77</td> <td>36288</td> <td>FHLB NOTES</td> <td>10/21/2015</td> <td>5,001,270.43</td> <td>5,000,000.00</td> <td>5,006,404.75</td> <td>1.830</td> <td>1.469</td> <td>1.490 07/29/2020</td> <td>28</td>	3130A5Z77	36288	FHLB NOTES	10/21/2015	5,001,270.43	5,000,000.00	5,006,404.75	1.830	1.469	1.490 07/29/2020	28
3228         FHLB NOTES         10/23/2015         5,001,413.87         5,000,0000         5,006,404.75         1.830         1,432	3130A5Z77	36289	FHLB NOTES	10/19/2015	5,001,443.95	5,000,000.00	5,006,404.75	1.830	1.424	1.444 07/29/2020	28
33.08       FHLB NOTES       10/26/2015       10,002,321.07       10,012,809.50       1830       1,496       1,511       6         36310       FHLB NOTES       10/26/2015       5,001,191.59       5,000,000       0       10,012,809.50       1830       1,490       1,511       6         36310       FHLB NOTES       10/26/2015       5,001,191.59       5,000,000       0       13,54,493.60       1,375       1,100       1,115       7       1         37022       FHLB NOTES       10/06/2016       12,003,306.44       10,000,000       13,564,1250       1,375       1,199       1,216       1,2	3130A5Z77	36298	FHLB NOTES	10/23/2015	5,001,413.87	5,000,000.00	5,006,404.75	1.830	1.432	1.452 07/29/2020	28
36310         FHLB NOTES         10/26/2015         5,000,100.00         6,006,404.75         1.830         1.490         1.511           36933         FHLB NOTES         09/30/2016         19,509,596.31         19,500,000.00         19,544,943.60         1.375         1.100         1.115           36933         FHLB NOTES         09/30/2016         19,509,596.31         19,500,000.00         19,544,943.60         1.375         1.100         1.115           37022         FHLB NOTES         10/06/2016         12,000,000.00         12,020,364.40         10,003,306.44         10,003,306.44         10,023,348.00         1.375         1.249         1.246           37054         FHLB NOTES         10/28/2016         13,860,000.00         13,871,135         1.375         1.375         1.991         1.245           37054         FHLB NOTES         0/1/3/2017         25,866,219.06         25,860,000.00         1,875         1,937         1,957         1,746         1,816	3130A5Z77	36308	FHLB NOTES	10/26/2015	10,002,321.07	10,000,000.00	10,012,809.50	1.830	1.498	1.519 07/29/2020	28
36993       FHLB NOTES       09/30/2016       19,509,596,31       19,500,000       19,544,943,60       1.375       1.100       1.115         37022       FHLB NOTES       10/06/2016       12,003,354,06       12,000,000       12,027,657,60       1.375       1.210       1.277       1         37022       FHLB NOTES       10/06/2016       10,003,006.44       10,000,000       10,023,048,00       1.375       1.199       1.216       1.275       1.199       1.216       1.216       1.216       1.216       1.216       1.216       1.210       1.275       1.199       1.216 <t< td=""><td>3130A5Z77</td><td>36310</td><td>FHLB NOTES</td><td>10/26/2015</td><td>5,001,191.59</td><td>5,000,000.00</td><td>5,006,404.75</td><td>1.830</td><td>1.490</td><td>1.511 07/29/2020</td><td>28</td></t<>	3130A5Z77	36310	FHLB NOTES	10/26/2015	5,001,191.59	5,000,000.00	5,006,404.75	1.830	1.490	1.511 07/29/2020	28
37022       FHLB NOTES       10/06/2016       12,003,354,06       12,000,000 00       12,027,457,46       1375       1210       1227         37054       FHLB NOTES       10/24/2016       10,003,006.44       10,000,000 00       10,023,048,00       1375       1,199       1216         37054       FHLB NOTES       10/24/2016       13,862,848,61       13,860,000 00       13,891,944,53       1,375       1,991       1957       1975       1216         37012       FHLB NOTES       10/24/2016       13,862,848,61       13,860,000 00       15,364,020;30       1,875       1,931       1957       1266       1714       197       1266         37011       FHLB NOTES       0/4/13/2017       25,866,219,06       25,860,000 00       26,131,162,01       1,775       1,875       1,817       1,817       1,817       1,817       1,817       1,817       1,817       1,817       1,817       1,817       1,817       1,817       1,817       1,817       1,816       1,816       1,816       1,816       1,931       1,957       1,917       1,917       1,917       1,917       1,917       1,917       1,917       1,917       1,917       1,917       1,917       1,917       1,917       1,917       1,917       <	313380WG8	36993	FHLB NOTES	09/30/2016	19,509,596.31	19,500,000.00	19,544,943.60	1.375	1.100	1.115 09/11/2020	72
37054       FHLB NOTES       10/24/2016       10,003,006.44       10,000,000       10,023,048.00       1.375       1.199       1.216         37072       FHLB NOTES       10/28/2016       13,862,848.61       13,860,000       13,891,944.53       1.375       1.957         37072       FHLB NOTES       10/28/2016       13,862,848.61       13,860,000       13,891,944.53       1.375       1.248       1.266         37119       FHLB NOTES       11/30/2016       14,983,141.97       15,000,000       0       13,891,944.53       1.375       1.931       1.957         37714       FHLB NOTES       04/13/2017       25,866,219.06       25,866,000.00       26,131,162.01       1.750       1,690       1.714       1819         37726       FHLB NOTES       04/13/2017       25,005,000.00       25,866,000.00       25,826,487.75       2.250       1,742       1,819         37726       FHLB NOTES       04/13/2017       21,994,414.60       25,000,000.00       25,075,251.15       1,819       1,746       1,819         37081       FHLB NOTES       04/13/2017       21,944,14.60       22,000,000.00       22,064,478.26       1,382       1,482       1,482       1,482       1,482       1,482       1,482       1,482	313380WG8	37022	FHLB NOTES	10/06/2016	12,003,354.06	12,000,000.00	12,027,657.60	1.375	1.210	1.227 09/11/2020	72
37072       FHLB NOTES       10/28/2016       13,862,846.1       13,860,0000       13,891,944.53       1.375       1.248       1266         37149       FHLB NOTES       11/30/2016       14,983,141.97       15,000,000       15,344,020.30       1875       1.931       1957         37149       FHLB NOTES       04/13/2017       25,866,219.06       25,866,000       26,131,162.01       1.750       1.690       1714       1         37726       FHLB NOTES       04/13/2017       25,173,791.15       25,000,000       26,131,162.01       1.750       1.794       1819       1         37726       FHLB NOTES       04/19/2017       25,105,7010       25,600,000       0       26,131,162.01       1.750       1.746       1       1819       1       1746       1       1819       1       1746       1       1819       1       1746       1       1819       1       1746       1       1819       1	313380WG8	37054	FHLB NOTES	10/24/2016	10,003,006.44	10,000,000.00	10,023,048.00	1.375	1.199	1.216 09/11/2020	72
37149       FHLB NOTES       11/30/2016       14,983,141.97       15,000,000.00       15,364,020.30       1,875       1,931       1957         37711       FHLB NOTES       04/13/2017       25,866,219.06       25,866,000.00       26,131,162.01       1,750       1,690       1,714         37726       FHLB NOTES       04/13/2017       25,173,791.15       25,000,000.00       26,131,162.01       1,750       1,750       1,492       1,482         37726       FHLB NOTES       04/13/2017       25,173,791.15       25,000,000.00       26,131,162.01       1,750       1,764       1,819         37761       FHLB NOTES       04/19/2017       25,173,791.15       25,000,000.00       26,644.775       2.250       1,746       1,482         3775       FHLB NOTES       06/30/2017       21,994,414.60       22,000,000.00       22,064,478.26       1,375       1,482       1,722       1,482         38033       FHLB NOTES       11/03/2017       10,000,000.00       25,600,000.00       25,600,000.00       25,600,000.00       25,600,000.00       25,600,000.00       25,600,000.00       25,600,000.00       25,600,000.00       25,600,000.00       25,600,000.00       25,600,000.00       25,600,000.00       25,600,000.00       25,600,000.00       25,600,000.00	313380WG8	37072	FHLB NOTES	10/28/2016	13,862,848.61	13,860,000.00	13,891,944.53	1.375	1.248	1.266 09/11/2020	72
37711       FHLB NOTES       04/13/2017       25,866,219.06       25,866,000.00       26,131,162.01       1.750       1.690       1.714         37726       FHLB NOTES       04/19/2017       25,173,791.15       25,000,000.00       26,826,487.75       2.250       1.794       1819         37726       FHLB NOTES       04/19/2017       25,173,791.15       25,000,000.00       26,826,487.75       2.250       1.774       1819         37961       FHLB NOTES       06/30/2017       5,006,826.18       5,000,000.00       26,04,478.26       1.375       1,462       1,482         38033       FHLB NOTES       09/08/2017       21,994,414.60       22,000,000.00       22,064,478.26       1.375       1,462       1,482         38102       FHLB NOTES       01/10/3/2017       10,000,000.00       25,403,842.00       1.972       2.000       1.972       1.986         38178       FHLB NOTES       11/03/2017       25,000,000.00       25,000,000.00       25,403,842.00       1.972       1.972       2.000         38178       FHLB NOTES       11/103/2017       24,982,836.54       25,000,000.00       25,609,287.00       1.972       2.000       1.972       2.000         38436       FHLB NOTES       12/13/2017	3130AABG2	37149	FHLB NOTES	11/30/2016	14,983,141.97	15,000,000.00	15,364,020.30	1.875	1.931	1.957 11/29/2021	516
37726       FHLB NOTES       04/19/2017       25,173,791.15       25,000,000.00       25,826,487.75       2.250       1.794       1819         37961       FHLB NOTES       06/30/2017       5,005,826.18       5,000,000.00       5,075,251.15       1.875       1.722       1.746       1819         37961       FHLB NOTES       06/30/2017       5,005,826.18       5,000,000.00       5,075,251.15       1.875       1.462       1.482         38033       FHLB NOTES       09/08/2017       21,994,414.60       22,000,000.00       22,064,478.26       1.375       1.462       1.482         38102       FHLB NOTES       11/03/2017       10,002,948.12       10,000,000       25,403,842.00       1.875       1.746       1.806         38178       FHLB NOTES       11/28/2017       25,000,000.00       25,000,000.00       25,403,842.00       1.972       2.000         38269       FHLB NOTES       12/13/2017       24,982,836.54       25,000,000.00       25,000,000.00       1.625       1.971       1.980         38436       FHLB NOTES       02/05/2018       5,005,164.00       5,000,000.00       25,000,000.00       2.975,998.65       2.9761       1.980         38436       FHLB NOTES       02/05/2018       5,005,164.0	313382K69	37711	FHLB NOTES	04/13/2017	25,866,219.06	25,860,000.00	26,131,162.01	1.750	1.690	1.714 03/12/2021	254
37961       FHLB NOTES       06/30/2017       5,005,826.18       5,000,000.00       5,075,251.15       1.875       1.722       1.746         38033       FHLB NOTES       09/08/2017       21,994,414.60       22,000,000.00       22,064,478.26       1.375       1.462       1.482       1.721       1.721       1.721 <td< td=""><td>313378CR0</td><td>37726</td><td>FHLB NOTES</td><td>04/19/2017</td><td>25,173,791.15</td><td>25,000,000.00</td><td>25,826,487.75</td><td>2.250</td><td>1.794</td><td>1.819 03/11/2022</td><td>618</td></td<>	313378CR0	37726	FHLB NOTES	04/19/2017	25,173,791.15	25,000,000.00	25,826,487.75	2.250	1.794	1.819 03/11/2022	618
38033       FHLB NOTES       09/08/2017       21,994,414.60       22,000,000.00       22,064,478.26       1.375       1.462       1.482         38102       FHLB NOTES       11/03/2017       10,002,948.12       10,000,000       10,076,885.40       1.875       1.781       1806         38102       FHLB NOTES       11/03/2017       10,002,948.12       10,000,000       0       25,403,842.00       1.972       2,000         38178       FHLB NOTES       11/128/2017       25,000,000.00       25,403,842.00       1.972       2,000       1.972       2,000         38269       FHLB NOTES       12/13/2017       24,982,836.54       25,000,000.00       25,609,287.00       1.625       1.961       1.989       1.981         38436       FHLB NOTES       02/05/2018       5,005,164.00       5,000,000.00       5,002,98.65       2.875       2.292       2.324	313379RB7	37961	FHLB NOTES	06/30/2017	5,005,826.18	5,000,000.00	5,075,251.15	1.875	1.722	1.746 06/11/2021	345
38102       FHLB NOTES       11/03/2017       10,002,948.12       10,000,000.00       10,076,885.40       1.875       1.781       1.806         38178       FHLB NOTES       11/128/2017       25,000,000.00       25,000,000.00       2,000       1.972       2,000         38178       FHLB NOTES       11/128/2017       24,982,836.54       25,000,000.00       25,609,287.00       1.625       1.961       1.989       0         38269       FHLB NOTES       12/13/2017       24,982,836.54       25,000,000.00       25,069,287.00       1.625       1.961       1.989       0         38436       FHLB NOTES       02/05/2018       5,000,164.00       5,000,000.00       5,025,986.65       2.875       2.292       2.324       0	3130ACE26	38033	FHLB NOTES	09/08/2017	21,994,414.60	22,000,000.00	22,064,478.26	1.375	1.462	1.482 09/28/2020	89
38178         FHLB NOTES         11/28/2017         25,000,000.00         25,000,000.00         25,000,000.00         25,000,000.00         25,000,000.00         25,000,000.00         25,000,000.00         1.972         2.000           38269         FHLB NOTES         12/13/2017         24,982,836.54         25,000,000.00         25,069,287.00         1.625         1.961         1.989         1.981         1.989         1.983	3130A3UQ5	38102	FHLB NOTES	11/03/2017	10,002,948.12	10,000,000.00	10,076,885.40	1.875	1.781	1.806 12/11/2020	153
38269 FHLB NOTES 12/13/2017 24,982,836.54 25,000,000.00 25,069,287.00 1.625 1.961 1.989 1 38436 FHLB NOTES 02/05/2018 5,005,164.00 5,000,000.00 5,025,998.65 2.875 2.292 2.324	3130ACUK8	38178	FHLB NOTES	11/28/2017	25,000,000.00	25,000,000.00	25,403,842.00	2.000	1.972	2.000 05/28/2021	331
38436 FHLB NOTES 02/05/2018 5,005,164.00 5,000.00 5,025,998.65 2.875 2.292 2.324	3130A66T9	38269	FHLB NOTES	12/13/2017	24,982,836.54	25,000,000.00	25,069,287.00	1.625	1.961	1.989 09/11/2020	72
	313370US5	38436	FHLB NOTES	02/05/2018	5,005,164.00	5,000,000.00	5,025,998.65	2.875	2.292	2.324 09/11/2020	72

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CUSIP	Investment #	ssuar	Purchase	Book Value	Par Value	Market Value	Current	ΥTΜ	Mat	ays To
Federal Agency Bonds	Bonds						Nate	360	365 Date IV	
3130ADUY6	38570	FHLB NOTES	04/06/2018	31,574,372.07	31,575,000.00	31,733,756.57	2.450	2.425	2.459 09/21/2020	82
3130AFB63	38896	FHLB NOTES	10/22/2018	14,996,091.67	15,000,000.00	15,232,539.30	2.950	2.958		205
3130AFB63	38901	FHLB NOTES	10/23/2018	4,998,695.61	5,000,000.00	5,077,513.10	2.950	2.958	2.999 01/22/2021	205
3130AFB63	38920	FHLB NOTES	10/26/2018	25,001,620.97	25,000,000.00	25,387,565.50	2.950	2.898	2.939 01/22/2021	205
3130AFB63	38922	FHLB NOTES	10/26/2018	5,000,211.97	5,000,000.00	5,077,513.10	2.950	2.902	2.943 01/22/2021	205
3130AFE78	39016	FHLB NOTES	11/29/2018	14,345,742.31	14,350,000.00	15,296,222.07	3.000	2.970	3.012 12/09/2022	891
3130A0F70	39069	FHLB NOTES	12/14/2018	10,143,489.24	10,000,000.00	11,037,293.60	3.375	2.883	2.923 12/08/2023	1,255
3130A0F70	39106	FHLB NOTES	12/24/2018	3,562,200.16	3,500,000.00	3,863,052.76	3.375	2.778	2.817 12/08/2023	1,255
3130ABFD3	39138	FHLB NOTES	01/08/2019	15,002,293.57	15,000,000.00	15,681,150.75	2.625	2.580	2.616 06/07/2022	706
3130AB3H7	39362	FHLB NOTES	03/29/2019	14,301,977.90	14,250,000.00	15,278,784.74	2.375	2.238	2.269 03/08/2024	1,346
313379Q69	39545	FHLB NOTES	05/16/2019	24,964,860.05	25,000,000.00	25,898,210.75	2.125	2.169	2.200 06/10/2022	709
3130A5P45	39552	FHLB NOTES	05/20/2019	15,043,560.41	15,000,000.00	15,611,242.05	2.375	2.188	2.219 06/10/2022	709
3133834G3	39559	FHLB NOTES	05/21/2019	9,968,796.98	10,000,000.00	10,537,701.70	2.125	2.205	2.236 06/09/2023	1,073
3130A1W95	39563	FHLB NOTES	05/22/2019	24,988,152.91	25,000,000.00	25,469,648.75	2.250	2.269	2.301 06/11/2021	345
3133834G3	39592	FHLB NOTES	06/03/2019	9,991,732.09	10,000,000.00	10,537,701.70	2.125	2.124	2.154 06/09/2023	1,073
3133834G3	39665	FHLB NOTES	07/08/2019	21,626,729.43	21,505,000.00	22,661,327.51	2.125	1.897	1.923 06/09/2023	1,073
313378JP7	39786	FHLB NOTES	08/29/2019	5,497,725.63	5,445,000.00	5,580,031.92	2.375	1.525	1.546 09/10/2021	436
3130A2UW4	39852	FHLB NOTES	09/16/2019	10,429,535.89	10,000,000.00	11,041,550.10	2.875	1.776	1.801 09/13/2024	1,535
3130A3DL5	39936	FHLB NOTES	10/16/2019	10,164,689.45	9,940,000.00	10,565,992.67	2.375	1.617	1.639 09/08/2023	1,164
3130A2UW4	39985	FHLB NOTES	10/29/2019	31,337,783.81	30,000,000.00	33,124,650.30	2.875	1.737	1.762 09/13/2024	1,535
3130AHJY0	40025	FHLB NOTES	11/08/2019	9,988,350.48	10,000,000.00	10,197,091.00	1.625	1.687	1.710 11/19/2021	506
313379Q69	40459	FHLB NOTES	04/14/2020	10,340,402.19	10,000,000.00	10,359,284.30	2.125	0.358	0.363 06/10/2022	407
313379RB7	40467	FHLB NOTES	04/16/2020	25,370,927.71	25,000,000.00	25,376,255.75	1.875	0.295	0.299 06/11/2021	345
3130A2UW4	40501	FHLB NOTES	05/14/2020	5,496,903.91	5,000,000.00	5,520,775.05	2.875	0.474	0.481 09/13/2024	1,535
3137EAEC9	36989	FHLMC NOTES	09/27/2016	19,966,914.64	20,000,000.00	20,212,717.80	1.125	1.261	1.278 08/12/2021	407
3137EAEC9	36992	FHLMC NOTES	09/29/2016	9,984,856.70	10,000,000.00	10,106,358.90	1.125	1.248	1.265 08/12/2021	407
3137EAEJ4	38057	FHLMC NOTES	09/29/2017	14,997,787.78	15,000,000.00	15,053,357.85	1.625	1.663	1.687 09/29/2020	06
3137EAEK1	38135	FHLMC NOTES	11/15/2017	24,996,983.36	25,000,000.00	25,160,822.50	1.875	1.881	1.908 11/17/2020	139
3137EAEL9	38462	FHLMC NOTES	02/16/2018	44,975,250.00	45,000,000.00	45,602,836.20	2.375	2.433	2.466 02/16/2021	230
3137EADB2	40083	FHLMC NOTES	11/27/2019	20,226,853.26	20,000,000.00	20,666,741.40	2.375	1.596	1.619 01/13/2022	561
3137EAEP0	40331	FHLMC NOTES	02/14/2020	24,982,216.77	25,000,000.00	26,195,222.75	1.500	1.497	1.517 02/12/2025	1,687
3135G0S38	37582	FNMA NCTES	02/09/2017	10,015,494.45	10,000,000.00	10,274,025.10	2.000	1.866	1.892 01/05/2022	553

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Investment #         Issuer           gency Bonds         37783         FNMA NOTES           38079         FNMA NOTES         38079           38142         FNMA NOTES         38079           38163         FNMA NOTES         38079           38163         FNMA NOTES         38142           38163         FNMA NOTES         38142           38163         FNMA NOTES         38163           40044         FNMA NOTES         40473           40333         FNMA NOTES         40473           40349         FNMA NOTES         40489           40314         FNMA NOTES         40489           40314         FNMA NOTES         40373           40514         FNMA NOTES         5408           40514         FNMA NOTES         5408           40514         FNMA NOTES         5408           40514         FNMA NOTES         5408           39528         FACB NOTES         5408           39759         FFCB NOTES         5937           39750         FFCB NOTES         3975           39753         FFCB NOTES         5933           39753         FFCB NOTES           39363 <td< th=""><th></th><th></th><th></th><th>June 30, 2020</th><th>5</th><th></th><th></th><th></th><th></th><th></th><th>o age</th></td<>				June 30, 2020	5						o age
<ul> <li>FINMA NOTES</li> <li>FICB NOTES</li> </ul>	Investment		Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM Mat 365	Maturity Days To Date Maturity	s To ritv
37783     FNMA NOTES       38079     FNMA NOTES       38142     FNMA NOTES       38143     FNMA NOTES       38163     FNMA NOTES       38163     FNMA NOTES       38163     FNMA NOTES       38163     FNMA NOTES       38209     FNMA NOTES       40044     FNMA NOTES       4012     FNMA NOTES       40472     FNMA NOTES       40473     FNMA NOTES       40514     FNMA NOTES       40514     FNMA NOTES       40514     FNMA NOTES       3628     FCB NOTES       3952     FCB NOTES       3953     FCB NOTES       39756     FCB NOTES       39757     FCB NOTES       39758     FCB NOTES       39758     FCB NOTES       39758     FCB NOTES       39801     FCB NOTES       39803     FCB NOTES       39803 <t< th=""><th>gency Bonds</th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th></t<>	gency Bonds										
38079     FNMA NOTES       38142     FNMA NOTES       38163     FNMA NOTES       38163     FNMA NOTES       38163     FNMA NOTES       38164     FNMA NOTES       38209     FNMA NOTES       40044     FNMA NOTES       40043     FNMA NOTES       40472     FNMA NOTES       40473     FNMA NOTES       40473     FNMA NOTES       40514     FNMA NOTES       40513     FNMA NOTES       40514     FNMA NOTES       39629     FTCB NOTES       39739     FFCB NOTES       39801     FFCB NOTES       39803     FFCB NOTES       39803     FFCB NOTES       39803     FFCB NOTES <td< td=""><td></td><td>FNMA NOTES</td><td>04/10/2017</td><td>39,936,564.68</td><td>40,000,000.00</td><td>41,180,566.40</td><td>1.875</td><td>1.943</td><td>1.970 04/05</td><td>04/05/2022</td><td>643</td></td<>		FNMA NOTES	04/10/2017	39,936,564.68	40,000,000.00	41,180,566.40	1.875	1.943	1.970 04/05	04/05/2022	643
38142     FNMA NOTES       38163     FNMA NOTES       38163     FNMA NOTES       38209     FNMA NOTES       40044     FNMA NOTES       40043     FNMA NOTES       40043     FNMA NOTES       40473     FNMA NOTES       40514     FNMA NOTES       3052     FNMA NOTES       3952     FNMA NOTES       39756     FFCB NOTES       39758     FFCB NOTES       39758     FFCB NOTES       39758     FFCB NOTES       39801     FFCB NOTES       39803     FFCB NOTES       4		FNMA NOTES	10/25/2017	9,998,919.21	10,000,000.00	10,274,025.10	2.000	1.979		01/05/2022	553
38163       FNMA NOTES         38209       FNMA NOTES         40044       FNMA NOTES         40233       FNMA NOTES         40472       FNMA NOTES         40473       FNMA NOTES         40514       FNMA NOTES         39529       FNMA NOTES         39739       FFCB NOTES         39759       FFCB NOTES         39759       FFCB NOTES         39759       FFCB NOTES         39750       FFCB NOTES         39801       FFCB NOTES         39833       FFCB NOTES         40099       FFCB NOTES         40330       FFCB NOTES <td></td> <td>FNMA NOTES</td> <td>11/16/2017</td> <td>11,393,293.12</td> <td>11,395,000.00</td> <td>11,707,251.60</td> <td>2.000</td> <td>1.982</td> <td>2.010 01/05</td> <td>01/05/2022</td> <td>553</td>		FNMA NOTES	11/16/2017	11,393,293.12	11,395,000.00	11,707,251.60	2.000	1.982	2.010 01/05	01/05/2022	553
38209     FNMA NOTES       40044     FNMA NOTES       40233     FNMA NOTES       40472     FNMA NOTES       40473     FNMA NOTES       40489     FNMA NOTES       40489     FNMA NOTES       40514     FNMA NOTES       40513     FNMA NOTES       3952     FFCB NOTES       39756     FFCB NOTES       39757     FFCB NOTES       39758     FFCB NOTES       39758     FFCB NOTES       39758     FFCB NOTES       39801     FFCB NOTES       39803     FFCB NOTES       40039     FFCB NOTES       40330     FFCB NOTES       40330     FFCB NOTES       40330     FFCB NOTES       40330     FFCB NOTES		FNMA NOTES	11/22/2017	4,980,868.91	5,000,000.00	5,191,525.40	2.000	2.149	2.179 10/05	10/05/2022	826
40044         FNMA NOTES           40233         FNMA NOTES           40472         FNMA NOTES           40473         FNMA NOTES           40489         FNMA NOTES           40514         FNMA NOTES           40513         FNMA NOTES           40514         FNMA NOTES           40514         FNMA NOTES           3952         FFCB NOTES           39756         FFCB NOTES           39757         FFCB NOTES           39758         FFCB NOTES           39758         FFCB NOTES           39758         FFCB NOTES           39801         FFCB NOTES           39803         FFCB NOTES		FNMA NOTES	12/04/2017	9,996,930.13	10,000,000.00	10,011,070.90	1.500	1.866	-	07/30/2020	29
40233       FNMA NOTES         40472       FNMA NOTES         40473       FNMA NOTES         40514       FNMA NOTES         3928       FFCB NOTES         39756       FFCB NOTES         39756       FFCB NOTES         39757       FFCB NOTES         39701       FFCB NOTES         39801       FFCB NOTES         39803       FFCB NOTES         40039       FFCB NOTES		FNMA NOTES	11/14/2019	25,009,934.95	25,000,000.00	26,393,431.25	1.750	1.715	1.739 07/02	07/02/2024	1,462
40472     FNMA NOTES       40473     FNMA NOTES       40473     FNMA NOTES       40514     FNMA NOTES       3920     FFCB NOTES       39759     FFCB NOTES       39753     FFCB NOTES       39754     FFCB NOTES       39753     FFCB NOTES       39753     FFCB NOTES       39801     FFCB NOTES       39833     FFCB NOTES       40099     FFCB NOTES       40330     FFCB NOTES		FNMA NOTES	01/10/2020	14,956,703.34	15,000,000.00	15,802,999.35	1.625	1.668	1.691 01/07	01/07/2025	1,651
40473       FNMA NOTES         40489       FNMA NOTES         40514       FNMA NOTES         39028       FFCB NOTES         39756       FFCB NOTES         39757       FFCB NOTES         39758       FFCB NOTES         39801       FFCB NOTES         39833       FFCB NOTES         39833       FFCB NOTES         39833       FFCB NOTES         39833       FFCB NOTES         40099       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES         40380       FFCB NOTES		FNMA NOTES	04/20/2020	100,000,000.00	100,000,000.00	100,261,038.00	0.370	0.364	0.370 10/20	10/20/2021	476
40489       FNMA NOTES         40514       FNMA NOTES         40514       FNMA NOTES         3028       FFCB NOTES         39629       FFCB NOTES         39750       FFCB NOTES         39751       FFCB NOTES         39753       FFCB NOTES         39754       FFCB NOTES         39755       FFCB NOTES         39753       FFCB NOTES         39753       FFCB NOTES         39801       FFCB NOTES         39833       FFCB NOTES         39833       FFCB NOTES         39853       FFCB NOTES         39833       FFCB NOTES         39833       FFCB NOTES         40009       FFCB NOTES         40330       FFCB NOTES		FNMA NOTES	04/20/2020	50,000,000.00	50,000,000.00	50,161,415.00	0.390	0.384	0.390 01/20	01/20/2022	568
40514     FNMA NOTES       gency Bonds - CALLABLE       39628     FFCB NOTES       39629     FFCB NOTES       39750     FFCB NOTES       39753     FFCB NOTES       39754     FFCB NOTES       39755     FFCB NOTES       39757     FFCB NOTES       39758     FFCB NOTES       39758     FFCB NOTES       39758     FFCB NOTES       39753     FFCB NOTES       39801     FFCB NOTES       39833     FFCB NOTES       39833     FFCB NOTES       39853     FFCB NOTES       39833     FFCB NOTES       40009     FFCB NOTES       40330     FFCB NOTES       40330     FFCB NOTES       40330     FFCB NOTES       40330     FFCB NOTES		FNMA NOTES	04/30/2020	7,017,850.94	7,000,000.00	7,056,702.38	0.625	0.563	0.571 04/22	04/22/2025	1,756
Subtotal an         gency Bonds - CALLABLE         39628       FFCB NOTES         39629       FFCB NOTES         39756       FFCB NOTES         39757       FFCB NOTES         39758       FFCB NOTES         39757       FFCB NOTES         39758       FFCB NOTES         39758       FFCB NOTES         39758       FFCB NOTES         39768       FFCB NOTES         39739       FFCB NOTES         39801       FFCB NOTES         39833       FFCB NOTES         39833       FFCB NOTES         39853       FFCB NOTES         39853       FFCB NOTES         4009       FFCB NOTES         40330       FFCB NOTES		FNMA NOTES	06/19/2020	19,958,876.31	20,000,000.00	20,007,631.20	0.500	0.534	0.542 06/17	06/17/2025	1,812
gency Bonds - CALLABLE         39628       FFCB NOTES         39629       FFCB NOTES         39739       FFCB NOTES         39756       FFCB NOTES         39757       FFCB NOTES         39758       FFCB NOTES         39759       FFCB NOTES         39750       FFCB NOTES         39758       FFCB NOTES         39701       FFCB NOTES         39801       FFCB NOTES         39803       FFCB NOTES         40009       FFCB NOTES         40231       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES			Subtotal and Average	1,720,080,554.01	1,716,495,000.00	1,757,831,974.93	I	1.758	1.782		556
39628       FFCB NOTES         39629       FFCB NOTES         39750       FFCB NOTES         39751       FFCB NOTES         39753       FFCB NOTES         39754       FFCB NOTES         39758       FFCB NOTES         39758       FFCB NOTES         39768       FFCB NOTES         3971       FFCB NOTES         39801       FFCB NOTES         39833       FFCB NOTES         39853       FFCB NOTES         39853       FFCB NOTES         39853       FFCB NOTES         40009       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES         40386       FFCB NOTES	gency Bonds - CALL	ABLE									
39629       FFCB NOTES         39756       FFCB NOTES         39757       FFCB NOTES         39758       FFCB NOTES         39758       FFCB NOTES         39758       FFCB NOTES         39758       FFCB NOTES         39768       FFCB NOTES         3971       FFCB NOTES         39801       FFCB NOTES         39833       FFCB NOTES         39853       FFCB NOTES         39853       FFCB NOTES         40009       FFCB NOTES         40323       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES         40388       FFCB NOTES		FFCB NOTES	06/21/2019	15,000,000.00	15,000,000.00	15,226,288.50	2.220	2.189	2.220 06/21	06/21/2024	1,451
39739       FFCB NOTES         39756       FFCB NOTES         39757       FFCB NOTES         39758       FFCB NOTES         39768       FFCB NOTES         39768       FFCB NOTES         39768       FFCB NOTES         39801       FFCB NOTES         39833       FFCB NOTES         39833       FFCB NOTES         39853       FFCB NOTES         39853       FFCB NOTES         40009       FFCB NOTES         40323       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES		FFCB NOTES	06/21/2019	10,000,000.00	10,000,000.00	10,150,859.00	2.220	2.189	2.220 06/21	06/21/2024	1,451
39756       FFCB NOTES         39757       FFCB NOTES         39758       FFCB NOTES         39758       FFCB NOTES         39768       FFCB NOTES         39801       FFCB NOTES         39833       FFCB NOTES         39833       FFCB NOTES         39853       FFCB NOTES         39853       FFCB NOTES         39853       FFCB NOTES         40009       FFCB NOTES         40323       FFCB NOTES         40330       FFCB NOTES         40388       FFCB NOTES		FFCB NOTES	08/14/2019	24,000,000.00	24,000,000.00	24,048,986.16	1.990	1.962	1.990 08/14	08/14/2023	1,139
39757       FFCB NOTES         39758       FFCB NOTES         39768       FFCB NOTES         39801       FFCB NOTES         39833       FFCB NOTES         39833       FFCB NOTES         39833       FFCB NOTES         39833       FFCB NOTES         39853       FFCB NOTES         39853       FFCB NOTES         40009       FFCB NOTES         40281       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES		FFCB NOTES	08/20/2019	5,000,000.00	5,000,000.00	5,011,569.85	2.100	2.071	2.100 08/20	08/20/2024	1,511
39758       FFCB NOTES         39768       FFCB NOTES         39801       FFCB NOTES         39832       FFCB NOTES         39833       FFCB NOTES         39853       FFCB NOTES         39853       FFCB NOTES         39853       FFCB NOTES         39853       FFCB NOTES         40009       FFCB NOTES         40281       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES		FFCB NOTES	08/20/2019	10,000,000.00	10,000,000.00	10,023,139.70	2.100	2.071	2.100 08/20	08/20/2024	1,511
39768     FFCB NOTES       39801     FFCB NOTES       39832     FFCB NOTES       39853     FFCB NOTES       39853     FFCB NOTES       39853     FFCB NOTES       40009     FFCB NOTES       40231     FFCB NOTES       40330     FFCB NOTES       40330     FFCB NOTES       40330     FFCB NOTES       40330     FFCB NOTES		FFCB NOTES	08/20/2019	5,000,000.00	5,000,000.00	5,011,569.85	2.100	2.071	2.100 08/20	08/20/2024	1,511
39801       FFCB NOTES         39832       FFCB NOTES         39853       FFCB NOTES         39853       FFCB NOTES         40009       FFCB NOTES         40281       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES         40388       FFCB NOTES		FFCB NOTES	08/23/2019	15,000,000.00	15,000,000.00	15,020,479.35	2.060	2.031	2.059 08/13	08/13/2024	1,504
39832       FFCB NOTES         39833       FFCB NOTES         39853       FFCB NOTES         40009       FFCB NOTES         40231       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES         40330       FFCB NOTES		FFCB NOTES	09/03/2019	20,000,000.00	20,000,000.00	20,036,841.20	1.850	1.824	1.850 03/03	03/03/2022	610
39833       FFCB NOTES         39853       FFCB NOTES         40009       FFCB NOTES         40281       FFCB NOTES         40323       FFCB NOTES         40330       FFCB NOTES         40388       FFCB NOTES         40388       FFCB NOTES		FFCB NOTES	09/11/2019	15,000,000.00	15,000,000.00	15,025,105.20	1.900	1.873	1.900 09/11	09/11/2023	1,167
39853       FFCB NOTES         40009       FFCB NOTES         40281       FFCB NOTES         40323       FFCB NOTES         40330       FFCB NOTES         40388       FFCB NOTES         40386       FFCB NOTES		FFCB NOTES	09/11/2019	15,000,000.00	15,000,000.00	15,025,105.20	1.900	1.873	1.900 09/11	09/11/2023	1,167
40009         FFCB NOTES           40281         FFCB NOTES           40323         FFCB NOTES           40330         FFCB NOTES           40388         FFCB NOTES		FFCB NOTES	09/16/2019	14,976,012.50	15,000,000.00	15,041,211.00	1.960	1.972	2.000 09/16	09/16/2024	1,538
40281         FFCB NOTES           40323         FFCB NOTES           40330         FFCB NOTES           40388         FFCB NOTES		FFCB NOTES	11/04/2019	25,000,000.00	25,000,000.00	25,115,246.75	1.800	1.775	1.800 11/04	11/04/2022	856
40323 FFCB NOTES 40330 FFCB NOTES 40388 FFCB NOTES		FFCB NOTES	01/24/2020	19,995,726.85	20,000,000.00	20,352,482.40	1.640	1.625	1.648 01/24	01/24/2023	937
40330 FFCB NOTES 40388 FFCB NOTES		FFCB NOTES	02/12/2020	22,000,000.00	22,000,000.00	22,145,453.88	1.690	1.666	1.690 02/12	02/12/2024	1,321
40388 FFCB NOTES		FFCB NOTES	02/14/2020	15,986,037.17	16,000,000.00	16,092,636.64	1.600	1.612	1.634 02/10	02/10/2023	954
		FFCB NOTES	03/17/2020	20,000,000.00	20,000,000.00	20,046,492.60	1.125	1.109	1.125 03/17	03/17/2025	1,720
40415 FFCB NOTES		FFCB NOTES	03/24/2020	30,000,000.00	30,000,000.00	30,117,881.10	1.000	0.986	1.000 03/24	03/24/2023	966
3133ELUX0 40431 FFCB NOTES 03/3		FFCB NOTES	03/30/2020	10,000,000.00	10,000,000.00	10,006,877.30	1.000	0.986	1.000 03/30	03/30/2022	637

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Investment # Investment # Investment # Investment # 40445 • 40445 • 40445 • 40446 • 40446 • 40446 • 40446 • 40513 • 37382 • 37383 • 37383 • 37384 • 40513 • 37384 • 40513 • 37384 • 40513 • 37384 • 40510 • 40419 • 40419 • 40512 • 37344 • 40502 • 37344 • 40010 • 40419 • 40512 • 37344 • 40512 • 37344 • 40512 • 37344 • 40512 • 37344 • 40512 • 37579 • 37579 • 37579 • 37579 • 37549 • 37579 • 37549 • 37549 • 37549 • 37549 • 37594 • 37569 • 37894 • 33759 • 37894 • 33759 • 33794 • 33759 • 33794 • 33759 • 337995 • 337996 • 33794 • 33759 • 33794 • 33759 • 337949 • 33759 • 337949 • 37741 • 37742 • 37742 • 37741 • 37742 • 37742 • 37741 • 37742 • 37741 • 37741 • 37741 • 37741 • 37742 • 37741								
lency Bonds - CALLABLE 40445 40445 40495 40510 40513 37382 37382 37383 37541 38016 38921 38921 38921 38921 38921 38921 38921 38921 37344 40010 40010 40010 40010 40010 40010 37741 37578 37741 37741 37741 37741 37741 377815 377817 37782 377817 37782 3778	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM Maturity 365 Date	Maturity Days To Date Maturity
40445 40446 40510 40513 40513 37383 37541 37383 37541 37389 40010 40010 40010 37344 37344 37579 37579 37579 37579 37579 37579 37579 37579 37578 377817 37578 377817 377817 37782 377817 377810 3778177817 377817 3778177817 377817 3778177777 377977777						200		
40446 40510 40513 40513 40513 37382 37382 37382 37382 37384 40010 38921 38921 38921 37344 40010 40419 40419 40610 37344 40518 37579 37579 37578 377817 377817 377817 377817 377817 377817 377817 377817 377817 377817 377817 377817 377817 377817 377817 377817 377817 37782 377817 37797777 3779777777777777777777777777	04/08/2020	15,000,000.00	15,000,000.00	15,044,769.30	0.875	0.863	0.875 04/08/2024	4 1.377
40495 40510 40513 37382 37383 37641 38916 38921 38921 38921 38921 38921 37344 40010 40010 40010 37578 377817 377817 377817 37782 377817 37782 37	04/08/2020	15,000,000.00	15,000,000.00	15,028,444.50	0.950	0.936	-	
40510 40513 37382 37383 37641 38016 38816 38821 38821 38821 40010 40010 40010 40010 37578 3778777 37787 3779777 37797777777777	05/06/2020	15,000,000.00	15,000,000.00	15,000,478.95	0.740	0.729	0.740 05/06/2024	-
40513 37382 37641 37641 38016 38016 38334 40010 40010 40419 40502 37518 40502 37558 37558 37578 37741 37742 37741 37742 37741 37742 37741 37742 37741 37742 37741 37742 37741 37742 37741 37742 37741 37742 37741 37742 37741 377578 37741 37742 37741 37742 37741 37742 37741 37742 37741 37742 37741 37742 37741 37742 37741 37742 37741 37742 37741 37742 37741 377741 3777741 3777741 377741 377741 3777741 3777741 3777741 37777741 37777777777	06/17/2020	15,000,000.00	15,000,000.00	14,995,669.65	0.710	0.700	0.710 06/17/2024	
37382 37641 37641 38016 38016 38921 38921 39834 40010 40010 40419 40502 37518 40502 37518 37578 37742 37774 37777 37777 377777 37777777777	06/17/2020	19,990,078.43	20,000,000.00	20,001,071.40	0.800	0.799	0.810 06/02/2025	
37383 37641 38016 38921 38921 38921 39834 40010 40419 40502 40502 37518 37717 377717 37717 37717 37717 37717 37717 37717 37717 37717 37717 37717	01/30/2017	25,000,000.00	25,000,000.00	25,033,419.25	1.750	1.726	1.750 07/30/2020	
37641 38016 38921 38921 38921 39834 40010 40419 40502 40502 37518 37717 377717 37717 37717 37717 37717 37717 37717 37717 37717 37717 37717	01/30/2017	5,000,000.00	5,000,000.00	5,006,683.85	1.750	1.726	1.750 07/30/2020	
38016 38921 38921 39834 40010 40419 40502 40502 37579 37579 37578 37579 37579 37579 37579 37579 37579 37579 37579 37579 37579 37578 37578 37578 377817 37817 37817 37880 37880 37888 37880 37894 37895 37895 37895 37895	04/24/2017	20,000,000.00	20,000,000.00	20,019,068.80	1.750	1.726	1.750 07/24/2020	0 23
38389 38921 39834 40010 40419 40502 40518 37579 377817 377826 3778666 3778666 3778666666 37786666666666	08/28/2017	8,400,000.00	8,400,000.00	8,418,810.96	1.650	1.627	1.650 08/28/2020	0 58
38921 39834 40010 40419 40502 37518 37578 37579 37579 37579 37579 37579 37579 37579 37579 37579 37579 37579 37579 37579 37579 37579 37579 37741 37741 37741 37742 37741 37742 37742 37745 37765 37785 37896 377855 377975 377975 37777777777777777777777	01/12/2018	24,970,690.74	25,000,000.00	25,097,124.50	1.700	2.134	2.164 10/05/2020	96 0
39834 40010 40010 40502 37518 37518 37579 37579 37579 37579 37579 37579 37579 37579 37579 37579 37817 37817 37817 37880 37893 37793 37703 377000 377000 37700000000	10/26/2018	12,000,000.00	12,000,000.00	12,106,674.24	3.250	3.205	3.250 04/26/2023	3 1,029
40010 40419 40502 37518 37578 37579 37579 37579 37579 37579 37579 37741 37741 37742 37817 37817 37817 37894 37894 37896 37896 37896 37896 37896 37896 37896 37896 37896 37896 37896 37897 37896 37897 37896 37896 37896 37897 37897 37896 37896 37896 37896 37897 37897 37897 377977 377977 377977 37797777 3779777 377977777777	09/11/2019	20,000,000.00	20,000,000.00	20,049,891.40	1.970	1.943	1.970 09/11/2024	4 1,533
40419 40502 37518 37578 37579 37579 37579 37579 37541 37741 37817 37817 37820 37828 37894 37898 37894 37894 37896 37896 37896 37896 37896 37896 37896	11/04/2019	25,000,000.00	25,000,000.00	25,420,691.25	1.875	1.849	1.875 11/04/2024	4 1,587
40502 37518 37578 37579 37669 37741 37741 37817 37817 37818 37826 37828 37894 37898 37898 37898 37894 37896 37896 37896 37896 37896 37896	03/25/2020	20,000,000.00	20,000,000.00	20,029,508.20	1.620	1.597	1.620 03/25/2024	4 1,363
37344 37518 37578 37569 37741 37741 37817 37817 37849 37849 37894 37898 37898 37898 37896 37896 37896 37896 37896		5,000,000.00	5,000,000.00	5,001,846.05	0.750	0.739	0.750 05/19/2025	5 1,783
37518 37579 37569 37741 37741 37817 37817 37849 37849 37894 37898 37898 37988 37988 37955 38055 38090	ES 01/19/2017	10,000,215.38	10,000,000.00	10,034,395.70	1.700	1.667	1.690 09/25/2020	0 86
37578 37569 377669 37741 37741 37801 37801 37849 37849 37894 37894 37894 37988 37955 38055 38090		25,000,000.00	25,000,000.00	25,289,651.50	2.000	1.972	2.000 03/29/2021	1 271
37579 37669 37741 37742 37801 37849 37849 37894 37894 37898 37894 37898 37895 38055 38090	ES 02/28/2017	3,500,000.00	3,500,000.00	3,611,398.39	2.125	2.095	2.125 01/26/2022	2 574
37669 37741 37742 37801 37849 37849 37888 37894 37898 37898 37988 38055 38055 38055	ES 02/28/2017	20,000,000.00	20,000,000.00	20,636,562.20	2.125	2.096	2.125 01/26/2022	2 574
37741 37742 37801 37817 37849 37894 37894 37898 37898 37988 38055 38055 38050	ES 04/27/2017	15,000,000.00	15,000,000.00	15,424,557.75	2.000	1.972	2.000 01/27/2022	2 575
37742 37801 37817 37849 37894 37894 37988 38055 38055 38050 38170		10,000,000.00	10,000,000.00	10,085,156.10	1.750	1.726	1.750 01/26/2021	1 209
37801 37817 37849 37894 37984 37988 38055 38055 38090	_	25,000,000.00	25,000,000.00	25,212,890.25	1.750	1.726	1.750 01/26/2021	1 209
37817 37849 37894 37984 37988 38055 38055 38090	-	20,000,000.00	20,000,000.00	20,114,500.00	1.750	1.726	1.750 11/25/2020	0 147
37849 37880 37894 37988 38055 38055 38090 38170	ES 05/24/2017	15,000,000.00	15,000,000.00	15,443,581.05	2.000	1.972	2.000 02/24/2022	2 603
37880 37894 37988 38055 38090 38170	ES 06/15/2017	20,000,000.00	20,000,000.00	20,301,921.20	1.800	1.775	1.800 06/15/2021	1 349
37894 37988 38055 38090 38170	ES 06/22/2017	5,450,000.00	5,450,000.00	5,465,219.94	1.700	1.676	1.700 09/22/2020	0 83
37988 38055 38090 38170	ES 06/22/2017	5,000,000.00	5,000,000.00	5,034,674.00	1.750	1.726	1.750 12/22/2020	-
38055 38090 38170	ES 07/27/2017	5,750,000.00	5,750,000.00	5,800,879.51	1.800	1.775	1.800 01/27/2021	1 210
38090 38170	ES 09/28/2017	6,000,000.00	6,000,000.00	6,017,302.80	1.600	1.578	1.600 09/28/2020	0 89
38170		15,000,000.00	15,000,000.00	15,352,456.50	2.000	1.972	2.000 10/29/202	1 485
	ES 11/27/2017	25,000,000.00	25,000,000.00	25,157,985.00	1.875	1.849	1.875 11/27/2020	0 149
3134GBZ70 38171 FHLMC NOTES	ES 11/27/2017	10,000,000.00	10,000,000.00	10,063,194.00	1.875	1.849	1.875 11/27/2020	0 149

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CUSIP         Investment #           Federal Agency Bonds - CALLABLE         3134GSAC9         38334           3134GSAC9         38334         38352           3134GSFE0         38352         38466           3134GSFE0         38366         38352           3134GSFE0         38466         39473           3134GSFE0         38466         39473           3134GTS20         39473         39473           3134GTS20         39726         39726           3134GTS20         39726         39726           3134GUFC9         39728         39728           3134GUFC9         40207         39728           3134GUFC9         40208         40207           3134GUF29         40208         40233           3134GUF29         40233         31346UF2           3134GUF29         40233         31346UF2           3134GUF29         40233         31346UF2           3134GUF3         40233         40476 <t< th=""><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th><th></th></t<>									
Federal Agency Bonds - CALLA         3134GSAC9       38334         3134GSFE0       383352         3134GSFE0       383466         3134GSFE0       383466         3134GSFE0       383466         3134GSFE0       383466         3134GTS20       39726         3134GUC9       40207         3134GUC94       40208         3134GUC29       40234         3134GUC29       40234         3134GUC29       40234         3134GUC29       40234         3134GVA3       40234         3134GVA3       40233         3134GVA3       40475         3134GVA3       40476         3134GVA3       40476         3134GVA3       40476         3134GVA3       40487         3134GVA3       40487         3134GV		Purchase	Book Value	Par Value	Market Value	Current	ΥTΜ	Mat	Jays To
3134GSAC9 3134GSAC9 3134GSAC9 3134GSAC9 3134GSAC9 3134GSTS20 3134GTF62 3134GTH55 3134GTS20 3134GTS20 3134GTS20 3134GUH78 3134GUH78 3134GUH78 3134GUH78 3134GUFC9 3134GUF29 3134GUC94 40208 3134GUC94 3134GUC94 3134GUC94 40218 3134GUC94 40232 3134GVC29 3134GVV33 3134GVV53 3144GVV53 3144GVV		2				Kate	360	365 Date	Date Maturity
		1 2/28/2011	10,000,000.00	10,000,000.00	10,086,823.10	2.050	2.021	2.050 12/28/2020	180
	FHLMC NOTES	01/08/2018	25,000,000.00	25,000,000.00	25,000,000.00	2.220	2.189	2.220 07/01/2022	730
	FHLMC NOTES	02/26/2018	20,000,000.00	20,000,000.00	20,267,626.60	2.500	2.465	2.500 02/26/2021	240
	FHLMC NOTES	04/24/2019	25,000,000.00	25,000,000.00	25,034,558.50	2.560	2.525	2.560 07/24/2023	1,118
	FHLMC NOTES	07/15/2019	15,000,000.00	15,000,000.00	15,011,119.50	2.300	2.268	2.300 07/15/2024	1.475
	FHLMC NOTES	07/19/2019	25,000,000.00	25,000,000.00	25,228,130.50	2.200	2.169	_	1.479
	FHLMC NOTES	08/02/2019	20,000,000.00	20,000,000.00	20,376,258.80	2.070	2.041	-	1,493
	FHLMC NOTES	08/02/2019	5,000,000.00	5,000,000.00	5,094,064.70	2.070	2.041	-	1,493
	FHLMC NOTES	08/05/2019	20,000,000.00	20,000,000.00	20,184,758.40	2.100	2.071	-	1,314
	FHLMC NOTES	10/02/2019	25,000,000.00	25,000,000.00	25,091,672.50	2.000	1.972		1,554
	FHLMC NOTES	12/30/2019	15,000,000.00	15,000,000.00	15,108,463.20	2.000	1.972	2.000 12/30/2024	1,643
	FHLMC NOTES	12/30/2019	20,000,000.00	20,000,000.00	20,144,617.60	2.000	1.972	`	1,643
	FHLMC NOTES	12/30/2019	8,790,000.00	8,790,000.00	8,821,156.07	2.000	1.972	2.000 09/30/2024	1,552
	FHLMC NOTES	01/06/2020	25,000,000.00	25,000,000.00	25,172,269.50	1.920	1.893	1.920 01/06/2025	-
	FHLMC NOTES	01/10/2020	20,000,000.00	20,000,000.00	20,144,387.60	1.750	1.725	1.749 01/22/2024	1,300
	FHLMC NOTES	01/10/2020	20,000,000.00	20,000,000.00	20,302,107.20	1.800	1.775	1.800 01/10/2025	1,654
	FHLMC NOTES	02/18/2020	7,000,000.00	7,000,000.00	7,045,660.58	1.700	1.676	1.700 02/18/2025	1,693
	FHLMC NOTES	02/18/2020	5,000,000.00	5,000,000.00	5,032,614.70	1.700	1.676	1.700 02/18/2025	1,693
	FHLMC NOTES	04/17/2020	30,000,000.00	30,000,000.00	30,008,877.90	1.200	1.183	1.200 04/16/2025	1,750
	FHLMC NOTES	04/17/2020	25,000,000.00	25,000,000.00	25,000,228.00	1.150	1.134	1.150 04/17/2025	1,751
	FHLMC NOTES	04/21/2020	40,000,000.00	40,000,000.00	40,031,718.80	0.430	0.424	0.430 10/21/2021	477
	FHLMC NOTES	04/21/2020	40,000,000.00	40,000,000.00	40,031,718.80	0.430	0.424	0.430 10/21/2021	477
	FHLMC NOTES	04/27/2020	10,000,000.00	10,000,000.00	10,001,335.40	0.800	0.789	0.800 10/27/2023	1,213
	FHLMC NOTES	04/29/2020	30,000,000.00	30,000,000.00	30,004,645.50	0.750	0.739	0.750 01/29/2024	1,307
	FHLMC NOTES	05/01/2020	20,000,000.00	20,000,000.00	20,003,265.40	0.750	0.739	0.749 10/30/2024	1,582
	FHLMC NOTES	05/28/2020	6,000,000.00	6,000,000.00	6,005,202.18	0.750	0.739	0.750 05/28/2025	1,792
	FHLMC NOTES	05/29/2020	25,000,000.00	25,000,000.00	24,998,301.00	0.400	0.394	0.400 11/28/2022	880
	FHLMC NOTES	06/03/2020	10,000,000.00	10,000,000.00	10,001,446.80	0.500	0.493	0.500 06/03/2024	1,433
_	FHLMC NOTES	06/03/2020	10,000,000.00	10,000,000.00	10,001,446.80	0.500	0.493	0.500 06/03/2024	1,433
	FHLMC NOTES	06/30/2020	15,000,000.00	15,000,000.00	15,005,278.80	0.700	0.690	0.700 06/30/2025	1,825
	FNMA NOTES	05/27/2016	24,989,612.28	25,000,000.00	25,295,351.75	1.500	1.526	1.548 05/26/2021	329
3136G4MD2 37509	FNMA NOTES	03/16/2017	3,000,000.00	3,000,000.00	3,010,625.19	1.850	1.824	1.850 09/16/2020	77

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1000	Investment #	lssuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	MTY MT2	YTM M 255	Maturity Days To Date Maturity	s To
Federal Agency	Federal Agency Bonds - CALLABLE							000	C00		
3135G04B6	40488	FNMA NOTES	04/29/2020	30,000,000.00	30,000,000.00	30.005.165.40	0.625	0.616	0.624 04	04/28/2023	1.031
3136G4WH2	40520	FNMA NOTES	06/30/2020	20,000,000.00	20,000,000.00	20,008,785.00	0.800	0.789		06/30/2025	1,825
3136G4XU2	40521	FNMA NOTES	06/30/2020	20,000,000.00	20,000,000.00	19,994,454.40	0.700	0.690	-	06/30/2025	1,825
3136G4XZ1	40522	FNMA NOTES	06/30/2020	15,000,000.00	15,000,000.00	14,978,362.95	0.740	0.729	0.740 06/	06/30/2025	1,825
3136G4XZ1	40523	FNMA NOTES	06/30/2020	5,000,000.00	5,000,000.00	4,992,787.65	0.740	0.729	0.740 06/	06/30/2025	1,825
3136G4YF4	40524	FNMA NOTES	06/30/2020	10,000,000.00	10,000,000.00	9,998,273.90	0.700	0.690	0.700 06/	06/30/2025	1,825
			Subtotal and Average	1,472,798,373.35	1,472,890,000.00	1,481,322,264.54	I	1.522	1.544		1,035
<b>US Treasury Notes</b>	otes										
912828Y46	39102	U.S. TREASURY NOTES	12/21/2018	14,999,192.84	15,000,000.00	15,030,330.00	2.625	2.654	2.691 07/	07/31/2020	30
912828XM7	40084	<b>U.S. TREASURY NOTES</b>	11/27/2019	40,000,000.00	40,000,000.00	40,047,920.00	1.625	1.601		07/31/2020	30
9128287F1	40099	U.S. TREASURY NOTES	12/05/2019	40,043,938.85	40,000,000.00	40,681,240.00	1.750	1.623	1.646 07/	07/31/2021	395
912828WY2	40115	U.S. TREASURY NOTES	12/09/2019	40,249,960.94	40,000,000.00	40,890,640.00	2.250	1.638	1.661 07/	07/31/2021	395
9128286Z8	40155	U.S. TREASURY NOTES	12/13/2019	30,007,210.46	30,000,000.00	31,822,260.00	1.750	1.719	1.743 06/	06/30/2024	1,460
9128282P4	40210	U.S. TREASURY NOTES	12/31/2019	40,216,595.97	40,000,000.00	41,418,760.00	1.875	1.586	1.608 07/	07/31/2022	760
			Subtotal and Average	205,516,899.06	205,000,000.00	209,891,150.00		1.704	1.728		524
<b>Corporate Bonds</b>	ds						;				
037833DJ6	38124	APPLE INC	11/13/2017	44,995,380.00	45,000,000.00	45,286,015.05	2.000	2.001	2.029 11/	11/13/2020	135
037833DL1	39831	APPLE INC	09/11/2019	6,999,129.54	7,000,000.00	7,209,034.35	1.700	1.682	1.705 09/	09/11/2022	802
037833CU2	40165	APPLE INC	12/16/2019	10,297,994.95	10,000,000.00	10,769,221.30	2.850	2.010	2.038 05/	05/11/2024	1,410
037833DF4	40274	APPLE INC	01/23/2020	5,178,972.96	5,000,000.00	5,427,316.65	2.750	1.892	1.918 01/	01/13/2025	1,657
037833DV9	40499	APPLE INC	05/11/2020	6,981,841.48	7,000,000.00	7,073,746.33	0.750	0.830	0.842 05/	05/11/2023	1,044
084670BQ0	38830	BERKSHIRE HATHWY	06/29/2018	9,961,457.58	10,000,000.00	10,119,910.70	2.200	2.732	2.770 03/	03/15/2021	257
084670BJ6	39496	BERKSHIRE HATHWY	04/30/2019	10,616,177.37	10,500,000.00	11,200,682.54	3.000	2.517	2.552 02/	02/11/2023	955
084670BR8	39544	BERKSHIRE HATHWY	05/16/2019	16,682,473.56	16,624,000.00	17,605,469.32	2.750	2.576	2.611 03/	03/15/2023	987
084664BQ3	39658	BERKSHIRE HATHWY	07/03/2019	10,117,559.78	10,000,000.00	10,210,254.10	4.250	1.995	2.023 01/	01/15/2021	198
084670BR8	40080	BERKSHIRE HATHWY	11/26/2019	22,116,130.97	21,623,000.00	22,899,606.78	2.750	1.850	1.876 03/	03/15/2023	987
19416QEL0	39553	COLGATE-PALMOLIVE CO	05/20/2019	9,478,557.25	9,506,000.00	9,940,098.05	2.250	2.344	2.377 11/	11/15/2022	867
166764BG4	39549	CHEVRON CORP.	05/17/2019	8,547,913.61	8,575,000.00	8,692,846.05	2.100	2.438	2.472 05/	05/16/2021	319
166764BN9	39573	CHEVRON CORP.	05/24/2019	8,878,082.47	8,885,000.00	9,193,335.00	2.498	2.510	2.545 03/	03/03/2022	610
166764AB6	40454	CHEVRON CORP									

Fund COMM - COMMINGLED POOL Investments bv Fund

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			lnves Ju	Investments by Fund June 30, 2020						Page 10	e 10
CUSIP	Investment #	lssuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM Mat 365	Maturity Days To Date Maturity	rit o
Corporate Bonds	<u>v</u>										
166764BV1	40500	CHEVRON CORP.	05/11/2020	5,000,000.00	5,000,000.00	5,086,118.30	1.141	1.125	1.141 05/11	05/11/2023	1,044
02079KAA5	38601	GOOGLE INC	04/16/2018	16,837,589.97	16,705,000.00	17,186,505.25	3.625	2.645			322
478160CD4	40031	NOSNHOL & NOSNHOL	11/12/2019	19,577,835.79	19,445,000.00	20,041,127.31	2.250	1.805	1.830 03/03	03/03/2022	610
191216BT6	38529	Coca-Cola Co	03/19/2018	7,481,255.12	7,500,000.00	7,537,178.93	1.875	2.646	2.682 10/27	10/27/2020	118
59217GEE5	40238	MET LIFE GLOBAL FUNDING	01/13/2020	10,007,600.00	10,000,000.00	10,349,893.70	1.950	1.892	1.918 01/13	01/13/2023	926
594918BW3	39355	MICROSOFT CORP	03/27/2019	20,018,551.99	20,000,000.00	20,638,193.20	2.400	2.305	2.337 02/06	02/06/2022	585
594918BW3	39361	MICROSOFT CORP	03/29/2019	20,039,863.68	20,000,000.00	20,638,193.20	2.400	2.235	2.266 02/06	02/06/2022	585
594918BX1	39489	MICROSOFT CORP	04/29/2019	6,544,122.02	6,500,000.00	7,001,988.11	2.875	2.635	2.672 02/06	02/06/2024	1,315
594918BQ6	40005	MICROSOFT CORP	11/01/2019	10,883,814.40	10,815,000.00	11,319,632.01	2.000	1.762	1.786 08/08	08/08/2023	1,133
742651DP4	40337	PRIVATE EXPORT FUND CORPORATIO	02/18/2020	11,784,293.69	11,385,000.00	12,225,779.75	2.450	1.526	1.548 07/15	07/15/2024	1,475
742651DX7	40379	PRIVATE EXPORT FUND CORPORATIO	03/13/2020	12,005,686.19	11,610,000.00	12,232,125.22	1.750	0.938	0.951 11/15	11/15/2024	1,598
742718EQ8	38524	PROCTER & GAMBLE	03/15/2018	19,729,962.08	20,000,000.00	20,353,517.40	1.700	2.728	2.766 11/03	11/03/2021	490
742718EQ8	38526	PROCTER & GAMBLE	03/16/2018	9,867,385.46	10,000,000.00	10,176,758.70	1.700	2.709	2.747 11/03	11/03/2021	490
89233P5F9	39332	TOYOTA MOTOR CREDIT	03/21/2019	11,302,272.54	11,213,000.00	11,594,642.30	3.400	2.675	2.712 09/15	09/15/2021	441
89236TFX8	39439	TOYOTA MOTOR CREDIT	04/12/2019	24,990,652.08	25,000,000.00	25,897,864.25	2.650	2.635	2.671 04/12	04/12/2022	650
89236TFX8	39452	TOYOTA MOTOR CREDIT	04/17/2019	14,990,071.95	15,000,000.00	15,538,718.55	2.650	2.652	2.688 04/12	04/12/2022	650
89236TGT6	40326	TOYOTA MOTOR CREDIT	02/13/2020	29,981,441.00	30,000,000.00	31,095,933.30	1.800	1.789	1.814 02/13	02/13/2025	1,688
90327QD48	40477	USAA CAPITAL CORP	04/21/2020	2,497,099.08	2,500,000.00	2,564,950.45	1.500	1.520	1.542 05/07	05/01/2023	1,034
90331HPA5	39207	U S BANK	02/04/2019	2,999,281.13	3,000,000.00	3,040,108.35	3.000	2.974	3.016 02/04	02/04/2021	218
90331HPC1	39569	U S BANK	05/23/2019	14,989,959.44	15,000,000.00	15,621,606.30	2.650	2.650	2.687 05/23	05/23/2022	691
90331HPF4	40117	U S BANK	12/09/2019	28,980,073.08	29,000,000.00	30,058,559.45	1.950	1.950	1.977 01/09	01/09/2023	922
90331HPF4	40154	U S BANK	12/13/2019	11,000,000.00	11,000,000.00	11,401,522.55	1.950	1.922	1.949 01/09	01/09/2023	922
90331HPL1	40268	U S BANK	01/21/2020	19,961,186.67	20,000,000.00	21,127,185.20	2.050	2.066	2.095 01/27	01/21/2025	1,665
92826CAC6	39527	VISA	05/09/2019	11,202,781.54	11,156,000.00	11,781,783.88	2.800	2.583	2.619 12/14	12/14/2022	896
92826CAB8	39917	VISA	10/07/2019	7,153,493.69	7,135,000.00	7,182,005.31	2.200	1.554	1.576 12/14	12/14/2020	166
931142EA7	38076	WALMART	10/20/2017	6,998,533.39	7,000,000.00	7,046,341.89	1.900	1.920	1.947 12/15	12/15/2020	167
931142EL3	39714	WALMART	07/29/2019	5,127,253.04	5,000,000.00	5,441,244.30	2.850	2.148	2.178 07/08	07/08/2024	1,468
931142EK5	39996	WALMART	10/30/2019	10,429,346.50	10,000,000.00	10,893,669.00	3.400	1.878	1.904 06/26	06/26/2023	1,090
931142DV2	40315	WALMART	02/07/2020	29,026,460.54	27,975,000.00	30,358,681.49	2.650	1.741	1.765 12/15	12/15/2024	1,628
30231GAV4	38852	EXXON MOBIL CORP	09/10/2018	9,958,141.41	10,000,000.00	10,114,943.20	2.222	2.837	2.876 03/07	03/01/2021	243
30231GBB7	39747	EXXON MOBIL CORP	08/16/2019	5,000,000.00	5,000,000.00	5,155,844.95	1.902	1.875	1.902 08/16	08/16/2022	776
30231GAV4	39951	EXXON MOBIL CORP	10/22/2019	11,999,109.18	11,963,000.00	12,100,506.55	2.222	1.736	1.761 03/01	03/01/2021	243

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Current         YTM           Rate         360           3.176         1.950           2.992         2.951           2.709         1.184           2.709         1.184           2.709         1.184           2.700         2.124           3.100         2.662           3.100         2.662           3.100         2.716           2.700         2.711           2.700         2.711           2.700         2.711           2.700         2.711           2.700         2.711           2.700         2.716           2.700         2.716           2.700         2.716           2.700         2.716           2.700         2.716           2.700         2.716           2.320         1.523           1.720         1.522           1.660         1.666           3.160         2.792           3.160         2.809           3.160         2.809           2.830         2.809           2.161         1.762           1.640         1.923           1.940				٦	June 30, 2020							
3998         EXXON MOBIL CORP         10/31/2019         5.11/66/16         3.401.66/17         3.11/6         10/5           40003         EXXON MOBIL CORP         10/31/2010         5.000.0000         6.5401.66/17         2.729         2.99           40013         EXXON MOBIL CORP         10/31/2010         5.000.0000         6.5401.66/17         2.729         2.99         1.84           40013         EXXON MOBIL CORP         10/31/2010         5.401.66/01         5.401.66/17         2.799         1.84           40013         ALLY Auto Receivelues Tract         12.11/2019         12.977.761.40         2.149         1.82           30022         BANK OF ANERICA CERDIT CARD         12.11/2019         12.977.761.40         2.149         2.700	CUSIP	Investment #	lssuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360		Maturity Days To Date Maturity	s To uritv
3998         EXX0N MOBIL CORP         1031/2019         5.311.666.85         5.000.0000         5.610.647.10         3.17.5         1.992           40003         EXX0N MOBIL CORP         037/3200         5.311.666.85         5.000.0000         5.46.377.60         2.792         2.992         2.992         2.992         2.992         2.992         2.993         2.992         2.993         2.993         2.992         2.993         2.992         2.993<	Corporate Bond	^										ļ
QI003         EXXON MOBIL CORP         Q319,203         I5,000,000         I5,36,073,60         2.92,2         2.94         1.18           AG65         EXXON MOBIL CORP         G5,367,030         I5,360,000         I5,366,073,60         2.99,2         2.91         1.18           ALT Ando FexeNables Trust         ALL Ando FexeNables Trust         1.211/2019         1.297,761,40         1.300,0000         I5,366,073,12         2.192         1.194           30023         BANK OF AMERICA CREDIT CARD         1.717,2019         1.297,751,40         1.300,0000         I5,362,327,90         1.290         2.992,314         2.000,0000         1.225,257,60         1.90         1.291           30023         BANK OF AMERICA CREDIT CARD         1.717,8019         1.294,560         2.000,0000         1.54,323,410         2.000         2.902,2019         2.994,500         2.995,710         2.90	30231GAC6	39998	EXXON MOBIL CORP	10/31/2019	5,211,668.68	5,000,000.00	5,401,664.70	3.176	1.950	1.977 03	03/15/2024	1.353
4056         EXX0N MOBIL CORP         06/26/2010         5,343,96/21         5,366,207.00         5,366,207.00         2,794         1           Red Scurities (AIS)         Subtabil and Average         64/46,093,03         643,333,000.00         5,366,207.00         2,794         2,114         2           Red Scurities (AIS)         ALLY Alup Receivables Trust         12/11/2019         12/97/761.40         13,000.000         13,252,527.60         13,00         2869         2,114         2           39022         BANK OF AMERICA CREDIT CARID         12/11/2019         12/97/761.40         13,000.000         13,252,527.60         13,00         2869         2,114         2           39022         BANK OF AMERICA CREDIT CARID         12/11/2019         12/97.761.90         13,000.000         13,422,527.60         2.100         12,92         2	30231GBH4	40403	EXXON MOBIL CORP	03/19/2020	15,000,000.00	15,000,000.00	16,286,772.60	2.992	2.951		03/19/2025	1,722
Sublicital and Average         648,45,03.03         643,33.00.00         669,760,03.17         2.124           Vol 31         ALT Vario Receivables Trust         127112019         12977/61.40         1310000000         1322.527.50         180         1829           Vol 31         BAIK OF AMERICA REDIT CARD         127112019         12,9977/61.40         1310000000         13.25.257.50         180         1829           39022         BAIK OF AMERICA REDIT CARD         127112019         12,997.51         2014.000000         13.25.257.50         180         132.9           39022         BAIK OF AMERICA REDIT CARD         12718/2018         15.000.0000         15.422.34.40         3100         204.7           39220         BAIK OF AMERICA REDIT CARD         12718/2018         14.44.1         20.0000000         15.422.34.40         100         20.1           39221         BAIK OF AMERICA REDIT CARD         127.8001         17.44.44.105         17.44.44.105         17.500.0000         16.72.34.20         100         12.22.23           39211         BMW VEHICLE LEXET FRUST         032.0000000         17.44.41.213         17.44.41.22.13         17.44.41.22.13         17.44.41.22.13         17.44.41.22.13         17.44.41.22.13         17.44.41.22.13         17.44.41.22.13         17.44.41.22.13         17.	30231GAF9	40505	EXXON MOBIL CORP	05/26/2020	5,341,996.22	5,000,000.00	5,366,207.40	2.709	1.184	1.200 03	03/06/2025	1,709
Med Socurities (ABS)         ALI Van Receivables Trust         12/11/2019         12/97/561.40         13/000 0000         13/25/257.56         18/00         18/00           3962         BANK OF ANERICA CREDIT CARD         12/11/2019         12/97/561.40         13/000 0000         13/25/257.56         18/00         18/00           3962         BANK OF ANERICA CREDIT CARD         12/11/2019         12/97/561.40         12/000         13/000         13/25/257.56         18/00         2000           39220         BANK OF ANERICA CREDIT CARD         12/11/2019         12/97/50.44         15/000         13/25/257.50         2/00			Subtotal	and Average	648,454,093.03	643,933,000.00	669,760,033.12	ł	2.124	2.153		856
4013         ALLY MJO RECeivables Trusi         1211/2019         12.997/761.40         13.000.0000         13.25.25.75.60         18.90         18.97           30062         BANK OF AMERICA CREDIT CARD         12/12/2018         2014/00000         2.325.53715         13.00         2062           39082         BANK OF AMERICA CREDIT CARD         12/12/2018         2014/00000         2.525.53410         3100         2064           39220         BANK OF AMERICA CREDIT CARD         12/12/2018         2014/00000         2.5381.5475         2010         2016           39220         BANK OF AMERICA CREDIT CARD         02/99/5213.4         15500.00000         2.542.54100         2.000         2002         2.700         2014           39221         BANK VEHICLE CARE         02/202019         1995.5500         2.00000000         2.755.27160         2.700	Asset Backed S	curities (ABS)										
3902         BANK OF AMERICA CREDIT CARD         12/12/2018         20,14/306.47         20,14/306.07         20555377         3002         2862           3902         BANK OF AMERICA CREDIT CARD         12/18/2018         15,000,565.44         25,000,0000         15,22,33430         3100         2864           39220         BANK OF AMERICA CREDIT CARD         12/18/2018         15,000,565.70         2700         <	02007TAC9	40134	ALLY Auto Receivables Trust	12/11/2019	12,997,761.40	13,000,000.00	13,252,527.60	1.840	1.829	1.854 06	06/17/2024	1.447
39082         BANK OF AMERICA CREDIT CARD         12/18/2018         15,000,585.54         15,000,0000         15,422,334.00         3100         3064           39202         BANK OF AMERICA CREDIT CARD         02/19/201         19,981,500         2,600,00000         15,422,334.00         3100         2706         2716           39203         BANK OF AMERICA CREDIT CARD         03/19/2019         19,981,500         2,600,00000         5,365,750         2,000         2700         2705         2716           3931         BANK VEHICLE LEAST TRUST         03/19/2019         19,981,500         7,500,00000         7,653,867.50         2700         2700         2705         2705         2705           38412         BANW VEHICLE LEAST TRUST         07/20/2018         11,241,469.52         11,444,139.6         16,597,375.2         1920         1701         1711           39316         CHASE ISSUANCE TRUST (MS)         07/20/2018         37,000.000         7,533,695.5         1720         1731           39811         Capital One Multi Execution Tr         09/18/200         21/24,045.5         1920         1720         1711           39314         07/31,000.000         73,039.00         73,000.0000         73,537.500         1521         1761         1761	05522RCZ9	39062	BANK OF AMERICA CREDIT CARD	12/12/2018	20,147,080.47	20,140,000.00	20,550,537.79	3.000	2.862		09/15/2023	1,171
3220         BANK OF AMERICA CREDIT CARD         20,002,000         25,301,387,50         2700         2710           3723         BANK OF AMERICA CREDIT CARD         03/17,2019         19,307,500         27,500,000.00         2536,250.00         2700         2711           3732         BANK VEHICLE LASE TRUST         03/17,019         11,327,68         20         2700         2730         2790         2711           3731         BANW VEHICLE LASE TRUST         03/12,019         11,247,018         17,217,681,60         17,551,561,60         1752,000         2736         2379         2390           3876         CHASE ISSUANCE TRUST         07/12,018         17,217,681,60         17,256,714,50         1370         1731           3876         CHASE ISSUANCE TRUST         07/18,000         27,000,000         25,314,905         1523         1981           3876         CHASE ISSUANCE TRUST         07/18,000         17,260,000         17,553,714,000         1523         1523           39811         Capital One Mine Auto Receiv         07/19,020         5,000,000         5,517,800         1570         1771           3755         Capital One Mine Auto Receiv         07/19,020         14,917,560         1,000         0,000         0,0236,000         2552,610,10 <td>05522RDA3</td> <td>39082</td> <td>BANK OF AMERICA CREDIT CARD</td> <td>12/18/2018</td> <td>15,000,585.94</td> <td>15,000,000.00</td> <td>15,422,334.00</td> <td>3.100</td> <td>3.064</td> <td>3.107 12</td> <td>12/15/2023</td> <td>1,262</td>	05522RDA3	39082	BANK OF AMERICA CREDIT CARD	12/18/2018	15,000,585.94	15,000,000.00	15,422,334.00	3.100	3.064	3.107 12	12/15/2023	1,262
3322         BAIK OF AMERICA CREDIT CARD         031/9/2019         19,987,500.00         20,305,270.00         27/10         27/11           39327         BMW VEHICLE LASE TRUST         032/9/2019         1,444,069.52         7,500.000.00         7,553,535.50         2920         2966           39317         BMW VEHICLE LASE TRUST         032/07/2019         1,444,069.52         1,550.0000         7,553,535.50         2322         1967           38708         CHASE ISSUANCE TRUST         097/82/019         17,244,069.52         1,7550.0000         37,029,281.90         0.365         1,465           38708         CHASE ISSUANCE TRUST         097/82/019         17,241,466.50         27,000.0000         37,029,281.90         0.365         1,533           3961         Capital One Multi Exercise         027/92/2019         34,941,410         37,000.00000         37,029,281.90         0.365         1,753           3981         Capital One Multi Exercise         027/92/2019         34,941,410         37,000.00000         37,029,281.90         3730         2792           3981         Capital One Multi Exercise         027/92/2019         34,941,410         1,7260.000000         37,029,281.90         320         2792           40411         Discover Card Exeruith TRUST         03/97,520.00	05522RCY2	39220	BANK OF AMERICA CREDIT CARD	02/08/2019	24,936,523.44	25,000,000.00	25,381,587.50	2.700	2.716	2.753 07	07/17/2023	1,111
3927         BMM VEHICLE LEAST RUST         032/2019         7,48,888.25         7,500,000.00         7,553,86.26         2.920         2.906           39412         BMM VEHICLE OWRER TRUST         01/24/2018         11/24/1681,65         11/241,055         12/2012         12/201	05522RCY2	39320	BANK OF AMERICA CREDIT CARD	03/19/2019	19,987,500.00	20,000,000.00	20,305,270.00	2.700	2.711	2.749 07	07/17/2023	1,111
39412         BMW VEHICLE OWNER TRUST         01/24/2018         14,44,069.52         14,44,121.396         14,541,227.08         2.350 <th< td=""><td>05586VAD4</td><td>39327</td><td>BMW VEHICLE LEASE TRUST</td><td>03/20/2019</td><td>7,498,898.25</td><td>7,500,000.00</td><td>7,653,862.50</td><td>2.920</td><td>2.906</td><td>2.946 08</td><td>08/22/2022</td><td>782</td></th<>	05586VAD4	39327	BMW VEHICLE LEASE TRUST	03/20/2019	7,498,898.25	7,500,000.00	7,653,862.50	2.920	2.906	2.946 08	08/22/2022	782
3961         BMW VEHICLE OWNER TRUST         09/18/2019         17.247.681.60         17.256.00000         17.559.737.52         1920         1907           3708         CHASE ISSUARCE TRUST         09/18/2019         17.247.681.60         17.255.00000         37.025.281.80         0.385         11.68           40336         CHASE ISSUARCE TRUST (ABS)         05/10/2018         37.000.00000         37.025.281.80         0.385         11.68           40336         CHASE ISSUARCE TRUST (ABS)         02/18/2020         26.993.81.10         25.77.39.70.20         15.73         40.70         17.11           3986         Capital One Prime Auto Receiv         02/19/2020         24.94.485.00         5.000.00000         25.57.319.00         3.320         2.792           40341         Discover Card Execution Note         03/23/2020         15.11.32.50.00         1.500.00000         1.507.319.00         3.320         2.792           40341         Discover Card Execution Note         03/23/2020         15.11.32.50.00         1.500.000000         1.507.319.00         3.300         1.659           40341         Discover Card Execution Note         03/23/2020         15.11.32.50.00         1.500.00000         1.507.24.90.01         1.700         1.700         1.705           40341         Discove	09659QAD9	38412	BMW VEHICLE OWNER TRUST	01/24/2018	14,444,069.52	14,444,213.96	14,541,227.08	2.350	2.329	2.362 04	04/25/2022	663
38708         CHASE ISSUANCE TRUST (ABS)         05/10/2018         37,000,0000         37,029,281 80         0.385         1168           40336         CHASE ISSUANCE TRUST (ABS)         02/18/2020         26,993,814.30         27,000,0000         37,957,16.20         15,30         15,23           40336         Capital One Mult Execution Tr         09/05/2019         34,91,187.00         35,073,409.50         1,720         15,30         15,32           39811         Capital One Prime Auto Receiv         02/19/2020         24,994,465.00         5,000,0000         35,973,409.50         1,500         1,590         1,720         1,530           40340         Capital One Prime Auto Receiv         02/19/2020         24,994,465.00         5,000,0000         35,5734,095.00         1,600         1,590	05588CAC6	39861	BMW VEHICLE OWNER TRUST	09/18/2019	17,247,681.60	17,250,000.00	17,659,737.52	1.920	1.907	1.934 01	01/25/2024	1,303
40336         CHASE ISSUANCE TRUST (ABS)         02/18/2020         26,993,814.30         27,000,000         27,78,776,20         1,520         1,720 <th< td=""><td>161571HN7</td><td>38708</td><td>CHASE ISSUANCE TRUST (ABS)</td><td>05/10/2018</td><td>37,000,000.00</td><td>37,000,000.00</td><td>37,029,281.80</td><td>0.385</td><td>1.168</td><td>1.184 04</td><td>04/17/2023</td><td>1,020</td></th<>	161571HN7	38708	CHASE ISSUANCE TRUST (ABS)	05/10/2018	37,000,000.00	37,000,000.00	37,029,281.80	0.385	1.168	1.184 04	04/17/2023	1,020
3811         Capital One Mulii Execution Tr         09/05/2019         34,91,187.00         35,000,000.00         35,973,409.50         1.720         1.711           39586         Capital One Prime Auto Receiv         05/30/2019         34,991,187.00         35,000,000.00         9,235,610.110         2510         2500           40340         Capital One Prime Auto Receiv         05/30/2019         8,998,176.60         9,000,000.00         9,235,610.110         2510         2500         1592         2792           40341         Discover Card Execution Note         03/23/2020         14,99,865.75         15,000,000.00         15,527,3190         3232         2772         2792           40341         Discover Card Execution Note         03/21/2020         1,499,865.75         1,5000,000.00         1,572,3190         3210         2792           40512         GM Fink Auto Receiv         05/17/2020         1,499,865.75         1,5000,000.00         1,502,349.70         1,666           37615         HONDA AUTO RECEIVABLES OWNER T         05/32/2019         1,249,482.37         1,264,442.31         1,266,442.31         2,500         2,600         3,144           37338         HONDA AUTO RECEIVABLES OWNER T         05/32/2018         8,992,725.62         8,992,271.67         9,118,020.40         3,106	161571HP2	40336	CHASE ISSUANCE TRUST (ABS)	02/18/2020	26,993,814.30	27,000,000.00	27,785,716.20	1.530	1.523	1.544 01	01/15/2025	1,659
1         3958         Capital One Prime Auto Receiv         05/30/2019         8/98,176.60         9,000,000.00         9,235,610.10         2510         2500           40340         Capital One Prime Auto Receiv         02/19/2020         24/94,685.00         25,000,000.00         9,235,610.10         2510         2500           40340         Capital One Prime Auto Receiv         02/19/2020         24/94,685.00         25,000,000.00         15,527,319.00         3:320         2792           40341         Discover Card Execution Note         03/23/2020         15,131,250.00         15,000,000.00         1,557,319.00         3:320         2792           40341         Discover Card Execution Note         03/23/2020         1,131,250.00         1,500,000.00         1,5527,319.00         3:320         2792           40512         GM Fincl Auto Leasing Trust         02/17/2020         1,499,805.75         1,260,000.00         1,500,000.00         1,500         1,650         1,650           37615         HONDA AUTO RECEIVABLES OWNERT         03/23/2013         1,244,432.37         1,226,436.74         3,144         3           3703         HONDA AUTO RECEIVABLES OWNERT         05/20/2013         05/20,000.000         1,500,000.00         1,500,700.00         3,144           3703 <td< td=""><td>14041NFU0</td><td>39811</td><td>Capital One Multi Execution Tr</td><td>09/05/2019</td><td>34,991,187.00</td><td>35,000,000.00</td><td>35,973,409.50</td><td>1.720</td><td>1.711</td><td>1.735 08</td><td>08/15/2024</td><td>1,506</td></td<>	14041NFU0	39811	Capital One Multi Execution Tr	09/05/2019	34,991,187.00	35,000,000.00	35,973,409.50	1.720	1.711	1.735 08	08/15/2024	1,506
40340         Capital One Prime Auto Receiv         02/19/2020         24,94,685,00         25,000,000.00         25,504,592,50         1,600         1,592           40411         Discover Card Execution Note         03/23/2020         15,131,250.00         15,000,000.00         15,527,319,00         3,320         2,792           40411         Discover Card Execution Note         03/23/2020         15,131,250.00         15,000,000.00         1,670         1,659           40341         GM Finci Auto Leasing Trust         02/17/2020         1,499,805.75         1,500,000.00         1,502,326.80         0,800         0,798           40512         GM Finci Auto Leasing Trust         03/17/2020         1,499,805.75         1,264,442.37         1,266,369.76         1,700         1,705           3776         HONDA AUTO RECEIVABLES OWNER T         03/28/2017         1,264,367.14         1,264,442.37         1,266,369.76         1,705           3776         HONDA AUTO RECEIVABLES OWNER T         03/28/2017         1,264,367.14         1,264,442.37         1,266,369.76         1,705           38738         HONDA AUTO RECEIVABLES OWNER T         05/27/2019         1,740,748.38         21,750,000.00         1,716,743.13         2,809           39013         HONDA AUTO RECEIVABLES OWNER T         05/27/2019	14042WAC4	39586	Capital One Prime Auto Receiv	05/30/2019	8,998,176.60	9,000,000.00	9,235,610.10	2.510	2.500	2.535 11	11/15/2023	1,232
4011         Discover Card Execution Note         03/23/2020         15,131,26.00         15,000,000.00         15,527,319.00         3.320         2.792           4031         GM Finici Auto Leasing Trust         02/19/2020         4,999,550.00         5,000,000.00         1,502,326,80         0.800         0.798         1           40512         GM Finici Auto Leasing Trust         02/17/2020         1,499,805.75         1,500,000.00         1,502,326,80         0.800         0.798         1         705           37615         HONDA AUTO RECEIVABLES OWNER T         03/28/2017         1,244,367.14         1,244,42.37         1,266,369.76         1,705         1705           37976         HONDA AUTO RECEIVABLES OWNER T         03/28/2017         1,244,36.75.36         4,734,48.99         4,714,34.18         1,666           37976         HONDA AUTO RECEIVABLES OWNER T         05/30/2018         8,992,725.62         8,992,921.67         9,118,020,40         3,100         2,809           3703         HONDA AUTO RECEIVABLES OWNER T         11/28/2018         21,746,748.38         21,756,000.00         1,1760         1,762           3703         HONDA AUTO RECEIVABLES OWNER T         05/29/2167         9,118,020,40         3,100         2,809         2,809         3,809           3703 </td <td>14043MAC5</td> <td>40340</td> <td>Capital One Prime Auto Receiv</td> <td>02/19/2020</td> <td>24,994,685.00</td> <td>25,000,000.00</td> <td>25,504,592.50</td> <td>1.600</td> <td>1.592</td> <td>1.614 11</td> <td>11/15/2024</td> <td>1,598</td>	14043MAC5	40340	Capital One Prime Auto Receiv	02/19/2020	24,994,685.00	25,000,000.00	25,504,592.50	1.600	1.592	1.614 11	11/15/2024	1,598
40311         GM Fin'cl Auto Leasing Trust         02/19/2020         4,999,550.00         5,000,0000         5,072,830.00         1,670         1,659           40512         GM Fin'cl Auto Leasing Trust         06/17/2020         1,499,805.75         1,500,000.00         1,502,326.80         0.800         0.798           37615         HONDA AUTO RECEIVABLES OWNER T         06/17/2020         1,499,805.75         1,504,442.37         1,266,3697.6         1,720         1,705           37615         HONDA AUTO RECEIVABLES OWNER T         03/28/2017         1,264,367.14         1,264,442.37         1,266,3697.6         1,720         1,705           3776         HONDA AUTO RECEIVABLES OWNER T         03/28/2017         1,734,075.36         4,734,481.89         4,747,434.18         1,666           38738         HONDA AUTO RECEIVABLES OWNER T         05/30/2018         8,992,725.62         8,992,921.67         9,118,020.40         3,101         3,105           39739         HONDA AUTO RECEIVABLES OWNER T         05/30/2018         21,746,748.38         21,750,000.00         1,412,677.413         3,160         3,144           39769         HONDA AUTO RECEIVABLES OWNER T         02/27/2019         13,746,613.167         0,14,126,770.50         2,520         2,500           39769         HONDA AUTO RECEIVABL	254683CH6	40411	Discover Card Execution Note	03/23/2020	15,131,250.00	15,000,000.00	15,527,319.00	3.320	2.792	2.831 03	03/15/2024	1,353
40512         GM Fin'cl Auto Leasing Trust         06/17/2020         1,499,805.75         1,500,000.00         1,502,326.80         0.800         0.798           37615         HONDA AUTO RECEIVABLES OWNER T         03/28/2017         1,264,367.14         1,264,442.37         1,506,000.00         1,502,326.80         0.800         0.798           37615         HONDA AUTO RECEIVABLES OWNER T         03/28/2017         1,264,367.14         1,264,442.37         1,266,369.76         1.720         1.705           37916         HONDA AUTO RECEIVABLES OWNER T         05/37/2017         4,734,075.36         4,734,484.89         4,747,434.18         1.666           38738         HONDA AUTO RECEIVABLES OWNER T         05/30/2018         8,992,725.62         8,992,921.67         9,118,020.40         3,100         2.988           39013         HONDA AUTO RECEIVABLES OWNER T         05/30/2018         21,746,748.38         21,750,000.00         14,12,77         3,114         2.830         2.809           39013         HONDA AUTO RECEIVABLES OWNER T         05/30/2019         13,749,631.50         13,749,631.60         17,700,000.00         14,12,77         2.314         2.830         2.890         3.903           39013         HONDA AUTO RECEIVABLES OWNER T         05/30/2019         13,749,631.50         13,749,631.60<	36259KAD9	40341	GM Fin'ch Auto Leasing Trust	02/19/2020	4,999,550.00	5,000,000.00	5,072,830.00	1.670	1.659	1.682 12	12/20/2022	902
37615       HONDA AUTO RECEIVABLES OWNER T       03/28/2017       1,264,367.14       1,264,442.37       1,266,369.76       1.720       1.705         3776       HONDA AUTO RECEIVABLES OWNER T       06/27/2017       4,734,075.36       4,734,489       4,747,434.18       1.666         3776       HONDA AUTO RECEIVABLES OWNER T       06/27/2017       4,734,075.36       4,734,489       4,747,434.18       1.666         3776       HONDA AUTO RECEIVABLES OWNER T       05/30/2018       8,992,725.62       8,992,921.67       9,118,020.40       3.010       2.988         3737       HONDA AUTO RECEIVABLES OWNER T       05/30/2018       8,992,725.62       8,992,921.67       9,118,020.40       3.144         3703       HONDA AUTO RECEIVABLES OWNER T       02/27/2019       13,746,548.38       21,756,000.00       14,126,743.13       2.830       2.889         39013       HONDA AUTO RECEIVABLES OWNER T       02/27/2019       13,746,548.38       21,756,000.00       17,509,770.50       2.520       2.500         39777       HONDA AUTO RECEIVABLES OWNER T       05/27/2019       15,999,365.90       17,600,000.00       17,509,770.50       2.520       2.500         39777       HONDA AUTO RECEIVABLES OWNER T       05/29/2019       16,999,365.90       17,600,000.00       17,609,770.50	36259PAD8	40512	GM Fin'cl Auto Leasing Trust	06/17/2020	1,499,805.75	1,500,000.00	1,502,326.80	0.800	0.798	0.809 07	07/20/2023	1,114
3776       HONDA AUTO RECEIVABLES OWNER T       06/27/2017       4,734,075.36       4,734,484.89       4,747,434.18       1.680       1.666         38738       HONDA AUTO RECEIVABLES OWNER T       05/30/2018       8,992,725.62       8,992,921.67       9,118,020.40       3.010       2.988         38738       HONDA AUTO RECEIVABLES OWNER T       11/28/2018       21,746,748.38       21,750,000.00       22,261,925.40       3.160       3.144         39013       HONDA AUTO RECEIVABLES OWNER T       11/28/2019       13,749,631.50       13,750,000.00       14,126,743.13       2.830       2.809         39269       HONDA AUTO RECEIVABLES OWNER T       02/27/2019       13,749,631.50       13,750,000.00       14,126,743.13       2.830       2.809         39769       HONDA AUTO RECEIVABLES OWNER T       05/29/2019       15,499,336.590       17,000,000.00       17,000,000.00       17,509,770.50       2.520       2.500         39777       HONDA AUTO RECEIVABLES OWNER T       05/29/2019       16,496,766.00       16,600.00       17,509,770.50       2.520       2.500         39777       HONDA AUTO RECEIVABLES OWNER T       02/26/2020       16,496,766.00       16,500.000.00       17,509,770.50       2.520       2.500         39777       HONDA AUTO RECEIVABLES OWNER T       0	43814TAC6	37615	HONDA AUTO RECEIVABLES OWNER T	03/28/2017	1,264,367.14	1,264,442.37	1,266,369.76	1.720	1.705	1.729 07.	07/21/2021	385
38738       HONDA AUTO RECEIVABLES OWNER T       05/30/2018       8,992,725,62       8,992,921.67       9,118,020.40       3.010       2.988         39013       HONDA AUTO RECEIVABLES OWNER T       11/28/2018       21,746,748.38       21,750,000.00       22,261,925,40       3.160       3.144         39013       HONDA AUTO RECEIVABLES OWNER T       11/28/2019       13,749,631.50       13,750,000.00       14,126,743.13       2.809       2809         39269       HONDA AUTO RECEIVABLES OWNER T       02/27/2019       13,749,631.50       13,750,000.00       14,126,743.13       2.830       2.809         39777       HONDA AUTO RECEIVABLES OWNER T       05/29/2019       15,499,365.90       17,000,000.00       17,509,770.50       2.550       2.500         39777       HONDA AUTO RECEIVABLES OWNER T       05/29/2019       16,499,365.90       17,600,000.00       17,509,770.50       2.550       2.500         39777       HONDA AUTO RECEIVABLES OWNER T       08/27/2019       7,249,939.83       7,250,000.00       17,509,770.50       2.500       2.500         39777       HONDA AUTO RECEIVABLES OWNER T       08/27/2019       7,249,939.83       7,250,000.00       16,899,889.05       1.610       1.602         40017       HYNNDAI AUTO RECEIVABLES TRUST       11/1/06/2019	43811BAC8	37976	HONDA AUTO RECEIVABLES OWNER T	06/27/2017	4,734,075.36	4,734,484.89	4,747,434.18	1.680	1.666	1.690 08	08/16/2021	411
39013       HONDA AUTO RECEIVABLES OWNER T       11/28/2018       21,746,748.38       21,750,000.00       22,261,925.40       3.160       3.144         39269       HONDA AUTO RECEIVABLES OWNER T       02/27/2019       13,749,631.50       13,750,000.00       14,126,743.13       2.830       2809         39269       HONDA AUTO RECEIVABLES OWNER T       02/27/2019       13,749,631.50       13,750,000.00       17,509,770.50       2.520       2.809         39777       HONDA AUTO RECEIVABLES OWNER T       05/29/2019       16,999,365.90       17,000,000.00       17,509,770.50       2.550       2.500         39777       HONDA AUTO RECEIVABLES OWNER T       08/27/2019       7,249,939.83       7,250,000.00       7,399,030.28       1.760       1.762         40346       HONDA AUTO RECEIVABLES OWNER T       08/27/2019       7,249,939.83       7,250,000.00       16,899,889.05       1.610       1.602         40017       HYUNDAI AUTO RECEIVABLES TRUST       11/166/2019       11,749,458.33       11,750,000.00       12,043,415.13       1.940       1.923         40017       HYUNDAI AUTO RECEIVABLES TRUST       07/29/2020       9,997,819.00       10,000,000.00       12,043,415.13       1.940       1.923         40017       Harley-Davidson Motorcycle       07/29/2020       9,99	43814UAG4	38738	HONDA AUTO RECEIVABLES OWNER T	05/30/2018	8,992,725.62	8,992,921.67	9,118,020.40	3.010	2.988	3.030 05	05/18/2022	686
39269         HONDA AUTO RECEIVABLES OWNER T         02/27/2019         13,749,631.50         13,750,000.00         14,126,743.13         2.830         2.809           39582         HONDA AUTO RECEIVABLES OWNER T         05/29/2019         16,999,365.90         17,000,000.00         17,509,770.50         2.520         2.500           39582         HONDA AUTO RECEIVABLES OWNER T         05/29/2019         16,999,365.90         17,000,000.00         17,509,770.50         2.520         2.500           39777         HONDA AUTO RECEIVABLES OWNER T         08/27/2019         7,249,939.83         7,250,000.00         7,399,030.28         1.780         1.762           40346         HONDA AUTO RECEIVABLES OWNER T         02/26/2020         16,496,766.00         16,500,000.00         16,899,889.05         1.610         1.602           40017         HYUNDAI AUTO RECEIVABLES TRUST         11/166/2019         11,749,458.33         11,750,000.00         12,043,415.13         1.940         1.923           40017         Harley-Davidson Motorcycle         01/29/2020         9,997,819.00         10,000,000         10,186,644.00         1.923	43815AAC6	39013	HONDA AUTO RECEIVABLES OWNER T	11/28/2018	21,746,748.38	21,750,000.00	22,261,925.40	3.160	3.144	3.188 01	01/17/2023	930
39582       HONDA AUTO RECEIVABLES OWNER T       05/29/2019       16,999,365.90       17,000,000.00       17,509,770.50       2.520       2.500         39777       HONDA AUTO RECEIVABLES OWNER T       08/27/2019       7,249,939.83       7,250,000.00       7,399,030.28       1.780       1.762         39777       HONDA AUTO RECEIVABLES OWNER T       08/27/2019       7,249,939.83       7,250,000.00       7,399,030.28       1.762         40346       HONDA AUTO RECEIVABLES OWNER T       02/26/2020       16,496,766.00       16,500,000.00       16,899,889.05       1.610       1.602         40017       HYUNDAI AUTO RECEIVABLES TRUST       11/06/2019       11,749,458.33       11,750,000.00       12,043,415.13       1.940       1.923         40294       Harley-Davidson Motorcycle       01/29/2020       9,997,819.00       10,000,000.00       10,186,644.00       1.870       1.861	43814WAC9	39269	HONDA AUTO RECEIVABLES OWNER T	02/27/2019	13,749,631.50	13,750,000.00	14,126,743.13	2.830	2.809	2.848 03	03/20/2023	992
39777         HONDA AUTO RECEIVABLES OWNER T         08/27/2019         7,249,939.83         7,250,000.00         7,399,030.28         1.780         1.762           40346         HONDA AUTO RECEIVABLES OWNER T         02/26/2020         16,496,766.00         16,500,000.00         16,899,889.05         1.610         1.602           40017         HYUNDAI AUTO RECEIVABLES OWNER T         02/26/2019         11,749,458.33         11,750,000.00         12,043,415.13         1.940         1.923           40017         HYUNDAI AUTO RECEIVABLES TRUST         11/06/2019         11,749,458.33         11,750,000.00         12,043,415.13         1.940         1.923           40294         Harley-Davidson Motorcycle         01/29/2020         9,997,819.00         10,000,000         10,186,644.00         1.870         1.861	43815MAC0	39582	HONDA AUTO RECEIVABLES OWNER T	05/29/2019	16,999,365.90	17,000,000.00	17,509,770.50	2.520	2.500	2.535 06	06/21/2023	1,085
40346         HONDA AUTO RECEIVABLES OWNER T         02/26/2020         16,496,766.00         16,500,000.00         16,899,889.05         1.610         1.602           40017         HYUNDAI AUTO RECEIVABLES TRUST         11/06/2019         11,749,458.33         11,750,000.00         12,043,415.13         1.940         1.923           40294         Harley-Davidson Motorcycle         01/29/2020         9,997,819.00         10,000.000         10,186,644.00         1.870         1.861	43815NAC8	39777	HONDA AUTO RECEIVABLES OWNER T	08/27/2019	7,249,939.83	7,250,000.00	7,399,030.28	1.780	1.762	1.787 08	08/15/2023	1,140
40017 HYUNDAI AUTO RECEIVABLES TRUST 11/06/2019 11,749,458.33 11,750,000.00 12,043,415.13 1.940 1.923 4024 Harley-Davidson Motorcycle 01/29/2020 9,997,819.00 10,000,000 00 10,186,644,00 1.870 1.861	43813RAC1	40346	HONDA AUTO RECEIVABLES OWNER T	02/26/2020	16,496,766.00	16,500,000.00	16,899,889.05	1.610	1.602	1.625 04	04/21/2024	1,390
40294 Harley-Davidson Motorcycle 01/29/2020 9,997,819.00 10,000.000 00 10,186,644.00 1.870 1.861	44891JAC2	40017	HYUNDAI AUTO RECEIVABLES TRUST	11/06/2019	11,749,458.33	11,750,000.00	12,043,415.13	1.940	1.923	1.950 02	02/15/2024	1,324
	41284UAD6	40294	Harley-Davidson Motorcycle	01/29/2020	9,997,819.00	10,000,000.00	10,186,644.00	1.870	1.861	1.886 10	10/15/2024	1,567

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Portfolio SCL2

CUSIP	Investment #	lssuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YТМ 360	YTM Maturity Days To אני Date Maturity	urity Days To Date Maturity
Asset Backed Securities (ABS)	securities (ABS)									
47788CAC6	38476	JOHN DEERE OWNER TRUST	02/28/2018	3,677,170.31	3,677,434.72	3,702,326.91	2.660	2.641	2.678 04/18/2022	656
477870AC3	39706	JOHN DEERE OWNER TRUST	07/24/2019	8,748,142.38	8,750,000.00	8,908,736.38	2.210	2.199		-
58772TAC4	39190	MERCEDES-BENZ AUTO LEASE TRUST	01/30/2019	00'202'666'6	10,000,000.00	10,096,896.00	3.100	3.079		
58769QAC5	40062	MERCEDES-BENZ AUTO LEASE TRUST	11/20/2019	9,998,410.00	10,000,000.00	10,150,395.00	2.000	1.991	`	
58770FAC6	40392	MERCEDES-BENZ AUTO LEASE TRUST	03/17/2020	29,889,843.75	30,000,000.00	30,459,927.00	1.840	2.080	`	
58770FAC6	40410	MERCEDES-BENZ AUTO LEASE TRUST	03/23/2020	5,895,000.00	6,000,000.00	6,091,985.40	1.840	3.073	•	
58769TAD7	39883	MERCEDES -BENZ AUTO REC TRUST	09/25/2019	26,996,282.10	27,000,000.00	27,599,940.00	1.930	1.917	1.944 03/15/2024	-
58769VAC4	40515	MERCEDES -BENZ AUTO REC TRUST	06/23/2020	4,999,609.50	5,000,000.00	5,001,562.00	0.550	0.546	-	
65478DAD9	38475	NISSAN AUTO LEASE TRUST	02/28/2018	10,799,829.48	10,801,064.04	10,892,932.49	2.650	2.585	2.621 05/16/2022	
65479HAC1	39578	Nissan Auto Receivables Owner	05/28/2019	19,995,478.00	20,000,000.00	20,583,786.00	2.500	2.489	2.523 11/15/2023	-
89238KAD4	38137	TOYOTA AUTO REC OWNER TRUST	11/15/2017	10,348,491.19	10,349,445.41	10,410,728.62	1.930	1.914	1.940 01/18/2022	
89231PAD0	38958	TOYOTA AUTO REC OWNER TRUST	11/07/2018	9,997,837.00	10,000,000.00	10,258,004.00	3.180	3.167	3.211 03/15/2023	
89233MAD5	40038	TOYOTA AUTO REC OWNER TRUST	11/13/2019	19,498,592.10	19,500,000.00	20,010,449.55	1.920	1.904	1.931 01/15/2024	1,293
92868LAD3	40416	VOLKSWAGEN AUTO LOAN ENHANCED	03/24/2020	19,917,968.75	20,000,000.00	20,368,524.00	3.020	3.281	3.326 11/21/2022	873
92867XAD8	39913	Volkswagen Auto Lease Trust	10/04/2019	10,499,834.10	10,500,000.00	10,677,624.30	1.990	1.971	1.999 11/21/2022	873
92349GAA9	39612	VERIZON OWNER TRUST	06/12/2019	34,997,553.50	35,000,000.00	35,921,700.50	2.330	2.312	2.344 12/20/2023	1,267
92348AAA3	39920	VERIZON OWNER TRUST	10/08/2019	23,998,149.60	24,000,000.00	24,619,972.80	1.940	1.924	1.951 04/20/2024	1,389
		Subtotal a	Subtotal and Average	660,107,365.09	660,404,007.06	673,712,902.67	I	2.207	2.238	1,161
Municipal Bonds	<u>s</u>						Vene III - 1			
13063CP87	36731	CALIFOR VIA ST	04/28/2016	14,988,670.05	15,000,000.00	15,105,750.00	1.500	1.608	1.630 04/01/2021	274
65887PHS7	37523	North Dakota State Finance	03/06/2017	521,587.69	500,000.00	529,230.00	5.000	1.400		
7976466Q4	40498	SAN FRANCISCO CITY & CNTY CA	05/07/2020	1,349,296.04	1,250,000.00	1,367,400.00	5.000	0.877	-	
91412GWY7	39314	UNIVERSITY CALIFORNIA REVS	03/15/2019	4,018,517.26	4,000,000.00	4,159,760.00	2.826	2.530	2.566 05/15/2022	
91412HEX7	39321	UNIVERSITY CALIFORNIA REVS	03/19/2019	1,000,000.00	1,000,000.00	1,053,280.00	2.657	2.620		,
91412HEW9	39322	UNIVERSITY CALIFORNIA REVS	03/19/2019	665,000.00	665,000.00	688,866.85	2.608	2.571	-	
917542WF5	40481	UTAH ST	04/23/2020	3,368,620.56	3,000,000.00	3,421,020.00	5.000	0.828	0.840 07/01/2023	<del>, -</del>
		Subtotal and Ave	and Average	25,911,691.60	25,415,000.00	26,325,306.85	ł	1.672	1.695	511
<b>Commercial Paper, Discount</b>	per, Discount									
02314PH79	40463	Amazon	04/16/2020	49,979,669.25	50,000,000.00	49,995,496.50	0.400	0.400	0.406 08/07/2020	37
02314PH79	40464	Δmazon		10 070 / / 0 20						

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	unt 6 6 5 9 8 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1	Boeing Company CARGILL INC CARGILL INC CARGILL INC CHEVRON CORP. CHEVRON CORP.	1 111 1 111 1 111 1 11 1 11 1 1 1 1 1	Book Value	Par Value	Market Value	Rate	360	365	Date Maturity	ity Io
	- 0 0 0 0 -	Boeing Company CARGILL INC CARGILL INC CARGILL INC CHEVRON CORP. CHEVRON CORP.									
		CARGILL INC CARGILL INC CARGILL INC CHEVRON CORP. CHEVRON CORP.	11/13/2019	49,909,918.99	50,000,000.00	49,980,151.50	2.140	2.199	2.230 07/3	07/31/2020	30
	-0000	CARGILL INC CARGILL INC CHEVRON CORP. CHEVRON CORP.	04/09/2020	18,956,330.83	19,000,000.00	18,993,660.27	1.300	1.306	-	09/04/2020	65
		CARGILL INC CHEVRON CORP. CHEVRON CORP.	04/09/2020	19,929,068.98	20,000,000.00	19,989,258.20	1.350	1.359	1.378 10/0	10/05/2020	96
	9 O O F	CHEVRON CORP. CHEVRON CORP.	04/13/2020	14,935,745.60	15,000,000.00	14,989,917.45	1.400	1.433	1.453 10/1	10/19/2020	110
	00-	CHEVRON CORP.	03/18/2020	49,852,272.49	50,000,000.00	49,991,860.00	1.850	1.865	1.891 08/2	08/28/2020	58
	0 -		03/30/2020	29,934,045.37	30,000,000.00	29,997,297.90	2.160	2.176	2.207 08/0	08/07/2020	37
	-	HONEYWELL	04/07/2020	49,933,181.67	50,000,000.00	49,992,465.50	1.430	1.436	1.456 08/0	08/04/2020	34
		HONEYWELL	04/09/2020	49,638,345.87	50,000,000.00	49,919,578.00	1.400	1.433	1.453 01/0	01/04/2021	187
	œ	IBM	03/27/2020	24,890,253.21	25,000,000.00	24,980,344.50	1.300	1.335	1.353 10/3	10/30/2020	121
	4	JP Morgan Securities	01/14/2020	49,925,031.82	50,000,000.00	49,993,498.50	1.790	1.828	1.854 07/3	07/31/2020	30
	8	JP Morgan Securities	01/15/2020	24,927,446.36	25,000,000.00	24,992,666.00	1.790	1.832	-	08/28/2020	58
	2	Coca-Cola Co	03/12/2020	49,684,758.51	50,000,000.00	49,902,637.00	1.080	1.104	1.119 01/2	01/28/2021	211
		Coca-Cola Co	03/24/2020	39,931,332.10	40,000,000.00	39,994,798.80	2.080	2.095	2.124 07/3	07/31/2020	30
	œ	Coca-Cola Co	03/25/2020	24,874,222.87	25,000,000.00	24,988,581.25	2.100	2.169	2.200 09/2	09/25/2020	86
	6	METLIFE SHORT TERM FUND	12/09/2019	44,946,236.63	45,000,000.00	44,997,600.15	1.850	1.900	1.927 07/2	07/24/2020	23
	7	METLIFE SHORT TERM FUND	03/18/2020	23,194,326.90	23,250,000.00	23,246,214.90	1.500	1.510	1.531 08/2	08/28/2020	58
	0	NATL SEC CLEARING CORP (DTC)	01/15/2020	29,981,388.53	30,000,000.00	29,999,066.70	1.730	1.745	1.769 07/1	07/14/2020	13
	8	NATL SEC CLEARING CORP (DTC)	04/08/2020	49,984,614.75	50,000,000.00	49,998,111.00	0.700	0.701	0.711 07/1	07/17/2020	16
	6	NATL SEC CLEARING CORP (DTC)	06/24/2020	39,997,857.83	40,000,000.00	39,998,755.60	0.150	0.150	0.152 07/1	07/14/2020	13
	œ	NATL SEC CLEARING CORP (DTC)	06/29/2020	24,998,242.38	25,000,000.00	24,999,055.50	0.160	0.160	0.162 07/1	07/17/2020	16
	2	PRIVATE EXPORT FUND CORPORATIO	03/25/2020	49,844,327.07	50,000,000.00	49,985,332.00	1.950	1.966	1.993 08/2	08/28/2020	58
	4	PEPSICO INC	03/24/2020	49,834,699.74	50,000,000.00	49,984,442.50	1.990	2.007	2.035 08/3	08/31/2020	61
	-	PEPSICO INC	03/25/2020	59,554,808.54	60,000,000,00	59,954,647.80	2.250	2.323	2.355 10/2	10/27/2020	118
	~	PFIZER	03/09/2020	49,950,494.64	50,000,000.00	49,995,027.00	0.900	0.903	0.916 08/1	08/10/2020	40
	а 0	PFIZER	03/18/2020	18,131,184.07	18,225,000.00	18,219,621.07	2.200	2.273	2.305 09/2	09/23/2020	84
	8	PFIZER	04/14/2020	14,972,468.26	15,000,000.00	14,996,326.65	0.900	0.903	0.916 09/1	09/14/2020	75
89116EH71 40316	5	TORONTO DOMINION HOLDINGS USA	02/07/2020	49,916,367.10	50,000,000.00	50,000,000.00	1.640	1.653	1.676 08/0	08/07/2020	37
	m	Walt Disney Company	03/13/2020	29,915,039.80	30,000,000.00	29,956,657.80	1.120	1.150	1.166 09/3	09/30/2020	91
2546R2HU3 40395	ю	Walt Disney Company	03/18/2020	49,852,272.49	50,000,000.00	49,965,255.50	1.850	1.865	1.891 08/2	08/28/2020	58
	4	Walt Disney Company	04/08/2020	24,917,751.89	25,000,000.00	24,980,618.75	1.950	1.965	1.992 09/0	09/01/2020	62
	0	WALMART STORES	03/18/2020	49,967,221.27	50,000,000.00	49,998,111.00	1.490	1.497	1.518 07/1	07/17/2020	16
30229AHX0 40383	m	Exxon Mobil Group	03/16/2020	49,884,581.14	50,000,000.00	49,991,216.50	1.390	1.399	1.418 08/3	08/31/2020	61

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			7	June 30, 2020						1	
cusip	Investment #	lssuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM Mai 365	Maturity Days To Date Maturity	ity J
		Subtot	Subtotal and Average	1,307,125,176.20	1,310,475,000.00	1,309,963,768.29		1.488	1.508		99
Federal Agency Discount Notes	<b>Discount Notes</b>										
313385BE5	40457	FHLB DISCOUNT	04/14/2020	65,899,606.30	66,000,000.00	65,930,040.00	0.260	0.264	0.267 01/2	01/29/2021	212
31315KM98	40450	FARMER MAC DISCOUNT NOTE	04/09/2020	59,942,590.48	60'000'000'09	59,964,866.40	0.280	0.284		11/02/2020	124
76116FAE7	40426	REFCORP	03/26/2020	34,927,220.60	35,000,000.00	34,968,756.90	0.708	0.720	0.730 10/1	10/15/2020	106
		Subtot	Subtotal and Average	160,769,417.38	161,000,000.00	160,863,663.30		0.371	0.376		156
Local Agency Investment Fund	vestment Fund										
SYS8506	8506	LOCAL AGENCY INVEST FUND	07/01/2015	42,763,798.18	42,763,798.18	42,763,798.18	1.218	1.201	1.218		-
		Subtota	Subtotal and Average	42,763,798.18	42,763,798.18	42,763,798.18	1	1.201	1.218		-
Money Market											
SYS40461	40461	Dreyfus Government Cash Mgmt	04/15/2020	350,003,850.34	350,003,850.34	350,003,850.34	060.0	0.088	060.0		-
SYS37590	37590	JP MORGAN US GOVT MMF	02/27/2017	200,000,000.00	200,000,000.00	200,000,000.00	0.070	0.069	0.070		-
SYS34292	34292	MORGAN STANLEY TRSY INSTL 8304	05/21/2013	83,130.39	83,130.39	83,130.39	090:0	0.059	0.060		-
SYS23519	23519	BLACKKROCK TREASURY LIQ FUND	02/01/2008	532,476,944.62	532,476,944.62	532,476,944.62	0.110	0.108	0.110		-
		Subtot	Subtotal and Average	1,082,563,925.35	1,082,563,925.35	1,082,563,925.35	1	0.095	0.096		-
Supranationals - Green Bond	- Green Bond										
45818WBU9	38324	IADB	12/21/2017	25,000,000.00	25,000,000.00	24,990,671.25	1.269	1.851	1.877 01/1!	01/15/2022	563
		Subtot	Subtotal and Average	25,000,000.00	25,000,000.00	24,990,671.25		1.852	1.878		563
Supranationals											
45818WBP0	38317	IADB	12/21/2017	45,016,268.03	45,000,000.00	44,972,100.00	1.289	1.772	1.797 07/1	07/15/2022	744
45818WBM7	38385	IADB	01/12/2018	20,038,729.06	20,000,000.00	20,026,533.60	1.419	1.875	1.901 07/1	07/15/2021	379
45818WBM7A	38739	IADB	05/30/2018	10,025,620.67	10,000,000.00	10,013,266.80	1.419	1.844	1.870 07/1	07/15/2021	379
4581X0DF2	39495	IADB	04/29/2019	17,124,276.81	17,000,000.00	18,346,417.17	2.625	2.372	2.405 01/1	01/16/2024 1,	1,294
459058GQ0	39367	INTL BANK RECON & DEVELOP	04/02/2019	5,026,505.43	5,000,000.00	5,392,556.50	2.500	2.315	2.347 03/1	03/19/2024 1,	1,357
459058HG1	39871	INTL BANK RECON & DEVELOP	09/23/2019	10,000,000.00	10,000,000.00	10,035,095.80	2.200	2.169	2.200 09/2:	09/23/2024 1,	1,545
45905U5S9	40146	INTL BANK RECON & DEVELOP	12/12/2019	25,000,000.00	25,000,000.00	25,163,436.75	2.000	1.767			1,625
459058GH0	40161	INTL BANK RECON & DEVELOP	12/16/2019 -	40,428,210.75	40,000,000.00	41,062,800.00	2.750	1.698	1.722 07/2	07/23/2021	387
		Subtot	Subtotal and Average	172,659,610.75	172,000,000.00	175,012,206.62		1.869	1.895		843

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Fund COMM - COMMINGLED POOL Investments by Fund

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#### Fund COMM - COMMINGLED POOL Investments by Fund June 30, 2020

Maturity Days To	Date Maturity	517
ΥТМ	365	1.539
YTM YTM	360	1.518 1.539
Current	Rate	
C	Market Value	9,084,210,851.48
	Par Value	8,968,235,171.92
	Book Value	8,977,141,112.16
Purchase	Date	Total Investments and Average
	lssuer	
	Investment #	
	CUSIP	

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Mortgage Backed Securities (MIS)           3134.6X49         39318         FinAMMH-Family         09/20219         2,953,135.00         3146,924.81         2,49         2,89         10/1202.41         127           3134.6X49         39318         FinAMMH-Family         09/20202         2,953,135.00         3146,924.91         2,49         0,72         0,73         0/127         1,29           3134.6X49         3873         FFC8 MOTES         3,44,90.00         3,47,36.69.1         3,44,161.30         2,497<	cusip	Investment #	İssuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YТМ 365	Maturity Days To Date Maturity	ys To turity
FVMA Multi-Family         03119/2019         295,3125.00         3.000,00000         3.146,924.34         2.747         2.794         2.83         1101/2024           FNMA Multi-Family         06/26/2023         94,783.16         7.83.266.91         494,688.66         2.339         0.729         0.739         01/32/020           FNMA Multi-Family         Subtotal and Average         3,443,906.16         3,443,906.15         3,443,906.15         2,497         2,897         2,897         2,497         2,697         01/32         01/32/202           FFCB NOTES         09/27/2018         2.995,793.25         1000.00000         1,655,506.34         2,897         2,897         2,897         2,897         2,817         1/302           FFCB NOTES         12/24/2018         2.995,655.21         1,500,0000         1,655,596.34         2,897         2,817         1/302         2/37         1/1/202/202           FHUB NOTES         12/24/2018         1,500,0000         0,500,0000         1,655,596.34         2,897         2,817         2,987         2/372         1/1/202/202           FHUB NOTES         12/24/2018         1,500,00000         1,552,596.34         2,896         0,797         0,798         0,712         0,712         0,712         0,712         0,712 </th <th>Mortgage Backe</th> <th>ed Securities (MBS)</th> <th></th>	Mortgage Backe	ed Securities (MBS)										
Subtotal and Average         3,449,908,16         3,478,286,51         3,641,613,00         2,497         2,532         1           FEG NOTES         09/27/2018         2,996,293,25         3,000,000 0         3,097,254,18         2,850         2,915         2,956         09/2022         1           FEG NOTES         09/27/2018         2,996,293,25         3,000,000 0         1,055,566,44         2,800         2,917         1/2022         1         1           FEG NOTES         12/24/2018         1,500,000 0         0,075,44,50         2,800         2,917         2,917         1/2022         1         1           FHG NOTES         12/24/2018         1,500,000 0         1,655,594,44         3,375         2,171         2,917         2/37         1         1         1         1         1         1         1         1         1         2 <t< td=""><td>3138LGKH8 3136AEX69</td><td>39318 40525</td><td>FNMA Multi-Family FNMA Multi-Family</td><td>03/19/2019 06/26/2020</td><td>2,953,125.00 496,783.16</td><td>3,000,000.00 478,286.91</td><td>3,146,924.34 494,688.66</td><td>2.470 2.389</td><td>2.794 0.728</td><td></td><td>01/01/2024 01/25/2023</td><td>1,279 938</td></t<>	3138LGKH8 3136AEX69	39318 40525	FNMA Multi-Family FNMA Multi-Family	03/19/2019 06/26/2020	2,953,125.00 496,783.16	3,000,000.00 478,286.91	3,146,924.34 494,688.66	2.470 2.389	2.794 0.728		01/01/2024 01/25/2023	1,279 938
FFCB NOTES         09/27/2018         2,996,293.25         3,000,000,00         3,097,254,16         2,855         2,956         69/20/2021           FFCB NOTES         1006/2018         995,079.32         1,000,000,00         1,055,566.34         2,800         2,971         3,038         09/13/2023         1           FFCB NOTES         12/24/2018         1,256,657.21         1,500,000,00         1,655,594,04         3,375         2,778         2,817         1/208/2023         1           FHUB NOTES         12/24/2018         1,526,657,21         1,500,000,00         2,675,744,50         2,800         2,697         3,038         09/13/2023         1           FHUB NOTES         12/24/2018         1,526,657,21         1,500,000,00         2,675,744,50         2,807         2,817         1/208/2023         1           FHUB NOTES         05/07/2020         1,1502,225,57         1,500,000,00         1,1922,389,29         0,738         0,738         0,738         0,738         0,739         0,730         0,730         0,730         0,7106/202         1,1           FHUB NOTES         05/19/2020         1,190,00,000         0,738,45         0,739         0,739         0,739         0,739         0,730         0,710         0,710/202         1,1					3,449,908.16	3,478,286.91	3,641,613.00	1	2.497	2.532	1	1,229
FFCB NOTES         09/27/2018         2,99,293.25         3,000,000.00         3,097,254.18         2,850         2,97         3,038         09/13/2021           FFCB NOTES         1005/2018         995,079,32         1,000,0000         1,055,506,34         2,800         2,997         3,038         09/13/2023         1/1           FFCB NOTES         12/24/2018         1,500,000,00         2,075,744.50         2,800         2,695         2,778         2,171/2021         1/1/1/2021           FHLB NOTES         12/30/2016         2,477,12104         2,500,000,00         1,615,15051         0,655,5404         3,375         2,778         2,817         1/2021/2023         1/1           FHLB NOTES         12/30/2020         1,500,000,00         1,615,15051         0,655,5404         3,375         2,778         2,817         1/2/22/2023         1/1           FHLM NOTES         12/30/2020         1,500,000,00         1,655,594,04         3,775         2,393         0,1/2/2023         1/1           FHLM NOTES         05/07/2020         1,1600,000,00         1,950,000,000         1,951,3023         0,1/2/2023         1/1           FHLM NOTES         Subtoral and Average         1,1499,249.01         1,1500,000,00         0,759         0,739         0,712/002	Federal Agency	Bonds										
FFG8 NOTEs         1005/2018         995,079,32         1,000,000,00         1,055,66,34         2,800         2,997         3038         9/13/2022           FFG8 NOTEs         12/24/2018         2,001,872,62         2,000,000,00         2,075,744.50         2,800         2,695         2,373         1/2/2021         1/2/2021           FHLB NOTEs         12/24/2018         1,556,657.21         1,500,000,00         1,552,659,404         3,375         2,778         2,817         12/081/2023         1/2           FHLB NOTEs         12/24/2018         1,502,2255,57         1,500,000,00         2,556,657,21         1,500,200,00         2,556,572         1,125         1,961         1,988         08/12/2021         1/2           FHUM NOTEs         05/07/2028         1,500,000,00         1,502,225,55,57         1,500,000,00         1,512,150,51         0,625         0,589         0,726         0,726         1,726           FHUM NOTEs         05/07/2028         1,499,249,01         1,500,000,00         1,502,235,53,59         0,756         0,756         0,790         0,712/2025         1,7           FHUM NOTEs         05/07/2028         1,490,000         0,000,000         2,001,016,633,478         0,756         0,790         0,790         0,7190,019         0,7190	3133EJZU6	38872	FFCB NOTES	09/27/2018	2,996,293.25	3,000,000.00	3,097,254.18	2.850	2.915		09/20/2021	446
FFCB NOTES         12/24/2018         2,001,872,62         2,000,000         0         2/075,744,50         2,695         2,778         2,778         2,711/2021           FHLB NOTES         1/24/2018         1,556,657.21         1,500,000.00         1,655,594,04         3,375         2,778         2,817         12/08/2023           FHLB NOTES         05/07/2020         1,552,657.21         1,500,000.00         2,556,599,72         1,125         1,961         1,968         08/12/2021           FNMA NOTES         05/07/2020         1,502,225.57         1,500,000.00         2,550,697.72         1,252         0.569         0.569         0.508         0.508         0.509         0.501/2020         0.501/20205         0.5000000         0.525,594,04         0.5756         0.593         0.4/22/2025           FNMA NOTES         05/19/2020         1,502,225.57         1,500,000.00         1,512,150.51         0.526         0.585         0.592         0.4/22/2025           FNMA NOTES         05/19/2020         3,000,000.00         1,900,000.00         1,922,839.29         2.356         2.389         0.126         0.792         0.792         0.792         0.792         0.792         0.792         0.792         0.792         0.792         0.792         0.792 <t< td=""><td>3133EJZH5</td><td>38879</td><td>FFCB NOTES</td><td>10/05/2018</td><td>995,079.32</td><td>1,000,000.00</td><td>1,055,506.34</td><td>2.800</td><td>2.997</td><td></td><td>09/13/2022</td><td>804</td></t<>	3133EJZH5	38879	FFCB NOTES	10/05/2018	995,079.32	1,000,000.00	1,055,506.34	2.800	2.997		09/13/2022	804
FHLB NOTES       12/24/2018       1,526,657.21       1,500,000.00       1,655,594,04       3.375       2.778       2.817       12/08/2023         FHLM NOTES       12/30/2016       2,477,121.04       2,500,000.00       2,526,5697.72       1,125       1,961       1,988       06/12/2021         FNMA NOTES       05/07/2020       1,502,225.57       1,500,000.00       1,512,150.51       0.655       0.593       0,47212021         FNMA NOTES       05/07/2020       1,502,225.57       1,500,000.00       1,512,150.51       0.655       0.593       0,42212025         FNMA NOTES       05/07/2020       1,502,225.57       1,500,000.00       1,512,150.51       0.655       0.593       0,42212025         FHLB NOTES       05/19/2020       3,000,000.00       3,001,107.63       0.739       0.750       0.739       0.750       0.790         FHLB NOTES       05/19/2020       2,000,000.00       2,000,000.00       2,000,000.00       0.753       0.739       0.750       0.790<	3133EJ3B3	39112	FFCB NOTES	12/24/2018	2,001,872.62	2,000,000.00	2,075,744.50	2.800	2.695		12/17/2021	534
FHLMC NOTES         12/30/2016         2.477,121.04         2,500,000.00         1,512,150.51         0.625         0.593         0,817/2023           FNMA NOTES         05/07/2020         1,502,225.57         1,500,000.00         0         1,512,150.51         0.625         0.593         0,422/2025           FNMA NOTES         05/07/2020         1,502,225.57         1,500,000.00         0         1,922,839.29         0,593         0,720         0,739         0,422/2025           FNMA NOTES         05/19/2020         3,000,000.00         3,001,107.63         0,750         0,739         0,720         0,790         0,710         0,720         0,790         0,719/2025           FHLB NOTES         05/19/2020         3,000,000.00         3,001,107.63         0,750         0,770         0,770         0,790         0,710         0,710         0,750         0,790         0,719         0,719/2025           FHLB NOTES         05/19/2020         5,000,000.00         2,000,000.00         2,000,000.00         0,750         0,750         0,770         0,790         0,790         0,790         0,790         0,790         0,790         0,790         0,790         0,790         0,790         0,790         0,790         0,790         0,790         0,790	3130A0F70	39114	FHLB NOTES	12/24/2018	1,526,657.21	1,500,000.00	1,655,594.04	3.375	2.778	2.817	12/08/2023	1,255
FNMA NOTES         05/07/2020         1,502,225.57         1,500,000.00         0         1,512,150.51         0.625         0.593         0.422/2025         1           Subtotal and Average         11,499,249.01         11,500,000.00         1,922,833.29         0.750         0.739         0.750         0.739         0.7197.025         1           FHLB NOTES         05/19/2020         3,000,000.00         3,000,000.00         2,000,000.00         0,750         0.739         0.750         0.7197.025         1           FHLB NOTES         05/19/2020         3,000,000.00         2,000,000.00         2,000,000.00         0,750         0.739         0.750         0.7197.025         1           FHLB NOTES         05/19/2020         2,000,000.00         2,000,000.00         2,000,000.00         0,750         0.750         0.776         0.750         0.7197.025         1         1           FHLB NOTES         Subtotal and Average         5,000,000.00         2,000,000.00         0,750         0.750         0.7190         0.750         0.7190         0.7190         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1<	3137EAEC9	37310	FHLMC NOTES	12/30/2016	2,477,121.04	2,500,000.00	2,526,589.72	1.125	1.961		08/12/2021	407
FHLB NOTES         Subtotal and Average         11,439,249.01         11,500,000.00         11,922,833.29         2.356         2.389         7           FHLB NOTES         05/19/2020         3,000,000.00         3,000,000.00         3,001,107.63         0.739         0.750         0.719/2025         1           FHLB NOTES         05/19/2020         3,000,000.00         2,000,000.00         2,000,000.00         0,738.42         0.739         0.750         0.719         1           FHLB NOTES         05/19/2020         2,000,000.00         2,000,000.00         2,000,738.42         0.750         0.750         0.770         0.719         1           FHLB NOTES         05/19/2020         2,000,000.00         2,000,000.00         2,000,738.42         0.750         0.770         0.779         1         1           BLACKKROCK TREASURY LID FUND         12/26/2013         9,480,534.78         9,480,534.78         9,480,534.78         9,480,534.78         0.110         0.108         0.110         1	3135G03U5	40497	FNMA NOTES	05/07/2020	1,502,225.57	1,500,000.00	1,512,150.51	0.625	0.585		04/22/2025	1,756
FHLB NOTES         05/19/2020         3,000,000.00         3,001,107.63         0.750         0.739         0.750         05/19/2025         1           FHLB NOTES         05/19/2020         2,000,000.00         3,001,107.63         0.750         0.739         0.750         0.793         0.790         05/19/2025         1           FHLB NOTES         05/19/2020         2,000,000.00         2,000,000.00         2,000,738.42         0.750         0.739         0.750         0.790         05/19/2025         1           Subtotal and Average         5,000,000.00         5,000,000.00         5,001,846.05         0.740         0.750         0.710         0.750         0.790         0710/20         0         1           BLACKKROCK TREASURY LIQ FUND         12/26/2013         9,480,534.78         9,480,534.78         9,480,534.78         0.110         0.108         0.110         0.109         0.101           Subtotal and Average         9,480,534.78         9,480,534.78         9,480,534.78         9,480,534.78         0.106         0.110         0.108         0.110         0.109         0.109         0.101         0.100         0.100         0.100         0.100         0.110         0.110         0.101         0.110         0.101         0.101 <td< td=""><td></td><td></td><td></td><td>Subtotal and Average</td><td>11,499,249.01</td><td>11,500,000.00</td><td>11,922,839.29</td><td>I</td><td>2.356</td><td>2.389</td><td></td><td>762</td></td<>				Subtotal and Average	11,499,249.01	11,500,000.00	11,922,839.29	I	2.356	2.389		762
40503       FHLB NOTES       05/19/2020       3,000,000.00       3,000,107.63       0.750       0.750       0.775       0.770       05/19/2025       1         40504       FHLB NOTES       05/19/2020       2,000,000.00       2,000,000.00       2,000,000.00       0,750       0.736       0.770       0.750       0.710       0.710       0.710       0.710 <td>Federal Agency</td> <td>Bonds - CALLABLI</td> <td>щ</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>	Federal Agency	Bonds - CALLABLI	щ									
40504         FHLB NOTES         05/19/2020         2,000,000.00         2,000,000.00         2,000,738.42         0.750         0.7760         0.7750         0.7700<	3130AJLA5	40503	FHLB NOTES	05/19/2020	3,000,000.00	3,000,000.00	3,001,107.63	0.750	0.739		05/19/2025	1,783
Subtotal and Average       5,000,000.00       5,001,846.05       0.740       0.750       1         34789       BLACKKROCK TREASURY LIQ FUND       12/26/2013       9,480,534.78       9,480,534.78       9,480,534.78       0.110       0.108       0.10         Subtotal and Average       9,480,534.78       9,480,534.78       9,480,534.78       9,480,534.78       0.110       0.108       0.110         Total Investments and Average       29,480,534.76       9,480,534.76       9,480,534.78       0.108       0.100       0.108       0.100	3130AJLA5	40504	FHLB NOTES	05/19/2020	2,000,000.00	2,000,000.00	2,000,738.42	0.750	0.739		05/19/2025	1,783
34789     BLACKKROCK TREASURY LIQ FUND     12/26/2013     9,480,534.78     9,480,534.78     9,480,534.78     0.110     0.108     0.110       Subtotal and Average     9,480,534.78     9,480,534.78     9,480,534.78     9,480,534.78     0.106     0.106     0.110       Total Investments and Average     29,420,534.76     9,480,534.78     9,480,534.78     9,480,534.78     0.110     0.108     0.110       Total Investments and Average     29,426,691.95     29,458,821.69     30,046,833.12     1.374     1.393				Subtotal and Average	5,000,000.00	5,000,000.00	5,001,846.05	I	0.740	0.750		1,783
34789         BLACKROCK TREASURY LIQ FUND         12/26/2013         9,480,534.78         9,480,534.78         0.110         0.108         0.110           Subtotal and Average         9,480,534.78         9,480,534.78         9,480,534.78         0,100         0.108         0.110           Total Investments and Average         29,480,534.78         9,480,534.78         9,480,534.78         0.108         0.109           Total Investments and Average         29,480,534.78         29,480,534.76         30,046,833.12         1.374         1.393	Money Market											
9,480,534.78 9,480,534.78 9,480,534.78 0.108 0.110 29,429,691.95 29,458,821.69 30,046,833.12 1.374 1.393	SYS34789	34789	BLACKKROCK TREASURY L		9,480,534.78	9,480,534.78	9,480,534.78	0.110	0.108	0.110		-
29,429,691.95 29,458,821.69 30,046,833.12 1.374 1.393				Subtotal and Average	9,480,534.78	9,480,534.78	9,480,534.78	I	0.108	0.110		-
			Total	Investments and Average	29,429,691.95	29,458,821.69	30,046,833.12		1.374	1.393		745

Fund WK - WORKERS COMP Investments by Fund June 30, 2020

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CUSIP	Investment #	lssuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YТМ 360	YTM 365	Maturity Days To Date Maturity	/s To turity
Federal Agency Bonds	Bonds										
3133EJ3B3	39113	FFCB NOTES	12/24/2018	1,701,591.73	1,700,000.00	1,764,382.83	2.800	2.695	2.732	2.732 12/17/2021	534
3137EAEC9	37307	FHLMC NOTES	12/30/2016	495,424.21	500,000.00	505,317.95	1.125	1.961	1.988	1.988 08/12/2021	407
		Subtot	Subtotal and Average	2,197,015.94	2,200,000.00	2,269,700.78	ł	2.530	2.565		505
Money Market											
SYS33657	33657	BLACKKROCK TREASURY LIQ FUND	09/30/2012	2,138,795.49	2,138,795.49	2,138,795.49	0.110	0.108	0.110		-
		Subtot	Subtotal and Average	2,138,795.49	2,138,795.49	2,138,795.49	I	0.108	0.110		
		Total Investments and Average	ts and Average	4,335,811.43	4,338,795.49	4,408,496.27		1.335	1.354		256

Fund PCF - PARK CHARTER FUND Investments by Fund June 30, 2020

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Portfolio SCL2 AP FI (PRF\_FI) 7.1.1 Report Ver. 7.3.6.1

			Invest Ju	Investments by Fund June 30, 2020						Pag	Page 18
CUSIP	Investment #	lssuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM Ma 365	Maturity Days To Date Maturity	To
Municipal Bonds	~							200	600		
010411AE6	39793	Alabama State	08/30/2019	521,627.79	500,000.00	525,610.00	5.000	0.947	0.960 08/0	08/01/2021	396
041042UP5	40021	ARKANSAS ST	11/07/2019	520,862.93	500,000.00	529,945.00	3.000	1.094		10/01/2022	822
13063C5Q9	37543	CALIFORNIA ST	03/14/2017	530,875.06	500,000.00	549,185.00	5.000	1.844	-	08/01/2022	761
13063DSG4	40064	CALIFORNIA ST	11/20/2019	544,889.73	500,000.00	554,950.00	5.000	1.065		11/01/2022	853
20772JQ96	37546	Connecticut-F	03/09/2017	520,253.71	500,000.00	530,595.00	5.000	1.873	1.900 11/1	11/15/2021	502
246381NB9	39874	DELAWARE ST	09/23/2019	564,993.62	500,000.00	582,800.00	5.000	1.242	1.260 02/0	02/01/2024	1,310
341150M31	35185	FLORIDA STATE BOARD EDUCATION	06/27/2014	1,065,000.00	1,065,000.00	1,065,000.00	5.000	1.588	1.610 07/0	07/01/2020	0
373385CF8	40048	GEORGIA ST	11/15/2019	538,435.52	500,000.00	547,390.00	5.000	1.075	1.090 07/0	07/01/2022	730
419792JY3	39790	Hawaii State	08/30/2019	564,918.69	500,000.00	573,870.00	5.000	0.907	0.920 10/0	10/01/2023	1,187
57582RKW5	37561	MASSACHUSETTS ST	03/16/2017	211,278.21	200,000.00	216,478.00	5.000	1.607	1.630 04/0	04/01/2022	639
57582RWB8	39846	MASSACHUSETTS ST	09/13/2019	537,564.29	500,000.00	546,970.00	5.000	1.154	1.170 07/0	07/01/2022	730
57582RQU3	40039	MASSACHUSETTS ST	11/13/2019	112,438.66	100,000.00	115,486.00	5.000	1.154	1.170 11/0	11/01/2023	1,218
574193KT4	39795	MARYLAND ST	08/30/2019	108,147.95	100,000.00	109,837.00	5.000	1.006	1.020 08/0	08/01/2022	761
574193RL4	39807	MARYLAND ST	09/04/2019	559,996.80	500,000.00	571,995.00	5.000	1.006	1.020 08/0	08/01/2023	1,126
574193PA0	40057	MARYLAND ST	11/19/2019	323,946.76	300,000.00	329,511.00	5.000	1.085	1.100 08/0	08/01/2022	761
56052AZE9	39802	Maine State	09/03/2019	435,303.38	420,000.00	438,396.00	5.000	0.966	0.980 06/0	06/01/2021	335
6041294D5	35288	MINNESOTA ST	08/21/2014	200,568.43	200,000.00	200,784.00	5.000	1.410	1.430 08/0	08/01/2020	31
60412AQP1	39803	MINNESOTA ST	09/03/2019	560,813.49	500,000.00	572,990.00	5.000	0.957	0.970 08/0	08/01/2023	1,126
6041297C4	39813	MINNESOTA ST	09/05/2019	540,740.92	500,000.00	549,625.00	5.000	1.006	1.020 08/C		761
60412ADC4	40040	MINNESOTA ST	11/13/2019	431,676.62	400,000.00	439,700.00	5.000	1.115	0	08/01/2022	761
658256 <b>Z</b> 21	39792	NORTH CAROLINA ST	08/30/2019	557,567.36	500,000.00	568,590.00	5.000	0.956	0.970 06/0	06/01/2023	1,065
647293RQ4	39826	NEW MEXICO ST SEVERANCE TAX	09/10/2019	532,407.41	500,000.00	538,800.00	5.000	1.035	1.050 03/0	03/01/2022	608
677521Q82	37526	OHIO ST	03/08/2017	317,730.08	305,000.00	322,790.65	5.000	1.509	1.530 10/0	10/01/2021	457
677522XY5	39812	OHIO ST	09/05/2019	531,040.65	500,000.00	537,440.00	5.000	1.006	1.020 02/0	22/01/2022	580
677522Q80	40063	OHIO ST	11/20/2019	549,946.82	500,000.00	562,015.00	5.000	1.155	1.171 03/0	03/01/2023	973
68609BSH1	39791	OREGON ST	08/30/2019	575,510.55	500,000.00	587,690.00	5.000	0.948	-	05/01/2024	400
68609TJY5	39873	OREGON ST	09/23/2019	206,760.66	200,000.00	208,722.00	5.000	1.242	1.260 06/0	06/01/2021	335
882723RA9	40026	TEXAS STATE REFUNDING	11/08/2019	552,053.92	500,000.00	564,490.00	5.000	1,114	1.130 04/0	04/01/2023	1,004
917542UG5	40033	UTAH ST	11/12/2019	556,392.67	500,000.00	570,170.00	5.000	1.134	1.150 07/0	07/01/2023	1,095
9242582V7	39794	VERMONT ST	08/30/2019	551,832.80	500,000.00	561,570.00	5.000	0.956	0.970 02/1	02/15/2023	959
93974CC65	37524	WASHINGTON ST	03/08/2017	517,559.56	500,000.00	523,690.00	5.000	1.352	1.371 07/0	07/01/2021	365
93974DM70	40027	WASHINGTON ST	11/08/2019	633,427.89	550,000.00	651,920.50	5.000	1.154	1.170 08/0	08/01/2024 1	1,492

Fund SJE - SAN JOSE- EVERGREEN Investments by Fund

Run Date: 07/28/2020 - 10:51

AP FI (PRF\_FI) 7.1.1 Report Ver. 7.3.6.1 Portfolio SCL2

Current         YTM         WTM         Maturity Days           0         543,060.00         5.000         1.647         1.670         05/01/2022           0         541,094.20         5.000         1.183         1.200         06/01/2022           1         16,933,159.35         1.1183         1.208         06/01/2023         1           4,241,655.71         0.010         0.009         0.010         2.006         0.010           21,174,815,06         0.952         0.965         0.965         0.966				Fund SJE - S/ Inves Ju	Fund SJE - SAN JOSE- EVERGREEN Investments by Fund June 30, 2020	GREEN					Page 19	0 0
37117       WISCONSIN STATE       03/29/2017       529,143.40       500,000.00       543,060.00       5,000       1.647       1.670       05/01/2022         40032       West Virginia State       11/12/2019       626,120.06       565,000.00       641,094.20       5.000       1.847       1.670       05/01/2023       1         40032       West Virginia State       11/12/2019       626,120.06       641,094.20       5.000       1.183       1.200       06/01/2023       1         About and Average       16,631,826.39       15,405,000.00       641,094.20       5.000       1.183       1.200       06/01/2023       1         ax Exempt       Subtotal and Average       4,241,655.71       4,241,655.71       4,241,655.71       0.010	CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YТМ 365	Maturity Days Date Matur	it o
37717       WISCONSIN STATE       03/29/2017       529,143.40       560,000.00       543,060.00       1.647       1.670       6601/2023       1         40032       West Virginia State       11/12/2019       626,120.06       565,000.00       641,094.20       5.000       1.183       1.200       06/01/2023       1         40032       West Virginia State       11/12/2019       626,120.06       565,000.00       641,094.20       5.000       1.183       1.200       06/01/2023       1         Subtotal and Average       16,631,826.39       15,405,000.00       641,094.20       5.000       1.183       1.200       06/01/2023       1         Subtotal and Average       16,631,826.31       15,405,000.00       16,933,159.35       1.192       1.203       0       06/01/2023       1         Subtotal and Average       16,631,826.31       4,241,655.71       4,241,655.71       4,241,655.71       0.010       0.010       0.010       0.010       0 </th <th>Municipal Bonds</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> <th>222</th> <th></th> <th></th>	Municipal Bonds									222		
40032       West Virginia State       11/12/2019       626,120.06       565,000.00       641,094.20       5.000       1.183       1.200       6601/2023       1         Subtotal and Average       16,631,826.39       15,405,000.00       16,933,159.35       1.192       1.200       06/01/2023       1         Inter Tax Exempt       11/12/2012       4,241,655.71       4,241,655.71       0.010       0.009       0.010       0.009       0.010       0	97705MFT7	37717	WISCONSIN STATE	03/29/2017	529,143.40	500,000.00	543,060.00	5.000	1.647	1.670	05/01/2022	669
Subtotal and Average     16,631,826.39     15,405,000.00     16,933,159.35     1.192     1.208       Dreylus Tax Exempt Inst     09/25/2012     4,241,655.71     4,241,655.71     0.010     0.009     0.010       Subtotal and Average     4,241,655.71     4,241,655.71     4,241,655.71     0.010     0.010     0.010       Total Investments and Average     20,873,482.10     19,646,655.71     21,174,815.06     0.952     0.965	956553XU0	40032	West Virginia State	11/12/2019	626,120.06	565,000.00	641,094.20	5.000	1.183	1.200		1,065
Dreyfus Tax Exempt Inst         09/25/2012         4,241,655.71         4,241,655.71         0.010         0.009         0.010           Subtotal and Average         4,241,655.71         4,241,655.71         4,241,655.71         0.010 <th< td=""><td></td><td></td><td></td><td>Subtotal and Average</td><td>16,631,826.39</td><td>15,405,000.00</td><td>16,933,159.35</td><td>I</td><td>1.192</td><td>1.208</td><td></td><td>802</td></th<>				Subtotal and Average	16,631,826.39	15,405,000.00	16,933,159.35	I	1.192	1.208		802
33615     Dreyfus Tax Exempt Inst     09/25/2012     4,241,655.71     4,241,655.71     0.010     0.009     0.010       Subtotal and Average     4,241,655.71     4,241,655.71     4,241,655.71     0.010     0.010     0.010       Total Investments and Average     20,873,482.10     19,646,655.71     21,174,815.06     0.952     0.965	Money Market - T	ax Exempt										
4,241,655.71 4,241,655.71 4,241,655.71 0.010 0.010 20,873,482.10 19,646,655.71 21,174,815.06 0.952 0.965	SYS33615	33615	Dreyfus Tax Exempt Inst	09/25/2012	4,241,655.71	4,241,655.71	4,241,655.71	0.010	0.009	0.010		-
20,873,482.10 19,646,655.71 21,174,815.06 0.952 0.965				Subtotal and Average	4,241,655.71	4,241,655.71	4,241,655.71	I	0.010	0.010		-
			Tota	Investments and Average	20,873,482.10	19,646,655.71	21,174,815.06		0.952	0.965		639

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Portfolio SCL2 AP FI (PRF\_F) 7.1.1 Report Ver. 7.3.6.1

# Transaction Activity Report April 1, 2020 - June 30, 2020 Sorted by Transaction Date COMMINGLED POOL Fund SANTA CLARA COUNTY INVESTMENTS

Total Cash	-200,000,000.00	10,220,000.00	4,365,761.50	84,238.50	40,000,000.00	91,980.00	112,500.00	12,500.00	10,442.66	2,150.00	-145,100,427.34	-200,000,000.00	200,000,000.00	250,000.00	55.55	83,120.02	-83,120.02	210,296.16	-210,296.16	250,055.55	-200,000,000.00	200,000,000.00	55.55	55.55	-200,000,000.00	-50,000,000.00	10,000,000.00	15,000,000.00	200,000,000.00	60,000.00	120,000.00	375,000.00	50,000.00
Interest						91,980.00	112,500.00	12,500.00	10,442.66	2,150.00	229,572.66			250,000.00	55.55	83,120.02		210,296.16		543,471.73			55.55	55.55						60,000.00	120,000.00	375,000.00	50,000.00
Principal Pavdowns		10,220,000.00	4,365,761.50	84,238.50	40,000,000.00						54,670,000.00		200,000,000.00							200,000,000.00		200,000,000.00		200,000,000.00			10,000,000.00	15,000,000.00	200,000,000.00				
New Principal	200,000,000.00										200,000,000.00	200,000,000.00					83,120.02		210,296.16	200,293,416.18 200,000,000.00	200,000,000.00			200,000,000.00 200,000,000.00	200,000,000.00	50,000,000.00							
lssuer	BARCLAYS CAPITAL	CALIFORNIA ST	FFCB NOTES	FFCB NOTES	CORPORATE	CALIFORNIA ST	CALIFORNIA ST	North Dakota State F	FFCB NOTES	FARMER MAC		BARCLAYS CAPITAL	BARCLAYS CAPITAL	FHLMC NOTES	BARCLAYS CAPITAL	MORGAN STANLEY	MORGAN STANLEY	JP MORGAN US	JP MORGAN US		BARCLAYS CAPITAL	BARCLAYS CAPITAL	BARCLAYS CAPITAL		BARCLAYS CAPITAL	JP MORGAN US	FHLB NOTES	FFCB NOTES	BARCLAYS CAPITAL	FHLB NOTES	FFCB NOTES	FNMA NOTES	FNMA NOTES
TransactionDate	04/01/2020	04/01/2020	04/01/2020	04/01/2020	04/01/2020	04/01/2020	04/01/2020	04/01/2020	04/01/2020	04/01/2020		04/02/2020	04/02/2020	04/02/2020	04/02/2020	04/02/2020	04/02/2020	04/02/2020	04/02/2020		04/03/2020	04/03/2020	04/03/2020		04/06/2020	04/06/2020	04/06/2020	04/06/2020	04/06/2020	04/06/2020	04/06/2020	04/06/2020	04/06/2020
Inv Descrip TransactionType	BCREPO 0.01% MAT Purchase	CALIFORNIA ST FORRedemption	FEDERAL FARM CR Redemption	FEDERAL FARM CR Cap G/L	CAFCO DISC NOTE Redemption	CALIFORNIA ST FORInterest	CALIFORNIA ST FORInterest	NORTH DAKOTA Interest	FEDERAL FARM CR Interest	FEDERAL AGRIC Interest		BCREPO 0.01% MAT Purchase	BCREPO 0.01% MAT Redemption	FEDERAL HOME LN Interest	BCREPO 0.01% MAT Interest	MSTI 0.% Interest	MSTI 0.% Purchase	JPM TE 0.44% Interest	JPM TE 0.44% Purchase		BCREPO 0.01% MAT Purchase	BCREPO 0.01% MAT Redemption	BCREPO 0.01% MAT Interest		BCREPO 0.01% MAT Purchase	JPM TE 0.44% Purchase	FEDERAL HOME Redemption	FEDERAL FARM CR Redemption	BCREPO 0.01% MAT Redemption	FEDERAL HOME Interest	FEDERAL FARM CR Interest	FEDERAL NATL MTGInterest	FEDERAL NATL MTGInterest
CUSIP	SYS40433 B	13063CSQ4 C		3133EGNK7 F	1247P2D19 C	13063CSQ4 C		65887PHS7 N	3133EGNK7 F	31422BWJ5 F	Totals for 04/01/2020	SYS40434 B	SYS40433 B	3134GUFC9 F	SYS40433 B			SYS37590 JI	SYS37590 JI	Totals for 04/02/2020	SYS40435 B		SYS40434 B	Totals for 04/03/2020				2					3135G0T78 F
Fund	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM
Investment #	40433	36313	38341	38341	40417	36313	36731	37523	38341	40391		40434	40433	39904	40433	34292	34292	37590	37590		40435	40434	40434		40436	37590	36679	37639	40435	36679	37639	37783	38163

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Total	casn	212,500.00 166.67	-24,182,333.33	00.000	-45,000.000.00	-50,000.000.00	-49,763,652,78	-50,000,000.00	-50,000,000,00	200,000,000.00	55.56	,597.22	00.000	-15,000,000.00	-15,000,000.00	-49,902,777,78	-24,802,291.67	-50,000,000.00	1,942,780.00	57,220.00	00.000,	12,075.55	55.56	,938.34	00.000.	-18,898,455.56	-19,865,750.00	-59,903,400.00	-49,475,000.00	-50,000,000.00	00.000	55.57	,549.99	00.000,	-14,889,750.00	-23,911,520.83	00.000	20,000,000.00
<del>;</del> ;			1	-200-000-000-00	-45.000	-50,000	-49,763	-50,000	-50,000	200,000		56 -244,763,597.22	-200.000.000.00	-15,000	-15,000	-49,902	-24,802	-50,000	1,942	57	200,000,000.00			11 -152,692,938.34	-200,000,000.00	-18,898	-19,865	-59,903	-49,475	-50,000	200,000,000.00		57 -198,142,549.99	-200,000,000.00	-14,889	-23,911	-100,000,000.00	20,000
Interect		Z1Z,500.00 166.67	817,666.67								55.56	55.56										12,075.55	55.56	12,131.11								55.57	55.57					
Principal	Faydowiis		00,000,00							200,000,000.00		200,000,000.00							1,942,780.00	57,220.00	200,000,000.00			00.000.00							200,000,000.00		200,000,000.00					20,000,000.00
			250,000,000.00 225,000,000.00	0.00	0.00	0.00	2.78	0.00	0.00				0.00	0.00	0.00	7.78	1.67	0.00	1,92	4,	200,00			354,705,069.45 202,000,000.00	0.00	5.56	0.00	0.00	0.00	0.00	200,00			0.00	0.00	0.83	0.00	20,00
	FILICIDAL		250,000,00	200,000,000.00	45,000,000.00	50,000,000.00	49,763,652.78	50,000,000.00	50,000,000.00			444,763,652.78	200,000,000.00	15,000,000.00	15,000,000.00	49,902,777.78	24,802,291.67	50,000,000.00						354,705,06	200,000,000.00	18,898,455.56	19,865,750.00	59,903,400.00	49,475,000.00	50,000,000.00			398,142,605.56	200,000,000.00	14,889,750.00	23,911,520.83	100,000,000.00	
												1												1									I					
		٦L		٦L						٦L	٩L		٦L				лу				٦L		٨L		٦L						٦L	٩L		AL				
	ULL C	BARCLAYS CAPITAL		BARCLAYS CAPITAL	BANK OF NOVA	Credit Suisse NY	WELL	<b>1ERICA</b>	JP MORGAN US	BARCLAYS CAPITAL	BARCLAYS CAPITAI		BARCLAYS CAPITAL	OTES	OTES	EC	Walt Disney Company	JP MORGAN US	OTES	OTES	BARCLAYS CAPITAI	OTES	BARCLAYS CAPITAI		BARCLAYS CAPITAI	L INC	L INC	R MAC	WELL	MUFG Mitsubishi	BARCLAYS CAPITAL	BARCLAYS CAPITAI		BARCLAYS CAPITAL	L INC	CHEVRON CORP.	JP MORGAN US	OTES
lssuer		BARCL/		BARCLA	BANK O	Credit S	HONEYWELL	UBS AMERICA	JP MOR	BARCL/	BARCL/		BARCL/	FFCB NOTES	FFCB NOTES	NATL SEC	Walt Dis	JP MOR	FFCB NOTES	FFCB NOTES	<b>BARCLA</b>	FFCB NOTES	<b>BARCL</b> A		BARCLA	CARGILL INC	CARGILL INC	FARMER MAC	HONEYWELL	MUFG N	BARCL/	BARCLA		BARCLA	CARGILL INC	CHEVR	JP MOR	FFCB NOTES
TransactionDate		020		020	020	2020	020	020	020	020	020		020	020	020	020	020	020	020	020	020	020	020		020	020	020	020	020	020	020	020		020	020	020	020	020
Transa		04/06/2020		04/07/2020	04/07/2020	04/07/2020	04/07/2020	04/07/2020	04/07/2020	04/07/2020	04/07/2020		04/08/2020	04/08/2020	04/08/2020	04/08/2020	04/08/2020	04/08/2020	04/08/2020	04/08/2020	04/08/2020	04/08/2020	04/08/2020		04/09/2020	04/09/2020	04/09/2020	04/09/2020	04/09/2020	04/09/2020	04/09/2020	04/09/2020		04/13/2020	04/13/2020	04/13/2020	04/13/2020	04/13/2020
TransactionType	;	st st		ase	ase	ase	ase	ase	ase	nption	st		ase	ase	ase	ase	ase	ase	nption	S/L	nption	st	st		ase	ase	ase	ase	ase	ase	nption	st		ase	ase	ase	ase	nption
Trans		AAT Interest		Purchase	<b>MAT</b> Purcha	AT Purchase	VT Purchase	<b>RD</b> Purcha	Purchase	AAT Reden	AAT Interes		AAT Purcha	CR Purcha	CR Purche	OTEPurcha	<b>DTE Purch</b>	Purchase	CR Redem	CR Cap G/L	Redemption	CR Interest	Interest		AAT Purcha	TE Purchase	TE Purchase	Purchase		T Purchase	AAT Reder	AAT Interes		AAT Purche	Purchase	Purchase	Purchase	CR Redem
Inv Descrip		BCREPO 0.01% MAT Interest		BCREPO 0.001%	BNSHOU 1.15% MAT Purchase	CRSNY 1.25% MAT	H DISC NOTE MAT	UBS AG STAMFORD Purchase	JPM TE 0.44%	BCREPO 0.01% MAT Redemption	BCREPO 0.01% MAT Interest		BCREPO 0.01% MAT Purchase	FEDERAL FARM CR Purchase	FEDERAL FARM CR Purchase	NSCCPP DISC NOTEPurchase	WALTPP DISC NOTE Purchase	JPM TE 0.44%	FEDERAL FARM CR Redemption	FEDERAL FARM CR	BCREPO 0.001%	FEDERAL FARM CR	BCREPO 0.001%		BCREPO 0.01% MAT Purchase	CARGIL DISC NOTE	CARGIL DISC NOTE	FRMDN ZERO CPN	H ZERO CPN MAT	MUFG 1.18% MAT	BCREPO 0.01% MAT Redemption	<b>BCREPO 0.01% MAT Interest</b>		BCREPO 0.01% MAT Purchase	CARGIL ZERO CPN	CHEVRON CORP	JPM TE 0.44%	FEDERAL FARM CR Redemption
Inv De		BCRE	020	BCRE	BNSH	CRSN	H DIS	UBS A	T MqL	BCRE	BCRE	020	BCRE	FEDE	FEDEI	NSCC	WALT	T MPL	FEDEI	FEDEI	BCRE	FEDEI	BCRE	020	BCRE	CARG	CARG	FRMD	H ZER	MUFG	BCRE	BCRE	020	BCRE	CARG	CHEV	T M JL	FEDEI
۵.	2120ACK52	0435	Totals for 04/06/2020	0437	06417MJA4	3LZ24	43851TH41	90275DLL2	7590	0436	0436	Totals for 04/07/2020	0442	3133ELVX9	3133ELVV3	63763PGH6	2546R2J15	7590	3133EGG82	3133EGG82	0437	3133EGG82	0437	Totals for 04/08/2020	0447	3LJ43	14178LK58	31315KM98	43851TN44	55380TCG3	0442	0442	Totals for 04/09/2020	0453	14178LKK5	166764AB6	7590	3133EGKA2
CUSIP	31200	SYS40435	Totals	SYS40437	06417	22549LZ24	43851	90275	SYS37590	SYS40436	SYS40436	Totals	SYS40442	3133E	3133E	63763	2546F	SYS37590	3133E	3133E	SYS40437	3133E	SYS40437	Totals	SYS40447	14178LJ43	14178	31315	43851	55380	SYS40442	SYS40442	Totals	SYS40453	14178	16676	SYS37590	3133E
Fund	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM
Investment #	50	35		37	41	39	40	38	06	36	36		12	45	46	43	<b>1</b> 4	96	26	26	37	26	37		17	18	49	50	51	22	<b>†</b> 2	42		53	55	54	06	36
lnve	38380	40435		40437	40441	40439	40440	40438	37590	40436	40436		40442	40445	40446	40443	40444	37590	38326	38326	40437	38326	40437		40447	40448	40449	40450	40451	40452	40442	40442		40453	40455	40454	37590	36866

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Portfolio SCL2 AP TA (PRF\_TA) 7.1.1 Report Ver. 7.3.6.1

SANTA CLARA COUNTY INVESTMENTS	Transaction Activity Report	Sorted by Transaction Date - Transaction Date
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Cash	15,000,000.00	10,000,000.00	10,000,000.00	10,654,672.50	220,327.50	17,377,406.80	642,593.20	200.000.000.00	80,833,32	116,250.00	77,500.00	77,500.00	68,361.46	85,730.15	331,250.00	198,750.00	222.23	-53,869,873.67	-200.000.000.00	-65.861.766.67	-10.451.094.44	-14,942,625.00	200,000,000.00	55.55	-91,255,430.56	-200,000,000.00	-100,000,000.00	-214,145.71	146,777.64	0.02	200,000,000.00	216,450.00	117,500.00	102,600.00	280,600.00	51,300.00	277.77	217.75	20.90
Interest									80,833.32	116,250.00	77,500.00	77,500.00	68,361.46	85,730.15	331,250.00	198,750.00	222.23	1,036,397.16						55.55	55.55							216,450.00	117,500.00	102,600.00	280,600.00	51,300.00	277.77	217.75	
Paydowns	15,000,000.00	10,000,000.00	10,000,000.00	10,654,672.50	220,327.50	17,377,406.80	642,593.20	200,000,000.00										283,895,000.00					200,000,000.00		200,000,000.00				146,777.64	0.02	200,000,000.00								00 00
Principal																		338,801,270.83 283,895,000.00	200.000.000.00	65,861,766.67	10,451,094.44	14,942,625.00			291,255,486.11 200,000,000.00	200,000,000.00	100,000,000.00	214,145.71											
Issuer	FFCB NOTES	FFCB NOTES	FFCB NOTES	FFCB NOTES	FFCB NOTES	FFCB NOTES	FFCB NOTES	BARCLAYS CAPITAL	FFCB NOTES	TOYOTA MOTOR	TOYOTA MOTOR	BARCLAYS CAPITAL		BARCLAYS CAPITAL	FHLB DISCOUNT	FHLB NOTES	PFIZER	BARCLAYS CAPITAL	BARCLAYS CAPITAL		BARCLAYS CAPITAL	Dreyfus Government	LOCAL AGENCY	JOHN DEERE	TOYOTA AUTO REC	BARCLAYS CAPITAL	IADB	IADB	IADB	FFCB NOTES	IADB	BARCLAYS CAPITAL	JOHN DEERE	JOHN DEFRE					
I ransactionDate	04/13/2020	04/13/2020	04/13/2020	04/13/2020	04/13/2020	04/13/2020	04/13/2020	04/13/2020	04/13/2020	04/13/2020	04/13/2020	04/13/2020	04/13/2020	04/13/2020	04/13/2020	04/13/2020	04/13/2020		04/14/2020	04/14/2020	04/14/2020	04/14/2020	04/14/2020	04/14/2020		04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020
Inv Descrip I ransaction I ype		FEDERAL FARM CR Redemption	FEDERAL FARM CR Redemption	FEDERAL FARM CR Redemption	FEDERAL FARM CR Cap G/L	FEDERAL FARM CR Redemption	FEDERAL FARM CR Cap G/L	BCREPO 0.01% MAT Redemption	FEDERAL FARM CR Interest	TOYOTA MOTOR Interest	TOYOTA MOTOR Interest	BCREPO 0.01% MAT Interest	120	BCREPO 0.05% MAT Purchase	FHDN ZERO CPN Purchase	FEDERAL HOME Purchase	PFIZER DISC NOTE Purchase	BCREPO 0.01% MAT Redemption	BCREPO 0.01% MAT Interest	120	BCREPO 0.03% MAT Purchase	DGCXX 0.% Purchase		JDOT 1.78% MAT Redemption	TAOT 1.73% MAT Redemption	BCREPO 0.05% MAT Redemption	IADB 1.42917% MAT Interest	IADB 1.64203% MAT Interest	IADB 1.92152% MAT Interest	FEDERAL FARM CR Interest	IADB 1.92152% MAT Interest	AT	JDOT 1.78% MAT Interest	JDOT 1.78% MAT Redemotion					
CUSIF	3133EHFL2	3133EHFL2	3133EHFL2	3133EGAH8	3133EGAH8	3133EGXA8	3133EGXA8	SYS40447	3133EGKA2	3133EHFL2	3133EHFL2	3133EHFL2	3133EGAH8	3133EGXA8	89236TFX8	89236TFX8	SYS40447	Totals for 04/13/2020	SYS40456	313385BE5	313379Q69	71708EJE6	SYS40453	SYS40453	Totals for 04/14/2020	SYS40460	SYS40461	SYS8506	47787XAC1	89238MAD0	SYS40456	45818WBP0	45818WBU9	45818WBM7	3133EJGH6	45818WBM7A	SYS40456	47787XAC1	47787XAC1
Funa	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM
Investment #	3/665	37666	37667	38342	38342	39206	39206	40447	36866	37665	37666	37667	38342	39206	39439	39452	40447		40456	40457	40459	40458	40453	40453		40460	40461	8506	37507	37568	40456	38317	38324	38385	38506	38739	40456	37507	37507

Investment #	Fund	CUOIF			I I alloactioi Date	Inneri	Princina	Davdowne	Interest	
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	04/15/2020	JOHN DEERE				000
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	04/15/2020	JOHN DEERE				0.00
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	04/15/2020	TOYOTA AUTO REC			525.54	525.54
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	04/15/2020	TOYOTA AUTO REC		364,537.86		364,537,86
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	04/15/2020	TOYOTA AUTO REC				0.00
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	04/15/2020	TOYOTA AUTO REC				0.00
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	04/15/2020	HONDA AUTO			10,955.08	10.955.08
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	04/15/2020	HONDA AUTO		1,076,611.48		1.076,611.48
38137	COMM	89238KAD <b>4</b>	TAOT 1.93% MAT	Interest	04/15/2020	TOYOTA AUTO REC			23,197.30	23,197.30
38137	COMM	89238KAD4	TAOT 1.93% MAT	Redemption	04/15/2020	TOYOTA AUTO REC		1,483,620.94		1,483,620.94
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	04/15/2020	NISSAN AUTO			31,496.58	31,496.58
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	04/15/2020	NISSAN AUTO		1,269,862.40		1.269.862.40
38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest	04/15/2020	JOHN DEERE			11,351.27	11.351.27
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	04/15/2020	JOHN DEERE		480,898.65		480,898.65
38708	COMM	161571HN7	CHAIT 2.1227% MAT Interest	T Interest	04/15/2020	CHASE ISSUANCE			27,892.75	27,892.75
38708	COMM	161571HN7	CHAIT 2.1227% MAT Redemption	T Redemption	04/15/2020	CHASE ISSUANCE		1,101,325.33		1,101,325.33
38958	COMM	89231PAD0	TAOT 3.18% MAT	Interest	04/15/2020	TOYOTA AUTO REC			26,500.00	26,500.00
38958	COMM	89231PAD0	TAOT 3.18% MAT	Redemption	04/15/2020	TOYOTA AUTO REC		293,064.23		293,064.23
39013	COMM	43815AAC6	HAROT 3.16% MAT	Interest	04/15/2020	HONDA AUTO			57,274.99	57,274.99
39013	COMM	43815AAC6	HAROT 3.16% MAT	Redemption	04/15/2020	HONDA AUTO		654,847.05		654,847.05
39062	COMM	05522RCZ9	BACCT 3.% MAT	Interest	04/15/2020	BANK OF AMERICA			50,350.00	50,350.00
39062	COMM	05522RCZ9	BACCT 3.% MAT	Redemption	04/15/2020	BANK OF AMERICA		529,248.65		529,248.65
39082	COMM	05522RDA3	BACCT 3.1% MAT	Interest	04/15/2020	BANK OF AMERICA			38,750.00	38,750.00
39082	COMM	05522RDA3	BACCT 3.1% MAT	Redemption	04/15/2020	BANK OF AMERICA		362,111.07		362,111.07
39190	COMM	58772TAC4	MBALT 3.1% MAT	Interest	04/15/2020	MERCEDES-BENZ			25,833.33	25,833.33
39190	COMM	58772TAC4	MBALT 3.1% MAT	Redemption	04/15/2020	MERCEDES-BENZ		511,938.76		511,938.76
39220	COMM	05522RCY2	BACCT 2.7% MAT	Interest	04/15/2020	BANK OF AMERICA			56,250.00	56,250.00
39220	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	04/15/2020	BANK OF AMERICA		685,151.98		685,151.98
39320	COMM	05522RCY2	BACCT 2.7% MAT	Interest	04/15/2020	BANK OF AMERICA			45,000.00	45,000.00
39320	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	04/15/2020	BANK OF AMERICA		549,173.39		549,173.39
39578	COMM	65479HAC1	NAROT 2.5% MAT	Interest	04/15/2020	Nissan Auto Receivab			41,666.66	41,666.66
39578	COMM	65479HAC1	NAROT 2.5% MAT	Redemption	04/15/2020	Nissan Auto Receivab		470,633.86		470,633.86
39586	COMM	14042WAC4	COPAR 2.51% MAT	interest	04/15/2020	Capital One Prime Au		,	18,825.00	18,825.00
39586	COMM	14042WAC4	COPAR 2.51% MAT	Redemption	04/15/2020	Capital One Prime Au		211,754.78		211,754.78
39706	COMM	477870AC3	JDOT 2.21% MAT	Interest	04/15/2020	JOHN DEERE			16,114.59	16,114.59
39706	COMM	477870AC3	JDOT 2.21% MAT	Redemption	04/15/2020	JOHN DEERE		198,137.61		198,137.61
39777	COMM	43815NAC8	HAROT 1.78% MAT	Interest	04/15/2020	HONDA AUTO			10,754.16	10,754,16
39777	COMM	43815NAC8	HAROT 1.78% MAT	Redemption	04/15/2020	HONDA AUTO		181,144.00		181,144.00
39811	COMM	14041NFU0	COMET 1.72% MAT	Interest	04/15/2020	Capital One Multi Ex			50,166.66	50,166.66
39811										

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Total	43.650.01	572.508.08	18.995.84	250,447.96	31,200.00	424,795,29	16.666.67	322,644.85	19,933.33	250,996.14	15,583.33	179,166.69	34,425.00	457,575.25	33,333.33	438,378.45	45,999.99	898,267.72	-3,066.67	0.00	9,200.00	177,160.12	-2,453.33	0.00	41,500.01	337,906.31	-11,066.67	0.00	214,145.71	-82,837,277.28	-49,937,222,22	-49,937,222.22	-200,000,000,00	-50,000,000.00	-25,615,510.42	-30.000.000.00	200,000,000.00	35 035 AF
Interest	43.650.01		18,995,84		31,200.00	·	16,666.67		19,933.33		15,583.33		34,425.00		33,333.33		45,999.99		-3,066.67		9,200.00		-2,453.33		41,500.01		-11,066.67		214,145.71	1,819,895.98								35.935.45
Principal Pavdowns		572,508.08		250,447.96		424,795.29		322,644.85		250,996.14		179,166.69		457,575.25		438,378.45		898,267.72				177,160.12				337,906.31				215,556,972.45							200,000,000.00	
New Principal																														300,214,145.71	49,937,222.22	49,937,222.22	200,000,000.00	50,000,000.00	25,615,510.42	30,000,000.00		
lssuer	MERCEDES -BENZ	MERCEDES -BENZ	HYUNDAI AUTO	HYUNDAI AUTO	TOYOTA AUTO REC	TOYOTA AUTO REC	MERCEDES-BENZ	MERCEDES-BENZ	ALLY Auto	ALLY Auto	Harley-Davidson Moto	Harley-Davidson Moto	CHASE ISSUANCE	CHASE ISSUANCE	Capital One Prime Au	Capital One Prime Au	MERCEDES-BENZ	Discover Card Execut	Discover Card Execut	Discover Card Execut	Discover Card Execut	LOCAL AGENCY		Amazon	Amazon	BARCLAYS CAPITAL	BNP PARIBAS NY	FHLB NOTES	Nordea Bank Finland	BARCLAYS CAPITAL	Royal Bank of							
TransactionDate	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020	04/15/2020		04/16/2020	04/16/2020	04/16/2020	04/16/2020	04/16/2020	04/16/2020	04/16/2020	04/16/2020
TransactionType	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest		Purchase	Purchase	Purchase	Purchase	Purchase	Purchase	Redemption	Interest
Inv Descrip	MBART 1.93% MAT	MBART 1.93% MAT	HART 1.94% MAT	HART 1.94% MAT	TAOT 1.92% MAT	TAOT 1.92% MAT	MBALT 2.% MAT	MBALT 2.% MAT	ALLY 1.84% MAT	ALLY 1.84% MAT	HDMOT 1.87% MAT	HDMOT 1.87% MAT	CHAIT 1.53% MAT	CHAIT 1.53% MAT	COPAR 1.6% MAT	COPAR 1.6% MAT	MBALT 1.84% MAT	MBALT 1.84% MAT	MBALT 1.84% MAT	MBALT 1.84% MAT	MBALT 1.84% MAT	MBALT 1.84% MAT	MBALT 1.84% MAT	MBALT 1.84% MAT	DCENT 3.32% MAT	DCENT 3.32% MAT	DCENT 3.32% MAT	DCENT 3.32% MAT	LAIF 1.65%	0	AMZN DISC NOTE	AMZN DISC NOTE	BCREPO 0.02% MAT	BNP P 0.84% MAT	FEDERAL HOME	NDAFNY 1.05% MAT Purchase	BCREPO 0.03% MAT Redemption	RBCNY 1.79963%
cusip	58769TAD7	58769TAD7	44891JAC2	44891JAC2	89233MAD5	89233MAD5	58769QAC5	58769QAC5	02007TAC9	02007TAC9	41284UAD6	41284UAD6	161571HP2	161571HP2	14043MAC5	14043MAC5	58770FAC6	254683CH6	254683CH6	254683CH6	254683CH6	SYS8506	Totals for 04/15/2020	02314PH79	02314PH79	SYS40462	05586FWT0	313379RB7	65558TWX9	SYS40460	78012USR7							
Fund	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM
Investment #	39883	39883	40017	40017	40038	40038	40062	40062	40134	40134	40294	40294	40336	40336	40340	40340	40392	40392	40392	40392	40410	40410	40410	40410	40411	40411	40411	40411	8506		40463	40464	40462	40465	40467	40466	40460	40257

# SANTA CLARA COUNTY INVESTMENTS Transaction Activity Report Sorted by Transaction Date - Transaction Date

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SANTA CLARA COUNTY INVESTMENTS	Transaction Activity Report	Sorted by Transaction Date - Transaction Date
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AT Re AT Int F Int		SYS40471 BCREPO 0.01% M
		BCREPO 0.01% MAT Interest
demption 04/21/2020	HAROT 1.72% MAT Redemption 04	Redemption
erest 04/21/2020	HAROT 2.52% MAT Interest 0	Interest
	HAROT 2.52% MAT Redemption (	HAROT 2.52% MAT Redemption
erest	HAROT 1.61% MAT Interest	HAROT 1.61% MAT
demption	HAROT 1.61% MAT Redemption	
		Totals for 04/21/2020
rchase	BCREPO 0.01% MAT Purchase	SYS40478 BCREPO 0.01% MAT Purchase
demption	BCREPO 0.01% MAT Redemption	
erest	BCREPO 0.01% MAT Interest	SYS40474 BCREPO 0.01% MAT Interest
		Totals for 04/22/2020
	BCREPO 0.01% MAT Purchase	BCREPO 0.01% MAT Purchase
		5 UTAH ST, GO BDS Purchase
demption 04/23/2020	otion	BCREPO 0.01% MAT Redemption
erest	BCREPO 0.01% MAT Interest	SYS40478 BCREPO 0.01% MAT Interest
		Totals for 04/23/2020
rchase 04/24/2020	BCREPO 0.02% MAT Purchase	
demption 04/24/2020	otion	BCREPO 0.01% MAT Redemption
erest	BCREPO 0.01% MAT Interest	
		Totals for 04/24/2020
rchase	BCREPO 0.02% MAT Purchase	
rchase		FEDERAL FARM CR
rchase		FEDERAL HOME LN
demption	Z	FEDERAL HOME LN
demption 04/27/2020	Redemption	FEDERAL HOME Redemption
p G/L 04/27/2020	Cap G/L	4 FEDERAL HOME Cap G/L
demption 04/27/2020	BCREPO 0.02% MAT Redemption (	Redemption
erest 04/27/2020	Interest	FEDERAL HOME Interest
erest 04/27/2020		FEDERAL HOME LN Interest
erest 04/27/2020	FEDERAL FARM CR Interest 0	FEDERAL FARM CR Interest
erest 04/27/2020	FEDERAL FARM CR Interest	Interest
erest	KOPP 1.875% MAT Interest	
erest	FEDERAL HOME Interest	
erest	FEDERAL HOME Interest	4 FEDERAL HOME
erest	BCREPO 0.02% MAT Interest	SYS40480 BCREPO 0.02% MAT Interest

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Investment #	Fund	cusip	Inv Descrip TransactionTvpe	Tvpe TransactionDate	Issuer		al Interest	Total
38387	COMM	3137AYCE9		1	EHI MC Multi-Family			Cash 44 700 00
38387	COMM	3137AYCE9	Redemption	04/27/2020	FHLMC Multi-Family	708.891.59		44,700.00 708 891 59
38391	COMM	3137AYCE9	Interest	04/27/2020	FHLMC Multi-Family		16,762.50	16.762.50
38391	COMM	3137AYCE9	Redemption	04/27/2020	FHLMC Multi-Family	265,700.82		265,700.82
38412	COMM	09659QAD9	BMWOT 2.35% MAT Interest	04/27/2020	BMW VEHICLE		39,299.84	39,299.84
38412	COMM	09659QAD9	BMWOT 2.35% MAT Redemption	04/27/2020	BMW VEHICLE	2,073,872.5	-	2,073,872.51
38465	COMM	3137AWQH1	FHLMCM 2.307% Interest	04/27/2020	FHLMC Multi-Family		10,727.55	10,727.55
38465	COMM	3137AWQH1	FHLMCM 2.307% Redemption	04/27/2020	FHLMC Multi-Family	204,168.20		204,168.20
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT Interest	04/27/2020	FNMA Multi-Family		7,586.58	7,586.58
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT Redemption	04/27/2020	FNMA Multi-Family	5,787.90		5,787.90
38643	COMM	3137B36J2	FHLMCM 3.32% MAT Interest	04/27/2020	FHLMC Multi-Family		55,333.33	55,333.33
38643	COMM	3137B36J2	FHLMCM 3.32% MAT Redemption	04/27/2020	FHLMC Multi-Family	640,119.09	60.	640,119.09
38664	COMM	3136B1XP4		04/27/2020	FNMA Multi-Family		8,322.97	8,322.97
38664	COMM	3136B1XP4	FNMAM 3.56% MAT Redemption	04/27/2020	FNMA Multi-Family	43,987.63	.63	43,987.63
38665	COMM	3136B1XP4		04/27/2020	FNMA Multi-Family		8,322.97	8,322.97
38665	COMM	3136B1XP4	FNMAM 3.56% MAT Redemption	04/27/2020	FNMA Multi-Family	43,987.63	.63	43,987.63
38666	COMM	3137AYCE9	Interest	04/27/2020	FHLMC Multi-Family		26,250.08	26,250.08
38666	COMM	3137AYCE9	Redemption	04/27/2020	FHLMC Multi-Family	408,536.33	.33	408,536.33
38744	COMM	3137AYCE9	Interest	04/27/2020	FHLMC Multi-Family		44,700.00	44,700.00
38744	COMM	3137AYCE9	Redemption	04/27/2020	FHLMC Multi-Family	695,924.30	.30	695,924.30
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT Interest	04/27/2020	FHLMC Multi-Family		12,176.25	12,176.25
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT Redemption	04/27/2020	FHLMC Multi-Family	134,429.26		134,429.26
38864	COMM	3137B5JM6		04/27/2020	FHLMC Multi-Family		29,425.00	29,425.00
38864	COMM	3137B5JM6	%	04/27/2020	FHLMC Multi-Family	283,899.46	.46	283,899.46
38945	COMM	3137B3NA2		04/27/2020	FHLMC Multi-Family		28,723.99	28,723.99
38945	COMM	3137B3NA2	FHLMC REMIC Redemption	04/27/2020	FHLMC Multi-Family	318,542.20	.20	318,542.20
39026	COMM	3137B5KW2		04/27/2020	FHLMC Multi-Family		28,816.67	28,816.67
39026	COMM	3137B5KW2		04/27/2020	FHLMC Multi-Family	277,127.84		277,127.84
39150	COMM	31381TYT1		04/27/2020	FNMA Multi-Family		69,231.13	69,231.13
39150	COMM	31381TYT1		04/27/2020	FNMA Multi-Family	62,925.93	.93	62,925.93
39158	COMM	31381RZ23		04/27/2020	FNMA Multi-Family		46,974.86	46,974.86
39158	COMM	31381RZ23		04/27/2020	FNMA Multi-Family	26,880.81	.81	26,880.81
39218	COMM	31381RLL6	FNMAM 3.84% MAT Interest	04/27/2020	FNMA Multi-Family		38,473.19	38,473.19
39218	COMM	31381RLL6		04/27/2020	FNMA Multi-Family	20,421.96	.96	20,421.96
39219	COMM	31381RLL6	FNMAM 3.84% MAT Interest	04/27/2020	FNMA Multi-Family		6,422.06	6,422.06
39219	COMM	31381RLL6	AT AT	04/27/2020	FNMA Multi-Family	3,408.90	.90	3,408.90
39295	COMM	3137B04Y7		04/27/2020	FHLMC Multi-Family		58,837.50	58,837.50
39295	COMM	3137B04Y7		04/27/2020	FHLMC Multi-Family	870,303.26	.26	870,303.26
39319	COMM	3138LGKH8		04/27/2020	FNMA Multi-Family		53,173.61	53,173.61
39319	COMM	3138LGKH8	FNMAM 2.47% MAT Redemption	04/27/2020	FNMA Multi-Family	634,549.13	.13	634,549.13

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	000		I alloaduolly be		Innee	Principal Pav	Pavdowns	nterest	Cash
COMM	3137BQR90	FHLMCM 2.272%	Interest	04/27/2020	FHLMC Multi-Family			44,304.00	44.304.00
COMM	3137BQR90	FHLMCM 2.272%	Redemption	04/27/2020	FHLMC Multi-Family	22	752,371.59		752,371.59
COMM	3138EKX67	FNMAM 2.537% MAT Interest	Interest	04/27/2020	FNMA Multi-Family			154,461.97	154,461.97
COMM	3138EKX67	FNMAM 2.537% MAT Redemption	Redemption	04/27/2020	FNMA Multi-Family	4,64	4,640,499.36	×	4,640,499.36
COMM	3138LEYD7	FNMAM 1.97% MAT	Interest	04/27/2020	FNMA Muiti-Family			31,618.86	31,618.86
COMM	3138LEYD7	FNMAM 1.97% MAT	Redemption	04/27/2020	FNMA Multi-Family	e	30,672.07		30.672.07
COMM	3138LGFF8	FNMAM 2.15% MAT	Interest	04/27/2020	FNMA Multi-Family			9,256.94	9,256.94
COMM	3138LGFF8	FNMAM 2.15% MAT	Redemption	04/27/2020	FNMA Multi-Family	12	128,956.39		128,956.39
COMM	3138L2BU0	FNMAM 2.31% MAT	Interest	04/27/2020	FNMA Multi-Family			13,406.05	13,406.05
COMM	3138L2BU0	FNMAM 2.31% MAT	Redemption	04/27/2020	FNMA Multi-Family	÷	12,939.68		12,939,68
COMM	3137BP4J5	FNMAM 2.446% MAT Interest	Interest	04/27/2020	FHLMC Multi-Family			43,066.72	43,066.72
COMM	3137BP4J5	FNMAM 2.446% MAT Redemption	Redemption	04/27/2020	FHLMC Multi-Family	24	246,791.36		246,791.36
COMM	3137BQR90-A	FHLMCM 2.272%	Interest	04/27/2020	FHLMC Multi-Family			6,778.13	6,778.13
COMM	3137BQR90-A	FHLMCM 2.272%	Redemption	04/27/2020	FHLMC Multi-Family	11	117,294.36		117,294.36
COMM	3138L0U90	FNMAM 2.59% MAT	Interest	04/27/2020	FNMA Multi-Family			11,151.39	11,151.39
COMM	3138L0U90	FNMAM 2.59% MAT	Redemption	04/27/2020	FNMA Multi-Family	18	189,596.85		189,596.85
COMM	31381VBJ3	FNMAM 2.83% MAT	Interest	04/27/2020	FNMA Multi-Family			12,196.14	12,196.14
COMM	31381VBJ3	FNMAM 2.83% MAT	Redemption	04/27/2020	FNMA Multi-Family	~	11,946.48		11,946.48
COMM	3138L0RM5	FNMAM 2.135% MAT Interest	Interest	04/27/2020	FNMA Multi-Family			16,105.88	16,105.88
COMM	3138L0RM5	FNMAM 2.135% MAT Redemption	Redemption	04/27/2020	FNMA Multi-Family	Ñ	20,772.96		20,772.96
COMM	05588CAC6	BMWOT 1.92% MAT Interest	Interest	04/27/2020	BMW VEHICLE			27,600.00	27,600.00
COMM	05588CAC6	BMWOT 1.92% MAT Redemption	Redemption	04/27/2020	BMW VEHICLE	38	381,448.40		381,448.40
COMM	3136A9MN5	FNMAM 2.301% MAT Interest	Interest	04/27/2020	FNMA Multi-Family			19,364.81	19,364.81
COMM	3136A9MN5	FNMAM 2.301% MAT Redemption	Redemption	04/27/2020	FNMA Multi-Family	Ñ	20,865.40		20,865.40
COMM	3138L0RC7	FNMAM 2.35% MAT Interest	Interest	04/27/2020	FNMA Multi-Family			13,592.46	13,592.46
COMM	3138L0RC7	FNMAM 2.35% MAT	Redemption	04/27/2020	FNMA Multi-Family	~	13,120.35		13,120.35
COMM	3137BUWM6	FHLMCM 2.932%	Interest	04/27/2020	FHLMC Multi-Family			39,314.14	39,314.14
COMM	3137BUWM6	FHLMCM 2.932%	Redemption	04/27/2020	FHLMC Multi-Family	2	22,654.12		22,654.12
COMM	3137BUWM6	FHLMCM 2.932%	Interest	04/27/2020	FHLMC Multi-Family			-19,657.07	-19,657.07
COMM	3137BUWM6	FHLMCM 2.932%	Redemption	04/27/2020	FHLMC Multi-Family				0.00
COMM	3137BHCY1	FHLMCM 2.811%	Interest	04/27/2020	FHLMC Multi-Family			24,807.08	24,807.08
COMM	3137BHCY1	FHLMCM 2.811%	Redemption	04/27/2020	FHLMC Multi-Family	23	236,669.06		236,669.06
COMM	3137BHCY1	FHLMCM 2.811%	Interest	04/27/2020	FHLMC Multi-Family			-13,230.44	-13,230.44
COMM	3137BHCY1	FHLMCM 2.811%	Redemption	04/27/2020	FHLMC Multi-Family				0.00
	Totals for 04/27/2020	20				239,988,600.00 223,190,063.18	ļ	1,693,482.98	-15,105,053.84
COMM	SYS40485	BCREPO 0.01% MAT Purchase	Purchase	04/28/2020	BARCLAYS CAPITAL	200,000,000.00		.,	-200,000,000,00
COMM	SYS40482	BCREPO 0.02% MAT Redemption	Redemption	04/28/2020	BARCLAYS CAPITAL	200,00	200,000,000.00		200.000.000.00
COMM	SYS40482	BCREPO 0.02% MAT Interest	Interest	04/28/2020	BARCLAYS CAPITAL			111.10	111.10

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Investment #	Fund	CUSIP	Inv Descrip TransactionType	e TransactionDate	lssuer	New Principal Principal Paydowne	Interest	Total
	COMM	SYS40486	BCREPO 0.01% MAT Purchase	04/29/2020	BARCLAYS CAPITAL	0		200 000 000 00
	COMM	3134GVNG9	FEDERAL HOWE LN Purchase	04/29/2020	FHLMC NOTES	30,000,000,000		-30.000.000.00
	COMM	3135G04B6	FEDERAL NATL MTGPurchase	04/29/2020	FNMA NOTES	30,000,000.00		-30.000.000.00
	COMM	SYS40485	BCREPO 0.01% MAT Redemption	04/29/2020	BARCLAYS CAPITAL	200,000,000.00		200,000,000.00
	COMM	3134GBU83	FEDERAL HOME LN Interest	04/29/2020	FHLMC NOTES		150,000.00	150,000,00
	COMM	SYS40485	BCREPO 0.01% MAT interest	04/29/2020	BARCLAYS CAPITAL		55.56	55.56
		Totals for 04/29/2020	20			260,000,000.00 200,000,000.00	150,055.56	-59,849,944.44
	COMM	3135G03U5	FNMA 0.625% MAT Purchase	04/30/2020	FNMA NOTES	7,019,209.17		-7.019.209.17
	COMM	SYS40486	BCREPO 0.01% MAT Redemption	04/30/2020	BARCLAYS CAPITAL	200,000,000,000		200.000,000.00
	COMM	SYS40486	BCREPO 0.01% MAT Interest	04/30/2020	BARCLAYS CAPITAL		55.56	55.56
		Totals for 04/30/2020	20			7,019,209.17 200,000,000.00	55.56	192,980,846.39
	COMM	SYS40490	BCREPO 0.02% MAT Purchase	05/01/2020	BARCLAYS CAPITAL	200,000,000.00		-200,000,000.00
	COMM	3134GVQE1	FEDERAL HOME LN Purchase	05/01/2020	FHLMC NOTES	20,000,416.67		-20,000,416.67
	COMM	17325FAN8	CITINA 3.05% MAT Redemption	05/01/2020	CITI BANK NA	45,000,000.00		45,000,000.00
	COMM	17325FAN8	CITINA 3.05% MAT Interest	05/01/2020	CITI BANK NA		686,250.00	686,250.00
	COMM	17325FAN8	CITINA 3.05% MAT Accr Int	05/01/2020	CITI BANK NA	495,625.00	-495,625.00	0.00
		Totals for 05/01/2020	20			220,000,416.67 45,495,625.00	190,625.00	-174,314,166.67
	COMM	SYS40492	BCREPO 0.04% MAT Purchase	05/04/2020	BARCLAYS CAPITAL	200,000,000.00	,	-200.000.000.00
	COMM	SYS40490	BCREPO 0.02% MAT Redemption	05/04/2020	BARCLAYS CAPITAL	200,000,000.00		200,000,000.00
	COMM	3133EHHG1	FFCB 1.75% MAT Interest	05/04/2020	FFCB NOTES		43,750.00	43,750.00
	COMM	3133EHHG1	FFCB 1.75% MAT Interest	05/04/2020	FFCB NOTES		87,500.00	87,500.00
	COMM	3133EHP31	1AT	05/04/2020	FFCB NOTES		97,256.25	97,256.25
	COMM	742718EQ8		05/04/2020	PROCTER &		170,000.00	170,000.00
	COMM	742718EQ8		05/04/2020	PROCTER &		85,000.00	85,000.00
	COMM	3133EK4N3	FEDERAL FARM CR Interest	05/04/2020	FFCB NOTES		225,000.00	225,000.00
	COMM	3130AHGL1	FEDERAL HOME Interest	05/04/2020	FHLB NOTES		234,375.00	234,375.00
	COMM	SYS40490	BCREPO 0.02% MAT Interest	05/04/2020	BARCLAYS CAPITAL		333.34	333.34
	COMM	SYS34292	MSTI 0.% Interest	05/04/2020	MORGAN STANLEY		6.04	6.04
	COMM	SYS34292	MSTI 0.% Purchase	05/04/2020	MORGAN STANLEY	6.04		-6.04
	COMM	SYS37590	_	05/04/2020	JP MORGAN US		84,635.69	84,635.69
	COMM	SYS37590	%	05/04/2020	JP MORGAN US	84,635.69		-84,635.69
	COMM	SYS40461	DGCXX 0.% Interest	05/04/2020	Dreyfus Government		8,525.40	8,525.40
	COMM	SYS40461	DGCXX 0.% Purchase	05/04/2020	Dreyfus Government	8,525.40		-8,525.40
		Totals for 05/04/2020	20			200,093,167.13 200,000,000.00	1,036,381.72	943,214.59
	COMM	SYS40493	BCREPO 0.03% MAT Purchase	05/05/2020	BARCLAYS CAPITAL	200,000,000.00		-200,000,000.00
	COMM	SYS40492	BCREPO 0.04% MAT Redemption	05/05/2020	BARCLAYS CAPITAL	200,000,000.00		200,000,000.00
	COMM	SYS40492	BCREPO 0.04% MAT Interest	05/05/2020	BARCLAYS CAPITAL		222.24	222.24
		Totals for 05/05/2020	20			200,000,000.00 200,000,000.00	222.24	222.24

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	Inv Descrip TransactionType	TransactionDate	lssuer	Principal Pavdowns	Interest	Cash
	BCREPO 0.04% MAT Purchase	05/06/2020	BARCLAYS CAPITAL			-200 000 000 00
	FEDERAL FARM CR Purchase	05/06/2020	FFCB NOTES	15,000,000.00		-15.000.000.00
	BCREPO 0.03% MAT Redemption	05/06/2020	BARCLAYS CAPITAL	200,000,000.00		200.000.000.00
	BCREPO 0.03% MAT Interest	05/06/2020	BARCLAYS CAPITAL		166.68	166.68
Totals for 05/06/2020				215,000,000.00 200,000,000.00	166.68	-14,999,833.32
	BCREPO 0.04% MAT Purchase	05/07/2020	BARCLAYS CAPITAL	200,000,000.00		-200.000.000.00
	SAN FRANCISCO Purchase	05/07/2020	SAN FRANCISCO	1,356,912.50		-1.356.912.50
	BCREPO 0.04% MAT Redemption	05/07/2020	BARCLAYS CAPITAL	200,000,000.00		200,000,000.00
	BCREPO 0.04% MAT Interest	05/07/2020	BARCLAYS CAPITAL		222.21	222.21
Totals for 05/07/2020				201,356,912.50 200,000,000.00	222.21	-1,356,690.29
ш	FEDERAL FARM CR Redemption	05/08/2020	FFCB NOTES	35,000,000,00		35.000.000.00
ā	BCREPO 0.04% MAT Redemption	05/08/2020	BARCLAYS CAPITAL	200,000,000.00		200.000.000.00
Ē	FEDERAL FARM CR Interest	05/08/2020	FFCB NOTES		271.250.00	271.250.00
â	BCREPO 0.04% MAT Interest	05/08/2020	BARCLAYS CAPITAL		222.21	222.21
Totals for 05/08/2020				235,000,000.00	271,472.21	235,271,472.21
∢	APPLE INC, SR NT Purchase	05/11/2020	APPLE INC	6,980,960,00		-6.980.960.00
ΰ	CHEVRON CORP Purchase	05/11/2020	CHEVRON CORP.	5,000,000.00		-5.000.000.00
Ę	JPM TE 0.44% Redemption	05/11/2020	JP MORGAN US	100,000,000.00		100,000,000.00
ă	DGCXX 0.% Redemption	05/11/2020	Dreyfus Government	100,008,525.40		100,008,525.40
AF	APPLE INC, SR GLBLInterest	05/11/2020	APPLE INC		142,500.00	142,500.00
A	APPLE INC, SR GLBLAccr Int	05/11/2020	APPLE INC	27,708.33	-27,708.33	0.00
В	BANK NOVA SCOTIA Interest	05/11/2020	BANK OF NOVA		65,642.78	65,642.78
	BANK NOVA SCOTIA Accr Int	05/11/2020	BANK OF NOVA	37,663.89	-37,663.89	0.00
Totals for 05/11/2020				11,980,960.00 200,073,897.62	142,770.56	188,235,708.18
≤ ≤		05/12/2020	IADB	35,000,000.00		35,000,000.00
	IAUB 1.023% IMAI INTErest	02/12/2020	IADB		284,375.00	284,375.00
Totals for 05/12/2020				35,000,000.00	284,375.00	35,284,375.00
-	APPLE INC, SR NT Interest	05/13/2020	APPLE INC		450,000.00	450,000.00
Totals for 05/13/2020				I	450,000.00	450,000.00
	FEDERAL HOME Purchase	05/14/2020	FHLB NOTES	5,536,707.64		-5,536,707.64
Totals for 05/14/2020				5,536,707.64		-5,536,707.64
	UNIVERSITY CALIF Interest	05/15/2020	UNIVERSITY		56.520.00	56.520.00
$\supset$	UNIVCA 2.657% MAT Interest	05/15/2020	UNIVERSITY		13.285.00	13.285.00
_	UNIVERSITY CALIF Interest	05/15/2020	UNIVERSITY		8,671.60	8.671.60
0	CP 2.25% MAT Interest	05/15/2020	COL.GATE-PALMOLI		106,942.50	106,942.50
	FEDERAL FARM CR Interest	05/15/2020	FFCB NOTES		110,285.00	110,285.00
	PRIVATE EXPT FDG Interest	05/15/2020	PRIVATE EXPORT		106,102.49	106,102.49

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Total Cash	0.00	9.447.82	993,758.44	20.811.12	1.291.213.92	28,692.30	1.089,687.09	10.285.28	571,150.21	31.265.00	1,132,201.94	26,500.00	302,655,45	57,274.99	676.719.85	50.350.00	544.330.18	38,750.00	372,201.39	25,833.33	539,842.64	56.250.00	704,554,97	45,000,00	564,725.57	41,666.66	484,515,16	18,825.00	218,001.51	16,114.59	204,000.30	10.754.16	186.758.36	50.166.66	694,753,17	43.650.01	588,932,90	18.995.84	257,816,51	31,200.00
Interest	-71 111 25	9.447.82		20,811.12		28,692.30		10,285.28		31,265.00		26,500.00		57,274.99		50,350.00	<u>.</u>	38,750.00		25,833.33		56,250.00		45,000.00		41,666.66		18,825.00		16,114.59		10,754.16		50,166.66		43.650.01		18,995.84		31,200.00
Principal Pavdowns	71.111.25		993,758.44		1,291,213.92		1,089,687.09		571,150.21		1,132,201.94		302,655.45		676,719.85		544,330.18		372,201.39		539,842.64		704,554.97		564,725.57		484,515.16		218,001.51		204,000.30		186,758.36		694,753,17		588.932.90		257.816.51	·
Principal																																								
lssuer	PRIVATE EXPORT	HONDA AUTO	HONDA AUTO	TOYOTA AUTO REC	TOYOTA AUTO REC	NISSAN AUTO	NISSAN AUTO	JOHN DEERE	JOHN DEERE	CHASE ISSUANCE	CHASE ISSUANCE	TOYOTA AUTO REC	TOYOTA AUTO REC	HONDA AUTO	HONDA AUTO	BANK OF AMERICA	BANK OF AMERICA	BANK OF AMERICA	BANK OF AMERICA	MERCEDES-BENZ	MERCEDES-BENZ	BANK OF AMERICA	BANK OF AMERICA	BANK OF AMERICA	BANK OF AMERICA	Nissan Auto Receivab	Nissan Auto Receivab	Capital One Prime Au	Capital One Prime Au	JOHN DEERE	JOHN DEERE	HONDA AUTO	HONDA AUTO	Capital One Multi Ex	Capital One Multi Ex	MERCEDES -BENZ	MERCEDES -BENZ	HYUNDAI AUTO	HYUNDAI AUTO	TOYOTA AUTO REC
TransactionDate	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020	05/15/2020
TransactionType	3 Accr Int	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	T Interest	T Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest
l າν Descrip	PRIVATE EXPT FDG Accr Int	HAROT 1.68% MAT	HAROT 1.68% MAT	TAOT 1.93% MAT	TAOT 1.93% MAT	NALT 2.65% MAT	NALT 2.65% MAT	JDOT 2.66% MAT	JDOT 2.66% MAT	CHAIT 2.1227% MAT Interest	CHAIT 2.1227% MAT	TAOT 3.18% MAT	TAOT 3.18% MAT	HAROT 3.16% MAT	HAROT 3.16% MAT	BACCT 3.% MAT	BACCT 3.% MAT	BACCT 3.1% MAT	BACCT 3.1% MAT	MBALT 3.1% MAT	MBALT 3.1% MAT	BACCT 2.7% MAT	BACCT 2.7% MAT	BACCT 2.7% MAT	BACCT 2.7% MAT	NAROT 2.5% MAT	NAROT 2.5% MAT	COPAR 2.51% MAT	COPAR 2.51% MAT	JDOT 2.21% MAT	JDOT 2.21% MAT	HAROT 1.78% MAT	HAROT 1.78% MAT	COMET 1.72% MAT	COMET 1.72% MAT	MBART 1.93% MAT	MBART 1.93% MAT	HART 1.94% MAT	HART 1.94% MAT	TAOT 1.92% MAT
CUSIP	742651DX7	43811BAC8	43811BAC8	89238KAD4	89238KAD4	65478DAD9	65478DAD9	47788CAC6	47788CAC6	161571HN7	161571HN7	89231PAD0	89231PAD0	43815AAC6	43815AAC6	05522RCZ9	05522RCZ9	05522RDA3	05522RDA3	58772TAC4	58772TAC4	05522RCY2	05522RCY2	05522RCY2	05522RCY2	65479HAC1	65479HAC1	14042WAC4	14042WAC4	477870AC3	477870AC3	43815NAC8	43815NAC8	14041NFU0	14041NFU0	58769TAD7	58769TAD7	44891JAC2	44891JAC2	89233MAD5
Fund	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM
Investment #	40379	37976	37976	38137	38137	38475	38475	38476	38476	38708	38708	38958	38958	39013	39013	39062	39062	39082	39082	39190	39190	39220	39220	39320	39320	39578	39578	39586	39586	39706	39706	39777	39777	39811	39811	39883	39883	40017	40017	40038

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Investment # Fund	CUSIP		IT ansaction 1 ype	II allsaction pate	Ianeci	Principal	Pavdowns	Interest	Cash
COMM	1 89233MAD5	TAOT 1.92% MAT	Redemption	05/15/2020	TOYOTA AUTO REC		437,422.86		437.422.86
COMM	1 58769QAC5	MBALT 2.% MAT	Interest	05/15/2020	MERCEDES-BENZ			16.666.67	16,666.67
COMM	1 58769QAC5	MBALT 2.% MAT	Redemption	05/15/2020	MERCEDES-BENZ		335,022.08		335,022.08
COMM	1 02007TAC9	ALLY 1.84% MAT	Interest	05/15/2020	ALLY Auto			19,933.33	19,933.33
COMM	1 02007TAC9	ALLY 1.84% MAT	Redemption	05/15/2020	ALLY Auto		258,041.45		258,041.45
COMM		HDMOT 1.87% MAT	Interest	05/15/2020	Harley-Davidson Moto			15,583.33	15,583.33
COMM	7	HDMOT 1.87% MAT	Redemption	05/15/2020	Harley-Davidson Moto		184,179.14		184,179.14
COMM		CHAIT 1.53% MAT	Interest	05/15/2020	CHASE ISSUANCE			34,425.00	34,425,00
COMM	1 161571HP2	CHAIT 1.53% MAT	Redemption	05/15/2020	CHASE ISSUANCE		470,182.36		470,182.36
COMM	1 14043MAC5	COPAR 1.6% MAT	Interest	05/15/2020	Capital One Prime Au			33,333.33	33,333.33
COMM	1 14043MAC5	COPAR 1.6% MAT	Redemption	05/15/2020	Capital One Prime Au		450,604.19		450,604.19
COMM	1 58770FAC6	MBALT 1.84% MAT	Interest	05/15/2020	MERCEDES-BENZ			45,999.99	45.999.99
COMM	1 58770FAC6	MBALT 1.84% MAT	Redemption	05/15/2020	MERCEDES-BENZ		931,434.97		931,434,97
COMM		MBALT 1.84% MAT	Interest	05/15/2020	MERCEDES-BENZ			9.200.00	9.200.00
COMM	1 58770FAC6	MBALT 1.84% MAT	Redemption	05/15/2020	MERCEDES-BENZ		183,701.50	-	183.701.50
COMM	1 254683CH6	DCENT 3.32% MAT	Interest	05/15/2020	Discover Card Execut			41,500.01	41.500.01
COMM	1 254683CH6	DCENT 3.32% MAT	Redemption	05/15/2020	Discover Card Execut		347,147.37		347,147.37
	Totals for 05/15/2020	020				1	15,086,666.73	1,179,169.76	16,265,836.49
COMM	1 3137EAEK1	FHLMC 1.875% MAT Interest	Interest	05/18/2020	FHLMC NOTES			234.375.00	234.375.00
COMM	1 166764BG4	CHEVRON CORP	Interest	05/18/2020	CHEVRON CORP.			90,037.50	90.037.50
COMM	1 78012USR7	RBCNY 1.79963%	Interest	05/18/2020	Royal Bank of			41,072.45	41.072.45
COMM	1 43814UAG4	HAROT 3.01% MAT	Interest	05/18/2020	HONDA AUTO			26,416.31	26.416.31
COMM		HAROT 3.01% MAT	Redemption	05/18/2020	HONDA AUTO		747,066.35		747,066.35
COMM	1 43814WAC9	HAROT 2.83% MAT	Interest	05/18/2020	HONDA AUTO			32,427.08	32,427.08
COMM	1 43814WAC9	HAROT 2.83% MAT	Redemption	05/18/2020	HONDA AUTO		400,327.55		400,327.55
	Totals for 05/18/2020	020				ŝ	1,147,393.90	424,328.34	1,571,722.24
COMM		FEDERAL HOME	Purchase	05/19/2020	FHLB NOTES	5,000,000.00			-5,000,000.00
COMM	-	GOOG 3.625% MAT	Interest	05/19/2020	GOOGLE INC			302,778.13	302,778.13
COMM	1 3130AHJY0	FEDERAL HOME	Interest	05/19/2020	FHLB NOTES			86,215.30	86,215.30
	Totals for 05/19/2020	020				5,000,000.00	1	388,993.43	-4,611,006.57
COMM		BMWLT 3.38% MAT	Interest	05/20/2020	BMW VEHICLE			18,250.00	18.250.00
COMM		BMWLT 3.38% MAT	Redemption	05/20/2020	BMW VEHICLE		267,016.09		267,016,09
COMM	1 92349GAA9	VERIZON OWNER	Interest	05/20/2020	VERIZON OWNER			67,958.35	67,958.35
COMM		VERIZON OWNER	Redemption	05/20/2020	VERIZON OWNER		820,265.31		820,265.31
COMM	-	VWALT 1.99% MAT	Interest	05/20/2020	Volkswagen Auto			17,412.50	17,412.50
COMM	1 92867XAD8	VWALT 1.99% MAT	Redemption	05/20/2020	Volkswagen Auto		331,716.28		331.716.28
COMM		VZOT 1.94% MAT	Interest	05/20/2020	VERIZON OWNER			38,800.01	38,800.01
COMM	1 92348AAA3	VZOT 1.94% MAT	Redemption	05/20/2020	VERIZON OWNER		508,831.70		508,831.70
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Total	155,321,59	50.333.34	670,800.07	2,953,663.58	3.175.61	474.214.03	35.700.00	463.717.60	22.137.51	341,069.45	1,340,014.20	20.000.000.00	12.300.000.00	20,000,000,00	7.000.000.00	165,000.00	153.750.00	85.690.00	0.00	29.991.50	0.00	59,734,431.50	-5,379,200.00	0.01	0.01	187,500.00	175,000.00	503,125.00	385,609,38	198,750,00	44.700.00	729,120.89	16,762.50	273,282.99	35,238,53	1.697.757.18	10,727.55	210,416.75	7,329.55
Interest		50.333.34		199,712.54	3,175.61		35.700,00		22,137,51		61,013.12					165.000.00	153,750.00	85,690.00	-22,396.25	29,991.50	-8,179.50	403,855.75				187,500.00	175,000.00	503,125.00	385,609,38	198,750.00	44,700.00		16,762.50		35,238.53		10,727.55		7,329.55
Principal Paydowns	155,321.59		670,800.07	2,753,951.04		474,21 <b>4</b> .03		463,717.60		341,069.45	1,279,001.08	20,000,000.00	12,300,000.00	20,000,000.00	7,000,000.00				22,396.25		8,179.50	59,330,575,75		0.01	0.01							729,120.89		273,282.99		1,697,757.18		210,416.75	
New Principal				1							Ε											1	5,379,200.00																
lssuer	GM Fin'cl Auto Leasi	VOLKSWAGEN	VOLKSWAGEN		HONDA AUTO	HONDA AUTO	HONDA AUTO	HONDA AUTO	HONDA AUTO	HONDA AUTO		FHLMC NOTES	FHLMC NOTES	TOYOTA MOTOR	TOYOTA MOTOR	FHLMC NOTES	FHLMC NOTES	TOYOTA MOTOR	TOYOTA MOTOR	TOYOTA MOTOR	TOYOTA MOTOR		EXXON MOBIL	FHLMC Multi-Family	FHLMC Multi-Family	FNMA NOTES	FHLMC NOTES	FFCB NOTES	FFCB NOTES	U S BANK	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	BMW VEHICLE	BMW VEHICLE	FHLMC Multi-Family	FHLMC Multi-Family	FNMA Multi-Family
TransactionDate	05/20/2020	05/20/2020	05/20/2020		05/21/2020	05/21/2020	05/21/2020	05/21/2020	05/21/2020	05/21/2020		05/22/2020	05/22/2020	05/22/2020	05/22/2020	05/22/2020	05/22/2020	05/22/2020	05/22/2020	05/22/2020	05/22/2020		05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020
TransactionType	Redemption	Interest	Redemption		Interest	Redemption	Interest	Redemption	Interest	Redemption		Redemption	Redemption	Redemption	Redemption	Interest	Interest	Interest	Accr Int	Interest	Accr Int		Purchase	Redemption	Redemption	SInterest	Interest	Interest	Interest	Interest	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest	Redemption	Interest
Inv Descrip	GMALT 1.67% MAT	VALET 3.02% MAT	VALET 3.02% MAT		HAROT 1.72% MAT	HAROT 1.72% MAT	HAROT 2.52% MAT	HAROT 2.52% MAT	HAROT 1.61% MAT	HAROT 1.61% MAT		FEDERAL HOME LN Redemption	FEDERAL HOME LN	TOYOTA MOTOR	TOYOTA MOTOR	FEDERAL HOME LN	FEDERAL HOME LN Interest	TOYOTA MOTOR	TOYOTA MOTOR	TOYOTA MOTOR	TOYOTA MOTOR		EXXON MOBIL	FHLMCM 2.272%	FHLMCM 2.272%	FEDERAL NATL MTGInterest	FEDERAL HOME LN Interest	FEDERAL FARM CR Interest	FEDERAL FARM CR Interest	USB 2.65% MAT					BMWOT 2.35% MAT	BMWOT 2.35% MAT	FHLMCM 2.307%	FHLMCM 2.307%	FHLMCM 2.55% MAT Interest
CUSIP	36259KAD9	92868LAD3	92868LAD3	Totals for 05/20/2020	43814TAC6	43814TAC6	43815MAC0	43815MAC0	43813RAC1	43813RAC1	Totals for 05/21/2020	3134GBLQ3	3134GTMQ3	89236TFZ3	89236TFZ3	3134GBLQ3	3134GTMQ3	89236TFZ3	89236TFZ3	89236TFZ3	89236TFZ3	Totals for 05/22/2020	30231GAF9	3137BQR90	3137BQR90-A	3136G3PR0	3134GBLR1	3133EJW70	3133EJW70	90331HPC1	3137AYCE9	3137AYCE9	3137AYCE9	3137AYCE9	09659QAD9	09659QAD9	3137AWQH1	3137AWQH1	3138LAYM5
Fund	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM
Investment #	40341	40416	40416		37615	37615	39582	39582	40346	40346		37804	39564	40399	40404	37804	39564	40399	40399	40404	40404		40505	39342	39721	36797	37801	38999	39023	39569	38387	38387	38391	38391	38412	38412	38465	38465	38477

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INVESTMENT # FUND	5000				Dring	Davedounc	nterest	7
CO	COMM 3138LAYM5	FHLMCM 2.55% MAT Redemption	05/26/2020	FNMA Multi-Family		6 218 25		CaSN 6 218 25
CO	COMM 3137B36J2	FHLMCM 3.32% MAT Interest	05/26/2020	FHLMC Multi-Family		1	55.274.08	55.274.08
CO	COMM 3137B36J2	FHLMCM 3.32% MAT Redemption	05/26/2020	FHLMC Multi-Family		656,192.45		656.192.45
CO	COMM 3136B1XP4	FNMAM 3.56% MAT Interest	05/26/2020	FNMA Multi-Family			8.676.94	8.676.94
CO	COMM 3136B1XP4	FNMAM 3.56% MAT Redemption	05/26/2020	FNMA Multi-Family		222,239.66		222.239.66
COMM	MM 3136B1XP4	FNMAM 3.56% MAT Interest	05/26/2020	FNMA Multi-Family			8,676.94	8.676.94
COMM		FNMAM 3.56% MAT Redemption	05/26/2020	FNMA Multi-Family		222,239.66	×	222,239.66
CO		Interest	05/26/2020	FHLMC Multi-Family			26,250.08	26,250.08
COMM		Redemption	05/26/2020	FHLMC Multi-Family		420,194.54		420,194,54
COMM		Interest	05/26/2020	FHLMC Multi-Family			44,700.00	44,700.00
COMM		Redemption	05/26/2020	FHLMC Multi-Family		715,783.56		715,783,56
	MM 3137B4WB8	FHLMCM 3.06% MAT Interest	05/26/2020	FHLMC Multi-Family			12,176.25	12.176.25
		FHLMCM 3.06% MAT Redemption	05/26/2020	FHLMC Multi-Family		137,322.92		137,322.92
	MM 3137B5JM6	FHLMCM 3.531% Interest	05/26/2020	FHLMC Multi-Family			29,425.00	29,425.00
		FHLMCM 3.531% Redemption	05/26/2020	FHLMC Multi-Family		290,049.91		290.049.91
		FHLMC REMIC Interest	05/26/2020	FHLMC Multi-Family			28,723.99	28,723,99
		FHLMC REMIC Redemption	05/26/2020	FHLMC Multi-Family		326,050.35		326,050,35
		FHLMCM 3.458% Interest	05/26/2020	FHLMC Multi-Family			28,816.67	28.816.67
		FHLMCM 3.458% Redemption	05/26/2020	FHLMC Multi-Family		282,959.89		282,959.89
		FNMAM 2.75% MAT Interest	05/26/2020	FNMA Multi-Family			66,853.66	66,853,66
		FNMAM 2.75% MAT Redemption	05/26/2020	FNMA Multi-Family		66,565.34		66,565.34
			05/26/2020	FNMA Multi-Family			45,373.52	45,373.52
39158 COMM	.,	FNMAM 3.84% MAT Redemption	05/26/2020	FNMA Multi-Family		29,091.15		29,091.15
		FNMAM 3.84% MAT Interest	05/26/2020	FNMA Multi-Family			37,166.76	37,166.76
			05/26/2020	FNMA Multi-Family		22,007.31		22,007.31
		FNMAM 3.84% MAT Interest	05/26/2020	FNMA Multi-Family			6,203.99	6,203.99
		FNMAM 3.84% MAT Redemption	05/26/2020	FNMA Multi-Family		3,673.53		3,673,53
		FHLMCM 2.615% Interest	05/26/2020	FHLMC Multi-Family			58,837.51	58,837.51
		_	05/26/2020	FHLMC Multi-Family		892,684.93		892,684.93
		FNMAM 2.47% MAT Interest	05/26/2020	FNMA Multi-Family			51,458.33	51,458.33
		FNMAM 2.47% MAT Redemption	05/26/2020	FNMA Multi-Family		646,380.12		646,380.12
		FHLMCM 2.272% Interest	05/26/2020	FHLMC Multi-Family			1,077,004.00	1.077.004.00
	MM 3137BQR90	FHLMCM 2.272% Redemption	05/26/2020	FHLMC Multi-Family		23,399,999,99		23.399.999.99
	MM 3137BQR90	FHLMCM 2.272% Interest	05/26/2020	FHLMC Multi-Family				0.00
39342 COMM		FHLMCM 2.272% Redemption	05/26/2020	FHLMC Multi-Family				00.0
COMM	MM 3138EKX67	FNMAM 2.537% MAT interest	05/26/2020	FNMA Multi-Family			22.211.04	22.211.04
COMM	MM 3138EKX67	FNMAM 2.537% MAT Redemption	05/26/2020	FNMA Multi-Family		24.187.37		24 187 37
COMM	MM 3138LEYD7	FNMAM 1.97% MAT Interest	05/26/2020	FNMA Multi-Family			30.548.54	30.548.54
39587 COMM		FNMAM 1.97% MAT Redemption	05/26/2020	FNMA Multi-Family		32,481.28		32.481.28
COMM								

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Cash	131,349.17	12,948.69	13,686,15	42.563.68	266.051.84	164.772.41	3.579.999.99	00.0	0.00	10.791.67	195.417.00	11,774.54	12,454,44	15,549.38	21,626.59	27,600.00	392,626.01	31,410.99	964,920.45	13,128.30	13,851.15	112.024.34	1,301,940,48	24,807.08	239,962.06	36,741,034.59	142.500.00	234 375 00	93.750.00	204,725.00	675,350.00	-6.000.000.00	10.000.000.00	250.000.00	131,250.00	4,381,250.00	-25,000,277,78	140 625 00
Interest		12,948.69		42,563.68		164.772.41				10.791.67		11,774.54		15,549.38		27,600.00		31,410.99		13,128.30		112,024.34		24,807.08		3,679,449.22	142.500.00	234,375,00	93,750.00	204,725.00	675,350.00			250.000,00	131,250.00	381,250.00		140 625 00
Paydowns	131,349.17		13,686.15		266,051.84	×	3,579,999.99				195,417.00		12,454.44		21,626.59		392,626.01		964,920.45		13,851.15		1,301,940.48		239,962.06	38,440,785.37							10,000,000.00			10,000,000.00		
Principal																										5,379,200.00						6,000,000.00				6,000,000.00	25,000,277.78	
lssuer	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	BMW VEHICLE	BMW VEHICLE	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family		FFCB NOTES	FHLMC NOTES	FHLMC NOTES	FFCB NOTES		FHLMC NOTES	FHLB NOTES	FHLB NOTES	FHLB NOTES		FHLMC NOTES	EHI B NOTES
TransactionDate	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020	05/26/2020		05/27/2020	05/27/2020	05/27/2020	05/27/2020		05/28/2020	05/28/2020	05/28/2020	05/28/2020		05/29/2020	05/20/2020
- 1	FNMAM 2.15% MAT Redemption	FNMAM 2.31% MAT Interest	FNMAM 2.31% MAT Redemption	FNMAM 2.446% MAT Interest	FNMAM 2.446% MAT Redemption	FHLMCM 2.272% Interest	FHLMCM 2.272% Redemption	FHLMCM 2.272% Interest	FHLMCM 2.272% Redemption	FNMAM 2.59% MAT Interest	FNMAM 2.59% MAT Redemption	FNMAM 2.83% WAT Interest	FNMAM 2.83% MAT Redemption	FNMAM 2.135% MAT Interest	FNMAM 2.135% MAT Redemption	BMWOT 1.92% MAT Interest	BMWOT 1.92% MAT Redemption	FNMAM 2.301% MAT Interest	FNMAM 2.301% MAT Redemption	FNMAM 2.35% MAT Interest	FNMAM 2.35% MAT Redemption	FHLMCM 2.932% Interest	FHLMCM 2.932% Redemption	FHLMCM 2.811% Interest	FHLMCM 2.811% Redemption	20	FFCB 1.9% MAT Interest	FEDERAL HOME LN Interest	FEDERAL HOME LN Interest	FFCB 1.9% MAT Interest	20	FEDERAL HOME LN Purchase	FEDERAL HOME Redemption	FEDERAL HOME Interest	FEDERAL HOME Interest	20	FEDERAL HOME LN Purchase	FEDFRAL HOME Interest
CUSIP	3138LGFF8	3138L2BU0	3138L2BU0	3137BP4J5	3137BP4J5	3137BQR90-A	3137BQR90-A	3137BQR90-A	3137BQR90-A	3138L0U90	3138L0U90	31381VBJ3	31381VBJ3	3138L0RM5	3138L0RM5	05588CAC6	05588CAC6	3136A9MN5	3136A9MN5	3138L0RC7	3138L0RC7	3137BUWM6	3137BUWM6	3137BHCY1	3137BHCY1	Totals for 05/26/2020	3133EHW58	3134GBZ70	3134GBZ70	3133EHW58	Totals for 05/27/2020	3134GVVX3	3130AECJ7	3130ACUK8	3130AECJ7	Totals for 05/28/2020	3134GVA73	3130ABG2
runa Sett :	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM		COMM	COMM
Investment #	39609	39632	39632	39654	39654	39721	39721	39721	39721	39734	39734	39735	39735	39844	39844	39861	39861	40056	40056	40293	40293	40385	40385	40390	40390		38169	38170	38171	38204		40506	38733	38178	38733		40507	37149

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SANTA CLARA COUNTY INVESTMENTS	Transaction Activity Report	orted by Transaction Date - Transaction Date
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Contraction         Controlition         Controlition </th
CORPORATE         50,000,00           LOCAL AGENCY         50,000,00           MORGAN STANLEY         4,33           MORGAN STANLEY         4,33           MORGAN US         44,393.87           JP MORGAN US         44,393.87           JP MORGAN US         10,000,000.00           FHLMC NOTES         10,000,000.00           FHLMC NOTES         10,000,000.00           JP MORGAN US         20,000,000.00           JP MORGAN US         10,000,000.00           JP MORGAN US         10,000,000.00           JP MORGAN US         20,000,000.00           JP MORGAN US         10,000,000.00           JP MORGAN US         20,000,000.00           JP MORGAN US         10,000,000.00           JP MORGAN US         10,000,000.00           JP MORGAN US         20,000,000.00           JP MORGAN US         10,000,000.00           JP MORGAN US         10,000,000.00           JP MORGAN US         20,000,000.00
LOCAL AGENCY         50,000,00           MORGAN STANLEY         4,333           MORGAN STANLEY         4,333           MORGAN STANLEY         4,333           MORGAN US         44,333           JP MORGAN US         44,333           JP MORGAN US         44,333           JP MORGAN US         44,333           JP MORGAN US         44,333           Dreyfus Government         3,850.34           HLMC NOTES         10,000,000.00           FHLMC NOTES         10,000,000.00           FHLMC NOTES         10,000,000.00           FLMC NOTES         10,000,000.00           FLUMC NOTES         10,000,000.00           FLUMC NOTES         10,000,000.00           FLUMC NOTES         10,000,000.00           JP MORGAN US         20,000,000.00           JP MORGAN US         10,000,000           JP MORGAN US         10,000,000           JP MORGAN US         10,000,000           JP MORGAN US         10,000,000           JP MORGAN US         1
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Dreyfus Government     3,850.34       48,248.54     48,248.54       FHLMC NOTES     10,000,000.00       FHLMC NOTES     10,000,000.00       FHLMC NOTES     10,000,000.00       FHLMC NOTES     10,000,000.00       FHLMC NOTES     20,000,000.00       FLB NOTES     20,000,000.00       FHLB NOTES     FHLB NOTES
48,248.54       FHLMC NOTES       FFCB NOTES       FFCB NOTES       FHLB NOTES
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FFCB NOTES FHLB NOTES

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Cash	106.250.00	0.00	550.000.00	75,000,000,00	50,000,000,00	3,500,000,00	46 875 AD	40,010.00 93 750 00	281.250.00	227.500.00	11.375.00	234,375.00	0.00	129,395,125.00	15 000 000 00	10.000.000.00	15.000.000.00	25,000,000.00	20.000.000.00	10,000,000.00	23,295,000.00	25,000,000,00	103,125.00	68,750.00	131,250.00	218,750.00	337,500.00	168,750.00	393,103.13	250,000.00	144,966,228.13	-200.000.000.00	15,000,000.00	180,000.00	66,500.00	206.250.00	156,184.00	78.485.00	202,618.00
				75	20.00	2 500	2,00		~		I	2		<u>!</u>	15.00(	10.000	15.000	25.000	20,000	10,000	23,295	25,00(	10	39	131	218			393		!	-200,000	15,000	180	99	206	156	32	202
Interest	106.250.00	-73,194.44	476,805.56				46 875 DD	93 750 00	281.250.00	227,500,00	11,375.00	234,375.00	-162,760.42	732,364.58									103,125.00	68,750.00	131,250.00	218,750.00	337,500.00	168,750.00	393,103.13	250,000.00	1,671,228.13			180,000.00	66,500.00	206,250.00	156,184.00	78,485.00	202,618.00
Paydowns		73,194.44	73,194.44	75 000 000 00	50 000 000 00	3 500 000 00							162,760.42	128,662,760.42	15.000.000.00	10,000,000.00	15,000,000.00	25,000,000.00	20,000,000.00	10,000,000.00	23,295,000.00	25,000,000.00									143,295,000.00		15,000,000.00						
Principal																																200,000,000.00							
Issuer	FHLB NOTES	FHLB NOTES		U S TREASURY BILL	U S TREASURY BILL	FHLB NOTES	FHLB NOTES	FHLB NOTES	FHLB NOTES	Royal Bank of	FHLB NOTES	FHLB NOTES	FHLB NOTES		FHLB NOTES	FHLB NOTES	FHLB NOTES	FHLB NOTES	FHLB NOTES	FHLB NOTES	FHLB NOTES	PFIZER	FHLB NOTES	FHLB NOTES	FHLB NOTES	FHLB NOTES	FHLB NOTES	FHLB NOTES	FHLB NOTES	INTL BANK RECON &		Dreyfus Government	FHLMC NOTES	FHLMC NOTES	WALMART	FHLMC NOTES	VISA	VISA	FFCB NOTES
IransactionDate	06/10/2020	06/10/2020		06/11/2020	06/11/2020	06/11/2020	06/11/2020	06/11/2020	06/11/2020	06/11/2020	06/11/2020	06/11/2020	06/11/2020		06/12/2020	06/12/2020	06/12/2020	06/12/2020	06/12/2020	06/12/2020	06/12/2020	06/12/2020	06/12/2020	06/12/2020	06/12/2020	06/12/2020	06/12/2020	06/12/2020	06/12/2020	06/12/2020		06/15/2020	06/15/2020	06/15/2020	06/15/2020	06/15/2020	06/15/2020	06/15/2020	06/15/2020
I ransaction I ype	Interest	Accr Int		Redemption	Redemption	Redemption	Interest	Interest	Interest	Interest	Interest	Interest	Accr Int		Redemption	Redemption	Redemption	Redemption	Redemption	Redemption	_	Redemption	Interest	Interest	Interest	Interest	Interest	Interest	Interest	Interest			Redemption	Interest	s Interest	Interest	Interest	Interest	Interest
	FEDERAL HOME	FEDERAL HOME	0	UNITED STATES	UNITED STATES	FEDERAL HOME	FEDERAL HOME	FEDERAL HOME	FEDERAL HOME	RBCNY 1.82% MAT	FEDERAL HOME	FEDERAL HOME	FEDERAL HOME	0	FEDERAL HOME	FEDERAL HOME	FHLB 1.75% MAT	FHLB 1.75% MAT	FEDERAL HOME	FEDERAL HOME	FEDERAL HOME	PFIZER DISC NOTE	FEDERAL HOME	FEDERAL HOME	FHLB 1.75% MAT	FHLB 1.75% MAT	FEDERAL HOME	FEDERAL HOME	FEDERAL HOME	IBRD 2.% MAT	0	DGCXX 0.%	FEDERAL HOME LN	FHLMC 1.8% MAT	WAL-MART STORES Interest	FEDERAL HOME LN	VISA INC, SR GLBL	VISA INC, SR GLBL	FEDERAL FARM CR Interest
CUSIF	313379Q69	313379Q69	Totals for 06/10/2020	912796TZ2	912796TZ2	3130AJCR8	313379RB7	3130A3UQ5	3130A1W95	78012USE6	3130AJCR8	313379RB7	313379RB7	Totals for 06/11/2020	3133834H1	3133834H1	313383HU8	313383HU8	313370E38	313370E38	313370E38	71708EFC4	3133834H1	3133834H1	313383HU8	313383HU8	313370E38	313370E38	313370E38	45905U5S9	Totals for 06/12/2020	SYS40461	3134GSMY8	3134GBRR5	931142EA7	3134GSMY8	92826CAC6	92826CAB8	3133ELDK7
runa	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM
Investment #	40459	40459		40142	40143	40369	37961	38102	39563	40133	40369	40467	40467		36877	37037	37058	38247	38978	39383	39393	40329	36877	37037	37058	38247	38978	39383	39393 10110	40146		40461	38796	37849	38076	38796	39527	39917	40162

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40163 COMM 40164 COMM 40154 COMM 37976 COMM 37976 COMM 38137 COMM 38137 COMM 38137 COMM 38137 COMM 38137 COMM 38276 COMM 38276 COMM 38278 COMM 38268 COMM	3133ELDK7 3133ELDK7 931142DV2 931142DV2 931142DV2 43811BAC8 43811BAC8 89238KAD4 89238KAD4 89238KAD4	FEDERAL FARM CR Interest FEDERAL FARM CR Interest	06/15/2020	FFCB NOTES	LINCIPAL	raydowns	202,618.00	Cash 202,618.00
		FEDERAL FARM CR Interest					202,010.00 222 212 50	ZUZ,018.UU
			06/15/2020	FFCB NOTES			707 G18 00	202 640 00
		WALMART INC, SR Interest	06/15/2020	WALMART			370.668.75	370.668.75
		WALMART INC, SR Accr Int	06/15/2020	WALMART		107,082.08	-107,082.08	0.00
		HAROT 1.68% MAT Interest	06/15/2020	HONDA AUTO			8,056.55	8.056.55
		HAROT 1.68% MAT Redemption	06/15/2020	HONDA AUTO		1,020,202.65		1.020,202.65
		TAOT 1.93% MAT Interest	06/15/2020	TOYOTA AUTO REC			18,734.42	18.734.42
		TAOT 1.93% MAT Redemption	06/15/2020	TOYOTA AUTO REC		1,298,902.87		1.298.902.87
	65478DAD9	NALT 2.65% MAT Interest	06/15/2020	NISSAN AUTO			26.285.91	26,285,91
	65478DAD9	NALT 2.65% MAT Redemption	06/15/2020	NISSAN AUTO		1,101,989.57		1.101.989.57
	47788CAC6	JDOT 2.66% MAT Interest	06/15/2020	JOHN DEERE			9.019.23	9.019.23
38708 COMM 38708 COMM 38958 COMM 38958 COMM	47788CAC6	JDOT 2.66% MAT Redemption	06/15/2020	JOHN DEERE		391,391.57		391.391.57
	161571HN7	CHAIT 2.1227% MAT Interest	06/15/2020	CHASE ISSUANCE			12,222,88	12.222.88
	161571HN7	CHAIT 2.1227% MAT Redemption	06/15/2020	CHASE ISSUANCE		1,166,230.00		1.166.230.00
	89231PAD0	TAOT 3.18% MAT Interest	06/15/2020	TOYOTA AUTO REC			26,500.00	26.500.00
		TAOT 3.18% MAT Redemption	06/15/2020	TOYOTA AUTO REC		312,712.99		312,712,99
	43815AAC6	HAROT 3.16% MAT Interest	06/15/2020	HONDA AUTO			57,274.99	57.274.99
		HAROT 3.16% MAT Redemption	06/15/2020	HONDA AUTO		699,698.70		699,698.70
	05522RCZ9	BACCT 3.% MAT Interest	06/15/2020	BANK OF AMERICA			50,350.00	50,350.00
		BACCT 3.% MAT Redemption	06/15/2020	BANK OF AMERICA		559,997.53		559,997.53
			06/15/2020	BANK OF AMERICA			38,750.00	38,750.00
			06/15/2020	BANK OF AMERICA		382,645.50		382,645.50
			06/15/2020	MERCEDES-BENZ			25,833.33	25,833.33
		MBALT 3.1% MAT Redemption	06/15/2020	MERCEDES-BENZ		570,659.80		570,659.80
			06/15/2020	BANK OF AMERICA			56,250.00	56,250.00
	-	-	06/15/2020	BANK OF AMERICA		724,737.89		724,737.89
	-	_	06/15/2020	BANK OF AMERICA			45,000.00	45,000.00
	-		06/15/2020	BANK OF AMERICA		580,902.90		580,902.90
	-		06/15/2020	Nissan Auto Receivab			41,666.66	41,666.66
	-	NAROT 2.5% MAT Redemption	06/15/2020	Nissan Auto Receivab		498,901.79		498,901.79
	-	COPAR 2.51% MAT Interest	06/15/2020	Capital One Prime Au			18,825.00	18,825.00
		COPAR 2.51% MAT Redemption	06/15/2020	Capital One Prime Au		224,475.65		224,475.65
	•	JDOT 2.21% MAT Interest	06/15/2020	JOHN DEERE			16,114.59	16,114.59
			06/15/2020	JOHN DEERE		210,069.54		210,069.54
	7		06/15/2020	HONDA AUTO			10,754.16	10,754,16
	7	ш.	06/15/2020	HONDA AUTO		192,599.95		192,599.95
		COMET 1.72% MAT Interest	06/15/2020	Capital One Multi Ex			50,166.66	50.166.66
		COMET 1.72% MAT Redemption	06/15/2020	Capital One Multi Ex		713,745.37		713,745.37
		MBART 1.93% MAT Interest	06/15/2020	MERCEDES -BENZ			43,650.01	43,650.01
39883 COMM	58769TAD7	MBART 1.93% MAT Redemption	06/15/2020	MERCEDES -BENZ		605,881.91		605,881.91

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Total	VdSII	32,421.08 413,512.77	1,261,914.31	-19.958.600.00	50.000.000.00	25,000,000.00	55,041,400.00	30,000,000	243 750 00	46.325.00	43.750.00	71.875.00	166,500.00	111,000.00	2,495.91	476,882.97	18,250.00	277,476.50	35,700.00	478,614.48	67.958.35	844,553,56	17,412.50	344,013.27	38,800.01	523,432.42	6,958.34	61.173.78	22,137.51	351,090.75	50,333.34	694,173.46	35,094,657.15	-4.999.609.50	40.000.000.00	7,532,000.00	570,000.00	107,331,00
Interest	00 704 00		56,969.50 1,2	-19.9	50.0	25,0	55,0	30.0	243.750.00 2.				-	111,000.00 1.		4	18,250.00	'n	35,700.00	4	67,958.35	œ	17,412.50	m	38,800.01		6,958.34	Ţ	22,137.51	3	50,333.34	99	943,245.96 35,09	4.99	40.00	7,5:	570,000.00 51	
Principal	elimonte i	413,512.77	1,204,944.81		50,000,000.00	25,000,000.00	75,000,000.00	30.000.000.00								476,882.97		277,476.50		478,614.48		844,553.56		344,013.27		523,432.42		161,173.78		351,090.75		694,173.46	34,151,411.19		40,000,000.00	7,532,000.00		
New Principal	motoriti		1	19,958,600.00			19,958,600.00																										]	4,999,609.50				
lssuer	HONDA ALITO	HONDA AUTO		FNMA NOTES	World Bank Discount	World Bank Discount		FHLMC NOTES	FHLMC NOTES	FHLMC NOTES	FHLMC NOTES	FFCB NOTES	FFCB NOTES	FFCB NOTES	HONDA AUTO	HONDA AUTO	BMW VEHICLE	BMW VEHICLE	HONDA AUTO	HONDA AUTO	VERIZON OWNER	VERIZON OWNER	Volkswagen Auto	Volkswagen Auto	VERIZON OWNER	VERIZON OWNER	GM Fin'cl Auto Leasi	GM Fin'cl Auto Leasi	HONDA AUTO	HONDA AUTO	VOLKSWAGEN	VOLKSWAGEN		MERCEDES -BENZ	WALMART	WALMART	WALMART	WALMART
TransactionDate	06/18/2020	06/18/2020		06/19/2020	06/19/2020	06/19/2020		06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020	06/22/2020		06/23/2020	06/23/2020	06/23/2020	06/23/2020	06/23/2020
Inv Descrip TransactionType	HAROT 2.83% MAT Interest			FNMA 0.5% MAT Purchase	WBDN ZERO CPN Redemption	WBDN ZERO CPN Redemption		FEDERAL HOWE LN Redemption	FEDERAL HOWE LN Interest	FEDERAL HOWE LN Interest	FEDERAL HOWE LN Interest	FEDERAL FARM CR Interest	FEDERAL FARM CR Interest	~		HAROT 1.72% MAT Redemption			HAROT 2.52% MAT Interest	-	VERIZON OWNER Interest	VERIZON OWNER Redemption	VWALT 1.99% MAT Interest	E	VZOT 1.94% MAT Interest	VZOT 1.94% MAT Redemption	GMALT 1.67% MAT Interest	GMALT 1.67% MAT Redemption	_	L		VALET 3.02% MAT Redemption			WALMART INC, SR Redemption	-		WALMART INC, SR Interest
CUSIP	43814WAC9	43814WAC9	Totals for 06/18/2020	3135G04Z3	459052YH3	459052YH3	Totals for 06/19/2020	3134GBRU8	3134GBRU8	3134GBSM5	3134GBSW3	3133EJPX1	3133EKRP3	3133EKRP3	43814TAC6	43814TAC6	05586VAD4	05586VAD4	43815MAC0	43815MAC0	92349GAA9	92349GAA9	92867XAD8	92867XAD8	92348AAA3	92348AAA3	36259KAD9	36259KAD9	43813RAC1	43813RAC1	92868LAD3	92868LAD3	Totals for 06/22/2020	58769VAC4	931142EG4	931142EG4	931142EG4	931142EG4
Fund	COMM	COMM		COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM
Investment #	39269	39269		40514	40147	40153		37861	37861	37880	37894	38718	39628	39629	37615	37615	39327	39327	39582	39582	39612	39612	39913	39913	39920	39920	40341	40341	40346	40346	40416	40416		40515	38826	39672 66666	38826	39672

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t Cash	0 43,209,721.50	-926.016.25	-39,996,666.67	-40,922,682.92	15 000 000 00			7		2		1.8		2	7,560.21	5.832.04	Ω.	9		5		Ω.		4		7		-		296,516.14		333,975.98		289,083,90					21,130.23
Interest	677,331.00					46.875.00	44.700.00		16,762.50		31,913.73		10,727.55		7,560.21		55,333.34		7,557.23		7,557.23		26,250.08		44,700.00		12,176.25		29,425.00		28,723.99		28,816.67		68,924.48		46.789.78		
Paydowns	47,532,000.00				15.000.000.00			750,699.36		281,370.85		1,852,165.28		217,111.74		5,832.04		673,212.05		56,558.03		56,558.03		432,630.27		736,967.31		140,365.10		296,516.14		333,975.98		289,083.90		63,395,48		27 136 23	1,100,10
Principal	4,999,609.50	926,016.25	39,996,666.67	40,922,682.92																																			
lssuer		FNMA Multi-Family	NATL SEC		FHLB NOTES	FHLB NOTES	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	BMW VEHICLE	BMW VEHICLE	FHLMC Multi-Family	FHLMC Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	<b>6</b>
I ransactionDate		06/24/2020	06/24/2020		06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	
IIIV Descrip I ransaction I ype	0	FNMAM 2.135% MAT Purchase	NSCCPP DISC NOTE Purchase	50	FEDERAL HONE Redemption	FEDERAL HONE Interest	Interest	Redemption	Interest	Redemption	BMWOT 2.35% MAT Interest	BMWOT 2.35% MAT Redemption	FHLMCM 2.307% Interest	FHLMCM 2.307% Redemption	FHLMCM 2.55% MAT Interest	FHLMCM 2.55% MAT Redemption	FHLMCM 3.32% MAT Interest	FHLMCM 3.32% MAT Redemption	FNMAM 3.56% MAT Interest	FNMAM 3.56% MAT Redemption		FNMAM 3.56% WAT Redemption	Interest	Redemption	Interest	Redemption	FHLMCM 3.06% MAT Interest	AATI		FHLMCM 3.531% Redemption				FHLMCM 3.458% Redemption		FNMAM 2.75% MAT Redemption	FNMAM 3.84% MAT Interest	FNMAM 3.84% MAT Redemption	
COOL	Totals for 06/23/2020	3138L0RM5A	63763PGE3	Totals for 06/24/2020	3130AJF46	3130AJF46	3137AYCE9	3137AYCE9	3137AYCE9	3137AYCE9	09659QAD9	09659QAD9	3137AWQH1	3137AWQH1	3138LAYM5	3138LAYM5	3137B36J2	3137B36J2	3136B1XP4	3136B1XP4	3136B1XP4	3136B1XP4	3137AYCE9	3137AYCE9	3137AYCE9	3137AYCE9	3137B4WB8	3137B4WB8	3137B5JM6	3137B5JM6	3137B3NA2	3137B3NA2	3137B5KW2	3137B5KW2	31381TYT1	31381TYT1	31381RZ23	31381RZ23	
runa		COMM	COMM		COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	
Investment #		40517	40516		40420	40420	38387	38387	38391	38391	38412	38412	38465	38465	38477	38477	38643	38643	38664	38664	38665	38665	38666	38666	38744	38744	38854	38854	38864	38864	38945	38945	39026	39026	39150	39150	39158	39158	

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Cash	20.592.13	6 398 64	3 437 30	58.837.51	916.423.70	53 173 61	658.749.26	22,899,63	23 125 09			9.256.94	133.850.77	13.353.09	13,026,15	42,021.38	248,694,72	11,151.39	201.653.02	12.136.67	12.018.12	16.027.93	20.892.56	27.600.00	404.184.59	26.313.38	722.645.83	13.537.88	13,206,23	36,077.70	20,969.64	24,807,08	243,370.77	25,859,501.42	25.000.000.00	40,000,000,00	50.000.000.00	50,000,000,00	35,000,000,00	20,000,000,02
Interest		6.398.64		58.837.51	,	53.173.61	- -	22.899.63		31.511 72		9.256.94		13.353.09		42,021.38		11,151.39		12,136.67		16,027.93		27,600,00	×	26,313.38		13,537.88		36,077.70		24,807.08		958,230.48						
Paydowns	20,592.13		3.437.30		916,423.70		658.749.26		23.125.09		30.853.27		133,850.77		13,026.15		248,694.72		201,653.02		12,018.12		20,892.56		404,184.59		722,645.83		13,206.23		20,969.64		243,370.77	24,901,270.94	25,000,000.00	40.000.000.00	50,000,000.00	50.000.000.00	25,000,000,00	10.000,000,010
Principal																																		1						
issuer	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	BMW VEHICLE	BMW VEHICLE	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FNMA Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family	FHLMC Multi-Family		FHLMC NOTES	TORONTO	JP Morgan Securities	BNP PARIBAS NY	PRIVATE EXPORT	
I ransaction Date	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020	06/25/2020		06/26/2020	06/26/2020	06/26/2020	06/26/2020	06/26/2020	
	FNMAM 3.84% MAT Redemption	FNMAM 3.84% MAT Interest	FNMAM 3.84% MAT Redemption	FHLMCM 2.615% Interest	FHLMCM 2.615% Redemption	FNMAM 2.47% MAT Interest	FNMAM 2.47% MAT Redemption	FNMAM 2.537% MAT Interest	FNMAM 2.537% MAT Redemption	FNMAM 1.97% MAT Interest	FNMAM 1.97% MAT Redemption	FNMAM 2.15% MAT interest	FNMAM 2.15% MAT Redemption	FNMAM 2.31% MAT Interest	FNMAM 2.31% MAT Redemption	FNMAM 2.446% MAT Interest	FNMAM 2.446% MAT Redemption		FNMAM 2.59% MAT Redemption		FNMAM 2.83% MAT Redemption	FNMAM 2.135% MAT Interest	FNMAM 2.135% MAT Redemption	BMWOT 1.92% MAT Interest	BMWOT 1.92% MAT Redemption	FNMAM 2.301% MAT Interest	FNMAM 2.301% MAT Redemption		FNMAM 2.35% MAT Redemption	_	_		FHLMCM 2.811% Redemption	020	FEDERAL HOME LN Redemption	TDHUSA ZERO CPN Redemption	JPMSCC ZERO CPN Redemption	BNP P 1.86% MAT Redemption	PEFCO ZERO CPN Redemption	
	31381KLL6	31381RLL6	31381RLL6	3137B04Y7	3137B04Y7	3138LGKH8	3138LGKH8	3138EKX67	3138EKX67	3138LEYD7	3138LEYD7	3138LGFF8	3138LGFF8	3138L2BU0	3138L2BU0	3137BP4J5	3137BP4J5	3138L0U90	3138L0U90	31381VBJ3	31381VBJ3	3138L0RM5	3138L0RM5	05588CAC6	05588CAC6	3136A9MN5	3136A9MN5	3138L0RC7	3138L0RC7	3137BUWM6	3137BUWM6	3137BHCY1	3137BHCY1	Totals for 06/25/2020	3134GTXX6	89116EFS7	46640PFS7	05586FKT3	7426M2FS5	
	COMIM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM	COMM	COMM	COMM	
	392.18	39219	39219	39295	39295	39319	39319	39457	39457	39587	39587	39609	39609	39632	39632	39654	39654	39734	39734	39735	39735	39844	39844	39861	39861	40056	40056	40293	40293	40385	40385	40390	40390		39649	39857	39973	39986	40082	40000

SANTA CLARA COUNTY INVESTMENTS Transaction Activity Report Sorted by Transaction Date - Transaction Date

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Interest				88.500.00	262,500.00	622.583.33		521,041.67	1,664,625.00		102,500.00	102,500.00								262,500.00	150,000.00	200,000.00	612,500.00
Principal	50.000.000.00	25.000.000.00	40.000.000.00						355,000,000.00										15,000,000.00				85,000,000.00 15,000,000.00
New Drincinal	10/10/11									24.998.000.00		24,998,000.00	15,000,000.00	20,000,000,00	20.000.000.00	15,000,000.00	5,000,000.00	10,000,000.00					85,000,000.00
lssuer	V	V	MERCK & CO	FFCB NOTES	FHLMC NOTES	BNP PARIBAS NY	WALMART	BANK OF		NATL SEC	FHLMC NOTES		FHLMC NOTES	FNMA NOTES	FNMA NOTES	FNMA NOTES	FNMA NOTES	FNMA NOTES	PRIVATE EXPORT	J.S. TREASURY	HLMC NOTES	FHLMC NOTES	
	IBM	IBM					-						FHI	FNI		FNI	IN	IN		_	FHI	FHI	
TransactionDate	06/26/2020	06/26/2020	06/26/2020	06/26/2020	06/26/2020	06/26/2020	06/26/2020	06/26/2020		06/29/2020	06/29/2020		06/30/2020	06/30/2020	06/30/2020	06/30/2020	06/30/2020	06/30/2020	06/30/2020	06/30/2020	06/30/2020	06/30/2020	
TransactionType	ATRedemption	ATRedemption	E Redemption	Interest	V Interest	Interest	Interest	T Interest		EPurchase	V Interest		V Purchase	GPurchase	.GPurchase	GPurchase.	GPurchase	GPurchase	Redemption	Interest	V Interest	N Interest	
Inv Descrip	IBM DISC NOTE MATRedemption	IBM DISC NOTE MATRedemption	MERCK DISC NOTE Redemption	FFCB 1.77% MAT	FEDERAL HOME LN Interest	BNP P 1.86% MAT	WALMART INC, SR Interest	BMOCHI 1.83% MAT Interest	0	NSCCPP DISC NOTEPurchase	FEDERAL HONE LN Interest	0	FEDERAL HOME LN Purchase	FEDERAL NATL MTGPurchase	PEFCO ZERO CPN Redemption	UNITED STATES	FEDERAL HOME LN Interest	FEDERAL HOME LN Interest	0				
CUSIP	45920FFS2	45920FFS2	58934AFS0	3133EKSN7	3134GTXX6	05586FKT3	931142EK5	06367BBQ1	Totals for 06/26/2020	63763PGH6	3134GSAC9	Totals for 06/29/2020	3134GVT73	3136G4WH2	3136G4XU2	3136G4XZ1	3136G4XZ1	3136G4YF4	7426M2FW6	9128286Z8	3134GUH78	3134GUH78	Totals for 06/30/2020
Fund	COMM	COMM	COMM	COMM	COMM	COMM	COMM	COMM		COMM	COMM		COMM	COMM	COMM	COMM							
Investment #	40366	40371	40382	39639	39649	39986	39996	40090		40518	38334		40519	40520	40521	40522	40523	40524	40186	40155	40207	40208	

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## ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

### Office of Superintendent of Schools

### **ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

# Subject: Approve/Ratify Notices of Employment and Changes of Status/September 10, 2020/ Human Resources Department

Staff Analysis:

This item includes recommendations such as new hires, leaves of absence, or other changes in employee status.

Recommendation:

District administration is recommending that the Board of Trustees approve the hiring of any new employee, and any change of status for existing employees.

Submitted by: Carlos Moran	Title:	Assistant Superintendent, Human Resources
r		<i>E</i>
To the Board of Trustees: <b>Recommend Approval</b> 10.05 Agenda Placement	Meeting:	September 10, 2020 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent
DISPOSIT	ION BY BOAR	D OF TRUSTEES
Motion by:	Seco	onded by:
Approved: Not Ap	proved:	Tabled:

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-HUMAN RESOURCES DEPARTMENT **MEETING OF THE BOARD OF TRUSTEES** September 10, 2020

### **CERTIFICATED OFFERS OF EMPLOYMENT:**

### I. **NEW HIRES:**

1.	Arnison, Ellen	3/ADELANTE Academy I	08/12/2020
2.	Becerra, Jessica	5/ADELANTE Academy II	08/12/2020
3.	Dam, Tuan	Core/Renaissance Academy I	08/12/2020
4.	Dano, Joshua	SDC/Special Education Dept.	08/12/2020
5.	Garcia, Natasha	1/ADELANTE Academy I	08/12/2020
6.	Gonzalez, Karina	Speech Therapist/Special Education Dept.	08/12/2020
7.	Gutierrez, Victor	3/ADLENTE Academy II	08/12/2020
8.	Gutierrez, Yaritza	6/ADELANTE Academy II	08/12/2020
9.	Ivy, Kimberly	SDC/Special Education Dept.	08/12/2020
10	. Murphy, Emma	SDC/Special Education Dept.	08/12/2020
11	. Needleman, Erez	RSP/Special Education Dept.	08/12/2020
12	. Nguyen, Teresa	Core/Fischer Middle School	08/12/2020
13	. Paz, Adriana	Psychologist/Special Education Dept.	08/12/2020
14	. Santarina, Travis	Adapted P.E./Special Education Dept.	08/12/2020
15	. Sarabia, Gian	4/ADELANTE Academy I	08/12/2020
16	. Sarmiento, Kaithlynn	Core/Renaissance Academy I	08/12/2020
17	. Saxton, Rochelle	Math/Sheppard Middle School	08/12/2020
18	. Solis, Luvia	Core/Renaissance Academy I	08/12/2020
19	. Solorio, Ruth	2/ADELANTE Academy II	08/12/2020
20	. Souda, Manali	Core/Renaissance Academy I	08/12/2020
21	. Stewart, Jessica	Speech Therapist/Special Education Dept.	08/20/2020

### II. Substitute Residential Teacher Contracts:

1.	Butler, Tanisha	08/12/2020-10/30/2020
2.	Faines, Ana	08/12/2020-10/30/2020
3.	Faines, James	08/18/2020-10/30/2020
4.	Mendoza, Javier	, 08/12/2020-10/30/2020
5.	Millan, Yvonne	08/12/2020-10/30/2020
6.	Morquecho, Leticia	08/18/2020-10/30/2020
7.	Ravishanker, Uma	08/27/2020-09/30/2020
8.	Rojas, Raul	08/18/2020-10/30/2020
9.	Sanchez, Melany	08/12/2020-10/30/2020
10.	Solis, Salvador	08/12/2020-10/30/2020

### Rehired from Temporary Contract and Change of Status Effective 8/24/2020: III.

1. Morales, Elizabeth Montoya	1/ADELANTE Academy II	Intern Status

### IV. **Employee Contract:**

1. Colon-Arellano, Mildred Substitute Principal

09/11/2020-06/30/2021

### **CERTIFICATED CHANGES OF STATUS:**

V.	Retirement: 1. Aiono, Mary	1/Linda Vista Elementary	08/03/2020
VI.	Provisional Internship Permit: 1. Bland, Samuel	Teacher, Special Education – SDC	
VII.	Ed Code Assignment EC § 44831 2020/2021 School Year:		
	Stewart, Jessica	Speech Therapist/Special Education Depar	tment
		, the governing board of a school district or a co	

Under the provisions of this statute, the governing board of a school district or a county office of education may contract or employ an individual to provide speech and language services if the individual has verified the following: 1) master's degree in communicative disorders, 2) valid license issued by the Speech-Language Pathology and Audiology Board, 3) passage of the California Basic Educational Skills Test (CBEST), and 4) the criminal record summary pursuant to EC §44332.6.

### VIII. Initial Variable Term Credential Waiver Request:

1. Arnison, Ellen

BCLAD: Spanish Program

# CLASSIFIED PERSONNEL – September 10, 2020

# **CLASSIFIED OFFERS OF EMPLOYMENT:**

I.	New Employee: 1. Bernal, Stephanie Lizette	Community Liaison 9.5mo/Adelante II	08/18/2020
II.	<b><u>Re-Hire:</u></b> 1. Padilla, Violet Josephina	Paraeducator Special Education II/Russo-McH	Entee 08/17/2020
III.	<ul><li><u>Change of Status:</u></li><li>1. Manzo, Yadira</li><li>2. Ortiz, Bianca</li></ul>	Night Custodian I – Elementary/Linda Vista Autism Intervention Assistant/Special Educati	08/03/2020 ional Services 08/17/2020
IV.	Retirement: 1. Avilez, Mary	Campus Paraeducator/Lyndale	08/17/2020
V.	<ul> <li>Contracted Employees:</li> <li>1. Elliot, Debra</li> <li>2. Nguyen, Khoi</li> <li>3. Floirendo, Ian Carl</li> <li>4. Pangilinan, Oscar</li> <li>5. Sanchez, Clayton</li> <li>6. Cervantes, Rogelio</li> <li>7. Ramos-Perez, Juan-Manuel</li> <li>8. Ramos, Zaida,</li> <li>9. Borceguin, Monique</li> </ul>	Coordinator, Student Data Vietnamese Translator/Interpreter Instructor, Jazz Program: Vocal & Rhythm Instructor, Jazz Program: Audio Technician Instructor, Jazz Program: Trumpet Instructor, Mariachi Program Music Director, Mariachi Program Artistic/Music Director, Mariachi Program Instructor, Mariachi Program	10/09/2020 - 06/30/2021 08/14/2020 - 06/30/2021 09/11/2020 - 06/30/2021 09/11/2020 - 06/30/2021 09/11/2020 - 06/30/2021 09/11/2020 - 06/30/2021 09/11/2020 - 06/30/2021 09/11/2020 - 06/30/2021

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue San José, CA 95127

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Office of Superintendent of Schools

## **ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Board of Trustees:

Date: August 18, 2020

Subject: Resolution No. 11-20/21, Regarding Sufficiency or Insufficiency of Instructional Materials

Staff Analysis: Education Code Section 60119 requires that the Governing Board adopt a resolution determining that every student is provided with sufficient textbooks and instructional materials aligned to the academic content standards and consistent with the cycles and content of the curriculum frameworks. Staff evaluation of all sites has determined that this is the case.

Recommendation: Staff recommends approval of Resolution No. 11-20/21, Sufficiency of Instructional Materials as presented.

Submitted by: Sandra Garcia	Title: Director of State & Federal Programs
Approved by: <u>Rene Sanchez</u> <b>R</b> . <b>S</b>	Title: <u>Assistant Superintendent, Instructional Services</u>

To the Board of Trustees:

Recommended Approval

Agenda Placement

Meeting: <u>September 10, 2020</u> Regular Board Meeting

uer, Ph.D., Superintendent

	DISPOSITION BY BOARD	OF TRUSTEES	
Motion by:	Seconded	by:	
Approved	Not Approved	Tabled	

2020-2021 Core Curriculum Matrix (Special Education students will receive the core curriculum per Board policy.)

	inte)	inte)	ante)	ante)	ante)	ante)			
ience	Learning A-Z: Science A-Z Online STEMSCOPES -(Adelante)	Learning A-Z: Science A-Z Online STEMSCOPES -(Adelante)	Learning A-Z: Science A-Z Online STEMSCOPES -(Adelante)	Learning A-Z: Science A-Z Online STEMSCOPES -(Adelante)	Learning A-Z: Science A-Z Online STEMSCOPES -(Adelante)	Learning A-Z: Science A-Z Online STEMSCOPES -(Adelante)	Accelerate Learning: STEMscopes	Accelerate Learning: STEMscopes	Accelerate Learning: STEMscopes
istory /Social Science	Benchark Studies Weekly	Benchark Studies Weekly	Benchark Studies Weekly	Benchark Studies Weekly	Benchark Studies Weekly	Benchark Studies Weekly	TCI History Alive: The Ancient World McGraw Hill-IMPACTO (Adelante)	TCI History Alive: The Medieval World and Beyond McGraw Hill-IMPACTO (Adelante)	TCI History Alive: The United States Through Industrialism McGraw Hill-IMPACTO (Adelante)
athematics	Pearson:enVision Math	Pearson:enVision Math	Pearson:enVision Math	Pearson:enVision Math	Pearson:enVision Math	Pearson:enVision Math	CPM (College Preparatory Mathematics) CC1	CPM (College Preparatory Mathematics) CC2	CPM (College Preparatory Mathematics) CC3 Accelerated H S Course 1 Math Vision Project
English Language Development	Benchmark: Advance Benchmark: Adelante	Benchmark: Advance Benchmark: Adelante	Benchmark: Advance Benchmark: Adelante	Benchmark: Advance Benchmark: Adelante	Benchmark: Advance Benchmark: Adelante	Benchmark: Advance Benchmark: Adelante	Houghton Mifflin-English 3D McGraw Hill-Study Sync	Houghton Mifflin-English 3D McGraw Hill-Study Sync	Houghton Mifflin-English 3D McGraw Hill-Study Sync
ading /Language Arts	Benchmark: Advance Benchmark: Adelante Voyager Sopris -Read Well	Benchmark: Advance Benchmark: Adelante Voyager Sopris -Read Well	Benchmark: Advance Benchmark: Adelante Steps to Advance Voyager Sopris -Read Well	Benchmark: Advance Steps to Advance Benchmark: Adelante Voyager Sopris -Read Well	Benchmark: Advance Steps to Advance Benchmark: Adelante Voyager Sopris - Language Live	Benchmark: Advance Steps to Advance Benchmark: Adelante Voyager Sopris - Language Live	McGraw Hill-Study Sync Voyager Sopris - Language Live	McGraw Hill-Study Sync Voyager Sopris - Language Live	McGraw Hill-Study Sync Voyager Sopris - Language Live
	K	-	2	e	4	5	9	2	8
	Elementary School					oodo2 all	Mido		

### RESOLUTION No. 11-20/21 REGARDING SUFFICIENCY OR INSUFFICIENCY OF INSTRUCTIONAL MATERIALS

**WHEREAS**, the Governing Board of Alum Rock Union School District, in order to comply with the requirements of *Education Code Section 60119* held a public hearing September 10, 2020 at 5:30 p.m., which is on or before the eighth week of school (between the first day that students attend school and the eighth week from that day) and which did not take place during or immediately following school hours, and;

**WHEREAS**, the Governing Board provided at least 10 days notice of the public hearing posted in at least three public places within the district that stated the time, place, and purpose of the hearing, and;

**WHEREAS**, the Governing Board encouraged participation by parents/guardians, teachers, members of the community, and bargaining unit leaders in the public hearing, and;

### FOR A FINDING OF SUFFICIENT INSTRUCTIONAL MATERIALS:

**WHEREAS**, information provided at the public hearing and to the governing board at the public meeting detailed the extent to which textbooks and instructional materials were provided to all students, including English learners, in the Alum Rock Union School District, and;

**WHEREAS**, the definition of "sufficient textbooks or instructional materials" means that each student, including English learners, has a textbook or instructional materials, or both, to use in class and take home, and;

**WHEREAS**, between 2008-2009 through 2020-2021 fiscal years, the definition of "sufficient textbooks or instructional materials" also means that all students who are enrolled in the same course within the Alum Rock Union School District, have standards-aligned textbooks or instructional materials from the same adoption cycle, and;

**WHEREAS**, sufficient textbooks and instructional materials were provided to each student, including English learners that **are aligned to the academic content standards** and consistent with the cycles and content of the curriculum frameworks **in the following subjects**:

- Mathematics (See attached list of adopted textbooks or instructional materials for this subject for each grade level or school)
- Science (See attached list of adopted textbooks or instructional materials for this subject for each grade level or school)
- History Social Science (See attached list of adopted textbooks or instructional materials for this subject for each grade level or school)
- English/language arts, including the English language development component of an adopted program (See attached list of adopted textbooks or instructional materials for this subject for each grade level or school)

**THEREFORE, IT IS RESOLVED** that for the 2020-2021 school year, the Alum Rock Union School District has provided each student with sufficient textbooks and instructional materials aligned to the academic content standards and consistent with the cycles and content of the curriculum frameworks.

**PASSED AND ADOPTED** this 10th day of September 2020, by the Board of Trustees of the Alum Rock Union School District, County of Santa Clara, State of California.

Ayes	Noes	Absent	Abstain
Board of Trustees:			
Ernesto Bejarano, President			
Corina Herrera-Loera, Vice F	President		
Andres Quintero, Board Cler	k		
Linda Chavez, Member			
Dolores Marquez-Frausto, N	lember		

**I HEREBY CERTIFY** that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 12, 2019.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127



Office of Superintendent of Schools

### **ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 24, 2020

Subject: Resolution No. 12 - 20/21 **GANN Limit Calculations** 

Staff Analysis: In November of 1979, California voters approved Proposition 4, an initiative that added Article XIII B to the California Constitution. This constitutional amendment, known as the Gann Initiative, placed limits on the growth of expenditures for publicly funded programs. Division 9 of Title 1, beginning with Section 7900 of the Government Code, was then added to law to specify the process for calculating state and local government appropriation limits and appropriations subject to limitation under Article XII B of the Constitution. These constitutional and statutory sections explain and define the appropriations limit and appropriations subject to limitation as they apply to state and local government, and require that each entity of government formally "adopt" its appropriations limit for a given fiscal year.

> Education Code sections 1629 and 42132 specify that each year district Governing Boards shall adopt a resolution identifying their estimated appropriations limits for the current year and their actual appropriations limit for the preceding year. The documentation supporting the GANN adoption resolution shall be made available to the public.

Recommendation: Staff recommends that the Board accept the GANN Limit Calculations and adopt Resolution #12 - 20/21 as presented.

Submitted by: Efrain Robles	Title: Director, Fiscal Services
Approved by: Kolvira Chheng	Title: Assistant Superintendent, Business Services
To the Board of Trustees:	Meeting: September 10, 2020 Regular Board Meeting
Recommend Approval	
10.0 F	Highig Bauer, Ph.D., Superintendent
Agenda Placement	mana Bauer, Ph.D., Superintendent
DISPOSIT	TION BY BOARD OF TRUSTEES
Motion by:	Seconded by:

Approved: Not Approved:

Tabled:

### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT RESOLUTION NO 12 – 20/21

### **RESOLUTION FOR ADOPTING THE "GANN" LIMIT**

(Normal, no increase to Limit pursuant to G.C. 7902.1 [nothing on line K {COE line P}])

- WHEREAS, in November of 1979, the California electorate did adopt Proposition 4, commonly called the Gann Amendment, which added Article XIII-B to the California Constitution; and,
- WHEREAS, the provisions of that Article establish maximum appropriation limitations, commonly called "Gann Limits," for public agencies, including school districts; and,
- WHEREAS, the District must establish a revised Gann limit for the 2019-2020 fiscal year and a projected Gann Limit for the 2020-2021 fiscal year in accordance with the provisions of Article XIII-B and applicable statutory law; and,
- NOW, THEREFORE, BE IT RESOLVED that this Board does provide public notice that the attached calculations and documentation of the Gann limits for the 2019-2020 and 2020-2021 fiscal years are made in accord with applicable constitutional and statutory law;
- AND BE IT FURTHER RESOLVED that this Board does hereby declare that the appropriations in the Budget for the 2019-2020 and 2020-2021 fiscal years do not exceed the limitations imposed by Proposition 4;
- AND BE IT FURTHER RESOLVED that the Superintendent provides copies of this resolution along with the appropriate attachments to interested citizens of this district.
- **PASSED AND ADOPTED** this 10<sup>th</sup> day of September, 2020, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, State of California.

Ayes	Noes	Absent	Abstain	
<b>Board of Trustee</b>	<u>es:</u>			
Ernesto Bejarano, Corina Herrera-La	, President oera, Vice-President		·	
Andrés Quintero,	Clerk			
Dolores Márquez-	-Frausto, Member			
Linda Chavez, M	ember			

**I HEREBY CERTIFY** that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on September 10, 2020.

Hilaria Bauer, Ph.D., Superintendent

Date

### Unaudited Actuals Fiscal Year 2019-20 School District Appropriations Limit Calculations

Extracted	Calculations			Gaisalationa	2020-21 Calculations		
		Entered Data/	Extracted		Entered Data/		
Data	Adjustments*	Totals	Data	Adjustments*	Totals		
	2018-19 Actual			2019-20 Actual			
			_				
					62,885,686.9		
9,314.77	l	9,314.77			8,851.2		
Ad	justments to 2018-	19	Ad	justments to 2019-2	20		
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	1 1 1						
			_				
	2019-20 P2 Report		2	020-21 P2 Estimate			
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398.28		398.28	418.21		418.2		
		8,851.22			8,249.8		
	0010 00 4			0000 04 Dudwat			
	2019-20 Actual			2020-21 Budget			
111,311.64		111,311.64	111,000.00		111,000.0		
0.00		0.00	0.00		0.0		
					0.0		
					28,251,839.0		
					1,805,000.0		
					3,336,000.0		
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			4,274,350.00		4,274,350.0		
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### Unaudited Actuals Fiscal Year 2019-20 School District Appropriations Limit Calculations

	2019-20 Calculations		2020-21 Calculations			
	Extracted		Entered Data/	Extracted		Entered Data/
	Data	Adjustments*	Totals	Data	Adjustments*	Totals
10. Adjustments to the Limit Per						
Government Code Section 7902.1 (Line D9d minus D4; if negative, then zero)			0.00			
If not zero report amount to: Keely Bosler, Director						
State Department of Finance						
Attention: School Gann Limits State Capitol, Room 1145						
Sacramento, CA 95814						
SUMMARY		2019-20 Actual			2020-21 Budget	
11. Adjusted Appropriations Limit		2010-20 Actual			2020-21 Budget	
(Lines D4 plus D10) 12. Appropriations Subject to the Limit			62,885,686.92			60,802,116.21
(Line D9d)			62,885,686.92			
* Please provide below an explanation for each entry in the adjustments	column					
mme					,	
					·····	
					·····	
Frain Robles		(408) 928-6839				
Gann Contact Person		Contact Phone Numb	ber			



### Office of Superintendent of Schools

## **ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 7, 2020

Subject:	Resolution No. 08-20/21 CREATING A COLLEGE CULTURE					
	Creating a college-bound culture supports the district's goal of maintaining high student achievement and providing all students with access to university preparation courses.					
Staff Analysis:	Creating a college-bound culture in our district provides a framework for staff, parents, and the community to engage in collaboration to prepare all students to attend college. Through a variety of activities for students and					

celebrate, inspire, and promote college aspirations for all. **Recommendation:** Staff recommends approval of Resolution No. 08-20/21, Creating a College

Culture, as submitted.

their families, Alum Rock schools promote a college-going culture in order to

Submitted by: <u>Rene Sanchez</u>	Title: Assistant Superintendent, Instructional Services
To the Board of Trustees: <b>Recommend Approval</b> <u>10.08</u> Agenda Placement	Meeting: September 10, 2020 Regular Board Meeting Hilaria Bauer, Ph.D., Superintendent
D Motion by:	Seconded by:

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

#### RESOLUTION NO. 08-20/21 CREATING A COLLEGE CULTURE IN THE ALUM ROCK SCHOOL DISTRICT OCTOBER 2020

**WHEREAS**, the mission of the Alum Rock Union School District states: We believe that all students can learn, all students can succeed. We recognize and value the diversity of our student population. We believe that diversity enhances students' ability and opportunity to learn. In order to achieve this mission, we have a single goal: to increase the academic achievement of our students.

WHEREAS, according to the Alum Rock Union School District Board of Trustees, the parent and community goal for 2020-2021 is to focus on the development and strengthening of a system for increasing parent support of District schools and involvement in student learning; and

WHEREAS, the demand for educated workers will rise by 2025, with two out of five jobs requiring a college degree; and

WHEREAS, a Bachelor's Degree provides young people with a lifetime income gain of approximately \$1,000,000 and college graduates are 50% more likely to vote; and

WHEREAS, we believe every child should have a dream for college or post-secondary education; and

WHEREAS, when adults share their belief that everyone can go to college and talk with young people about their education, they inspire young people to design their own pathway to college and demystify college for children and youth;

**NOW, THEREFORE BE IT RESOLVED**, that the Board of Trustees of the Alum Rock Union School District and the Superintendent create a multilingual "College for All" public engagement campaign to inform students, parents and staff of the value of a college education and the requirements necessary for students to enroll in four-year colleges and universities in the 2020-2021 school year; and

**RESOLVED**, further, that the Superintendent establish an implementation committee that collaborates with employee and employer organizations, post-secondary institutions, and other stakeholders, including parents and students, to incorporate community involvement in the development and implementation of the District's strategy; and

**BE IT FURTHER RESOLVED**, that the Alum Rock Union School District encourages every educator and every staff member to inspire and encourage students to believe that they can go to college by creating a college culture.

**PASSED AND ADOPTED** this 10<sup>th</sup> day of September, 2020, by the Board of Trustees of the Alum Rock Union School District, County of Santa Clara, State of California.

Ayes	Noes	Absent	Abstain
Board of Trustees:			
Ernesto Bejarano, President			-100000-
Corina Herrera-Loera, Vice-	President	and the second second	al contractor and a second
Andrés Quintero, Clerk	<b>-</b>		
Linda Chavez, Member			
Dolores Marquéz - Frausto,	Member		

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 10, 2020.

Hilaria Bauer, Ph.D., Superintendent





Alum Rock is proud to recognize the following celebrations during the month of October :

## Creating a College Culture

Through readings, historical documents, art, videos, class discussions, stories, and activities we can, as educators, teach our children about these important and relevant celebrations and recognitions.

Attached are links to various online resources to look for ideas, lessons or resources.

Subject	Link	
Article	Fostering a College-Going Culture in Elementary School	
Article	Four Ways to Teach Kids about College	
Resources for lessons	College Awareness Activities for Elementary Students	
Career lessons	Career Awareness and Exploration	
Resources	Achieve.org	
RESOURCES	SVEF -COLLEGE DAY 2020	
Events	National College Colors Day	
Resources	College Awareness Week Activities	
Exploration	California Career Zone	



#### Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 7, 2020

- Subject: Resolution No. 09-20/21 Hispanic Heritage Month September 15 – October 15, 2020
- Staff Analysis: Latino contributions to the growth and development of the United States have been many. As this nation looks forward to the 21<sup>st</sup> century, Latinos will continue to play a major role in meeting the opportunities and challenges ahead. Hispanic Heritage Month celebrates the Latino contribution. It also lets us recognize America's cultural diversity and the contributions of all of its citizens. Seventy-eight percent of the students in the Alum Rock Union School District are of Hispanic origin; therefore, the Board is encouraged to proclaim September 15<sup>th</sup> October 15<sup>th</sup> Hispanic Heritage Month. Educational communities are encouraged to commemorate this time with appropriate instructional activities.

**Recommendation:** Staff recommends approval of Resolution No. 09-20/21, Hispanic Heritage Month, as submitted.

Submitted by: <u>Rene Sanchez</u>	S Title: Assistant Superintendent, Instructional Services
To the Board of Trustees:	Meeting: September 10, 2020 Regular Board Meeting
Recommend Approval	
D.D9 Agenda Placement	Hilaria Bauer, Ph.D., Superintendent
<u> </u>	SPOSITION BY BOARD OF TRUSTEES
Motion by:	Seconded by:
Approved:	Not Approved: Tabled:

## RESOLUTION NO. 09-20/21 HISPANIC HERITAGE MONTH SEPTEMBER 15 – OCTOBER 15, 2020

WHEREAS, more than one in eight people in the United States are of Hispanic origin; and

WHEREAS, 44.2% of the population in the West Region of the United States is of Hispanic origin; and

WHEREAS, 78% of the students in the Alum Rock Union School District are of Hispanic origin

WHEREAS, the contributions of the Hispanics in the development and success of America are woven into almost every segment of the nation's history, and to envision America untouched by Latinos is to imagine a country without much of the folklore and many of its achievements; and

WHEREAS, recognizing that America's cultural diversity-and the contributions of all its citizens-has made America's fabric strong throughout its history; and

WHEREAS, Hispanics have always played major roles in building this country and making it a better place;

**NOW, THERFORE, BE IT RESOLVED,** that the Alum Rock Union School District joins with all other educational agencies, governmental bodies, and community organizations in proclaiming and designating September 15 to October 15, 2020, *Hispanic Heritage Month*, and encourages all educational communities to observe this month with appropriate instructional activities.

**PASSED AND ADOPTED** this 10<sup>th</sup> day of September, 2020, at a regular meeting by the following vote:

Ayes	Noes	Absent	Abstain
Board of Trustees:			
Ernesto Bejarano,	, President _		
Corina Herrera-Lo	era, Vice-President	·····	
Andrés Quintero, Clerk			
Linda Chavez, Me	mber _		
Dolores Marquéz	- Frausto, Member _		

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 10, 2020.





Alum Rock is proud to recognize the following celebrations during the month of October :

## Hispanic Heritage Month

Through readings, historical documents, art, videos, class discussions, stories, and activities we can, as educators, teach our children about these important and relevant celebrations and recognitions.

## Attached are links to various online resources to look for ideas, lessons or resources.

Grade Span	Subject	Link
K-12	All	Hispanic Heritage Lessons -Descriptions
All	Resources	A month of ideas and activities
All	Lesson -Resources	Hispanic Heritage Month Activities
All	Lessons	Lessons for Hispanic Heritage Month
All	Resources	NPS.gov
All	Art	nga- Hispanice Heritage Month Resources and art
All	Resources - art, lessons and music	Teacher vision -resources
Upper	Resources	Impromptu prompts- Speech and Debate
Upper	Resources	Hispanic Heritage Month -NAACP



#### Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 7, 2020

#### Subject: Resolution No. 10-20/21 Character Education Month October, 2020

Staff Analysis: The State Superintendent of Public Instruction and the State Board of Education encourage California's local education agencies to promote effective character education programs by taking a proactive and comprehensive approach that encourages responsible, ethical, civic-minded behavior in all phases of school life. Local school boards are encouraged to observe the month of October, 2020, as Character Education Month.

**Recommendation:** Staff recommends proclaiming October, 2020, as Character Education Month.

Submitted by: <u>Rene Sanchez</u> <u><b>R.S</b></u>	Title: Assistant Superintendent, Instructional Services
To the Board of Trustees:	Meeting: September 10, 2020 Regular Board Meeting
Recommend Approval	Hilaria Bayer, Ph.D., Superintendent
Agenda Placement	Hilana dauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES				
Motion by:	Seconded by	/:		
Approved:	Not Approved:	Tabled:		

#### RESOLUTION NO. 10-20/21

#### **CHARACTER EDUCATION MONTH, OCTOBER 2020**

WHEREAS, California's State Superintendent of Public Instruction and the State Board of Education believe that all students enrolled in California Public Schools have the right to a comprehensive curriculum that promotes a common core of personal and social values as the basis of good character;

WHEREAS, the State Superintendent of Public Instruction and the State Board of Education believe that America's heritage and laws reflect a common core of personal and social values that holds the citizens of this democracy responsible for acting morally and ethically, being actively involved in school and community, resolving differences peacefully, and respecting the rights, dignity, and property of others;

WHEREAS, the State Superintendent of Public Instruction and the State Board of Education believe that the character of our state is only as strong as the character of each individual citizen;

WHEREAS, although character development is, first and foremost, an obligation of families, the efforts of Faith communities and schools, as well as youth, civic, and human services organizations also play a very significant role by supporting families and otherwise fostering and promoting good character;

WHEREAS, state law calls upon educators to impress upon students the principles of morality, truth, justice, patriotism, and a true comprehension of the rights, duties, and dignity of American citizenship; and the meaning of equality and human dignity, all of which result in awakening students to the moral and ethical virtues that build a fundamental strength of character;

WHEREAS, an effective character education program requires an intentional, proactive, consistent, and comprehensive approach that promotes a common core of personal and social values in all phases of school life, core values that include trustworthiness, respect, responsibility, fairness, caring citizenship, civic virtue, honesty, and personal responsibilities; now, therefore, be it

**RESOLVED,** the State Superintendent of Public Instruction and the State Board of Education, join in proclaiming and observing the month of October, 2020, as CHARACTER EDUCATION MONTH, and be it further

**RESOLVED**, the State Superintendent of Public Instruction and the State Board of Education encourage California's local education agencies to promote effective character education programs by taking a proactive and comprehensive approach that encourages responsible, ethical, civic-minded behavior in all phases of school life. Local school boards are encouraged to observe the month of October, 2020, as Character Education Month.

**PASSED AND ADOPTED** this 10<sup>th</sup> day of September, 2020, at a regular meeting by the following vote:

Ayes	Noes	Absent	Abstain
<b>Board of Trustees:</b>			
Ernesto Bejarano, Preside	nt _		
Corina Herrera-Loera, Vice-President			
Andrés Quintero, Clerk	_		
Linda Chavez, Member		- 1000000	
Dolores Marquéz - Frausto	, Member		

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 10, 2020.





Alum Rock is proud to recognize the following celebrations during the month of October : **Character Education Month** 

Through readings, historical documents, art, videos, class discussions, stories, and activities we can, as educators, teach our children about these important and relevant celebrations and recognitions.

Attached are links to various online resources to look for ideas, lessons or resources.

Grade Span	Subject	Link
K-5	Character Ed /SEL	good character.com K-5 lessons
MS	Character Ed /SEL	good character.com 4-8 lessons
4-8	Physical Education	Character Ed and SEL in Sports
ALL	Character Ed /SEL	Teaching Tolerance
Select	Character Ed	Learning to Give Lessons
ALL	Character Ed	Edutopia -Character Education
ALL	Article for teachers	CDE -The role of Character Education
ALL	Building community	Icebreaker ideas -

**Distance Learning Mini Lesson- CARING** 

Distance Learning Mini Lessons- COURAGE

**Distance Learning Mini Lesson - Gratitude** 

Distance Learning Mini Lesson - Inclusiveness

Distance Learning Mini Lesson - Kindness- Part 1

Distance Learning Mini Lesson - Kindness Part II

Distance Learning Mini Lesson - RESPECT

Distance Learning Mini Lesson - RESPONSIBILITY

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT 2930 Gay Avenue, San Jose, CA 95127

10.11

Office of Superintendent of Schools

## **ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: August 7, 2020

Subject:	<b>Resolution No. 11-20/21</b> <b>WEEK OF THE SCHOOL ADMINISTRATOR – OCTOBER 11 – 17, 2020</b> October 11 – 17, 2020, has been proclaimed as the Week of the School Administrator		
Staff Analysis:	The week of October 11 through October 17, 2020, has been designated as the "Week of the School Administrator" to commend the administrators of California public schools for their support and contributions to the quality of public education in this state.		
Recommendation:	Staff recommends a Administrator, as sul	and a second sec	on No. 11-20/21, Week of the School
Submitted by: Rene Sanche	z R.S	Title: <u>Assistant S</u>	Superintendent, Instructional Services
To the Board of Trustees:			otember 10, 2020 gular Board Meeting
Recommend Approval			
10.11		HARANN	er I
Agenda Placement		Hilaria Bauer, Ph.I	D., Superintendent
	DISPOSITION	BY BOARD OF TRUS	STEES
Motion by:		Seconded by:	
Approved:	Not Approved:		Tabled:

#### Resolution No. 11-20/21 PROCLAIMING OCTOBER 11-17, AS THE "WEEK OF THE SCHOOL ADMINISTRATOR"

WHEREAS, the week of October 11 through October 17, 2020, has been designated as the "Week of the School Administrator," and

WHEREAS, approximately 15,000 certificated and classified school administrators work in California's public schools; and

WHEREAS, nearly sixty percent (60%) of these administrators are principals, and vice principals providing direct support for the educational programs at school sites; and

WHEREAS, research has determined that one of the main attributes of effective schools is the competent leadership of principals; and

WHEREAS, other certificated and classified administrators provide leadership and support for the educational program by developing and implementing the curriculum, recruiting, training, supporting teachers and parents, planning and mainstreaming school facilities, and providing transportation, nutrition, and social service programs to pupils and their families; and

WHEREAS, a school's administrative team includes confidential employees who perform and assist in the performance of many critical functions;

**NOW, THEREFORE, BE IT RESOLVED**, that the week of October 11-17, 2020, be proclaimed the "Week of the School Administrator", in honor of the many outstanding administrative teams in California's public school districts; and

**PASSED AND ADOPTED** this 10<sup>th</sup> day of September, 2020, by the Board of Trustees of the Alum Rock Union School District, County of Santa Clara, State of California.

AyesNoes	Absent	Abstain
Board of Trustees:		
Ernesto Bejarano, President	<u> </u>	
Corina Herrera-Loera, Vice-President		
Andrés Quintero, Clerk		
Linda Chavez, Member	· · · · · · · · · · · · · · · · · · ·	
Dolores Marquéz - Frausto, Member		

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union School District at a public meeting of said Board held on September 10, 2020. ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue San Jose, CA 95127 10.12

Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Board of Trustees:

#### Subject : ACCEPTANCE OF MEMORANDUM(S) OF UNDERSTANDING

Staff Analysis:

The District has received the following Memorandums of Understanding as summarized on the sheet dated September 10, 2020.

Recommendation: Staff recommends acceptance of these memorandums of understanding.

Prepared by::	Maria Martinez	m.m.	Title:	Procurement Manager
Approved by:	Kolvira Chheng	K	Title:	Assistant Superintendent of Business Services

To the Board of Trustees:	Meeting:	September 10, 2020
Recommend Approval		Regular Board Meeting
10.12 Agenda Placement		Hilaria Bauer, Ph.D., Superintendent
		1

	DISPOSITION BY BOARD OF TRUSTEES				
	Seconded by:				
Not Approved:		Tabled:			
	Not Approved:				

## MEMORANDUMS OF UNDERSTANDING

<b>DEPARTMENT</b>	DATE OF SERVICE	CONSULTANT NAME	COST	<u>PURPOSE</u>
McCollam Pablo Fiene	09/15/20- 10/20/20	Santa Clara County Office of Education	\$500.00	Provide a six-session workshop series for McCollam parents. The focus of the workshops will be to expose TK-5 <sup>th</sup> grade parents to Common Core Math & Literacy activities and the acquisition of parenting strategies that they will be able to use to support their child's emotional and academic growth. Funding: Restricted
Human Resources Carlos Moran	09/11/20- 08/31/23	Alliant International University	No Cost	For Practicum Students, Student Interns and Teacher Interns enrolled in the Teacher Intern Program who will be serving their practicum with the district. Funding: General



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### **Request for Contracted Services**

To: BUSINESS OFFICE Contract N	o.: Vendar No.: 10547
McCollam Elementary (School/Dept) and the address is 2930 Gay Avenue, San Jose, CA 95127, and	he Alum Rock Union Elementary School District (ARUESD), whose I the following named Contractor wishes to enter a:
MOU (negotiated Agreement) Exhibit B & C (Fingerprinting and TB Test)	MASTER CONTRACT PARTICIPATION  Scope of Work/Proposal
Note: All Contracts over \$5,000 require pre-approval.	
* Use Independent Contractor Agreement (PUR-116) for	unincorporated individuals or in the absent of negotiated agreement
Name of Individual/Company Santa Clara Count	y Office of Education
Address: 1290 Ridder Park Dr.	City: San Jose State: CA Zip: 95131
	Email jaime_koo@sccoe.org
	Fed I.D. #: 77-0272168
CONTRACT TERM: start dates September 15, 2	
workshops will be to expose TK-5th grade pa the acquisition of parenting strategies that the and academic growth. COMPENSATION: In consideration of Contractor's pro provisions expressed herein, ARUESD shall pay Contract for payment (Invoice) which shall be submitted not later th were rendered, and upon approval of such demand by Af	hop series for McCollam parents. The focus of the arents to Common Core Math & Literacy activities, and ey will be able to use to support their child's emotionalevision of services as described above, and subject to the payment otor, upon Contractor's submission of a properly documented demand han 30 days from the end of the month in which the contract services RUESD as follows: (Check either a or b)shopNot to Exceed \$500.00of services
Describe other related costs:	
BUDGET CODE: 06 3010 0 5815 00 1110 1000 000000	Accountant Initials Accountant Initials
APPROVALS	
ALUM ROCK UNION ELEMENTARY SCHOOL DIS Site/Department Administrator:	ARICT:         Date:         8/20/20           Date:
Asst. Supt., of Business Services:	Date:
Superintendent:	Date:

# Santa Clara County $\underbrace{\underbrace{\$}}_{\overline{\mathbf{v}}}$ Office of Education

McCollam Elementary School and

Santa Clara County Office of Education

Memorandum of Understanding

August 19, 2020

This is a memorandum of understanding between McCollam Elementary School (MES) and the Santa Clara County Office of Education (SCCOE). The goal of this agreement is to provide Parent Engagement workshop series in an online format for the academic school year 2020- 2021. This document is intended to define and clarify the following items between the Santa Clara County Office of Education and the McCollam Elementary School.

Start Date: September 15, 2020

End Date: October 20, 2020

This memorandum of understanding is intended to define

- Use of resources
- Articulation of monies
- Coordination and delivery of Parent Engagement workshop

#### 1.0 Use of Resources

- 1.1 **SCCOE** will provide 10 copies total of the Parent Project Jr. or Active Parenting book in English or Spanish to be disseminated to parents. Schools will have the option to purchase more books.
- 1.2 MES will decide on the manner to disseminate books.
- 1.3 The **MES** will receive a Zoom link for the live online workshop series accessible by all parents.
- 1.4 A recording will also be available for any parent to access at a later date or time, up to a month (4 weeks) after the last webinar session.

#### 2.0 Articulation of Monies-Reimbursement Plan

- 2.1 MES will pay \$500.00 flat rate.
- 2.2 Monies will be paid upon receipt of invoice.

#### 3.0 Coordination and Delivery of Parent Engagement workshop

- 3.1 The Santa Clara County Office of Education (SCCOE) will provide six (6) Parent Project Jr. Loving Solutions workshops for Elementary or six (6) Active Parenting workshops for Secondary with integrated CCSS support for parents.
- 3.2 SCCOE will provide a Parent Engagement Specialist to facilitate the training.
- 3.3 Training will be offered in English and Spanish.
- The online training will take place on a date and time as stated: 3.4
  - September 15, 22, 29, 2020 ٠ 4:30 to 6 p.m.
  - ٠ October 6, 13, 20, 2020 4:30 to 6 p.m.
- 3.5 MES will provide Parent Engagement Specialist with the intended outcomes/topic of consultation. If the outcomes are not determined within this timeframe, SCCOE reserves the right to cancel the training.
- 3.6 Date, Time, and Location: MES will notify SCCOE of the specific location and start/end times for each Parent Engagement workshop in advance of the Parent Engagement workshop and finalize the dates with at least 20 days' notice beginning September 15, 2020.

#### 4.0 Other Conditions

- Insurance: MES and the Santa Clara County Office of Education shall maintain a certificate 4.1 of insurance in the business office of each respective office.
- 4.2 Indemnity: MES and the Santa Clara County Office of Education shall be held harmless from and against any and all claims urising from a default in the performance of any obligation of the memorandum of understanding.

My An Signature of Authorized Official By:

#### Santa Clara County Office of Education

Title: Director, School Climate, Leadership
and Instructional Services Dept.
and Instructional Services Dept. Date:

By: \_

Signature of Authorized Official

McCollam Elementary School

Title: Superintendent

Date: \_\_\_\_\_

#### 2.0 Articulation of Monies-Reimbursement Plan

- 2.1 MES will pay \$500.00 flat rate.
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#### 3.0 Coordination and Delivery of Parent Engagement workshop

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  - September 15, 22, 29, 2020 4:30 to 6 p.m.
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- 3.5 **MES** will provide Parent Engagement Specialist with the intended outcomes/topic of consultation. If the outcomes are not determined within this timeframe, **SCCOE** reserves the right to cancel the training.
- 3.6 Date, Time, and Location: **MES** will notify **SCCOE** of the specific location and start/end times for each Parent Engagement workshop in advance of the Parent Engagement workshop and finalize the dates with at least 20 days' notice beginning September 15, 2020.

#### 4.0 Other Conditions

4.1 Insurance: **MES** and the Santa Clara County Office of Education shall maintain a certificate of insurance in the business office of each respective office.

Ree

4.2 Indemnity: **MES** and the Santa Clara County Office of Education shall be held harmless from and against any and all claims arising from a default in the performance of any obligation of the memorandum of understanding.

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Signature of Authorized Official

#### Santa Clara County Office of Education

Title: <u>Director, School Climate, Leadership</u> and Instructional Services Dept. Date:\_\_\_\_

Signature						icui
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Alum Rock Union School District

Jule: Superintendent

Date





ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### **Request for Contracted Services**

To: BUSINESS OFFICE	Contract No.:		Vendor No.:
Human Resources (Scho address is 2930 Gay Avenue, San Jose, C	ol/Dept) and the Alur XA 95127, and the fol	m Rock Union Elementary lowing named Contractor w	/ School District (ARUESD), whose vishes to enter a:
MOU (negotiated Agreeme Exhibit B & C (Fingerprintin		MASTER CONTR	ACT PARTICIPATION
Note: All Contracts over \$5,000 require pr	re-approval.		
* Use Independent Contractor Agreement	(PUR-116) for unincor	rporated individuals or in the	e absent of negotiated agreement.
Name of Individual/Company: Alliant Ir	nternational Univ	ersity	
Address: One Beach Street, Suite	e 100	City: San Francisco	State: <u>CA</u> Zip: <u>94133</u>
Phone: (415) 955-2102	<u></u>	Email: erika.albarran@	@alliant.edu
SSN:		Fed I.D. #:	
CONTRACT TERM: start dates Septer			
CONTRACTOR'S OBLIGATION: Description of services to be provided: (Ple			
Memorandum of Understanding			
enrolled in a the Teacher Intern			
<b>COMPENSATION</b> : In consideration of C provisions expressed herein, ARUESD sha for payment (Invoice) which shall be submit were rendered, and upon approval of such	all pay Contractor, up tted not later than 30	on Contractor's submission days from the end of the m	of a properly documented demand nonth in which the contract services
a. Fee Rate: \$	per	Not to Exceed	of services.
X b. Other: \$NO cost to the	District		
Describe other related costs:			
BUDGET CODE: 010-0000-0-5815-00-000	10-7200-00000-600-77	700 Accountant Initials	- 8/20
APPROVALS:	ju s	Accountant Initials	
ALUM ROCK UNION ELEMENTARY	SCHOOL DISTRICT:	11. ··	A La
Site/Department Administrator:	Juns/	WAAN	Date:
Director of Fiscal Services:	s App		Date: 8/26/20
Asst. Supt., of Business Services:	-Kar	~	Date: 8/26/20
Superintendent:		Carlos and a state of the state	Date:



## MEMORANDUM OF UNDERSTANDING Between ALLIANT INTERNATIONAL UNIVERSITY, INC. A CALIFORNIA BENEFIT CORPORATION And Alum Rock Union Elementary School District

Alliant International University, Inc., a California Benefit Corporation (the "University"), and Alum Rock Union Elementary School District (the "District") agree to the following conditions that apply to Practicum Students, Student Teachers, and Teacher Interns (collectively, "Interns") who are or will be enrolled in the Teacher Credential Program, the MA/PPS: School Psychology Program or School Counseling Program through the California School of Education at Alliant International University and will be serving their Practicum or Internship in the District. Interns nominated by either the University or the District shall be mutually acceptable by both the University and the District, and shall be subject to a mutually acceptable placement within the District. This Memorandum of Understanding shall become effective September 11, 2020 for a period of three (3) calendar years. This Memorandum of Understanding may be terminated by either party with sixty (60) days' written notice, unless both parties agree to an earlier termination date. Any termination of the Memorandum of Understanding by either party shall not affect the status of any Intern who has been placed with the District prior to the effective date of termination.

Subject to the suspension of any requirements pursuant to Executive Order N-66-20 or other related Executive Orders.

#### The University agrees and certifies that:

- 1. Each Candidate shall have passed the Basic Skills Requirement or California Educational Basic Skill Test (CEBST) and, for Student Teachers and Teacher Interns, required subject matter competency prior to assuming Student Teaching or Intern services or responsibilities.
- 2. Each Candidate shall possess a Bachelor's Degree, documented by official transcripts with a minimum overall GPA of 2.5. Teacher Credential Interns shall have passed the subject matter requirement.
- 3. Each Teacher Intern shall have a minimum of 120 hours of verified pre-service experience with students in educational settings. Each School Psychology Intern shall have a minimum of 400 hours of verified Practicum experience, and each School Counseling Intern shall have a minimum of 100 hours of verified Practicum experience.
- 4. Each Teacher Intern shall have passed U.S. Constitution coursework or examination.
- 5. Each Candidate shall be provided adequate supervision, advice, encouragement and support, as appropriate, by University personnel, including but not limited to the University faculty and the University field supervisor as directed by the California Commission on Teacher Credentialing Standards.
- 6. University Supervisors will observe and evaluate Teacher Interns at least three (3) times during an 8-week term and allocate time with each Intern after each visit to discuss the video observation.

- 7. University Supervisors will meet with District Support Providers at the beginning of the Candidate's field placement in order to establish roles and duties in order to best support the Candidate.
- 8. For Teacher Education programs, District Support Providers will be required to provide one evaluation per Alliant academic term (8 weeks) using Alliant's evaluative matrix based on the Teacher Performance Expectations (TPE) established by the Commission on Teacher Credentialing (CTC).
- 9. The University agrees to pay a stipend to master teachers in the amount of \$175 per 8-week term.

#### The District agrees and certifies that:

- 1. The purpose of the Internship Program is to add to the pool of qualified teachers, school psychologists, or school counselors that the District has continually sought to maintain.
- 2. The Intern's services shall meet the instructional or service needs of the District.
- 3. Each Intern shall be assigned as an Intern under a contract with an appointment of at least .60 FTE of her/his workday, and placed in a job that shall allow for substantial experience in instructional or service duties.
- 4. No appointment shall be made unless the prospective Intern provides proof of fingerprint clearance or a photocopy of a California teaching permit, and verification that he or she is free from tuberculosis.
- 5. No Intern shall displace any fully credentialed employee in the District.
- 6. Each Intern shall be provided adequate supervision, advice, encouragement and support, as appropriate, by District personnel, including but not limited to both an immediate field supervisor and an in-district mentor as directed by the California Commission on Teacher Credentialing Standards.
- 7. The District and the University, in partnership, must provide support for each Intern.
- 8. The District and University, in partnership, must provide a total of 189 hours annually of support for each teacher intern (45 hours of which will be dedicated to ELL support).
- 9. The Intern's salary shall not be reduced to pay for the supervision of the Intern.
- 10. District Support Providers will meet with University Supervisors at the beginning of the candidate's field placement in order to establish roles and duties in order to best support the candidate.
- 11. The District Support Provider will observe and evaluate each Intern Teacher at least one time during a term (4 times in an academic year because the candidate is required to complete four 8-week terms of clinical practice over the course of the academic year) and allocate time with each Intern after each visit to discuss the observation. The District Support Provider will provide evidence of each observation and evaluation to the University Supervisor.
- 12. District Site Support Providers must hold credentials in the same areas as the Interns they support and/or hold an Administrative Services Credential.
- 13. All Intern Teachers and Student Teachers must have experience working with diverse student populations including English Language Learners (ELLs), students with disabilities, and students from varying socioeconomic statuses. For Clinical Practice placements, at least 10% of the student body must be comprised of each of the following: ELLs, students with disabilities, and students from a low socio-economic background. If a candidate is in a Clinical Practice placement that falls short of the 10% threshold in any of the aforementioned areas, the District understands that for each percentage point below that

threshold, the candidate will be required to observe for two (2) full days in either an ELL classroom, a Special Education classroom, or a classroom at a Title 1 school, depending on the area or areas, of deficient diverse student population group(s), to gain sufficient experience in those student population groups.

14. District Intern Support Providers, , and master teachers must have a minimum of three (3) years' teaching experience, have a Clear Credential in the credential area they are supervising (or an Administrative Service Credential), and have a Master's degree or equivalent. The District confirms that its Intern Support Providers have been adequately trained in their supervisory roles.

#### **INSURANCE**

Alliant International University, Inc. shall maintain commercial general liability insurance from an insurance carrier with an AM Best rating of A- VII or better in the minimum amounts of \$1,000,000 per occurrence, \$3,000,000 general aggregate, and shall furnish proof thereof in the form of a certificate of insurance within 30 days of the effective date of this Memorandum of Understanding.

The District shall provide and maintain commercial general liability insurance acceptable to Alliant International University, Inc., or utilize a program of self-insurance in the minimum amounts of \$1,000,000 combined single limit, \$3,000,000 general aggregate, and upon request shall furnish proof thereof in the form of a certificate of insurance within 30 days of the effective date of this Memorandum of Understanding.

Alliant International University does not furnish workers' compensation for students participating in this program. It is understood that Student Teachers are not employees of the District. Alliant International University, Inc., at its discretion, may maintain at its sole expense workers' compensation and employer's liability for students who are participating in its program.

#### NON-DISCRIMINATION, HARASSMENT, RETALIATION CLAUSE

The University and the District agree to abide by the requirements of all federal and state laws regarding prohibited discrimination, harassment, and retaliation, as well as equal opportunity, including, but not limited to: Titles VI and VII of the Civil Rights Act of 1964, as amended by the Equal Employment Opportunity Act of 1972, Federal Executive Order 11246, as amended, the Rehabilitation Act of 1973, as amended, the Vietnam Era Veteran's Readjustment Assistance Act of 1974, Title IX of the Education Amendments of 1972, the Age Discrimination in Employment Act of 1975, the Americans with Disabilities Act of 1990, the Equal Pay Act, the Fair Employment & Housing Act of 1968, as amended, the California Unruh Civil Rights Act, the California Fair Pay Act, and the California Fair Employment & Housing Act of 1959, as amended.

The University and the District agree not to discriminate in their enrollment and employment practices, and will render services under this Memorandum of Understanding without regard to an individual's age, race, color, religion, creed, sex (including pregnancy, childbirth, breastfeeding, and related medical conditions), sexual orientation, gender, gender expression, gender identification, national origin, ancestry, genetic information, military or veteran status, political affiliation, disabilities, or any other legally protected status. The University and the District will not permit harassment against individuals based on any of the aforementioned characteristics, nor will they permit retaliation against any individual who makes a good faith complaint regarding discrimination or harassment. Any act of discrimination, harassment, or retaliation committed by the University or the District or failure to comply with these statutory obligations when applicable shall be grounds for termination of this Memorandum of Understanding.

#### MUTUAL HOLD HARMLESS AND INDEMNIFICATION; LIMITATION OF LIABILITY; STUDENT STATUS

The University shall hold harmless, defend and indemnify the District and its officers, employees, and agents from and against any and all losses, demands, claims, damages (including costs and attorneys' fees), or causes of action arising from any negligent act or omission or willful misconduct of the University, its officers, employees, or student teachers, incurred in the performance of this Memorandum of Understanding, but only in proportion in and to the extent that such liability, loss, expense, attorneys' fees or claims for injury or damages are caused by or result from the negligent or intentional acts or omissions of the University, its officers, employees and agents. The District shall hold harmless, defend and indemnify the University and its officers, employees, and agents from and against any and all losses, demands, claims, damages (including costs and attorneys' fees), or causes of action arising from any negligent act or omission or willful misconduct of the District, its officers, employees, or agents, incurred in the performance of this Memorandum of Understanding, but only in proportion in and to the extent that such liability, loss, expense, attorneys' fees or claims for injury or damages are caused by or result from the negligent or intentional acts or omissions of the District, its officers, employees and agents.

Except for the indemnifying party's obligations pursuant to the immediately preceding two paragraphs or the other party's gross negligence or willful misconduct: (i) neither party shall be liable to the other party for any special, incidental, consequential, indirect or punitive damages (including loss of (anticipated) profits), and/or reasonable attorneys' fees and costs, arising in any way out of this Memorandum of Understanding, however caused and on any theory of liability.

Subject to the first two paragraphs of this section, a party shall have no liability to the other party for any loss suffered which arises out of any action or inaction if, in good faith, it is determined that such course of conduct was in the best interests of the parties involved and such course of conduct did not constitute gross negligence or intentional misconduct.

The parties to this Memorandum of Understanding hereby assert that no liability is assumed by either party for damages or injuries which arise from participants independently traveling to or from service sites.

The parties understand and agree that Interns are not employees, contractors or agents of the parties. Interns are students of the University. It is understood and agreed that the University's students are not to be considered employees of the District and therefore students are not eligible for worker's compensation insurance and the University does not maintain worker's compensation insurance for student coverage.

The parties to this Memorandum of Understanding also agree that each is responsible only for the actions of their respective officers, agents, and employees. Neither party hereto is to be considered the agent of the other party for any purpose whatsoever, and neither party has any authority to enter into any contract or assume any obligation for the other party or to make any warranty or representation on behalf of the other party.

#### **CONFIDENTIALITY**

The parties acknowledge that many student educational records are protected by the Family Educational Rights and Privacy Act, 20 U.S.C. § 1232g; 34 C.F.R. Part 99, as amended ("FERPA"), and that the permission of students must be obtained before student data can be released to anyone.

The parties' mutual understanding on the treatment of Confidential Information (as defined below) is as follows:

1. The District and the University shall not, and shall not permit any of their respective employees, agents or contractors, to use, reproduce, distribute, publish, disclose, transmit or otherwise transfer, directly or indirectly, to any other person, organization or entity, any Confidential Information of the other party (or any portion thereof), except (i) to the extent necessary to perform its obligations to the other party in connection with this Memorandum of Understanding; or (ii) with the prior written permission of the other party. Each party agrees to disclose the Confidential Information of the other party solely to those of its employees, agents and contractors having a good faith need to know such information. Each party shall protect the Confidential Information of the other party uses to protect its own confidential information of like character, which shall be no less than a reasonable standard of care. Each party shall be held responsible for any and all breaches of this paragraph by or through any employee, agent or contractor of such party. Each party shall (x) inform all employees, agents and contractors having access to any or all of the Confidential Information of the other party of the existence of this Memorandum of Understanding and the confidential Information set forth herein; and (y) take sufficient steps to cause such employees, agents and contractors to observe the confidentiality

obligations set forth herein. If either party or one of their employees, agents or contractors is compelled (by deposition, interrogatory, request for documents, subpoena, civil investigation demand or similar process) to disclose any of the Confidential Information of the other party, that party shall provide the other party with prompt prior written notice of such compulsion so that the other party may seek, at its own expense, a protective order or other appropriate remedy or, if appropriate, waive compliance with the terms of this Memorandum of Understanding.

- As used herein, "Confidential Information" means all confidential information in documents or other 2. tangible materials clearly marked as proprietary or confidential about, or disclosed by, either party to this Memorandum of Understanding, including knowledge, technical and business information relating to such party's products, research and development, production, costs, engineering processes, artwork, designs, computer software, formulas, methods, ideas, concepts, contemplated new services, improvements, associations with other organizations, profit or margin information, finances, customers, suppliers, marketing, and past, present or future business plans and business arrangements, and information concerning employees (including, in the case of the University and the District, faculty), Interns, and students or prospective students (provided any disclosure relating to any student or prospective student is permitted by and carried out in accordance with FERPA). Notwithstanding the foregoing, no information shall be deemed Confidential Information if such information: (i) is generally known to the public on the date of disclosure of same or becomes generally known to the public after such date through no breach of this Memorandum of Understanding or any other obligation of confidentiality; (ii) was known by the party receiving such information under this Memorandum of Understanding (the "Receiving Party") without any obligation to hold it in confidence at the time of disclosure; (iii) is received by the Receiving Party after the date of disclosure by the other party (the "Disclosing Party") hereunder from a third party without imposition, knowledge or breach of any obligation of confidentiality; (iv) is independently developed by the Receiving Party after the date of disclosure by the Receiving Party without access to Confidential Information of the Disclosing Party; or (v) is approved for release by written authorization of the Disclosing Party.
- **3.** The District and the University acknowledge that the University's use of the internship programs may be subject to the privacy regulations outlined in FERPA, for the handling of such information. The District shall not knowingly disclose Confidential Information to any third party in violation of FERPA. The District represents and warrants that it will comply with FERPA to the extent applicable and will instruct its employees handling Intern student information provided by the University of its obligations under FERPA. The District further agrees that it will prohibit its employees from accessing any records of any student or prospective students at the University, including Interns, without a valid business reason to access such records.

#### **GENERAL TERMS**

This Memorandum of Understanding contains all of the terms and conditions between the parties. This Memorandum of Understanding may be revised or modified only by mutual agreement and written amendment signed by both parties.

Each party represents and warrants to the other party that: (i) it has all requisite power and authority to execute this Memorandum of Understanding and to perform its obligations hereunder; (ii) the execution, delivery and performance of this Memorandum of Understanding have been duly authorized and approved by each party, and will not conflict with any agreement of, or law applicable to, such party; (iii) this Memorandum of Understanding is a valid and binding agreement of each party enforceable in accordance with its terms.

In addition to its representations in the immediately preceding paragraph, the District represents and warrants to the University that:

1. it is and will continue to be in compliance all applicable federal, state, and local laws, including without limitation all privacy, data protection, advertising and marketing laws, and contracts;

- 2. neither it nor any of its affiliates has been debarred or suspended, or engaged in any activity that is cause for debarment or suspension, pursuant to applicable state law; and
- 3. it shall take any and all actions, or refrain from or cease such actions, as is necessary to maintain the University's reputation, accreditation, state approvals, Title IV eligibility, and academic integrity, including, but not limited to, adherence with the U.S. Department of Education's misrepresentation regulations provided at 34 C.F.R. Part 668 Subpart F.

Neither party may, without written approval of the other, assign this Memorandum of Understanding or transfer its interest or any part thereof under this Memorandum of Understanding to any third party, except that a party may assign its rights or obligations to a third party in connection with the merger, reorganization or acquisition of stock or assets affecting all or substantially all of the properties or assets of the assigning party.

This Memorandum of Understanding constitutes the entire understanding and agreement among the parties hereto with respect to the subject matter hereof, and there are no agreements, understandings, restrictions or warranties among the parties other than those set forth herein provided for.

If any of the sections of this Memorandum of Understanding shall be deemed invalid, void, or for any reason unenforceable, that section shall be deemed severable and shall not affect the validity and enforceability of any remaining section.

Except for ancillary measures in aid of arbitration and for proceedings to obtain provisional or equitable remedies and interim relief, including, without limitation, injunctive relief, any controversy, dispute or claim arising out of or in connection with or relating to this Memorandum of Understanding, or the breach, termination or validity thereof or any transaction contemplated hereby (any such controversy, dispute or claim being referred to as a "Dispute"), shall be finally settled by arbitration administered by Judicial Arbitration & Mediation Services, Inc. ("JAMS"), pursuant to its Comprehensive Arbitration Rules & Procedures (the "JAMS Rules"). The parties understand and agree that, by signing this Agreement, they are expressly waiving, to the fullest extent permitted by law, any and all rights to a trial before a judge or jury or hearing before an adjudicative agency, regarding any disputes and claims which they now have or which they may in the future have that are subject to arbitration under this Agreement. There shall be one neutral arbitrator that shall be mutually agreed to by the parties or, if the parties do not agree, then one shall be appointed pursuant to JAMS's procedures, in each case, within 30 business days of receipt of the demand for arbitration by the respondent(s) in any such proceeding. An arbitration pursuant to this paragraph shall take place in San Diego, California. A final award shall be rendered as soon as reasonably possible. The Arbitrator shall permit both parties to engage in reasonable pre-hearing discovery to obtain information to prosecute or defend the asserted claims. The arbitration decision or award shall be in writing. The arbitrator shall have the authority to award any relief authorized by law in connection with the asserted claims or disputes. Judgment on the decision or award rendered by the arbitrator may be entered and specifically enforced in any court having jurisdiction thereof. All arbitrations commenced pursuant to this Memorandum of Understanding, or any other related agreement or document, shall be consolidated and heard by the initially appointed arbitrator. The arbitration award or ruling shall provide for payment by the losing party of the fees and costs of the arbitration, including without limitation, the reasonable attorneys' fees and attorneys' costs incurred by the prevailing parties.

This Memorandum of Understanding, and any controversy arising out of or relating to this Memorandum of Understanding, shall be governed by and construed in accordance with the internal laws of the State of California, without regard to conflict of law principles that would result in the application of any law other than the law of the State of California.

This Memorandum of Understanding may be executed and delivered by facsimile signature and in two or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument and each of which may be executed by less than all parties, each of which shall be enforceable against the parties actually executing such counterparts, and all of which together shall constitute one instrument.

The titles and subtitles used in this Memorandum of Understanding are used for convenience only and are not to be considered in construing or interpreting this Memorandum of Understanding.

All notices and other communications given or made pursuant to this Memorandum of Understanding shall be in writing and shall be deemed effectively given: (a) upon personal delivery to the party to be notified, (b) when sent by confirmed facsimile if sent during normal business hours of the recipient, and if not so confirmed, then on the next business day, (c) five (5) days after having been sent by registered or certified mail, return receipt requested, postage prepaid, or (d) one (1) business day after deposit with a nationally recognized overnight courier, specifying next business day delivery, with written verification of receipt. All communications shall be sent to the respective parties at their address as set forth on the signature page hereto, or to such facsimile number or address as subsequently modified by written notice given in accordance with this paragraph.

The Sections titled "Non-Discrimination, Harassment, and Retaliation Clause," "Mutual Hold Harmless and Indemnification; Limitation of Liability; Student Status," "Confidentiality," and "General Terms" shall survive the termination of this Memorandum of Understanding.

(Signatures on following page)

**IN WITNESS WHEREOF**, and intending to be legally bound, the parties have duly executed this Memorandum of Understanding by their authorized representatives as of the date first written above.

## Alliant International University, Inc.:

Dr. Kristy Pruitt, Dean California School of Education Alliant International University, Inc.

Address:

10455 Pomerado Rd. San Diego, CA 92131

## Alum Rock Union Elementary School District:

Superintendent, Dr. Hilaria Bauer

Address: 2930 Gay Avenue San Jose, CA 95127 Date

Date

10.13

#### 2930 Gay Avenue San Jose, CA 95127

Office of Superintendent of Schools

#### **ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Board of Trustees:

## Subject : CONTRACTS FOR PROFESSIONAL SERVICES – FIRMS/ORGANIZATIONS

Staff Analysis:

The following contracts for professional services are being presented to the Board of Trustees for review and approval.

Recommendation:

Staff recommends approval of the following contracts for professional services on the attached sheets. Contract details are on file in the Purchasing Office.

Prepared by:	Maria Martinez M. M.	Title:	Procurement Manager
Approved by:	Kolvira Chheng	Title:	Assistant Superintendent of Business Services

To the Board of Trustees:	Meeting:	September 10, 2020
Recommend Approval		Regular Board Meeting
ID.13 Agenda Placement		Hilaria Bauer, Ph.D., Superintendent

	DISPOSITION BY BOARD OF	TRUSTEES
Motion by:	Second	ed by:
Approved:	Not Approved:	Tabled:

<b>DEPARTMENT</b>	DATE OF <u>SERVICE</u>	<u>CONSULTANT NAME</u>	COST & <u>FUNDINC</u>	<u> PURPOSE</u>
Fischer M.S George Kleidon	09/11/20- 06/01/21	Silicon Valley Mathematics Initiative	\$16,800.00	<ul> <li>Provide a coach to co-facilitate department meetings focused on analyzing student data, best practices, and strategies. SVMI will also provide eight (8) days of coaching cycles throughout the school year with an emphasis on strategies rooted in best practices and problem based learning.</li> <li>Funding: Restricted</li> </ul>
George M.S Tara Bickford	09/11/20- 06/08/21	The Audacity Performance Arts Project	\$35,000.00	<ul> <li>Provide 2 productions after school hours in practice and performance.</li> <li>Also provide support and partnership 2 times a week during the one Theater Tech elective period in the school day. Students will learn how to design and create a production.</li> <li>Due to COVID-19 and social distancing orders, all sessions will be virtual until further notice. Content will be delivered through kits and online communication platforms.</li> <li>Funding: Restricted</li> </ul>
Mathson M.S Vince Iwasaki	09/15/20- 06/15/21	Amigos de Guadalupe	No Cost	Facilitate virtual and/or on campus learning labs with up to 10 students each. Amigos will collaborate with school staff to support students (include in online breakout rooms), and also work with designated families to support student achievement. Funding: N/A

Mathson M.S Vince Iwasaki	09/01/20- 06/30/21	SEEDS	\$10,000.00	Provide professional development in restorative practices to Mathson staff. Also provide insight and coaching to site principal and counselor to support the next steps in our evolution as a restorative practices school. Will receive 9 hours of all staff training time and 16 hours of small group/individual coaching time. Funding: Restricted
Ryan Yeny Rosales	10/01/20- 10/31/20	Paul J. Gonzalez DBA: Art Studios	\$1,000.00	Clean and restore mural located at the school entrance. Mural has been vandalized in several areas. Anti- graffiti coat will be applied. Funding: General
Academic Services Brittany Rykels	6 09/11/20- 12/31/20	Accelerate Learning, Inc.	No Cost	Provide professional development and materials necessary for a middle school science pilot. The materials and virtual PD will be for 20 middle school science pilot teachers at Adelante, Aptitud, George, Hubbard and Ocala. Funding: N/A
Academic Services Rene Sanchez	6 09/10/20- 06/30/21	Association of California School Administrators (ACSA)	\$22,500.00	Provide on-going leadership coaching and training for Kasturi Basu, Lyndale; Victor Guendulain, George; Rebecca Jensen and Joseph Manluco, Aptitud; Maria Guadalupe Gutierrez, Ocala; and Yenny Rosales at Ryan. Funding: General
Business Services Kolvira Chheng	07/01/20- 06/30/21	David Taussig & Associates, Inc. (DTA)	\$2,055.00 NTE	Provide financial consulting services to assist in the administration of parcel tax, special tax or special assessment, determination of those taxes and assessment changes and to facilitate the collection of the charges for one fiscal year (2020-2021) by County of Santa Clara. Funding: General

Business Services Kolvira Chheng	10/01/20- 09/30/21	SchoolWorks, Inc.	\$20,000.00 NTE	Provide a Demographic Study with Enrollment Projections; district-wide & school-specific enrollment projections meant to serve as a planning tool to help with both long & short-term planning; other factors to identify certain facility planning requirements such as capacity utilization of existing facilities, planning for modernization/new construction and attendance boundary redistricting; study will provide info on 2020-2021 enrollments/program. Funding: General
Maintenance Ed Villarreal	08/01/20- 06/30/21	ArcLite, Inc.	\$22,000.00	Service and repair all fire alarm, security and PA intercoms. Also inspect, rest maintain and certify fire alarm systems. Emergency respond service provided 24 hours a day - 7 days a week. Funding: Restricted
Maintenance Ed Villarreal	07/01/20- 06/30/21	United Mechanical, Inc.	\$20,000.00	Provide routine maintenance and service for the refrigerator and freezer units located at the district office. Funding: Restricted
Special Education Anthony Colonna	07/01/20- 06/30/21	Beacon School	\$98,029.00	Provide individualized instruction & behavior support services to autistic or severe behaviorally disordered students. Due to the severity of their disability a nonpublic school placement is recommended. Funding: Special Education
Special Education Anthony Colonna	07/01/20- 06/30/21	Cinnamon Hill	\$15,543.51	Tuition related cost for residential, therapeutic program in Utah. This is for an 8 <sup>th</sup> grade student that has recently moved into the Sheppard boundary. As part of student's current IEP, the student has been placed in a residential, therapeutic program in Utah. Funding: Special Education

Special Education Anthony Colonna	08/18/20- 06/11/21	Sonja Biggs Educational Services	(\$8,971.30	An intervener will provide information that an individual with deaf-blindness is unable to gather on his/her own ensuring the safety of the student. Intervener will provide flexibility of services (remote or virtual) during the 2020-2021 SY, maximum 7 hours per day. Funding: Special Education
Special Education Anthony Colonna	07/01/20- 06/30/21	The Reilly Behavioral Group	\$25,000.00	<ul> <li>Provide on-going training, direct services, parent support, assessments, data analysis and program support to students diagnosed with autism.</li> <li>These services assist the District in developing and maintaining defensible programs for students with autism.</li> <li>Funding: Special Education</li> </ul>
State and Federal Sandra Garcia	09/11/20- 06/30/21	Panorama Education, Inc.	\$26,260.00 NTE	Provide district-wide climate and social-emotional learning surveys to family, staff and students at all school sites in ARUSD for 2020-2021 SY. Staff training, reports and professional development tools included in the contract cost. Funding: Restricted
State and Federal Sandra Garcia	09/11/20- 06/30/21	Panorama Education, Inc.	\$5,500.00 NTE	Work with District CSI school teams at Fischer, George, Sheppard, and Mathson to analyze and interpret social-emotional learning and climate data for Panorama surveys, guide through protocols to set goals and develop a plan of action for the 2020- 2021 SY. Funding: Restricted

State and Federal Sandra Garcia	09/11/20- 06/30/21	San Jose Grail Family Services	\$10,000.00 NTE	Pair students with reading tutors and provide parent workshops to educate parents about the importance of reading aloud and sharing the stories in the home. Also provide on-site or virtual services. This contract is open to any TK/K or 1 <sup>st</sup> grade student and their parents at Dorsa and San Antonio Schools. Funding: Restricted
Student Services Norma Flores	09/11/20- 06/30/21	Asian American for Community Involvement (AACI)	No Cost	Provide substance use prevention through youth development meetings (lunch clubs) and projects (lunch pro- social events) at Renaissance at Fischer and Sheppard M.S sites. Materials are provided by AACI. Services offered to all grade levels at these sites. Services can be provided on-site or virtual. Funding: N/A
Student Services Norma Flores	09/11/20- 06/30/21	Fresh Lifelines for Youth, Inc.	No Cost	Provide law-related education and case management to identified 7 <sup>th</sup> or 8 <sup>th</sup> grade middle school students at selected middle school sites. Also provide case management services to a limited number of 7 <sup>th</sup> & 8 <sup>th</sup> grade students who are identified by the school as being at risk of suspension or expulsion with ARUSD. Service can be provided in person and remotely. Funding: N/A
Student Services Norma Flores	09/11/20- 06/30/21	Uplift Family Services Addition Prevention Services (APS)	No Cost	Provide truancy prevention and intervention. Services to be provided district-wide in the form of presentations to parents/students/staff and case management with students/parents. Services can be provided on site and remotely. Funding: N/A



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

то	DIVISION OF BUSINESS SERVICES			CONTRAC	T NO		
FR	DM: Fischer Middle School	_(Schoo	/Dept.)	VENDOR N	10		
PR	OGRAM MANAGER: _ George Kleidon	nue.					
1	<b>PARTIES:</b> The Alum Rock Union Elementary School District (AR the following named Contractor:	UESD),	whose address is 293	0 Gay Avenu	ie, San J	Jose, (	CA 95127, and
	Name of Individual/Company:Silicon Valley Mathema	tics In	tiative				
	Address: 17485 Monterey Road, Suite 205	City:	Morgan Hill	State: _	CA	Zip: _	95037
	Phone: (408)909-7864	_ Email	Address: cdimas@	Dsvmima	c.org		
	SSN:0	r Fed I.I	D. #:	May is a sector and an an apagement.		. No set some	
	Mutually agree and promise as follows:						
2.	CONTRACT TERM: start date09/11/2020		end date_06/	/01/2021			
3	<b>CONTRACTOR'S OBLIGATION:</b> In consideration of the comper- products, and/or reports:	ensation,	the Contractor shall	provide the f	following	servi	ces, materials,
	A. Description of services to be provided and expected results exhibits and other documentation if necessary:	(e.g. se	rvices, materials, pro-	ducts and/or	reports)	. Atta	ach proposals,
	SVMI-will provide one coach to co-faciliate	e depa	rtment meeting	as focuse	ed on	ana	lvzina
	student data, best practices, and strategies						
	-cycles through out the school year with an						
	practices and problem based learning.						
4.	<b>COMPENSATION:</b> In consideration of Contractor's provision of expressed herein, ARUESD shall pay Contractor upon Contra (Invoice) which shall be submitted not later than 30 days from th upon approval of such demand by ARUESD as follows: (Check eit	f service actor's s e end of	s as described above ubmission of a prop the month in which t	, and subject	t to the	раут mand	ent provisions

a. Fee Rate: \$\_\_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b Other: <u>\$</u>16,800 (describe rate agreement) Services to be paid: Sept. Dec. Mar. & May

060-3182-0-5815-00-1110-1000-000000-130-3182

5. BUDGET CODE:

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
				16,800		

- 6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

#### SERVICE AGREEMENT

#### Between

Alum Rock School District (Fischer Middle School) and

The Silicon Valley Mathematics Initiative, LLC



This document is to serve as an Agreement between Alum Rock School District (Fischer Middle School) and the Silicon Valley Mathematics Initiative, LLC (SVMI). The effective date of this Agreement shall be July 2, 2020. This Agreement may be extended upon mutual written agreement of the parties.

#### 1. Service Deliverables

SVMI will provide:

- 1 facilitator/coach to attend, facilitate, and/or co-facilitate at least 5 of the 9 math department meetings during the 2020-2021 school year.
- 1 facilitator/coach for up to 8 full days of coaching cycles with the Mathematics Department during the 2020-2021 school year.
  - 2 days of coaching cycles per quarter with an emphasis on strategies rooted in best practices and problem-based learning.
- Dates are to be determined and can be updated, in writing, by either party.

#### 2. Funding and Payment

Alum Rock School District (Fischer Middle School) shall pay SVMI, LLC up to \$16,800 (\$1,200 x 8 days full days and \$800 x 9 partial days) for the services outlined above in Section #1.

The facilitator/coaching fee does not include the cost of handouts. SVMI will send a handout request prior to the PD/coaching dates for Alum Rock School District (Fischer Middle School) to print.

As part of this MOU, SVMI, LLC shall invoice Alum Rock School District (Fischer Middle School) in 4 parts (approximately at the end of September, December, March, & May).

#### 3. Indemnification

Alum Rock School District (Fischer Middle School) and SVMI, LLC mutually agree to indemnify and to hold harmless the other party from damage to persons or property resulting from any act or omission on the part of each party, its employees, agents or officers.

#### 4. <u>Termination</u>

Either Alum Rock School District (Fischer Middle School) or SVMI may terminate this Agreement by giving thirty (30) days written notice to the other party. Alum Rock School District (Fischer Middle School) shall reimburse SVMI for acceptable and allowable work performed under this Contract, and for all non-cancelable obligations made in connection with such work, through the date of termination. All related data that has been transferred shall be destroyed, deleted, or otherwise removed from SVMI computers and servers.

#### 5. Entire Agreement

This Consulting Agreement and the Attachment hereto constitutes the entire agreement of the parties concerning the services described herein.

IN WITNESS WHEREOF, the parties have executed this Service Agreement as of the effective date referenced above.

Alum Rock School District 2930 Gay Avenue San Jose, CA 95127 Silicon Valley Mathematics Initiative, LLC 17485 Monterey Road, Suite 205 Morgan Hill, CA 95037

By:	 Ву:	BocuSigned by: Cacilies Duman BEB7B3B0B5714E9 .
Name:	Name:	Cecilio Dimas
Title:	Title:	Partner and Director
Date:	Date:	<u>July 2, 2020</u>
	(408) 90	& Co-Director



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT	CONTRACTOR	AGREEMENT
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TO: DIVISION OF BUSINESS SERVICES		CONTRACT NO
FROM Joseph George Middle School	_(School/Dept.)	VENDOR NO. 22678
PROGRAM MANAGER: Tara Bickford		
<ol> <li>PARTIES: The Alum Rock Union Elementary School District (AF the following named Contractor:</li> </ol>	RUESD), whose address is 293	0 Gay Avenue, San Jose, CA 95127, and
Name of Individual/Company: The Audacity Performing	ng Arts Project	
Address: 979 Bucknam Ave.	City: Campbell	State: <u>CA</u> Zip:95008
Phone: (408.) 348-4926	Email Address: Iouis@a	udacityperformingarts.com
SSN:0	or Fed I.D. #: 46-144404	3
Mutually agree and promise as follows:		
2. CONTRACT TERM: start date September 11, 2020	end date Jur	ne 8, 2021

- 3. CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:
  - A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Will provide 2 production after school hours in practice and performance. Will also provide support and partnership two times a week during the one Theater Tech elective period in the school day. Students will learn how to design and create a production. Due to Covid-19 and social distancing orders, all sessions will be virtual and presented virtually until further notice. Content will be delivered through kits and online communication platforms.

- 4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)
  - a. Fee Rate: \$\_\_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

in class partnership and equipment. \$15,000 + \$20,000= \$35,000

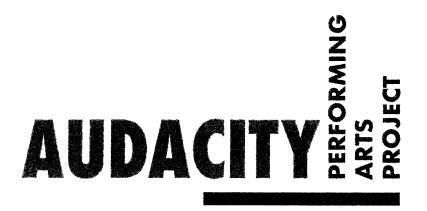
5. BUDGET CODE:

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
060	250	119000	5815	\$35,000	Title 1	

- 6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

b. Other: \$ \$35,000 (describe rate agreement) \$7,500 per show X 2= \$15,000, \$20,000 for



## Scope of Work Summary George Middle School

## Part 1

## After School Musical Productions

The Audacity Performing Arts Project will produce two musicals (one in Fall 2020 and one in Spring 2021. Specific titles will be chosen in consultation with Tara Bickford. This project includes directing rehearsals and performances.

Due to social distancing requirements, both productions may be required to take place online with video streaming performances (these will likely be pre-recorded).

**Fall Dates:** Fall program will begin in late August/early September and dates are TBD and will be agreed upon in alignment with social distancing rules set forth by District and County health officials.

**Spring Dates:** Spring program will begin in January 2021 and dates are TBD and will be agreed upon in alignment with social distancing rules set forth by District and County health officials.

#### Social Distance/Covid-19 and After School Musicals

In keeping with "Phase One" of Alum Rock School Reopening Model 2020, the Fall 2020 musical will be run online via Zoom. The show will be captured on video and provided to the cast, their families and Joseph George Middle School via a private streaming link (physical media will be provided for those families without necessary internet connections).

The Spring 2021 musical will be implemented in alignment with the current phase of the Alum Rock School Reopening Model 2020.

#### Audacity will provide the following:

• Scripts and associated fees to Musical Theater International

#### The Audacity Performing Arts Project, Inc

A California Nonprofit Corporation Organized under section 501 (c) (3) of the Internal Revenue Code \* 46-1444034

- Director
- Assistant Director
- Costumes
- Props
- Sets
- Professional Sound System w/wireless mics
- Lighting System

The Audacity Performing Arts Project will provide an Insurance Cert (\$1,000,000 liability x2/year) to Alum Rock Union Elementary School District. This Cert includes a rider for contact with minors. Background checks are carried out to keep in compliance with this policy (not Livescan).

#### Part 2

#### Middle School Technical Theatre Program and Black Box Theatre

#### **Program Dates**

Setup: Begins August 18, 2020 Program August 18, 2020 to June 8, 2021

#### Social Distance/Covid-19 and Technical Theatre

In keeping with "Phase One" of Alum Rock School Reopening Model 2020, the Tech Theatre program will be conducted online. The spring semester will be conducted in alignment with the current "phase" in place at that time. For online classes, supplies and class kits will be provided to each student. The development of the physical classroom space will progress in alignment with what is allowed under district policies and in coordination with Tara Bickford.

#### **The Program**

The Audacity Performing Arts Project will continue the conversion of two two classrooms (provided by George Middle School) into a working black box style theatre and technical theatre learning space. In addition, this space will be prepared to function as an after school venue for additional VAPA programs such as improv, dance, music, video production, hip hop DJ, etc.

The primary educational focus will be a curricular elective in Technical Theatre (6th period) for middle school students. In this elective all students will gain a basic level of understanding in all areas of technical theatre in addition to being allowed to specialize ("major) in their primary area (or areas) of interest. Students will be provided with practical experience opportunities working on the 2 annual musicals produced at George Middle (2 productions) and Cureton Elementary (2 productions).

#### Lighting Design

Students studying stage lighting will explore basic lighting design theory, lighting installation and focusing, lighting color theory, DMX programing, light board operations.

#### Sound Design

Students participating in sound design will explore the impact of sound tracks and music on setting a scene, creating a mood and defining place. Students will learn how to program, adjust and run sound cues in a production environment.

#### Set Design (Production Design)

Students will explore how to create a design concept and bring that concept forward into a full set design. Opportunities will be created for students to bring their concepts to the modeling stage as well as being able to bring their concepts to life in various Audacity productions where opportunities allow.

#### Set Building

Students will explore basic tool use and tool safety in a variety of scenarios. Students will have hands-on opportunities to build staging required for the Black Box stage, as well as several opportunities to build Audacity sets that will be deployed throughout the entirety of the Audacity production season.

#### Set Painting

Students will be trained to paint basic techniques of painting for theatre. Guest mentors will be invited to teach various techniques of stage painting.

#### Show Run Crew

Opportunities will be created for those students who have a desire to serve as run crew for musical performances Audacity produces at George Middle School in order to apply their acquired skills in a real world production environment.

#### Costuming design and build

Audacity will provide sewing machines and materials in order to provide opportunities for students to experiment with costume design and building. Guest Mentors and videos will be provided to help teach basic costuming concepts and practices.

#### Prop build and design

Lessons and opportunities to design and build properties will be provided as production needs arise. Interested students will be given the opportunity to learn about production prop needs and how to fulfill those needs. In addition, students will be afforded the opportunity to build concept props based on their own ideas for the purposes of design and build skill development.

In addition to the material outlined above, this program will include field trips to local theatre companies to tour the facilities and talk with the professional staff members about working as a theatre/arts professional. Confirmed theatre companies today are City Lights Theatre Company and Silicon Valley Shakespeare.

Additional details, modifications and adjustments will be carried out in cooperation and in consultation with the administration of George Middle School and the VAPA Coordinator for Alum Rock Union Elementary School District.

#### Part 3

#### **Fee Structure**

Fall Musical: \$7500

#### Spring Musical: \$7500

#### Middle School Technical Theatre Program and Black Box Theatre: \$20,000

This program will be invoiced in three parts:

- \$18,500 August 15, 2020 (equipment, Fall Staff, Fall Production)
- \$4,000 October 1, 2020 (Fall Production)
- \$5,000 December 1, 2020 (Spring Staff)
- \$3,500 January 1, 2021 (Spring Production)
- \$4,000 March 1, 2021 (Spring Production)



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ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

			INDEPENDENT	CONTRA		MENT	
то		N OF BUSINESS SEF	RVICES	and the second		CONTRACT NO	),
FR	ом: <u>Mat</u> l	nson Middle Scho	ol	(Scł	naal/Dept.)	VENDOR NO.	
PR	OGRAM M	ANAGER: Vince Iwa	asaki				
1.		: The Alum Rock Unic ing named Contractor:	n Elementary School Di	strict (ARUES	D), whose address is	2930 Gay Avenue, S	an Jose, CA 95127, and
	Name of	Individual/Company:	Amigos de Guadal	upe			
	Address:	1897 Alum Rock	Avenue #35	Cit	y: San Jose	State: CA	Zip: <u>95116</u>
	Phone (	408 ) 341 - 60	30	En	ail Address: Amigos	s95116@gmail.c	om
	SSN:			or Fe	d l.D. #: 77-05558	38	
	Mutually	agree and promise as	s follows:				
2.	CONTRA	CT TERM: start date	September 15, 202	20	end date J	lune15, 2021	
	<ul> <li>CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:</li> <li>A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:</li> <li>Amigos will facilitate virtual and/or on campus learning labs w/ up to 10 students each.</li> <li>Amigos will collaborate w/school staff to support students (incl in online breakout rooms). Amigos will work with designated families to support student achievement.</li> </ul>						
1.	COMPEN	SATION: In consider herein, ARUESD st	ation of Contractor's pro	ovision of sen	rices as described at	pove, and subject to	the payment provisions
	(Invoice) v upon appr	vhich shall be submitte oval of such demand b	ed not later than 30 day y ARUESD as follows: (	s from the en Check either a	d of the month in whi a, b, or c)	ch the contract service	ces were rendered, and
		Fee Rate: \$ No Control	ost per hour/d ys of services. ARUES	ay of service D may, but is	as may be requested not obligated to, req	by ARUESD, not to uest the maximum n	exceed a maximum of umber of hours/days of
	b. (	Other: \$ <u>NO Cos</u>	t (describe r	ate agreemen	t) District agrees	to provide class	oom space
	THE REAL PROPERTY AND ADDRESS OF THE PROPERTY	ante a transmission and a state of the			and internet a	ccess.	
	BUDGET	The second s					
	FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the 6. Contractor, or canceled immediately by written mutual consent.
- INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall 7. not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

Amigos de Guadalupe Center for Justice & Empowerment Amigos de Guadalupe-Afterschool Program for 2020-2021

Students' families require additional support due to inexperience with distance learning techniques and protocols, levels of English proficiency, and lack of adequate technology. Students who are English Learners (EL) would benefit from English language support outside of the classroom. Amigos offers to support students, especially EL students, in the following areas:

- 1. Provide literacy and technical support to students, including a computer adaptive test (CAT) assessment tool to assess and modify the progress of each student, with additional support concerning internet access and potentially supporting extra classroom space after school, as mutually agreed upon by the identified school and Amigos staff.
- 2. Support students on-campus periodically during the school day, as mutually agreed upon,
- 3. Support students to interact effectively with other students and staff,
- 4. Provide social-emotional support for students, and
- 5. Maintain a friendly, academically rich, structured environment for students.

#### Agreement:

- Amigos will facilitate virtual and/or on campus learning labs, with up to 10 students each, from Monday through Friday, at a mutually agreed upon time, to provide the above-mentioned (See items 1 and 2 above) instructional support. Amigos will follow all recommended protocols related to maintaining a safe environment in this period of COVID-19. Amigos staff will work with school staff to identify the level of intervention needed for each student supported by Amigos staff, including Tier I, Tier II, and/or Tier III interventions.
- 2. Amigos staff will collaborate and coordinate with identified school staff to support students. Amigos staff will work with school staff to establish and maintain supportive online breakout rooms for students.
- 3. Working with students and parents, Amigos staff will help students establish learning goals.
- Amigos staff will strive to provide mutually agreed upon periodic reports of student progress including, behavioral, academic, and family resource support progress to identified school staff.
- 5. Following COVID-19 safe protocols, Amigos staff will convene visits with parents to discuss student progress and address parent concerns.
- Identified school and Amigos staff will meet monthly, as needed, to evaluate services and their value in supporting students and families. Mutually agreed upon modifications to service offerings will be made, as indicated.

Term: The term of this Agreement will begin upon District Governing Board Approval and will continue

through the last day of the 2020-2021 school year for students

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

TO:	DIVISION OF BUSINESS SERVICES	-		CONTRACT NO.			
FRC	M: Mathson Middle School	_(Scho	ol/Dept.)	VENDOR NO.			
PRC	DGRAM MANAGER: Vince Iwasaki	-					
1.	PARTIES: The Alum Rock Union Elementary School District (ARI the following named Contractor:	UESD)	, whose address is 293	30 Gay Avenue, San Jose, CA 95127, and			
	Name of Individual/Company: SEEDS						
	Address: 2530 San Pablo Ave, Suite A	City:	Berkeley	State: <u>CA</u> Zip: <u>94702</u>			
	Phone: (510) 548 - 2377	Emai	Address aleida@	seedscrc.org			
	SSN:0	r Fed I	.D. #: <u>94-305416</u>	55			
2.	Mutually agree and promise as follows: CONTRACT TERM: start date 9/1/2020		end date 6/3	0/2021			
3.	CONTRACTOR'S OBLIGATION: In consideration of the compe products, and/or reports:	ensatio	n, the Contractor shall	provide the following services, materials,			
	<ul> <li>A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:</li> </ul>						
	Provide professional development in restora	ative	practices to Ma	athson staff.			
	Provide restorative practices coaching to sn	nall <u>c</u>	roups of Maths	son staff.			
	Provide insight and coaching to site principa steps in our evolution as a restorative practi	al an ices	d counselor to s school.	support the next			
	COMPENSATION: In consideration of Contractor's provision of expressed herein, ARUESD shall pay Contractor upon Contra (Invoice) which shall be submitted not later than 30 days from th upon approval of such demand by ARUESD as follows: (Check ell	actor's ie end :	submission of a pro of the month in which	perly documented demand for payment			
	a. Fee Rate: \$per hour/day of services. ARUESD may, to service.	rvice as out is n	s may be requested by ot obligated to, reque	Y ARUESD, not to exceed a maximum of st the maximum number of hours/days of			
	b. Other: \$10,000 / year (describe rate agree	ement)	We will receive	e 9 hours of all staff training			
	time and 16 hours of small group / individua	l coa	ching time				

5. BUDGET CODE:

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC			
06	050	3182	5815	\$10,000	CSI				
And and a state of the state of	FUND 06	FUND DEPARTMENT	FUND         DEPARTMENT         PROG/COUNTER           06         050         3182	FUND         DEPARTMENT         PROG/COUNTER         OBJECT           06         050         3182         5815	FUND         DEPARTMENT         PROG/COUNTER         OBJECT         \$ AMOUNT           06         050         3182         5815         \$10,000	FUND         DEPARTMENT         PROG/COUNTER         OBJECT         \$ AMOUNT         PROG. TITLE           06         050         3182         5815         \$10,000         CSI			

- 6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



#### School Services

#### 1. Parties:

This Memorandum of Understanding (hereinafter referred to as MOU) is made and entered into between **Lee Mathson Middle School** and **SEEDS Community Resolution Center**, whose address is 2530 San Pablo Ave., Berkeley, CA 94702.

#### 2. Purpose:

The purpose of this MOU is to establish the terms of the training and workshop services to be provided by **SEEDS Community Resolution Center** for Lee Mathson Middle **School** 

#### 3. Description of the Services to be Provided:

SEEDS shall provide up to 9 hours of training to all staff and the school counselor and 16 coaching hours.

#### 4. Times, Dates and Location:

Due to the current circumstances, the dates and times are going to be determined in conversation with Principal Vince Iwasaki. The determination of whether they will be inperson or on a virtual platform will depend on what is recommended for the counties both parties are housed within.

#### 5. Services Provided by SEEDS:

SEEDS shall provide a up to 9 hours of training, the content of which will be customized to fit the needs of the school in that particular moment. The 16 coaching hours will be used as needed as well, either for a small cohort of teachers interested in coaching or by the principal to discuss implementation.

#### 6. Services Provided by Client:

Suitable facilities with chairs that can be moved around easily to facilitate small group work and interactive activities during in-person trainings.

#### 7. Scope of this Memorandum of Understanding:

The parties agree that this MOU is effective only for the project specified.

#### 8. Rights to Training Materials:

The parties agree that SEEDS retains all rights to training and facilitation materials and content prepared by the Instructor and SEEDS.

#### 9. Payment:

Total payment is agreed in the amount of **\$10,000** to be paid in full after our initial meeting date TBD.

#### 10. Cancellation:

1

The parties agree that it may be necessary to cancel or postpone the training due to emergency. In the event of such emergency cancellation, the parties may, but are not required to agree to an alternate date.

#### **11. SEEDS Mailing List:**

SEEDS maintains a mailing list of current clients so that we can easily inform you of upcoming trainings and events and the release of relevant resources and materials. It is our practice to automatically add a new client to our mailing list, unless the client specifically requests not to be added.

By their signatures below, the undersigned parties and/or their authorized representatives agree to the foregoing;

Nor Inola 1/20/2020

Vince Iwasaki Mathson Middle School

Date

Aleida Moreno, School Services Manager SEEDS Community Resolution Center

Date

2



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

то	DIVISION OF BUSINESS SERVICES	CONTRACT NO.				
FR	OM: Ryan STEAM Academy	_(School/Dept.)	VENDOR NO 17375			
Su	mitted by: Yeny Rosales	an <sup>1</sup>				
١.	PARTIES: The Alum Rock Union Elementary School District (AR the following named Contractor:	UESD), whose address is 29	30 Gay Avenue, San Jose, CA 95127, and			
	Name of Individual/Company: Paul J. Gonzalez DBA: An	Studios				
	Address: <u>1562 Bahama Wy</u>	City: San Jose	State: <u>CA</u> Zip: <u>95112</u>			
	Phone: ( 408 ) 929-2854	Email Address: paul@pa	uligonzalezartsudio com			
	SSN:0					
	Mutually agree and promise as follows:					
2	CONTRACT TERM: start date 10/01/20	end date 10/	31/20			
3.						
	A. Description of services to be provided and expected results exhibits and other documentation if necessary:	(e.g. services, materials, pro	oducts and/or reports). Attach proposals,			
	Vendor will clean and restore mural located at the so	thool entrance. Mural ha	as been vandalized in several			
	areas. Anti-graffiti coat will be applied.					
4.	<b>COMPENSATION:</b> In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)					
	a Fee Rate: \$per hour/day of ser hours/days of services ARUESD may, b service.	vice as may be requested by out is not obligated to, reques	y ARUESD, not to exceed a maximum of st the maximum number of hours/days of			
	X b. Other: \$1,000.00 (describe rate agree	ement)				

5. BUDGET CODE:

FD	RESC	РҮ	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	\$AMOUNT	BUS OFC Initials
010	0000	0	5815	00	0000	8100	0000000	450	8140	\$1,000.00	

- 6. TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee: partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee. ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8 **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

#### **Ryan Mural Repair**

1241 Mcginness Ave San Jose, CA 95127

Project Contact: Mrs. Rosales New Principal

# PAU J. GONZA LEZ

#### Budget:

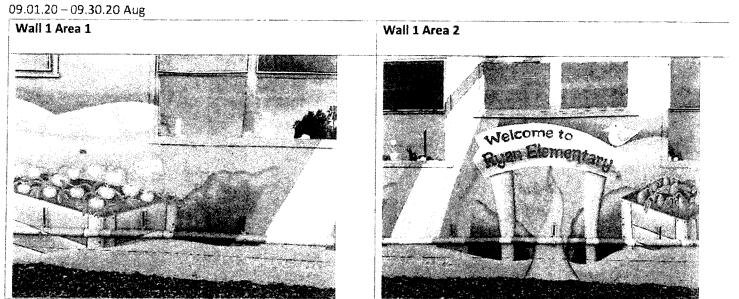
\$1000 for repair of main wall and some details on a second mural, paint, supplies and graffiti coating

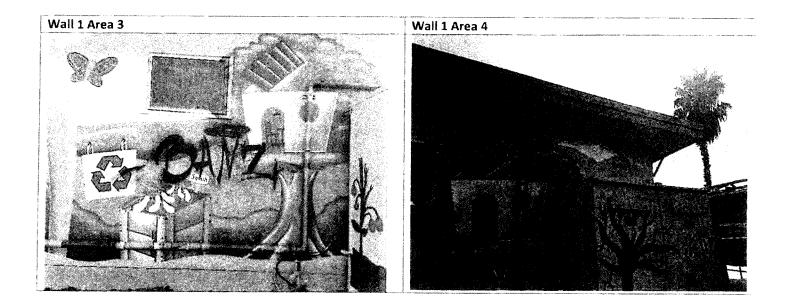
#### **Repair Details:**

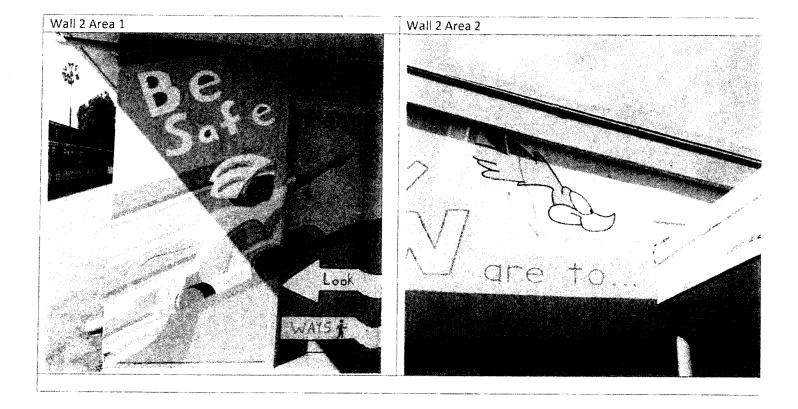
The first wall mural has three main areas that were vandalized. We will need to paint match an estimated 15 colors an fill in the large wall spaces with paint so the repair blends in. Many objects were graffiti so each object will need to be outlined and filled with color. The second mural was tagged and previously abated. The second wall still shows some graffiti damage. I will also clean the area of the Road Runner logo head and around the skate boarder. There is a lot to do here in addition of applying an AntiGraffiti coating. AntiGraffiti coatings are pricey and will be a big part of the cost. Even though it is essential to have an AntiGraffiti coating to protect the mural, it is not 100% full proof.

**Important:** All murals painted on school or public property can be removed or painted over by the owners or managing parties. I give consent for the work to be removed if needed. Upon removal one does not need to contact me to inform of the removal.

#### **Estimated Completion Date:**







**\$** 

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

то	DIVISION OF BUSINESS SERVICES	ment f		CONTRACT NO	).
FR	OM: Academic Services	_(School/De	ept.)	VENDOR NO.	022966
PR	OGRAM MANAGER: Brittany Rykels	_			
1	PARTIES: The Alum Rock Union Elementary School District (AR the following named Contractor:	UESD), who	ose address is 293	30 Gay Avenue, S	an Jose, CA 95127, and
	Name of Individual/Company: Accelerate Learning,	Inc.		1999 (1997) - 1997 (1997) - 1997 (1997) - 1997 (1997) - 1997 (1997) - 1997 (1997) - 1997 (1997) - 1997 (1997) -	
	Address: 5177 Richmond Ave., Ste. 1025	_ City: <u>H</u>	ouston	State: TX	Zip: 77056
	Phone (858) 775-7131	_ Email Add	ress_stemsco	pes@accelera	tedlearning.com
	SSN: c	r Fed I.D. #	46-40157	03	
2.	Mutually agree and promise as follows: CONTRACT TERM: start date _September 11, 2020				
3.	CONTRACTOR'S OBLIGATION: In consideration of the component products, and/or reports:	ensation, the	e Contractor shall	provide the follow	ving services, materials,
	<ul> <li>A. Description of services to be provided and expected results exhibits and other documentation if necessary:</li> </ul>	(e.g. servic	es, materials, pro	oducts and/or repo	orts). Attach proposals,
	Accelerate Learning Inc. will provide profes	sional de	evelopment	and materia	ls necessary
	for a middle school science pilot. The mater science pilot teachers at Adelante, Aptitud,	ials and George,	virtual PD v Hubbard, a	will be for 20 Ind Ocala.	middle school
4	<b>COMPENSATION:</b> In consideration of Contractor's provision of expressed herein, ARUESD shall pay Contractor upon Contr (Invoice) which shall be submitted not later than 30 days from th upon approval of such demand by ARUESD as follows: (Check ei	actor's subr e end of the	nission of a prop month in which	perty documented	demand for payment
	a Fee Rate: \$per hour/day of services ARUESD may, b service.	vice as may out is not ob	y be requested by ligated to, request	Y ARUESD, not to stimum n	exceed a maximum of umber of hours/days of
	X b. Other: \$ No Cost (describe rate agree	ement)			

5. BUDGET CODE:

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
 				L		1

- 6. TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7 INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- B. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



5177 Richmond Avel, Suite 1025, Houston, TX 77056 1-800-531-0864 stemscopes.com

To whom it may concern,

This Statement of Work describes the professional development services that Accelerate Learning Inc. will provide for Alum Rock Union Elementary School District free of charge for the middle school pilot of the STEMscopes CA NGSS 3D curriculum. This pilot will take place beginning on September 1<sup>st</sup>, 2020 and run through December 31<sup>st</sup>, 2020. All professional development services will be provided during this time period.

Accelerate Learning Inc. will provide professional development services at no cost for the pilot teachers. This will include, but may not be limited to, the following:

- 3 Introduction to STEMscopes 90 minute Zoom PD Webinars
- 3 Advance STEMscopes 90 minute Zoom PD Webinars focused on Distance Learning
- Maximizing STEMscopes online course for all Pilot teachers
- Optional opt-in "office hours" support webinars

We look forward the opportunity to work with your team in support of your district's goals for science instruction.

Sincerely,

Brooks Dougherty Regional Director, CA bdougherty@acceleratelearning.com (858) 775-7131



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES		CONTRACT NO.
FROM: Academic Services	(School/Dept.)	VENDOR NO. <u>11861</u>
Submitted by: Rene Sanchez		VENDOR NO. 11001
<ol> <li>PARTIES: The Alum Rock Union Elementary School District (AF the following named Contractor:</li> </ol>	— RUESD), whose address is 293	30 Gay Avenue, San Jose, CA 95127, and
Name of Individual/Company: Assoc. of California Schoo	Administrators/Foundat	ion for Education Administration
Address: 1029 J Street, #500	_ City: <u>Sacramento</u>	State: CA Zin: 95814
		ling@acsa.org
SSN: c	or Fed I.D. #: <u>94-2702741</u>	
Mutually agree and promise as follows:		
2. CONTRACT TERM: start date <u>September 10, 2020</u>	and data 1	upa 20, 0004
<ol> <li>CONTRACTOR'S OBLIGATION: In consideration of the comperimenducts, and/or reports:</li> </ol>	ensation, the Contractor shall	provide the following services, materials
<ul> <li>Description of services to be provided and expected results exhibits and other documentation if necessary:</li> </ul>		
The Association of California School Administrators	(ACSA) will provide on-g	oing leadership coaching and
training for Kasturi Basu, Lyndale; Victor Guendulain	<u>, George; Rebecca J</u> ens	en, Aptitud, Joseph Manluco
Aptitud; Maria Guadalupe Gutierrez, Ocala; and Yen	v Rosales, Rvan STFAM	Academy
<b>COMPENSATION:</b> In consideration of Contractor's provision of expressed herein, ARUESD shall pay Contractor upon Contra (Invoice) which shall be submitted not later than 30 days from the expressed of such demand by ARUESD as follows: (Check either a,	services as described above, ictor's submission of a prope	and subject to the payment provisions
a. Fee Rate: \$ per hour/day of serv hours/days of services. ARUESD may, buservice.	rice as may be requested by A tis not obligated to, request	ARUESD, not to exceed a maximum of the maximum number of hours/days of
X b. Other: \$22,500.00 (describe rate agreer	ment) <u>6 administrators @</u>	\$3750ea

5. BUDGET CODE:

4

5												
	FD	RESC	PY	OBJECT	SUB-OBJ	GOAL	FCTN	COST-CNTR	LOC	PROG	\$AMOUNT	BUS. OFC
	010	0000	0	5815	00	1110	1000	000000	305	5020	\$22,500.00	Initials
L												

 TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers'
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



#### ASSOCIATION OF CALIFORNIA SCHOOL ADMINISTRATORS (ACSA) /FOUNDATION FOR EDUCATIONAL ADMINISTRATION (FEA) COACHING SERVICES AGREEMENT

This contract is to verify that Alum Rock Union School District has agreed to allow the Association of California School Administrators/Foundation for Educational Administration (ACSA/FEA) to provide Clear Administrative Credential Services for the following personnel:

Katsuri Basu	Principal	Lyndale Elementary/Alum Rock Union Elementary School District		
Victor Adalberto Guendulain	Assistant Principal	Joseph George Middle School/Alum Rock Union Elementary School District		
Rebecca Marie Jensen	Principal	Aptitud Community Academy at Goss/Alum Rock Union Elementary School District		
Maria Guadalupe Gutierrez	Assistant Principal	Ocala Middle School/Alum Rock Union Elementary School District		
Yeny M. Rosales	Principal	Ryan STEAM Academy/Alum Rock Union Elementary School District		
Joseph M. Manluco	Assistant Principal	Aptitud Community Academy at Goss/Alum Rock Union Elementary School District		

#### Year Two CACP Candidates (One Year Contract)

#### PROGRAM: <u>ACSA Silicon Valley - Coaching Services</u> PROGRAM <u>#: ES-440</u>

#### **CONDITIONS OF AGREEMENT**

#### Services are to include:

- 1. Clear Administrative Credential Program (CACP) Services
- 2. On-site job embedded, individualized, and confidential coaching services to be provided in-person or remotely
- 3. Between three (3) and six (6) hours per month of coaching documented in Collaborative Coaching Logs
- 4. Goal oriented coaching supported by a detailed Action Plan
- 5. Additional phone and email access to coach, as needed by candidate
- 6. Coaching provided by a trained ACSA/FEA Coach
- 7. Goals and Action Plan coaching outcomes founded upon the California Professional Standards for Education leaders (CPSEL)



2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Kolvira Chheng, Assistant Superintendent of Business Services

Re: Contract Approval – DTA

Date: September 10, 2020

#### Summary:

It is necessary for the District to maintain a contract with a firm to assist with the administration of parcel tax, special tax, and special assessment charges. The focus of these services shall be to determine the parcel tax, special tax, or special assessment and to facilitate the collection of the charges by the County of Santa Clara. DTA is a reputable firm that has 35 years of experience in this field and has worked with over 3,000 public and private sector clients. Specific activities and tasks to be provided by DTA will include the following:

- Database Management
- Parcel Research
- Determine Parcel Tax, Special Tax, or Special Assessment Charge Requirement
- Parcel Tax, Special Tax, or Special Assessment Charge
- Summary Memo
- Submittal of Parcel Tax, Special Tax, or Special Assessment Charges to County of Santa Clara

#### Recommendation:

Staff recommends that the Board of Trustees approve the contract with DTA in the amount not to exceed \$2,100.00 for fiscal year 2020-2021.

LUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

то	DIVISIO	N OF BUSINESS SER	RVICES			CONTRACT NO	)		
FR	ом: <u>Bus</u>	iness Services		(Scl	nool/Dept.)	VENDOR NO.	22947		
PR	OGRAM MA	ANAGER: Kolvira	Chheng						
1.	PARTIES: The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:								
	Name of I	ndividual/Company:	David Taussig &	& Associa	tes, Inc (DTA	)			
	Address:	99 Almaden B	oulevard, Suite 8	875 cit	<sub>y:</sub> San Jose	State: CA	Zip: <u>95113</u>		
	Phone: (8	<u>300)969-4DT.</u>	Α	En	nail Address: kyle@	)financedta.co	m		
	SSN:			or Fe	d I.D. #: <u>33-0171</u>	945			
2.		agree and promise as			end date •	June 30, 2021			
<ol> <li>CONTRACT TERM: start date July 1, 2020 end date June 30, 2021</li> <li>CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following service: products, and/or reports:</li> </ol>									
	A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:								
	Provide tax, or facilitat	e financial cons special assess te the collection	sulting services to ment; determina n of the charges	to assist i a <del>tion of th</del> for one fi	n the administr ose taxes and scal year 20-2	ration of parcel assessment c	l tax, special harges and to Santa Clara		
	1401114		Tor the ondigeo			i by obuilty of	ounia olara.		
4. COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the part expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented dem (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services we upon approval of such demand by ARUESD as follows: (Check either a, b, or c)					demand for payment				
	a. F	Fee Rate: \$hours/day service.	per hour/d ys of services. ARUES	lay of service D may, but is	as may be requested not obligated to, req	d by ARUESD, not to uest the maximum n	exceed a maximum of umber of hours/days of		
	<u>X</u> b. <b>(</b>	Other: <u>\$2,055.00</u>	NTE (describe r	rate agreemer	t)				
							0		
5.	BUDGET (	CODE:	p				EPell		
	FUND	DEPARTMENT 0000-0-5815	PROG/COUNTER 00-0000-7200	OBJECT	\$ AMOUNT 400-7200	PROG. TITLE \$2,055.00	BUS OFC		
						φ2,000.00			

- 6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

### www.FinanceDTA.com

## AGREEMENT FOR CONSULTING SERVICES

#### PARCEL TAX ADMINISTRATION

# ALUM ROCK UNION SCHOOL DISTRICT

August 24, 2020

Public Finance Public Private Partnerships Development Economics Clean Energy Bonds



#### AGREEMENT FOR CONSULTING SERVICES

THIS AGREEMENT is made and entered into this \_\_\_\_\_ day of \_\_\_\_\_ 2020, by and between the Alum Rock Union School District at 2930 Gay Avenue, San Jose, CA 95127, herein called "Client," and DTA at 99 Almaden Boulevard, Suite 875, San Jose, CA 95113, herein after called "Consultant." The Client and the Consultant in consideration of the mutual promises and conditions herein contained agree as follows.

#### ARTICLE I TERM OF CONTRACT

Section 1.1 This agreement shall become effective on the date stated above and will continue in effect until the earlier of (i) \_\_\_\_\_\_, 2020 or (ii) until terminated as provided in Article 6 below.

#### ARTICLE II SERVICES TO BE PERFORMED BY CONSULTANT

Section 2.1 Consultant agrees to perform the professional services for the Client in accordance with the applicable professional standard of care and to deliver the work products to the Client as described in the Scope of Work statement attached as Exhibit "A" hereto. Such professional services and work products, as from time to time modified in accordance with Section 2.3 hereof, are collectively referred to as the "Consulting Services."

Section 2.2 Instruments of Service. All computer software (including without limitation financial models, compilations of formulas and spreadsheet models), inventions, designs, programs, improvements, processes and methods (collectively, the "Proprietary Models"), reports, drawings, specifications, computer files, field data, notes and other documents and instruments prepared by Consultant are Instruments of Service of Consultant and shall remain the property of Consultant. Consultant shall likewise retain all common law, statutory and other reserved rights, including the copyright thereto. Client acknowledges and agrees that the consideration paid by Client herein only entitles Client to a license to use the hard copy or electronically transmitted reports generated pursuant to the Consulting Services and that any Proprietary Model that Consultant uses to generate such reports is owned by or is duly licensed from a third party to Consultant and is not being provided to Client hereunder. The reports and models used to generate such reports are for use on this Project only. The Client shall not reuse or make any modification to the hard copy or electronically transmitted reports generated pursuant to the Consulting Services without the prior written authorization of the Consultant. The Client agrees, to the fullest extent permitted by law, to indemnify and hold harmless the Consultant, its shareholders, officers, directors, employees and subconsultants (collectively, Consultants) against any damages, liabilities or costs, including reasonable attorneys' par fees and defense costs, arising from or allegedly arising from or in any way connected with the unauthorized use, reuse or modification of the hard copy or electronically transmitted reports generated

Alum Rock Union School District Parcel Tax Administration



pursuant to the Consulting Services or any of Consultant's Instruments of Service, including models, by the Client or any person or entity that acquires or obtains the reports from or through the Client without the written authorization of the Consultant. Client acknowledges that Consultant may have used reports and analyses that Consultant authored for other clients as base works or templates for the reports and analyses prepared for Client pursuant to this Agreement, and Client acknowledges and agrees that Consultant has the right to use the reports and analyses that it authors pursuant to this Agreement as base works or templates for reports and analyses that Consultant authors for Consultant's other clients, provided, however, that Consultant shall not use any confidential information provided by Client in such future reports and analyses. Client further acknowledges and agrees that Consultant has spent substantial time and effort in collection and compiling data and information (the "Data Compilations") in connection with the Consulting Services and that such Data Compilations may be used by Consultant for its own purposes, including, without limitation, sale or distribution to third parties; provided, however, that Consultant will not sell or distribute any of Client's confidential information that may be contained in such Data Compilations, unless such confidential information is used only on an aggregated and anonymous basis.

Section 2.3 Any proposed changes in the Consulting Services hereunder shall be submitted to the other party hereto, and any such changes agreed to by the parties shall be reflected in an amendment to Exhibit "A" in accordance with Section 7.2 hereto.

Section 2.4 Nothing in this Agreement shall give the Consultant possession of authority with respect to any Client decision beyond the rendition of information, advice, recommendation or counsel.

#### ARTICLE III COMPENSATION

Section 3.1 Client agrees to pay Consultant for its Consulting Services in accordance with this Agreement, a professional fee computed according to the Professional Fee Schedule attached as Exhibit "B" hereto and incorporated herein by reference (the "Fee Schedule"). Client acknowledges and agrees that portions of Consultant's professional fees and expenses may have been incurred by Consultant prior to the execution of this Agreement (the "Pre-Agreement Fees") and Client agrees to pay such Pre-Agreement Fees in accordance with this Agreement.

Section 3.2 The Client shall reimburse the Consultant for Consultant's out-ofpocket expenses plus a 15% administrative charge. Expenses shall include all actual expenditures made by Consultant in the performance of any Consulting Services undertaken pursuant to the Agreement, including, without limitation, the following expenditures:

Alum Rock Union School District Parcel Tax Administration



(a) Cost of clerical assistance at a rate of \$75 per hour, including typing, collation, printing and copying, plus copier and photography costs, including photographic reproduction of drawings and documents.

(b) Transportation costs, including mileage for the use of personal automobiles at the prevailing IRS standard rate, rental vehicles, lodging and regularly scheduled commercial airline ticket costs.

(c) Courier services, facsimile, and telephone expenses.

Section 3.3 On or about the first two weeks of each month during which Consulting Services are rendered hereunder, Consultant shall present to Client an invoice covering the current Consulting Services performed and the reimbursable expenses incurred pursuant to this Agreement and exhibits thereto. Such invoices shall be paid by Client within thirty (30) days of the date of each invoice. A 1.2% charge may be imposed against accounts which are not paid within thirty (30) days of the date of each invoice.

Section 3.4 The maximum total fee amount set forth in Exhibit "B" may be increased as a result of any expansion of the Consulting Services to be rendered hereunder pursuant to Section 2.3 or as provided in Exhibit "A" hereto.

Section 3.5 Records of the Consultant's costs relating to (i) Consulting Services performed under this Agreement and (ii) reimbursable expenses shall be kept and be available to the Client or to Client's authorized representative at reasonable intervals during normal business hours.

#### ARTICLE IV OTHER OBLIGATIONS OF CONSULTANT

Section 4.1 Consultant agrees to perform the Consulting Services in accordance with Exhibit "A" and the applicable standard of care. Should any errors caused by Consultant's negligence be found in such services or products, Consultant will correct them at no additional charge by revising the work products called for in Exhibit "A" to eliminate the errors.

**Section 4.2** Consultant will supply all tools and instrumentalities required to perform the Consulting Services under the Agreement.

Section 4.3 Neither this Agreement nor any duties or obligations under this Agreement may be assigned by Consultant without the prior written consent of Client. However, Consultant may subcontract portions of the work to be performed hereunder to other persons or concerns provided Consultant notifies Client of the name and address of said proposed subcontractor and Client either consents or fails to respond to notification with respect to the use of any particular proposed subcontractor.



Section 4.4 In the performance of its Consulting Service hereunder, Consultant is, and shall be deemed to be for all purposes, an independent contractor (and not an agent, officer, employee or representative of Client) under any and all laws, whether existing or future. Consultant is not authorized to make any representation, contract or commitment on behalf of Client.

Section 4.5 Neither this Agreement, any duties or obligations under this Agreement, nor the intentions or expectations of Client will cause the Consultant to be a "public official" as that term is used in Section 87100 of Title 9 of the California Government Code. Client and Consultant agree that Consultant is not a "public official" or "participating in governmental decision" as those terms are used in Section 87100. The Client and Consultant also agree that no actions and opinions necessary for the performance of duties under the Contract will cause the Consultant to be a "public official" or "participating in a governmental decision" as those terms are used in Section 87100.

#### ARTICLE V OTHER OBLIGATIONS OF CLIENT

Section 5.1 The Client shall provide full information in a timely manner regarding requirements for and limitations on the Project. Client agrees to comply with all reasonable requests of Consultant and provide access to all documents reasonably necessary to the performance of Consultant's duties under this Agreement with the exception of those documents which Exhibit "A" calls upon the Consultant to prepare.

Section 5.2 Neither this Agreement nor any duties or obligations under this Agreement may be assigned by Client without the prior written consent of Consultant.

Section 5.3 Consultant frequently is retained by developers, landowners, and other persons and concerns interested in development projects which often eventually lead to the preparation on a contract basis by Consultant of preliminary tax spread models for government agencies to determine tax rates and other matters necessary to accomplish various improvements to realty for financing under a Mello-Roos or other financing programs. In light of the foregoing, Client will determine whether or not it is appropriate to conduct a "significant substantive review" or a "significant intervening substantive review" of Consultant's activities conducted pursuant to this Agreement as such terms are defined in Section 18700(c)h of Title 2 of the California Administrative Code. Should Client elect to conduct such a substantive review, then Client shall determine whether it has sufficient expertise on staff to conduct such a review, and, if not, will retain an independent expert consultant to review Consultant's work. Thereafter, Client shall conduct such review, or cause such independent review to be conducted, prior to the making of any governmental decision relating to the matters contained within the Scope of Work described in Exhibit "A". The parties do not intend and nothing in this Section 5.3 is meant to imply that Consultant is a "public official," "participating in a governmental decision," or

August 24, 2020



has a "financial interest" in the services provided as such terms are used in Section 87100 of Title 9 of the California Governmental Code.

Section 5.4 The Client shall provide prompt written notice to the Consultant if the Client becomes aware of any fault or defect in the Project, including any errors, omissions or inconsistencies in the Consultant's Instruments of Service.

Section 5.5 Client, public agencies, landowners, consultants and other parties dealing with Client or involved in the subject development project referred to in Exhibit "A" will be furnishing to Consultant various data, reports, studies, computer printouts and other information and representations as to the facts involved in the project which Client understands Consultant will be using and relying upon in preparing the reports, studies, computer printouts and other work products called for by Exhibit "A." Consultant shall not be obligated to establish or verify the accuracy of the information furnished by or on behalf of Client, nor shall Consultant be responsible for the impact or effect on its work products of the information furnished by or on behalf of Client, in the event that such information is in error and therefore introduces error into Consultant's work products.

Section 5.6 Indemnity by Client. Client agrees to defend, indemnify and hold Consultant harmless from and against all obligations, losses, liabilities, damages, claims, attachments, executions, demands, actions and/or proceedings (collectively, "Claims") and all costs and expenses in connection therewith, including reasonable attorneys' fees, arising out of or connected with the performance of Consultant's Consulting Services under this Agreement, except as may arise from Consultant's willful misconduct or gross negligence. In that regard, Client will indemnify and hold Consultant harmless from any Claims arising from, growing out of, or in any way resulting from, errors contained in data or information furnished by Client or Client's designee to Consultant for use in carrying out the Consulting Services called for by this agreement. If for any reason the indemnification under this Section 5.6 is unavailable to Consultant or insufficient to hold it harmless, then the Client shall contribute to the amount paid or payable by Consultant as a result of such loss, liability, damage, claim, demand, action or proceeding in such proportion as is appropriate to reflect not only the relative benefits received by the Client on the one hand and Consultant on the other hand but also the relative fault of the Client and Consultant as well as any relevant equitable considerations; provided that Consultant's contribution obligations hereunder shall in no event exceed the amounts received by Consultant under this Agreement.

Section 5.7 In the event that court appearances, testimony, or depositions are required of Consultant by Client in connection with the services rendered hereunder, Client shall compensate Consultant at a rate of \$400 per hour and shall reimburse Consultant for out-of-pocket expenses on a cost basis.

Alum Rock Union School District Parcel Tax Administration



#### ARTICLE VI TERMINATION OF AGREEMENT

Section 6.1 Either party may terminate or suspend this Agreement upon thirty (30) days' written notice. Unless terminated as provided herein, this Agreement shall continue in force until the Consulting Services set forth in Exhibit "A" have been fully and completely performed and all proper invoices have been rendered and paid.

Section 6.2 Should either party default in the performance of this Agreement or materially breach any of its provisions, the other party at its option may terminate this Agreement by giving written notification to the defaulting party. Such termination shall be effective upon receipt by the defaulting party, provided that the defaulting party shall be allowed ten (10) days in which to cure any default following receipt of notice of same.

Section 6.3 In the event of any termination that is not the fault of the Consultant, the Client shall pay the Consultant, in addition to payment for services rendered and reimbursable costs incurred, for all expenses reasonably incurred by the Consultant in connection with the orderly termination of this Agreement, including but not limited to demobilization, reassignment of personnel, associated overhead costs and all other expenses directly resulting from the termination, plus an amount for the Consultant's anticipated profit on the value of the services not performed by the Consultant.

Section 6.4 Suspension and Termination for Non-Payment. (i) In addition to any other provisions in this Agreement regarding breach of the Agreement, if the Client fails to make payments when due, the Consultant may suspend performance of services upon ten (10) calendar days' notice to the Client. The Consultant shall have no liability whatsoever to the Client for any costs or damages as a result of such suspension caused by any breach of this Agreement by the Client. Upon payment in full by the Client, the Consultant shall resume services under this Agreement, and the time schedule and compensation shall be equitably adjusted to compensate for the period of suspension plus any other reasonable time and expense necessary for the Consultant to resume performance. (ii) If the Client fails to make payment to the Consultant in accordance with the payment terms herein, and/or Client has failed to cure its breach or default following a suspension of services as set forth above, this shall constitute a material breach of this Agreement and shall be cause for termination of this Agreement by the Consultant upon seven (7) days' written notice to the Client. (iii) Payment of invoices shall not be subject to any discounts or set-offs by the Client, unless agreed to in writing by the Consultant. Payment to the Consultant for services rendered and expenses incurred shall be due and payable regardless of any subsequent suspension or termination of this Agreement by either party.

**Section 6.5** The covenants contained in Sections 3.1, 3.2, 4.4, 5.3, 5.4, 5.5, 5.6 and all of Article VII shall survive the termination of this Agreement.

August 24, 2020

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#### ARTICLE VII GENERAL PROVISIONS

Section 7.1 Any notices to be given hereunder by either party to the other may be effected either by personal delivery in writing or by mail. Mailed notices shall be addressed to the parties at the addresses appearing in the introductory paragraph of this Agreement, but each party may change the address by written notice in accordance with the first sentence of this Section 7.1. Notices delivered personally will be deemed communicated as of actual receipt. Mailed notices will be deemed communicated as of two (2) days after mailing.

Section 7.2 This Agreement and exhibits hereto supersede any and all agreements, either oral or written, between the parties hereto with respect to the rendering of service by Consultant for Client and contains all of the covenants and agreements between the parties with respect to the rendering of such services. Each party to this Agreement acknowledges that no representations, inducements, promises, or agreements, orally or otherwise, have been made by any party, or anyone acting on behalf of any party, which are not embodied herein, and that no other agreement, statement, or promise not contained in this Agreement shall be valid or binding. Any modification of this Agreement (including any exhibit hereto) will be effective if it is in writing and signed by the party against whom it is sought to be enforced.

Section 7.3 If any provision in this Agreement is held by a court of competent jurisdiction to be invalid, void, or unenforceable, the remaining provisions will nevertheless continue in full force without being impaired or invalidated in any way.

Section 7.4 Disputes. The parties agree to first try in good faith to settle the dispute by mediation pursuant to the Mediation Rules of the American Arbitration Association. If the claim or controversy is not settled by mediation, the claim or controversy may be resolved by final and binding arbitration. On the written request of one party served on the other, the dispute shall be submitted to binding arbitration in accordance with the commercial rules and regulations of the American Arbitration Association and the provisions of the California Arbitration Act (Sections 1280 through 1294.2 of the California Code of Civil Procedure). The arbitration shall take place in Newport Beach, California, or such other location mutually agreed to by the parties.

The arbitrator(s) shall be selected as follows: In the event that Consultant and Client agree on one arbitrator, the arbitration shall be conducted by such arbitrator. In the event Consultant and Client do not so agree, Consultant and Client shall each select an arbitrator and the two arbitrators so selected shall select the third arbitrator. If there is more than one arbitrator, the arbitrators shall act by majority vote. The parties may propose arbitrators from JAMS, ADR, ARC or any independent arbitrator/neutral for dispute resolution. The parties are not required to hire an AAA arbitrator for resolution of a dispute hereunder.

Alum Rock Union School District Parcel Tax Administration



No arbitration shall include by way of consolidation or joinder any parties or entities nor a party to this Agreement without the express written consent of the Client, the Consultant and any party or entity sought to be joined with an express reference to this provision. Any party or entity joined in the arbitration, after mutual consent, shall be bound by this provision.

The decree or judgment of an award rendered by the arbitrator(s) may be entered in any court having jurisdiction thereof.

Section 7.5 The prevailing party in any arbitration or legal action brought by one party against the other and arising out of this Agreement shall be entitled, in addition to any other rights and remedies it may have, to reimbursement for its expenses, including court costs and reasonable attorneys' fees. The non-prevailing party shall be liable, to the extent allowable under law, for all fees and expenses of the arbitrator(s) and all costs of the arbitration.

**Section 7.6** This Agreement will be governed by and construed in accordance with the laws of the State of California.

Section 7.7 Nothing contained in this Agreement shall create a contractual relationship with or a cause of action in favor of a third party against either the Client or the Consultant. The Consultant's services under this Agreement are being performed solely for the Client's benefit, and no other party or entity shall have any claim against the Consultant because of this Agreement or the performance or nonperformance of services hereunder.

Section 7.8 Notwithstanding any other provision of this Agreement, and to the fullest extent permitted by law, neither the Consultant nor the Client, their respective officers, directors, partners, employees, contractors or subconsultants shall be liable to the other for, or shall make, any claim for any incidental, indirect or consequential damages arising out of or connected in any way to the Project or to this Agreement. This mutual waiver of consequential damages shall include, but is not limited to, loss of use, loss of profit, loss of business, loss of income, loss of reputation or any other consequential damages that either party may have incurred from any cause of action including negligence, strict liability, breach of contract and breach of strict or implied warranty.

Section 7.9 It is intended by the parties to this Agreement that the Consultant's services in connection with the Project shall not subject the Consultant's individual shareholders, officers, directors, members, managers or employees to any personal legal exposure for the risks associated with this Project. Therefore, and notwithstanding anything to the contrary contained herein, Client agrees that as Client's sole and exclusive remedy, any claim, demand or suit shall be directed and/or asserted only against Client and not against any of the individual shareholders, officers, directors, members, managers or employees.

Alum Rock Union School District Parcel Tax Administration



Section 7.10 Limitation of Liability – for available insurance: In recognition of the relative risks and benefits of the Project to both the Client and the Consultant, the risks have been allocated such that the Client agrees, to the fullest extent permitted by law, to limit the liability of the Consultant to the Client for any and all claims, losses, costs, damages of any nature whatsoever or claims expenses from any cause or causes, including attorneys' fees and costs and expert-witness fees and costs, so that the total aggregate liability of the Consultant to the Client shall not exceed the sum of insurance coverage available at the time of settlement or judgment. It is intended that this limitation apply to any and all liability or cause of action however alleged or arising, except for Consultant's willful misconduct or unless otherwise prohibited by law.

IN WITNESS WHEREOF, this Agreement has been executed on the date and year first above written.

#### CONSULTANT: David Taussig and Associates, Inc. d/b/a DTA

CLIENT: Alum Rock Union School District

By: \_\_\_\_\_\_ Nathan Perez, Managing Director

By:	

Date: \_\_\_\_\_

Date: \_\_\_\_\_

August 24, 2020



#### EXHIBIT A SCOPE OF WORK

#### ALUM ROCK UNION SCHOOL DISTRICT PARCEL TAX ADMINISTRATION

DTA ("Consultant") shall provide financial consulting services to assist the Alum Rock Union School District ("Client") in the administration of Client's parcel tax, special tax, or special assessment. The focus of these services shall be to determine the parcel tax, special tax, or special assessment charges and to facilitate the collection of the charges for one (1) fiscal year, 2020-2021, by the County of Santa Clara.

The specific activities and tasks to be performed under this Scope of Work include the following:

#### Task 1 Parcel Database

This task involves organizing the land use data required to apportion and collect parcel tax, special tax, or special assessment charges, and includes the following subtasks:

**1.1** Database Management: Create automated parcel database to include all parcels. Data items will include Assessor Parcel Number, acreage, and owner of record, as necessary and applicable.

**1.2 Parcel Research**: Review Assessor Parcel Maps to determine which parcel numbers will be valid for each fiscal year.

#### Task 2 Parcel Tax, Special Tax, or Special Assessment Budget

This task involves working with the Client to determine the parcel tax, special tax, or special assessment budget for each fiscal year and calculating the charge for each parcel in the parcel tax, special tax, or special assessment, and includes the following subtasks:

2.1 Determine Parcel Tax, Special Tax, or Special Assessment Charge Requirement: Determine parcel tax, special tax, or special assessment budget based on information provided by the Client.

2.2 Parcel Tax, Special Tax, or Special Assessment Charge: Based on the parcel tax, special tax, or special assessment budget, compute the parcel tax, special tax, or special assessment charges for all parcels for a given fiscal year.



#### Task 3 Summary Memo

This task includes the preparation of a summary memo. Included in the memo is a list of parcel tax, special tax, or special assessment charges by Assessor's Parcel.

## Task 4 Submittal of Parcel Tax, Special Tax, or Special Assessment Charges to County of Santa Clara

This task involves submitting the parcel tax, special tax, or special assessment charges on or before August 10, of each year, or such other date specified by the County of Santa Clara to the Auditor-Controller for inclusion on each fiscal year's consolidated property tax bills. The parcel tax, special tax, or special assessment levy will be submitted via email or other media as specified by the County.

#### **Optional Services**

#### Task 5 Provide Information to Interested Parties

This task involves the provision of information to individuals and other interested parties regarding the amount and calculation of the special tax. DTA's "800" phone number will be placed on the property tax bills mailed by the County. This task includes brief written responses to property owners as necessary. Formal meetings with property owners to resolve disputes will be classified as "Additional Work" and billed at the hourly rates listed in Exhibit B.

#### Task 6 Roll Monitoring and Adjusted Property Tax Bills

This task involves monitoring any changes to the secured tax roll which necessitate new or adjusted property tax bills. This task includes the calculation of new or adjusted bills and the preparation of requests to the County to prepare such bills.

#### Task 7 Delinquent Property Owner Research

This task involves the review and research of County records to determine which parcels are delinquent in the payment of property and special taxes, and includes the following subtasks:

7.1 Static Delinquent Special Tax Report: Request the First, Second, and Final Installment Paid/Unpaid Status Reports from the Santa Clara County Auditor-Controller to determine which parcels are delinquent and the corresponding amount of delinquent special taxes. Prepare report summarizing the amount of delinquent special taxes.



7.2 **Dynamic Delinquent Special Tax Report:** As necessary, conduct a review of online records to provide an up-to-the-minute status report for any given number of delinquent parcels. Please note that our research for this task is dependent upon the rate at which the County updates their on-line payment records.

#### Task 8 Ownership/Exempt Parcel Research

This task involves researching and monitoring any changes in ownership and offers of dedication of property to public agencies and other entities exempt from the special tax.

August 24, 2020



#### EXHIBIT B FEE SCHEDULE

#### ALUM ROCK UNION SCHOOL DISTRICT PARCEL TAX ADMINISTRATION

Consultant shall charge the following hourly fees for services related to Tasks 1 through 4:

Labor Category	Labor Rate
President/Managing Director	\$290/Hour
Senior Vice President	\$260/Hour
Vice President	\$240/Hour
Manager	\$190/Hour
Senior Associate	\$180/Hour
Associate II	\$165/Hour
Associate I	\$150/Hour
Research Associate II	\$140/Hour
Research Associate I	\$125/Hour

#### Table 1: DTA's Fee Schedule

Subject to the limitations below, fees related to Tasks 1 through 4 shall not exceed \$1,900 for the upcoming fiscal year. Monthly progress payments will be made by Client upon presentation of invoice by Consultant providing details or services rendered and expenses incurred. At Client's request services in addition to those identified in the Scope of Work may be provided if the total fee required to complete Tasks 1 through 4 is less than the amounts shown above. Alternatively, if the Scope of Work can be completed for less than the maximum amount, only the hours actually expended will be billed.

In addition to fees for services, Client shall reimburse Consultant for travel, copying, courier, facsimile, telephone expenses, data services, maps, clerical charges, administrative charges, and other out-of-pocket expenses, in an amount not to exceed \$500 for each fiscal year. Monthly progress payments shall be made by Client upon presentation of invoices by Consultant providing details of services rendered and expenses incurred.

#### Limitations

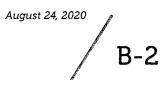
The preceding hourly rates apply for a twelve (12) month period from execution of the Agreement and are subject to a cost-of-living and/or other appropriate increase every twelve (12) months thereafter. Consultant generally reviews its hourly rates annually and,

Alum Rock Union School District Parcel Tax Administration



if appropriate, adjusts them to reflect increases in seniority, experience, cost-of-living, and other relevant factors. Consultant shall notify Client in advance of any such increase. Additional services other than those necessary to amend errors on the part of Consultant in Tasks 1 through 4 are not covered by the maximum fee listed above.

Alum Rock Union School District Parcel Tax Administration





2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Kolvira Chheng, Assistant Superintendent of Business Services

Re: Contract Approval – SchoolWorks, Inc.

Date: September 10, 2020

#### Summary:

The District is in need of a demographic study and enrollment projections to be performed to assist with both short-term and long-term planning. SchoolWorks is a reputable firm that has over 25 years of experience in this field and has worked with over 200 California school districts. Deliverables to be provided by SchoolWorks will include the following:

- Updated six-year district and individual school enrollment projections
- Updated attendance boundary demographic trend analysis
- Updated classroom utilization analysis
- Updated new housing impacts and yield rate study
- Update Interactive web based school attendance boundary locator
- Final report both in electronic form and eight (8) printed copies

#### Recommendation:

Staff recommends that the Board of Trustees approve the contract with SchoolWorks, Inc., in the amount not to exceed \$20,000.00 for the period of October 1, 2020 to September 30, 2021.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

TO:	DIVISION OF BUSINESS SERVICES	-	CONTRACT NO				
FRC	M: Business Services	_(School/Dept.)	VENDOR NO.				
PRC	DGRAM MANAGER: Kolvira Chheng	-					
1.	PARTIES: The Alum Rock Union Elementary School District (ARI the following named Contractor:	UESD), whose address is 293	0 Gay Avenue, San Jose, CA 95127, and				
	Name of Individual/Company: SchoolWorks, Inc.						
	Address: 8331 Sierra College Blvd., #221	<sub>City:</sub> <u>Roseville</u>	State: <u>CA</u> Zip: <u>95661</u>				
	Phone: (916)733-0402	Email Address: brett@sc	choolworksgis.com				
	SSN: 01	r Fed I.D. #:					
	Mutually agree and promise as follows:						
2.	CONTRACT TERM: start date October 1, 2020	end date Set	otember 30, 2021				
	CONTRACTOR'S OBLIGATION: In consideration of the compe products, and/or reports:	ensation, the Contractor shall	provide the following services, materials,				
	A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:						
	Provide a Demographic Study with Enrollment Projections; District-wide & school-specific enrollment projections meant to serve as a planning tool to help w/both long & short-term planning; other factors to identify certain facility planning requirements such as capacity utilization of existing facilities, planning for modernization/new construction and attendance boundary redistricting; study will provide info on 20/21 enrollments/programs, etc (see att)						
	<b>COMPENSATION:</b> In consideration of Contractor's provision of expressed herein, ARUESD shall pay Contractor upon Contra (Invoice) which shall be submitted not later than 30 days from the upon approval of such demand by ARUESD as follows: (Check eit	actor's submission of a prop e end of the month in which t	erly documented demand for payment				

a. Fee Rate: \$\_\_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. Other: \$20,000.00 (NTE) (describe rate agreement)

#### 5. BUDGET CODE:

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
010-	0000-0-5815	00-0000-7200	000000	400-7200	\$20,000.00	Milleiten
						Sasper

- 6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

# Alum Rock Union School District

# **Professional Services**

# Demographics & Enrollment Projections 2020-2021





8331 Sierra College Blvd., #221, Roseville, CA 95661 | 916.733.0402 | www.schoolworksgis.com

Facility Problem Solvers

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# Proposal Summary

1

Board of Trustees Alum Rock Union School District 2930 Gay Avenue San Jose, CA 95127

Thank you for the opportunity to present this Proposal for the 2020/2021 Demographic Services with Enrollment Projections Study. As you prepare for the upcoming school year, SchoolWorks, Inc., is fully aware of the upprecedented challenges the Covid-19 pandemic has presented to our clients. Our goal is to continue to take whatever steps necessary to help you get through these challenging times. As budgets will undoubtedly take a hit due to this pandemic, we wanted to remind you these facility planning services can be paid for using Developer Fee Funds. It would be an honor to work with you again.

Demographic Services and Enrollment Projections help establish guidelines designed to ensure the District's school facilities are able to accommodate and support its current and future enrollment. SchoolWorks, Inc. provides a total comprehensive analysis. The district-wide and school-specific enrollment projections are meant to serve as a planning tool to help with both long-term and short-term planning. Our services help identify certain facility planning requirements such as capacity utilization of existing facilities, planning for modernization or new construction, and attendance boundary analysis or redistricting.

In addition to our standard annual services, we have found many of our clients inquiring how specific data available in our GIS system may be able to assist with the unique Covid-19 planning challenges. These include how Covid-19 may affect future enrollment, tracking distance learning procedures to ensure every student is presented with the same opportunities, and even planning how to best utilize facilities and assign students to multi-track schedules if school is only able to operate at a reduced capacity.

Proposal Includes:

- · Collaborate with the District staff throughout the project
- · Updated six year district & individual school enrollment projections
- Updated attendance boundary demographics trend analysis
- Updated classroom utilization analysis
- Updated new housing impacts & yield rate study
- Updated Interactive web based school attendance boundary locator (Complimentary)
- · Draft/Final documentation in electronic form and eight (8) printed copies if requested
- Total fee proposal of \$17,500

Ken Reyulle

Ken Reynolds President 916.771.4605 ken@schoolworksgis.com

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Brett Merrick Vice President 916.771.4606 brett@schoolworksgis.com

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## **Company Profile**

#### ABOUT SCHOOLWORKS, INC.

SchoolWorks, Inc. established in 2002 by founder and President Ken Reynolds, has a proud history of guiding California school districts through the complexities of school facility planning.

Our firm takes pride in focusing on the unique challenges facing California school districts today. We offer a wide range of services that provide the building blocks towards smart and successful facility planning.

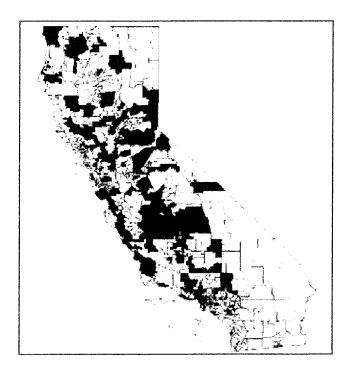
SchoolWorks, Inc. provides services exclusively to California school districts, ranging in size from under 100 students to more than 600,000 students.

Our headquarters is located near Sacramento, providing easy access to CDE, SAB and OPSC. We have additional satellite offices located in the Central Valley and Southern California.

At SchoolWorks, Inc. our mission is simple: Take pride in developing trusting personal relationships. Our unique hands-on approach makes us more than just another facility planning and demographics consultant. Our goal is to become an extension of your staff and community. We value integrity and going the extra mile to make sure we provide the highest in quality service.

#### **OUR SERVICES**

- Demographic Studies
- ☐ Enrollment Projections
- \_I Facilities Master Plans
- Facilities Planning Assessments
- □ State Building Program Assistance
  - Modernization
  - New Construction
  - Financial Hardship
  - CTE
- \_ 」 Developer Fee Studies
  - Level 1
  - Level 2
- Attendance Boundaries Studies
- ☐ GIS Facility Planning Software



#### **Company Profile**



Ken Reynolds President



Brett Merrick Vice President

#### **Facilities Master Plan/Assessments**



Luke Smith Consultant



Ron Groenveld Consultant



Ed Gonzales Consultant

#### **State Building Program**



Owen Alvarez VP, State Building Program



Alex Rutherford Project Manager

#### **Demographics/Developer Fees**



Kathy Reynolds Associate Director



Ryan Reynolds Analyst



Alice Turney Accounts Manager

#### **Office and Support Staff**





Jodi Grayem Office Manager

SchoolWorks, Inc. 5



#### **ENROLLMENT PROJECTIONS**

This Demographic Study provides a comprehensive enrollment analysis. The district-wide and schoolspecific enrollment projections are meant to serve as a planning tool to help with both long-and short-term planning. Demographic Studies examine the factors that influence school enrollments, namely trends in demographics, birth rates and housing development.

The study is also used as a tool to identify certain facility planning requirements such as capacity utilization of existing facilities, planning for modernization or new construction and attendance boundary redistricting.

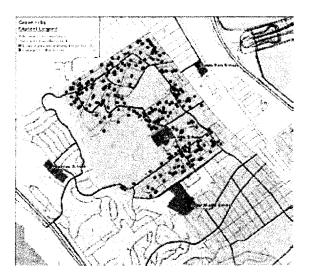
This study provides information based on the 2020/2021 District enrollments and programs, local planning policies and residential development. As these factors change and time lines are adjusted, the Demographic Study should be revised to reflect the most current information.

#### **METHODOLOGY**

The enrollment projections for each school are generated using a State standard weighted cohort trend analysis. The basic projections are created by studying the individual geographic areas. Once the trends are analyzed for each area, the base projections are modified using the following procedures:

❑ Neighborhood School Attendance Area Analysis: Each school attendance boundary will be input into our GIS (Geographic Information Systems) Software. Students are counted in each of the attendance area boundaries based on their residential address and can be studied to view optimum and balanced utilizations. Attendance pattern maps for each individual boundary will analyze impacts of intradistrict transfers from within the district boundary, as well as inter-district transfers from neighboring school districts.

- Inter-district student counts are not included in the base geographic trend analysis since these students reside outside of the District. Therefore, the current number of students-per-school and students-pergrade are added to the base projections.
- ☐ Intra-district students are those who transfer from one school to another. The number of students transferring into and out of each school are calculated and used to determine the difference between the projections for students living in each attendance area versus those that are projected to attend the school.
- ❑ Cohort Trend Analysis: The number of students living in the boundary are used to generate the cohort factors. The weighted average of the three (3) years is determined with the current year weighted 50%, the prior year 33.3% and the last year 16.7%. This gives the current trends more value in determining the projections. Those cohorts are then used to determine the students who will be residing in each attendance area for the following years.

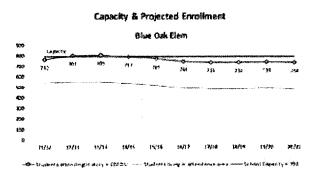


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#### Proposal

- ➡ Birth Rate Analysis: Birth rates are used to project future kindergarten enrollment. It is assumed if the births indicate there was an increase of 4% one year, then there will be a corresponding 4% increase in the kindergarten class five (5) years later.
- Housing Development and Yield Rate Study: New housing development can have a significant impact on future facility and demographic planning. A complete analysis of all current and future new housing developments will be researched by working with city, county and other local municipalities. A student yield rate analysis will also be conducted using the most current Census data. New housing development rates and yield factors are compared to the historical impact of development and if the future projections exceed the historical values, the projections are augmented accordingly.
- ❑ District Special Education and Alternative Programs: The projections for special education students and alternative programs are created by assuming those programs typically serve a percentage of the total District population. Therefore, as the District grows or declines, the enrollment in those programs would increase or decrease accordingly.

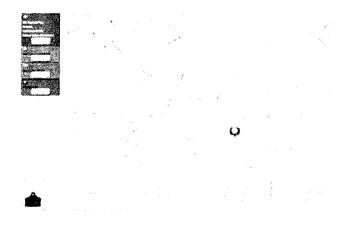
Site Capacity and Utilization Analysis: By reviewing current district loading standards and how many available classrooms are situated at each school site, we can determine which schools have room for growth, which schools are overcrowded or which school sites may need to be considered for boundary changes or grade level reconfiguration. The classroom counts may not represent the current classrooms being used, as there may be unused rooms on the school site. In some cases, there may be fewer classrooms counted than current teaching stations if some of the rooms being used were designed for other purposes but are currently being used as classrooms due to overcrowding. The purpose of the classroom count and capacity are to show what the school capacity should be if all teaching spaces are being used in accordance with the educational programs of the District.



#### **OTHER AVAILABLE SERVICES**

#### **ONLINE SCHOOL BOUNDARY LOCATOR**

Offered complimentary with SchoolWorks Demographic Services and Enrollment Projections. School Locator is an interactive, online address search service. School Locator is linked directly to your website for easy accessibility and customized to blend with existing design. School Locator also allows for multiple boundary layers to be added at anytime so if your district is going through a boundary change you have the ability to view both the current and proposed boundaries.



#### UNLIMITED ON-CALL SERVICES

SchoolWorks utilizes our GIS (Geographic Information System) Facility Planning Software as the foundation to input and analyze the Demographic and Enrollment information provided by the District. GIS lets you capture, manage, display and analyze geographically all the data that's critical in planning for your future. This information is stored on our secured serve.

SchoolWorks, Inc. provides unlimited on-call services to all our clients. If you have questions about the number of students in a boundary, in a specific neighborhood, or within a certain radius, our staff is available on-call Monday through Friday 7am to 5pm to help answer those questions. We will make every attempt to answer within the same day.

#### **GIS FACILITIES PLANNING SOFTWARE**

The SchoolWorks, Inc. GIS Facility Planning Software is available for purchase by the District, if requested. For more information on this powerful tool please contact a SchoolWorks, Inc. representative.

#### HOURLY RATES

If SchoolWorks presense is requested on various projects or committee meetings beyond the Statement of Work in this proposal, the District will be billed an hourly rate of \$185 per hour.

# Fee Proposal

#### STATEMENT OF WORK

Schoolworks, Inc. is proposing a fixed fee for the basic services provided in this proposal. Optional services may be added. Changes in the scope of work can have impacts on the overall fee. If SchoolWorks, Inc. presence at meetings or additional services are requested beyond the scope of work, the District will be billed at \$185 per hour, plus travel time and expenses or issued a new contract for those specific services.

#### **DEMOGRAPHICS & ENROLLMENT PROJECTIONS**

- 🕘 🛛 Geocode current student enrollment data October 2020, October 2019, October 2018, October 2017
- Update and analyze student demographic trends
- Update six year district enrollment projections
- □ Update enrollment projections for each individual school
- └ Update classroom utilization analysis
- □ Update new housing impacts & yield rate study
- One (1) Board presentation
- □ Interactive web based school attendance boundary locator (Complimentary)
- Unlimited on-call services

Intell 2 Constant Figs

\$17,500

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#### Annual Stavices Upokie & Cost

Consultant may be retained by the District to update the Demographic Study and Enrollment Projections on an annual basis. This would include an update to the existing Statement of Work on a year to year basis. A separate agreement would be provided in August 2021.

#### **PROJECT TIMELINE**

Protections

The proposed timeline for completion and delivery of the project is TWO (2) month from the time the Schoolworks, Inc. team is able to collect the appropriate information. A project RFI will be submitted to the District upon signing of this agreement. A schedule is intended to be a flexible timeline and will be adjusted accordingly, depending on coordination of schedules and efficient data collection. It is our goal to complete this project under the TWO (2) month window. The schedule is generated through a team effort involving District administration and SchoolWorks, Inc. Consultants.



#### **PROFESSIONAL SERVICES AGREEMENT**

#### **DEMOGRAPHICS & ENROLLMENT PROJECTIONS**

Alum Rock Union School District 2930 Gay Avenue San Jose, CA 95127 TEL: 408.928.6800

and

SchoolWorks, Inc. 8331 Sierra College Blvd., 221 Roseville, CA 95661 TEL: 916.733.0402

THIS AGREEMENT, is made by and between SchoolWorks, Inc. (hereinafter referred to as "SCHOOLWORKS") and Alum Rock Union School District, (hereinafter referred to as "the DISTRICT")

WHEREAS, the DISTRICT is authorized to retain consulting services to assist the DISTRICT in updating demographics and enrollment projections.

#### SCOPE OF SERVICES

SCHOOLWORKS will provide the DISTRICT a Demographic Study with Enrollment Projections. The district-wide and school-specific enrollment projections are meant to serve as a planning tool to help with both long-and short-term planning. Demographic Studies examine the factors that influence school enrollments, namely trends in demographics, birth rates and housing development. It is also used as a tool to identify certain facility planning requirements such as capacity utilization of existing facilities, planning for modernization or new construction and attendance boundary redistricting. This study provides information based on the 2020/2021 District enrollments and programs, local planning policies and residential development

#### AGREEMENT PERIOD

The agreement period begins October 1, 2020, (the "Effective Date") and will automatically expire on September 30, 2021 (the "Expiration Date").

#### **OBLIGATIONS OF THE DISTRICT**

DISTRICT agrees that it's employees will cooperate with SCHOOLWORKS and be available for scheduled consultations and meetings at reasonable times.

DISTRICT shall provide data which is required or requested by SCHOOLWORKS. All data and records, including student information will remain confidential.

DISTRICT will assist SCHOOLWORKS in obtaining data from public municipalities or agencies or private citizen groups whenever such data is necessary for completion of the work outlined in this agreement.

#### CONFIDENTIALITY

Student records obtained by SCHOOLWORKS, Inc. and/or its third parties from the DISTRICT continue to be the property and under the control of the DISTRICT. The procedures by which students may retain possession and control of their own student generated content will be determined and controlled by the DISTRICT, not by SCHOOLWORKS, Inc. The options by which a Student may transfer student-generated content to a personal account will be determined by the DISTRICT, not by SCHOOLWORKS, Inc. Representatives of the DISTRICT, not SCHOOLWORKS, Inc. or its third parties, will work directly with parents, legal guardians, or eligible students to review personally identifiable information in the student's records and correct erroneous information. SCHOOLWORKS, Inc. staff members or its third parties shall act to ensure the security and confidentiality of student records, including, but not limited to, designating and training experienced staff members to ensure the security and confidentiality of student records, by use of the following measures: SCHOOLWORKS, Inc. staff members will periodically review and test the security and confidentiality of records stored in its computer systems and its related data drives, and make adjustments to security protocols as required. In the event of an unauthorized disclosure of a student's records, staff of SCHOOLWORKS, Inc. and its third parties will assist the DISTRICT by providing any information provided in the unauthorized disclosure to the DISTRICT so that the DISTRICT can report the disclosure to the affected parent or student, and resolve the issue in a satisfactory manner. The DISTRICT, not SCHOOLWORKS, Inc., will work with students who choose to retain possession of their student generated content or to transfer such content to a student's personal account. SCHOOLWORKS, Inc. agrees to comply with all standards regarding the privacy of the student data provided by the DISTRICT, relating to "COPPA," "FERPA," and SOPIPA. In accordance with COPPA, FERPA and SOPIPA. SCHOOLWORKS will not use its site or services for other than its school district client's K-12 school purposes, and will use security protocols to secure DISTRICT data that is used in conducting certain studies and reports for or on behalf of the DISTRICT. SCHOOLWORKS, Inc. will not use any personally identifiable information in student records to engage in targeted advertising. SCHOOLWORKS, Inc. will not sell a student's information. SCHOOLWORKS, Inc. will not use any personally identifiable information in student records to create a "student profile" for any purpose other than those required or specifically permitted by the Technology Services Agreement. SCHOOLWORKS and/or its third parties shall not disclose any personally identifiable information in student records, unless for legal, regulatory, judicial, safety, or operational improvement reasons, and must disclose student information: when required by law, for legitimate research purposes; or for school purposes to educational agencies authorized by the DISTRICT

#### TERMINATION

It is understood and agreed that the DISTRICT may terminate this agreement without cause by giving SCHOOLWORKS written notice at least thirty (30) days before effective date of such termination. Required payments include payment for hours completed.

#### COMPENSATION

The full amount of \$17,500 will be billed upon completion of the Study and submitted to the District for review. The amount is due within thirty days of the date of the invoice. If SchoolWorks presence is requested at additional school board meetings or other committee meetings beyond the scope of work, the District will be billed at \$185 per hour, plus travel time and expenses.

The parties hereto have caused this agreement to be executed by their authorized representatives.

SchoolWorks, Inc.

Kenneth R. Reynolds

Ken Reynille

Alum Rock Union School District

Signature

<u>Hilappia Bavel, Ph.D.</u> Print Name Superintendent

Title

August 13, 2020

President

Date



## UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

**ALUM ROCK** 

From: Ed Villarreal, Director of Maintenance, Operation & Transportation K

Re: Contract Approval- Arclite, Inc.

Date: August 25, 2020

#### Summary:

It is necessary for the District to maintain a contract with an experienced and reliable company that can provide fire alarm inspection and maintenance services district-wide.

Based on pricing, experience and responsiveness, the District is recommending to contract with Arclite, Inc.

#### Recommendation:

Staff recommends that the Board of Trustees approve the contract with Arclite Electric in the amount of \$22,000 for fiscal year 2020-2021.



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

TO:	DIVISION OF BUSINESS SERVICES	_	CONTRACT NO.	
FRC	Maintenance	_(School/Dept.)	VENDOR NO.	22774
PRC	DGRAM MANAGER: Ed Villarreal - Director	-		
1.	PARTIES: The Alum Rock Union Elementary School District (AR the following named Contractor:	UESD), whose address is 2	930 Gay Avenue, Sa	n Jose, CA 95127, and
	Name of Individual/Company:ArcLite, Inc.			
	Address:915 Boulder Drive	<sub>City:</sub> San Jose	State: <u>CA</u>	_ zip: <u>95132</u>
	Phone: ( 408 ) 872-2445	Email Address: WWV	V.ArcliteElectri	c.com
	SSN: 0	r Fed I.D. #:		
	Mutually agree and promise as follows:			
2.	CONTRACT TERM: start dateAugust 1, 2020	end date	June 30, 202	1
	<b>CONTRACTOR'S OBLIGATION:</b> In consideration of the comperioducts, and/or reports:	ensation, the Contractor sha	Il provide the followi	ng services, materials,
	A. Description of services to be provided and expected results exhibits and other documentation if necessary:	(e.g. services, materials, p	roducts and/or repor	ts). Attach proposals,
	Service and repair all fire alarm, security	and PA intercoms.	Inspect, rest	maintain and,
	certify fire alarm systems. Emergency res	spond service 24 h	ours a day-7 c	ays a week.
	<b>COMPENSATION:</b> In consideration of Contractor's provision of expressed herein, ARUESD shall pay Contractor upon Contra (Invoice) which shall be submitted not later than 30 days from th upon approval of such demand by ARUESD as follows: (Check eit	actor's submission of a pr e end of the month in which	operly documented	demand for payment
	a. Fee Rate: \$ per hour/day of ser hours/days of services. ARUESD may, b service.			

X b. Other: \$ 22,000.00 (de	escribe rate agreement)
------------------------------	-------------------------

Budget code: 050-8150-0-5815-00-0000-8100-000000-450-8130

5. BUDGET CODE:

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC M
				\$22,000.00		LIDE COMP
						M.M. Slow

- 6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

Date : 08/24/2020

Arclite L.V. Division(Arclite) 915 Boulder drive San Jose, CA. 95132 408-872-2445 <u>www.ArcLiteElectric.com</u> Fax: **1-866-349-8843** 



allanone@gmail.com contractor Lic. C10 1010150

Customer : Alum Rock Union School District August1st 2020 till August 1st 2021

Scope of work

Fire Alarm ,Intercom Pa and Security systems

Arclite will service and repair all fire alarm ,security and PA intercom systems., surveillance equipment any more.

We also Inspect, Test, Maintain, and Certify the fire alarm systems detailed o. Arclite will satisfy requirements of NFPA 72. Chapter 10. conform to the equipment manufacturer's recommendations, and verify operation of the fire alarm systems. Inspection A visual inspection of every device in the "List of Equipment" will be performed to ensure that no facility changes have occurred which could affect equipment or system performance based on the original design. Testing Every device and control function shown in the "List of Equipment" will be physically activated to ensure its functionality as designed and installed. Testing takes into consideration the AHJ (Authority Having Jurisdiction) requirements, local ambient conditions and the manufacturer's recommendations. Contractor will follow NFPA 72 recommended test methods and frequencies as a minimum guideline for system testing. System configuration is verified during guarterly inspections and compared to existing records. Complete documentation of testing and a report of any deficiencies will be presented for review before the Technician leaves the facility. Audible Testing will be conducted during off or non-operational hours and the schedule will be pre-approved by the (OWNER LOCATION). Preventative Maintenance System components will be cleaned, recalibrate and retested if necessary to ensure continued performance and reduce the risk of component or system failure. During scheduled visits, minor repairs will be made, such as replacement of faulty detectors or damaged devices. Smoke Detector Sensitivity Testing Smoke Detector Sensitivity testing will be performed in accordance with NFPA 72 using the manufacturer's recommended test methods and a UL approved testing device. Contractor will provide the necessary documentation to satisfy the AHJ. Arclite will provide an analysis of the report along with recommendations for detectors that require cleaning or replacement. Corrective Maintenance Necessary repairs for deficient or inoperable devices such as those found during the course of system testing, inspection or preventative maintenance, or have failed during operation will be provided. Only original replacement components manufactured by the original equipment manufacturer or other compatible components are used in order to preserve Underwriters Laboratories (U.L.) Listings and meet NFPA requirements. Emergency Service Emergency service response between scheduled tests will be available 24 hours a day / 7 days a week to minimize system downtime. Emergencies will be determined by Owner and Subcontractor. On-Site Response will be provided via a telephone response within 30 minutes and Service Technicians will be onsite within four (4) hours. Nonemergency calls, as determined by facility's staff, will be handled the next business day. On Site Staffing Trained and certified personnel for on-site system support will be provided. As part of the services, any additional training will be provided while technicians are on site. List of Equipment Annual Testing Frequencies

We offer \$115.00 per hour(minimum 2 hours) for regular hours(8:00 am to 4:00 pm) Monday \$115.00.00 through Friday except holidays.

Overtime rate time and half (\$172.50)(min 3 hours) from 4:00 pm to midnight and Saturday 8:00\$172.50 am to 4:00 pm.

Double time rate(\$230) is after midnight to 8:00 am M-F Saturday after 4:00 pm and all Sunday.230.

Sincerely: Allan Service manager Arclite L.V. Division.

& Salihi Salehi 08/24/20



## ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · www.arusd.org

To: Hilaria Bauer, Ph.D., Superintendent

From: Ed Villarreal, Director of Maintenance, Operation & Transportation  $\mathcal{K}_{\sim}$ 

Re: Contract Approval: United Mechanical, Inc.

Date: August 25, 2020

#### Summary:

The District needs to maintain a contract with an experienced and reliable company that can provide routine repair and maintenance of the refrigerator and freezer units located at the district office.

#### Recommendation:

Staff recommends that the Board of Trustees approve the contract with United Mechanical, Inc., in the amount of \$20,000.00 for 2020-2021 fiscal year.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

TO:	DIVISIO	N OF BUSINESS SER	VICES			CONTRACT NO	D
FRG	ом: <u>М.С</u>	D.T		(Scl	nool/Dept.)	VENDOR NO.	
PR	OGRAM MA	ANAGER: Ed Villa	rreal - Director				
1.		: The Alum Rock Unio ing named Contractor:		strict (ARUES	D), whose address	is 2930 Gay Avenue, S	San Jose, CA 95127, and
	Name of I	ndividual/Company: _	United Mechani	cal, Inc			
	Address:	2185 Oakland	Rd	Cit	<sub>y:</sub> <u>San Jose</u>	State: CA	zip: <u>95131</u>
	Phone: (	408 ) 228-191	3	En	nail Address:		
	SSN:			or Fe	d I.D. #:		
2.		agree and promise as		2020	end date	June 30	, 2021
3.	CONTRA						wing services, materials,
		iption of services to be ts and other document		ed results (e.g	. services, material	s, products and/or rep	orts). Attach proposals,
	Routin	e maintenance	and service for	the refrig	erator and fre	ezer units loca	ted at the
	district	office.					
4.	expressed (Invoice) v upon appr	I herein, ARUESD sh which shall be submitte oval of such demand b	all pay Contractor up ed not later than 30 day ay ARUESD as follows: (	on Contractor is from the en (Check either a	's submission of a d of the month in w a, b, or c)	n properly documented which the contract servi	the payment provisions d demand for payment ices were rendered, and o exceed a maximum of number of hours/days of
	b.	Other: <u>\$20,000.0</u>	0 (describe	rate agreemer	nt)		
	Budge	t code: 050-81	50-0-5815-00-00	00-8100-	000000-450-	8130	
5.	BUDGET	CODE:	<b></b>		·		
	FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
							MANENTOSY
6.			na <b>y</b> be terminated by Al tely by written mutual co		sole discretion, upo	n 30-day advance writ	tten notice thereof to the

- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



### Service Proposal

Date: Thursday, August 20, 2020

#### Reference #: PM20-QN0407 - Refrigeration PM

SUBMITTED TO:
---------------

Client: Alum Rock School District

Tenant: Alum Rock School District

Contact Name: Carlos Hernandez Billing Address: 2930 Gay Ave

San Jose, CA 95127

Service Address: 2930 Gay Ave

**Onsite Contact:** Carlos Hernandez

**SERVICE LOCATION:** 

San Jose, CA 95127

#### **FACILITY STATUS:**

Standard

#### **SCOPE OF WORK / DIAGNOSIS:**

United Mechanical proposes to perform quarterly operational maintenance on the following refrigeration equipment and annual condenser/evaporator coil cleaning.

\$7,688.00 Annually (\$1,922.00 per quarter)

- Kramer warehouse condenser - M#CTT2P1000L44, S#H9477421-011

- Kramer warehouse fan Coil - M#TV-55OD, S#H94 77421-021

- Kramer walk in freezer condenser - M#SC1-1/2\*\*12XS-C130, S#E28079

- Kramer walk in freezer fan coil - M#TBA, S# TBA

Masterbilt walk in freezer condenser - M#MSLD060AC, S#267980

- Standex walk in fan coil - M#TBA, S# TBA

#### **ADDITIONAL INFORMATION:**

Unless otherwise stipulated herein, our pricing is based on all work taking place during normal business hours, and excludes permits, fees, engineering, or original service call costs. If needed, additional quote to follow.

The scope of worl	c described is	offered for	r the lump sum	price of:
-------------------	----------------	-------------	----------------	-----------

\$7,688

By accepting this proposal, the purchaser agrees to the attached terms and conditions. This quotation will be valid for a period of 30 days and payment is due 30 days from invoicing. If payment is not received interest will accrue at the rate of 1.5% per month. If an action is brought for collection the prevailing party shall be entitled to attorney's fees.

WARNING: Contractors are required by law to be licensed by the Contractor's State License Board which has jurisdiction to investigate complaints against Contractors if a complaint is filed within three years of the date of the alleged violation. Any questions concerning a Contractor may be referred to the Registrar, Contractor's State License Board, P.O. Box 26000, Sacramento, CA 95826 [California Business and Professional Code 7030(a)]

UMI Rep: Quoc Nguyen

Client:

Title: Account Manager

Date: 08/20/20

Title:

Date:



#### SERVICE RATES & INFORMATION

Date: 4/10/2020 Gloria Fernandez Alum Rock School District 2930 Gay Avenue San Jose, CA 95127

Thank you for considering United Mechanical Inc. to provide HVAC & Plumbing Services for your facility. We appreciate the opportunity and your business. Therefore, for the 2020/2021 Year, we are happy to provide you with the following UMI Service hours, labor rates, response times and office contacts:

#### Service office and field hours:

Monday- Friday: 7:00AM to 4:30PM.

#### Service Labor Rates:

Hourly Rate:	\$155.00
Overtime Rate:	\$232.50
Holiday Rate:	\$310.00
Emergency Service Rate:	\$232.50
Truck Charge Rate:	\$60.00

#### **Response Times for after hours:**

We guarantee that if Alum Rock School District calls during over time or after hours, that UMI will be onsite within 2-3 hours of that received phone call. When the call is placed to our 24/7 line, you should receive a call back within 30 minutes of that call.

#### Office Contacts/247 Service Line:

*Quoc Nguyen (Account Manager)* - Phone: 408.712.1179 Email: qnguyen@umi1.com 24/7 Service Line- Phone: 408.228.1913 Email serviceContracts@umi1.com

I hope this meets with your approval. Please do not hesitate to call if you have any questions.

Sincerely,

Aue Ngun

Quoc Nguyen United Mechanical, Inc.



#### **Request for Contracted Services**

То:	BUSINESS OFFICE	Contract No.			Vendor	No.'	,	
Spec address	ial Education (Sc s is 2930 Gay Avenue, San Jose	hool/Dept) and the Alu , CA 95127, and the fo	ım Ro Mowing	k Union Elemen	tary Schoo or wishes to	ol Distric plenter a	t (ARU	ESD), whose
	MOU (negotiated Agree     Exhibit B & C (Fingerprin	,		MASTER CON			ATION	
Note:	All Contracts over \$5,000 require	pre-approval.						
* Use /	independent Contractor Agreeme	ent (PUR-116) for uninco	prporate	ad individuals or ir	the absen	t of nega	otiated	agreement
Name o	of Individual/Company: Beaco	n School						
	s: 5670 Camden Ave.		City:	San Jose	State:	Ca	Zip:	95124
	()							
CONTR	ACT TERM: start dates July	1, 2020		end date	une 30,	2021	*****	
	ACTOR'S OBLIGATION tion of services to be provided: (	Please attach proposals,	scope c	f work, and other do	cumentation	1.)		
instru	on School is a certified, action & behavior support to the severity of their dis	t services to autis	stic or	severe beha	viorally o	lisorde	ered s	
provisio for payr	<b>INSATION:</b> In consideration of ns expressed herein, ARUESD s nent (Invoice) which shall be subi ndered, and upon approval of su	shall pay Contractor, up mitted not later than 30	pon Co ) days	ntractor's submise from the end of th	sion of a pr e month in	operly d	ocumei	nted demand
х	a. Fee Rate: \$251.00	<sub>per</sub> day		Not to Exceed	185/197	<sup>7</sup> days	of se	ervices
х	b. Other: \$2,900 + 95,1	29 = 98,029						
Describ	e other related costs: Speech	Therapy						
	T CODE: 080-6500-0-5100							
	1120-000000-38			Accountant Initials				
APPRO	VALS			Accountant Initials				
ALU	JM ROCK UNION ELEMENTAR		r:   /			I		
Site	/Department Administrator:	30-	- [ ]	1.5	Date:	8/13	3/2	.D
	ector of Fiscal Services:				Date:	1	1	
Ass	t. Supt., of Business Services:		/		Date:			
Sup	erintendent:				Date			



## **MASTER CONTRACT**

GENERAL AGREEMENT FOR NONSECTARIAN, NONPUBLIC SCHOOL AND AGENCY SERVICES

	LEA	ALUM ROCK UNION SCHOOL DISTRICT	
	Contract Year	2020-2021	
	Nonpublic School	BEACON SCHOOL	
	Nonpublic Agency		
Type of Contract:			
X		fiscal year with Individual Service a to the term of this contract.	Agreements (ISA) to be
		Contract for a specific student incor (ISA) into the terms of this Individual	· •

Interim Contract: an extension of the previous fiscal years approved contracts and rates. The sole purpose of this Interim Contract is to provide for ongoing funding at the prior year's rates for 90 days at the sole discretion of the LEA. Expiration Date:

When this section is included as part of any Master Contract, the changes specified above shall amend Section 2 - Term of Master Contract.



NUM INNER DI PROVINCIA ANNO 1111 ANNO 11



#### SANTA CLARA COUNTY SELPAS/ DISTRICT MASTER CONTRACT GENERAL AGREEMENT FOR NONSECTARIAN, NONPUBLIC SCHOOL/AGENCY SERVICES

2020-2021

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#### SANTA CLARA COUNTY SELPAS MASTER CONTRACT FOR NONPUBLIC, NONSECTARIAN SCHOOL/AGENCY SERVICES 2020-2021

THIS MASTER CONTRACT (contract) is made and entered into this <u>Seventh</u> day of <u>August</u> 2020, between the <u>Alum Rock Union School District</u>, County of Santa Clara, hereinafter referred to as the "LEA" and <u>Beacon School</u>, hereinafter referred to as "CONTRACTOR" for the purpose of providing special education and/or related services to individuals with disabilities under the authorization of Education Code Sections 56157, and 56365-56366.7. It is understood that this Contract does not commit LEA to payment for special education and related services provided to any individual unless and until an Individual Service Agreement (ISA) for Nonpublic, Nonsectarian School/Agency (NPS/NPA) Services is executed between LEA and CONTRACTOR on behalf of such individual or interim telephone approval is given to the CONTRACTOR by a representative of LEA.

#### WITNESSETH:

Whereas, LEA has determined that the need for such services exists;

Whereas, CONTRACTOR is a nonpublic nonsectarian school or agency holding all required certificates and licenses; and

Whereas, that CONTRACTOR is capable of and willing to provide such services.

A current copy of California Department of Education NPS/NPA certification is attached. If certification expires during Contract period, CONTRACTOR must provide an updated copy.

In consideration of mutual promises contained herein, it is mutually agreed between the parties as follows:

#### I. GENERAL PROVISIONS

#### 1. <u>INDIVIDUAL SERVICE AGREEMENT FOR NONPUBLIC, NONSECTARIAN</u> <u>SCHOOL/AGENCY SERVICES</u>

An Individual Services Agreement (ISA) for Nonpublic, Nonsectarian School/Agency (NPS/NPA) Services shall be a part of this Master Contract, which CONTRACTOR will be required to execute with LEA with respect to each pupil for whom CONTRACTOR is to provide special education and/or designated instruction and services. Each pupil's Individual Services Agreement (ISA) shall identify the provider of each service required by the pupil's IEP/IFSP (CCR 3062(e)). Individual Services Agreements shall only be issued for those pupils enrolled with the approval of the LEA. CONTRACTOR is responsible for providing those services, which it has agreed to provide for each individual pupil in that pupil's ISA, unless mutually agreed upon otherwise. Individual Services Agreements are null and void upon termination of the Master Contract.

The Individual Services Agreement may be terminated by the LEA or the CONTRACTOR for cause, as set forth in EC section 56366 (a) (4), with twenty (20) days written notice. The ISA may be terminated without advance notice if both parties agree to do so in writing.

#### 2. <u>TERM</u>

The term of this Master Contract shall be from July 1, 2020 to June 30, 2021 (Title 5 California Code of Regulations section 3062(a) unless otherwise stated. Neither the CONTRACTOR nor the LEA is required to renew this Master Contract in subsequent contract years. However, the parties acknowledge that any subsequent Master Contract is to be renegotiated prior to June 30, 2020. In the event a Master Contract is not renegotiated by June 30<sup>th</sup>, an interim contract may be made available as mutually agreed upon for up to 90 days from July 1 of the new fiscal year. (Title 5 California Code of Regulations section 3062(d).)

#### 3. MODIFICATIONS AND AMENDMENTS

This Contract may be modified or amended by a written document, which complies with legal mandates, executed by CONTRACTOR and LEA. If certification expires during Master Contract period, CONTRACTOR must provide an updated copy or a copy of a waiver of such certification issued by the CDE. This Master Contract will be null and void if such certification or waiver is expired and not renewed or waived, or if such certification is revoked, rescinded, or otherwise nullified during the effective period of this Master Contract. The CONTRACTOR agrees to apply the same rate for the term of the contract unless mutually agreed otherwise in writing by LEA and contractor. Changes in the administrative or financial provisions of the Contract which do not alter the educational services or placement may be made at any time during the term of the Contract, as mutually agreed in writing by CONTRACTOR and LEA.

#### 4. COMPLIANCE WITH LAWS

During the term of this Contract, CONTRACTOR shall comply with all applicable federal, state, CDE, LEA and other local statutes, laws, ordinances, and regulations including, but not limited to, those relating to the required special education services, facilities for individuals with disabilities, student enrollment and transfer, corporal punishment, pupil discipline, and positive behavior interventions. The CONTRACTOR shall also fully cooperate with any due process or complaint investigations.

#### 5. DEFINITIONS AND ACRONYMS

The following acronyms and definitions shall apply for the purpose of the contract:

- BIP (Behavior Intervention Plan)
- CONTRACT (Master Contract)
- CONTRACTOR (The term "CONTRACTOR" means a nonpublic, nonsectarian school/agency certified by the California Department of Education and its officers, agents and employees.)
- CDE (California Department of Education)
- CDOJ (California Department of Justice)
- DAYS (Calendar days, unless otherwise specified)
- DIS (Designated Instruction Service) also known as "Related Services"
- FAPE (Free Appropriate Public Education)
- FERPA (Family Educational Rights and Privacy Act of 1974)
- IEP/IFSP (Individualized Education Program) / (Individual Family Services Plan)
- ISA (Individual Service Agreement)
- ITP (Individual Transition Plan)
- IMMEDIATE (within 24 Hours)
- LEA (Local Education Agency)
- LEA Representative (The term "LEA representative" means a LEA administrator designated to be responsible for nonpublic school/agencies. It is understood, a representative of the Special Education Local Plan Area

(SELPA) of which the LEA is a member is an authorized LEA representative in collaboration with the LEA. The LEA maintains sole responsibility for the contract, unless otherwise specified in the contract.)

- LICENSE (The term "license" means a valid non-expired document issued by a licensing agency within the Department of Consumer Affairs or other state licensing office authorized to grant licenses and authorizing the bearer of the document to provide certain professional services or refer to themselves using a specified professional title including but not limited to mental health and board and care services at a residential placement. If a license is not available through an appropriate state licensing agency, a certificate of registration with the appropriate professional organization at the national or state level which has standards established for the certificate that are equivalent to a license shall be deemed to be a license as defined in Title 5 of the California Code of Regulations section 3001(1).)
- CREDENTIAL (The term "credential" means a valid credential, life diploma, permit, or document in special education or pupil personnel services issued by, or under the jurisdiction of, the State Board of Education if issued prior to 1970 or the California Commission on Teacher Credentialing, which entitles the holder thereof to perform services for which certification qualifications are required as defined in Title 5 of the California Code of Regulations section 3001(g).
- LRE (Least Restrictive Environment)
- NOTIFICATION (Within fourteen (14) calendar days, unless otherwise specified)
- NPA: Nonpublic agency as defined in EC 56035
- NPS: Nonpublic school as defined in EC 56034
- OAH (Office of Administrative Hearings)
- PARENT ("Parent" means:
- i. a biological or adoptive parent; unless the biological or adoptive parent does not have legal authority to make educational decisions for the child,
- ii. a guardian generally authorized to act as the child's parent or authorized to make educational decisions for the child,
- iii. an individual acting in the place of a biological or adoptive parent, including a grandparent, stepparent, or other relative with whom the child lives, or an individual who is legally responsible for the child's welfare,
- iv. a surrogate parent,
- v. a foster parent if the authority of the biological or adoptive parent to make educational decisions on the child's behalf has been specifically limited by court order in accordance with Code of Federal Regulations 300.30(b)(1) or (2).

Parent does not include the state or any political subdivision of government or the nonpublic school or agency under contract with the LEA for the provision of special education or designated instruction and services for a child. (California Education Code section 56028).

• Qualified (The term "qualified" means that a person holds a certificate, permit or other document equivalent to that which staff in a public school are required to hold to provide special education and designated instruction and services and has met federal and state certification, licensing, registration, or other comparable requirements which apply to the area in which he or she is providing special education or related services, including those requirements set forth in Title 34 of the Code of Federal Regulations sections 200.56 and 200.58, and those requirements set forth in Title 5 of the California Code of Regulations Sections 3064 and 3065, and adheres to the standards of professional practice established in federal and state law or regulation, including the standards contained in the California Business and Professions Code.

Nothing in this definition shall be construed as restricting the activities in services of a graduate needing direct hours leading to licensure, or of a student teacher or intern leading to a graduate degree at an accredited or approved college or university, as authorized by state laws or regulations. (Title 5 of the California Code of Regulations Section 3001 (r)).)

- SELPA (Special Education Local Plan Area)
- SELPA AU (Special Education Local Plan Area Administrative Unit)
- SubCONTRACTOR (Any individual contracted to provide direct service to student)
- SPI (Superintendent of Public Instruction)

#### 6. NO DISCRIMINATION

CONTRACTOR shall not, in employment or operation of its programs, unlawfully discriminate on the basis of gender, nationality, national origin, ancestry, race, color, ethnicity, ethnic group affiliation, religion, age, marital status, pregnancy or parental status, sex, sexual orientation, gender, gender identity or expression, physical or mental disability, genetic information or any other classification protected by federal or state law or the perception of one or more of such characteristics or association with a person or group with one or more of these actual or perceived characteristics.

#### 7. GOVERNING LAW

The terms and conditions of the Contract shall be governed by the laws of the State of California with venue in Santa Clara County, California.

#### **II. ADMINISTRATION OF MASTER CONTRACT**

#### 8. <u>NOTICES</u>

All notices involving 1) revocation of CONTRACTOR's certification, 2) Master Contract disputes, 3) changes of LEA pupil's residence outside of current LEA attendance area, 4) termination of ISA, 5) termination of Master Contract, 6) notification of cancellation or material change in CONTRACTOR's or LEA's insurance coverage, and 7) LEA's reasonable objections to a subCONTRACTORs liability insurance policy, are required to be given to the LEA and/or CONTRACTOR in writing and may be delivered in person or by certified or registered mail, postage prepaid or by other delivery services. Notices to LEA shall be addressed to *Alum Rock Union School District, 2930 Gay Avenue, San Jose, CA 95127. insert district person's name and address)*. Notices to CONTRACTOR shall be addressed to CONTRACTOR's address. *(insert CONTRACTOR's name and address)* <u>Beacon School, 5670 Camden Ave., San Jose, CA 95124.</u> If mailed, notice shall be effective as of the date of postmark on receipt by addressee. If delivered by hand, the effective date shall be the date of receipt of addressee.

#### 9. MASTER CONTRACT DISPUTES

Any disputes or disagreements between CONTRACTOR and LEA regarding implementation or interpretation of this Master Contract, or otherwise relating to this Master Contract, that are not informally voluntarily resolved shall be addressed and/or resolved as set forth in this section of the Master Contract. This provision in this section of the Master Contract, shall apply to all disputes and disagreements related to events that occur and/or injuries that are incurred and/or commence during the term of this Master Contract, even if the party claiming injury first discovers the events and/or injuries giving rise to the disagreement or dispute or first notifies the other party of the disagreement or dispute, after expiration of this Master Contract. For purposes of this section of the Master Contract, the term "injury" shall include monetary and/or non-monetary injuries.

<u>Step One</u>: The party claiming injury as a result of the facts underlying the dispute or disagreement shall first attempt to resolve the dispute directly between senior level representatives of the parties. If CONTRACTOR is the party claiming injury, CONTRACTOR shall notify the LEA's Special Education Director or other LEA employee known or reasonably believed to be responsible for LEA's special education program, of the existence of a disagreement or dispute and attempt to resolve the matter informally.

<u>Step Two:</u> If the dispute or disagreement is not resolved at Step One, the party claiming injury as a result of the facts underlying the dispute or disagreement shall notify the Director of the SELPA of which the LEA is a member and request that the SELPA Director participate in attempting to resolve the matter informally.

<u>Step Three</u>: If the dispute or disagreement is not resolved at Step Two, the party claiming injury, if it wishes to pursue resolution of the dispute or disagreement, shall notify the other party in writing that it wishes to proceed to Step Three. The notice shall include: (a) written statement of applicable facts supporting its position; (b) all applicable documents; (c) a proposed remedy to resolve the dispute; (d) the time and date during the regular or extended school year at which a Step Three meeting will be held, which date shall be no less than fifteen and no more than forty-five days after the date of the notice; (e) a location in, Santa Clara County at which the Step Three meeting will be held; (f) a statement that the LEA shall invite a representative from a SELPA different from the SELPA that LEA belongs to and CONTRACTOR shall invite a representative from a different nonpublic school, to attend. The date, time or location of the meeting may be changed by written agreement of the parties, including but not limited to a date earlier than fifteen days or later than forty-five days after the date of the notice.

At the Step Three meeting, LEA and CONTRACTOR shall be given the opportunity to present all applicable facts and documents to the invited representatives, who will be asked to provide a suggested resolution of the dispute or disagreement, that is both equitable and consistent with applicable law and which may include a recommendation for one or more additional meetings, at which the representatives will attempt to mediate a resolution to the dispute or disagreement

<u>Step Four:</u> If the dispute or disagreement is not resolved at Step Three, the party claiming injury may seek legal and/or equitable redress in a court of competent jurisdiction, or if mutually agreed to in writing by the parties, may submit the matter to the binding arbitration by an arbitrator or arbitration service to be agreed to by the parties.

#### 10. SUBCONTRACTS AND ASSIGNMENT

- A. CONTRACTOR shall have available a list to LEA of subcontracts for any of the related services contemplated, including transportation, under this Contract.
- B. SubContracts for the provision of special education and related services may be entered into only with NPS/NPA's certified by the California Department of Education (CDE) except for an individual providing speech and language therapy, occupational therapy, or psychological or educational assessment who has a current license issued by the applicable licensing authority for those services provided.
- C. CONTRACTOR agrees that any subCONTRACTORs providing educational instruction or services including transportation shall keep in effect an appropriate policy of liability insurance as mutually agreed by CONTRACTOR and LEA. CONTRACTOR agrees that subCONTRACTORs providing transportation shall keep in effect a liability insurance policy providing at least \$1,000,000.00 coverage. CONTRACTOR shall ensure that such subCONTRACTOR shall require the subcontractor's insurance provider to send written notice of cancellation to LEA at least twenty (20) days prior to cancellation or material change in coverage. Proof of insurance shall be provided to LEA prior to the beginning of transportation services by a subCONTRACTOR and upon renewal of coverage thereafter. Transportation subCONTRACTORs shall submit copies of insurance policies upon request of LEA; otherwise, certificates of insurance may be acceptable proof, provided that the information thereon is adequate and verifiable.

#### 11. INDEPENDENT CONTRACTOR STATUS

This Contract is by and between two independent entities and is not intended to and shall not be constructed to create the relationship of agent, servant, employee, partnership, joint venture or association.

#### 12. CONFLICTS OF INTEREST

CONTRACTOR shall provide to SELPA a copy of its current bylaws and a current list of its Board of Directors (or Trustees), if it is incorporated. CONTRACTOR and any member of its Board of Directors (or Trustees) shall disclose any relationship with LEA that constitutes or may constitute a conflict of interest pursuant to California Education Code section 56042 and including, but not limited to, employment with LEA, provision of private party assessments and/or reports, and attendance at IEP team meetings acting as a student's advocate. Pursuant to California Education code section 56042, an attorney or advocate for a parent of an individual with exceptional needs shall not request or recommend placement at CONTRACTOR's facility if the attorney or advocate is employed or contracted by the CONTRACTOR, or will receive a benefit from the CONTRACTOR, or otherwise has a conflict of interest. The CONTRACTOR shall have in place a policy to ensure that employees inform CONTRACTOR of any dual relationship with parents of students who are clients of LEA/CONTRACTOR. CONTRACTOR shall inform LEA of parents who hire employees of CONTRACTOR to provide independent services for parents on behalf of their students outside business hours. The LEA may find that this type of dual relationship is a conflict of interest and may request that any employee of the CONTRACTOR who engages in a dual relationship be reassigned from providing support services to the student during school hours.

Unless CONTRACTOR and LEA otherwise agree in writing, LEA shall neither execute an ISA with CONTRACTOR nor amend an existing ISA for a student when a recommendation for special education and/or related services is based in whole or in part on assessment(s) or reports provided by CONTRACTOR to the student without prior written authorization by LEA. This paragraph shall apply to CONTRACTOR regardless of when an assessment is performed or a report is prepared (i.e. before or after the student is enrolled in CONTRACTOR's school/agency) or whether an assessment of the student is performed or a report is prepared in the normal course of the services provided to the student by CONTRACTOR. To avoid conflict of interest, and in order to ensure the appropriateness of an Independent Educational Evaluation (hereinafter referred to as "IEE") and its recommendations, the LEA may, in its discretion, not fund an IEE by an evaluator who provides ongoing service(s) or is sought to provide service(s) to the student for whom the IEE is requested. Likewise, the LEA may, in its discretion, not fund an IEE the LEA agrees to fund. When no other appropriate assessor is available, LEA may request and if CONTRACTOR agrees, the CONTRACTOR may provide an IEE.

#### 13. TERMINATION

- A. This Contract may be terminated for cause by LEA or CONTRACTOR at any time. To terminate this Contract either party shall give 20-calendar days written notice prior to the date of the termination (EC 56366 (a) (4)). Upon termination without default of CONTRACTOR, LEA shall make payment, without duplication, for all services satisfactorily performed and verified expenses incurred to date of termination. Expenses shall be itemized for review and approval by LEA.
- B. In consideration of this payment, CONTRACTOR waives all right to any further payment of damage, and shall turn over to LEA everything pertaining to its services hereunder, possessed by CONTRACTOR or under its control at the time of termination.
- C. Individual Service Agreements (ISA's) for NPS/NPA services may be terminated without advance notice if both parties agree to do so in writing.
- D. LEA shall not terminate this contract or Individual Service Agreements for Nonpublic, Nonsectarian School/Agency Services because of the availability of a public class initiated during the course of the contract unless the parent agrees to the transfer of a pupil to a public school program through the IEP process. (EC 56366 (a) (4))
- E. Continued enrollment and provision of a free appropriate public education ("FAPE") to a student currently attending NPS and/or receiving services from a NPA shall not be terminated when stay put is ordered by OAH under California Education Code § 56505.

#### 14. INDEMNIFICATION

CONTRACTOR shall defend, hold harmless and indemnify LEA and its governing board, officers, administrators, agents, employees, independent contractors, subCONTRACTORs, consultants, and other representatives, from all liabilities and claims for damage for death, sickness, or injury to any person(s) or damage to any property, including reasonable attorney's fees and costs without limitation all consequential damages, from any cause whatsoever arising from or connected with its service hereunder, whether or not resulting from the negligence of CONTRACTOR, its agents or employees. CONTRACTOR shall save harmless and indemnify and defend LEA and its governing board, officers, agents and employees from all damages of every nature and description proximately caused by negligent or willful acts or omissions by CONTRACTOR, its agents or employees in the course of rendering service(s) under this Agreement.

LEA shall defend, hold harmless and indemnify CONTRACTOR and its governing board, officers, administrators, agents and employees from all liabilities and claims for damage for death, sickness, or injury to any person(s) or damage to any property, including, without limitation all consequential damages, from any cause whatsoever arising from or connected with its service hereunder, whether or not resulting from the negligence of LEA, its agents or employees. LEA shall save harmless and indemnify and defend-CONTRACTOR and its governing board, officers, administrators, agents and employees from all damages of every nature and description proximately caused by negligent or willful acts of omissions by LEA, its agents or employees in the course of rendering service(s) under Contract.

#### 15. INSURANCE

CONTRACTOR shall, at his, her, or its sole cost and expense, maintain in full force and effect, during the term of this Agreement, the following insurance coverage from a California licensed and/or admitted insurer with an A minus (A-), VII, or better rating from A.M. Best, sufficient to cover any claims, damages, liabilities, costs and expenses (including counsel fees) arising out of or in connection with CONTRACTOR'S fulfillment of any of its obligations under this Agreement or either party's use of the Work or any component or part thereof:

- A. Commercial General Liability Insurance, including both bodily injury and property damage, with limits as follows:
  - \$ 2,000,000 per occurrence
  - \$ 500,000 fire damage
  - \$ 5,000 medical expenses
  - \$ 1,000,000 personal & adv. injury
  - \$ 3,000,000 general aggregate
  - \$ 2,000,000 products/completed operations aggregate
- B. Business Auto Liability Insurance for all owned scheduled, non-owned or hired automobiles with a \$1 million combined single limit.

If no owned automobiles, then only hired and non-owned is required.

If CONTRACTOR uses a vehicle to travel to/from school sites, between schools and/or to/from students' homes or other locations as an approved service location by the LEA, CONTRACTOR must comply with State of California auto insurance requirements.

C. Workers' Compensation and Employers Liability Insurance in a form and amount covering CONTRACTOR'S full liability under the California Workers' Compensation Insurance and Safety Act and in accordance with applicable state and federal laws.

Part A – Statutory Limits

Part B - \$1,000,000/\$1,000,000/\$1,000,000 Employers Liability

D. Errors & Omissions (E & O)/Malpractice (Professional Liability) coverage, including Sexual Molestation and Abuse coverage, unless that coverage is afforded elsewhere in the Commercial General Liability policy by endorsement or separate policy, with the following limits:

\$ 1,000,000 per occurrence

\$ 2,000,000 general aggregate

- E. CONTRACTOR, upon execution of this Master Contract and periodically thereafter upon request, shall furnish the LEA with certificates of insurance evidencing such coverage. The certificate of insurance shall include a ten (10) day non-renewal notice provision. The Commercial General Liability and Automobile Liability policy shall name the LEA and the District's Board of Education as additional insured's premiums on all insurance policies shall be paid by CONTRACTOR and shall be deemed included in CONTRACTOR's obligations under this contract at no additional charge.
- F. Any deductibles or self-insured retentions above \$100,000 must be declared to and approved by the LEA. At its option, the LEA may require the CONTRACTOR, at the CONTRACTOR's sole cost, to: (a) cause its insurer to reduce to levels specified by the LEA or eliminate such deductibles or self-insured retentions with respect to the LEA, its officials and employees, or (b) procure a bond guaranteeing payment of losses and related investigation.
- G. For any claims related to the services, the CONTRACTOR's insurance coverage shall be primary insurance as respects the LEA, its subsidiaries, officials and employees. Any insurance or self-insurance maintained by the LEA, its subsidiaries, officials and employees shall be excess of the CONTRACTOR's insurance and shall not contribute with it.
- H. All Certificates of Insurance shall reference the contract number, name of the school or agency submitting the certificate, and the location of the school or agency submitting the certificate on the certificate.

If the LEA or CONTRACTOR determines that changes in insurance coverage obligations under this section is necessary, either party may reopen negotiations to modify the insurance obligations.

## INSURANCE REQUIREMENTS FOR NONPUBLIC SCHOOLS AFFILIATED WITH RESIDENTIAL TREATMENT FACILITY ("RTC")

When CONTRACTOR is a nonpublic school affiliated with a residential treatment center (NPS/RTC), the following insurance policies are required:

- A. Commercial General Liability coverage of \$3,000,000 per Occurrence and \$6,000,000 in General Aggregate. The policy shall be endorsed to name the LEA and the Board of Education as named additional insured and shall provide specifically that any insurance carried by the LEA which may be applicable to any claims or loss shall be deemed excess and the RTC's insurance primary despite any conflicting provisions in the RTC's policy. Coverage shall be maintained with no Self-Insured Retention above \$100,000 without the prior written approval of the LEA.
- B. Workers' Compensation Insurance in accordance with provisions of the California Labor Code adequate to protect the RTC from claims that may arise from its operations pursuant to the Workers' Compensation Act (Statutory Coverage). The Workers' Compensation Insurance coverage must also include Employers Liability coverage with limits of \$1,000,000/\$1,000,000/\$1,000,000.
- C. Commercial Auto Liability coverage with limits of \$1,000,000 Combined Single Limit per Occurrence if the RTC does not operate a student bus service. If the RTC provides student bus services, the required coverage limit is \$5,000,000 Combined Single Limit per Occurrence.

- D. Fidelity Bond or Crime Coverage shall be maintained by the RTC to cover all employees who process or otherwise have responsibility for RTC funds, supplies, equipment or other assets. Minimum amount of coverage shall be \$250,000 per occurrence, with no self-insured retention.
- E. Professional Liability/Errors & Omissions/Malpractice coverage with minimum limits of \$3,000,000 per occurrence and \$6,000,000 general aggregate.
- F. Sexual Molestation and Abuse Coverage, unless that coverage is afforded elsewhere in the Commercial General Liability or Professional liability policy by endorsement, with minimum limits of \$3,000,000 per occurrence and \$6,000,000 general aggregate.

If LEA or CONTRACTOR determines that a change in insurance coverage obligations under this section is necessary, either party may reopen negotiations to modify the insurance obligations.

#### 16. CHANGE OF RESIDENCE

CONTRACTOR shall notify parents in writing of their obligation to notify CONTRACTOR of changes in pupil's residence. CONTRACTOR shall notify LEA in writing of pupil and/or parent's change of residence within five (5) school days after CONTRACTOR becomes aware of said change.

If the student's new residence is located within an area outside of LEA's service boundaries and CONTRACTOR fails to follow the procedures specified in this provision, LEA shall not be responsible for the costs of services delivered after the student's change of residence if CONTRACTOR had knowledge of the student's change of residence.

CONTRACTOR shall notify LEA immediately (within 24 hours) when the CONTRACTOR becomes aware of an emergency change of placement (e.g. hospitalization, juvenile hall, shelter, etc.)

#### 17. LCI CONTRACTOR

If CONTRACTOR is also a licensed childcare institution (LCI), Contractor shall be licensed by the state, or other public agency having delegated authority by contract with the state to license, to provide nonmedical care to children, including, but not limited to, individuals with exceptional needs. The LCI must also comply with all licensing requirements relevant to the protection of the child, and have a special permit, if necessary, to meet the needs of each child so placed. If the Contractor operates a program outside of the state, Contractor must obtain all required licenses from the appropriate licensing agency in both California and in the state where the LCI is located. CONTRACTOR shall adhere to the legal requirement regarding educational placements for LCI pupils as stated in Education Code Section 56366.9. A LCI shall not require that a pupil be placed in its NPS, or receive NPA services from the LCI provider as a condition of being placed in its residential facility. In providing appropriate programs to individuals with exceptional needs residing in LCIs or foster family homes, the LEA shall first consider services in programs operated by public agencies. If those programs are not appropriate, special education and related services shall be provided by contract with an NPS.

The LEA which placed a student living in an LCI or foster family home in an NPS shall conduct an annual evaluation, as part of IEP process, to determine whether the placement is in the least restrictive environment (LRE). The CONTRACTOR shall report to the LEA that made the placement, on a quarterly or trimester basis, as appropriate, the educational progress demonstrated by the pupil toward the attainment of goals and objectives specified in the IEP.

The LEA is not responsible for the costs associated with NPS placements and related services until the date on which an IEP/IFSP meeting is convened pursuant to law during which the IEP/IFSP team determines that a NPS placement and related services are appropriate, and the IEP/IFSP is signed by all necessary parties, including the LEA pupil's parent or another adult with educational decision-making rights.

Any educational funds received from an LEA for the educational costs of pupils placed in an NPS shall be used solely for those purposes and not for the costs of the residential programs.

#### 18. CERTIFICATION AND WAIVER

When a CONTRACTOR seeks renewal of certification, the CONTRACTOR shall provide the LEA, in which the applicant is located, written notification of its intent to seek renewal.

CONTRACTOR shall be certified by the California Department of Education (hereinafter referred to as "CDE") as a nonpublic, nonsectarian school/agency. All nonpublic school and nonpublic agency services shall be provided consistent with the area of certification specified by CDE Certification and as defined in California Education Code, section 56366 et seq. and within the professional scope of practice of each provider's license, certification and/or credential. A current copy of CONTRACTOR's nonpublic school/agency certification or a waiver of such certification issued by the CDE pursuant to Education Code section 56366.2 must be provided to LEA on or before the date this contract is executed by CONTRACTOR. This Master Contract shall be null and void if such certification or waiver is expired, revoked, rescinded, or otherwise nullified during the effective period of this Master Contract. Total student enrollment shall be limited to capacity as stated on CDE certification.

In addition to meeting the certification requirements of the State of California, Contractor that operates a program outside of this state shall be certified or licensed by that state to provide, respectively, special education and related services to pupils under the federal Individuals with Disabilities Act (20 U.S.C. Sec. 1400 et seq.).

#### **19. FACILITIES MODIFICATION**

CONTRACTOR shall provide special education and/or related services to students in facilities that comply with all applicable federal, state, and local laws, regulations, and ordinances related, but not limited to: disability access; fire, health, sanitation, and building standards and safety; fire warning systems; zoning permits; and occupancy capacity. When CONTRACTOR is a nonpublic school, CONTRACTOR shall conduct fire drills as required by Title 5 California Code of Regulations, Section 550, and California Education Code, section 32001. CONTRACTOR shall be responsible for any structural changes and/or modifications to CONTRACTOR's facilities as required complying with applicable federal, state, and local laws, regulations, and ordinances.

#### 20. <u>RENEWAL</u>

LEA/CONTRACTOR may, but is not required to, renew this Master Contract in subsequent Contract years.

#### 21. ENTIRE AGREEMENT

This Contract and any exhibits or attachments hereto constitute the entire Contract between LEA and CONTRACTOR and supersedes any prior or contemporancous understanding or contract with respect to the services contemplated. This Contract binds the heirs, successors, assignces, agents, and representatives of CONTRACTOR.

#### 22. <u>SEVERABILITY CLAUSE</u>

If any provision of this Contract is held in whole or in part to be unenforceable for any reason, the remainder of that provision and of the entire Contract shall be severable and remain in effect.

#### 23. AUTHORIZED REPRESENTATIVE

Authorization to enter into this agreement on behalf of the LEA is strictly limited to the LEA Governing Board/Superintendent/designee as the sole individual who has the capacity to act as a representative on behalf of the school district.

# **III. EDUCATIONAL PROGRAMS**

# 24.FREE AND APPROPRIATE PUBLIC EDUCATION

Unless otherwise agreed to, in writing, by LEA and CONTRACTOR, CONTRACTOR shall not accept payment from a parent for, or provide any services outside of those identified in a particular pupil's ISA. This clause shall not apply to the ability of a CONTRACTOR to accept payment from parents for additional services funded solely by parents' private insurance, so long as LEA and CONTRACTOR agree in writing to this arrangement, and so long as CONTRACTOR ensures that parents' payments are being made from a private insurance source only. Further, this clause is not intended to affect a CONTRACTOR'S ability to accept private payment for a pupil not being served under an ISA by that CONTRACTOR.

Unless otherwise agreed to by LEA and CONTRACTOR, CONTRACTOR shall be responsible for the provision of all programmatic supplies, equipment, or facilities for a pupil as required by his or her IEP/IFSP. No charge of any kind to parents shall be made by CONTRACTOR for special education and/or related services specified on the student's IEP/IFSP, including screening or interviews which occur prior to or as a condition of the student's enrollment under the terms of this Contract, except as specified in writing signed by all relevant parties and attached to the student's ISA. This provision does not apply to voluntary activities unnecessary to provide the student with a free appropriate public education conducted after written notification to parents as to the cost and the voluntary nature of the activity. Unless the activity takes place during a school vacation or holiday, students not participating in such activities shall continue to receive special education and/or related services as set forth in their IEP/IFSPs. CONTRACTOR shall guarantee that all charges to the LEA are consistent, justified, and based on standards applied to all students enrolled and attending the NPS/NPA.

If an individual's IEP/IFSP requires specialized low incidence equipment based on their qualifying disability, the specialized equipment and/or supplies shall be provided by the LEA unless otherwise specified in the ISA. The LEA shall provide the low incidence equipment and/or supplies identified in the pupil's IEP/IFSP, unless the CONTRACTOR specifically agrees in the ISA to provide them. Supplies and/or equipment purchased and/or provided by the SELPA/LEA remain the property of the SELPA/LEA, and supplies and/or equipment purchased by the CONTRACTOR remain the property of the CONTRACTOR.

If the IEP team determines that the individual with an IEP/IFSP requires Assistive Technology and/or Augmentative Alternative Communication (AT/AAC) devices, equipment and/or materials, the LEA shall provide such items unless the LEA and the NPS/NPA agree otherwise. AT and/or AAC devices, equipment and/or materials purchased by the LEA remain the property of the LEA.

In the event that a foster youth may be placed in a NPS, the contractor and LEA will work collaboratively with the LEA Foster Youth Liaison to ensure and facilitate proper school placement enrollment, transfer of credits, records, grades and checkout from school. (EC 48853.5 (e) (1) and AB490) Whenever a change in foster child's residence occurs, the foster child has a right to remain in the school of origin to the end of the highest grade maintained at that school. Decisions regarding placement are IEP decisions which should include participation of LEA Foster Youth Liaison as appropriate.

# 25. COPY OF IEP/IFSP AND PROGRAM OF INSTRUCTION

Upon referral of a pupil to CONTRACTOR the LEA shall provide CONTRACTOR with a copy of that pupil's IEP/IFSP, as well as available assessment information, and facilitate, if requested, an observation of the pupil. CONTRACTOR retains the right to decline enrollment of any pupil. CONTRACTOR shall notify the LEA of its decision to decline enrollment. CONTRACTOR will provide pupil a program of instruction that is consistent with each pupil's IEP/IFSP as specified in the ISA's for NPS/NPA. The general program of instruction provided to pupils under the ISA for NPS/NPA shall be responsive to LEA's required sequence of courses and related curriculum for pupils and be responsive to the IEP goals and objectives assigned by NPS/NPA. The program of instruction and related services will only be provided during the period of the pupil's regular or extended school year program, or both, and shall occur at the school site, unless otherwise specified by the pupil's IEP/IFSP. CONTRACTOR shall ensure that Individual Transition Plans (ITP's) are completed for all pupils at the age of 16 years and older. CONTRACTOR agrees to use SELPA IEP/IFSP/ITP forms and SELPA service logs.

#### 26. SERVICE/PROGRAM MONITORING

CONTRACTOR shall allow access by LEA to its facilities for periodic monitoring of each LEA student's instructional program and shall participate in the formal review of each student's progress. LEA shall have access to observe each LEA student at work, observe the instructional setting, interview CONTRACTOR, and review each LEA student's records and progress. Such access shall include unannounced monitoring visits. When making visits. LEA shall initially report to CONTRACTOR's site administrative office. site If CONTRACTOR is also a LCI (and/or NPS/RTC), the CDE shall annually evaluate whether CONTRACTOR is in compliance with Education Code section 56366.9 and Health and Safety Code section 1501.1(b).

CONTRACTOR shall participate in the LEA/CDE On-site and Self Review and if applicable, CDE-led district reviews. This review will address programmatic aspects of the Nonpublic School/Agency, compliance with relevant state and federal regulations, and Master Contract compliance.

#### 27. STUDENT DISCIPLINE/ SUSPENSION AND EXPULSION

Suspensions and expulsions of pupils by CONTRACTOR shall be consistent with the provisions of the California Education Code and California Code of Regulations (EC 48900 et seq. and 48915.5 et seq.) CONTRACTOR shall have a written policy regarding suspension and expulsion procedures. CONTRACTOR shall notify within five (5) days and provide written documentation to LEA each time a pupil is suspended, including the reason for said suspension. Written documentation shall include, but not be limited to, pupil's name, date, time, offense, rationale for disciplinary action taken, and an attached copy of all applicable Behavior Plans (i.e. goals, BIP's, etc.). CONTRACTOR agrees to contact LEA to schedule a manifestation determination at an IEP meeting no later than the 10th day of suspension. CONTRACTOR shall collaborate with LEA representatives at an IEP team meeting where the manifestation determination will be made.

# 28. GRADUATION REQUIREMENTS

If the pupil is enrolled in a NPS and is of secondary school age, LEA will list the course requirements to be satisfied by the CONTRACTOR leading toward graduation or completion of diploma requirements and specify levels of proficiency in basic skills as measured by LEA approved proficiency tests. Standardized tests including state mandated assessments shall be administered pursuant to LEA state and federal guidelines. For students in grades nine through twelve, inclusive, LEA will provide a current transcript and a specific list of courses required. At the close of each semester CONTRACTOR shall prepare transcripts and submit them to the pupil's district of residence for evaluation of progress toward completion of diploma requirements.

At the close of each semester, or upon student transfer for students in grades nine (9) through twelve (12), inclusive, CONTRACTOR shall update transcripts and submit them upon request to the student's school of residence. Six months prior to graduation, CONTRACTOR shall notify LEA of the transition to high school for graduation.

FOSTER YOUTH - AB 167 – Assembly Bill 167 refers to California legislation that amended Ed Code to exempt pupils in foster care from school district graduation requirements that exceed state graduation requirements if the pupil transfers to the district, or transfers from one high school to another within a district in the 11<sup>th</sup> or 12<sup>th</sup> grade if the pupil would not be reasonably able to complete the additional district requirements. AB 167 requires school districts to provide notice to foster youth exempted from additional district requirements if failure to satisfy such local requirements will affect the pupil's ability to gain admission to a postsecondary educational institution.

When a student exits from special education, as a result of carning a diploma, aging out or returning back to LEA, the CONTRACTOR shall provide to the LEA a summary of student's academic achievement and functional performance along with recommendations how to assist the student in meeting their post-secondary goals.

For purposes of this provision if the needs of the students are such that the IEP/IFSP team determines that a functional skills curriculum is most appropriate, the educational program shall be designed to be in alignment with the essential state standards and will result in the awarding of a certificate of completion either by the LEA or the NPS as determined by mutual agreement.

When CONTRACTOR is a nonpublic school, CONTRACTOR's general program of instruction shall: (a) be consistent with LEA's and CDE's standards regarding the particular course of study and curriculum; (b) include curriculum that addresses mathematics, literacy and the use of educational, assistive technology and transition services; and (c) provide the services as specified in the LEA student's IEP and ISA. LEA students shall have access to: (a) State Board of Education (SBE) - adopted standards-based, core curriculum and the same instructional materials for kindergarten and grades 1 to 8, inclusive; and provide standards –aligned core curriculum and instructional materials for grades 9 to 12, inclusive, used by a local education agency (LEA), that contracts with the nonpublic school; (b) college preparation courses; (c) extracurricular activities, such as art, sports, music and academic clubs; (d) career preparation and vocational training, consistent with transition plans that are in compliance with state and federal law and; (e) supplemental assistance, including individual academic tutoring, psychological counseling, and career and college counseling. CONTRACTOR's general program of instruction shall be described in writing and available to the SELPA prior to the effective date of this Master Contract.

#### 29. REASONABLE VISITATION

CONTRACTOR will provide for reasonable parental access to LEA pupils and all facilities including, but not limited to: the instructional setting, recreational activity areas, meeting rooms and LEA pupil living quarters, for those parents whose children reside in the living quarters. CONTRACTOR shall ensure that parental visits are in agreement with court order, if any.

CONTRACTORs operating programs with residential components shall cooperate with parents reasonable requests for student visits in their home during, but not limited to, holidays and weekends. If the CONTRACTOR has knowledge that permission is required for parental visits, CONTRACTOR shall require the parents to provide written authorization from the designated individual or agency authorized to grant permission for the parental visit.

# 30. WITHDRAWAL BY PARENT

CONTRACTOR shall immediately report by telephone to LEA if a pupil is withdrawn from school by the parent. CONTRACTOR shall confirm such telephone call in writing immediately or no later than five (5) school days.

#### 31. MEDICATIONS

Unless otherwise set forth in the student's ISP, CONTRACTOR shall comply with the requirements of California Education Code section 49423 when CONTRACTOR serves a LEA student that is required to take prescription and/or over-the-counter medication during the school day. CONTRACTOR may designate personnel to assist the LEA student with the administration of such medication after the LEA student's parent(s) provides to CONTRACTOR: (a) a written statement from a physician detailing the type, administration method, amount, and time schedules by which such medication shall be taken; and (b) a written statement from the LEA student's parent(s) granting CONTRACTOR permission to administer medication(s) as specified in the physician's statement. CONTRACTOR shall maintain, and provide to LEA upon request, copies of such written statements. CONTRACTOR shall maintain a written log for each LEA student to whom medication is administered. Such written log shall specify the student's name; the type of medication; the date, time, and amount of each administration; and the name of CONTRACTOR's employee who administered the medication. CONTRACTOR maintains full responsibility for assuring appropriate staff training in the administration of such medication consistent with physician's written orders. Any change in medication type, administration method, amount or schedule must be authorized by both a licensed physician and parent.

#### 32. ACCIDENT/INCIDENT REPORT

Contractor agrees to submit a written accident report to LEA within 24 hours of incident when a pupil has suffered an injury requiring medical attention provided by a medical practitioner resulting from physical restraint, injured another individual, is named as a suspected perpetrator or victim in an official sexual harassment complaint, or has been involved in an activity requiring notification of law enforcement or emergency personnel.

#### 33. STUDENT RECORDS

CONTRACTOR agrees to keep a current listing of names and positions of employees who have access to confidential records. All student records shall be kept in a secure location preventing access by unauthorized individuals. CONTRACTOR will maintain access log delineating date, time, agency, and identity of individual for any authorized person accessing student records whom is not in the direct employ of the CONTRACTOR. SubCONTRACTORs shall not be considered in the direct employ of the CONTRACTOR for the purposes of accessing student records. Nevertheless, pursuant to EC 49076(b)(6), CONTRACTOR may provide subCONTRACTORs access to pupil records when the subCONTRACTOR provides services within CONTRACTOR's educational institution and has a legitimate interest in the information contained in the pupil records. CONTRACTOR also agrees to comply with the parental right to request records and the parental right to inspect a student's file as defined in the federal law under Family Educational Rights and Privacy Act of 1974 ("FERPA") and the California Educational Code § 49000 et seq.

#### 34. ACCESS TO RECORDS

CONTRACTOR shall insure that records of access are maintained for individual files. These records shall include the name of the party, date, and purpose of access.

#### 35. PROGRESS REPORTS

Progress reports relating to goals and objectives in a pupil's IEP/IFSP and other data required for review shall be sent by CONTRACTOR to LEA and parent on a quarterly basis or trimester as appropriate. Additional reporting periods may be identified by the IEP/IFSP team. Upon request, an updated report shall be provided if there is no current progress report, whenever a pupil is scheduled for an IEP/IFSP review by LEA's Individualized Education Program team or when a pupil's enrollment is terminated. CONTRACTOR shall establish grading policies to be followed unless otherwise specified in student's IEP/IFSP.

# 36. FORWARDING OF RECORDS

CONTRACTOR agrees, in the event of school or agency closure, to forward pupil records immediately but no later than seven (7) days to LEA. These shall include, but are not limited to, current transcripts, IEP/IFSP reports and results of proficiency testing and State Assessments.

# **37. IEP/IFSP MEETINGS**

The CONTRACTOR is responsible for providing the student's special education teacher and the related services or personnel appropriate to represent the related services at required IEP/IFSP/ITP meetings. These meetings will be held at the NPS unless otherwise approved by the LEA and CONTRACTOR. Except as otherwise provided in the Master Contract, CONTRACTOR and LEA shall participate in all IEP team meetings regarding LEA students for whom ISAs have been or may be executed. The LEA is responsible for scheduling and issuing the Notice of Meeting for all IEP team meetings. Parents or guardians shall be notified of the IEP meeting early enough to ensure an opportunity to attend. Proper notice of meeting shall include the purpose, time and location and who shall be in attendance. Every effort shall be made to schedule the IEP/IFSP meetings at a time that is mutually convenient to parents, LEA, and CONTRACTOR's staff. Parents or guardians shall be informed in the notice of the right to bring other people to the meeting who has knowledge or special expertise of the pupil. The CONTRACTOR shall ensure private and confidential communication between the pupil and members of the IEP team, at the pupil's discretion. Transition services (designed with a results oriented process focused on improving the academic functional achievement of the child) must be addressed in the IEP for the student no later than in the year in which they turn 16 years of age. Extended school year shall be discussed as part of the IEP meeting with an emphasis on student need.

It is understood that the CONTRACTOR shall utilize the approved electronic IEP system of the LEA for all IEP planning and progress reporting at the LEA's discretion. The SELPA shall provide training for any NPS and NPA to assure access to the approved system. The NPS and/or NPA shall maintain confidentiality of all IEP data on the approved system and shall protect the password requirements of the system. When a student dis-enrolls from the NPS, the NPS/NPA shall discontinue use of the approved system for that student.

# 38. STATEWIDE MANDATED ASSESSMENT

CONTRACTOR shall administer all statewide achievement tests and any other state assessment as mandated by LEA pursuant to LEA and/or CDE guidelines.

Each student, subject to the alternative accountability system pursuant to EC section 52052, placed in an NPS by an LEA, shall be tested by qualified staff of the NPS in accordance with that accountability program.

The CONTRACTOR agrees to conform to the approved testing calendar window. The LEA shall provide opportunities for training as necessary and requested by the contractor. The LEA shall provide all appropriate test materials to the NPS for administration of the tests.

NOTE: CONTRACTOR shall determine its state-mandated testing period subject to EC section 60640. CONTRACTOR shall notify the LEA of a pupil enrolled in the school of its testing period. CONTRACTOR's staff, who shall administer the assessments, shall attend the regular testing training sessions provided by the LEA. If CONTRACTOR's staff have received training from one LEA or similar agency, that training will be sufficient for all LEAs that send pupils to the CONTRACTOR.

# 39. SCHOOL ACCOUNTABILITY REPORT CARD

The NPS shall prepare a school accountability report card in accordance with EC section 33126.

#### 40. CLASS SIZE-NONPUBLIC SCHOOL

When Contractor is a nonpublic school, CONTRACTOR shall ensure that class size shall not exceed a ratio of one teacher per twelve (12) students. Upon written approval by an authorized LEA representative, class size may be temporarily increased by a ratio of one (1) teacher to fourteen (14) students when necessary during the regular or extended school year to provide services to students with disabilities.

In the event a nonpublic school is unable to fill a vacant teaching position responsible for direct instruction to students, and the vacancy has direct impact on the California Department of Education Certification of that school, the nonpublic school shall develop a plan to assure appropriate coverage of students by first utilizing existing certificated staff. The nonpublic school and the LEA may agree to one thirty (30) school day period per contract year where class size may be increased to assure coverage by an appropriately credentialed teacher. Such an agreement shall be in writing and signed by both parties. This provision does not apply to a nonpublic agency.

CONTRACTOR providing special education instruction for individuals with exceptional needs between the ages of three and five years, inclusive, shall also comply with the appropriate instructional adult to child ratios pursuant to California Education Code sections 56440 et seq.

#### 41. <u>RELATED SERVICES</u>

Unless otherwise specified in the LEA student's IEP/IFSP and/or ISA, CONTRACTOR shall provide related services to LEA students on only those days that the LEA student's school of attendance is in session and the LEA student is scheduled to attend school. If pupil misses a related service session due to staff absence, that session shall be provided within thirty (30) school days.

#### 42. DUE PROCESS AND COMPLAINTS

CONTRACTOR shall fully participate in due process proceedings before OAH, including mediations and hearings, as requested by LEA. CONTRACTOR shall also fully participate in the investigation and provision of documentation related to any complaint filed with the State of California, the Office of Civil Rights, or any other state and/or federal governmental body or agency.

LEA shall inform parent(s) of their due process rights upon 1) each notification of an IEP/IFSP meeting; 2) reevaluation of their child; 3) registration of a complaint or a request for a due process hearing; and (4) the commencement of an expulsion proceeding. Upon request by LEA, CONTRACTOR shall assist the LEA in meeting its due process notification obligations.

#### 43. STATE MEAL MANDATE

CONTRACTOR shall assist LEA to meet the LEA's responsibilities to satisfy the State Meal Mandate under EC 49530, 49530.5 and 49550.

#### 44. HEALTH AND SAFETY

CONTRACTOR shall require all regular and substitute employees, volunteers, and any other individual who may come into contact with a student on school grounds to provide verification of having been tested for tuberculosis and cleared to work with minors as evidenced by a state licensed medical doctor's signature. CONTRACTOR shall keep a copy of said information in the employee file or volunteer file.

CONTRACTOR agrees all employees, subCONTRACTORs, volunteers, and any other individual who may come into contact with a student on school grounds to follow universal health care precautions when providing medical treatment or assistance to a student.

CONTRACTOR further agrees to provide annual training to all employees, volunteers, and any other individual who may come into contact with a student on school grounds regarding universal health care precautions and to post required notices in areas designated by the California Health & Safety Code.

#### 45. BEHAVIOR MANAGEMENT/ POSITIVE BEHAVIOR SUPPORT

CONTRACTOR shall comply with the requirements of Education Code section 56521.1 and 56521.2 regarding positive behavior interventions. LEA students who exhibit serious behavioral challenges must receive timely and appropriate assessments and positive supports and interventions in accordance with the federal law and it's implementing regulations. If the individualized education program (IEP) team determines that a student's behavior impedes his or her learning or the learning of others, the IEP team is required to consider the use of positive behavioral interventions and supports, and other strategies, to address that behavior, consistent with Section 1414(d)(3)(B)(i) and (d)(4) of Title 20 of the United States Code and associated federal regulations. This could mean that instead of developing a BIP, the IEP team may conclude it is sufficient to address the student's behavioral problems through the development of behavioral goals and behavioral interventions to support those goals.

CONTRACTOR shall maintain a written policy pursuant to California Education Code section 56521.1 regarding emergency interventions and Behavioral Emergency Reports (BER). Evidence of such training shall be maintained by CONTRACTOR and made available upon written request from the LEA or SELPA. CONTRACTOR shall ensure that all of its staff members are trained annually in crisis intervention and emergency procedures as related to appropriate behavior management strategies. Training includes certification with a crisis intervention program.

Pursuant to Education Code section 56521.1 emergency interventions shall not be used as a substitute for a Behavior Intervention Plan (BIP), and instead may only be used to control behavior that is unpredictable and spontaneous. For an emergency intervention to be used, the behavior must pose a clear and present danger of serious physical harm to the individual with exceptional needs, or others. Before emergency interventions may be applied, the behavior must be of the kind that cannot be immediately prevented by a response less restrictive than the temporary application of a technique used to contain the behavior. Emergency intervention shall not be employed longer than necessary to contain the behavior. If a situation requires prolonged use of emergency intervention, staff must seek assistance from the school site administrator or a law enforcement agency.

CONTRACTOR shall complete a BER when an emergency occurs that is defined as a serious, dangerous behavior that staff has determined to present a clear and present danger to others. It requires a non-violent physical intervention to protect the safety of student, self, or others and a physical intervention has been used; or a physical intervention has not been used, but an injury or serious property damage has occurred. Personal Safety Techniques may or may not have been used. Emergencies *require* a BER form be completed and submitted to the LEA within twenty- four (24) hours for administrative action.

CONTRACTOR shall notify Parent within twenty-four (24) hours. If the student does not have a Behavior Intervention Plan (BIP) or Positive Behavior Intervention Plan (PBIP), an IEP team shall schedule a meeting to review the BER, determine if there is a need for a functional behavioral assessment, and determine an interim plan. If the student already has a BIP, the IEP team shall review and modify the BIP if a new serious behavior has been exhibited or if the IEP team finds it necessary to modify the existing BIP. CONTRACTOR shall schedule with LEA an IEP meeting within two (2) days. A copy of the BER shall be provided to the LEA.

Pursuant to Education Code Section 56521.2, CONTRACTOR shall not utilize, authorize, order, consent to, or pay for any of the following prohibited interventions, or any other intervention similar to or like the following: (a) any intervention that is designed to, or likely to, cause physical pain;

- (b) releasing noxious, toxic, or otherwise unpleasant sprays, mists, or substances in proximity to the student's face;
- (c) any intervention which denies adequate sleep, food, water, shelter, bedding, physical comfort, or access to bathroom facilities;
- (d) any intervention which is designed to subject, used to subject, or likely to subject the student to verbal abuse, ridicule, or humiliation, or which can be expected to cause excessive emotional trauma;
- (e) restrictive interventions which employ a device, material, or objects that simultaneously immobilize all four extremities, including the procedure known as prone containment, except that prone containment or similar techniques may be used as a short term emergency intervention by CONTRACTOR's trained and qualified personnel as allowable by applicable law and regulations;
- (f) locked seclusion except as allowable by applicable law and regulations;
- (g) any intervention that precludes adequate supervision of individual; and
- (h) any intervention which deprives the student of one or more of his or her senses, consistent with Section 141(d)(3)(B)(i) and (d)(4) of Title 20 of the United States Code and associated federal regulations. (Added by Stats. 2013, Ch. 48, Sec. 43. Effective July 1, 2013).

#### 46. STUDENT RETURN TO DISTRICT

CONTRACTOR and LEA shall assist all SELPA and LEA policies and procedures that support Least Restrictive Environment ("LRE") options and/or Dual Enrollment options for students to have access to the general curriculum and to be educated with their nondisabled peers to the maximum extent appropriate.

When an IEP team has determined that a student should be transitioned into the public school setting, CONTRACTOR shall assist the LEA in implementing the IEP team's recommendations activities to support the transition. This may include, but not be limited to, facilitation of dual enrollment, scheduling, transportation arrangements and other student supports. These provisions shall also apply to mediated agreements and OAH decisions.

#### 47. EDUCATIONAL REPRESENTATIVE/SURROGATE PARENT

CONTRACTOR agrees to notify the SELPA office within seven (7) days when the CONTRACTOR becomes aware of the need for the appointment of a surrogate parent. LEA agrees to notify the CONTRACTOR within seven (7) days if an Educational Representative has been appointed for the student by court order. A surrogate parent is necessary only when an Educational Representative has not been found/ appointed by the Court. CONTRACTOR agrees that the LEA will select and appoint said surrogate. CONTRACTOR agrees that the SELPA will train the surrogate. A surrogate parent must have no interests that conflict with the interests of the child, have knowledge and skills that ensure adequate representation of the child, and may not be an employee of a public or private agency involved in the education and/or care of the child. The surrogate parent will sign an affidavit stating that no such conflict of interest exists and this affidavit will be kept on file by the SELPA.

#### 48. GRADE LEVEL ASSIGNMENT

All students enrolled in NPS shall be assigned a grade level commensurate with their chronological age if it is not designated on the IEP prior to admission. If student is participating in a functional skill development program, the grade assigned shall be determined by the following formula (age -5 = grade level).

#### 49. MIDDLE SCHOOL/ HIGH SCHOOL TRANSITION

When student is to transition to a high school district, the LEA/CONTRACTOR shall follow the SELPA policy as outlined in the Local Plan as it relates to fiscal responsibility. All services provided in ESY are the fiscal responsibility of the elementary district.

#### 50. OVERSIGHT/ PLACEMENTS/ EDUCATIONAL PROGRESS

The LEA shall evaluate NPS placements through the IEP/IFSP process. Included in this evaluation, is a review of IEP stated goals and state assessment results in order to determine if student is making appropriate educational progress. The LEA shall consider whether or not the needs of the pupil require an NPS and whether changes to the IEP are necessary, including whether the pupil may be transitioned to a public school setting.

# **IV. PERSONNEL**

#### 51. CREDENTIALS AND LICENSES/FINGERPRINT CLEARANCE

A. The CONTRACTOR providing special education and designated instruction and services must utilize staff that holds a certificate, permit, or other document equivalent to that which staff in a public school are required to hold.

EC Section 56366.1(n) requires all teachers in nonpublic schools to meet the same credentialing requirements as those for public school teachers.

Only those nonpublic, nonsectarian schools or agencies located outside of California that employ staff that hold a current valid credential or license to render special education and related services as required by that state shall be eligible to be certified.

- B. CONTRACTOR shall be responsible for verification of security clearance, credentials, and licenses held by its employees, agents and subCONTRACTORs. Education credentials shall be on file at the NPS/NPA office. A copy of the CDE Certification will be submitted with the contract to the LEA.
- C. CONTRACTOR shall provide to LEA on annual basis copies of appropriate credentials and/or license(s) if change of staff occurs, which directly affects the pupils. CONTRACTOR shall notify LEA in writing within forty-five (45) days when personnel changes occur which may affect the provision of special education and/or related services to LEA students.
- D. CONTRACTOR shall require all employees and all subCONTRACTORs to submit fingerprints consistent with California Education Code Section 44237. CONTRACTOR shall comply with the requirements of Education Code Section 45125.1 including, but not limited to: obtaining California Department of Justice (CDOJ) clearance for CONTRACTOR'S employees; prohibiting its employees from coming in contact with students until CDOJ clearance is ascertained: and certifying in writing and providing such certification to the LEA that none of its employees who may come in contact with students have been, convicted of a violent or serious felony. Nor will any person be employed who has been convicted of or entered a plea of nolo contender to charges of any sex offense as defined in Education Code § 44010 or to a felony that would disqualify that person from employment pursuant to EC 44237. The requirements of this section apply to all of contractors, subcontractors, in addition to employees.
- E. This provision shall apply in all circumstances except those in which a written waiver has been granted by the State of California Board of Education with respect to state laws and regulations or by LEA with respect to its requirements.

# 52. <u>TEACHER ABSENCE</u>

When a classroom teacher/instructor is absent, CONTRACTOR shall employ a substitute teacher/instructor or provide coverage by an appropriately qualified and credentialed staff person. Coverage shall be provided in the classroom in place of the absent teacher for the entirety of the school day to provide instruction and fulfill other duties of the absent teacher. Upon written or oral request, CONTRACTOR shall provide to LEA documentation

of substitute coverage. Substitute teachers shall remain with their assigned class during all instructional time. In regard to NPA, when a related service provider is absent, CONTRACTOR shall employ a substitute service provider who is an appropriately credentialed staff person to provide service and fulfill other duties of the absent provider. The CONTRACTOR shall elect to reschedule the related service session consistent with Section 40 and 56 related services of this contract.

#### 53. MANDATED REPORTING

CONTRACTOR hereby agrees to annually train all staff members, including volunteers, so that they are familiar with and agree to adhere to its own child and dependent adult abuse reporting obligations and procedures as specified in California Penal Code section 11164, et seq., and maintain documentation of such trainings. To protect the privacy rights of all parties involved (i.e. reporter, child and alleged abuser), reports will remain confidential as required by law and professional ethical mandates. The contractor shall acknowledge the legal requirements and maintain written documentation and verification of staff training and adherence to such reporting including timelines, which shall be submitted upon request to the SELPA and the LEA (EC § 44691). CONTRACTOR shall further ensure that its SUBCONTRACTORS are similarly trained.

#### 54. REPORTING OF MISSING CHILDREN

CONTRACTOR assures the LEA that all staff members, including volunteers, is familiar with and agrees to adhere to requirements for reporting missing children as specified in California Education Code section 49370. A written statement acknowledging the legal requirements of such reporting and verification of staff adherence to such reporting shall be properly submitted to the LEA. The written statement shall be submitted as specified by the LEA.

#### 55. <u>SEXUAL HARRASSMENT</u>

CONTRACTOR shall have policy procedures in place to immediately (within 24 hours) report any allegations of conduct that may constitute sexual harassment as per federal and state law. This reporting must include appropriate governmental, social service, and law enforcement agencies as well as the LEA. CONTRACTOR shall ensure that an immediate and comprehensive investigation is commenced and shall keep the LEA fully informed of the progress and result of such investigation. CONTRACTOR further agrees to provide annual training to all employees regarding regulations concerning sexual harassment and related procedures.

# V. FINANCIAL SECTION

#### 56. SCHOOL CALENDAR

- A. The CONTRACTOR shall submit to LEA a school calendar with the total number of billable days not less than the number of school days prescribed by the State of California for the regular school year (RSY) days, plus extended school year (ESY) as determined by the IEP team. Unless otherwise stated in a particular Student's IEP, billable days shall include only those days that are included in the submitted and approved school calendar, or required by the IEP for each LEA student.
- B. Services are not provided during winter break, spring break, intersessions, or the period between extended year and the fall semester.
- C. LEA observes legal holidays including, but not limited to, the following: Independence Day, Labor Day, Veterans Day, Thanksgiving Day, Christmas Day, New Year's Day, Dr. Martin Luther King, Jr. Day, Lincoln's Birthday, Washington's Birthday, and Memorial Day, as specified in the LEA's official calendar.

### 57. ABSENCES-NONPUBLIC SCHOOL

No later than the fifth  $(5^{th})$  consecutive day of a pupil's absence, CONTRACTOR shall notify LEA of such absence in writing; unless a written time extension is granted by a LEA authorized representative. CONTRACTOR will maintain written records regarding all LEA pupils' absences. These records shall indicate school and/or residential absences, as appropriate.

In the event of an NPS school closure due to an emergency consistent with guidelines followed by LEAs under Education Code Section 41422, the NPS would be permitted to schedule a makeup day of service. The NPS would work collaboratively with LEAs and inform them of a date(s) during the current school calendar year on which the makeup day of service would occur.

The following shall apply in the event of a LEA or NPS school closure due to an emergency consistent with guidelines followed by LEAs under Education Code Section 41422:

- a. If CONTRACTOR remains open during an emergency and serves students appropriately as delineated in the ISA, CONTRACTOR shall receive payment, regardless of whether a sending LEA is open or closed.
- b. NPS School Closure- If the LEA is able to obtain alternative placement for the student, CONTRACTOR shall not receive payment for days the student is not in attendance due to school closure. If the LEA is unable to obtain an alternative placement, CONTRACTOR shall receive payment consistent with the signed ISA, as though the student were continuing in their regular attendance, until alternative placement can be found.
- c. LEA and NPS School Closure- On days the LEA is funded, CONTRACTOR shall receive payment consistent with the signed ISA, until alternative placement can be found. If the LEA is able to obtain alternative placement for the student, CONTRACTOR shall not receive payment for days the student is not in attendance due to school closure.

When the emergency school closure is lifted, CONTRACTOR shall notify the LEAs it serves of any lost instructional minutes. CONTRACTOR and LEAs shall work collaboratively to determine the need for make-up days or service changes, and shall work together to amend IEP and ISA paperwork as appropriate.

#### 58. ABSENCES-AGENCY ONLY

If the pupil is enrolled in a NPA, the LEA shall not be responsible for the payment of educational services when the pupil is absent. CONTRACTOR shall notify LEA in writing when the pupil absences exceed three (3) sessions. Make-up sessions may be scheduled but shall be limited 30 school days in which the original services were scheduled. Unless otherwise agreed, all related services shall be provided by CONTRACTOR during the LEA's regular school and extended school calendar days unless otherwise specified on the IEP/IFSP. A unit of service for payment purposes is one day of attendance. LEA shall not be responsible for payment of services for days on which a pupil's attendance does not qualify for reimbursement under state law.

# 59. ATTENDANCE RECORDS

- A. CONTRACTOR shall keep daily attendance of each pupil and shall report attendance monthly to LEA. Such attendance shall be filed with monthly invoices to LEA within thirty (30) days of the close of the school month. Separate attendance register forms must be submitted for all related services as specified on the IEP/IFSP.
- B. Original attendance registers submitted to the LEA with invoices for payment must be completed by the service provider whose signature must appear on said register. CONTRACTOR is responsible for verifying accuracy of said registers and for informing service providers of their personal responsibility for the completion and accuracy of said attendance registers.

- C. CONTRACTOR shall permit LEA representatives, upon reasonable notice, to meet with staff of CONTRACTOR for the purpose of auditing attendance reporting.
- D. The LEA is required to maintain school records in order to ensure the appropriate high school graduation credit is received by the pupil. The contract may allow for partial or full-time attendance at the NPS.

#### 60. MAINTENANCE OF RECORDS

All records shall be maintained by CONTRACTOR as required by state and federal laws and regulations. Notwithstanding the foregoing sentence, CONTRACTOR shall maintain all records for at least five (5) years after the termination of this Master Contract. For purposes of this Master Contract, "records" shall include, but not be limited to student records as defined by California Education Code section 49061(b) including electronically stored information; cost data records as set forth in Title 5 of the California Code of Regulations section 3061; registers and roll books of teachers and/or daily service providers; daily service logs and notes and other documents used to record the provision of related services including supervision; daily service logs and notes used to record the provision of services provided through additional instructional assistants, NPA behavior intervention aides, and bus aides; absence verification records (parent/doctor notes, telephone logs, and related documents) if the CONTRACTOR is funded for excused absences, however, such records are not required if positive attendance is required; bus rosters; staff lists specifying credentials held and documents evidencing other staff qualifications, social security numbers, dates of hire, and dates of termination; records of employee training and certification, staff time sheets; non-paid staff and volunteer sign-in sheets; transportation and other related services subcontracts; school calendars; bell/class schedules when applicable; liability and worker's compensation insurance policies; state nonpublic school and/or agency certifications by-laws; lists of current board of directors/trustees, if incorporated; statement of income and expenses; general journals; cash receipts and disbursement books; general ledgers and supporting documents; documents evidencing financial expenditures; federal/state payroll quarterly reports; and bank statements and canceled checks or facsimile thereof.

CONTRACTOR shall maintain student records in a secure location to ensure confidentiality and prevent unauthorized access. CONTRACTOR shall maintain a current list of the names and positions of CONTRACTOR's employees who have access to confidential records. CONTRACTOR shall maintain an access log for each student's record which lists all persons, agencies, or organizations requesting or receiving information from the record. Such log shall be maintained as required by California Education Code section 49064 and include the name, title, agency/organization affiliation, and date/time of access for each individual requesting or receiving information from the student's record. Such log needs to record access to the student's records by: (a) the student's parent; (b) an individual to whom written consent has been executed by the student's parent; or (c) employees of LEA or CONTRACTOR having a legitimate educational interest in requesting or receiving information from the record. CONTRACTOR/LEA shall maintain copies of any written parental concerns granting access to student records. For purposes of this paragraph, "employees of LEA or CONTRACTOR" do not include subcontractors. CONTRACTOR shall grant parents access to student records, and comply with parents' requests for copies of student records, as required by state and federal laws and regulations. CONTRACTOR agrees, in the event of school or agency closure, to forward student records within ten (10) business days to LEA. These shall include, but not limited to, current transcripts, IEP/IFSPs, and reports. LEA and/or SELPA shall have access to and receive copies of any and all records upon request within five (5) business days

#### 61. INSTRUCTIONAL DAY

The total number of instructional minutes per school year provided by CONTRACTOR shall be equivalent to the number of instructional minutes established for LEA schools unless otherwise specified within the student's IEP/IFSP or ISA, provided the ISA meets the minimum standards for instructional minutes as specified in Education Code. The number of instructional minutes shall be exclusive of recess, lunch, and passing time. For Pre-K through grade twelve (12), unless otherwise specified on the student's IEP/IFSP or ISA, the number of minimum instructional minutes shall be as follows: one hundred eighty (180) instructional minutes shall be

provided to pre & kindergarten students: two hundred thirty (230) instructional minutes shall be provided to students in grades one (1) through three (3): two hundred forty (240) instructional minutes shall be provided to students in grades four (4) through twelve (12).

#### 62. PAYMENT UNIT-NONPUBLIC SCHOOL ONLY

A unit of service for payment purposes is one day of attendance or apportionment absence as defined in California Education Code 46010. LEA shall not be responsible for payment of services for days on which a pupil's attendance or absence does not qualify for Average Daily Attendance (ADA) reimbursement under state law. Per Diem rates for pupils whose IEP/IFSP's authorize less than full instructional day may be adjusted on a pro rata basis in accordance with the actual proportion of the school day serviced.

CONTRACTOR shall notify LEA when Medi-Cal is billed for educationally related expenses.

#### 63. PAYMENT UNIT-NONPUBLIC AGENCY ONLY

A unit of service for payment purposes is one hour of related service. LEA shall not be responsible for payment of services for days on which a pupil's attendance does not qualify for Average Daily Attendance (ADA) reimbursement under state law.

#### 64. RATE SCHEDULE

The CONTRACTOR agrees to apply the same rate for the entire fiscal year. Education service(s) and/or related services offered by CONTRACTOR in accordance with the IEP/IFSP and the charges for such service(s) during the term of this Agreement shall be as follows:

A.	Nonpublic School only	Rate	Period (specify)
	Basic Education Program	\$ 251.00	Day

#### B. Designated Instruction and Service and/or Other Related Services

(1)	Adapted Physical Education	\$	per	
(2)	Behavior Intervention Services		per	
(3)	Day Treatment Services	an gegenne, wante niedele 1888 als bezen zu zu. Als bindet bin en die zu die son die son die son die son die so	per	
(4)	Language/Speech Therapy/Group		per	Makan da wan da kan na kana kana kana kana kana ka
(5)	Language/Speech Therapy/Indiv.	\$ 145.00	per	Hour
(6)	Mental Health	n effektivelet. A strans beson des namme besone an og an senere som det sere som att senere som att senere som	per	
	a) Counseling/Group	\$ 75.00	per	Hour
	b) Counseling/Individual	\$ 181.00	per	Hour
	c) Counseling and Guidance		per	
(7)	Occupational Therapy	\$ 145.00	per	Hour
(8)	One-on-One Aide	\$ 145.00	per	Day
(9)	Parent Counseling	\$ 181.00	per	Hour
(10)	Physical Therapy		per	
(11)	Psychological Services		per	

(12)	Resider	tial Treatment Services			A familie of all comments and an an an an an and an
		onally Related Mental Health		per	
(13)	Social V	Work Services		per	8-1977-1-1987-1-187-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1
(14)	Transpo	ortation (if required)	\$ 100.00	per	Day
(15)	Other:	Mental Health Assessment	\$ 625.00	per	Assessment
	Other:	Consultation	\$ 165.00	per	Hour
	Other:	In Service Training	\$ 137.00	per	Hour
	Other:	Short Term Intensive Behavioral. Support	\$ 42.00	per	Hour

#### 65. PAYMENT DEMAND

If a pupil is enrolled in an NPS/NPA, with the approval of the LEA, prior to agreement to a contract or ISA, the LEA shall issue a warrant for services for up to 90 days during which time the contract shall be consummated. If after 60 days the Master Contract has not been finalized, either party may appeal to the SPI. Within 30 days of receipt of appeal, the SPI, or designee, shall mediate the formulation of a contract which shall be binding upon both parties.

CONTRACTOR shall submit written demand monthly for payment on forms supplied by LEA. Said demand shall be made in the manner prescribed by the California Department of Education (CDE). CONTRACTOR shall submit said demands for payment for services rendered no later than thirty (30) days after the end of the attendance accounting period in which said services are actually rendered. CONTRACTOR shall maintain separate registers for the basic education program and each related service. Original attendance forms (i.e., roll books for the basic education program and each related service) shall be completed by the service provider whose signature must appear on such forms and shall be available for review, inspection, or audit by LEA during the effective period of this Contract and for a period of five (5) years thereafter. CONTRACTOR shall verify the accuracy of reported attendance which is the basis of services being billed for payment and shall inform service providers of their personal responsibility for the services being reported as rendered.

Upon approval of said payment demand, LEA shall make payment in an amount equal to the number of creditable days of attendance multiplied by the agreed upon unit amount. Payment shall be made within forty-five (45) days of receipt by LEA of invoices properly submitted and approved by LEA.

If an LEA fails to send a warrant for the amount requested within forty five (45) days or failed to notify the contractor within 10 working days of any reason why the requested payment shall not be paid, the NPS may require the LEA to pay an additional amount of 1.5 % of the unpaid balance per month until full payment is made, such interest being calculated beginning forty-six (46) days from receipt of the invoice. The CONTRACTOR shall bill the LEA for the interest due.

# 66. RIGHT TO WITHHOLD

LEA has the right to withhold payment to CONTRACTOR when: (A) CONTRACTORS performance, in whole or in part, either has not been carried out or is insufficiently documented; including but not limited to, failure to implement the individualized education program and all attachments incorporated therein of the student(s) for whom this Contract is written; (B) CONTRACTOR has neglected, failed or refused to furnish information or to cooperate with the inspection, review or audit of its program, work or records; (C) education and/or related services is provided by LEA students by personnel who are not appropriately credentialed/licensed or otherwise qualified; (D) Contractor was overpaid by LEA as determined by inspection, review, and/or audit of its program, work, and /or records; (E) CONTRACTOR has failed to provide to LEA all documents prior to school closure;

(F) CONTRACTOR has failed to provide to LEA properly prepared invoices within thirty (30) days from the end of the attendance accounting period: or (G) CONTRACTOR has failed to provide to LEA proper rebilling invoices within (30) calendar days from the date that the original invoice was returned to CONTRACTOR. If LEA determines that cause exists to withhold payment to CONTRACTOR, within ten (10) working days written notice shall be sent that LEA is withholding payment to CONTRACTOR. Such notice shall specify the basis for LEA's withholding payment. Within fourteen (14) days from the date of receipt of such notice, CONTRACTOR shall take all necessary and appropriate action to correct the deficiencies which form the basis for LEA's withholding payment. Upon receipt of CONTRACTOR's written request showing good cause, LEA shall extend CONTRACTOR'S time to respond by an additional fourteen (14) days.

# 67. AUDIT EXCEPTIONS

CONTRACTOR agrees to accept responsibility for receiving, replying to, and/or complying with any audit exceptions by LEA or by appropriate state or federal audit agencies occurring as a result of CONTRACTOR's performance of this Contract. CONTRACTOR also agrees to pay to LEA within thirty (30) days of written demand by LEA the full amount of LEA'S liability to the state, if any, resulting from any audit exceptions, to the extent such are attributable to CONTRACTOR's failure to perform properly any of its obligations under this Contract.

Upon request of LEA and except as otherwise provided by law, CONTRACTOR shall provide LEA, a state agency, a federal agency, and/or an independent agency/firm contracted by LEA, access to the following records: registers and roll books of teachers; daily service logs and notes or other documents used to record the provision of related services: absence verification records (parent doctor notes, telephone logs, and related documents); staff lists specifying credentials held, business licenses held, documents evidencing other qualifications, social security numbers, dates of hire, and dates of termination: staff time sheets: non-paid staff and volunteer sign-in sheets; transportation and other related services subAgreements; school calendars; bell/class schedules; liability and workers compensation insurance policies; state nonpublic school and/or agency certifications; marketing materials; by-laws; lists of current board of directors/trustees, if incorporated; statements of income and expenses; general journals; cash receipts and disbursement books; general ledgers and supporting documents; federal/state payroll quarterly reports; and bank statements and cancelled checks. Such access may include inspections by LEA with prior notice.

All budgetary information and projections submitted by CONTRACTOR to LEA for purpose of contract negotiations shall be made available for the relevant Contract period being audited in order to assess the extent to which funds were expended consistent with such budgetary information.

CONTRACTOR shall comply with any requests resulting from an inspection, review, or audit by LEA, a state agency, a federal agency, and/or an independent agency/firm in a reasonable and timely manner. If an inspection, review, or audit by LEA, a state agency, a federal agency, and/or an independent agency/firm determines that CONTRACTOR owes LEA monies as a result of CONTRACTOR's over billing or failure to perform, in whole or in part, any of its obligations under this Contract, LEA shall provide to CONTRACTOR written notice demanding payment from CONTRACTOR and specifying the basis for such demand. Unless CONTRACTOR and LEA otherwise agree in writing, CONTRACTOR shall pay to LEA the full amount owed as result of CONTRACTOR's failure to perform, in whole or in part any of its obligations under this Contract agency, a federal agency, and/or an independent agency/firm determined by an inspection, review, or audit by LEA, a state agency, a federal agency, and/or an independent agency/firm CONTRACTOR shall make such payment to LEA within thirty (30) days of receipt of LEA's written notice demanding payment.

#### 68. INSPECTION AND AUDIT

CONTRACTOR shall provide access to or forward copies of any books, documents, papers, reports, records, or other matter relating to the Contract upon request by LEA except as otherwise provided by state and federal law. All budgetary information and projections submitted by CONTRACTOR to LEA for purpose of contract

negotiations shall be made available for the relevant Contract period being audited to assess the extent to which funds were expended consistent with said budgetary information. Fiscal records shall be maintained by CONTRACTOR for five (5) years and shall be available for audit. To the extent, the CONTRACTOR disagrees with the findings of the audit; the dispute process as authorized in section 9 shall be utilized for the resolution of the dispute.

#### **69. PAYMENT FROM OUTSIDE AGENCIES**

CONTRACTOR shall notify the LEA when Medi-Cal or any other agency is billed for the costs associated with the provision of special education and/or related services to LEA students. Upon request, CONTRACTOR shall provide to the LEA any and all documentation regarding reports, billing, and/or payment by Medi-Cal or any other agency for the costs associated with the provision of special education and/or related services to LEA students.

#### **70. DEBARMENT CERTIFICATION**

By signing this agreement, CONTRACTOR certifies that:

- (a) CONTRACTOR and any of its shareholders, partners, or executive officers are not presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency, and
- (b) Has/have not, within a three-year period preceding this contract, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a Federal, state or local government contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, or receiving stolen property; and are not presently indicted for, or otherwise criminally or civilly charged by a Government entity with, commission of any of these offenses.

The parties hereto have executed this Master Contract by and through their duly authorized agents or representatives.

# CONTRACTOR

Beacon School Name of Nonpublic, Nonsectarian School/Agency

Contacting Officer's Signature

Elizabeth Young - Business Manager (Type) Name and Title

08/07/2020 Date

LEA

Alum Rock Union School District Authorized Representative/School District

Signature

Hildria Faller, Ph.D., Superiotendent (Type) Name and Title

Date

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

# **Request for Contracted Services**

To: BUSINESS OFFICE Contract No.: _	Vendor No.:
Special Education (School/Dept) and the A address is 2930 Gay Avenue, San Jose, CA 95127, and the t	lum Rock Union Elementary School District (ARUESD), whose following named Contractor wishes to enter a:
MOU (negotiated Agreement)           Exhibit B & C (Fingerprinting and TB Test)	MASTER CONTRACT PARTICIPATION
Note: All Contracts over \$5,000 require pre-approval.	
* Use Independent Contractor Agreement (PUR-116) for uninc	corporated individuals or in the absent of negotiated agreement.
Name of Individual/Company: Cinnamon Hill	
Address: 770 East St. George Blvd.	City: St. George State: UT Zip: 84770
000 700 0000	Email:
SSN:	
CONTRACT TERM: start dates 07/01/2020	
CONTRACTOR'S OBLIGATION: Description of services to be provided: (Please attach proposals	, scope of work, and other documentation.)
	ppard boundary. As part of student's current
for payment (Invoice) which shall be submitted not later than 3 were rendered, and upon approval of such demand by ARUES	30 days from the end of the month in which the contract services
Xa. Fee Rate: \$151.88per_day	Not to Exceed of services.
X b. Other: \$6,430.71+9,112.80= 15,543.	51
Describe other related costs: Room and board, speed	h, psychological services
BUDGET CODE: 08065000510057501120	
000003801820	Accountant Initials
APPROVALS	Accountant Initials
ALUM ROCK UNION ELEMENTABY SCHOOL DISTRIC	:Т:
Site/Department Administrator	-25 Date: 9/2/20
Director of Fiscal Services:	Date:
Asst. Supt., of Business Services:	Date:
Superintendent:	Date:

# Cinnamon Hills

Our highly structured environment and well-trained staff work together to ensure student behaviors are under control and the educational environment remains a safe and productive place for learning. Each student is provided with the opportunity to engage effectively in the full scope of academic and therapeutic services that we offer. *Individual Students Behavior Support Goals* are designed to resolve ongoing obstacles specific to each student's needs. Our mission focuses on providing quality educational, therapeutic, and behavior support services for students at risk.

# About Us

Cinnamon Hills is a residential treatment program for youth ages 12-17, whose emotional and behavioral characteristics are impeding their ability to succeed in their current educational setting. We have been treating youth for over 52 years and are located 109 miles north of Las Vegas, also an easy drive from California.

# Therapy Support

Our Educational Related Mental Health Services are designed to help youth identify problem behaviors and attitudes that may be preventing their full potential from being realized. Our services help our students build inner strengths, identify and achieve short and long term goals, and visualize a healthy positive future upon returning home.

Students participate in weekly individual and family therapy, and attend group therapy three times a week. As part of our *Parent Seminars*, we provide on-site family therapy sessions for the preparation for reunification with their family, school, and the community. Our Licensed Master-level therapists are experienced in utilizing IEP goals to develop your student's treatment plan.

# SANTA CLARA COUNTY SELPAS INDIVIDUAL SERVICE AGREEMENT FOR NONPUBLIC, NONSECTARIAN SCHOOL/AGENCY SERVICES (Education Code Sections 56365 et seq.)

servic	agreement is effective on <u>July 1, 2020</u> tes from a Non-public agency. The agreeme he terms of the Master Contract and applicat	nt terminates at 5:00 p.m. on June		
NAM	E OF LOCAL EDUCATION AGENCY ("I	.EA"): <u>Alum Rock Union Eler</u>	nentary School District	
ADDI	RESS OF LOCAL EDUCATION AGENCY	" ("LEA"): <u>2930 Gay Ave., San</u>	Jose, CA 95127	an a
NAM	E OF NONPUBLIC SCHOOL/AGENCY ("	'NPS/NPA"): <u>Cinnamon Hills</u>	Youth Crisis Center	
ADDI	RESS OF NONPUBLIC SCHOOL/AGENC	Y ("NPS/NPA"):770 E St. Geor	rge Blvd., St. George, UT 8477(	)
PUPII	L NAME: (Last)		GI	ENDER: M F
ADDF	RESS:	CITY:	STATE:	ZIP:
PUPII	. TELEPHONE NUMBER: ()		DOB: <u>06</u> / <u>26</u>	/ 2006
PUPIL	LID/SS NUMBER: 1192075683	GRAD	E:7	
RESIL	DENTIAL SETTING: 🗆 HOME 🛛 JCS	G FOSTER/LCI NAME:		_ #
	X OTHERCinna	amon Hills Youth Crisis Center		
PARE	NT/GUARDIAN	PHONE: ( )	( )	
	NT/GUARDIAN:		(Residence)	(Business)
ADDK	ESS:(If different from pupil)			ZIP
CONT	'RACT TERMS:			
1.	The Contractor will implement all elemen	its of the Master Contract.		
	Master Contract approved by the governin	ng board on	v	
2.	CONTRACTOR will provide written prop 10/15/20, 01/15/21, 04/15/21, 07/15/2		· · ·	arterly dates here):
3.	Other Provisions (provide attachments as	necessary):		
		***************************************		

#### INDIVIDUAL SERVICE AGREEMENT FOR NONPUBLIC, NONSECTARIAN SCHOOL/AGENCY SERVICES (Education Code Sections 56365, et seq.)

PUPIL NAME:

		Ver
(Last)	(First)	(Middle)

#### A. BASIC EDUCATION PROGRAM (Applies to NPS only)

Number of Days 24 x Per Diem \$ 151.88 = TOTAL BASIC EDUCATION COSTS (A) \$ 3,645.12 (Include extended school year days as appropriate to the pupil's IEP).

#### B. RELATED SERVICES:

	1	TOTAL			COST PER SESSION				MAX TOTAL	
	Freq	Duration	Start Date	MINUTES PER WEEK/ OR SESSION	HOURLY	DAILY	WEEKLY	INDIV	GROUP	COST FOR CONTRACT PERIOD
1 Adapted PE										
2 Aide Support										
3 Counseling										
4 OT										
5 PT										
6. Speech/Language	Week		07/14/2020	30 Minutes	\$100			x		\$300,00
7. Transportation										
8 Residential Board & Care	Daily		07/17/2020	1,440 Minutes		\$331 92				\$10,621 44
8 Other Psychological Services	Daily		07/17/2020	1,440 Minues		\$74.24				\$2,375.68
9. Other										
10 Other										

MAXIMUM TOTAL RELATED SERVICES COST (B) MAXIMUM TOTAL BASIC EDUCATION AND RELATED SERVICES COSTS (A+B)

S 13,297.12 S 16.942.24

All terms and conditions of the current Master Contract for NPS/NPA Service(s) previously executed by the parties hereto, are incorporated herein by reference. The CONTRACTOR will implement the IEP in accordance with this ISA and the Master Contract, and will request an IEP review prior to any change in the service(s).

The parties hereto have executed this contract by and through their duly authorized agents or representatives. This contract is effective on and terminates at 5:00 p.m. on June 30, 2020 unless sooner terminated as provided herein. July 1, 2020

LEA

(Signature)

Hilaria Bauer, PhD.Superintendent (Type or Print Name)

Alum Rock Union Elementary School District (Name of LEA)

2930 Gay Ave. (Mailing Address)

San Jose, CA 95127 (City, State, Zip Code)

#### CONTRACTOR

moth (Signature)

Tara Smith, Business Manager (Type or Print Name)

Cinnamon Hills Youth Crisis Center (Name of NPS/NPA)

770 E St. George Blvd. (Mailing Address)

St. George, UT 84770 (City, State, Zip Code)

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ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

DIVISION OF BUSINESS SERVICES		CONTRACT NO.
ROM: Special Education	(School/Dept.)	VENDOR NO.
ROGRAM MANAGER: Anthony Colonna	•	
PARTIES: The Alum Rock Union Elementary School District (ARI the following named Contractor:	JESD), whose address is 293	0 Gay Avenue, San Jose, CA 95127, and
Name of Individual/Company: Sonja Biggs Education	nal Services, Inc.	
Address: 7483 Dornoch Ct.	City: Gilroy	
Phone: ( 650 ) 833-9766	Email Address: Sonja bi	ggs@sbesinc.com
SSN:0	r Fed I.D. #: <u>47-522777</u>	0
Mutually agree and promise as follows:		
CONTRACT TERM: start date August18, 2020	end date_JUr	ne 11, 2021

- 3. CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:
  - A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposais, exhibits and other documentation if necessary:

Intervener is to provide information that an individual with deafblindness is unable to gather on his/her own. Ensuring the safety of the student. Intervener will provide flexibility of services (remote or virtual) during the 2020-2021 school year. Maximum hours: 7 hours per day.

- 4. COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)
  - x a. Fee Rate: \$8,971.30/mth per hour/day of service as may be requested by ARUESD, not to exceed a maximum of hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

b.	Other:	\$	(describe	rate agreement)	)
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# 080-65112-0-5815-00-5750-1190-000000-380-6512

5. BUDGET CODE:

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
				89,713.00		
L						······································

- 6. TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



# Sonja Biggs Educational Services, Inc.

#### SCOPE OF SERVICE FOR INTERVENERS

Sonja Biggs Educational Services, Inc. partners with districts in providing quality, highly trained Interveners who are fluent in sign language, know braille, and are a bridge between the sighted/hearing world and the student who is both deaf and blind. Our interveners obtain their credential through the University of Utah Intervener Program.

Deaf can mean any type of hearing loss and Blind can mean any type of vision loss. When both are combined together, the student misses so much of in the way of language development, concept development, and being able to access their education. An Intervener can open up language, communication, concept development, and access for the student with a dual sensory loss. Our Interveners are either credentialed or enrolled in the credentialing program through Utah State University's Intervener program.

Interveners work one-on-one with the student who has the dual sensory loss. Interveners have specialized training and skills in deafblindness. An intervener provides a bridge to the world for the student who is deafblind. The intervener helps the student gather information, learn concepts and skills, develop communication and language, and establish relationships that lead to greater independence. The intervener is a support person who does with, not for the student.

Specialized training is needed to become an effective intervener. training should address a wide range of topics necessary to understanding the nature and impact of deafblindness, the role of the intervener, and appropriate educational strategies to work with students with combined vision and hearing loss (Alsop, Killoran, Robinson, Durkel, & Prouty, 2004; McGinnes, 1986; Robinson et al., 2000).

#### An Intervener:

- Facilitates access to the environmental information that is usually gained through vision and hearing, but which is unavailable or incomplete to the child who is deafblind.
- Facilitates the development and/or use of receptive and expressive communication skills.
- Develops and maintains a trusting, interactive relationship that can promote social and emotional well being for the child who is deafblind.
- An Intervener is an important part of the IEP team, providing useful information about how the student communicates and learns new concepts.

#### What is Deafblindness?

Deafblindness involves a combined vision and hearing loss, to the extent that neither of these primary information-gathering senses (vision or hearing) compensates for the loss of the other sense. The educational and functional impact of these combined losses on each student will vary depending upon the degree and type of vision and hearing losses, the stability of the losses, the age of onset of each loss, the presence or absence of additional disabilities, and the quality of educational services provided. It is critically important to understand that the student's vision and hearing losses are not additive in nature (deafness plus blindness), but rather are multiplicative (deafness times blindness). Therefore, sometimes even students with seemingly mild simultaneous vision and hearing losses can be greatly impacted by them (Huebner, Prickett, Welch, & Joffee, 1995; McInnes & Treffry, 1982).

Deafblindness creates a disability of access to the visual and auditory information about the environment (people, things, events) that is necessary for learning, communication, and overall development. Consequently, incidental information (visual and auditory information which sighted and hearing students receive automatically without effort) is not readily accessible to students with combined vision and hearing loss. Instead of effortlessly receiving a flow of information like others, these students must work to attend, gather, and interpret partial amounts of information which are often distorted and incomplete. Without clear and consistent information, the brain cannot function normally, and learning cannot occur naturally. As a result, students who are deafblind have a difficult time connecting with and understanding the world, and often experience significant isolation and limited opportunities for self-determination (Alsop, Blaha, & Kloos, 2002; Robinson et al., 2000).

Intervener Rate: \$8971.30 per month or \$89,713.00 per year Maximum Hours: 7 hours per day



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

	INDEPENDENT CONT	RACTOR AGE	EEMENT		
то	DIVISION OF BUSINESS SERVICES		CONTRACT NO.		
FR	DM: Special Education Department	_(School/Dept.)	VENDOR NO. 22129		
PR	DGRAM MANAGER: Anthony Colonna				
1.	PARTIES: The Alum Rock Union Elementary School District (AR the following named Contractor:	UESD), whose addr	ess is 2930 Gay Avenue, San Jose, CA 95127, and		
	Name of Individual/Company: The Reilly Behavioral	Group, LLC.			
	Address: 1360 N. Winchester Blvd. #5	city: San Jos	ie		
	Phone: (408) 709-9214	Email Address:			
	SSN: c				
2.	Mutually agree and promise as follows: CONTRACT TERM: start date July 1, 2020		<sub>date</sub> June 30, 2021		
3.	<b>CONTRACTOR'S OBLIGATION:</b> In consideration of the comported products, and/or reports:	ensation, the Contra	ctor shall provide the following services, materials		
	<ul> <li>A. Description of services to be provided and expected results exhibits and other documentation if necessary:</li> </ul>	(e.g. services, mate	erials, products and/or reports). Attach proposals		
	The Reilly Behavioral Group, LLC. provides support, assessments, data analysis and pr autism. These services assist the District in programs for students with autism.	ongoing trair <del>ogram suppo</del> developing a	ing, direct services, parent rt to students diagnosed with nd maintaining defensible		
<ol> <li>COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisi expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for paym (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, a upon approval of such demand by ARUESD as follows: (Check either a, b, or c)</li> </ol>					
	a. Fee Rate: \$ per hour/day of services. ARUESD may, b service.	rvice as may be req out is not obligated t	uested by ARUESD, not to exceed a maximum o o, request the maximum number of hours/days o		
		<b>#400</b>			

#### <u>x</u> b. Other: <u>s25,000</u> (describe rate agreement) <u>\$130/hr ABA BID</u> <u>\$60/hr ABA BII</u>

\$3,150 FBA 080-6512-0-XXXX-00-5750-1190-000000-380-6512

5. BUDGET CODE:

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
				25,000		
080-	6512-0-	5815-00-5750-	1190-	00000-	380-	6512

- 6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



# ABA SCOPE OF SERVICE 2020-2021

# **Behavior Intervention Services (BIS)**

- 1. Direct behavior intervention services to students (BII, BID)
- 2. Supervision and session overlap with registered behavior technicians' direct sessions (BID)
- 3. Consultation with and training to students' teacher(s) and related service providers (BID)
- 4. Design and implementation of behavior programs (BID)
- 5. Monitor and report progress on IEP goals, as per the district's progress report due dates and students' annual/triennial IEPs due dates

# Participants Served: 1

Dates of Services Rendered: 8/15/2020 - 6/15/2021

# Functional Behavior Assessment (FBA)

- 1. File review
- 2. Clinical interviews with parent(s), teachers and district specialists working with the student
- 3. 3-5 direct observations of the student
- 4. Testing session(s) with the student
- 5. Written report and recommendations
- 6. Attendance at IEP meeting to discuss findings and recommendations

# Participants Served: <u>1</u> Dates of Services Rendered: <u>8/15/2020 - 6/15/2021</u>

Service Level Rendered Hours		Hourly Rate
BID	8 hours/month	\$130.00
ВП	9.5 hours/week	\$60.00
FBA	22 hours	\$130



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

то	DIVISION OF BUSINESS SERVICES		CONTRACT NO
FR	ом <u>State and Federal</u>	_(School/Dept.)	VENDOR NO. 22390
PR	OGRAM MANAGER: Sandra Garcia		
1.	PARTIES: The Alum Rock Union Elementary School District (AR the following named Contractor:	RUESD), whose address is 290	30 Gay Avenue, San Jose, CA 95127, and
	Name of Individual/Company: Panorama Education.	Inc.	
	Address: 24 School Street, Suite 4	_ city: <u>Boston</u>	State: <u>MA</u> Zip: <u>02108</u>
	Phone: ( 617 ) 356-8123	Email Address. finance(	@panoramaed.com
	SSN:0	or Fed I.D #: <u>90-099595</u>	58
	Mutually agree and promise as follows:		
2	CONTRACT TERM: start date September 11, 2020	end date Jui	ne 30, 2021
3.	CONTRACTOR'S OBLIGATION: In consideration of the comp products, and/or reports:	ensation, the Contractor shall	provide the following services, materials,
	A Description of services to be provided and expected results exhibits and other documentation if necessary: Administer	s (e.g. services, materials, pro er District-wide clima	iducts and/or reports). Attach proposals, te and social-emotional

learning surveys to family, staff and students at all school sites in the Alum Rock Union Elementary School District for the 2020-2021 school year. Staff training, reports and professional development tools included in the contract cost.

- 4. COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)
  - a Fee Rate: \$\_\_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_\_\_hours/days of services ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

5 BUDGET CODE:

	FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
	06	360	1190/0	5815	\$13,760.00		
L	06	360	5210/0	5815	\$12,500.00		

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7 INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

x b. Other: \$26,260.00 (describe rate agreement) Not To Exceed \$26,260.00

# PANORAMA EDUCATION - SERVICE ORDER

# **Primary Contact Information**

	Client	Panorama Education, Inc. ("Panorama")		
Client Legal Name ("Client")	Alum Rock Union Elementary School District	Company Name	Panorama Education	
Primary Contact, Title	Sandra Garcia, Director of State and Federal Programs	Primary Contact, Title	Christina Eng, Account Director	
Billing / Payment Address	2930 Gay Ave.	Billing Address	24 School Street, 4th Floor	
City / State / Zip	San Jose, CA 95127	City / State / Zip	Boston, MA 02108	
Email	sandra.garcia@arusd.org	Email	ceng@panoramaed.com	
Phone	(408) 928-6935	Phone	(617) 766-5115	
Billing Contact				

Billing Email Address

# (1) Description of Services and (2) Fees

Description of Services	Fees	
Annual License Fees:	Effective Date:	9/15/2020
12-month Panorama Platform License Fee – Full Platform for Grades 4-8 Access to Platform and Support (as defined in the Terms and	<i>Contract Term:</i> (From Effective Date)	1 year
<ul> <li>Conditions): Survey administration, analysis and reporting.</li> <li>Student, parent, and staff surveys</li> <li>Social-emotional learning measures</li> <li>Community survey</li> </ul>	Annual License Fee: Full Platform Grades 4-8	\$18,700.00 / year
<ul> <li>Software license fee includes 20% license fee discount for CORE District Shared Purchasing Agreement Discount</li> </ul>	Annual License Fee: Family and Staff Surveys Grades TK-3	\$7,875.00 / year
<b>12-month Panorama Platform License Fee – Family and Staff</b> <b>Surveys for Grades TK-3</b> Access to Platform and Support (as defined in the Terms and Conditions): Survey administration, analysis and reporting.	CORE District Shared Purchasing Agreement Discount	(\$5,315.00)
<ul> <li>Family surveys</li> <li>Teacher/staff surveys</li> </ul>	Subtotal License Fee:	\$21,260.00
Software license fee includes 20% license fee discount for CORE District Shared Purchasing Agreement Discount	Project Management	\$5,000.00 / year
Services Fees:	Subtotal Services Fees Over Contract Term:	\$5,000.00
<b>Project Management</b> Includes dedicated Client Success Manager who will work with the client's main point of contact to execute a successful project administration.	<b>Annual Total:</b> (Due on Effective Date for Year 1)	\$26,260.00 / year

Develop project timeline

• Manage setup and administration



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

то	DIVISION OF BUSINESS SERVICES	****		CONTRACT NO		
FR	OM State and Federal		(School/Dept.)	VENDOR NO.	22390	
PR	OGRAM MANAGER: Sandra Garcia					
41	PARTIES: The Alum Rock Union Elementary the following named Contractor:	School District (ARU	ESD), whose address is	2930 Gay Avenue, S	an Jose, CA 95127, and	
	Name of Individual/Company: Panoram	a Education, I	nc.			
	Address: 24 School Street, Suite	4	city: Boston	State: MA	zip: 02108	
	Phone: ( 617 ) 356-8123		Email Address: finan	ce@panorama	ied.com	
	SSN:	OF	Fed I.D. #: 90-0995	5958		
	Mutually agree and promise as follows:					
2.	CONTRACT TERM: start date Septemb	er 11, 2020	end date	June 30, 2021		
3.	<ol> <li>CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, material products, and/or reports:</li> </ol>					
	A. Description of services to be provided and exhibits and other documentation if necess	d expected results ( ary:	e.g services, materials,	products and/or repo	orts) Attach proposals,	
	Work with District CSI school te	ams at Fisch	er, George, She	opard, Mathso	n to analyze	
	and interpret social-emotional (	earning and c	limate data for P	anorama surv	eys, guide	
	through protocols to set goals a	and develop a	plan of action fo	or the 2020-202	21 school year	
4.	<b>COMPENSATION:</b> In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)					
	a. Fee Rate: \$per hour/day of service as may be requested by ARUESD, not to exceed a maximum of hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service					
	<u>X</u> b Other: <u>\$5,500.00</u>	describe rate agreer	nent) Not To Exce	ed \$5,500.00		
5.	BUDGET CODE:					
	FUND DEPARTMENT PROG/COU 06 360 3182			PROG. TITLE	BUS OFC	
	LUU L JEN E JOZ	AL 1 0010		3	1	

8. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

<sup>6</sup> **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

<sup>7.</sup> INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

PANORAMA

# PANORAMA EDUCATION - SERVICE ORDER

#### **Primary Contact Information**

	Client	Panorama Education, Inc. ("Panorama")		
Client Legal Name ("Client")	Alum Rock Union Elementary School District	Company Name	Panorama Education	
Primary Contact, Title	Sandra Garcia, Director of State and Federal Programs	Primary Contact, Title	Christina Eng, Account Director	
Billing / Payment Address	2930 Gay Ave.	Billing Address	24 School Street, 4th Floor	
City / State / Zip	San Jose, CA 95127	City / State / Zip	Boston, MA 02108	
Email	sandra.garcia@arusd.org	Email	ceng@panoramaed.com	
Phone	(408) 928-6935	Phone	(617) 766-5115	
Billing Contact				

Billing Email Address

# (1) Description of Services and (2) Fees

Description of Services	Fees		
Services Fees:	Effective Date:	9/15/2020	
Professional Learning Workshops for CSI and ATS Schools Give school leaders or other groups of educators in the district strategies and tools to understand, interpret, and take action based	Contract Term: (From Effective Date)	1 year	
<ul> <li>on SEL and climate data. Panorama team members facilitate interactive, hands-on engagement with Panorama reports and guide groups through protocols to set goals and plan for action.</li> <li>Data Inquiry and Action Planning Workshop</li> </ul>	Data Inquiry and Action Planning Workshop	\$3,000.00	
<ul> <li>Data Inquiry and Action Planning Workshop</li> <li>School-focused Strategic Coaching Sessions (ongoing)</li> </ul>	Strategic Coaching Sessions	\$2,500.00	
	Subtotal Services Fees Over Contract Term:	\$5,500.00	
	Annual Total:	\$5,500.00 / year	
	(Due on Effective Date for Year 1)		

Other Terms and Conditions (if any)



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

TO	DIVISION OF BUSINESS SERVICES	NAN),	CONTRACT NO.
FRO	M State and Federal	_(School/Dept.)	VENDOR NO.
PR	DGRAM MANAGER:		
1.	PARTIES: The Alum Rock Union Elementary School District (AR the following named Contractor:	(UESD), whose address is 293	30 Gay Avenue, San Jose, CA 95127, and
	Name of Individual/Company: San Jose Grail Family	/ Services	ann an
	Address 1650 Senter Road	City: San Jose	State: <u>CA</u> Zip: <u>95112</u>
	Phone: ( 408 ) 281-7595 x10	Email Address: Schedul	e@schmahlscience.org
	SSN: 0	or FedID #: 06-178021	17
	Mutually agree and promise as follows:		
2.	CONTRACT TERM: start date September 11, 2020	end date JUI	ne 30, 2021
3.	CONTRACTOR'S OBLIGATION: In consideration of the comp products, and/or reports:	ensation, the Contractor shall	provide the following services, materials,
	A. Description of services to be provided and expected results	e (e.g. services, materials, pro	ducts and/or reports) Attach proposals,

exhibits and other documentation if necessary Grail Family Services pairs student with reading tutors and provides parents workshops to educate parents about the importance of reading aloud and sharing the stories in the home. Vendor will provide on-site or virtual services. This contract is open to any TK, K or 1st grade student and their parents at Dorsa and San Antonio Schools.

- 4 COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein. ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)
  - a. Fee Rate: \$\_\_\_\_\_\_per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_\_\_hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

5. BUDGET CODE:

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
06	360	1190/0	5815	\$10,000.00		

- 6 TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7 INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

x b. Other: \$10,000.00 (describe rate agreement) Not To Exceed \$10,000.00





Memorandum of Understanding (MOU) between Grail Family Services and Alum Rock Union Elementary School District FY2021

This Memorandum of Understanding (MOU) establishes the working relationship between Grail Family Services, a 501(c)(3) entity located at 2003 E. San Antonio Street, San Jose, CA 95116, herein after referred to as "GFS" and Alum Rock Union Elementary School District, located at 2930 Gay Avenue, San Jose, CA 95127, hereinafter referred to as "ARUSD" in the Yes We Can...READ (Plus) Initiative, hereinafter referred to as "YWCR+."

**GFS** and **ARUSD** understand that **YWCR+** is continually evolving and, therefore, flexibility is a crucial component in the successful creation and implementation of this initiative. In addition, both recognize the important role of clear communication in determining and carrying out every phase of **YWCR+**.

#### I. Purpose and Scope

The purpose of this MOU is to clearly identify the roles and responsibilities of each party as they relate to the implementation of **YWCR+**. This MOU will set forth the principles and procedures to which the agencies will be bound.

**YWCR+** is intended to educate parents and caregivers about the importance of reading aloud and sharing stories in the home; to increase lines of communication between parents and teachers; to utilize a variety of volunteers as classroom mentors and to ultimately improve children's reading abilities through consistent exposure to books and read aloud sessions. Because current research shows that early math is as strong a predictor of academic success as literacy, we have added an early math component to YWCR. This means that as a part of the Mentor Sessions, Parent Engagement Workshops, and Family Literacy Nights, participants will receive support in early math concepts through books and activities that introduce skills such as number sense, representation, spatial sense, measurement, patterns, and problem solving. To support this goal, **GFS** and **ARUSD** will fully participate in the planning, delivery and evaluation of **YWCR+**.

The proposed project will serve adults and their children that reside primarily in the Mayfair community of East San José in Santa Clara County. The Mayfair community is a predominately Latino community. Estimates from the 2000 U.S. census show that 80 percent of the population is of Hispanic/Latino origin and 25 percent of the neighborhood's population lives below the poverty line, with a median household income of \$36,712. The

Mayfair community has been designated as a child poverty zone by Santa Clara County because of the disproportionately high number of children under five living in poverty.

#### The specific activities relative to the initiative include:

- This project will be implemented during the 2020-2021 school year at two schools part of the ARUSD, Dorsa Elementary and San Antonio Elementary School.
- **GFS** staff will train and recruit corporate and community volunteers and match them with kindergarten and selected first grade students attending San Antonio Elementary and Dorsa Elementary School. Given the COVID-19 pandemic, the mentoring sessions will be conducted virtually or in person as the situation allows.
- **GFS** will implement six parent workshops at each participating school (San Antonio and Dorsa Elementary). GFS will facilitate these workshops and teachers will participate in order to engage parents in activities that would strengthen communication. Given the COVID-19 pandemic, the parent engagement workshops will be conducted virtually or in person as the situation allows.
- **GFS** staff and/or in conjunction with community partners such as The San Jose Public Library will implement 4 Family Literacy Nights 2 of which will take place at Dorsa and San Antonio Elementary and the other 2 at a designated public library space. Given the COVID-19 pandemic, the Family Literacy Nights will be conducted virtually or in person as the situation allows.
- Pre and post evaluations will be conducted by **GFS** staff of the corporate volunteers (i.e. receiving community) as a form of measurement.
- Trained volunteer mentors will provide one-on-one read-aloud and math thirtyminute sessions. Each volunteer mentor will work individually with two children once a week for ten to twelve weeks.
- Each ten-week cycle will be conducted once in the fall and once in the spring of the academic school year.
- Scores for i-Ready assessments at the beginning and at the end of the program will be made available to **GFS** including all domains in reading and math for purposes of assessing program outcomes.
- Both **GFS** and **ARUSD** should ensure that **YWCR+** activities are conducted in compliance with all applicable Federal and State laws, rules, and regulations including Civil Rights issues.

#### II. Background

**GFS** fosters the learning and the empowerment of vulnerable families with young children through the delivery of programs that educate, develop leadership skills, and build a sense of community. Its' vision is to become an incubator of quality, result oriented, programs that educate, develop leadership, and build a sense of community.

Alum Rock is a family-oriented community committed to its children and schools. The City of San José provides a wealth of cultural, recreational, and entertainment activities for all ages in one of the most pleasant climates in the country.

**ARUSD** schools are neighborhood schools. Classrooms and schools represent the demographics of East San José. Parent involvement, community support, English classes, and health outreach services all contribute to school improvement. Standards-based instructional materials and immaculate campuses make **ARUSD** schools a fine place to work and grow as a teacher.

**ARUSD** serves a student body rich in racial, ethnic, and cultural diversity. Our curriculum is designed to meet the needs of all students. Professional Development is provided for teachers to assist students in reaching their full potential. The district provides a supplemental instructional program for English Learners as well as programs for gifted students and students with special needs.

**ARUSD** staff is committed to high expectations of achievement by delivering effective instruction that result in outstanding student performance.

#### III. Goals of YWCR+

YWCR+, a community based project will:

- Improve the literacy skills of children attending the targeted and identified ARUSD schools
- Improve the early math skills of children attending the targeted ARUSD schools
- Increase parents' engagement in their children's learning activities
- Facilitate meaningful interaction and cultural exchange between low-income children residing in East San José and employees of the corporate sector working in Silicon Valley.

#### IV. GFS Responsibilities under this MOU

- Serve as the fiscal agent for this project
- Recruit and train all volunteers
- Facilitate volunteer training and prerequisites
- Conduct workshops for adults/parents
- Provide overall project management and leadership

- Chair the YWCR+ Advisory Committee and oversee work therein
- Facilitate feedback reporting to all volunteer/mentors
- Facilitate the reporting of evaluation data to all stakeholders

#### V. ARUSD Responsibilities under this MOU

- Provide \$10,000 to GFS in financial support for YWCR+ to provide materials, facilitation, childcare, and refreshments for the adult/parent engagement workshops.
- Provide for the compensation of teachers when extended duty is required to participate in the parent workshop sessions in support of YWCR+.
- Encourage and support school site participation.
- Make available i-Ready assessments, or any other assessments utilized by the school, at the beginning of program and at the end of the program including all domains in reading and math for the purpose of assessing program outcomes.
- Make space available at both Dorsa and San Antonio Elementary for mentors to conduct mentoring sessions during school hours and after school hours.
- Make space available at both Dorsa and San Antonio Elementary for GFS staff to conduct Parent Engagement Workshops during school hours and after school hours.
- Make space available at both Dorsa and San Antonio Elementary for Family Literacy Night events.

#### VI. Shared Decision-Making

**GFS** and **ARUSD** understand that during the implementation process, innovations may necessitate a divergence from the original implementation/work plan. We agree that **ARUSD** will be notified and be present at the discussions centered on significant program changes and changes that impact the evaluation of **YWCR+**. Changes to the original implementation/work plan need to be negotiated and have full consent of both parties before the changes are implemented. In addition, **GFS** and **ARUSD** agree to communicate with one another regarding any organizational changes that arise, including, but not limited to, changes in key personnel.

#### VII. Dispute Resolution

This agreement includes a mutual commitment to attempt to resolve any disputes or disagreements that may emerge while working in collaboration. Initially when a dispute occurs, we will use our collaborative skills to adequately problem-solve these issues. However, if we cannot reach consensus, a third party will be asked to help resolve the conflict. Any cost associated with the third party mediation will be borne equally by **GFS** and **ARUSD**.

In the event mediation does not resolve conflict, both parts will agree to dissolve the partnership.

#### VIII. EFFECTIVE DATE AND SIGNATURE

This MOU shall be effective upon the signature of **GFS** and **ARUSD** authorized personnel. It shall be in force from **July 1, 2020 to June 30, 2021.** 

GFS and ARUSD indicate agreement with this MOU by their signatures.

Please sign and return one copy of this memorandum of understanding as evidence of your understanding and agreement with the terms and conditions defined herein.

IMEE ALMARAN FOR SANDER GARCA Sandra Garcia

Director, State & Federal Programs, Alum Rock Union Elementary School District

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Dr. Hilaria Bauer Superintendent, Alum Rock Union Elementary School District

Jou

Veronica Goei, Executive Director, Grail Family Services

Date

2020

Date

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT					
TO: DIVISION OF BUSINESS SERVICES	-				
FROM: Student Services	(School/Dept )	VENDOR NO.			
PROGRAM MANAGER: Norma Flores	<b>_</b>				
PARTIES: The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, ar the following named Contractor:					
Name of Individual/Company: Asian American for Community Involvement (AACI)					
Address: 2400 Moorpark Avenue	<sub>City:</sub> San Jose	State: <u>CA</u> Zip <u>95128</u>			
Phone: (408)975-2730	Email Address:				
SSN0					
Mutually agree and promise as follows:					
2 CONTRACT TERM: start date September 11, 2020	end date Jur	ie 30, 2021			
3 CONTRACTOR'S OBLIGATION: In consideration of the competition products, and/or reports:	nsation, the Contractor shall	provide the following services, materials,			

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports) Attach proposals, exhibits and other documentation if necessary:

Asian American for Community Involvement (AACI) will provide Substance Use prevention through youth development meetings(lunch clubs) and projects (lunch pro-social events) at Renaissance at Fischer and Sheppard Middle school sites. Materials are provided by AACI. These services all offered to all grade levels at these sites. Services can be provided on site or virtual.

- 4 COMPENSATION: In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)
  - a. Fee Rate: S\_\_\_\_\_\_per hour/day of service as may be requested by ARUESD, not to exceed a maximum of hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service
  - x b Other: s0 (describe rate agreement) No Cost to District

5 BUDGET CODE:

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
			OBLOT	\$7MIGONI		

- 6 TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7 INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8 COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

#### Memorandum of Understanding (MOU) Between

Asian Americans for Community Involvement (AACI) &

Alum Rock Union School District

By this agreement made and entered into on this 11th day of September 2020 between Alum Rock Union School District (hereinafter referred to as ARUSD) and Asian Americans for Community Involvement (AACI) (hereinafter referred to as Provider), in consideration of their mutual covenants, the parties hereto agree as follows:

1. DESCRIPTION OF SERVICES: Asian Americans for Community Involvement (AACI) will provide the Club Live (CL) program to Renaissance Academy (at Fischer Middle School) and William Sheppard Middle School (hereinafter referred to as RA and WSMS). The Friday Night/Club Live mission is to build partnerships for positive and healthy youth development and to engage youth as active leaders and resources in their communities. The primary goal of Friday Night/Club Live is to form partnerships between young people and adults to provide programs rich in opportunities and support so young people will less likely engage in problem behaviors and more likely to achieve in school, attend higher education, and secure full-time jobs. Friday Night/Club Live's vision is to work hand-in-hand with young people so they are both problem free and fully prepared for their futures. Friday Night/Club Live programs support youth-driven school and community efforts. Engaging youth in creating change around issues that impact them benefits young people in supporting positive development and also benefits adults, organizations, and communities. Young people provide valuable insight, perspective, and experience and engaging them ensures that change being created is relevant to their lives.

A. PURPOSE OF MOU: To outline the services provided by AACI for ARUSD on the RA and WSMS campuses.

**B. DUTIES OF PROVIDER:** The Provider agrees to provide the following services, materials, and/or products: Onsite and/or virtual Club Live services through capacity skill building training, assist youth in implementing projects that are designed to elicit community or neighborhood-wide norm or policy change, foster mental and emotional health and well-being, foster academic commitment and achievement, youth engagement in community service, social action activities, and advocacy for safe and healthy environments, collaboration with school staff, and provide ongoing support services to student and families and referrals to community agencies as needed.

**C. DISTRICT/SCHOOL OBLIGATIONS:** For the period of this agreement, ARUSD shall provide the following: Provide physical space for Friday Night/Club Live services, digital space for virtual and online programming, student referrals, assistance for AACI staff to provide effective services and opportunities to staff about the referral process for teachers and staff. Provided, however, the "assistance for AACI staff" shall not significantly impact the work-load of any ARUSD employee.

**D. COMPENSATION:** For the full performance of this agreement, ARUSD shall pay \$0.00 to Provider. The Santa Clara County Department of Behavioral Health Services (BHS) has contracted with AACI for all program services outlined in section 1, Description of Services and Duties of Provider. ARUSD is therefore not responsible for any program related expenses incurred by the Provider unless the parties agree in advance.

E. PERIOD OF MOU: The Provider's work as specified in this agreement shall commence on September 11, 2020 and shall be completed on or before June 30, 2021.

#### Attachment A <u>RENAISSANCE ACADEMY (FISCHER MIDDLE SCHOOL)</u>, <u>WILLIAM SHEPPARD MIDDLE SCHOOL</u>

Schools: Renaissance Academy (Fischer Middle School), William Sheppard Middle School

Service Provider/Agency: Asian Americans for Community Involvement

Agency Contact: Sarita Kohli, President/CEO Phone Number: 408-975-2730 ext. 106

Description of Services: Asian Americans for Community Involvement (AACI) will provide the Friday Night/Club Live program to ARUSD. The Friday Night/Club Live mission is to build partnerships for positive and healthy youth development and to engage youth as active leaders and resources in their communities. The primary goal of Friday Night/Club Live is to form partnerships between young people and adults to provide programs rich in opportunities and support so young people will be less likely to engage in problem behaviors and more likely to achieve in school, attend higher education, and secure full-time jobs. Friday Night/Club Live's vision is to work hand-in-hand with young people so they are both problem free and fully prepared for their futures. Friday Night/Club Live programs support youth-driven school and community efforts. Engaging youth in creating change around issues that impact them benefits young people in supporting positive development and also benefits adults, organizations, and communities. Young people provide valuable insight, perspective, and experience and engaging them ensures that change being created is relevant to their lives.

Services Provided: AACI Friday Night Live youth programming through youth capacity building, skill training, and assisting youth in implementing projects that are designed to elicit community or neighborhood-wide, norm or policy change, foster mental and emotional health and well-being, foster academic commitment and achievement, youth engagement in community service, social action activities, and advocacy for safe and healthy environments, collaboration with school staff, and provide ongoing support services to student and families and referrals to community agencies as needed.

MOU/Contract: Yes No Board/District Approved: Yes No

#### Date Approved:

If yes, term of MOU/contract dates: September 11, 2020 through June 29, 2021

(ATTACH COPY OF MOU/CONTRACT) Contract cost to the District: \$0.00

List names of agency staff on campus with or without MOU:

Tony Do	Tony.do@aaci.org	(408) 975-2730 ext. 172		
Ishani Dugar	<u>lshanı.dugar@aaci</u> .org	(408) 975-2730 ext. 164		
Cesar Jacob Jr. (CJ)	Cesar.jacobjr@aaci.org	(408) 975-2730 ext. 322		

Information submitted by: Sarita Kohli

Phone Number: 408-975-2730 ext. 106

#### School Principal Approval:

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	INDEPENDEN	T CONTRACTOR AGREE	EMENT				
то	DIVISION OF BUSINESS SERVICES		CONTRACT NO.				
FR	DM: Student Services	(School/Dept )	VENDOR NO				
PR	OGRAM MANAGER: Norma Flores						
1	PARTIES: The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:						
	Name of Individual/Company: Fresh Lifelines for Youth, Inc.						
	Address: 568 Valley Way	City: Milpitas	State: <u>CA</u> Zip <u>95035</u>				
	Phone: (408)263-2630	e: (408_)263-2630 Email Address: susie@flyprogram.org					
	SSN: or Fed I D. #: 52-2234595						
2. 3	Mutually agree and promise as follows:       end date_June 30, 2021         CONTRACT TERM: start date       September 11, 2020       end date_June 30, 2021         CONTRACTOR'S OBLIGATION:       in consideration of the compensation, the Contractor shall provide the following services, mater products, and/or reports:         A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports)       Attach propole exhibits and other documentation if necessary:         Fresh Lifelines for Youth, Inc. (FLY) will provide law-related education and case management to identified 7th or 8th grade middle school students at selected middle school sites. FLY will also provide case management services to a limited number of 7th 8th grade students who are identified by the school as being at risk of suspension or expulsion within ARUSD. Services can be provided in person and remotely.         COMPENSATION:       In consideration of Contractor's provision of services as described above, and subject to the payment provis expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payr (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, upon approval of such demand by ARUESD as follows: (Check either a. b, or c)						
	<u>X</u> b Other: <u>\$0</u> (describ	pe rate agreement) <u>No Cost to</u>	District				

BUDGET CODE: 5

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DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG TITLE	BUS OFC
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	DEPARTMENT	DEPARTMENT PROG/COUNTER	DEPARTMENT PROG/COUNTER OBJECT	DEPARTMENT PROG/COUNTER OBJECT \$ AMOUNT	DEPARTMENT PROG/COUNTER OBJECT \$ AMOUNT PROG TITLE

- TERMINATION: This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the 6 Contractor, or canceled immediately by written mutual consent.
- 7 INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership joint venture, or association In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or 8 modification shall be in writing and signed by both parties hereto

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING

and



# **Scope of Services**

This Memorandum of Understanding provides a framework for Fresh Lifelines for Youth, Inc., ("FLY") and <u>Alum Rock Union Elementary School District, including Clyde L Fischer Middle</u> <u>School, Renaissance Academy at Fischer, Renaissance Academy at Mathson, Ocala Middle</u> <u>School, Aptitud Community Academy at Goss, and Lee Mathson Middle School</u> to work together toward the mutual goal of providing maximum available assistance to students of said school. Given that staff at <u>Alum Rock Union Elementary School District, including Clyde L</u> <u>Fischer Middle School, Renaissance Academy at Fischer, Renaissance Academy at Mathson,</u> <u>Ocala Middle School, Aptitud Community Academy at Fischer, Renaissance Academy at Mathson,</u> <u>Ocala Middle School, Aptitud Community Academy at Goss, and Lee Mathson Middle School</u> would like additional services for youth at risk of not completing 8<sup>th</sup> grade or at risk of school push-out, both agencies believe that implementation of this proposal, as described herein, will further this goal. To this end, each agency agrees to participate in furthering law-related education and case management services through FLY's Middle School Program.

#### General Description of Arrangements between Agencies

Based upon available funding, Fresh Lifelines For Youth, Inc. shall provide a law-related education course for 7<sup>th</sup> or 8<sup>th</sup> grade youth attending <u>Clvde I. Fischer Middle School</u>, <u>Renaissance Academy at Fischer, Renaissance Academy at Mathson, Ocala Middle School</u>, <u>Aptitud Community Academy at Goss</u>, and Lee Mathson Middle School, as agreed upon by the said school's administration and FLY staff. The law course will provide youth with practical information about laws relevant to their daily lives, a positive experience with the law and legal actors, an environment that fosters the cultivation of skills essential for positive youth development, and links to social services. FLY will provide case management services to a limited number of 7<sup>th</sup> and 8<sup>th</sup> grade students who are identified by the school as being at risk of suspension or expulsion.

<u>Clyde L Fischer Middle School, Renaissance Academy at Fischer, Renaissance Academy at Mathson, Ocala Middle School, Aptitud Community Academy at Goss, and Lee Mathson Middle School shall provide designated school time/period for the delivery of the 8 to 12 week-long Middle School Law Program and the provision of classrooms at no cost to FLY. <u>Clyde L Fischer Middle School, Renaissance Academy at Fischer, Renaissance Academy at Mathson, Ocala Middle School, Renaissance Academy at Fischer, Renaissance Academy at Mathson, Ocala Middle School, Renaissance Academy at Fischer, Renaissance Academy at Mathson, Ocala Middle School, Aptitud Community Academy at Goss, and Lee Mathson Middle School will identify and refer a limited number of 7<sup>th</sup> or 8<sup>th</sup> grade students to receive one-on-one case FLY case management, and provide FLY, at no cost, space to meet with the student. Services can be offered in person or virtually.</u></u>

FLY will use its own evaluation tools which include but are not limited to worksheets to assess the student's knowledge, skills, attitudes, and behaviors, and exit interviews. <u>Clyde L Fischer</u> <u>Middle School, Renaissance Academy at Fischer, Renaissance Academy at Mathson, Ocala</u> <u>Middle School, Aptitud Community Academy at Goss, and Lee Mathson Middle School</u> will respect the confidentiality of the student's responses. FLY will contribute to a network preventing school push-out. This includes but is not limited to FLY Program Staff and appropriate school administrators/contacts attending collaborative meetings to share information about youth as well as receive input on additional services and planning that could assist youth and their families.

#### **Time Frame of Agreement**

The time frame of this agreement will commence on August 1, 2020 through June 30, 2021.

#### Protocol if MOU is Not Being Met

Any adjustments to this MOU must be mutually agreed upon and in writing. Any Partner may terminate their participation in the MOU by providing written notice to the other Partner. If funding is not available for all or part of projected services, then the MOU will be revised or cancelled.

We, the undersigned, as authorized representatives of Fresh Lifelines for Youth, Inc. and <u>Alum</u> <u>Rock Union Elementary School District</u>, do hereby approve this document.

Accepted by:

Susie Rivera (authorized signatory) Vice President of Youth Voice and Executive Director of Santa Clara County Fresh Lifelines for Youth

Date: 7/31/2020

Alum Rock Union Elementary School District (authorized signatory)

Printed Name and Title of Signatory Date: \_\_\_\_\_ ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

#### INDEPENDENT CONTRACTOR AGREEMENT

TO:	DIVISION OF BUSINESS SERVICES	<b>-</b> '	CONTRACT NO	
FRO	DM: Student Services	_(School/Dept.)	VENDOR NO.	
PR	DGRAM MANAGER: Norma Flores	-		
1. <b>PARTIES:</b> The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose the following named Contractor:				
	Name of Individual/Company: Uplift Family Services	Addiction Preventio	on Services(APS)	
	Address: 251 Llewellyn Ave	<sub>City:</sub> Campbell	State: <u>CA</u> zip: <u>95008</u>	
	Phone: (408)379-3790	_ Email Address:		
	SSN:0	or Fed I.D. #: 94-2295953		
2.	Mutually agree and promise as follows: CONTRACT TERM: start date September 11, 2020	end date_JUI	ne 30, 2020	
3.	<ol> <li>CONTRACTOR'S OBLIGATION: In consideration of the compensation, the Contractor shall provide the following services, materia products, and/or reports:</li> </ol>			
	A. Description of services to be provided and expected results exhibits and other documentation if necessary:	(e.g. services, materials, pro	oducts and/or reports). Attach proposals,	
	Uplift Family Services Addiction Prevention and intervention. Services to be provided di parents/students/staff and case manageme	m of presentations to		
	provided on site and remotely.			
4.	<b>COMPENSATION:</b> In consideration of Contractor's provision or expressed herein, ARUESD shall pay Contractor upon Contr (Invoice) which shall be submitted not later than 30 days from th upon approval of such demand by ARUESD as follows: (Check ei	actor's submission of a pro le end of the month in which	perly documented demand for payment	
	a. Fee Rate: \$ per hour/day of se hours/days of services. ARUESD may, t service.	rvice as may be requested by but is not obligated to, request	y ARUESD, not to exceed a maximum of st the maximum number of hours/days of	
	Xb. Other: \$_0(describe rate agree	<sub>ement)</sub> <u>No Cost to Dis</u>	strict	
5.	BUDGET CODE:			

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- 6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
- 7. INDEPENDENT CONTRACTOR STATUS: This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
- 8. COMPLETENESS OF AGREEMENT: This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

#### CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



# **MEMORANDUM OF UNDERSTANDING**

This agreement is entered into between Uplift Family Services/ Addiction Prevention Services Program (APS), a non-profit organization, (here in after referred to as "AGENCY") Alum Rock Union Elementary School District (here in after referred to as "DISTRICT/SCHOOL) in which the AGENCY will provide Addiction Prevention Services (here in after referred to as PROGRAM) at the identified school(s) 2020/2021 school year and until one or both parties wishes to cancel it.

# **RESPONSIBILITIES OF THE AGENCY**

The AGENCY will be responsible for providing APS services (PROGRAM) at identified Middle Schools in the DISTRICT/SCHOOL, which will include but not be limited to the following:

- Provide presentations and workshops with students, caregivers, school staff
- Provide student screening and assessments when referred
- Attend SARB meetings
- Provide case management for chronically truant students and families
- ✤ Services will be provided in Spanish and English as requested
- The AGENCY and the DISTRICT/SCHOOL will request permission from the parent or legal guardian of students being provided services
- Days of the week, and times which the PROGRAM will be offered will be mutually determined by the AGENCY and the participating school
- Services will be adapted to telehealth to provide virtual support.

# **RESPONSIBILITIES OF THE DISTRICT/SCHOOL**

- Provide the AGENCY staff with a private room/office with a phone and locked file or a storage cabinet.
- \* Provide the AGENCY with referrals of students at risk or chronically truant
- Work collaboratively with the **AGENCY** to insure optimum benefit to students in need.
- Involve the AGENCY staff in appropriate team meetings or SARB meetings (or equivalent) as direct services providers or consultants.

	Date
District Office Representative	
	Date
School Administrative Representative	
Sill	Date 08.21.20
APS Uplift Family Services Representati	ve