

# **ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT**

## **BOARD OF TRUSTEES**



**Regular Board Meeting  
December 14, 2017**



**Thursday, December 14, 2017**  
**AGENDA - ANNUAL ORGANIZATIONAL AND REGULAR BOARD MEETING**

**Type: AGENDA - ANNUAL ORGANIZATIONAL AND REGULAR BOARD MEETING**

**Time: 5:30 p.m.**

**Code: Regular #11 -17/18**

**Location: ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT, 2930 Gay Avenue, San Jose, CA 95127; Board Room.**

**In compliance with the Americans with Disabilities Act, if you need special assistance in order to participate in the public meeting of the Board of Trustees, please contact the Office of the District Superintendent at (408) 928-6822. Notification 72 hours prior to the meeting will enable the District to make reasonable accommodations.**

**1. OPEN SESSION - CALL TO ORDER AND ROLL CALL**

---

1.01 CALL TO ORDER / ROLL CALL -- PRESIDENT ANDRES QUINTERO.

1.02 ACTION: ANNUAL ORGANIZATIONAL MEETING, NOMINATION AND ELECTION OF BOARD PRESIDENT.

1.03 ACTION: ANNUAL ORGANIZATIONAL MEETING, NOMINATION AND ELECTION OF BOARD VICE-PRESIDENT.

1.04 ACTION: ANNUAL ORGANIZATIONAL MEETING, NOMINATION AND ELECTION OF BOARD CLERK.

1.05 ACTION: APPOINTMENT OF THE SECRETARY TO THE BOARD.

1.06 ANNOUNCEMENT AND PUBLIC COMMENTS REGARDING ITEMS TO BE DISCUSSED IN CLOSED SESSION (Government Code Section 54957.7).

1.07 ADJOURNMENT TO CLOSED SESSION: The Board will adjourn to Closed Session at approximately 5:45 p.m. Open Session will resume at the end of Closed Session in the Board Room at approximately 6:45 p.m.

**2. CLOSED SESSION**

---

2.01 INFORMATION/DISCUSSION/ACTION: CONFERENCE WITH LEGAL COUNSEL -- EXISTING LITIGATION. Paragraph (1) of Subdivision (d) of Government Code Section 54956.9. One (1) case: In the Matter of Student J.R. v. Alum Rock Union Elementary School District, OAH Case No. 2017100139.

2.02 INFORMATION/DISCUSSION/ACTION: CONFERENCE WITH LEGAL COUNSEL -- EXISTING LITIGATION. Pursuant to paragraph (1) of Subdivision (d) of Government Code Section 54956.9. One (1) case: In the Matter of Student P.G. v. Alum Rock Union Elementary School District and San Jose Unified School District, OAH Case No. 2017100672.

2.03 INFORMATION/DISCUSSION/ACTION; CONFERENCE WITH LEGAL COUNSEL -- EXISTING LITIGATION. Pursuant to paragraph (1) of Subdivision (d) of Government Code Section 54956.9): Professional Asbestos & Lead Services, Inc. v. Everlast Builders, Inc., and Alum Rock Union Elementary School District. Santa Clara County Superior Court Case No. 17CV305853.

2.04 INFORMATION/DISCUSSION/ACTION: CONFERENCE WITH LEGAL COUNSEL -- EXISTING LITIGATION. Pursuant to paragraph (1) of Subdivision (d) of Government Code Section 54956.9: Bay Area Asphalt and Cement, et al. v. Alum Rock Union Elementary School District, et al. Santa Clara County Superior Court Case No. 17CV306628.

2.05 INFORMATION/DISCUSSION/ACTION; CONFERENCE WITH LEGAL COUNSEL -- ANTICIPATED LITIGATION. Significant exposure to litigation pursuant to paragraph (2) of Subdivision (d) (Government Code Section 54956.9): Four (4) potential cases.

2.06 DISCUSSION/ACTION: PUBLIC EMPLOYEE APPOINTMENT/EMPLOYMENT (Government Code Section 54957): Title: Coordinator, State and Federal Program.

2.07 DISCUSSION/ACTION; PUBLIC EMPLOYEE DISCIPLINE/DISMISSAL/RELEASE (Government Code Section 54957).

### **3. RECONVENE TO OPEN SESSION - DISTRICT OFFICE BOARD ROOM**

---

3.01 CALL TO ORDER / ROLL CALL / PLEDGE OF ALLEGIANCE BY BOARD PRESIDENT.

3.02 REPORT OF ACTION TAKEN IN CLOSED SESSION.

3.03 DISCUSSION AND/OR MODIFICATION(S) OF THE AGENDA. The Board may change the order of business including, but not limited to, an announcement that an agenda item will be considered out of order, that consideration of an item has been withdrawn, postponed, rescheduled or removed from the Consent Calendar for separate discussion and possible action.

### **4. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD**

---

4.01 "REQUESTS TO ADDRESS THE BOARD" provides members of the public an opportunity to speak to the Board about any matter under the jurisdiction of the Board and not otherwise on the agenda. Those who wish to address specific agenda items will have an opportunity to do so when that agenda item is introduced and presented during this meeting. Individuals wishing to speak are requested to proceed to the podium. There is a three-minute time limit for each speaker. The Board will automatically refer to staff any formal written requests that are brought before them at this time. Written matters may be placed on a future meeting.

### **5. COMMENTS AND COMMUNICATION**

---

5.01 Teamsters.

5.02 California School Employee's Association (CSEA).

5.03 Alum Rock Administrator's Association (ARAA).

5.04 Alum Rock Educator's Association (AREA).

5.05 Superintendent.

5.06 Board of Trustees/Communications/Comments

### **6. SUPERINTENDENT/BOARD BUSINESS**

---

6.01 DISCUSSION/ACTION: Approval of the Proposed Board Meeting Calendar for the Regular Board Meetings in 2018. Dates include the following: January 18; February 8; March 8; April 12; May 10; June 14; July 12; August 09; September 13; October 11; November 08; and December 13, 2018.

6.02 ACTION: Approve Call for Nominations for CSBA Delegate Assembly. Each year, member boards elect representatives from 21 geographic regions to CSBA's Delegate Assembly. Delegates ensure that the association promotes the interests of school districts and county offices of education throughout the State. Nomination and candidate biographical sketch forms for CSBA's Delegate Assembly are now being accepted until Sunday, January 7, 2018.

6.03 DISCUSSION/ACTION: Adopt the First Reading of the Amended Board Policy 4030, NonDiscrimination in Employment, Personnel.

6.04 DISCUSSION/ACTION: Adopt the First Reading of the Amended Administrative Regulation 4031, NonDiscrimination in Employment and Complaints Concerning Discrimination in Employment, Personnel.

6.05 DISCUSSION/ACTION: Adopt the Second Reading of the Amended Board Policy 2121, Superintendent's Contract, Administration.

6.06 DISCUSSION/ACTION: The Board will discuss and may renew the contract for Rehon and Roberts Law Firm for the purpose of the Securities and Exchange Commission (SEC) issue, District Attorney Investigation, and Collective Bargaining Negotiations.

6.07 INFORMATION/DISCUSSION/ACTION: Board Consideration Of, And Response To, December 4, 2017, Letter from Santa Clara County Office of Education. The Interim Santa Clara County Superintendent of Schools has requested the Board to provide the County Office of Education with its position (i.e., accept/reject/seek another legal opinion) regarding Attorney Rogelio Ruiz's November 8, 2017, Legal Memorandum to Superintendent Bauer and Assistant Superintendent Chheng regarding "Analysis of Conflict of Interest Issues Relating to Program Management and Construction Management Services".

6.08 DISCUSSION/ACTION: The Board will discuss, review, and/or select one or more legal services provider(s).

6.09 INFORMATION/DISCUSSION/ACTION: Discussion around George Multi-Purpose Community Room that will exclude the George campus pertaining to the Earthquake Faultline.

6.10 INFORMATION/DISCUSSION/ACTION: Discussion around Next Steps pertaining to the George/Fischer Multi-Purpose Rooms.

6.11 DISCUSSION/ACTION: Board-Created Committees. The Board will discuss the merits of, and may take action to create various Board Committees, such as (1) Bonds, Facilities, and Finance Committee; (2) Curriculum and Technology Committee; (3) Parent Engagement Committee; and (4) Small Schools and Innovation Committee. If Board-Created Committees are created, committee appointments will also be made.

6.12 ACTION: Resolution No. 18-17/18. Resolution to Approve to Work Cooperatively with Fiscal Expert to be Appointed by Santa Clara County Office of Education (SCCOE).

6.13 INFORMATION/DISCUSSION: FCMAT Update - Request for Documents.

6.14 INFORMATION/DISCUSSION/ACTION: Board Retreat and Training, which may include a session on Board Self-Evaluations.

6.15 INFORMATION/DISCUSSION: Board-Created Standing Committees. The Board will report on the following committees: (1) Bonds, Facilities, and Finance; (2) Curriculum and Technology; (3) Parent Engagement; and (4) Small Schools and Innovation.



6.16 INFORMATION/DISCUSSION/ACTION: Staff to provide an update pertaining to Fischer Middle School and addressing school climate and environment issues.

---

## **7. BOND / FACILITIES**

7.01 INFORMATION/DISCUSSION: Presentation Mission Trail Advisors LLC: Bond Issuance Update.

7.02 PRESENTATION AND/OR UPDATE ON THE BOND/FACILITIES. Mr. Luis Rojas will present a written update on the status of the Bond Projects.

7.03 INFORMATION/ACTION: Approve HVAC Upgrades Project at Dorsa Elementary School Notice of Completion.

7.04 INFORMATION/ACTION: Approve (9) New Portable Installations and New Parking Area for Hubbard Elementary School Notice of Completion.

7.05 INFORMATION/ACTION: Approve HVAC Upgrades Project at L.U.C.H.A. Elementary School Notice of Completion.

7.06 INFORMATION/ACTION: Approve Restroom and Access Upgrade Project at Mathson Middle School Notice of Completion.

7.07 ACTION: Approve Contract with Sugimura Finney Architects, Inc., Bonds for Ryan and Russo/McEntee Schools, \$252,000.00 per school site.

---

## **8. CONTRACTS OVER \$100,000**

8.01 ACTION: Approve Contract With Spectrum Center, Special Education, \$146,229.60

8.02 ACTION: Approve Contract with Curriculum Associates, LLC., Academic Services, \$346,196.25

---

## **9. INSTRUCTIONAL SERVICES**

9.01 INFORMATION/PRESENTATION: 2017 California Assessment of Student Performance and Progress (CAASPP)

9.02 ACTION: Approve Single Plan for Student Achievement.

---

## **10. HUMAN RESOURCES**

10.01 INFORMATION Regarding Resignations.

10.02 ACTION: Approval of Memorandum of Understanding between Alum Rock Educators Association and Alum Rock Union Elementary School District regarding Psychologist and Speech Pathologist Salary Schedule retroactive to July 1, 2017.

---

## **11. BUSINESS SERVICES**

11.01 INFORMATION/ACTION: To receive and approve the First Interim Financial Report.

11.02 DISCUSSION/INFORMATION/ACTION: 2016/2017 Annual Audit Extension.

11.03 INFORMATION/ACTION: Approval of Annual Developer Fees 2016-17.

11.04 INFORMATION/ACTION: Preparation of a Facilities Condition Assessment Report.

---

## **12. CONSENT CALENDAR**

12.01 ACTION: Approve Board Meeting Minutes for the following dates: (1) August 30, 2017, Special Board Meeting; and (2) November 16, 2017, Special Board Meeting.

12.02 ACTION: Acceptance of Grant/Award Notification.

12.03 ACTION: Approve Contracts for Professional Services - Individuals.

12.04 ACTION: Approve Contracts for Professional Services - Firms.

12.05 ACTION: Approve Memorandum(s) of Understanding(s).

12.06 ACTION: Acceptance of Donations.

12.07 ACTION: Approval of Fundraising Activities.

12.08 ACTION: Acceptance of Vendor & Payroll Warrants.

12.09 ACTION: Enrollment/Attendance Report for Month 3 (October 23 thru November 17, 2017).

12.10 ACTION: Approve the Santa Clara County Treasury Investment Portfolio Status.

12.11 ACTION: Amendment of Land Use Agreement with Santa Clara County Office of Education (SCCOE) at Lyndale Elementary School.

12.12 ACTION: Approve Inventory Disposal Resolution No. 17-17/18.

12.13 ACTION: Approve/Ratify Notices of Employment and Changes of Status/December 14, 2017/Human Resources Department.

12.14 ACTION: Approve Out of State Travel, Catalyst Teacher Professional Development, Boston, MA, January 11 - 12, 2018 for Ketki Tarde, Sarika Kukreja and Loretta Tanner. No cost.

12.15 ACTION: Approve Out of State Travel, Deloitte Courageous Principal Program, Westlake, TX, January 19-21, 2018 for Anna Nguyen. No cost.

12.16 ACTION: Approve Out of State Travel, FETC Conference, Orlando, FL, January 23-26, 2018 for Tracy Leathers and Cristina Bustamante. No cost.

12.17 ACTION: Approve Out of State Travel, FETC Conference, Orlando, FL, January 23-26, 2018 for Jackie Montejano and Jennifer Doherty. No cost.

12.18 ACTION: Approve School-sponsored Field Trip List.

### **13. FUTURE BOARD AGENDA REQUESTS**

---

13.01 Requests from Board of Trustees and/or from the Public.

### **14. ADJOURNMENT**

---

14.01 President adjourns the meeting.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

1.02

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

November 29, 2017

**Subject: ANNUAL ORGANIZATIONAL MEETING**  
**NOMINATION AND ELECTION OF BOARD PRESIDENT**

**ACTION**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 14, 2017  
Regular Board Meeting

**Recommend Approval**

1.02  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

Nomination By: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

1.03

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

November 29, 2017

**Subject:**

**ANNUAL ORGANIZATIONAL MEETING**

**NOMINATION AND ELECTION OF BOARD VICE-PRESIDENT**

**ACTION**

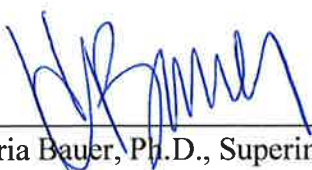
Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommend Approval**

1.03  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

Nomination By: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

1.04

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

November 29, 2017

**Subject:**

**ANNUAL ORGANIZATIONAL MEETING**

**NOMINATION AND ELECTION OF BOARD CLERK**

**ACTION**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommend Approval**

1.04  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

Nomination By: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

1.05

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

November 29, 2017

**Subject: ANNUAL ORGANIZATIONAL MEETING**

**APPOINTMENT OF THE SUPERINTENDENT AS THE  
SECRETARY TO THE BOARD**

**ACTION**

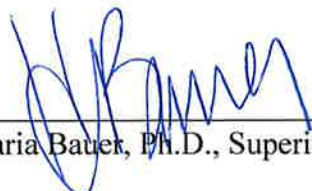
Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommend Approval**

1.05  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

6.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

November 29, 2017

**SUBJECT: PROPOSED BOARD MEETING CALENDAR FOR 2018**

January 18	February 8	March 8	April 12
May 10	June 14	July 12	August 09
September 13	October 11	November 08	December 13

**ACTION**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 14, 2017  
Regular Board Meeting

**Recommend Approval**

6.01  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_





## ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

### PROPOSED BOARD MEETING CALENDAR FOR 2018

Meetings are normally scheduled to be held at the Alum Rock School District, 2930 Gay Avenue, San Jose, California, on the **SECOND THURSDAY** of each month at 5:30 p.m. Open Session will resume at the end of Closed Session at approximately 6:30 p.m. Dates and location are subject to change to accommodate holidays and other conflicts in scheduling. Notification of any changes in dates, times or location will be publicized.

MONTH	DATE	DAY OF WEEK
<b>2017-18 School Year</b>		
<b>January</b>	<b>18</b>	<b>3<sup>rd</sup> Thursday</b>
<b>February</b>	<b>08</b>	<b>2<sup>nd</sup> Thursday</b>
<b>March</b>	<b>08</b>	<b>2<sup>nd</sup> Thursday</b>
<b>April</b>	<b>12</b>	<b>2<sup>nd</sup> Thursday</b>
<b>May</b>	<b>10</b>	<b>2<sup>nd</sup> Thursday</b>
<b>June</b>	<b>14</b>	<b>2<sup>nd</sup> Thursday</b>
<b>2018-19 School Year</b>		
<b>July</b>	<b>12</b>	<b>2<sup>nd</sup> Thursday</b>
<b>August</b>	<b>09</b>	<b>2<sup>nd</sup> Thursday</b>
<b>September</b>	<b>13</b>	<b>2<sup>nd</sup> Thursday</b>
<b>October</b>	<b>11</b>	<b>2<sup>nd</sup> Thursday</b>
<b>November</b>	<b>08</b>	<b>2<sup>nd</sup> Thursday</b>
<b>December</b>	<b>13</b>	<b>2<sup>nd</sup> Thursday</b>

2018

Board Approved Date:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

6.02

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

November 29, 2017

**Subject: CALL FOR NOMINATIONS FOR CSBA DELEGATE ASSEMBLY**

Each year, member boards elect representatives from 21 geographic regions to CSBA's Delegate Assembly. Delegates ensure that the association promotes the interests of school districts and county offices of education throughout the State. Nomination and candidate biographical sketch forms for CSBA's Delegate Assembly are not being accepted until Sunday, January 7, 2018.

**ACTION**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommend Approval**

6.02

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

October 27, 2017



**DEADLINE: Sunday, January 7, 2018**  
**BOARD ACTION REQUIRED**  
Please deliver to all governing board members.

## MEMORANDUM

To: All Board Presidents, Superintendents of CSBA Member Boards of Education

From: Susan Henry, President

Copy: All Executive Assistants

Re: Call for Nominations for CSBA Delegate Assembly – **Due Sunday, January 7, 2018**

Each year, member boards elect representatives from 21 geographic regions to CSBA's Delegate Assembly. The Delegate Assembly is a vital link in the association's governance and sets the general policy direction for the association. Working with member boards, the Board of Directors, and Executive Committee, Delegates ensure that the association promotes the interests of school districts and county offices of education throughout the state. There are two required Delegate Assembly meetings each year. In 2018, the first meeting will be May 19-20 in Sacramento and the second one will be November 28-29 in San Francisco preceding CSBA's Annual Education Conference and Trade show.

Nomination and candidate biographical sketch forms for CSBA's Delegate Assembly are now being accepted until **Sunday, January 7, 2018**. Nomination instructions are listed below:

- Any CSBA member board is eligible to nominate board members within their geographical region or subregion and may nominate as many individuals as it chooses by submitting a nomination form for each nominee.
- All nominees must serve on CSBA member boards and give their approval prior to being nominated.
- All nominees must submit a **one-page, single-sided**, candidate biographical sketch form. An optional one-page, one-sided résumé may also be submitted but cannot be substituted for the biographical sketch form.
- All nomination materials must be postmarked by the U.S.P.S., faxed or emailed no later than 11:59 p.m. on **Sunday, January 7**. It is the nominee's responsibility to confirm that all nomination materials have been received by the CSBA Executive Office by this due date. Late submissions will not be accepted.
- Ballots will be mailed by Thursday, February 1, 2018 and are due Thursday, March 15, 2018.
- Elected Delegates serve a two-year term beginning April 1, 2018 through March 31, 2020.

The nomination materials related to the nomination process are available to download at <https://www.csba.org/About/Leadership/ElectionToCsbaOffice/ElectiontotheDelegateAssembly.aspx>. For more information about the Delegate Assembly, please contact the Executive Office or Leanne Gosselin, [lgosselin@csba.org](mailto:lgosselin@csba.org) or (800) 266-3382, ext. 3302. Thank you.

*(See other side for important dates)*

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

6.03

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

November 28, 2017

**Subject: ADOPT THE FIRST READING OF THE AMENDED BOARD  
POLICY 4030, NONDISCRIMINATION IN EMPLOYMENT,  
PERSONNEL**

**DISCUSSION/ACTION**

Submitted by: Jess Serna

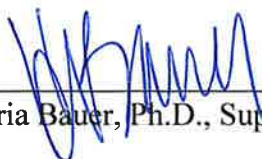
Title: Interim Assistant Superintendent

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Discussion/Action**

6.03  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_

Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_

Not Approved: \_\_\_\_\_

Tabled: \_\_\_\_\_

# **Alum Rock Union ESD**

## **Board Policy**

### **Nondiscrimination In Employment**

BP 4030

#### **Personnel**

The Governing Board is determined to provide district employees, interns, volunteers, and job applicants a safe, positive environment where they are assured of full and equal employment access and opportunities, protection from harassment or intimidation, and freedom from any fear of reprisal or retribution for asserting their employment rights in accordance with law. This policy shall apply to all district employees and, to the extent required by law, to interns, volunteers, and job applicants.

(cf. 1240 - Volunteer Assistance)

(cf. 4111/4211/4311 - Recruitment and Selection)

No district employee shall be discriminated against or harassed by any coworker, supervisor, manager, or other person with whom the employee comes in contact in the course of employment, on the basis of the employee's actual or perceived race, religious creed, color, national origin, ancestry, age, marital status, pregnancy, physical or mental disability, medical condition, genetic information, military and veteran status, gender, gender identity, gender expression, sex, or sexual orientation or his/her association with a person or group with one or more of these actual or perceived characteristics.

(cf. 0410 - Nondiscrimination in District Programs and Activities)

Discrimination in employment based on the characteristics listed above is prohibited in all areas of employment and in all employment-related practices, including the following:

1. Discrimination in hiring, compensation, terms, conditions, and other privileges of employment
2. Taking of an adverse employment action, such as termination or the denial of employment, promotion, job assignment, or training

(cf. 4151/4251/4351 - Employee Compensation)

(cf. 4154/4254/4354 - Health and Welfare Benefits)

3. Unwelcome conduct, whether verbal, physical, or visual, that is so severe or pervasive as to adversely affect an employee's employment opportunities, or that has the purpose or effect of unreasonably interfering with the individual's work performance or creating an intimidating, hostile, or offensive work environment

4. Actions and practices identified as unlawful or discriminatory pursuant to Government Code 12940 or 2 CCR 11006-11086, such as:

a. Sex discrimination based on an employee's pregnancy, childbirth, breastfeeding, or any related medical condition or on an employee's gender, gender expression, or gender identity, including transgender status

(cf. 4033 - Lactation Accommodation)

(cf. 4119.11/4219.11/4319.11 - Sexual Harassment)

b. Religious creed discrimination based on an employee's religious belief or observance, including his/her religious dress or grooming practices, or based on the district's failure or refusal to use reasonable means to accommodate an employee's religious belief, observance, or practice which conflicts with an employment requirement.

c. Disability discrimination based on a district requirement for a medical or psychological examination of a job applicant, or an inquiry into whether a job applicant has a mental or physical disability or a medical condition or as to the severity of any such disability or condition, without the showing of a job-related need or business necessity

(cf. 4119.41/4219.41/4319.41 - Employees with Infectious Disease)

d. Disability discrimination based on the district's failure to make reasonable accommodation for the known physical or mental disability of an employee or to engage in a timely, good faith, interactive process with an employee, to determine effective reasonable accommodations for the employee, when he/she has requested reasonable accommodation for a known physical or mental disability or medical condition

(cf. 4032 - Reasonable Accommodation)

The Board also prohibits retaliation against any district employee who opposes any discriminatory employment practice by the district or its employees, agents, or representatives or who complains, testifies, assists, or in any way participates in the district's complaint process pursuant to this policy. No employee who requests an accommodation for any protected characteristic listed in this policy shall be subjected to any punishment or sanction, regardless of whether the request was granted. (Government Code 12940)

Complaints concerning employment discrimination, harassment, or retaliation shall immediately be investigated in accordance with procedures specified in the accompanying administrative regulation.

Any supervisory or management employee who observes or has knowledge of an incident of prohibited discrimination or harassment shall report the incident to the Superintendent or designated district coordinator as soon as practical after the incident. All other employees are encouraged to report such incidents to their supervisor immediately. The district shall protect any employee who reports such incidents from retaliation.



The Superintendent or designee shall use all appropriate means to reinforce the district's nondiscrimination policy. He/she shall provide training and information to employees about how to recognize harassment, discrimination, or other related conduct, how to respond appropriately, and components of the district's policies and regulations regarding discrimination. The Superintendent or designee shall regularly review the district's employment practices and, as necessary, shall take action to ensure district compliance with the nondiscrimination laws.

In addition, the Superintendent or designee shall post, in a conspicuous place on district premises, the California Department of Fair Employment and Housing publication on workplace discrimination and harassment issued pursuant to 2 CCR 11013.

Any district employee who engages in prohibited discrimination, harassment, or retaliation or who aids, abets, incites, compels, or coerces another to engage or attempt to engage in such behavior in violation of this policy shall be subject to disciplinary action, up to and including dismissal.

(cf. 4118 - Dismissal/Suspension/Disciplinary Action)

(cf. 4218 - Dismissal/Suspension/Disciplinary Action)

Legal Reference:

EDUCATION CODE

200-262.4 Prohibition of discrimination

CIVIL CODE

51.7 Freedom from violence or intimidation

GOVERNMENT CODE

11135 Unlawful discrimination

11138 Rules and regulations

12900-12996 Fair Employment and Housing Act

PENAL CODE

422.56 Definitions, hate crimes

CODE OF REGULATIONS, TITLE 2

11006-11086 Discrimination in employment

11013 Recordkeeping

11019 Terms, conditions and privileges of employment

11023 Harassment and discrimination prevention and correction

11024 Sexual harassment training and education

CODE OF REGULATIONS, TITLE 5

4900-4965 Nondiscrimination in elementary and secondary education programs

UNITED STATES CODE, TITLE 20

1681-1688 Title IX of the Education Amendments of 1972

UNITED STATES CODE, TITLE 29

621-634 Age Discrimination in Employment Act

794 Section 504 of the Rehabilitation Act of 1973

UNITED STATES CODE, TITLE 42



2000d-2000d-7 Title VI, Civil Rights Act of 1964, as amended  
2000e-2000e-17 Title VII, Civil Rights Act of 1964, as amended  
2000ff-2000ff-11 Genetic Information Nondiscrimination Act of 2008  
2000h-2-2000h-6 Title IX of the Civil Rights Act of 1964  
6101-6107 Age discrimination in federally assisted programs  
12101-12213 Americans with Disabilities Act  
CODE OF FEDERAL REGULATIONS, TITLE 28  
35.101-35.190 Americans with Disabilities Act  
CODE OF FEDERAL REGULATIONS, TITLE 34  
100.6 Compliance information  
104.7 Designation of responsible employee for Section 504  
104.8 Notice  
106.8 Designation of responsible employee and adoption of grievance procedures  
106.9 Dissemination of policy  
110.1-110.39 Nondiscrimination on the basis of age  
COURT DECISIONS  
Thompson v. North American Stainless LP, (2011) 131 S.Ct. 863  
Shepard v. Loyola Marymount, (2002) 102 Cal.App.4th 837

#### Management Resources:

CALIFORNIA DEPARTMENT OF FAIR EMPLOYMENT AND HOUSING PUBLICATIONS  
California Law Prohibits Workplace Discrimination and Harassment, December 2014  
U.S. DEPARTMENT OF EDUCATION, OFFICE FOR CIVIL RIGHTS PUBLICATIONS  
Notice of Non-Discrimination, August 2010  
U.S. EQUAL EMPLOYMENT OPPORTUNITY COMMISSION PUBLICATIONS  
Questions and Answers: Religious Discrimination in the Workplace, 2008  
New Compliance Manual Section 15: Race and Color Discrimination, April 2006  
Enforcement Guidance: Vicarious Employer Liability for Unlawful Harassment by Supervisors,  
June 1999

#### WEB SITES

California Department of Fair Employment and Housing: <http://www.dfeh.ca.gov>  
U.S. Department of Education, Office for Civil Rights: <http://www.ed.gov/about/offices/list/ocr>  
U.S. Equal Employment Opportunity Commission: <http://www.eeoc.gov>

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

adopted: July 2, 2013 San Jose, California

revised: December 14, 2017

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

November 28, 2017

**Subject:**

**ADOPT THE FIRST READING OF THE AMENDED  
ADMINISTRATIVE REGULATION 4031, NONDISCRIMINATION IN  
EMPLOYMENT AND COMPLAINTS CONCERNING  
DISCRIMINATION IN EMPLOYMENT, PERSONNEL**

**DISCUSSION/ACTION**

Submitted by: Jess Serna

Title: Interim Assistant Superintendent

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Discussion/Action**

6.04  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_

Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_

Not Approved: \_\_\_\_\_

Tabled: \_\_\_\_\_

# **Alum Rock Union ESD**

## **Administrative Regulation**

### **Nondiscrimination In Employment and Complaints Concerning Discrimination In Employment**

AR 4031

#### **Personnel**

All allegations of discrimination in employment, including those involving an intern, volunteer, or job applicant, shall be investigated and resolved in accordance with procedures specified in this administrative regulation.

The district designates the position identified below as its coordinator for nondiscrimination in employment (coordinator) to coordinate the district's efforts to comply with state and federal nondiscrimination laws and to answer inquiries regarding the district's nondiscrimination policies. The coordinator may be contacted at:

Director of Human Resources

2930 Gay Avenue, San Jose, CA 95127

408 928-6503

#### **Measures to Prevent Discrimination**

To prevent unlawful discrimination, harassment, and retaliation in district employment, the Superintendent or designee shall implement the following measures:

1. Publicize the district's nondiscrimination policy and regulation, including the complaint procedures and the coordinator's contact information, by: (5 CCR 4960; 34 CFR 100.6, 106.9)
  - a. Including them in each announcement, bulletin, or application form that is used in employee recruitment
  - b. Posting them in all district schools and offices, including staff lounges and other prominent locations
  - c. Posting them on the district's web site and providing easy access to them through district-supported social media, when available

(cf. 1113 - District and School Web Sites)

(cf. 1114 - District-Sponsored Social Media)

2. Disseminate the district's nondiscrimination policy to all employees by one or more of the

following methods: (2 CCR 11023)

- a. Printing and providing a copy of the policy to all employees, with an acknowledgment form for each employee to sign and return
- b. Sending the policy via email with an acknowledgment return form
- c. Posting the policy on the district intranet with a tracking system ensuring all employees have read and acknowledged receipt of the policies
- d. Discussing the policy with employees upon hire and/or during a new hire orientation session
- e. Any other way that ensures employees receive and understand the policy

(cf. 4112.9/4212.9/4312.9 - Employee Notifications)

3. Provide to employees a handbook that contains information that clearly describes the district's nondiscrimination policy, procedures for filing a complaint, and resources available to anyone who feels that he/she has been the victim of any discriminatory or harassing behavior
4. Provide training to employees, volunteers, and interns regarding the district's nondiscrimination policy, including what constitutes unlawful discrimination, harassment, and retaliation and how and to whom a report of an incident should be made

Training for supervisors shall include the requirement to report any complaint of misconduct to a designated representative, such as the coordinator, human resources manager, or Superintendent or designee as a topic in the sexual harassment prevention training required pursuant to 2 CCR 11024 (2 CCR 11023)

(cf. 1240 - Volunteer Assistance)

(cf. 4119.11/4219.11/4319.11 - Sexual Harassment)

(cf. 4131 - Staff Development)

(cf. 4231 - Staff Development)

(cf. 4331 - Staff Development)

5. Periodically review the district's recruitment, hiring, and promotion processes and regularly monitor the terms, conditions, and privileges of employment to ensure district compliance with law
6. For any district facility where 10 percent of employees have a language other than English as their spoken language, translate the policy into every language spoken by at least 10 percent of the workforce

Complaint Procedure

Any complaint alleging unlawful discrimination or harassment shall be addressed in accordance with the following procedures:

1. Notice and Receipt of Complaint: A complainant may inform his/her direct supervisor, another supervisor, the coordinator, the Superintendent or, if available, a complaint hotline or an ombudsman.

The complainant may file a written complaint in accordance with this procedure, or if he/she is an employee, may first attempt to resolve the situation informally with his/her supervisor.

A supervisor or manager who has received information about an incident of discrimination or harassment, or has observed such an incident, shall report it to the coordinator, whether or not the complainant files a written complaint.

The written complaint should contain the complainant's name, the name of the individual who allegedly committed the act, a description of the incident, the date and location where the incident occurred, any witnesses who may have relevant information, other evidence of the discrimination or harassment, and any other pertinent information which may assist in investigating and resolving the complaint.

(cf. 0410 - Nondiscrimination in District Programs and Activities)  
(cf. 4032 - Reasonable Accommodation)

2. Investigation Process: The coordinator shall initiate an impartial investigation of an allegation of discrimination or harassment within five business days of receiving notice of the alleged discriminatory or harassing behavior, regardless of whether a written complaint has been filed or whether the written complaint is complete.

The coordinator shall meet with the complainant to describe the district's complaint procedure and discuss the actions being sought by the complainant in response to the allegation. The coordinator shall inform the complainant that the investigation of the allegations will be fair, timely, and thorough and will be conducted in a manner that provides all parties due process and reaches reasonable conclusions based on the evidence collected. He/she shall also inform the parties that the investigation will be kept confidential to the extent possible, but that some information may be revealed as necessary to conduct an effective investigation.

(cf. 3580 - District Records)  
(cf. 4112.6/4212.6/4312.6 - Personnel Files)  
(cf. 4119.23/4219.23/4319.23 - Unauthorized Release of Confidential/Privileged Information)

If the coordinator determines that a detailed fact-finding investigation is necessary, he/she shall begin the investigation immediately. As part of this investigation, the coordinator should interview the complainant, the person accused, and other persons who could be expected to have relevant information.

The coordinator shall track and document the progress of the investigation to ensure reasonable progress and shall inform the parties as necessary.

When necessary to carry out his/her investigation or to protect employee safety, the coordinator may discuss the complaint with the Superintendent or designee, district legal counsel, or the district's risk manager.

The coordinator also shall determine whether interim measures, such as scheduling changes, transfers, or leaves, need to be taken before the investigation is completed to ensure that further incidents are prevented. The coordinator shall ensure that such interim measures do not constitute retaliation.

3. **Written Report on Findings and Remedial/Corrective Action:** No more than 20 business days after receiving the complaint, the coordinator shall conclude the investigation and prepare a written report of his/her findings. This timeline may be extended for good cause. If an extension is needed, the coordinator shall notify the parties and explain the reasons for the extension.

The report shall include the decision and the reasons for the decision and shall summarize the steps taken during the investigation. If a determination has been made that discrimination or harassment occurred, the report also shall include any corrective action(s) that have been or will be taken to address the behavior, provide appropriate options for remedial actions and resolutions for the complainant, and ensure that retaliation or further discrimination or harassment is prevented.

The report shall be presented to the complainant, the person accused, and the Superintendent or designee.

4. **Appeal to the Governing Board:** The complainant or the person accused may appeal any findings to the Board within 10 business days of receiving the written report of the coordinator's findings. The Superintendent or designee shall provide the Board with all information presented during the investigation. Upon receiving an appeal, the Board shall schedule a hearing as soon as practicable. Any complaint against a district employee shall be addressed in closed session in accordance with law. The Board shall render its decision within 10 business days.

(cf. 1312.1 - Complaints Concerning District Employees)  
(cf. 9321 - Closed Session Purposes and Agendas)

#### Other Remedies

In addition to filing a discrimination or harassment complaint with the district, a person may file a complaint with either the California Department of Fair Employment and Housing (DFEH) or the Equal Employment Opportunity Commission (EEOC). The time limits for filing such complaints are as follows:

1. To file a valid complaint with DFEH, within one year of the alleged discriminatory act(s), unless an exception exists pursuant to Government Code 12960

2. To file a valid complaint directly with EEOC, within 180 days of the alleged discriminatory act(s) (42 USC 2000e-5)
3. To file a valid complaint with EEOC after first filing a complaint with DFEH, within 300 days of the alleged discriminatory act(s) or within 30 days after the termination of proceedings by DFEH, whichever is earlier (42 USC 2000e-5)

Regulation ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
approved: July 2, 2013 San Jose, California  
revised: December 14, 2017



Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

November 28, 2017

**Subject: ADOPT THE SECOND READING OF THE AMENDED BOARD  
POLICY 2121, SUPERINTENDENT'S CONTRACT,  
ADMINISTRATION**

**DISCUSSION/ACTION**

Submitted by: Hilaria Bauer Title: Superintendent

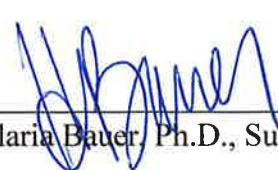
To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Discussion/Action**

6.05

Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

# **Alum Rock Union ESD**

## **Board Policy**

### **Superintendent's Contract**

BP 2121

#### **Administration**

The Governing Board believes that the Superintendent's employment contract should outline the framework through which the Board and Superintendent are to work together to achieve district goals and objectives. When approving the Superintendent's employment contract, the Board shall consider the need for stability in district administration and shall ensure the best use of district resources.

(cf. 0200 – Goals for the School District  
(cf. 2120 - Superintendent Recruitment and Selection)  
(cf. 4312.1 - Contracts)  
(cf. 9000 - Role of the Board)

The contract shall be reviewed by the district's legal counsel and may include the following:

1. General duties and responsibilities of the position

(cf. 2110 - Superintendent Responsibilities and Duties)

2. Term of the contract, which shall be for no more than four years pursuant to Education Code 35031

3. Length of the work year and hours of work

4. Salary, health and welfare benefits, and other compensation for the position

(cf. 4154/4254/4354 - Health and Welfare Benefits)

5. Reimbursement of work-related expenses, including mileage reimbursement, consistent with Board policies, regulations, and guidelines applicable to other professional administrative staff

(cf. 3350 – Travel Expenses)

The contract may also address payment for professional dues and activities, the district's provision of cell phones or other technological devices, and the Superintendent's use of his/her personal vehicle.

(cf. 4040 – Employee Use of Technology)

6. Vacation, illness and injury leave, and personal leaves

(cf. 4161/4261/4361 – Leaves)

(cf. 4161.1/4361.1 – Personal Illness/Injury Leave)

(cf. 4161.2/4261.2/4361.2 – Personal Leave)

(cf. 4161.5/4261.5/4361.5 – Military Leave)

(cf. 4161.8/4261.8/4361.8 – Family Care and Medical Leave)

7. Criteria, process, and procedure for annual evaluation of the Superintendent

(cf. 2140 - Evaluation of the Superintendent)

8. Conditions and process for termination of the contract including the maximum cash settlement that the Superintendent may receive If the contract is terminated prior to its expiration date

9. A statement that any subsequent increase in the Superintendent's salary shall be at the sole discretion of the Board

10. A statement that there shall be no automatic renewal or extension of the contract, although the Board can enter into a new contract with the Superintendent prior to the expiration of the existing contract

11. Timeline for providing written notice to the Superintendent if the Board does not wish to enter into a new contract, which shall be at least 45 days in advance of the expiration of the term of the contract pursuant to Education Cod 35031, and the responsibility of the Superintendent to remind the Board in a timely manner of the requirement to give notice

12. Matters related to liability and indemnification against demands, claims, suits, actions, and legal proceedings brought against the Superintendent in his/her official capacity in the performance of duties related to his/her employment

The Board may deliberate about terms of the contract in closed session at a regular meeting. Discussions regarding the salary, salary schedule, or other compensation may occur in closed session only as permitted under Government Code 54957.6 between the Board and its designated representative(s) (the "labor exception"), for the purpose of reviewing the Board's position or instructing the designated representative(s) prior to or during bona fide negotiations with the current or prospective Superintendent. (Government Code 54956, 54957, 54957.6)

The Board may consult with district legal counsel prior to holding a closed session with the designated representative(s) to discuss compensation to be paid to the current or prospective Superintendent.

(cf. 9320 - Meetings and Notices)

(cf. 9321 - Closed Session Purposes and Agendas)

(cf. 9321.1 - Closed Session Actions and Reports)

Terms of the contract shall remain confidential until the ratification process commences.

(cf. 9011 - Disclosure of Confidential/Privileged Information)

The Board shall take final action on the Superintendent's contract in an open meeting, which shall be reflected in the Board's minutes. Copies of the contract shall be available to the public upon request. (Government Code 53262, 54957.6)

(cf. 1340 - Access to District Records)

(cf. 3580 - District Records)

#### Termination of Contract

Prior to the expiration of the contract, the Board may terminate the Superintendent's employment contract in accordance with law and applicable contract provisions.

(cf. 4117.5/4217.5/4317.5 - Termination Agreements)

In such an event, any cash settlement that the Superintendent may receive upon termination of the contract shall not exceed his/her monthly salary multiplied by the number of months left on the contract or, if the unexpired term of the contract is more than 18 months and the contract was executed prior to January 1, 2016, no greater than the Superintendent's monthly salary multiplied by 18. For any contract executed on or after January 1, 2016, any cash settlement shall not exceed the Superintendent's monthly salary multiplied by 12. (Government Code 53260)

The cash settlement shall not include any noncash items other than health benefits, which may be continued for the same duration of time as covered in the settlement or until the Superintendent finds other employment, whichever occurs first. (Government Code 53260, 53261)

However, when the termination of the Superintendent's contract is based upon the Board's belief and subsequent confirmation through an independent audit that the Superintendent has engaged in fraud, misappropriation of funds, or other illegal fiscal practices, no cash or noncash settlement of any amount shall be provided. (Government Code 53260)

In addition, if the Superintendent is convicted of a crime involving an abuse of his/her office or position, he/she shall reimburse the district for payments he/she receives as paid leave salary pending investigation or as cash settlement upon his/her termination, and for any funds expended by the district in his/her defense against a crime involving his/her office or position. (Government Code 53243-53243.4, 53260)

Legal Reference:

EDUCATION CODE

35031 Term of employment

41325-41329.3 Conditions of emergency apportionment

GOVERNMENT CODE

3511.1-3511.2 Local agency executives

53243-53243.4 Abuse of office

53260-53264 Employment contracts

54954 Time and place of regular meetings

54956 Special meetings

54957 Closed session personnel matters

54957.1 Closed session, public report of action taken

54957.6 Closed sessions regarding employee matters

UNITED STATES CODE, TITLE 26

105 Self-insured medical reimbursement plan; definition of highly compensated individual

UNITED STATES CODE, TITLE 42

300GG-16 Group health plan; nondiscrimination in favor of highly compensated individuals

CODE OF FEDERAL REGULATIONS

1.105-11 Self-insured medical reimbursement plan

COURT DECISIONS

San Diego Union v. City Council, (1983) 146Cal.App.3d 947

ATTORNEY GENERAL OPINIONS

57 Ops. Cal. Atty. Gen. 209 (1974)

Management Resources:

CSBA PUBLICATIONS

Superintendent Contract Template, 2015

ATTORNEY GENERAL PUBLICATIONS

The Brown Act: Open Meetings for Local Legislative Bodies, 2003

WEB SITES

CSBA <http://www.csba.org>

Association of California School Administrators: <http://www.acsa.org>

Office of the Attorney General, Department of Justice: <http://caag.state.ca.us/>

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

adopted: July 2, 2013 San Jose, California

revised: December 14, 2017

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

6.06

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

December 7, 2017

**Subject: THE BOARD WILL DISCUSS AND MAY RENEW THE CONTRACT FOR REHON AND ROBERTS LAW FIRM FOR THE PURPOSE OF THE SECURITIES AND EXCHANGE COMMISSION (SEC) ISSUE, DISTRICT ATTORNEY INVESTIGATION, AND COLLECTIVE BARGAINING NEGOTIATIONS**


**Discussion/Action**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 14, 2017  
Organizational Board Meeting

**Discussion/Action**

6.06  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

December 7, 2017

**Subject: BOARD CONSIDERATION OF, AND RESPONSE TO, DECEMBER 4, 2017, LETTER FROM SANTA CLARA COUNTY OFFICE OF EDUCATION**

The Interim Santa Clara County Superintendent of Schools has requested the Board to provide the County Office of Education with its position (i.e, Accept/reject/seek another legal opinion) regarding Attorney Rogelio Ruiz's November 8, 2017, Legal Memorandum to Superintendent Bauer and Assistant Superintendent Chheng regarding "Analysis of Conflict of Interest Issues Relating to Program Management and Construction Management Services".

**Information/Discussion/Action**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 14, 2017  
Organizational Board Meeting

**Recommend Approval**

6.07  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

December 7, 2017

**Subject: THE BOARD WILL DISCUSS, REVIEW, AND/OR SELECT ONE  
OR MORE LEGAL SERVICES PROVIDER(S)**

**DISCUSSION/ACTION**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 14, 2017  
Organizational Board Meeting

**Recommend Approval**

6.08  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

December 5, 2017

**Subject: DISCUSSION AROUND GEORGE MULTI-PURPOSE COMMUNITY ROOM**

Discussion that will exclude the George campus pertaining to the earthquake faultline.

**Information/Discussion/Action**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 14, 2017  
Organizational Board Meeting

Information/Discussion/Action

6.09

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

6.10

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

December 5, 2017

**Subject: NEXT STEPS PERTAINING TO THE GEORGE/FISCHER  
MULTI-PURPOSE ROOMS**

Discussion around 'Next Steps' pertaining to the George/Fischer  
Multi-Purpose Rooms.

**Information/Discussion/Action**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 14, 2017  
Organizational Board Meeting

Information/Discussion/Action

6.10

Agenda Placement

H. Bauer

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

6.11

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

December 5, 2017

**Subject: BOARD-CREATED COMMITTEES**

The Board will discuss the merits of, and may take action to create various Board Committees, such as (1) Bonds, Facilities, and Finance Committee; (2) Curriculum and Technology Committee; (3) Parent Engagement Committee; and (4) Small Schools and Innovation Committee. If Board Committees are created, committee appointments will also be made.

**Discussion/Action**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 14, 2017  
Organizational Board Meeting

**Recommend Approval**

6.11  
Agenda Placement

Hilaria Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

6.12

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

Board of Trustees:

Date: December 1, 2017

Subject:

**Resolution No. 18-17/18**

**Work Cooperatively with Fiscal Expert to be Appointed by Santa Clara County Office of Education (SCCOE)**

Staff Analysis:

On November 9, 2017, the Board approved to accept the SCCOE's November 8 letter and all of its recommendations, which include the approval of a resolution to work cooperatively with the Fiscal Expert to be appointed by the SCCOE and its Business Branch to ensure the long-term financial stability of the District for the benefit of the students and families of the ARUSD.

Recommendation: Staff recommends the Board of Trustees approve Resolution No. 18-17/18 as presented.

Approved by: Kolvira Chheng

Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

Recommended Approval

6.12  
Agenda Placement

H. Bauer  
Hilaria Bauer, Ph.D. Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_

**Resolution No. 18-17/18**  
**Alum Rock Union Elementary School District**

**RESOLUTION DECLARING SUPPORT AND COMMITMENT TO THE COUNTY OFFICE OF  
EDUCATION ASSIGNED FISCAL EXPERT, AND TO THE GUIDANCE AND  
RECOMMENDATIONS MADE BY THE FISCAL EXPERT**

WHEREAS, the Board of Education has a fiduciary duty to meet its financial obligations in the current fiscal year and two subsequent fiscal years pursuant to Education Code 42127; and

WHEREAS, on September 15, 2017, the Santa Clara County Office of Education issued an conditional approval of the Alum Rock Union Elementary School District's budget for 2017-18, and requested that the district respond to the conditions set forth in the conditional approval by October 8, 2017; and

WHEREAS, examples of the conditions set forth in the conditional approval included additional information on the action plans related to the FCMAT audit, provide information on the budget assumptions which appear understated, make efforts to prepare timely monthly cash reconciliation along with an intent to provide a cash flow statement in the near future, as well as, make initial efforts towards identifying funds to service the non-voter approved debt; and

WHEREAS, on October 30, 2017, the district responded to the conditional approval of the budget for 2017-18 and multi-year financial projections; and

WHEREAS, on November 8, 2017, the Santa Clara County Office of Education acknowledged the district's efforts, and in accordance with Education Code sections 42127(f) and (g) completed their review of the district's budget for 2017-18 and approved such budget; and

WHEREAS, on November 8, 2017, the Santa Clara County Office of Education determined that the district is in need of the support of a Fiscal Expert appointed by the County Superintendent of Schools to assist the district in addressing remaining long-term issues that demonstrate that the district has financial distress as defined by Education Code section 42127.6; and

WHEREAS, the Fiscal Expert shall commence on January 1, 2018 and the role of such expert shall be to monitor implementation and provide leadership in the following areas:

Report quarterly the current status of planned action(s) for each recommendation of the FCMAT audit dated June 9, 2017;

Clarify the budget assumptions that appear to be understated, or provide revisions;

Prepare the monthly cash reconciliation by each monthly due date and inform us of the actions being taken to clear long-outstanding reconciling items;

Prepare a cash flow report for the current fiscal year that utilizes the ending cash balance from the June 30, 2017 monthly reconciliation as verified by a third party auditor retained by the district and approved by the Santa Clara County Office of Education;

Prepare the debt service plan for non-voter approved debt that present the source of funds for the full repayment period along with any board resolutions necessary to move cash between funds; and

WHEREAS, these actions must be taken to maintain the fiscal stability of the district, restore the creditability of the district's financial leadership and operations, and insure that the district is able to sustain a quality education program for our students.

NOW, THEREFORE, the Board of Education of the Alum Rock Union Elementary School District finds, determines, declares, orders and resolves as follows:

1. That all of the recitals set forth above are true and correct, and the Board so finds and determines.
2. That the district will cooperate completely with the Fiscal Expert and facilitate the Fiscal Expert's role as delineated above.
3. That the district is committed to fulfill its obligations to respond to, provide requested information, and take the necessary actions to resolve questions by the Santa Clara County Office of Education regarding the district's financial operations.
4. That the district will consider all recommendations made by the Fiscal Expert.
5. This resolution shall take effect upon adoption.

PASSED AND ADOPTED by the Board of Education on December 14, 2017 by the following vote:

AYES:

NOES:

ABSENT:

STATE OF CALIFORNIA )  
 ) SS  
COUNTY OF SANTA CLARA )

I, \_\_\_\_\_, Clerk/Secretary of the Governing Board, do hereby certify that the foregoing is a full, true and correct copy of a resolution duly passed and adopted by said Board at a regularly called and conducted meeting held on said date.

\_\_\_\_\_  
Clerk/Secretary of the Governing Board

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

December 8, 2017

**Subject: FCMAT UPDATE**

The Board is requesting to have an updated status on the FCMAT Report and the requested documents.

Assistant Superintendent of Business Services will provide a FCMAT update.


**INFORMATION/DISCUSSION**

Submitted by: Hilaria Bauer  Title: Superintendent

To the Board of Trustees: Meeting: December 14, 2017  
Regular Board Meeting

**Information/Discussion**

6.13  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

6.14

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

December 7, 2017

**Subject: BOARD RETREAT AND TRAINING, WHICH MAY INCLUDE A  
SESSION ON BOARD SELF-EVALUATIONS**

**Information/Discussion/Action**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 14, 2017  
Organizational Board Meeting

**Information/Discussion/Action**

6.14  
Agenda Placement

Hilaria Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

6.15

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

December 7, 2017

**Subject: BOARD CREATED STANDING COMMITTEES**

The Board will report on Board Created Standing Committees:

- Bond, Facilities, and Finance
- Curriculum and Technology
- Parent Engagement
- Small Schools and Innovation

**Information/Discussion**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 14, 2017  
Organizational Board Meeting

**Information/Discussion**

6.15  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

6.16

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

December 5, 2017

**Subject: UPDATE PERTAINING TO FISCHER MIDDLE SCHOOL**

Staff to provide an update pertaining to Fischer Middle School and addressing school climate and environment issues.

**Information/Discussion/Action**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 14, 2017  
Organizational Board Meeting

Information/Discussion/Action

6.16  
Agenda Placement

Hilaria Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

7.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:

December 1, 2017

**Subject:** **Presentation Mission Trail Advisors LLC: Bond Issuance Update**

**Staff Analysis:** Mr. Lalo Trujillo with Mission Trail Advisors (MTA), the District's consultant, will provide a presentation with updates on the Bond Issuance, including options for the District to consider.

**\*\* DISCUSSION / INFORMATION / ACTION \*\***

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommended Approval**

7.01  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

7.02

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

December 7, 2017

**Subject: UPDATE ON THE BOND/FACILITIES**

Mr. Luis Rojas, President and CEO, Del Terra, will present a written update on the status of the Bond Projects.

**Information**

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees: Meeting: December 14, 2017  
Organizational Board Meeting

**Information**

7.02  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

7.03

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Superintendent of Schools:

Date: November 20, 2017

**Subject:** HVAC Upgrades Project at Dorsa Elementary School Notice of Completion

**Staff Analysis:** The HVAC Upgrades at Dorsa Elementary School project is completed. To formally close the contract, a *Notice of Completion* needs to be filed with the Santa Clara County Recorder to reflect the status.

The original contract value was \$3,465,000.00 and the final contract value is now \$3,544,783.00. The increase on this project totaled to \$79,783.00, the funds were increased via change order. The Board approved the Change Order on November 9, 2017.

**Recommendation:** Staff recommends that the Board approve the Notice of Completion document with BRCO Constructors, Inc., and the release of all retention monies will be issued thirty five (35) calendar days after the Notice of Completion has been recorded.

Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommended Approval**

7.03

Agenda Placement

  
Hilaria Bauer, Ph.D. Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_

After Recording, Return to:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
BONDS/FACILITIES CONSTRUCTION OFFICE  
2930 GAY AVENUE  
SAN JOSE, CA 95127

Issue Without Fee Under  
Section 6103, Government Code

SPACE ABOVE THIS LINE RESERVED FOR RECORDER'S USE

## NOTICE OF COMPLETION

**NOTICE IS HEREBY GIVEN** in accordance with the provisions of Civil Code Section 3093, the Alum Rock Union Elementary School District of Santa Clara County, State of California, acting by and through its Governing Board, entered into a contract on **April 14, 2017**, with **BRCO Constructors, Inc.** whose address is at **3650 Cincinnati Ave., Rocklin, Ca 95765** for **HVAC Upgrades Project at Dorsa Elementary School** located at **1290 Bal Harbor Way, San Jose, CA 95122**, County of Santa Clara, all in accordance with Plans and Specifications therefore adopted by said Governing Board and upon the terms and conditions set forth and identified by said written contract.

**NOTICE IS FURTHER GIVEN** that the work provided to be done under said contract was completed on the **31<sup>st</sup>** day of **September 2017**.

**NOTICE IS FURTHER GIVEN** that the public board for which said work was done is the Governing Board of the Alum Rock Union Elementary School District of Santa Clara County, State of California; that the name of the surety upon the Bond by said contractor pursuant to the provisions of Chapter 3, Divisions 5 of Title 1 of the Government Code is **Travelers Casualty and Surety Company of America**

**DATED** this **14<sup>th</sup>** day of **December 2017**.

By: \_\_\_\_\_  
Andres Quintero  
President, Board of Trustees

## VERIFICATION

State of California  
County of Santa Clara

Dolores Marquez, being first duly sworn, deposes and says:

That she is the Clerk of the Governing Board of the Alum Rock Union Elementary School District of Santa Clara County, State of California, and that he makes this affidavit of verification for and on behalf of the said School District and its Governing Board; that the said School District is the owner of the property described in the foregoing notice; that affiant has read the said Notice and knows the contents thereof, and the facts therein stated are true of her own knowledge, except as to those matters therein stated on her information and belief, and as to these matters, she believes it to be true.

I declare under penalty of perjury that the foregoing is true and correct.

Date: \_\_\_\_\_

\_\_\_\_\_  
Dolores Marquez, Clerk, Board of Trustees

7.04

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Superintendent of Schools:

Date: November 20, 2017

**Subject:** (9) New Portable Installations and New Parking Area for O.S. Hubbard Elementary School Notice of Completion

**Staff Analysis:** The (9) New Portable Installations and New Parking Area for O.S. Hubbard Elementary School project is completed. To formally close the contract, a *Notice of Completion* needs to be filed with the Santa Clara County Recorder to reflect the status.

The original contract value was \$2,434,588.00 and the final contract value is now \$2,607,494.69. The increase on this project totaled to \$172,906.69, the funds were increased via change order. The Board approved the Change Order on November 9, 2017.

**Recommendation:** Staff recommends that the Board approve the Notice of Completion document with Guerra Construction Group, and the release of all retention monies will be issued thirty five (35) calendar days after the Notice of Completion has been recorded.

Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommended Approval**

7.04

Agenda Placement

  
Hilaria Bauer, Ph.D. Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_



After Recording, Return to:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
BONDS/FACILITIES CONSTRUCTION OFFICE  
2930 GAY AVENUE  
SAN JOSE, CA 95127

Issue Without Fee Under  
Section 6103, Government Code

SPACE ABOVE THIS LINE RESERVED FOR RECORDER'S USE

## NOTICE OF COMPLETION

**NOTICE IS HEREBY GIVEN** in accordance with the provisions of Civil Code Section 3093, the Alum Rock Union Elementary School District of Santa Clara County, State of California, acting by and through its Governing Board, entered into a contract on **April 14, 2017**, with **Guerra Construction Group** whose address is at **984 Memorez Drive, Santa Clara, CA 95050** for the **(9) New Portable Installations and New Parking Area Project** at **O.S. Hubbard Elementary School** located at **1680 Foley Ave., San Jose, CA 95122**, County of Santa Clara, all in accordance with Plans and Specifications therefore adopted by said Governing Board and upon the terms and conditions set forth and identified by said written contract.

**NOTICE IS FURTHER GIVEN** that the work provided to be done under said contract was completed on the **30<sup>th</sup>** day of **September 2017**.

**NOTICE IS FURTHER GIVEN** that the public board for which said work was done is the Governing Board of the Alum Rock Union Elementary School District of Santa Clara County, State of California; that the name of the surety upon the Bond by said contractor pursuant to the provisions of Chapter 3, Divisions 5 of Title 1 of the Government Code is **The Gray Insurance Company**.

**DATED** this **14<sup>th</sup>** day of **December 2017**.

By: \_\_\_\_\_  
Andres Quintero  
President, Board of Trustees

## VERIFICATION

State of California  
County of Santa Clara

Dolores Marquez, being first duly sworn, deposes and says:

That she is the Clerk of the Governing Board of the Alum Rock Union Elementary School District of Santa Clara County, State of California, and that he makes this affidavit of verification for and on behalf of the said School District and its Governing Board; that the said School District is the owner of the property described in the foregoing notice; that affiant has read the said Notice and knows the contents thereof, and the facts therein stated are true of her own knowledge, except as to those matters therein stated on her information and belief, and as to these matters, she believes it to be true.

I declare under penalty of perjury that the foregoing is true and correct.

Date: \_\_\_\_\_

\_\_\_\_\_  
Dolores Marquez, Clerk, Board of Trustees

7.05

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Superintendent of Schools:

Date: November 20, 2017

**Subject:** HVAC Upgrades Project at L.U.C.H.A. Elementary School Notice of Completion

**Staff Analysis:** The HVAC Upgrades at L.U.C.H.A. Elementary School project is completed. To formally close the contract, a *Notice of Completion* needs to be filed with the Santa Clara County Recorder to reflect the status.

The original contract value was \$2,887,200.00 and the final contract value is now \$2,914,119.60. The increase on this project totaled to \$26,919.60, the funds are were increased via change order. The Board approved the Change Order on November 9, 2017.

**Recommendation:** Staff recommends that the Board approve the Notice of Completion document with Fast-Track Construction Corporation, and the release of all retention monies will be issued thirty five (35) calendar days after the Notice of Completion has been recorded.

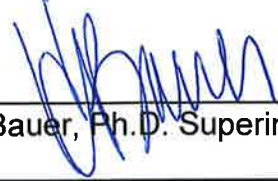
Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommended Approval**

7.05  
Agenda Placement

  
Hilaria Bauer, Ph.D. Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_

After Recording, Return to:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
BONDS/FACILITIES CONSTRUCTION OFFICE  
2930 GAY AVENUE  
SAN JOSE, CA 95127

Issue Without Fee Under  
Section 6103, Government Code

SPACE ABOVE THIS LINE RESERVED FOR RECORDER'S USE

## NOTICE OF COMPLETION

**NOTICE IS HEREBY GIVEN** in accordance with the provisions of Civil Code Section 3093, the Alum Rock Union Elementary School District of Santa Clara County, State of California, acting by and through its Governing Board, entered into a contract on **April 14, 2017**, with **Fast-Track Construction Corporation** whose address is at **5711 W. Slauson Ave., Suite 170, Culver City, Ca 90230** for **HVAC Upgrades Project at L.U.C.H.A. Elementary School** located at **1250 S. King Road, San Jose, CA 95122**, County of Santa Clara, all in accordance with Plans and Specifications therefore adopted by said Governing Board and upon the terms and conditions set forth and identified by said written contract.

**NOTICE IS FURTHER GIVEN** that the work provided to be done under said contract was completed on the **31<sup>st</sup>** day of **September 2017**.

**NOTICE IS FURTHER GIVEN** that the public board for which said work was done is the Governing Board of the Alum Rock Union Elementary School District of Santa Clara County, State of California; that the name of the surety upon the Bond by said contractor pursuant to the provisions of Chapter 3, Divisions 5 of Title 1 of the Government Code is **International Fidelity Insurance Company**

**DATED** this **14<sup>th</sup>** day of **December 2017**.

By: \_\_\_\_\_  
Andres Quintero  
President, Board of Trustees

### VERIFICATION

State of California  
County of Santa Clara

Dolores Marquez, being first duly sworn, deposes and says:

That she is the Clerk of the Governing Board of the Alum Rock Union Elementary School District of Santa Clara County, State of California, and that he makes this affidavit of verification for and on behalf of the said School District and its Governing Board; that the said School District is the owner of the property described in the foregoing notice; that affiant has read the said Notice and knows the contents thereof, and the facts therein stated are true of her own knowledge, except as to those matters therein stated on her information and belief, and as to these matters, she believes it to be true.

I declare under penalty of perjury that the foregoing is true and correct.

Date: \_\_\_\_\_

\_\_\_\_\_  
Dolores Marquez, Clerk, Board of Trustees

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

7.06

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Superintendent of Schools:

Date: November 20, 2017

**Subject:** Restroom and Access Upgrade Project at Mathson Middle School Notice of Completion

**Staff Analysis:** The Restroom and Access Upgrade at Mathson Middle School project is completed. To formally close the contract, a *Notice of Completion* needs to be filed with the Santa Clara County Recorder to reflect the status.

The original contract value was \$830,000.00 and the final contract value is now \$984,622.93. The increase on this project totaled to \$154,622.93, the funds were increased via change order. The Board approved the Change Order on November 9, 2017.

**Recommendation:** Staff recommends that the Board approve the Notice of Completion document with CTG Construction, Inc. dba C.T. Georgiou Painting Co., and the release of all retention monies will be issued thirty five (35) calendar days after the Notice of Completion has been recorded.

Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommended Approval**

7.06  
Agenda Placement

  
Hilaria Bauer, Ph.D. Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_

After Recording, Return to:

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
BONDS/FACILITIES CONSTRUCTION OFFICE  
2930 GAY AVENUE  
SAN JOSE, CA 95127

Issue Without Fee Under  
Section 6103, Government Code

SPACE ABOVE THIS LINE RESERVED FOR RECORDER'S USE

## NOTICE OF COMPLETION

**NOTICE IS HEREBY GIVEN** in accordance with the provisions of Civil Code Section 3093, the Alum Rock Union Elementary School District of Santa Clara County, State of California, acting by and through its Governing Board, entered into a contract on **May 19, 2017**, with **CTG Construction, Inc. dba C.T. Georgiou Painting Co.** whose address is at **433 Lecouvreur Ave., Wilmington, Ca 90744** for the **Restroom and Access Upgrade Project at Mathson Middle School** located at **2050 Kammerer Ave., San Jose, CA 95116**, County of Santa Clara, all in accordance with Plans and Specifications therefore adopted by said Governing Board and upon the terms and conditions set forth and identified by said written contract.

**NOTICE IS FURTHER GIVEN** that the work provided to be done under said contract was completed on the **26<sup>th</sup>** day of **August 2017**.

**NOTICE IS FURTHER GIVEN** that the public board for which said work was done is the Governing Board of the Alum Rock Union Elementary School District of Santa Clara County, State of California; that the name of the surety upon the Bond by said contractor pursuant to the provisions of Chapter 3, Divisions 5 of Title 1 of the Government Code is **The Ohio Casualty Insurance Company**

**DATED** this **14<sup>th</sup>** day of **December 2017**.

By: \_\_\_\_\_  
Andres Quintero  
President, Board of Trustees

## VERIFICATION

State of California  
County of Santa Clara

Dolores Marquez, being first duly sworn, deposes and says:

That she is the Clerk of the Governing Board of the Alum Rock Union Elementary School District of Santa Clara County, State of California, and that he makes this affidavit of verification for and on behalf of the said School District and its Governing Board; that the said School District is the owner of the property described in the foregoing notice; that affiant has read the said Notice and knows the contents thereof, and the facts therein stated are true of her own knowledge, except as to those matters therein stated on her information and belief, and as to these matters, she believes it to be true.

I declare under penalty of perjury that the foregoing is true and correct.

Date: \_\_\_\_\_

\_\_\_\_\_  
Dolores Marquez, Clerk, Board of Trustees

7.07

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

Board of Trustees:

Date: December 4, 2017

To the Superintendent of Schools

**Subject:** **Award of Contract to Sugimura Finney Architects  
HVAC Systems at Ryan Elementary and Russo/McEntee Academy Schools**

**Staff Analysis:** District staff and Program Manager, Del Terra Group, have determined there is an urgent need to replace the HVAC systems at Russo/McEntee Academy and Ryan Elementary Schools. In January 2017, the Board of Trustees approved Measure "I" Phase 1 Project List. Recently in November 2017, the Board of Trustees approved to amend Measure "I" Phase 1 Project List to include HVAC Projects at Russo/McEntee Academy and Ryan Elementary Schools.

Del Terra reached out to Board approved Sugimura Finney Architects (SFA) to provide proposals for Architectural and Engineering Services for the design and development of construction documents for approval by Division State Architects (DSA).

The following proposals were received:

<u>School</u>	<u>Contract Amount</u>	<u>Reimbursable Amount</u>
Ryan Elementary	\$250,000.00	\$2,000.00
Russo/McEntee Academy	\$250,000.00	\$2,000.00

**Recommendation:** Staff and Del Terra recommend the Board of Trustees: 1) Award the contracts to the lowest responsible proposal SFA for the replacement of HVAC systems at Ryan Elementary and Russo/McEntee Academy Schools; 2) Award the contracts in the amount of \$252,000.00 per school site to SFA.

Submitted by: Louie Moran

Title: Director of Facilities, Bonds and Leases

Approved by: Kolvira Chheng 

Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

Recommended Approval

7.07

Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · [www.arusd.org](http://www.arusd.org)

To: Hilaria Bauer, Ph.D., Superintendent

From: Kolvira Chheng, Assistant Superintendent, Business Services

Re: Award of Contract to Sugimura Finney Architects

Date: December 4, 2017

## *Background:*

District staff and Program Manager Del Terra Group have determined there is an urgent need to replace the HVAC systems at Russo and Ryan Elementary Schools.

In January 2017 the Board of Trustee's approved Measure "I" Phase 1 Project List.

In November 2017 the Board of Trustee's approved to amend Measure "I" Phase 1 Project list to include HVAC Projects at Ryan and Russo/McEntee Elementary Schools.

## *Summary:*

Del Terra reached out to Board approved architect Sugimura Finney Architects to provide proposals for Architectural and Engineering Services for the design and development of construction documents for approval by Division State Architects (DSA). Proposal also includes biddable documents, and construction administration service.

The proposal received for the following school sites are as follows:

<b><u>School</u></b>	<b><u>Contract Amount</u></b>	<b><u>Reimbursable Amount</u></b>
Ryan Elementary School	\$250,000.00	\$2,000.00
Russo/McEntee Elementary School	\$250,000.00	\$2,000.00

## *Recommendation:*

Based on the evaluation, experience, and reputation of Sugimura Finney Architects, Del Terra Group and District staff recommends the Board of Trustees award the contracts to Sugimura Finney Architects in the amount of \$252,000.00 per school site.



13181 Crossroads Pkwy North, Ste 540  
City of Industry, CA

Phone: 626.839.9300  
Fax: 626.839.9307

201 Spear Street, Suite 1100  
San Francisco, CA 94105

Phone: 415.230.5352  
Fax: 415.230.5301

Louie Moran  
Director of Facilities, Bonds and Leases  
Alum Rock Union Elementary School District  
2930 Gay Ave.  
San Jose, CA 95127

November 28, 2017

**NEW HVAC DESIGN (by SFA) FOR RYAN AND RUSSO ELEMENTARY SCHOOLS**

**Reference: Proposals dated October 20, 2017 for Architectural Fees for Ryan and Russo Elementary Schools HVAC Upgrades Projects**

Dear Mr. Moran:

Del Terra has reviewed the proposals from Sugimura Finney Architects for the HVAC Upgrade Projects at Ryan E.S. and Russo E.S. In SFA's proposals they have acknowledged, they will provide Design Development which includes Mechanical, Electrical, Plumbing, and Structural Engineering, Construction Documents to submit to DSA for approval and provide biddable documents, and Construction Administration.

As the District knows, SFA had originally submitted a proposal, as well as another Architectural Firm, for this past Summer 2017 New HVAC Summer Projects and SFA had the lowest cost proposal at that time. SFA also submitted proposals for the next years' 2018 new HVAC Upgrade Projects at Cureton, McCollam, and Arbuckle. The current cost proposals for Ryan and Russo are in alignment with the previous 3 cost proposals relating to the 2018 projects.

Per the District request, DTG reviewed the latest SFA proposals as noted for the Ryan and Russo new HVAC Upgrade Projects. It is reasonable to conclude that SFA has the most extensive history and knowledge of the District's needs and desires for HVAC Upgrade Projects.

Del Terra and District Staff evaluation of the proposal and the experience working with the above-mentioned architect, determined that SFA is capable of completing the design required for the HVAC Upgrade Projects. Therefore, Del Terra recommends the acceptance of the proposals from SFA Architects

Consultant Name	Ryan HVAC	Russo HVAC		TOTAL
Sugimora/Finney Architects (SFA)	\$250,000	\$250,000		\$500,000

Our evaluation of the experience and reputation of the above mentioned Architectural firm indicates that they are capable of completing the services. Therefore, we recommend the acceptance Sugimora/Finney Architects (SFA) and their proposal. We have attached a copy of the proposals. Please review them and let us know if there are any questions.



Sincerely,



Joe Stam  
Program Manager



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: BONDS (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: (SFA) Sagimora Finney Architects  
 Address: 2155 S. Bascom Ave. #200 City: Campbell State: CA Zip: 95008  
 Phone: (408) 879-0600 Fax: ( )  
 SSN: \_\_\_\_\_ Fed I.D. #: 77-0111305  
 Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates December 1, 2017 to September 30, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Architectural Services for New HVAC Upgrades Ryan + R  
Elementary Schools

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Includes the design, mechanical, plumbing, electrical, &  
Structural engineering for a biddable set of drawings.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

✓ b. **Flat Rate:** \$ 250,000.00 to be the total payment to the Contractor including travel and/or other expenses.

\_\_\_\_\_ c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>21</u>	<u>100</u>	<u>9601 0</u>	<u>6210</u>	<u>250,000.00</u>		
<u>21</u>	<u>100</u>	<u>9601 0</u>	<u>6211</u>	<u>2,000.00</u>		<u>NEW</u>
						<u>refin</u>

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
 FROM: BONDS (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: (SFA) Sugimora Finney Architects  
 Address: 2155 S. Bascom Ave. #200 City: Campbell State: CA Zip: 95008  
 Phone: (408) 879-0600 Fax: ( ) 28  
 SSN: \_\_\_\_\_ Fed I.D. #: 77-011305  
 Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates December 1, 2017 to September 30, 2018  
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:  
Architectural Services for New HVAC Upgrades for Russo Elementary School.  
 B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:  
Includes the design, mechanical, plumbing, electrical & structural engineering for biddable set of drawings.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Flat Rate:** \$ 250,000 to be the total payment to the Contractor including travel and/or other expenses.

\_\_\_\_\_ c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>21</u>	<u>320</u>	<u>9601 0</u>	<u>6210</u>	<u>250,000.00</u>		
<u>21</u>	<u>320</u>	<u>9601 0</u>	<u>6211</u>	<u>2,000.00</u>		<u>MA 12/5/17</u>

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Superintendent of Schools:

Date: November 29, 2017

Subject: Spectrum Center, Inc.

Staff Analysis: The proposed contract is based on a valid IEP for two students that reside in Alum Rock.

Spectrum Center is a certified, highly specialized nonpublic school that provides individualized instruction and behavior support services to autistic or severe behaviorally disordered students. These students have attended programs in Alum Rock. At this time, due to the severity of the student's needs (ADHD, conduct disorder and aggressive behaviors) a nonpublic school setting was necessary.

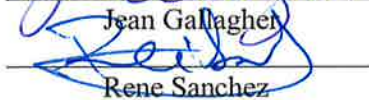
Recommendation: It is my recommendation that the Board approve the contract with Spectrum Center for the 2017-2018 school year. The cost of this contract is \$146,229.60.

Submitted by:

  
Jean Gallagher

Title: Chief Special Services Officer

Approved by:

  
Rene Sanchez

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: December 14, 2017

Recommended Approval

8.01

Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## Request for Contracted Services

To: BUSINESS OFFICE Contract No.: \_\_\_\_\_ Vendor No.: \_\_\_\_\_

Special Education (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☐ **MOU** (negotiated Agreement)  
☐ Exhibit B & C (Fingerprinting and TB Test)

☒ **MASTER CONTRACT PARTICIPATION**  
☒ Scope of Work/Proposal

**Note:** All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement (BUS-109) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: Spectrum Center, Inc.

Address: 16360 San Pablo Ave City: San Pablo State: CA Zip: 94806

Phone: (510) 741-2729 Fax: (510) 724-2913

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

**CONTRACT TERM:** effective dates July 1, 2017 to June 30, 2018

### CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals and other documentation if necessary.)

Tuition related cost for non public school

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

☒ a. **Fee Rate:** \$ 2@ \$235.00 per day Not to Exceed 210 days = 98,70 of services.

☐ b. **Other:** \$ \_\_\_\_\_

Describe rate agreement or other costs: Speech, OT, 1:1 Aide, counseling = \$47,529.60

**BUDGET CODE:** 08-380-1820-0-5100

Total 146,229.60

### APPROVALS:

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: [Signature] Date: 11-9-17

Director of Fiscal Services: \_\_\_\_\_ Date: \_\_\_\_\_

Asst. Supt., of Business Services: \_\_\_\_\_ Date: \_\_\_\_\_

Superintendent: \_\_\_\_\_ Date: \_\_\_\_\_

Board of Trustees: \_\_\_\_\_ Date: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San Jose, CA 95127

8.02

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:

Date: December 8, 2017

**Subject:** **CONTRACTS OVER \$100,000**

**Staff Analysis:** Curriculum Associates, LLC, (iReady) provides an online instructional platform in math and reading, corresponding diagnostic assessments, and materials/products for district leaders, site administrators, and classroom teachers so they can provide 21<sup>st</sup> century aligned common core support for all students in grades K-8.

**Recommendation:** Staff recommends the Board approve the contract for Curriculum Associates, LLC, (iReady) to provide access to online instructional platform in math and reading in the amount of \$346,196.25.

Submitted by: Rene Sanchez

RS

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommend Approval**

8.02

Agenda Placement

H. Bauer

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_

FROM: Academic Services (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Curriculum Associates, LLC  
 Address: 153 Rangeway Rd City: N. Billerica State: MA Zip: 01862-090  
 Phone: (800) 225-0248 Fax: (800) 366-1158  
 SSN: \_\_\_\_\_ Fed I.D. #: 26-3954988  
 Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates 11/10/17 to 08/01/18

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report: For Grades K-8  
Online Adaptive learning software and assessment prog'  
 B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:  
Ready diagnostic and instruction reading and  
math site licenses. See Price Quote # 185966.2  
for payment.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment ~~(Form BUS 106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered,~~ and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Flat Rate:** \$ 346,196.25 to be the total payment to the Contractor including travel and/or other expenses.

\_\_\_\_\_ c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>03</u>	<u>305</u>	<u>5030/0</u>	<u>5815</u>	<u>\$346,196.25</u>		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: December 4, 2017

**Subject:** 2017 California Assessment of Student Performance and Progress (CAASPP)

**Staff Analysis:** The purpose of the presentation is to inform the Board of Trustees about the District's performance on the CAASPP assessments.

**Information/Presentation**

Submitted by: Iván Montes

Title: Coordinator, Academic Services

Approved by: Rene Sanchez *R.S.*

Title: Assistant Superintendent, Instructional Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Information Only**

*9.01*

Agenda Placement

*[Signature]*

Hilaria Bauer, Ph.D, Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

9.02

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

December 5, 2017

**Subject: APPROVE THE SINGLE PLAN FOR STUDENT ACHIEVEMENT**

**Action**

Submitted by: Rene Sanchez Title: Assist. Supt., Instructional Servs.

To the Board of Trustees: Meeting: December 14, 2017  
Organizational Board Meeting

**Recommend Approval**

9.02  
Agenda Placement

Hilaria Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

10.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: Information Regarding Resignations

Staff Analysis:

Pursuant to Board Policy 4117.2 the Superintendent or her designee has accepted the following resignations.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:  
**Information Only**

Meeting:

December 14, 2017  
Regular Board Meeting

10.01  
Agenda Placement

Hilario Bauer  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**HUMAN RESOURCES DEPARTMENT  
MEETING OF THE BOARD OF TRUSTEES**

**December 14, 2017**

**CLASSIFIED RESIGNATIONS:**

**I. Resignations:**

1. Dang, Nguyet

Administrator/Fiscal Services

11/16/17

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

10.02

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: **Approval of Memorandum of Understanding between Alum Rock Educators Association and Alum Rock Union Elementary School District regarding Psychologist and Speech Pathologist Salary Schedule retroactive to July 1, 2017.**

**Staff Analysis:**

The District and Alum Rock Educators Association (AREA) recognize the need for recruiting and retaining psychologists and speech pathologists for employment in Alum Rock Union Elementary School District. In an effort to reduce the need for hiring contracted employees to fill speech pathologist and school psychologist positions, the attached salary schedule will be put into place for the 2017/2018 school year.

**Recommendation:**

The administration is recommending that the Board of Trustees approve the attached Memorandum of Understanding regarding Psychologist and Speech Pathologist salary schedule.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:  
**Recommend Approval**

Meeting: December 14, 2017  
Regular Board Meeting

10.02  
Agenda Placement

Hilaria Bauer, Ph. D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**Memorandum of Understanding**

**Between**

**Alum Rock Educators Association**

**And**


**Alum Rock Union Elementary School District**

**Regarding**

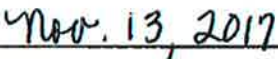
**PSYCHOLOGIST AND SPEECH PATHOLOGIST SALARY SCHEDULE**


**Article XVI: Salary**

1. The District and AREA recognize the need for recruiting and retaining psychologists and speech pathologists for employment in Alum Rock Union Elementary School District.
2. In an effort to reduce the need for hiring contracted employees to fill speech pathologist and school psychologist positions, the attached salary schedule will be put into place for the 2017/2018 school year.
3. This memorandum of understanding will be retroactive to July 1, 2017.

  
\_\_\_\_\_  
Alum Rock Educators Association

  
\_\_\_\_\_  
Alum Rock Union Elementary School District

  
\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Date

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
SALARY SCHEDULE  
PSYCHOLOGISTS & SPEECH THERAPISTS  
2017-2018**

Effective: July 1, 2017

1	2	3	4	5	6	7
\$ 88,324	\$ 93,234	\$ 98,136	\$ 100,582	\$ 103,037	\$ 105,494	\$ 107,951

Longevity Increments of \$2,705 at the 15th, \$3,789 at the 20th and 25th year.

Earned Masters: \$1,000 on base salary or Earned Doctorate: \$2,000 on base salary

\* During the duration of the Agreement, three (3) Professional Development Days ("PD's") for represented Certificated employees will be added to the yearly school calendar, increasing the Certificated employees work year calendar from 182 days to 185 days a year, reflected in the above salary schedule.

11-26-17

 11/14/17

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees:

**Subject:** 2017 – 2018 First Interim Report

**Staff Analysis:** The First Interim Report is one measure designed to help the County and ultimately the State to monitor each school district's fiscal health. According to the State requirements, in order to have a Positive Certification, ARUESD must project at least 3% in unrestricted reserves in each of the current and following two years. Districts that may not meet this requirement receive a qualified budget certification and Districts that do not demonstrate that they will meet this requirement receive a negative budget certification. If any problems are anticipated, the County will offer immediate fiscal management advisory services.

School districts in California are required to maintain their financial affairs in accordance with prudent financial management principles. Those principles were strengthened and codified in 1991 with the passage of AB 1200. The increased oversight provided by AB 1200 was in response to a number of school district near-bankruptcies, requests for state loans, and defaults on school district financial obligations.

The statute requires school districts to self-certify budgets, interim reports, unaudited actuals, and disclosure statements related to collective bargaining. The law also requires county offices of education (COE) to review the various certifications and determine whether the financial position of the district is in conformance with state-recommended standards and criteria. The COE is empowered to initiate action if problems are disclosed or discovered. That action may take the form of additional questions or warnings, a lowering of the self-rating from "positive" to either "qualified" or "negative," appointment of a fiscal advisor, or more stringent measures if the situation warrants.

The Alum Rock Union Elementary School District is presenting a Positive Certification of its financial condition. This means that the District will be able to meet its financial obligations for the current fiscal year, subsequent fiscal year two and year three.

**Recommendation:** Staff recommends approval of the First Interim Financial Report for 2017 – 2018.

Submitted by: Kolvira Chheng

Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommend Approval**

11.01  
Agenda Placement

Hilaria Bauer  
Hilaria Bauer Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

APPROVED: \_\_\_\_\_ NOT APPROVED: \_\_\_\_\_ TABLED: \_\_\_\_\_



NOTICE OF CRITERIA AND STANDARDS REVIEW. This interim report was based upon and reviewed using the state-adopted Criteria and Standards. (Pursuant to Education Code (EC) sections 33129 and 42130)

Signed: \_\_\_\_\_  
District Superintendent or Designee

Date: \_\_\_\_\_

NOTICE OF INTERIM REVIEW. All action shall be taken on this report during a regular or authorized special meeting of the governing board.

To the County Superintendent of Schools:

This interim report and certification of financial condition are hereby filed by the governing board of the school district. (Pursuant to EC Section 42131)

Meeting Date: December 14, 2017

Signed: \_\_\_\_\_  
President of the Governing Board

#### CERTIFICATION OF FINANCIAL CONDITION

☒ **POSITIVE CERTIFICATION**

As President of the Governing Board of this school district, I certify that based upon current projections this district will meet its financial obligations for the current fiscal year and subsequent two fiscal years.

☐ **QUALIFIED CERTIFICATION**

As President of the Governing Board of this school district, I certify that based upon current projections this district may not meet its financial obligations for the current fiscal year or two subsequent fiscal years.

☐ **NEGATIVE CERTIFICATION**

As President of the Governing Board of this school district, I certify that based upon current projections this district will be unable to meet its financial obligations for the remainder of the current fiscal year or for the subsequent fiscal year.

Contact person for additional information on the interim report:

Name: Efrain Robles

Telephone: (408) 928-6839

Title: Director, Fiscal Services

E-mail: efrain.robles@arUSD.org

### Criteria and Standards Review Summary

The following summary is automatically completed based on data provided in the Criteria and Standards Review form (Form 01CSI). Criteria and standards that are "Not Met," and supplemental information and additional fiscal indicators that are "Yes," may indicate areas of potential concern, which could affect the interim report certification, and should be carefully reviewed.

CRITERIA AND STANDARDS			Met	Not Met
1	Average Daily Attendance	Funded ADA for any of the current or two subsequent fiscal years has not changed by more than two percent since budget adoption.		X

CRITERIA AND STANDARDS (continued)			Met	Not Met
2	Enrollment	Projected enrollment for any of the current or two subsequent fiscal years has not changed by more than two percent since budget adoption.		X
3	ADA to Enrollment	Projected second period (P-2) ADA to enrollment ratio for the current and two subsequent fiscal years is consistent with historical ratios.	X	
4	Local Control Funding Formula (LCFF) Revenue	Projected LCFF revenue for any of the current or two subsequent fiscal years has not changed by more than two percent since budget adoption.		X
5	Salaries and Benefits	Projected ratio of total unrestricted salaries and benefits to total unrestricted general fund expenditures has not changed by more than the standard for the current and two subsequent fiscal years.	X	
6a	Other Revenues	Projected operating revenues (federal, other state, other local) for the current and two subsequent fiscal years have not changed by more than five percent since budget adoption.		X
6b	Other Expenditures	Projected operating expenditures (books and supplies, services and other expenditures) for the current and two subsequent fiscal years have not changed by more than five percent since budget adoption.		X
7	Ongoing and Major Maintenance Account	If applicable, changes occurring since budget adoption meet the required contribution to the ongoing and major maintenance account (i.e., restricted maintenance account).	X	
8	Deficit Spending	Unrestricted deficit spending, if any, has not exceeded the standard in any of the current or two subsequent fiscal years.		X
9a	Fund Balance	Projected general fund balance will be positive at the end of the current and two subsequent fiscal years.	X	
9b	Cash Balance	Projected general fund cash balance will be positive at the end of the current fiscal year.	X	
10	Reserves	Available reserves (e.g., reserve for economic uncertainties, unassigned/unappropriated amounts) meet minimum requirements for the current and two subsequent fiscal years.	X	

SUPPLEMENTAL INFORMATION			No	Yes
S1	Contingent Liabilities	Have any known or contingent liabilities (e.g., financial or program audits, litigation, state compliance reviews) occurred since budget adoption that may impact the budget?	X	
S2	Using One-time Revenues to Fund Ongoing Expenditures	Are there ongoing general fund expenditures funded with one-time revenues that have changed since budget adoption by more than five percent?		X
S3	Temporary Interfund Borrowings	Are there projected temporary borrowings between funds?		X
S4	Contingent Revenues	Are any projected revenues for any of the current or two subsequent fiscal years contingent on reauthorization by the local government, special legislation, or other definitive act (e.g., parcel taxes, forest reserves)?	X	
S5	Contributions	Have contributions from unrestricted to restricted resources, or transfers to or from the general fund to cover operating deficits, changed since budget adoption by more than \$20,000 and more than 5% for any of the current or two subsequent fiscal years?	X	

SUPPLEMENTAL INFORMATION (continued)			No	Yes
S6	Long-term Commitments	Does the district have long-term (multiyear) commitments or debt agreements?		X
		• If yes, have annual payments for the current or two subsequent fiscal years increased over prior year's (2016-17) annual payment?		X
		• If yes, will funding sources used to pay long-term commitments decrease or expire prior to the end of the commitment period, or are they one-time sources?		X
S7a	Postemployment Benefits Other than Pensions	Does the district provide postemployment benefits other than pensions (OPEB)?		X
		• If yes, have there been changes since budget adoption in OPEB liabilities?	X	
S7b	Other Self-insurance Benefits	Does the district operate any self-insurance programs (e.g., workers' compensation)?	X	
		• If yes, have there been changes since budget adoption in self-insurance liabilities?	n/a	
S8	Status of Labor Agreements	As of first interim projections, are salary and benefit negotiations still unsettled for:		
		• Certificated? (Section S8A, Line 1b)	X	
		• Classified? (Section S8B, Line 1b)	X	
S8	Labor Agreement Budget Revisions	• Management/supervisor/confidential? (Section S8C, Line 1b)	X	
		For negotiations settled since budget adoption, per Government Code Section 3547.5(c), are budget revisions still needed to meet the costs of the collective bargaining agreement(s) for:		
		• Certificated? (Section S8A, Line 3)	n/a	
S8		• Classified? (Section S8B, Line 3)	n/a	
S9	Status of Other Funds	Are any funds other than the general fund projected to have a negative fund balance at the end of the current fiscal year?	X	

ADDITIONAL FISCAL INDICATORS			No	Yes
A1	Negative Cash Flow	Do cash flow projections show that the district will end the current fiscal year with a negative cash balance in the general fund?	X	
A2	Independent Position Control	Is personnel position control independent from the payroll system?		X
A3	Declining Enrollment	Is enrollment decreasing in both the prior and current fiscal years?		X
A4	New Charter Schools Impacting District Enrollment	Are any new charter schools operating in district boundaries that are impacting the district's enrollment, either in the prior or current fiscal year?	X	
A5	Salary Increases Exceed COLA	Has the district entered into a bargaining agreement where any of the current or subsequent fiscal years of the agreement would result in salary increases that are expected to exceed the projected state funded cost-of-living adjustment?		X
A6	Uncapped Health Benefits	Does the district provide uncapped (100% employer paid) health benefits for current or retired employees?	X	
A7	Independent Financial System	Is the district's financial system independent from the county office system?		X
A8	Fiscal Distress Reports	Does the district have any reports that indicate fiscal distress? If yes, provide copies to the COE, pursuant to EC 42127.6(a).		X
A9	Change of CBO or Superintendent	Have there been personnel changes in the superintendent or chief business official (CBO) positions within the last 12 months?		X

11.02

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**


To the Board of Trustees:

**Subject:** 2016/2017 Annual Audit Extension

**Staff Analysis:** The District's independent auditor, Crowe Howarth LLP, is requesting for an audit extension from 12/15/17 to 2/28/17 due to extraordinary circumstance arising out of possible increased risk assessments and procedures to be performed. Pursuant of Education Code 41020.2(a), the county superintendent of schools may, after consultation with the school district governing board and the auditors under contract to the district and with the consent of the Controller's office and the Superintendent of Public Instruction, grant an appropriate extension for the completion of the audit and the filing of the audited financial statements.

Additionally, pursuant of Education Code 41020.3, by January 31 of each year, the District is required to review, at a public meeting, the audit report. As a result of the extended deadline for the audit, the January 31 deadline will need to be moved to March 31, 2018.

**Recommendation:** \*\*DISCUSSION/INFORMATION/ACTION\*\*

Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

Recommended Approval

11.02

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

APPROVED: \_\_\_\_\_ NOT APPROVED: \_\_\_\_\_ TABLED: \_\_\_\_\_

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:

December 1, 2017

**Subject:** **Approval of Annual Developer Fees 2016-17**

**Staff Analysis:** Government Code Section 66006 requires local agencies, including school districts, which are collecting developer fees to provide an annual accounting of such fees. In accordance with GC 66006(b) (1) the information is required to be made available to the public within 180 days of the last day of the fiscal year, and shall include the following information for the prior fiscal year:

- (A) A brief description of the type of fee in the account or fund.
- (B) The amount of the fee.
- (C) The beginning and ending balance of the account or fund.
- (D) The amount of the fees collected and the interest earned.
- (E) An identification of each public improvement on which fees were expended and the amount of the expenditures on each improvement, including the total percentage of the cost of the public improvement that was funded with fees.
- (F) An identification of an approximate date by which the construction of the public improvement will commence if the local agency determines that sufficient funds have been collected to complete financing on an incomplete public improvement, as identified in paragraph (2) of subdivision (a) of Section 66001, and the public improvement remains incomplete.
- (G) A description of each interfund transfer or loan made from the account or fund, including the public improvement on which the transferred or loaned fees will be expended, and, in the case of an interfund loan, the date on which the loan will be repaid, and the rate of interest that the account or fund will receive on the loan.
- (H) The amount of refunds made pursuant to subdivision (e) of Section 66001 and any allocations pursuant to subdivision (f) of Section 66001.

This summary report provides the required information and is presented for review and approval in accordance with Government Code Section 66006.

**Recommendation:** Staff recommends that the Board of Trustees approve the Annual Accounting of Developer Fees 2016-2017 as presented.

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommend Approval**

11.03  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Date: December 07, 2017

Annual disclosure of Developer Fees: Fund 25 for the fiscal year 2016-17.

Government Code (G.C.) Section 66006 (b) requires any public agency collecting developer fees to report annually on the status of these funds. The report contained herein is the status report of developer fees collected during the fiscal year ended June 30, 2017.

The statutory developer fee rates are:

\$2.24 for residential properties  
\$0.32 for commercial properties

Alum Rock Union Elementary School District receives 60% of the fees collected.  
The remaining 40% is credited to the appropriate high school district.

There have been no changes to the fee amounts charged since the adoption of resolution #29 in 2014-2015.

The total fees collected in 2016-2017 fiscal year were \$664,252.00.  
The total interest earned in the account was \$12,795.23.

The beginning fund balance as of July 1, 2016 was \$1,381,370.92.

The ending fund balance as of June 30, 2017 is \$2,058,418.15.

There were no uses of Developer Fees in fiscal year 2016-2017.



11.04

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:

December 1, 2017

**Subject:** Preparation of a Facilities Condition Assessment Report

**Staff Analysis:** In September the District released an RFQ requesting statements of qualification from firms interested in providing consulting services to prepare a facilities condition assessment report for the District. Out of thirty plus inquiries received, four firms submitted a proposal, which were EMG, IBI, Jacobs and Hibser Yamauchi (HY). A committee of various district staff, including union representatives, was formed to interview the four firms that submitted proposals. Based on all four interviews and after careful review, the committee determined that EMG would be an excellent company to partner with the District in the development of a facilities condition assessment report. EMG is an architectural and engineering firm with thirty (30) years of experience located in the Bay Area. Their team includes registered architects and licensed engineers, sustainability professionals, energy auditors, and ADA specialists.

**Recommendation:** Staff recommends the approval of EMG to develop and prepare the facilities condition assessment report for the District. Staff is also requesting authorization for the District to enter into contract discussions with EMG for the preparation of such report.

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommend Approval**

11.04  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_





ALUM ROCK UNION

SCHOOL DISTRICT

EST 1930

RFQ No. 1718-BUS01

## FACILITIES ASSESSMENT

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

November 9, 2017



EMG

2121 North California Boulevard, Suite 290, Walnut Creek, CA 94596

T (800) 733-0660 | F (410) 785-6220 | [www.EMGCorp.com](http://www.EMGCorp.com)



November 8, 2017

Alum Rock Union Elementary School District  
Purchasing Department  
2930 Gay Avenue  
San Jose, CA 95127

RE: Facilities Assessment, RFQ No. 1718-BUS01

Dear Ms. Martinez,

Clampett Industries LLC d/b/a EMG (EMG) is pleased to provide the Alum Rock Union Elementary School District (District) with the enclosed proposal in response to the District's RFQ for Facilities Assessment Services. EMG is a leading architectural, engineering, and environmental consulting firm specializing in facility assessments and capital planning. Facility Condition Assessments are core services of EMG. We have completed thousands of projects with more than 700M SF of space in the last 3 years for K-12 Schools, Higher Education, and Government clients. This proposal details EMG as highly qualified to conduct the assessment services due to the following:

**Proven Experience** | EMG has been providing Facility Condition Assessments and capital planning studies for 30 years. EMG has 600 employees nationwide including 60 professionals in the State of California. EMG has completed 8,400 projects in California.

**Highly Qualified Team** | EMG is an architecture and engineering firm focused solely on building lifecycle and capital planning studies, our team includes registered architects and licensed engineers, sustainability professionals, energy auditors, and ADA specialists that make up a qualified in-house team to complete the services within the requested time period. The proposed team is ready and able to enter into a contract with the District.

**Data Collection** | EMG proposes utilizing AssetCALC™ as the platform for all data collected on this project. AssetCALC™ is a web-based SQL system that allows users to query information regarding specific items or across the entire asset portfolio. Using this platform is your option and we have included two (2) years of licensing at no charge to the District.

**CMMS Integration** | EMG can assist with transferring the assessment data into a format compatible with your current CMMS system. EMG is also the National A/E Partner with SchoolDude and has implemented more than 100 data integrations with their software.

EMG is an Equal Opportunity Employer. The following pages further detail our history, similar project experience, our key personnel and team, and our approach to your project. EMG is committed to working with the District to provide the highest possible quality of service and is dedicated to providing services with only the most qualified professionals. Our comprehensive team and experience will add value to the delivery of your project.

We appreciate the opportunity to present our qualifications for these services. We have read and reviewed the Indemnification Provision and EMG has no objection to the conditions of the provision. I am authorized to bind EMG to all terms, conditions, and commitments made in the proposal. Please contact me at 800.733.0660, ext. 2704 or [EPiller@emgcorp.com](mailto:EPiller@emgcorp.com) to further discuss our qualifications.

Sincerely,

Erik Piller, Senior Vice President



## 2 - PROPOSED TEAM

### HISTORY OF EMG

Founded in 1986, Clampett Industries, LLC d/b/a EMG is a professional service consulting firm providing comprehensive architectural, engineering, energy, and environmental solutions. Our team includes 600 building professionals nationwide, including Registered Architects, Professional Engineers, Certified Energy Managers, Environmental Professionals, Building Systems Consultants, and Code Compliance Experts.

Annually, EMG conducts thousands of assessments for K-12 Education, Higher Education, Government, and Commercial Banking. Having successfully completed assessments of several million square feet of building space, EMG has developed a proven and efficient methodology for the performance of field assessments, and data collection. Our internal Information Technology group supports the development of field data collection programs and Client database applications. We deliver web-based software solutions to our Clients through partnerships with providers of facility management software applications.

EMG's recommendations are based on knowledge of property conditions, market conditions, regulations, and Client objectives. The firm's core of architectural, construction, engineering, environmental, and seismic expertise forms the foundation on which the company teams with Clients to create and implement real property management solutions, ranging from complex, web-based facility and portfolio management programs to traditional property assessments required by lenders. By taking advantage of EMG's unique organizational structure, our clients benefit from consistency in approach as well as national coverage with our regionally-based professional staff.

### COMPANY INFORMATION

Name of Company:	EMG
Year Founded:	1986
Ownership:	Limited Liability Company
Office Address:	2121 N. California Blvd. #290 Walnut Creek, CA 94596
Primary Contact:	Erik Piller, Sr. Vice President
Telephone:	(800) 733-0660, ext. 2704
Mobile:	(408) 505-6117
Email:	EPiller@emgcorp.com
Website:	www.emgcorp.com

### SERVICES

- School Facilities Assessment Planning
- Educational Adequacy Assessments
- Feasibility Studies
- Energy Studies
- Project Management
- ADA Accessibility Compliance
- Construction Monitoring
- Plan and Document Review

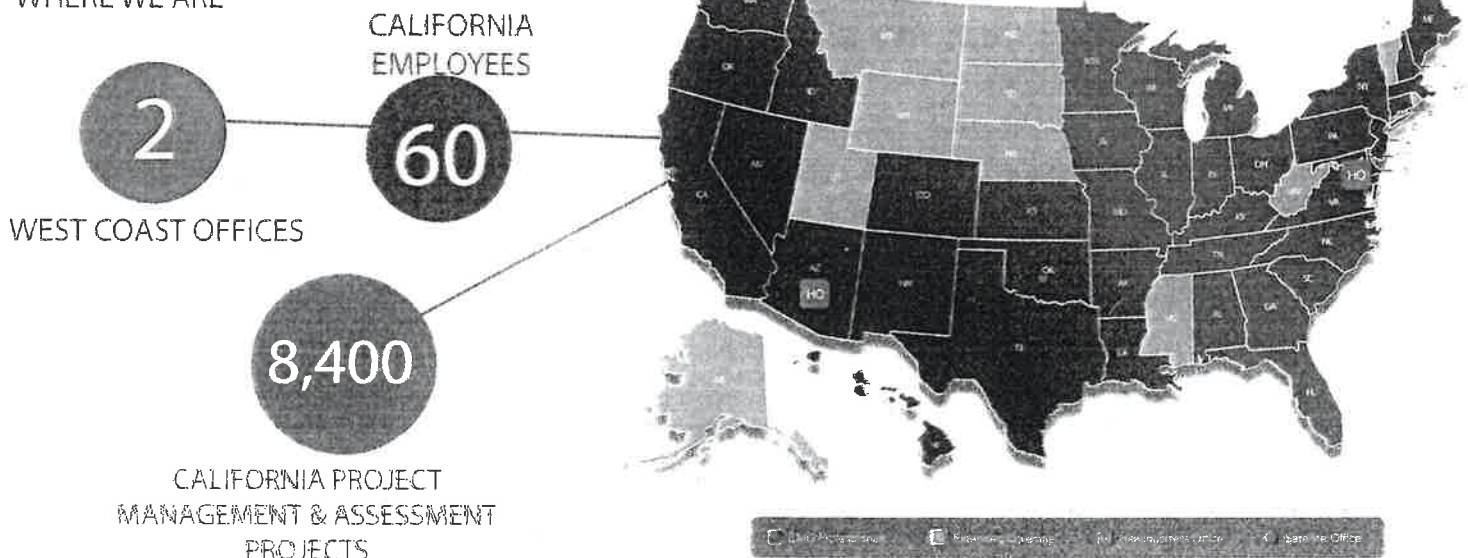
### CODES AND REGULATIONS EXPERIENCE

EMG has conducted assessments on a number of California K-12 schools and has extensive experience with the Office of Public School construction, the California Division of the State Architect, the Uniform Building Code, and Title 24 of the California Code of Regulations.

### FINANCIALLY SOUND

EMG has had an average of \$51 million in revenue over the last five years, with \$80 million of revenue last year alone.

### WHERE WE ARE



## TEAM MANAGEMENT

The proposed EMG Team has extensive Assessment experience. Each of these individuals is adequately trained and experienced and able to carry out the requested work without delay. Our Team also has support staff able to offer additional assistance, if needed. At a glance, our team has the following credentials:

### Erik Piller | Project Executive

Mr. Piller will oversee all contractual aspects of the project and will be available to meet with the District for the duration of the project on an as-needed basis. He will have primary responsibility for defining the scope of engagement, and will meet regularly with the EMG's Program Manager and Assessment Team to assure that the District's needs are being met, and that the project is adequately staffed, running smoothly, and on schedule. Mr. Piller will serve as the lead person who can respond to contractual questions.

### Matthew Anderson, RA | Program Manager

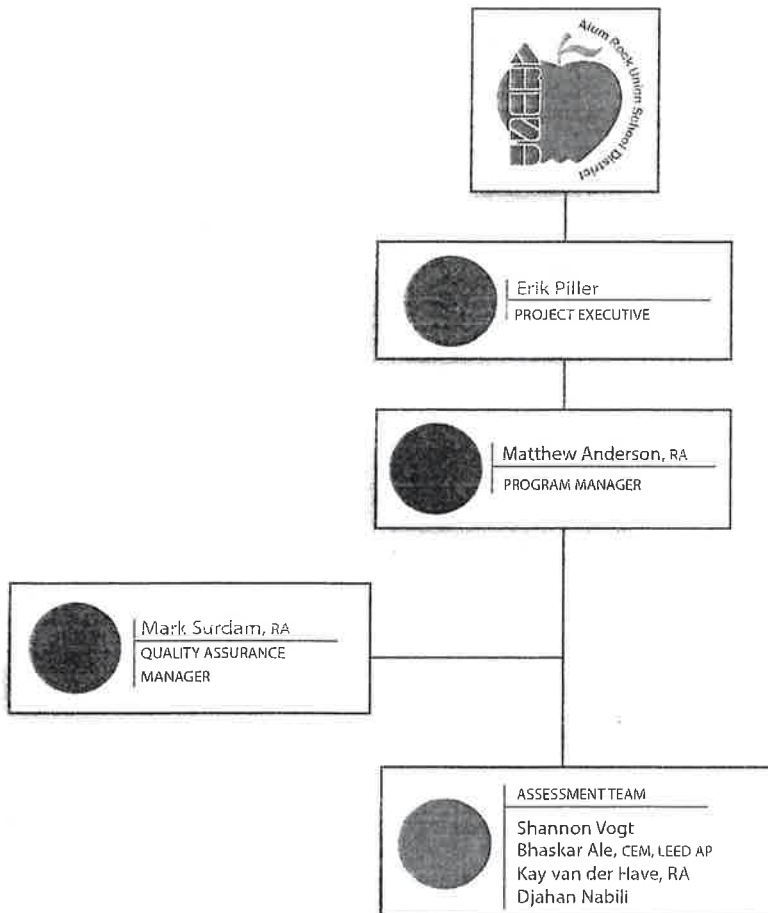
Mr. Anderson will have full responsibility for the delivery of the overall project. He will work with the Assessment Team and the District to assure project success. He will conference with the District on an agreed-upon basis, and will be accountable for the overall EMG team performance. Mr. Anderson will be responsible for delivering the assessment results, and for working with District Staff to develop the implementation plan based on the results.

### Mark Surdam, RA | QA/QC Manager

Mr. Surdam will provide general oversight to the project, assuring technical, process, and content quality. He will have direct management responsibility for all technical personnel, which will allow for quick and effective implementation of quality assurance measures both at inception and throughout the duration of the project.

## ORGANIZATION CHART

The proposed Team is fully available to devote the time and effort required to the District for this project.



### 3 - PROJECT APPROACH

#### PROJECT UNDERSTANDING

EMG understands that, should we be awarded the Facilities Assessment project, we would be responsible for providing consulting services to prepare the District's Facility Assessments for 25 buildings. The goal of the assessment is to enable the District to address facility maintenance needs over the next 20 years.

#### TECHNICAL APPROACH

Prior to assessments beginning, EMG will conduct a Kick-off Meeting to review requirements and consolidate exhibits such as drawings and prior completed reports.

During the term of the project, EMG will conduct regular Progress Meetings to maintain open communication with the entire project team and the District. EMG will lead with an agenda that includes a focus on work plan, schedule, and project needs. This will permit the opportunity to proactively address challenges encountered, so course adjustments may be made. Each meeting will conclude with task assignments, schedules, and goals to be met. EMG will provide the District with a weekly written status report that tracks and monitors the progress of the assessments against the schedule submitted. EMG has allocated the following in-person meetings: Kick Off Meeting, Pilot Review Meeting, and a Final Findings Presentation meeting. Any additional in-person meetings will be on a time and expense basis.

#### PILOT PROGRAM

To begin, EMG proposes a Pilot Program where an assessment will be performed of a single building and a written Draft Report prepared for review. The Assessment Team will visit the building to evaluate general conditions of the buildings and site improvements, review available construction documents to familiarize with, and be able to comment on, the in-place construction systems, life safety, mechanical, electrical and plumbing systems, and general built environment.

The Team will conduct a walk-through survey of the facility and site to observe systems and components, identify physical deficiencies, and formulate recommendations to remedy the physical deficiencies. We will survey 100% of each facility (exterior and grounds (building exterior, roofs, sidewalk/pavement, recreational areas)).

The Team will interview building maintenance staff about the property's historical repairs and replacements and their costs, level of preventive maintenance exercised, pending repairs and improvements, and frequency of repairs and replacements.

The Team will develop opinions based on the site assessment, interviews with District building maintenance staff, and interviews with relevant maintenance contractors, municipal authorities, and experience gained on similar properties previously evaluated. The Team may question others knowledgeable of the subject property's physical condition and operation or knowledgeable of similar systems to gain comparative information to use in evaluation of the property.

The Team will review documents and information provided by the District's maintenance staff that could also aid the knowledge of the subject property's physical improvements, extent and type of use, and/or assist in identifying material discrepancies between reported information and observed conditions. A meeting will be held with District staff to review the draft report before assessing the remaining buildings.

#### SITE ASSESSMENTS

The Facility Condition Assessment will focus on the following facility system components:

##### Site + Infrastructure

- Topography: Observe general topography and note any unusual or problematic features or conditions observed or reported.
- Ingress and Egress: Identify and note locations of major means of access and egress at the facility, and any unusual or restraining conditions observed or reported.
- Paving, Curbing, and Parking: Identify material types of paving and curbing systems at the property.
- Flatwork: Identify material flatwork at the subject property (sidewalks, plazas, patios).
- Landscaping and Appurtenances: Identify material landscaping features and material types (fences, retaining

walls), and site appurtenances (irrigation systems, fountains, lighting, signage, ponds).

- Utilities: Identify the type and provider of material utilities provided to the property (water, electricity, natural gas, etc.). EMG will assess condition, physical deficiencies, life cycle repair, and replacement issues.

#### Structural Frame + Building Envelope

- Identify material elements of the structural frame and exterior walls (foundation, floor framing, roof framing, facade or curtain-wall, and glazing systems; exterior sealant, doors, and stairways).
- Observe general conditions and note any physical deficiencies identified or unusual items or conditions observed. ~~Observations may be subject to grade, and rooftop vantage points.~~
- Visually inspect observable areas for cracking and moisture infiltration as well as areas of apparent foundation settlement and displacement.
- In the event more information or exploratory testing is required, in order to provide remedial measures, the report may include recommendation for additional investigative testing.

#### Curtain Wall – As Required

- Review curtain wall condition and a sampling of fixed panels on facades to assess hardware and visually review exterior and waterproofing seal conditions, where accessible, without the use of lifts, ladders, scaffolding, suspension devices, or the like, including observations from internal and external vantage points and adjacent structures. Observations are limited to grade and may include accessible balconies or rooftop vantage points.
- Review drawings and records of repair, replacement, and maintenance of framing and glazing.

#### Wall Evaluation

- Photograph elevations and details both from internal and external vantage points, as well as from adjacent structures where possible.
- Observe representative operable and fixed panels on all facades, operating a representative sample of units to assess hardware, and to visually inspect exterior conditions and the condition of waterproofing seals.
- Assess curtain wall condition to determine water infiltration, damage, caulk degradation, metal panel degradation, stone degradation and anchoring, and other related curtain wall issues.

#### Roofing (Non-Invasive Visual)

- Identify material roof systems (roof type, reported age, slope, drainage). Also identify any unusual roofing conditions or rooftop equipment.
- Observe general conditions of the roof system (membranes, attachment methods, flashings, counter flashings, pitch pans, gravel stops, parapets, miscellaneous appurtenances, insulation).
- Observe for evidence of material repairs, significant ponding, or material roof leaks. Note if a roof warranty is in effect. Note any physical deficiencies identified or unusual items observed or reported.
- Identify material rooftop equipment or accessories (antennas, lightning protection, HVAC, solar). Include any material problems reported.

#### Plumbing

- Identify material plumbing systems at the property (domestic water supply, sanitary sewer, or any special or unusual plumbing systems (water features, fuel systems, gas systems)).
- Identify the type and condition of restroom fixtures, drinking fountains and/or other miscellaneous plumbing equipment.
- Observe the general conditions and note any physical deficiencies identified or unusual items or conditions observed. Include any reported material system inadequacies.

#### Heating

- Identify material heat generating systems at the property.
- Observe general conditions, identify reported equipment age, note past material component replacements/upgrades, note the apparent level of maintenance, and identify if a maintenance contract is in place. If heating equipment is not operational at the time of the walk-through survey, provide an opinion of the condition to the



extent reasonably possible.

- Identify and observe any special or unusual heating systems or equipment present (fireplaces, solar heat) and note reported material problems or inadequacies.

#### Air-Conditioning + Ventilation

- Identify material air-conditioning and ventilation systems at the property. Include material equipment (cooling towers, chillers (type of refrigerant used), package units, split systems, air handlers, thermal storage equipment).
- Identify material distribution systems (supply and return, make-up air, exhaust) at the property.
- Observe general conditions, identify reported equipment age, note past material component upgrades/replacements, and apparent level of maintenance, and identify if a maintenance contract is in place (name of the contractor). If air-conditioning and ventilation systems are not operational at the time of the walk-through survey, provide an opinion of the condition to the extent reasonably possible.
- Observe general conditions and note any physical deficiencies identified or unusual items or conditions observed. Include any material reported system inadequacies or operating deficiencies.
- Identify and observe any special or unusual air-conditioning and ventilation systems or equipment (cold storage systems, special computer cooling equipment) and note material reported problems or system inadequacies.

#### Electrical

- Identify the electrical service provided and distribution system at the property. Include material switchgear disconnects, circuit breakers, transformers, meters, emergency generators, general lighting systems, and other equipment or systems.
- Observe general electrical items (distribution panels, type of wiring, energy management systems, emergency power, lightning protection).
- Observe general conditions and note any physical deficiencies identified or unusual items or conditions observed. Also note the presence of any special or unusual electrical equipment, systems, or devices at the property, and include reported material problems or system inadequacies.

#### Elevators + Vertical Transportation

- Identify vertical transportation systems at the property (equipment manufacturer, equipment type, location, number, capacity).
- Observe elevator cabs, finishes, call and communication equipment, etc.
- Identify the company providing elevator/escalator maintenance at the property. Observe general conditions and note any physical deficiencies identified or unusual items or conditions observed or reported including any reported material system inadequacies.

#### Life Safety + Fire Protection

- Identify material life safety/fire protection systems at the property (sprinklers and stand pipes (wet or dry), fire hydrants, fire alarm systems, water storage, smoke detectors, fire extinguishers, emergency lighting, stairwell pressurization, smoke evacuation).
- Observe general conditions and note any material physical deficiencies identified or unusual items or conditions observed or reported including any reported system inadequacies.

#### Interior Elements

- Identify offices, special use areas and building standard finishes (flooring, ceilings, walls). Furnishings and fixed components will be reviewed and included in the cost estimate tables for replacements. EMG will identify material building amenities or special features.
- Observe general conditions and note any physical deficiencies identified or unusual items or conditions observed or reported.

#### Food Service Spaces and Equipment

- Assess all food service equipment and spaces (kitchen, cafeteria, dining, serving areas) and evaluate it for adherence to life/safety code and ventilation requirements as well for condition and capital replacement.



### Special Systems and Equipment

- Include all special systems and equipment (Emergency Medical Systems, chillers, radio towers, equipment lifts, chair lifts, chemical storage or treatment areas, storage tanks, dumbwaiters, vaults, public address systems, telephone systems).

### Accessibility Compliance

- Provide a general statement of the building's ADA compliance to help identify whether the District may be exposed to issues and whether there is the need for further review.
- Use an ADA Compliance checklist compliant with ASTM E2018-15. This review does not constitute a measured study and a more detailed ADA evaluation or transition plan is available as an additional service.
- Evaluate interior and exterior elements that could present external or internal barriers to accessibility by disabled persons.
- Perform a site review to determine major barriers into the buildings, through the buildings, to restroom facilities, and to other service areas within the building.

### Moisture Affected Materials (Mold)

EMG will perform a limited assessment of accessible areas for the presence of mold, conditions conducive to mold growth, and/or evidence of moisture. We will also interview project personnel regarding the presence of any known or suspected mold, elevated relative humidity, water intrusion, or mildew-like odors. Potentially affected areas will be photographed and recommendations for any additional moisture intrusion studies will be made.

### Environmental Features

EMG will review environmental features of the property (appearance, cleanliness, acoustics, ventilation, humidity).

### Energy Conservation Analysis

As part of the analysis of all evaluated systems mentioned above, EMG will consider energy conservation savings when making repair or replace recommendations and include these projects in the project prioritization. EMG can provide an Energy Audit as additional services (ASHRAE Level I, II, or III) or Benchmarking (EnergyStar) services.

### EDUCATIONAL ADEQUACY ASSESSMENT

EMG has experience developing educational specifications and evaluation criteria for assessing educational adequacy across a portfolio of diverse schools. Our team will work with the District to translate the Ed Spec and instructional model into an objective set of evaluation criteria in order to assess and measure need in each school. Deficiencies and shortfalls will be identified and cost to modernize will be calculated for each upgrade required. EMG will make recommendations to modify educational specifications to be consistent with the District's educational program or per input from District Staff.

### SPACE UTILIZATION EVALUATION

EMG will review the enrollment projection reports to determine current and future needs. EMG will preparing a space and program analysis that includes evaluating capacities and detailing building shortfalls with respect to spaces required. These components will be combined into conclusions and recommendations that meet the requirements of the District.

### RANKING AND CLASSIFICATION

Based upon observations, research and judgment, along with consulting commonly accepted empirical Expected Useful Life (EUL) tables, EMG will render our opinion as to when a system or component will most probably necessitate replacement.

Accurate historical replacement records provided by the facility manager are typically the best source for this data. Exposure to the weather elements, initial system quality and installation, extent of use, quality, and amount of preventive maintenance exercised are all factors that impact the effective age of a system or component. As a result, a system or component may have an effective age that is greater or less than its actual age. The Remaining Useful Life (RUL) of a component or system equals the EUL less its effective age.

The analysis will include all cost observations be ranked by Priority Classes. The five classes to the right are typical but can be altered to meet the District's needs. The deficiencies observed will be classified into categories such as those below using the Unifomat System (up to 6 or 8 levels).

- Site
- Architectural
- Exterior systems
- Exterior finishes
- Life safety systems
- Heating, ventilation and air conditioning systems
- Plumbing systems
- Electrical and service distribution systems
- Special electrical systems
- Fire suppression systems
- Special construction
- Interior systems
- Interior finishes
- Vertical transportation
- Telephone infrastructure and systems
- Data infrastructure and server rooms

#### COST ESTIMATING

Each report will include a Capital Needs Analysis including an estimated cost for each system or component repair or replacement anticipated during the evaluation term. The report narrative will discuss options for repair of the deficiency, and the capital needs analysis will be presented as an Excel-based cost table that includes a summary of the description of each component, age and estimated RUL, anticipated year of repair or replacement, quantity, unit cost, and total cost for the repair of each line item.

A consolidated Capital Needs Analysis will be presented that includes all anticipated capital needs for all buildings. In addition to the detailed description of the deficiencies, we will provide cost estimates for the deficiencies noted. The cost estimate for capital deficiencies will be based on the estimate for maintenance and repair, but may, at the District's option, also include project management, construction, and design fees derived using actual costs from previous projects. After determining these costs, we will confirm them with District staff.

EMG's cost estimating database is comprised of both Whitestone Research and RS Means data, and further customized with proprietary cost tables developed by EMG, based on historical and localized actual costs. EMG maintains and updates our cost estimating system with information received from the field. Through construction monitoring work, we have current cost data from hundreds of in-progress construction and rehabilitation projects. This data allows us to calculate costs based on local conditions to maintain a cost database that is typically more current than Whitestone Research and RS Means' models.



## REPORT DELIVERABLES

EMG will provide a report including a description of each of the building components and systems. Each report is organized by building system and will include digital photos of major systems and components and of all deficiencies identified. Reports will include discussion of current and anticipated repairs and deficiencies, and applicable options for repair or maintenance of building components.

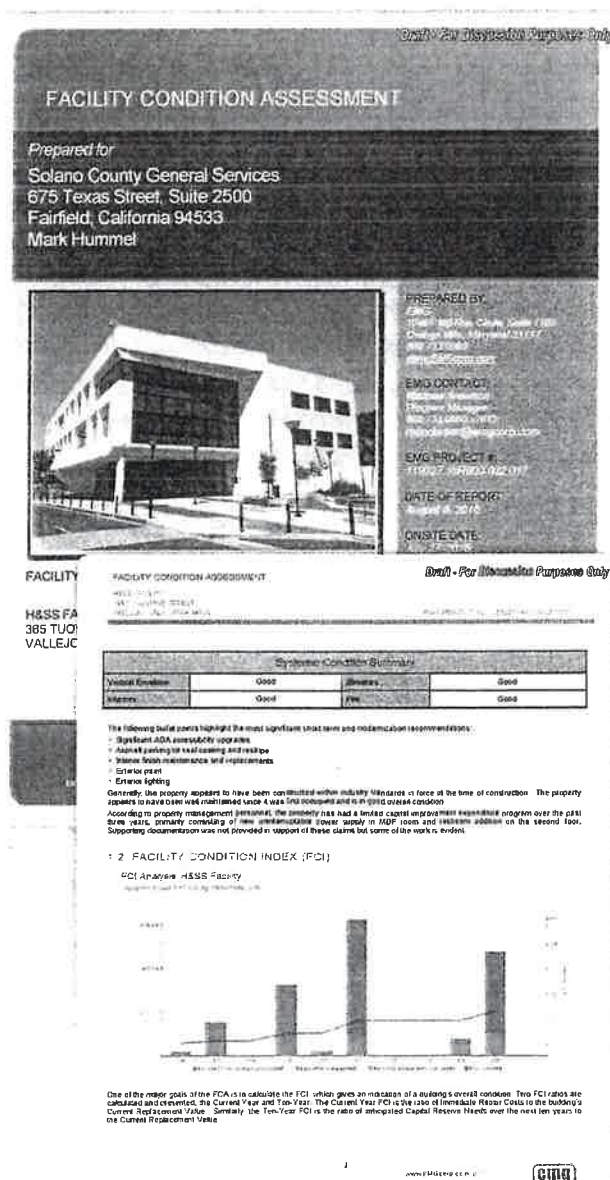
Our data collection platform allows for Capital Planning reports if the District requires them. The Capital Needs analysis will include a cost database sorted by building system and ranked by priority for repair. The format of the database will allow for the customization of reporting by building, system, or priority for repair, and a year-by-year analysis of capital needs.

A report will be generated for each building that includes discussion of recommended repairs and component life cycle replacements. If the District requires a Facility Condition Index (FCI), the FCI will be calculated for each building as a function of required repairs compared to building replacement costs. The FCI will be generated from the data collection/capital planning database and will be updated as components age or are replaced.

Reports can reflect a 1, 5, 10, or 20-year capital plan based on EMG's 20-year building system evaluation. The analysis can include a cost table sorted by building and system and ranked by priority for repair. Tables will allow for the customization of reporting and a year-by-year capital needs analysis. The report will include:

- A 10 or 20-Year Capital Plan with an Executive Summary with graphic presentation of results to provide a quick, user-friendly summary of the property's observed condition and estimated costs assigned by category. These estimated costs shall be cross-referenced to report sections where an elaboration of cost issues will be presented.
- Components observed exhibiting deferred maintenance issues and provide estimates for immediate and capital repair costs based on observed conditions, available maintenance history and industry-standard useful life estimates. If applicable, this analysis will include the review of any available documents pertaining to capital improvements completed within the last five-year periods, or currently under contract. EMG shall also inquire about available maintenance records and procedures and interview current available on-site maintenance staff.
- Recommended schedule for replacement or repairs (schedule of priorities).
- Digital photographs for the buildings including photos of deficiencies.
- General description of the property and improvements and comment generally on observed conditions.
- Critical repairs and life safety issues separately from repairs anticipated over the term of the analysis.
- If requested, an FCI number for the building.

In addition to each building report, if requested, EMG can develop a Program-wide Report that includes a ranked system-wide Capital Plan for all facilities with programmatic conclusions and recommendations. The Program Report includes a brief narrative description of each facility/building component and system, and discusses the current, anticipated repairs, deficiencies, and of all buildings assessed. The Program Report analyses will include tables sorted by building system and ranked by priority for repair. The



format of the tables will allow for the several perspectives of reporting by FCI, building, system, or priority for repair, and a year-by-year analysis of capital needs.

Reports are submitted as drafts, and once finalized, a program summary report is provided to include a roll-up of all prioritized capital needs across all facilities.

#### ASSESSMENT SOFTWARE: ASSETCALC™

EMG will utilize AssetCALC™ as its platform for all data collected on this project. AssetCALC™ is a web-based SQL system that allows users to query information regarding specific items or across the entire asset portfolio. This streamlines the capital planning process by compiling funding requirements for deficiencies to create budget models based on project priority and life cycle maintenance and repair requirements. **The use of this software is at your option and there are no licensing fees for this software for two (2) years.**

AssetCALC™ data can be exported to an Excel, XML, or an ODBC database format compatible for upload into most CMMS or work-order systems.

#### Key Features

- Reports, charts, and graphs to forecast capital needs for individual buildings and portfolios.
- Access to the details and condition of all major building components and mechanical equipment with photographs.
- Updateable cost library makes budgets more accurate over time.
- Customizable priority framework and search tools to help decision makers.
- Export tools to take your data to other applications, including Microsoft Excel.
- Capital planning tools to assign and track progress over fiscal years.
- Repository for storing and searching documents related to buildings and components.
- ADA compliance library to plan and track accessibility improvements.
- Administrative tools for managing user access; never any per-seat license fees.



#### 4 - REFERENCE PROJECTS

EMG has prepared a comprehensive list of relevant past K-12 clients EMG has completed Facility Condition Assessment services for to demonstrate our team's abilities. Project profiles with reference data can be found in Section 7 - Appendix.

- Evergreen Unified School District, Facility Condition Assessments & Energy Audits - Prop 39 - CA
- Berkeley Unified School District, Facility Condition Assessment - CA
- Cabrillo Unified School District, Facility Condition Assessment - CA
- Redwood Day School, Oakland, Facility Condition Assessment - CA
- Palos Verdes Peninsula USD, Facility Condition Assessments & Master Plan - CA (in progress)
- Ocean View School District, Facility Condition Assessments & Master Plan - CA
- Conejo Valley Unified School District, Facility Condition Assessment, Equipment Inventory & SchoolDude - CA
- Manhattan Beach Unified School District, Facility Condition Assessment & Prop 39 Energy Audits - CA
- Palm Springs Unified School District, Facility Condition Assessment - CA
- LIIF Charter Schools, Energy Audits - CA
- Alliance College Ready Schools, Facility Condition Assessment - CA
- Stratford School, Property Condition Assessment - CA
- San Jose Evergreen Community College District, Facility Condition Assessment - CA
- Bureau of Indian Affairs, K-12, Facility Condition Assessment - Nationwide Including CA
- Chicago Public Schools, Facility Condition Assessment - IL
- East Aurora School District 131, Facility Condition Assessment - IL
- Buffalo Public Schools, Building Condition Survey - NY
- District of Columbia Public Schools, Facility Condition Assessment - DC
- Baltimore City Public Schools, Facility Condition Assessment - MD
- Queen Annes County Public Schools, Facility Condition Assessment - MD
- Carroll County Public Schools, Facility Condition Assessment - MD
- Alexandria City Public Schools, Facility Condition Assessment - VA
- Arlington County Public Schools, Facility Condition Assessment - VA
- Stafford County Public Schools, Facility Condition Assessment - VA
- Clarke County Public Schools, Facility Condition Assessment - GA
- Hartford Schools, Facility Condition Assessment - CT
- Hull Public Schools, Facility Condition Assessment - MA
- Maury County Public Schools, Facility Condition Assessment - TN
- Town of Plymouth Schools, Facility Condition Assessment - MA



Manhattan Beach USD, Mira Costa High School



Ocean View SD, Sun View Elementary Playground

## 6 - PROFESSIONAL FEES

### HOURLY RATES

Project Executive	\$175.00
Program Manager	\$135.00
Assessment Team PE / RA	\$115.00
Quality Control Manager	\$125.00
Technical Report Reviewer	\$105.00
Administrative	\$60.00

# Erik Piller

## PROJECT EXECUTIVE / CONTRACTING OFFICER

As a Senior Vice President, Mr. Piller oversees all contractual aspects of the project and will be available to meet with the District for the duration of the project. He will have primary responsibility for defining the scope of engagement, and will meet regularly with EMG's Program Manager and Assessment Team to assure that the District's needs are being met, and that the project is adequately staffed, running smoothly, and on schedule.

Mr. Piller has 15 years of extensive experience in client coordination of assessment, architectural-engineering, energy consulting, and construction phase services for Government and Private Sector clients. He has been involved with projects of similar scope to the proposed project.

### RELEVANT EXPERIENCE

Ocean View School District, Facilities Master Plan - CA  
 Palos Verdes Peninsula School District, Facilities Master Plan - CA  
 Alliance College-Ready Charter Schools, Facility Condition Assessment - CA  
 Conejo Valley Schools, Facility Condition Assessment - CA  
 Evergreen USD, Facility Condition Assessment and Energy Audit (Prop 39) - CA  
 Manhattan Beach USD, Facility Condition Assessment and Energy Audit (Prop 39) - CA  
 San Jose City College, Comprehensive Roofing Assessment - CA  
 San Jose Evergreen Community College District, Facility Condition Assessment - CA  
 Simi Valley Unified School District, Facility Condition Assessment - CA  
 Palm Springs Unified School District, Facility Condition Assessment - CA  
 Inglewood Unified School District, Facility Condition Assessment - CA  
 City of South San Francisco, Facility Condition Assessment - CA  
 San Francisco MTA, Facility Condition Assessment - CA  
 County of Solano, Facility Condition Assessment - CA (in progress)  
 County of Monterey, Facility Condition Assessment - CA  
 City of Goleta, Facility Condition Assessment - CA  
 City of Novato - Fire District, Facility Condition Assessments - CA  
 City of Ambrose Parks District, Facility Condition Assessment / ADA - Baypoint, CA  
 County of Kern, Facility Condition Assessment - CA  
 City of Poway, Facility Condition Assessment - CA  
 City of Laguna Hills, Facility Condition Assessment / Reserve Study - CA  
 City of Huntington Beach, Facility Condition Assessment - CA  
 State of California DGS, Facility Condition Assessment (58 Buildings) - CA including:  
 Truckee / Donner Parks and Recreation, Facility Condition Assess / Energy Audit - CA  
 City of Fresno, Facility Condition Assessment - CA  
 San Manuel Band of Mission Indians, Facility Condition Assessment - CA  
 State of New Mexico, Facility Condition Assessment - Statewide  
 City of Hillsboro,, Facility Condition Assessment - OR  
 City of Ellensburg, Facility Condition Assessment - WA



### INDUSTRY TENURE

A/E: 2002

EMG: 2013

### INDUSTRY EXPERIENCE

Government  
 Parks/Rec  
 Education  
 Industrial  
 Office  
 Retail  
 Hospitality  
 Housing



# Matthew Anderson, RA

## PROGRAM MANAGER



Mr. Anderson is a registered architect with 35 years of experience. He manages the EMG facility assessment teams on programs for K-12 Education clients and will be the point of contact for the District.

### RELEVANT EXPERIENCE

Manhattan Beach Unified School District, Facility Condition Assessment and Energy Audit - CA  
Ocean View School District, Facilities Master Plan - CA  
Conejo Valley Schools, Facility Condition Assessment - CA  
Palos Verdes Peninsula School District, Facilities Master Plan - CA (in progress)  
Alliance College-Ready Charter Schools, Facility Condition Assessment - CA  
San Jose Evergreen Community College District, Facility Condition Assessment - CA  
San Jose City College, Roof Assessments - CA  
Cadwallader Elementary, Evergreen School District, San Jose, Facility Condition Assessment - CA  
Bureau of Indian Affairs Schools, Facility Condition Assessment (181 Schools) - CA  
Central Oregon Community College, Facility Condition Assessment - OR  
Mt Hood Community College,, Facility Condition Assessment / Seismic - OR  
City of Hillsboro, Facility Condition Assessment - OR  
City of Ellensburg, Facility Condition Assessment - WA  
Truckee Donner Recreation and Park District, Facility Condition Assessment - CA  
State of California DGS, Facility Condition Assessment - Statewide  
City of Fresno, Facility Condition Assessment - CA  
City of South San Francisco, Facility Condition Assessment - CA  
YMCA Los Angeles, Facility Condition Assessment - CA  
City of Laguna Hills, Facility Condition Assessment - CA  
City of Huntington Beach, Facility Condition Assessment - CA  
City of Orange, Facility Condition Assessment & ADA Transition Plan - CA  
Los Angeles Department of Public Works, Facility Condition Assessment - CA  
Metropolitan Water District of Southern California, Facility Condition Assessment - CA  
Alameda County, Housing Authority, Physical Needs Assessment - CA  
City of Salem, Housing Authority, Physical Needs Assessment - OR  
City of Concord, Facility Condition Assessment - CA  
City of San Leandro, Facility Condition Assessment - CA  
City of Lomita, Housing Authority, Physical Needs Assessment - CA

### INDUSTRY TENURE

A/E: 1982

EMG: 1998

### EDUCATION

Bachelor of Architecture,  
Architecture, California  
Polytechnic State University,  
1982.

### LICENSES

Registered Architect,  
California, (#15753).

# Mark Surdam, RA

## QUALITY ASSURANCE MANAGER



Mr. Surdam is a registered architect with 35 years of experience. He will oversee all quality control with respect to this project.

### RELEVANT EXPERIENCE

Manhattan Beach Unified School District, Facility Condition Assessment and Energy Audit – CA  
Ocean View School District, Facilities Master Plan - CA  
Conejo Valley Schools, Facility Condition Assessment – CA  
Palos Verdes Peninsula School District, Facilities Master Plan - CA (in progress)  
Simi Valley Unified School District, Facility Condition Assessment – CA  
Palm Springs Unified School District, Facility Condition Assessment – CA  
Inglewood Unified School District, Facility Condition Assessment – CA  
Green Dot Public Schools, Facility Condition Assessment - CA  
Alliance Charter Public Schools, Los Angeles, Facility Condition Assessment – CA  
American Leadership Academy – Charter School, Facility Condition Assessment – CA  
Idyllwild Arts Academy, Facility Condition Assessment – CA  
Alliance College-Ready Charter Schools, Facility Condition Assessment – CA  
San Jose Evergreen Community College District, Facility Condition Assessment – CA  
San Jose City College, Roof Assessments – CA  
Truckee Donner Recreation and Park District, Facility Condition Assessment – CA  
State of California DGS, Facility Condition Assessment – Statewide  
City of Fresno, Facility Condition Assessment – CA  
City of South San Francisco, Facility Condition Assessment – CA  
YMCA Los Angeles, Facility Condition Assessment – CA  
City of Laguna Hills, Facility Condition Assessment – CA  
City of Huntington Beach, Facility Condition Assessment – CA  
City of Orange, Facility Condition Assessment & ADA Transition Plan - CA  
Los Angeles Department of Public Works, Facility Condition Assessment - CA  
Metropolitan Water District of Southern California, Facility Condition Assessment - CA  
City of Ellensburg, Facility Condition Assessment - CA  
Alameda County, Housing Authority, Physical Needs Assessment - CA  
City of Salem, Housing Authority, Physical Needs Assessment - OR  
City of Concord, Facility Condition Assessment - CA  
City of San Leandro, Facility Condition Assessment - CA  
City of Lomita, Housing Authority, Physical Needs Assessment - CA

### INDUSTRY TENURE

A/E: 1982

EMG: 1998

### EDUCATION

Bachelor of Architecture,  
Architecture, California  
Polytechnic State University,  
1982.

### LICENSES

Registered Architect,  
California, (#15753).

# Shannon Vogt

## COST ESTIMATOR / ASSESSMENT TEAM LEAD



### RELEVANT EXPERIENCE

Alliance College-Ready Charter Schools, Facility Condition Assessment – CA  
Bureau of Indian Affairs School Buildings, Facility Condition Assessment –  
Nationwide including CA  
Alexandria City Public Schools, Facility Condition Assessment – VA  
Indian Prairie School District 204, Facility Condition Assessment – IL  
State of California DGS, Facility Condition Assessment – Statewide  
Texas A&M University, Facility Condition Assessment – TX  
Central Oregon Community College, Facility Condition Assessment – OR  
University of New Mexico, Facility Condition Assessment – NM  
Mt. Hood Community College, Facility Condition Assessment – OR  
City of Monterey, Building Condition Assessments – CA  
City of Fresno, Facility Condition Assessment – CA  
San Diego County, Facility Condition Assessment – CA  
North County Transit District, Facility Condition Assessment – CA  
County of San Bernardino, Facility Condition Assessment – CA

### INDUSTRY TENURE

A/E: 1993  
EMG: 2003

### EDUCATION

Master of Science,  
Construction Engineering  
and Management,  
University of California,  
1999.  
  
Bachelor of Science,  
Mechanical Engineering,  
Penn State University, 1993.

# Bhaskar Ale, CEM, LEED AP

## ASSESSMENT TEAM LEAD - MEP ENGINEER



### RELEVANT EXPERIENCE

Los Angeles Unified School District, Facility Condition Assessment – CA  
Evergreen Unified School District, Facility Condition Assessment – CA  
Conejo Valley Schools, Facility Condition Assessment - CA  
Idyllwild Arts Academy, Facility Condition Assessment – CA  
San Jose Evergreen Community College District, Facility Condition Assessment – CA  
City of South San Francisco, Facility Condition Assessment – CA  
City of Monterey, Facility Condition Assessment – CA  
City of Fresno, Facility Condition Assessment – CA  
Bureau of Indian Affairs, Facility Condition Assessment – CA  
Truckee Donner Recreation and Park District, Facility Condition Assessment – CA  
State of California DGS, Facility Condition Assessment – Statewide

### INDUSTRY TENURE

A/E: 2007  
EMG: 2014

### EDUCATION

Masters, Mechanical  
Engineering, University of  
Rhode Island, RI, 2011  
  
Bachelors of Science,  
Mechanical Engineering,  
Institute of Engineering,  
Nepal, 2007

### LICENSES/CERTIFICATION

Certified Energy Manager,  
#1088

LEED Accredited Professional,  
#10690855



# Kay van der Have, RA

## ASSESSMENT TEAM - ARCHITECT



### RELEVANT EXPERIENCE

Conejo Valley Schools, Facility Condition Assessment – CA  
San Jose Evergreen Community College District, Facility Condition Assessment - CA  
County of Kern, Facility Condition Assessment – CA  
State of California, Facility Condition Assessment – Statewide  
City of South San Francisco, Facility Condition Assessment – CA  
Ambrose Recreation & Park District, Facilities Master Plan & ADA Transition Plan – CA  
San Francisco Metropolitan Transit Authority, Facility Condition Assessment – CA  
County of Solano, Facility Condition Assessment - CA (in progress)  
Mt. Hood Community College, Facility Condition Assessment – OR  
Central Oregon Community College, Facility Condition Assessment – OR

### INDUSTRY TENURE

A/E: 1985  
EMG: 2010

### EDUCATION

Bachelor of Architecture,  
Architecture, University of  
Minnesota, 1977.

Bachelor of Arts, Political  
Science, University of  
Minnesota, 1978.

### LICENSES

Registered Architect,  
California (#C23054)

# Djahan Nabili

## ASSESSMENT TEAM



### RELEVANT EXPERIENCE

Evergreen Unified School District, Facility Condition Assessment and Energy Audit (Prop 39) – CA  
Alliance College-Ready Charter Schools, Facility Condition Assessment – CA  
Conejo Valley Schools, Facility Condition Assessment – CA  
San Jose Evergreen Community College District, Facility Condition Assessment – CA  
Bureau of Indian Affairs, Facility Condition Assessment – Nationwide  
City of Fresno, Facility Condition Assessment – CA  
City of Monterey, Facility Condition Assessment – CA  
State of California, Facility Condition Assessment – CA  
City of South San Francisco, Facility Condition Assessment – CA  
County of Kern, Facility Condition Assessment – CA

### Previous Career Experience

Los Angeles Unified School District, Los Angeles, CA (2006-2013)

### INDUSTRY TENURE

A/E: 1975  
EMG: 2014

### EDUCATION

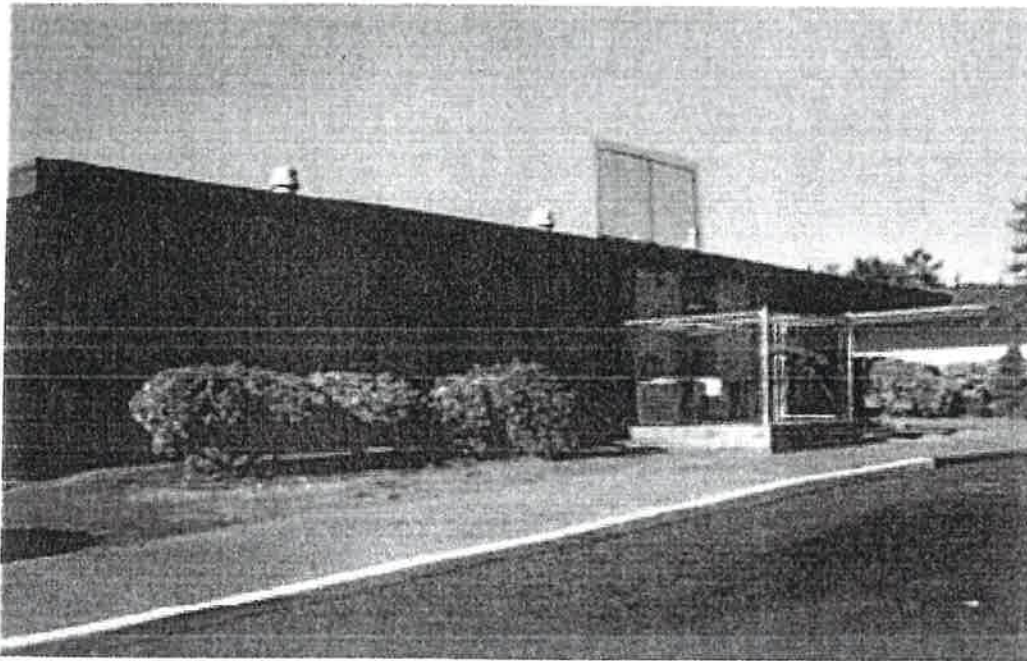
Master of Science, Civil/  
Structural Engineering,  
Northeastern University,  
1991.

Bachelor of Science, Civil  
Engineering, Northeastern  
University, 1980.

Bachelor of Science,  
Architectural Engineering,  
1975.

# Evergreen School District

## FACILITY ASSESSMENT AND ENERGY AUDIT



EMG performed a Facility Condition Assessment and Prop 39 compliant Energy Audit performed for Evergreen School District. This program was to serve as a prototype analysis. The first school chosen to be evaluated was Cadwallader Elementary School located in San Jose, California. This project was done in conjunction with the district and their architect AEDIS Architects.

The Facility Condition Assessment scope included collecting facility condition, program and space data and populating that data into a third-party platform through a remote interface that synced data directly into the database. EMG determined deficiencies and made recommendations for remedial or replacement options accompanied with cost estimates. Deficiencies were prioritized in order of important for action.

The Energy Audit was an ASHRAE Level II audit which consisted of energy audits of the schools, identifying opportunities to reduce the energy consumption and increase cost savings. Major recommendations included building-wide lighting upgrades and implementation of advanced lighting controls, replacement of old HVAC equipment and motors with premium efficiency alternatives, installation of demand limiting controls and sub-metering, installation of VFD on several pumps and fans, implementation of DDC controls with Energy Management System, and initiation of an energy awareness program that changes behavioral patterns of individuals to conserve energy. EMG determined the Energy Conservation Measures that were appropriate for the District to consider, and prioritized them with respective cost estimates and paybacks.

The deliverable included both electronic and hardcopy reports that were a combination of narrative, photos, and data tables. EMG also delivered a real-time capital planning tool and asset management database that is cloud accessible.

### LOCATION

San Jose, CA

### SERVICE

Facility Condition Assessment  
Energy Audit

### SIZE

55,739 SF

### TIMING

2014

### REFERENCE

AEDIS Architects

John Diffenderfer, Principal

(408) 300-5160

[jdifferfer@aedisarchitects.com](mailto:jdifferfer@aedisarchitects.com)



# Manhattan Beach Unified School District

## FACILITY CONDITION ASSESSMENT & ENERGY AUDITS



Manhattan Beach Unified School District located in Southern California has ten (10) schools serving more than 6900 students in grades K-12, and a preschool. EMG assessed all of their schools plus some additional district sites.

As part of the development of the Facilities Master Plan, EMG assisted the DLR Group in this planning project by performing the Facility Condition Assessment and Energy Audits for these campuses and the district administration buildings.

EMG conducted an in-depth assessment of the facility infrastructure including electrical, plumbing, heating, ventilation and air conditioning, fire and life safety, roofing, energy management, technology infrastructure, athletic fields and facilities, and landscape of the school sites. Part of the assessment included providing detailed, realistic project level cost estimations for repair and replacement recommendations. The deliverable included hardcopy reports with narrative and photos; and a cloud-based asset management database with capital planning tools.

EMG also assessed the school for energy efficiency conservation measures and Prop 39 funding opportunities by conducting an ASHRAE Level II Energy Audit for the campus.

### LOCATION

Manhattan Beach, CA

### SERVICE

Facility Condition Assessment

Energy Audits / Prop 39

### SIZE

10 Schools

625,000 SF

### REFERENCE

Kevin Fleming

DLR Group

1650 Spruce Street Suite 300

Riverside, CA 92507

T: (951) 682-0470

E: [KFleming@dlrgroup.com](mailto:KFleming@dlrgroup.com)

### District Contact:

Dawnalyn Murakawa-Leopard

Manhattan Beach USD

325 South Peck Avenue

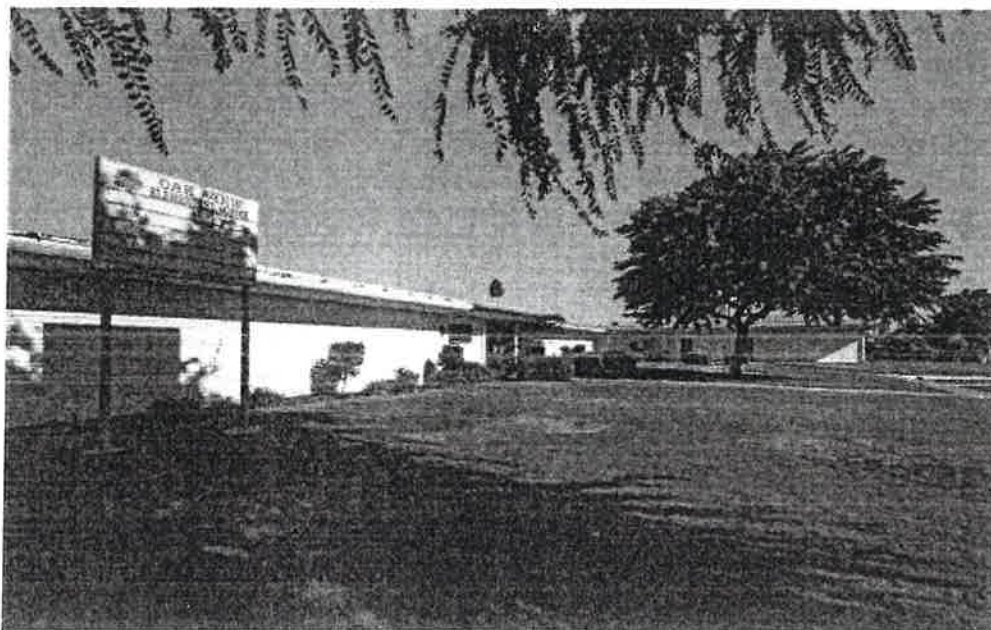
Manhattan Beach, CA 90278

T: (310) 318-7345, x5943

E: [DMurakawa@mbusd.org](mailto:DMurakawa@mbusd.org)

# Ocean View School District

## FACILITIES MASTER PLAN



Ocean View School District of Orange County hired DLR Group to develop a Long Range Facilities Master Plan.

EMG was part of DLR Group's team to conduct the Facility Condition Assessments and prepare a Capital Plan with project prioritization. Our assessments included cost estimates for each deficiency.

The School District serves about 9,000 students in 17 schools including 11 elementary schools, 4 middle schools, and 2 preschools. In addition to the 17 schools, we also assessed 5 charter schools and 2 district sites.

### LOCATION

Huntington Beach, CA

### SERVICE

Facility Condition Assessment  
Master Plan

### SIZE

24 Schools  
1.1 MM SF

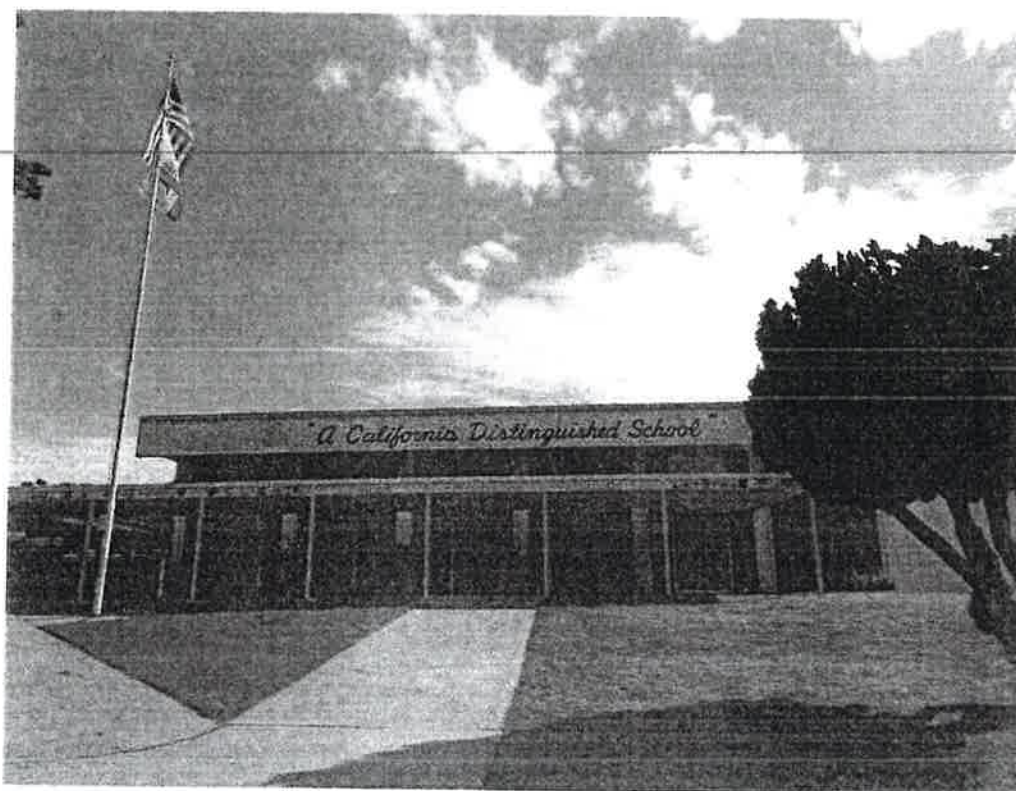
### REFERENCE

Kevin Fleming  
DLR Group  
1650 Spruce Street Suite 300  
Riverside, CA 92507  
T: (951) 682-0470  
E: [KFleming@dlrgroup.com](mailto:KFleming@dlrgroup.com)



# Palos Verdes Unified School District

## FACILITY CONDITION ASSESSMENT



EMG was selected to perform a Facility Condition Assessment for the Palos Verdes Unified School District in California. The project consisted of 24 facilities totaling 993,328 SF. The purpose of this assessment was to develop a list of immediate priorities, identify a long range capital plan and to show which facilities had the most critical need to assist in budget capital needs for the next 5 to 10 years. EMG identified actions needed to adapt the facilities to future needs of the county, along with codes, standards, and changing technology.

EMG assembled a multi-disciplined project team to conduct the walk-through assessments of the District buildings. This team examined the buildings' roofing, mechanical, electrical, and emergency systems. The assessments evaluated the design of the buildings, as well as determined the expected lifecycles, maintenance needs, and replacement and/or maintenance costs. The assessment also reviewed the buildings for compliance with state building codes, fire and life safety codes, and accessibility requirements.

### LOCATION

Palos Verdes Estates, CA

### SERVICE

Facility Condition Assessment

### SIZE

993,328 SF

### TIMING

2016

### REFERENCE

Kevin Fleming  
DLR Group  
1650 Spruce Street Suite 300  
Riverside, CA 92507  
(951) 682-0470  
KFleming@dlrgroup.com

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

12.01

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

Board of Trustees:

December 5, 2017

**Subject:**

**APPROVAL OF BOARD MEETING MINUTES FOR THE  
FOLLOWING DATES:**

- August 30, 2017, Special Board Meeting
- November 16, 2017, Special Board Meeting

**Recommend Approval**

Submitted by: Hilaria Bauer

Title: Superintendent

To the Board of Trustees:

Meeting:

December 14, 2017  
Organizational Board Meeting

**Recommend Approval**

12.01  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_

Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_

Not Approved: \_\_\_\_\_

Tabled: \_\_\_\_\_

**DRAFT MINUTES  
ALUM ROCK ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San Jose, CA 95127**

**MINUTES OF A SPECIAL MEETING OF THE BOARD OF TRUSTEES  
of the Alum Rock Union School District  
Held on Wednesday, August 30, 2017, 6:00 p.m. at the Alum Rock Union School  
District Office Board Room, 2930 Gay Avenue, San Jose, CA 95127  
Meeting #05-17/18**

**1. OPEN SESSION**

**1.01 Call to Order / Roll Call**

President Khanh Tran welcomed everyone in the audience. President Khanh Tran called the Board Meeting to order at 6:04 p.m. followed by Roll Call.

**Board Members Present:**

Khanh Tran	President
Dolores Marquez-Frausto	Clerk
Esau Ruiz Herrera	Member
Karen Martinez	Member ( <i>arrived late at 6:05 p.m.</i> )

**Board Member Absent:**

Andrés Quintero	Vice-President
-----------------	----------------

**Administrative and Support Staff Members Present:**

Dr. Hilaria Bauer	Superintendent
Rene Sanchez	Assistant Superintendent, Instructional Services
Kolvira Chheng	Assistant Superintendent, Business Services
Marie Sanchez	Sr. Executive Assistant, Superintendent's Office
Angel Rodriguez	Executive Assistant/Communications Support

**Legal Counsel Present:**

E. Luis Saenz	Participated via Teleconference for Closed Session only
---------------	---

**1. OPEN SESSION** *(continued)*

***Ms. Marie Sanchez, Recording Secretary, announced to the audience that all votes would be taken by Roll Call.***

**2. BOARD BUSINESS**

**2.01 Approval of Amended Job Description for Deputy Superintendent**

**MOTION #05-01 by Clerk Dolores Marquez-Frausto to approve the Amended Job Description for Deputy Superintendent. MOTION #05-01 was seconded by President Khanh Tran.**

Public Comments:

- 1) Camille Fontanilla – Spoke in favor of Superintendent Hilaria Bauer. She read a letter on behalf of approximately 40 community members in support of Superintendent Hilaria Bauer remaining as Superintendent. The community believes that Superintendent Bauer's progress over the last three years had demonstrated her ability to move the District in the right direction. The community will be asking the Board to approve her contract and to do away with the approval of the Deputy Superintendent's job description as submitted.
- 2) Jeff Markham – Community member stated that he was confused about the fact that a special board meeting was held during the first week of school!
- 3) Jocelyn Merz – AREA president spoke on behalf of AREA and their support of the FCMAC report. She also commented that AREA was in support of Superintendent Hilaria Bauer and her continuing to run the District as Superintendent. The District needs to move on. She also asked the Board to self-reflect on being a Board Member.
- 4) Ingrid Holquin - Ms. Ingrid Holquin, from Mayor Sam Liccardo's office, spoke in favor of Superintendent Hilaria Bauer and their excellent working relationship with the District in her leadership role. The support letter was signed by Mayor Sam Liccardo, Vice-Mayor Magdalena Carrasco, Councilmember Tam Nguyen, and Councilmember Sylvia Arenas.
- 5) Bertha Razo – George community member stated that she is very tired of listening to the Board's personal interest and having to watch the Board fight like caged animals with each other. She also commented to the Board not to lay off the Superintendent because she has been an advocate to the parents with parent engagement. Parents need a healthy administration to work with for the well-being of our students.
- 6) Randy Barber – Renaissance and Fischer music teacher that spoke in support of Superintendent Bauer. He stated that he supports her because she supports the teachers. He spoke against the Deputy Superintendent reporting directly to the Board and not to the Superintendent – this would definitely create a mess!



## 2. BOARD BUSINESS *(continued)*

### 2.01 Approval of Amended Job Description for Deputy Superintendent

- 7) Frank Chavez – Previous Board Member and a community member that commented that he was representing the Citizen’s Oversight Committee and was in support of Dr. Hilaria Bauer remaining as our Superintendent. He commented that if you have a good Superintendent, you do not need a Deputy Superintendent!
- 8) Gustavo Gonzalez – Previous Board Member and community member that commented that he was also representing the Citizen’s Oversight Committee and was in support of Dr. Hilaria Bauer remaining as our Superintendent. He commented that all five Board Members need to focus on the students and that the agendas do not show this!
- 9) Alison Cingolani – Citizen’s Oversight Committee member that read two statements taken from the ARUSD website, Board of Trustees, Philosophy of ARUSD:  
*“Accountability for the District’s programs and operations is shared by the entire educational community, with the ultimate accountability resting with the Board as the basic embodiment of representative government” and “A high level of communication, trust, respect, and teamwork among Board Members and the Superintendent contributes to effective decision-making”*  
Lastly, she stated that we should do the right thing and focus on education!
- 10) Female Speaker – Citizen’s Oversight Committee member that was present and was in support of Dr. Hilaria Bauer remaining as our Superintendent.
- 11) Ray Mueller – Chair of the Citizen’s Oversight Committee spoke in favor of Dr. Hilaria Bauer remaining as our Superintendent. He stated that changing administration at this time would again disrupt the good things happening in the District—we need continuity because then staff is also impacted!
- 12) Vince Iwasaki – Mathson Principal and ARAA President representing the administrators. He requested that the Board stop interfering with the Superintendent’s job—we just started school. He encouraged the Board to extend the Superintendent’s contract.

#### Board Comments:

Member Karen Martinez stated that she was not in favor of bringing in a Deputy Superintendent at this time. She also commented that she would like this item to be brought back to their Board Retreat Study Session for further discussion.

President Esau Ruiz Herrera wanted to hear Member Khanh Tran’s rationale for bringing this agenda item forward. He commented that he would rather increase support staff rather than more administrators.

Member Dolores Marquez-Frausto also commented that she wanted to bring this agenda item back to their Board Retreat Study Session for further discussion.

The Board had more dialogue on this agenda item.

## **2. BOARD BUSINESS (continued)**

### **2.01 Approval of Amended Job Description for Deputy Superintendent**

Clerk Dolores Marquez-Frausto stated that she would be willing to modify her original motion to postpone this agenda item to another board meeting. Member Khanh Tran also stated that he would be willing to change his second and accept the modified motion made by Clerk Dolores Marquez-Frausto.

***MOTION #05-01 carried with a Roll Call vote of 4 in favor; no opposition; 1 absent (Vice-President Andrés Quintero); and no abstention.***

### **2.02 Announcement and Public Comments Regarding Items to be Discussed in Closed Session**

Superintendent Hilaria Bauer announced all the items that would be discussed in Closed Session.

Public Comment:

- 1) Mickey Mansolini – Female speaker stated that she is the daughter of two educators in Alum Rock. She commented that Dr. Hilaria Bauer has greatly inspired her to become an educator.
- 2) Jacqueline Franco – Female community member stated she wants leaders that are focused on the students.
- 3) Female speaker (no name given) – Community member stated to have staff that cares for all students. She also stated that the District needs to have a Board with values to ensure that all teachers have the necessary professional development to teach our students. We need to provide air-conditioning and adequate bathrooms for all our students.
- 4) Female speaker (no name given) – Community member for the past 15 years that commented that parents attend these Board Meetings to keep informed. The Board President has the responsibility as a school leader or official to listen to the voice of the community with effective communication with all stakeholders.
- 5) Diltza Gonzales – Community member stated that she supports this community. She is in support of Superintendent Hilaria Bauer remaining our District Superintendent. The Board needs to be held accountable for the District and it's success or failure.
- 6) Maria Elena Ruiz – Ocala teacher that has been through four (4) Superintendents and she commented to the Board, 'Why are we here tonight – giving up our family time when there is no reason to? Superintendent Hilaria Bauer is a great leader—she represents her students and respects her colleagues!'
- 7) Julie Arroyo – Teacher for the past ten (10) years that has been through several Superintendents. She has seen Superintendent Hilaria Bauer at several school events and she is not 'just passing through' – Superintendent Hilaria Bauer has brought refreshing climate to the school sites.



## 2. BOARD BUSINESS *(continued)*

### 2.02 Announcement and Public Comments Regarding Items to be Discussed in Closed Session

- 8) Dr. Sandra Sarmiento – Cassell principal and ARAA president read a statement addressed to the Board on behalf of the Principals and Assistant Principals. Some of the highlights of the letter included the following (not all are listed):
- The Deputy Superintendent has a direct responsibility to the school Board and an indirect responsibility to the Superintendent. Since both the Superintendent and Deputy Superintendent report directly to the Board, staff will be confused about whose directives to follow
  - Superintendent Hilaria Bauer opposes the appointment of a Deputy—so assumption is that this position is being imposed on her
  - This is a repudiation of FCMAT’s recommendation that the Board stop interfering with the internal working of the District Office
  - This position will cost money that has not been allocated
  - We know our students and our community – it is not in the best interest to dismiss our Superintendent
  - The community has been moving in a positive direction for the past four (4) years and all are benefitting from the stability Superintendent Hilaria Bauer has brought to the District
  - Principals and Assistant Principals are requesting to have Superintendent Hilaria Bauer’s contract extended
- 9) Kristin Burt - LUCHA Principal and parent that has her two children enrolled at LUCHA spoke about the great things happening at her site. She commented that we are supporting the student’s mental needs with all the various programs and partnerships that we have partnered with. The parent engagement with DAC and DELAC are strong. She commented that the team includes the work of the teachers, principals, vice-principals, parents, administration, and community members; however, it is the Superintendent who leads the effort to make all this possible
- 10) Tracy Leathers – Ocala Principal that stated good leaders are given the opportunity to be innovative thinkers (her staff). The Superintendent has a ‘personal passion’. She walks each campus and visits, speaks to teachers, students, and parents. We now have stability – this is the longest Superintendent I have had in 15 years!
- 11) Candace (no last name given) – Representative from Mayor Sam Liccardo’s office, read an **updated** support letter signed by Mayor Sam Liccardo, Vice-Mayor Magdalena Carrasco, Councilmember Tam Nguyen, and Councilmember Sylvia Arenas. At the beginning of the Public Comments, Ms. Ingrid Holquin had read the first support letter
- 12) Ed (no last name given) – Sheppard parent that commented that his son was very happy at Sheppard and doing quite well. Parent also commented that he was very pleased with our Superintendent and the programs that she has implemented for the District

## **2. BOARD BUSINESS *(continued)***

### **2.02 Announcement and Public Comments Regarding Items to be Discussed in Closed Session**

- 13) Mrs. Diaz – Volunteer parent commented that -she pledges support to the Superintendent that treats parents with respect; her changes are great and transparent with quality education being given to our students
- 14) Scott Moore – Kidango Director commented on the great partnership with Alum Rock because of the strong leadership of Superintendent Hilaria Bauer and he was honored to work with her. He invited the Board and Superintendent Hilaria Bauer to the Kidango Super Hero Luncheon Event scheduled for September 28, 2017, 10:30-1:00 p.m.
- 15) Parent (no name given) – Parent has two students in the District-and she supports Superintendent Hilaria Bauer. Her son wants to be just like her—she has been a good role model for her son with her great leadership. Alum Rock Rocks! She stated that our students are our future! She stated that the parents do not want Board Members that have their own personal agendas.

### **2.03 Adjournment to Closed Session**

The Board recessed to Closed Session at 7:39 p.m. President Khanh Tran announced that the Board would reconvene to Open Session at approximately 8:30 p.m.

## **4. RECONVENE TO OPEN SESSION**

### **4.01 Call to Order / Pledge of Allegiance**

The Board reconvened to Open Session at 9:06 p.m. President Khanh Tran welcomed everyone. President Khanh Tran reported that there was nothing to report from Closed Session at this time.

## **5. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD**

### **5.01 Requests to Address the Board**

There were no public comments at this time. All the public comments were addressed for Closed Session items.

## 6. CONTINUANCE TO BOARD BUSINESS

### 6.01 Removal of Current Alum Rock Board President

Member Karen Martinez began by asking President Khanh Tran if he was resigning from his position as the Board President? President Khanh Tran replied with a “no”.

**MOTION #05-02 by Clerk Dolores Marquez-Frausto to remove President Khanh Tran from the Presidency. MOTION #05-02 was seconded by Clerk Karen Martinez.**

President Khanh Tran speaks to the fact that there are some Board Members calling for his removal. He talks about all the things that he has done in service of the District. He also stated that he wants to give the Board the opportunity to remove him. Lastly, President Khanh Tran reported that if the President resigns, by their bylaws, the Vice-President becomes President and if the President is voted out, then the Board elects a new President.

Clerk Dolores Marquez-Frausto commented that we need to work together and move forward. She speaks about the sacrifices that President Khanh Tran has made.

Member Esau Ruiz Herrera reported that in the Board Bylaws, there are no Roberts Rule of Order related to the conduct of board meetings.

Public Comment:

- 1) Ray Mueller – Community member that commented he applauds President Khanh Tran for his action; however, he stated that normally the resignation of the President leads to the ascension of the Vice-President

***MOTION #05-02 carried with a Roll Call vote of 3 in favor (Member Esau Ruiz Herrera, Clerk Dolores Marquez-Frausto, and Member Karen Martinez); no opposition; 1 absent (Vice-President Andrés Quintero); and 1 abstention (President Khanh Tran)***

The control of the Board Meeting now passes over to Board Clerk Dolores Marquez-Frausto.

### 6.02 Nomination and Election of a New Board President

**MOTION #05-03 by Clerk Dolores Marquez-Frausto to nominate Member Esau Ruiz Herrera as the new Board President. MOTION #05-03 was seconded by former President Khanh Tran.**

**6. CONTINUANCE TO BOARD BUSINESS** *(continued)*

**6.02 Nomination and Election of a New Board President**

*MOTION #05-03 carried with a Roll Call vote of 3 in favor (Clerk Dolores Marquez-Frausto, newly elected Board President Esau Ruiz Herrera, and Member Khanh Tran); 1 opposition (Member Karen Martinez); 1 absent (Vice-President Andrés Quintero); and no abstention.*

**7. ADJOURNMENT**

**7.01 President Adjourns the Meeting**

Newly elected Board President Esau Ruiz Herrera adjourned the meeting at 9:18 p.m.

Respectfully submitted,

---

Karen Martinez *(in lieu of Dolores Marquez-Frausto, Board Clerk)*  
Board Vice-President

HB/mcs

**DRAFT MINUTES  
ALUM ROCK ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San Jose, CA 95127**

**MINUTES OF A SPECIAL MEETING OF THE BOARD OF TRUSTEES  
of the Alum Rock Union School District  
Held on Thursday, November 16, 2017, 5:00 p.m. at the Alum Rock Union School  
District Office Board Room, 2930 Gay Avenue, San Jose, CA 95127  
Meeting #10-17/18**

**1. OPEN SESSION**

**1.01 Call to Order / Roll Call**

President Esau Ruiz Herrera welcomed everyone in the audience. President Esau Ruiz Herrera called the Board Meeting to order at 5:00 p.m. followed by Roll Call.

**Board Members Present:**

Esau Ruiz Herrera	President
Andrés Quintero	Vice-President
Khanh Tran	Member
Karen Martinez	Member ( <i>arrived late at 5:01 p.m.</i> )

**Board Member Absent:**

Dolores Marquez-Frausto	Clerk
-------------------------	-------

**Administrative and Support Staff Members Present:**

Dr. Hilaria Bauer	Superintendent
Rene Sanchez	Assistant Superintendent, Instructional Services
Kolvira Chheng	Assistant Superintendent, Business Services
Angel Rodriguez	Executive Assistant/Communications Support
Patricia Tovar	Executive Assistant, Business Services
Jacqueline Guevara	Executive Assistant, Superintendent's Office

**Administrative and Support Staff Member Absent:**

Marie Sanchez	Sr. Executive Assistant, Superintendent's Office
---------------	--

**1. OPEN SESSION** *(continued)*

A written notice was hereby given in accordance with Government Code Section 54956, Education Code 35144, and Board Bylaw 9320, that a Special Board Meeting of the Governing Board of the Alum Rock Union Elementary School District was called by Trustee Khanh Tran, Trustee Karen Martinez, and Trustee Andrés Quintero.

President Esau Ruiz Herrera suggested that the Board's actions were in violation of the Brown Act.

**2. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD**

**2.01 Requests to Address the Board**

There were no public comments from the audience at this time.

**3. BOARD BUSINESS**

**3.01 Removal of current Board President**

President Esau Ruiz Herrera reported that General Counsel had sent out a letter warning the Board of their actions being out of compliance as follows:

*At the November 9 Board Meeting, the Board took action to move its Annual Organizational Meeting from its scheduled December 14, 2017, date to December 7, 2017. Unfortunately, that action was in violation of Education Code 35143, and Board Bylaw 9100.*

*Board Bylaw states in relevant part: "The Board of Trustees shall hold an Annual Organizational Meeting within the time limits prescribed by law (Education Code 35143).*

*Education Code 35143 provides in relevant part:*

*"The governing board of each school district shall hold an annual organizational meeting. In a year in which a regular election for governing board members is conducted, the meeting shall be held on a day within a 15-day period that commences with the date upon which a governing board member elected at that election takes office. Organizational meeting in years in which no such regular election for governing board members is conducted shall be held during the same 15-day period on the calendar. Unless otherwise provided by rule of the governing board, the day and time of the annual meeting shall be selected by the Board at its regular meeting held immediately prior to the first day of such 15-day period, and the Board shall notify the County Superintendent of Schools of the day and time selected." Based upon Bylaw 9100 and Education Code 35143, the Alum Rock*



### **3. BOARD BUSINESS (continued)**

#### **3.01 Removal of current Board President**

*Board of Trustees is bound to hold its 2017 Annual Organizational Meeting on Thursday, December 14, 2017, as previously scheduled.*

President Esau Ruiz Herrera shared that the discussion of a Special Board Meeting on December 7 was only to accommodate Member Khanh Tran's schedule as he had mentioned he would be traveling out of the country.

He continued to comment that it was clear about the motivation that Trustee Khanh Tran might have had and now this action is likely to be deemed a violation of the Brown Act. The Brown Act says that all discussion or contemplated action be done in a public meeting and this prohibits any discussion or collective agreements outside of the public meeting.

Member Khanh Tran commented that back in August, President Esau Ruiz Herrera had also exchanged emails and he now felt that new leadership was needed. He mentioned that the Board attempted to move the Board Meeting from December 14 to December 7; however, he felt disappointed with President Esau Ruiz Herrera that he would risk violating a code.

**MOTION #10-01 by Member Khanh Tran to remove Esau Ruiz Herrera as the current Board President. MOTION #10-01 was seconded by Member Karen Martinez.**

***MOTION #10-01 carried with a vote of 3 in favor; 1 opposition (Member Esau Ruiz Herrera); 1 absent (Clerk Dolores Marquez-Frausto); and no abstention.***

#### **3.02 Nomination and Election of Board President**

**MOTION #10-02 by Member Khanh Tran to nominate Vice-President Andrés Quintero as the new Board President. There was no second made to this agenda item. Newly elected Board President Andrés Quintero accepted the nomination.**

Member Esau Ruiz Herrera stated that a nomination does not require a "second" and only an acceptance is needed.

***MOTION #10-02 carried with a vote of 3 in favor; 1 opposition (Member Esau Ruiz Herrera); 1 absent (Clerk Dolores Marquez-Frausto); and no abstention.***

#### **3.03 Removal of current Board Vice-President**

Member Esau Ruiz Herrera stated that no action was needed since, at this moment, there was no Vice-President.

**3. BOARD BUSINESS** *(continued)*

**3.04 Nomination and Election of Board Vice-President**

**MOTION #10-03 by Member Khanh Tran to nominate Member Karen Martinez as the new Board Vice-President. There was no second made to this agenda item. Newly elected Board Vice-President Karen Martinez accepted this nomination.**

***MOTION #10-03 carried with a vote of 3 in favor; no opposition; 1 absent (Clerk Dolores Marquez-Frausto); and 1 abstention (Member Esau Ruiz Herrera).***

**4. ADJOURNMENT**

**4.01 President Adjourns the Meeting**

**MOTION #10-04 by newly elected Vice-President Karen Martinez to adjourn this board meeting. MOTION #10-04 was seconded by newly elected President Andrés Quintero.**

***MOTION #10-04 carried with a vote of 4 in favor; no opposition; 1 absent (Clerk Dolores Marquez-Frausto); and no abstention.***

Newly elected Board President Andrés Quintero adjourned the meeting at 5:24 p.m.

Respectfully submitted,

---

Andrés Quintero *(in lieu of Dolores Marquez-Frausto, Board Clerk)*  
Board President

HB/mcs

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

12.02

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: November 27, 2017

**Subject:**                    **Acceptance of Grant/Award Notification**

**Staff Analysis:**        The District has received a grant/award as summarized on the attached sheet dated December 14, 2017.

**Recommendation:** Staff recommends acceptance of these grant/award.

Submitted by: Kolvira Chheng


Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting:        December 14, 2017  
                     Regular Board Meeting

**Recommend Approval**

12.02  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**Alum Rock Union Elementary School District  
December 14, 2017 Board Meeting**

**GRANT/AWARD NOTIFICATIONS**

---

<b><u>PROJECT NAME</u></b>	<b><u>PROJECT AMOUNT</u></b>	<b><u>FUNDING AGENCY</u></b>	<b><u>COMMENTS</u></b>
After School Education and Safety	\$ 3,008,073.83	California Department of Education	To provide funding for the District After School Education and Safety (ASES) Grant Program; award is made contin- gent upon the availability of funds if the Legislature takes action to reduce or defer funding, which this award is based, than this award will be amended accordingly; term 7/1/17 thru 6/30/18

## Grant Award Notification

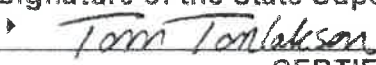

<b>GRANTEE NAME AND ADDRESS</b> Hilaria Bauer, Superintendent Alum Rock Union Elementary 2930 Gay Avenue San Jose, CA 95127-2322			<b>CDE GRANT NUMBER</b>			
			<b>FY</b>	<b>PCA</b>	<b>Vendor Number</b>	<b>Suffix</b>
			17	23939	6936	EZ
<b>Attention</b> Expanded Learning Programs Coordinator			<b>STANDARDIZED ACCOUNT CODE STRUCTURE</b>			<b>COUNTY</b>
<b>Program Office</b> Expanded Learning/After School Office			<b>Resource Code</b>	<b>Revenue Object Code</b>	43	
<b>Telephone</b> 408-928-6800			6010	8590	<b>INDEX</b>	
<b>Name of Grant Program</b> After School Education and Safety						0150
<b>GRANT DETAILS</b>	<b>Original/Prior Amendments</b>	<b>Amendment Amount</b>	<b>Total</b>	<b>Amend. No.</b>	<b>Award Starting Date</b>	<b>Award Ending Date</b>
	\$3,008,073.83		\$3,008,073.83		7/1/2017	6/30/2018
<b>CFDA Number</b>	<b>Federal Grant Number</b>	<b>Federal Grant Name</b>			<b>Federal Agency</b>	

I am pleased to inform you that you have been funded for the After School Education and Safety (ASES) grant program.

This award is made contingent upon the availability of funds. If the Legislature takes action to reduce or defer the funding upon which this award is based, then this award will be amended accordingly.

Please return the original, signed Grant Award Notification (AO-400) to:

Deborah Denico, Staff Services Analyst  
California Department of Education  
1430 N Street, Room/Suite Number 3400  
Sacramento, CA 95814-5901

<b>California Department of Education Contact</b> Deborah Denico		<b>Job Title</b> Staff Services Analyst
<b>E-mail Address</b> ddenico@cde.ca.gov		<b>Telephone</b> 916-319-0215
<b>Signature of the State Superintendent of Public Instruction or Designee</b> 		<b>Date</b> 9/18/17
<b>CERTIFICATION OF ACCEPTANCE OF GRANT REQUIREMENTS</b>		
<i>On behalf of the grantee named above, I accept this grant award. I have read the applicable certifications, assurances, terms, and conditions identified on the grant application (for grants with an application process) or in this document or both; and I agree to comply with all requirements as a condition of funding.</i>		
<b>Printed Name of Authorized Agent</b> Hilaria Bauer, PH.D.		<b>Title</b> Superintendent
<b>E-mail Address</b> hilaria.bauer@arusd.org		<b>Telephone</b> (408) 928-6830
<b>Signature</b> 		<b>Date</b> 11/21/17



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San Jose, CA 95127

12.03

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Board of Trustees:

Subject: **CONTRACTS FOR PROFESSIONAL SERVICES – INDIVIDUALS**

**Staff Analysis:**

The following contract for professional services is being presented to the Board of Trustees for review and approval.

**Recommendation:**

Staff recommends approval of the following contract for professional services on the attached sheet. Contract details are on file in the Purchasing Office.

Prepared by: Maria Martinez M-M. Title: Procurement Manager

Approved by: Kolvira Chheng K Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Meeting: December 14, 2017

Recommend Approval

12.03

Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**Alum Rock Union Elementary School District  
December 14, 2017 Board Meeting**

**PROFESSIONAL CONSULTANT SERVICES – INDIVIDUALS**

---

<b><u>SCHOOL / DEPARTMENT</u></b>	<b><u>DATE OF SERVICE</u></b>	<b><u>CONSULTANT NAME</u></b>	<b><u>COST</u></b>	<b><u>PURPOSE</u></b>
Special Education Jean Gallagher	12/15/17- 06/30/18	Kristen Strong, Ph.D.	\$2,340.00	Provide consultation, observation and strategies to support students with special needs, i.e. autism Funding: Sp Ed



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

BUSINESS OFFICE  
RECEIVED

## INDEPENDENT CONTRACTOR AGREEMENT

2017 NOV 29 P 1:05

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: Special Education (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Kristen Strong, Ph.D.  
Address: 119 Sunnyglan Ct City: Vacaville State: Ca Zip: 95487  
Phone: (707) 448-7278 Fax: ( )  
SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_  
Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates Dec 15, 2017 to June 30, 2018  
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:  
Supporting inclusive practices

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Consultation, observation and strategies to support student(s) with special needs, i.e. autism.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Flat Rate:** \$ 2,340 to be the total payment to the Contractor including travel and/or other expenses.

\_\_\_\_ c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>88</u>	<u>320</u>	<u>1820-A</u>	<u>5815</u>	<u>2,340</u>		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San Jose, CA 95127

12.04

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Board of Trustees:

Subject: CONTRACTS FOR PROFESSIONAL SERVICES – FIRMS/ORGANIZATIONS

**Staff Analysis:**

The following contracts for professional services are being presented to the Board of Trustees for review and approval.

**Recommendation:**

Staff recommends approval of the following contracts for professional services on the attached sheets. Contract details are on file in the Purchasing Office.

Prepared by: Maria Martinez mm Title: Procurement Manager

Approved by: Kolvira Chheng K Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Meeting: December 14, 2017

Recommend Approval

12.04

Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**Alum Rock Union Elementary School District**  
**December 14, 2017 Board Meeting**

**PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS**

<b><u>DEPARTMENT</u></b>	<b><u>DATE OF SERVICE</u></b>	<b><u>CONSULTANT NAME</u></b>	<b><u>COST &amp; FUNDING</u></b>	<b><u>PURPOSE</u></b>
Adelante Nuria Bravo-Sanz	12/15/17- 06/30/18	A Schmahl Science Workshops	No Cost	Hands on science environment for TK-8 <sup>th</sup> grade level Funding: N/A
Aptitud at Goss Maria Manzanedo	01/16/17- 06/14/17	Mitchell Slater dba: Levered learning, Inc.	No Cost	On line instruction for 4 <sup>th</sup> grade fractions intervention and enrichment Funding: N/A
Mathson Vince Iwasaki	12/18/17- 06/08/18	America Scores	No Cost	Afterschool program to deliver engaging sports based youth development programs Funding: N/A
Mathson Vince Iwasaki	01/08/18- 06/08/18	The City Year Project	\$2,220.00	Provide case management, one on one student and parent support for at risk students Funding: Restricted
Russo/McEntee Tereasa Smith	12/15/17- 06/14/18	Youth Science Institute	No Cost	Science program for 3 <sup>rd</sup> grade students Funding: N/A
Ryan Raquel Katz	11/03/17- 02/16/18	Bureau of Lectures, Inc.	\$1,550.00	School assemblies on Robotics Funding: ASBF
Bonds Louie Moran	08/11/17- 12/31/17	IT Management Corporation	\$10,320.35	Contract Increase Adjustment: C1718090 in order to accommodate future IT related needs, additional power & equipment needed for Matshon Multi-Purpose building Funding: Restricted
Academic Services Jason Sorich	01/08/18- 05/30/18	Springboard Collaborative	\$99,000.00	Four month after school literacy intervention for 180 students at Dorsa, McCollam, Painter and San Antonio schools Funding: General

**Alum Rock Union Elementary School District  
December 14, 2017 Board Meeting**

**PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS**

Maintenance Daniel Flores	11/01/17- 06/30/18	Cornerstone Environmental Contractors, Inc.	\$30,000.00	Install/repair irrigation equipment district-wide, and perform operator training on new variable frequency drive Funding: Restricted
Maintenance Daniel Flores	11/01/17- 06/30/18	Jet Mulch, Inc.	\$30,000.00	Install engineered wood fiber to our school play boxes district-wide Funding: Restricted
Maintenance Daniel Flores	10/01/17- 06/30/18	Khai Q. Tran dba: Khai Heating & Air Conditioning	\$44,000.00	Maintenance and repair of Airedale units as needed district-wide Funding: Restricted
Special Education Jean Gallagher	01/08/18- 06/30/18	Alpha Vista Services, Inc.	\$59,250.00	Will Provide speech and language therapy along with assessments and IEP writing Funding: Sp Ed
Special Education Jean Gallagher	12/14/17- 06/30/20	Skylar Hadden School	\$94,500.00	Legal settlement tuition/placement for students with special needs. Final settlement agreement Funding: Sp Ed
Special Education Jean Gallagher	12/15/17- 06/30/18	The Miri Center	\$4,500.00	Independent psycho-educational evaluation report writing Funding: Sp Ed
Special Education Jean Gallagher	01/08/18- 06/30/18	The Speech Pathology, Group Inc.	\$1,275.00	Professional Development for our Speech and Language Pathologists in the areas of: Students Succeeds Act and the New CA Dyslexia Bill Funding: General
State & Federal Noli Magsino	12/12/17- 12/12/17	Mexican Heritage Plaza	\$3,702.50	VAPA Winter Showcase Funding: General
State & Federal Sandra Garcia	12/15/17- 06/30/18	Silicon Valley Education Foundation	\$10,000.00	Math intervention program for current 8 <sup>th</sup> grade students nearly meeting grade level standards Funding: General



**Alum Rock Union Elementary School District  
December 14, 2017 Board Meeting**

---

**PROFESSIONAL CONSULTANT SERVICES – FIRMS/ORGANIZATIONS**

---

Student Services Norma Flores	12/08/17- 06/30/18	Dora J. Dome Law Offices	\$3,500.00	Contract Increase Adjustment: C1718269 Additional Professional development on various topics such as bullying prevention and disciplinary issues for the 2017-18 Fiscal Year Funding: General
Technology Avtar Gill	01/02/18- 03/31/18	DecoTech Systems	\$48,221.28	Installation and removal of IP clock/speaker system at Cureton Elementary Funding: General



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT RECEIVED PURCHASING

2017 NOV 34 PM 3:33

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: Adelante (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: A Schmah Science Workshops  
Address: 171 Branham Ln 10-223 City: San Jose State: CA Zip: 95128  
Phone: (408) 281-7595 x 110 Fax: \_\_\_\_\_  
SSN: \_\_\_\_\_ Fed I.D. #: 06-1780217  
Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates December 15, 2017 to June 30, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report: Schmah Science workshops will upgrade level for grades TK-8th at Adelante-ARUESD  
B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:  
Schmah Science workshops will deliver two (2) hands-on science enrichment for grade level TK-8th for free.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

- a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.  
b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.  
c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
INDEPENDENT CONTRACTOR AGREEMENT**

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. SS OFFICE

FROM: Aptitud @ Goss (School/Dept.)

VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Galt Avenue, San Jose, CA 95128, and the following named Contractor:

Name of Individual/Company: Levered Learning/Mitchell Slater  
 Address: 981 Old San Jose Rd. City: Soquel ST: CA Zip: 95073  
 Telephone: (831) 288-2018 Fax #: ( )  
 SSN: \_\_\_\_\_ Fed I.D.#: 35-2600791  
 Contractor's License: n/a Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates 1/16/17 to 6/14/17  
 3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:  
Online math instruction for 4th Grade fractions (intervention and enrichment)  
 B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:  
See attached

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form B-210) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

- a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.  
 b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.  
☒ c. **Other:** \$ 0 (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>N/A</u>						

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.  
 7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.  
 8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT PURCHASING

INDEPENDENT CONTRACTOR AGREEMENT 2017 NOV 34 PM 3: 37

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: MATHSON MEDDLE SCHOOL (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: AMERICA MEDDLE SCHOOLS  
Address: 1885 MISSION ST. City: SAN FRANCISCO State: CA Zip: 94103  
Phone: (831) 600-6782 Fax: \_\_\_\_\_  
SSN: \_\_\_\_\_ Fed I.D. #: 48-1272959 (NON-PROFIT TAX ID #)  
Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates 12/18/17 to 6/8/18
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:  
(IN DISCUSSION)  
(2 DAYS)
- A. One line description for Governing Board Report:  
SOCCER INSTRUCTION/GAMES @ LUNCH & 1 DAY AFTER SCHOOL
- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:  
SOCCER INSTRUCTION/GAMES/CHARACTER BUILDING w/ THE GOAL OF ENGAGING STUDENTS & REDUCING BEHAVIORAL INCIDENTS
4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

- a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.
- b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.
- ☒ c. **Other:** \$ 0 (describe rate agreement) IN-KIND DONATION OF GOODS & SERVICES VALUED @ \$25,000

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
				<u>0</u>		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT PURCHASING

2017 DEC -6 AM 11: 50

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: Mathson (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: The City Peace Project  
Address: PO Box 360014 City: San Jose State: CA Zip: 95158  
Phone: (408) 440-0865 Fax: ( )  
SSN: \_\_\_\_\_ Fed I.D. #: 46-1323539  
Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates January 8, 2018 to June 8, 2018  
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Psychoeducational mentoring group

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Psychoeducational mentoring group for at-risk students which will include case management, one on one support, parent workshops quarterly as well as home visits.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Flat Rate:** \$ 2,220.00 to be the total payment to the Contractor including travel and/or other expenses.

\_\_\_\_\_ c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
06	050	1190	5815	2220	TITLE I	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

2017 NOV 34 PM 3:41

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: Russo/McEntee (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Youth Science Institute (YSI)  
Address: 296 Garden Hill Dr City: Los Gatos State: CA Zip: 95032  
Phone: 408.356-4945 Fax: ( ) N/A  
SSN: \_\_\_\_\_ Fed I.D. #: 94-1265213  
Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates 12/15/17 to 6/14/18

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

YSI Science Programs for 3rd grade classes

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Animals & their adaptations programs on 1/10 from 8:50-9:50  
10-11, 11:10-12:10 & 12:50-1:50 See registration form D17-077 for details

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

☒ c. **Other:** \$ Ø (describe rate agreement) These programs are fully paid through a grant

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: Ryan STEAM Academy (School/Dept.)

VENDOR NO. 15770

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Bureau of Lectures

Address: 2500 W 6<sup>th</sup> St. Suite I City: Lawrence State: KS Zip: 66049

Phone: (800) 265-0084 Fax: (785) 843-0582

SSN: \_\_\_\_\_ Fed I.D. #: 81-2959791

Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates 11/3/17 to 2/16/18

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

School Assembly

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

"Skateboard science" - 2 45 min shows explaining gravity, distribution of force, inertia & vector decomposition.

"Robotics Assembly" - 2 45 min shows - Through robots, students will learn about electricity, programming, output modes, and feedback.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Flat Rate:** \$ 1550.00 to be the total payment to the Contractor including travel and/or other expenses.

     c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>95</u>	<u>100</u>	<u>0502</u>	<u>5815</u>	<u>\$1550.00</u>		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

---

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · [www.arusd.org](http://www.arusd.org)

---

To: Hilaria Bauer, Ph.D., Superintendent

From: Kolvira Chheng, Assistant Superintendent, Business Services

Re: Award of Contract Adjustment to IT Management Corp.

Date: December 4, 2017

---

*Background:*

In 2015-2016 the District obtained possession of the MACSA building located at the Mathson Middle School site. The building has been vandalized several times, trash has been dumped on a regularly basis in and out of the fenced areas. In August 2017 board approved the installation and set-up of surveillance equipment to insure safety of staff, community, and the overall building. Based on site team coordination's and further evaluation to accommodate the future needs of the IT department additional electrical power sources and IT equipment are needed.

*Summary:*

District staff reached out to IT Management Corp. to provide proposal to add the electrical power and equipment needed. IT Management Corp. proposal is in the amount of \$10,320.35

*Recommendation:*

Based on the needs analysis and review of cost proposal, District staff recommends the Board of Trustees award contract adjustment to IT Management Corp. in the amount of \$10,320.35.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING  
2017 NOV 35 AM 9:31

## PURCHASE ORDER / CONTRACT ADJUSTMENT

P.O. No. C1718090 Contract No. \_\_\_\_\_ Contract Original Amount: \$ 34,510.85

Vendor Name: IT Management Corporation Vendor No. \_\_\_\_\_

Please check:

- ☐ Cancel P.O. / Contract
- ☐ Change Item No.: \_\_\_\_\_
- ☐ Increase Amount Line Item No.: \_\_\_\_\_
- ☐ Decrease Amount Line Item No.: \_\_\_\_\_
- ☐ Add Line No.: \_\_\_\_\_
- ☐ Delete Line No.: \_\_\_\_\_
- ☐ Change org key/object code to: \_\_\_\_\_
- ☐ Change Vendor No. to: \_\_\_\_\_
- ☐ Add Freight: \$ \_\_\_\_\_
- ☐ Add Tax: \_\_\_\_\_%
- ☐ Disencumber
- ☐ Change Order No.: \_\_\_\_\_
- ☐ Other \_\_\_\_\_

### CONTRACTS ONLY

Change Orders:

Contracts Original Amount \$ 34,510.85  
Change Order #: 1 \$ 10,320.35  
Change Order #: \_\_\_\_\_ \$ \_\_\_\_\_  
Change Order #: \_\_\_\_\_ \$ \_\_\_\_\_

**New Contract Total** \$ 44,831.12  
(including Change Orders)

Line #	FUND	LOCATION	PROGRAM	ACCOUNT	\$ AMOUNT	Accountant Signature & Date
	<u>21</u>	<u>050</u>	<u>96020</u>	<u>5815</u>	<u>10,320.35</u>	<u>MN 12/4/17</u>

Reason for Adjustment: To accommodate the future needs of the IT department additional electrical power sources and IT equipment is needed for Mathson M.S. Multi-Purpose Building.

School/Dept.: <u>Bonds</u>	Requested by: <u>Louie Moran</u>
Approval: <u>[Signature]</u> (for Contracts Only)	Assistant Superintendent of Business Services
<u>[Signature]</u>	Program Manager
	Purchasing Manager
Date Requested: _____	Date Entered: _____ Entered By: _____

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of \$15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
DISTRICT

2017 DEC -6 AM 11:42

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: Academic Services (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Springboard Collaborative  
Address: 2 Penn Center, Ste. 1315 City: Philadelphia State: PA Zip: 19102  
Phone: 908, 902-7833 Fax: 1500 JFK Blvd.  
SSN: \_\_\_\_\_ Fed I.D. #: 45-3719806  
Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates January 8, 2018 to May 30, 2018
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:
- A. One line description for Governing Board Report:  
Four month after school literacy intervention for 180 students at four sites
- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:  
See attachment Guided reading literacy intervention @ Dorcas, McDowell, Painter, and San Antonio schools, in addition to parent workshops and home visits.
4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)
- ☐ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.
- ☒ b. **Flat Rate:** \$ 99,000.00 to be the total payment to the Contractor including travel and/or other expenses.
- ☐ c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_
5. **BUDGET CODE:**
- | FUND | DEPARTMENT | PROG/COUNTER | OBJECT | \$ AMOUNT   | PROG. TITLE | BUS OFC |
|------|------------|--------------|--------|-------------|-------------|---------|
| 03   | 360        | 1190         | 5815   | \$99,000.00 |             |         |
|      |            |              |        |             |             |         |
|      |            |              |        |             |             |         |
6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.
7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.
8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

---

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · [www.arusd.org](http://www.arusd.org)

---

To: Hilaria Bauer, Ph.D., Superintendent

From: Daniel Flores, Director of Maintenance, Operation & Transportation

Re: Contract Cornerstone Environmental Contractors, Inc.

Date: November 14, 2017

---

*Staff Analysis:*

The District needs to maintain a Contract with a reliable company who can provide repair and installation of irrigation equipment district-wide. Cornerstone is a fully licensed and insured environmental contractor that has provided safe, quality construction services throughout California for over 14 years.

The District reached out to three (3) companies and based on pricing, experience and reliability, staff is recommending to contract with Cornerstone Environmental Contracts, Inc.

*Recommendation:*

Staff recommends the Board of Trustees approve the contract with Cornerstone Environmental Contractors, Inc. in the amount of \$30,000 for fiscal year 2017-18.





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: MAINTENANCE (School/Dept.) VENDOR NO. 21290

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: CORNERSTONE ENVIRONMENTAL CONTRACTORS, INC.  
Address: P.O. BOX 5127 City: Concord State: CA. Zip: 94520  
Phone: ( 925 ) 299-9225 Fax: ( 925 ) 755-8099  
SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_  
Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates 11/01/2017 to 06/30/2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Repair irrigation equipment District-wide.

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Install new VFD controller & sensors, test operate pump station and perform operator training on new VFD (variable frequency drive).

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

\_\_\_\_\_ b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

☒ c. **Other:** \$ 30,000.00 (describe rate agreement) 2017 - 2018 FY.

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
05	450	8130-0	5815	30,000.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

---

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · [www.arusd.org](http://www.arusd.org)

---

To: Hilaria Bauer, Ph.D., Superintendent

From: Daniel Flores, Director of Maintenance, Operation & Transportation

Re: Contract Approval Jet Mulch, Inc.

Date: November 14, 2017

---

*Staff Analysis:*

The District has a frequent need to refill play structures' ground coverings district-wide with wood fiber for the safety of children when using playgrounds. Jet Mulch, Inc. has demonstrated that it is responsive, responsible and cost effective to serve our District's needs.

The District reached out to three (3) companies and based on pricing, experience and reliability, staff is recommending to contract with Jet Mulch, Inc.

*Recommendation:*

Staff recommends the Board of Trustees approve the contract with Jet Mulch in the amount of \$30,000.00 for fiscal year 2017-2018. To have our ground coverings in play structures maintained at a safe level for the safe enjoyment of our District's students.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: MAINTENANCE (School/Dept.) VENDOR NO. 15755

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: JET MULCH, INC.  
Address: P.O. BOX 1667 City: CAPTOLA State: CA. Zip: 95010  
Phone: ( 866 ) 306-8524 Fax: (      )  
SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_  
Contractor's License: C27-800650 Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates 11/01/2017 to 06/30/2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

To install engineer wood fiber to our schools playboxes District-wide.

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

     b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

  X   c. **Other:** \$ \$30,000. (describe rate agreement) 2017-2018 FY.

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
05	450	8130-0	5815	30,000.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

---

2930 Gay Avenue, San José, CA 95127 · Phone: 408-928-6800 · Fax: 408-928-6416 · [www.arusd.org](http://www.arusd.org)

---

To: Hilaria Bauer, Ph.D., Superintendent

From: Daniel Flores, Director of Maintenance, Operation & Transportation

Re: Contract Approval Khai Q. Tran DBA: Khai Heating & Air Conditioning

Date: November 12, 2017

---

*Staff Analysis:*

Khai Heating & Air Conditioning is experienced with Allerton controllers and program settings Along with HVAC unites. They service and maintain all proprietary Airedale units. This includes filter change, vacuum, and wipes units. Clean indoor and outdoor coils, drain pans and bird screen.

The District reached out to three (3) companies and based on pricing, experience and reliability, staff is recommending to contract with Khai Heating & Air Conditioning.

*Recommendation:*

Staff recommends the Board of Trustees approve the contract with Khai Heating & Air Conditioning in the amount of \$44,000 for fiscal year 2017-18.





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_

FROM: MAINTENANCE (School/Dept.) VENDOR NO. 21763

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: KHAI Q. TRAN DBA: KHAI HEATING & AIR CONDITIONING

Address: 1182 Raposa Dr. City: San Jose State: CA Zip: 95121

Phone: ( 408 ) 204-0682 Fax: (    )   

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates 10/01/2017 to 06/30/2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Maintenance and repair airdale units as needed District-wide

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Maintain all Airedale units including filter change, vacuum and wipe units, inclean indoor and out door coils, drain pans wherever accessible, clean bird screen if needed.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

   a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

   b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

  X   c. **Other:** \$ 44,000.00 (describe rate agreement) 2017 - 2018 FY.

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
05	450	8130-0	5815	44,000.00		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
DISBURSING

2017 DEC -6 AM 11: 09

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: Special Education (School/Dept)

VENDOR NO. 18320

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: Alpha Vista Services, Inc.

Address: 1290 Kifer Rd #301 City: Sunnyvale State: Ca Zip: 94086

Phone: ( ) Fax: ( )

SSN: Fed I.D. #:

Contractor's License: Type: Expiration:

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates Jan 8, 2018 to June 30, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Speech & language therapy

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Speech & language therapy, assessments, IEP meetings, IEP writing

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

☒ a. **Fee Rate:** \$ 79.00 per hour/day of service as may be requested by ARUESD, not to exceed a maximum of 100 hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☐ b. **Flat Rate:** \$ to be the total payment to the Contractor including travel and/or other expenses.

☐ c. **Other:** \$ (describe rate agreement)

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>08</u>	<u>380</u>	<u>1790-0</u>	<u>5815</u>	<u>59,262</u>		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PROCEDURING

2017 DEC -6 AM 10: 47

## Request for Contracted Services

To: BUSINESS OFFICE Contract No.: \_\_\_\_\_ Vendor No.: \_\_\_\_\_

Special Education (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☒ **MOU** (negotiated Agreement)

☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**

☐ Scope of Work/Proposal

**Note:** All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement (BUS-109) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: Skylar Hodden School

Address: 2720 S Bascom Ave City: San Jose State: Ca Zip: 95124

Phone: (408) 559-7500 Fax: ( )

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

**CONTRACT TERM:** effective dates December 14, 2017 to June 30, 2020

### CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals and other documentation if necessary.)

Legal settlement tuition/placement for student with special needs. Final settlement agreement attached.

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

☒ a. **Fee Rate:** \$ 31,500 per year Not to Exceed 3 years of services.

☐ b. **Other:** \$ = \$ 94,500 -

Describe rate agreement or other costs: \_\_\_\_\_

**BUDGET CODE:** 08-380-1820-0-5810

### APPROVALS:

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: [Signature] Date: 11-21-17

Director of Fiscal Services: \_\_\_\_\_ Date: \_\_\_\_\_

Asst. Supt., of Business Services: \_\_\_\_\_ Date: \_\_\_\_\_

Superintendent: \_\_\_\_\_ Date: \_\_\_\_\_

Board of Trustees: \_\_\_\_\_ Date: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
DISTRICT  
(FB)

2017 NOV 31 PM 2:34

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: Special Education (School/Dept.)

VENDOR NO. 22149

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: The Mini Center

Address: 1681 Meridian Ave #70-258 City: San Jose State: Ca Zip: 95120

Phone: (408) 274-4123 Fax: ( )

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

### Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates Dec. 13, 2017 to June 30, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Psycho-Educational Evaluation (IEE)

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Psycho-Educational Evaluation (IEE) Report Writing

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

☒ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Flat Rate:** \$ 4,500 to be the total payment to the Contractor including travel and/or other expenses.

☐ c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
08	380	1820-A-	5815	4,500		08/11/17

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: Special Education (School/Dept.)

VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: The Speech Pathology Group, Inc.

Address: 2021 Ignacio Valley Rd #C103, 202 Walnut Creek City: Walnut Creek State: CA Zip: 94598

Phone: ( ) Fax: ( )

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates Jan 8, 2018 to June 30, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Professional Development for SLP's

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Professional Development for SLP's

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

X b. **Flat Rate:** \$ 1,275 to be the total payment to the Contractor including travel and/or other expenses.

     c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>02</u>	<u>305</u>	<u>5020-0</u>	<u>5815</u>	<u>1,275</u>		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_

FROM: VAPA DEPARTMENT (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: MEXICAN HERITAGE PLAZA

Address: 1700 Alum Rock Ave City: SAN JOSE State: CA Zip: 95116

Phone: ( 408 ) 794-6240 Fax: ( 408 ) 272-9920

SSN: \_\_\_\_\_ Fed I.D. #: 80-0714882

Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates DECEMBER 12, 2017 to DECEMBER 12, 2017

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Performance for the VAPA Winter Showcase.

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Alum Rock School District VAPA Winter Showcase is using the Theater, Pavilion, Art Gallery and classrooms at Mexican Heritage Plaza. Technicians, Theater attendants and management staff will support this event.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

     b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

  X   c. **Other:** \$ 3702.50 (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	310	52610	5610	3,702.50	VAPA	

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES

CONTRACT NO. \_\_\_\_\_

FROM: STATE & FEDERAL (School/Dept.)

VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: SILICON VALLEY EDUCATION FOUNDATION (SVEF)

Address: 1400 Parkmoor Ave. Ste# 200 City: San Jose State: CA Zip: 95126

Phone: ( 408 ) 790-9410 Fax: (408 ) 321-0884

SSN: \_\_\_\_\_ Fed I.D. #: 205061316

Contractor's License: \_\_\_\_\_ Type: \_\_\_\_\_ Expiration: \_\_\_\_\_

**Mutually agree and promise as follows:**

2. **CONTRACT TERM:** effective dates December 15, 2017 to June 30, 2018

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. One line description for Governing Board Report: Math intervention program for current 8th grade students nearly meeting grade level standards.

B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Math programs will provide support to current 8th grade students to succeed in math for successful completion of high school and to prepare them for college.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

\_\_\_\_\_ a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

\_\_\_\_\_ b. **Flat Rate:** \$ \_\_\_\_\_ to be the total payment to the Contractor including travel and/or other expenses.

☒ c. **Other:** \$ up to \$10,000 (describe rate agreement) \$2,500 per class (Up to 4 classes)

5. **BUDGET CODE:** SVEF=\$2,500 per class HFSV=NO COST

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	360	1940-0	5815	10,000		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

BUSINESS OFFICE  
RECEIVED

## PURCHASE ORDER / CONTRACT ADJUSTMENT

2017 NOV 16 P 2:52

P.O. No. \_\_\_\_\_ Contract No. C1718269 Contract Original Amount: \$ 2000

Vendor Name: Dora J. Dome Law Offices Vendor No. 22701

Please check:

- ☐ Cancel P.O. / Contract  
☐ Change Item No.: \_\_\_\_\_  
☒ Increase Amount Line Item No.: 1  
☐ Decrease Amount Line Item No.: \_\_\_\_\_  
☐ Add Line No.: \_\_\_\_\_  
☐ Delete Line No.: \_\_\_\_\_  
☐ Change org key/object code to: \_\_\_\_\_  
☐ Change Vendor No. to: \_\_\_\_\_  
☐ Add Freight: \$ \_\_\_\_\_  
☐ Add Tax: \_\_\_\_\_ %  
☐ Disencumber  
☐ Change Order No.: \_\_\_\_\_  
☐ Other \_\_\_\_\_

### CONTRACTS ONLY

Change Orders:

Contracts Original Amount	\$ <u>2000</u>
Change Order #: <u>1</u>	\$ <u>3500</u>
Change Order #: _____	\$ _____
Change Order #: _____	\$ _____
<b>New Contract Total</b> (Including Change Orders)	\$ <u>5,500.00</u>

Line #	FUND	LOCATION	PROGRAM	ACCOUNT	\$ AMOUNT	Accountant Signature & Date
1	03	305	5020	5815	\$5,500.00	<u>[Signature]</u> 11/16/17

Reason for Adjustment: Dora J. Dome will be providing professional developments at the District Office for Principals and Administrators on various topics such as bullying prevention and to properly handle disciplinary issues and investigations throughout the 2017-2018 school year.

School/Dept.: <u>Student Services</u>	Requested by: <u>Norma Flores</u>
Approval: <u>[Signature]</u> (for Contracts Only)	Assistant Superintendent of Business Services
	Program Manager
	Purchasing Manager
Date Requested: _____	Date Entered: _____ Entered By: _____

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of \$15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING  
2017 DEC -6 AM 10:47

## INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. \_\_\_\_\_  
FROM: \_\_\_\_\_ (School/Dept.) VENDOR NO. \_\_\_\_\_

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2830 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: DecoTech Systems, Inc.  
Address: 1180 Mt Diablo Blvd City: Walnut Creek State: CA Zip: 94596  
Phone: (925) 954-1520 Fax: (925) 954-1521  
SSN: \_\_\_\_\_ Fed I.D. #: 68-0424937  
Contractor's License: 862324 Type: B, C7, C10 Expiration: 8/31/2019

Mutually agree and promise as follows:

2. **CONTRACT TERM:** effective dates 1/2/2018 to 3/31/2018  
3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

- A. One line description for Governing Board Report:

Clock/PA System Upgrade Project

- B. Full description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Provide and install 43 indoor clock/speakers and 9 outdoor speakers at one campus. Provide and install Informacast software with 50 endpoint licenses for system. See attached Proposal E17-19574. District to provide server, switches and network cabling at clock/speaker locations. Network cabling can also be provided on a Time and Materials (T&M) basis where required.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

     a. **Fee Rate:** \$ \_\_\_\_\_ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of \_\_\_\_\_ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

  X   b. **Flat Rate:** \$ 48,221.28 to be the total payment to the Contractor including travel and/or other expenses.

     c. **Other:** \$ \_\_\_\_\_ (describe rate agreement) \_\_\_\_\_

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
<u>03</u>	<u>340</u>	<u>5210-0</u>	<u>F200</u>	<u>48,221.28</u>		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon five-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San Jose, CA 95127

12.05

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Board of Trustees:

Subject: Acceptance of Memorandum(s) of Understanding

**Staff Analysis:**

The District has received the following Memorandum(s) of Understanding as summarized on the sheet dated December 14, 2017.

**Recommendation:**

Staff recommends acceptance of these memorandum(s) of understanding.

Prepared by: Maria Martinez *m.m.* Title: Procurement Manager

Approved by: Kolvira Chheng *K* Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Meeting: December 14, 2017

Recommend Approval

*12.05*

Agenda Placement

*[Signature]*

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**Alum Rock Union Elementary School District  
December 14, 2017 Board Meeting**

**MEMORANDUMS OF UNDERSTANDING**

---

<b><u>SCHOOL / DEPARTMENT</u></b>	<b><u>DATE OF SERVICE</u></b>	<b><u>CONSULTANT NAME</u></b>	<b><u>COST</u></b>	<b><u>PURPOSE</u></b>
Academic Services Rene Sanchez	12/15/17- 06/30/19	Santa Clara County Office of Education	No cost	SCCOE will hold an annual National Bilingual Multilingual Learner Advocacy Symposium for 5th, 6th, and/or 8 <sup>th</sup> grade students Funding: N/A
Academic Services Rene Sanchez	12/15/17- 06/30/18	Santa Clara County Office of Education – Library Services	\$5,812.00	Provide credentialed Librarian services where needed Funding: N/A
Special Education Jean Gallagher	08/22/17- 12/22/17	Santa Clara County Office of Education	\$3,000.00	Contract Increase Adjustment: C1718113 to train ARUSD staff on how to process reclassifications of English Learners with disabilities. Along with a presentation at the December 2017 management meeting Funding: General





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
FOR DISTRICT

2017 NOV 35 AM 7:43

## Request for Contracted Services

To: BUSINESS OFFICE Contract No.: \_\_\_\_\_ Vendor No.: \_\_\_\_\_

**Academic Services** (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☒ **MOU** (negotiated Agreement)

☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**

☐ Scope of Work/Proposal

**Note:** All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement (BUS-109) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: Santa Clara County Office of Education

Address: 1290 Ridder Park Dr City: San Jose State: CA Zip: \_\_\_\_\_

Phone: ( 408 ) 453-6500 Fax: (      ) \_\_\_\_\_

SSN: \_\_\_\_\_ Fed I.D. #: \_\_\_\_\_

**CONTRACT TERM:** effective dates December 15, 2017 to June 30, 2019

### CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals and other documentation if necessary.)

**\*SCCOE will provide services for the 2017 and 2018 fiscal years.**

**\* SCCOE will hold an annual National Bilingual Advocacy Month**

**\* SCCOE will provide technical assistance with the Seal of Biliteracy criteria and will issue a gold seal to eligible students.**

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form BUS-108) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

**No cost**

a. **Fee Rate:** \$ \_\_\_\_\_ per \_\_\_\_\_ Not to Exceed \_\_\_\_\_ of services.

b. **Other:** \$ \_\_\_\_\_

Describe rate agreement or other costs: \_\_\_\_\_

**BUDGET CODE:** \_\_\_\_\_

### APPROVALS:

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:**

Site/Department Administrator: \_\_\_\_\_ Date: 12/4/2017

Director of Fiscal Services: \_\_\_\_\_ Date: \_\_\_\_\_

Asst. Supt., of Business Services: \_\_\_\_\_ Date: \_\_\_\_\_

Superintendent: \_\_\_\_\_ Date: \_\_\_\_\_

Board of Trustees: \_\_\_\_\_ Date: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING

2017 NOV 35 AM 7:44

## Request for Contracted Services

To: BUSINESS OFFICE Contract No.: \_\_\_\_\_ Vendor No.: \_\_\_\_\_

Academic Services (School/Dept) and the Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor wishes to enter a:

☒ **MOU** (negotiated Agreement)  
☐ Exhibit B & C (Fingerprinting and TB Test)

☐ **MASTER CONTRACT PARTICIPATION**  
☐ Scope of Work/Proposal

**Note:** All Contracts over \$5,000 require pre-approval.

\* Use Independent Contractor Agreement (BUS-109) for unincorporated individuals or in the absent of negotiated agreement.

Name of Individual/Company: Santa Clara County Office of Education - Library Services

Address: 1290 Ridder Park Drive City: San Jose State: CA Zip: 95131

Phone: (408) 453-6670 Fax: (408) 453-6815

SSN: \_\_\_\_\_ Fed I.D. #: 77-0272168

**CONTRACT TERM:** effective dates July 1, 2017 to June 30, 2018

### CONTRACTOR'S OBLIGATION:

Description of services to be provided: (Please attach proposals and other documentation if necessary.)

The SCHOOL LIBRARY SERVICES are offered to Districts in Santa Clara County who do not have library services provided by certificated library personnel for their K-12 schools and are intended to augment and improve the district's existing library programs and collections and to enable districts to comply with state regulations requiring them to provide professional library services to their schools.

**COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor, upon Contractor's submission of a properly documented demand for payment (Form BUS-106) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a or b)

     a. **Fee Rate:** \$ \_\_\_\_\_ per \_\_\_\_\_ Not to Exceed \_\_\_\_\_ of services.

☒ b. **Other:** \$ FREE Services provided by SCCOE

Describe rate agreement or other costs: \_\_\_\_\_

**BUDGET CODE:** \_\_\_\_\_

### APPROVALS:

#### ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT:

Site/Department Administrator: [Signature] Date: \_\_\_\_\_

Director of Fiscal Services: \_\_\_\_\_ Date: \_\_\_\_\_

Asst. Supt., of Business Services: \_\_\_\_\_ Date: \_\_\_\_\_

Superintendent: \_\_\_\_\_ Date: \_\_\_\_\_

Board of Trustees: \_\_\_\_\_ Date: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING  
2017 NOV 30 AM 9:29

## PURCHASE ORDER / CONTRACT ADJUSTMENT

P.O. No. \_\_\_\_\_ Contract No. C1718113 Contract Original Amount: \$ 1900.00  
Vendor Name: Santa Clara County Office of Education Vendor No. 10547

Please check:

- ☐ Cancel P.O. / Contract  
☐ Change Item No.: \_\_\_\_\_  
☐ Increase Amount Line Item No.: \_\_\_\_\_  
☐ Decrease Amount Line Item No.: \_\_\_\_\_  
☒ Add Line No.: 3  
☐ Delete Line No.: \_\_\_\_\_  
☐ Change org key/object code to: \_\_\_\_\_  
☐ Change Vendor No. to: \_\_\_\_\_  
☐ Add Freight: \$ \_\_\_\_\_  
☐ Add Tax: \_\_\_\_\_ %  
☐ Disencumber  
☐ Change Order No.: \_\_\_\_\_  
☐ Other \_\_\_\_\_

### CONTRACTS ONLY

Change Orders:

Contracts Original Amount \$ 1900.00  
Change Order #: 1 \$ 2500.00  
Change Order #: 2 \$ 500  
Change Order #: \_\_\_\_\_ \$ \_\_\_\_\_

New Contract Total  
(including Change Orders)

\$ 4400.00

4,900

*get*

Line #	FUND	LOCATION	PROGRAM	ACCOUNT	\$ AMOUNT	Accountant Signature & Date
3	03	305	5100	0 5815	\$2500.00	<i>[Signature]</i> 12/5/17
D3	305	5000	0	5815	500	

Reason for Adjustment: Add Line #3 in the amount of \$2500.00 to include ELD Title 3 Reclassification PD to the original contract in order to allow for Inclusion Collaborative to train teachers serving English learners with disabilities on the alternate assessment to the English Language Development Test based on their educational needs. The Inclusion Collaborative will train ARUSD staff on how to process reclassifications of English learners with disabilities. (LRE.)

School/Dept.: Academic Services

Requested by: Ivan Montes

Approval:

*[Signature]*  
(for Contracts Only)

Assistant Superintendent of Business Services

Program Manager

Purchasing Manager

Date Requested: 12/5/17

Date Entered: \_\_\_\_\_

Entered By: \_\_\_\_\_

*Restrictive Environment.*

(Pursuant to Public Contract Code 20118.4, the total cost of change orders shall not exceed the greater of \$15,000.00 or 10% of the original contract amount. The ARUESD Board of Trustees must approve changes exceeding these limits.)

12.06

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: November 27, 2017

**Subject:**                    **Acceptance of Donations**

**Staff Analysis:**        The District has received donations as summarized on the sheet dated December 14, 2017.

**Recommendation:** Staff recommends approval for acceptance of these donations.

Approved by: Kolvira Chheng    Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting:        December 14, 2017  
Regular Board Meeting

**Recommend Approval**

12.06  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**ACCEPTANCE OF DONATIONS**

<b><u>DONOR NAME</u></b>	<b><u>DESCRIPTION OR PURPOSE</u></b>	<b><u>ESTIMATED VALUE</u></b>	<b><u>RECEIVING SCHOOL OR DEPARTMENT</u></b>
ACSA Foundation for Educational Administration	Materials & Supplies	\$ 500.00	ARUESD Music-Mariachi Program
Synopsys Silicon Valley Science & Technology Outreach Foundation	Materials & Supplies	\$ 350.00	Cureton Elementary
Dorsa Elementary School Community	Materials & Supplies	\$ 50.00	Dorsa Elementary
Synopsys Silicon Valley Science & Technology Outreach Foundation	Materials & Supplies	\$ 350.00	George Middle
eScrip	Materials & Supplies	\$ 159.99	George Middle
Linda Vista Parent Contributions	Materials & Supplies	\$ 11,520.00	Linda Vista Elementary -Science Camp
AT&T Employee Giving Program	Materials & Supplies	\$ 40.00	McCollam Elementary
AT&T Employee Giving Program	Materials & Supplies	\$ 40.00	McCollam Elementary
Synopsys Silicon Valley Science & Technology Outreach Foundation	Materials & Supplies	\$ 350.00	Meyer Elementary
Wells Fargo Community Support Campaign	Materials & Supplies	\$ 40.00	Meyer Elementary
Synopsys Silicon Valley Science & Technology Outreach Foundation	Materials & Supplies	\$ 300.00	Painter Elementary
Mr. & Ms. Rudy Duran	Materials & Supplies	\$ 250.00	Painter Elementary
Applied Materials Foundation & Employees	Materials & Supplies	\$ 400.00	Painter Elementary
The Tech Museum of Innovation	Materials & Supplies	\$ 150.00	Painter Elementary
PG&E Employee Giving	Materials & Supplies	\$ 500.00	Painter Elementary
Spirit and Pride	Materials & Supplies	\$ 186.00	Painter Elementary



12.07

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**

To the Board of Trustees:

Date: November 27, 2017

**Subject:**                    **Approval of Fundraising Activities**

**Staff Analysis:**        The District has received fundraising requests as summarized on the attached sheet dated December 14, 2017.

**Recommendation:** Staff recommends approval of these fundraising event requests.

Approved by: Kolvira Chheng    Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting:        December 14, 2017  
Regular Board Meeting

**Recommend Approval**

12.07  
Agenda Placement

  
Hilara Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**APPROVAL OF FUNDRAISING ACTIVITY**

---

<b><u>SCHOOL</u></b>	<b><u>FUNDRAISING ACTIVITY</u></b>	<b><u>ACTIVITY SPONSOR</u></b>	<b><u>DATE</u></b>
ARUESD Music Dept	Silent Auction Sales	Booster	04/26/18-04/26/18
Aptitud Academy	Holiday Grams' Sales	ASB	12/20/17-12/20/17
Arbuckle Elementary	Winter Show Sales	PTO	12/19/17-12/19/17
Cureton Elementary	Contributions to the ASB Account	ASB	09/01/17-06/15/18
Dorsa Elementary	Chocolate Bar Sales	PTA	04/09/17-04/18/18
Dorsa Elementary	Winter Festival Sales	PTA	12/20/17-12/20/17
Dorsa Elementary	Family Fun Nights' Sales	PTA	01/12/18-05/11/18
George Middle	Contributions to the ASB Account	ASB	09/01/17-06/15/18
L.U.C.H.A.	Chocolate Sales	PTA	01/17/18-02/16/18
Lyndale Elementary	World's Finest Chocolate Sales	ASB	01/08/18-01/08/18
Lyndale Elementary	Christmas Grams' Sales	ASB	12/22/17-12/22/17
Mathson Middle	School Dance Sales	ASB	12/15/17-12/15/17
Mathson Middle	Christmas Grams' Sales	ASB	12/13/17-12/21/17
Russo/McEntee Acad	Walk-a-Thon Sales	PTA	05/11/18-05/11/18
Russo/McEntee Acad	Snifty's Pencils' Sales	ASB	01/01/18-01/01/18
Russo/McEntee Acad	Movie Night Sales	PTA	12/13/17-03/14/18
Ryan STEAM Acad	Catalog Sales	ASB	01/08/18-01/13/18
Ryan Elementary	Holiday Show Sales	ASB	01/26/18-01/26/18

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

12.08

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:

December 1, 2017

**Subject:** Vendor & Payroll Warrants

**Staff Analysis:** Summary of Vendor and Payroll Warrants issued during November month of Fiscal Year 2017/2018.

Total of vendor warrants	\$	6,777,185.67
Total of payroll warrants	\$	<u>4,774,669.20</u>
<b>Total</b>	<b>\$</b>	<b>11,551,854.87</b>

**Recommendation:** Staff recommends approval of the Vendor & Payroll Warrants for the month of November 2017.

Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommend Approval**

12.08  
Agenda Placement

  
Hilana Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**November 2017**

<b><u>VENDOR WARRANTS</u></b>	<b><u>AMOUNT</u></b>
General Fund (Incl Payroll A/P)	\$3,889,170.57
Cafeteria Fund	\$340,716.72
Deferred Maintenance Fund	\$0.00
Building Fund	\$565,086.12
Capital Facilities Fund	\$0.00
County School Facility Fund	\$619,783.81
Special Reserve for Capital Outlay Fund	\$0.00
Health and Welfare	\$1,344,321.14
Worker's Comp	\$2,144.51
<b>Subtotal</b>	<b><u>\$6,761,222.87</u></b>
Student Body Fund	\$15,962.80
<b>Total Vendor Warrants</b>	<b><u>\$6,777,185.67</u></b>
<b><u>PAYROLL WARRANTS</u></b>	
10th of the month	\$488,907.68
EOM Payroll	\$4,272,976.73
Manual Checks	\$13,141.44
Void Checks	(\$356.65)
<b>Total Payroll Warrants</b>	<b><u>\$4,774,669.20</u></b>
<b>Grand Total All Warrants</b>	<b><u>\$11,551,854.87</u></b>

AP Warrants used # 137691 - 138328  
AP Direct Deposit Stub Used #1010189 - 1010196  
Payroll Direct Deposit Pay Stub used # 60271071- 60274204  
Payroll Checks used #10118300 - 10118506

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

12.09

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:

November 30, 2017

**Subject:** **Enrollment/Attendance Report for Month 3  
(October 23 thru November 17, 2017)**

**Staff Analysis:** The Enrollment/Attendance Report for the attendance November 17, 2017 provides information on how many students are enrolled at each school and what percent attended class. This report captures our ADA percentages for the first seven months of the school year. It establishes our Local Control Funding Formula (LCFF) for 2017/2018 school year.

**Month 3**

November 17, 2017

Regular Ed & SDC ADA

96.04%

**Recommendation:** Staff recommends acceptance of this month's Enrollment/Attendance Report.

Submitted by: Debbie Elliott Title: Coordinator, Assessment & Accountability

Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommend Approval**

12.09

Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_

Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



## Enrollment/ADA 2017-2018

[illegible][illegible]

Total	10235	97 21	10255	96 52	10303	96 04	0	0.00	0	0.00	0	0.00	0	0.00
-------	-------	-------	-------	-------	-------	-------	---	------	---	------	---	------	---	------

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

12.10

**ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES**

To the Board of Trustees:

Date: November 27, 2017

**Subject:** Santa Clara County Treasury Investment Portfolio Status

**Staff Analysis:** In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with county-policy, the Santa Clara County Treasury Investment Portfolio Report as of September 30, 2017 is submitted for the Board of Trustee's review and acceptance.

**Recommendation:** Staff recommends that the Board of Trustees approve the SCC Investment Portfolio Report as of September 30, 2017.

Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommend Approval**

12.10  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

# County of Santa Clara



## Finance Agency Controller-Treasurer Department


County Government Center  
70 W. Hedding Street, East Wing, 2nd Floor  
San Jose, California 95110-1705  
(408) 299-5200 FAX (408) 288-9237

November 17, 2017

Submitted by:

  
Alan Minato, Controller-Treasurer

TO: BOARD OF TRUSTEES, SANTA CLARA COUNTY SCHOOL DISTRICTS  
BOARDS OF DIRECTORS, SANTA CLARA COUNTY SPECIAL PURPOSE DISTRICTS

FROM: EMILY HARRISON, DIRECTOR OF FINANCE 

SUBJECT: COUNTY OF SANTA CLARA TREASURY INVESTMENT PORTFOLIO STATUS

### RECOMMENDATION

Receive and file the September 30, 2017 Detailed Investment Portfolio Listing.

### DISCUSSION

In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with County Policy, the Santa Clara County Treasury Investment Portfolio Report as of September 30, 2017 is submitted for your review and acceptance.

The attached detailed investment reports list each investment of the County Treasury Pool as well as individual reports for specific investment funds that each school district or special district has in the County Treasury. The reports include the respective purchase and maturity dates, par value, amortized cost, market value, and yield to maturity for each investment.

A summary of market value versus cost is provided below for Commingled Investments of the County Pool.

	Cost	Market Value	Increase (Decrease)	Percent
Commingled Investments	\$5,405,118,378	\$5,390,123,180	-\$14,995,197	-0.28%

Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Ken Yeager, Joe Simitian  
County Executive: Jeffrey Smith

TO: SANTA CLARA COUNTY SCHOOL DISTRICTS AND SPECIAL PURPOSE DISTRICT  
Page 2

The yield of the pool on September 30, 2017 was 1.32%. As a comparison, on September 30, 2017 the yield of a 6-month Treasury Bill was 1.19%. A two-year Treasury Note was 1.49%. The State of California Local Agency Investment Fund (LAIF) yield was 1.11%.

Attached with the current investment strategy is a schedule that lists the average weighted maturities and yield for the Commingled Treasury Pool. Charts outlining investment concentration and distribution of bond maturities are provided for the Pool. Also included is a chart showing the one-year history of the pool and selected interest rates.

Securities are purchased with the expectation that they will generally be held to maturity. Unrealized gains or losses are not reflected in the yield calculations.

The market values of securities were taken from pricing services provided by BNYMellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

A combination of securities maturing, new revenues, and tax receipts will adequately cover the anticipated cash flow needs for the next six months. Cash flows are continually monitored and are considered paramount in the selection of securities purchased for the Pool.

If any Commingled Pool participant would like further information on this report, please let us know.

Attachment:

September 2017 Quarterly Investment Summary



# Quarterly Investment Report

September 30, 2017





## Quarterly Investment Review Table of Contents

### Quarterly Investment Report Table of Contents

Summary of Cost Values versus Market Values and Yields	1
Portfolio Strategy, Compliance, Review and Monitoring	2
Commingled Pool: Allocation by Security Types	4
Commingled Pool: Allocation by Ratings	5
Commingled Pool: Holdings by Issuer	6
Commingled Pool: Historical Month End Book Values	7
Commingled Pool: Distribution by Maturity	8
Commingled Pool: Yield to Maturity and Weighted Average Maturity	9
Approved Issuers and Broker/Dealers	10
Commingled Pool: Compliance with Investment Policy	11
Holdings Report: Commingled Pool	12
Holdings Report: Worker's Compensation	24
Holdings Report: Park Charter Fund	25
Holdings Report: San Jose-Evergreen	26
Transaction Activity Report	28

Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Ken Yeager, S. Joseph Simitian

County Executive: Jeffrey V. Smith



## Santa Clara County Commingled Pool and Segregated Investments

September 30, 2017

Fund	Cost Value**	Market Value	Variance	% Variance
Commingled Investment Pool	\$5,405,118,378	\$5,390,123,180	-\$14,995,197	-0.28%
Worker's Compensation	\$27,954,085	\$27,992,658	\$38,574	0.14%
Park Charter Fund	\$4,122,176	\$4,121,134	-\$1,042	-0.03%
San Jose-Evergreen	\$20,194,232	\$20,259,473	\$65,240	0.32%
Medical Malpractice Insurance Fund (1)	\$9,131,402	\$9,111,275	-\$20,127	-0.22%
<b>Total</b>	<b>\$5,466,520,273</b>	<b>\$5,451,607,721</b>	<b>-\$14,912,552</b>	<b>-0.27%</b>

(1) Managed by Chandler Asset Management, Inc.

### Summary of Yields\* for Select Santa Clara County Investment Funds

Fund	2017		2016
	Jul 31	Aug 31	Sep 30
Commingled Investment Pool	0.99%	1.29%	1.32%
Worker's Compensation	1.43%	1.38%	1.38%
<b>Weighted Yield</b>	<b>1.29%</b>	<b>1.29%</b>	<b>1.02%</b>

\*Yield to maturity (YTM) is the rate of return paid on a bond, note, or other fixed income security if the investor buys and holds it to its maturity date and if the coupon interest paid over the life of the bond is reinvested at the same rate as the coupon rate. The calculation for YTM is based on the coupon rate, length of time to maturity, and market price at time of purchase.

Yield is a snapshot measure of the yield of the portfolio on the day it was measured based on the current portfolio holdings on that day. This is not a measure of total return, and is not intended to be, since it does not factor in unrealized capital gains and losses and reinvestment rates are dependent upon interest rate changes

\*\*Cost Value is the amortized book value of the securities as of the date of this report.



# Santa Clara County Commingled Pool and Segregated Investments

## Portfolio Strategy

September 30, 2017

Gross domestic product, the broadest measure of goods and services produced in the U.S., grew at a 3 percent annual rate in the third quarter ending September 30, 2017. A number of measures underscore that the U.S. economy is in good health. Third quarter growth sustained the strength of the prior quarter's 3.1 percent growth and affirmed that much of the economic disruption caused by storm damage from hurricanes Harvey and Irma will likely fade relatively quickly. The 4.1 unemployment rate is the lowest since December 2000. Earnings of large corporations are rising at a healthy pace and consumer confidence is strong. Although September retail sales reflected a sharp increase as a result of rebuilding efforts in the wake of recent hurricanes, economists expect a more normal consumer-driven growth pattern will resume shortly and the trend of underlying economic expansion will continue intact.

Including the results of non-farm payroll growth from October 2017, the labor market has now expanded for 85 consecutive months. This is the longest uninterrupted stretch of growth on record. September's muted job growth due to storm damage had been widely expected. A post-hurricane rebound in October with job growth of 261,000 comfortably offset September's results. October's expansion was driven by those displaced workers returning to work as well as a boost coming from rebuilding and recovery efforts. Additionally, the Labor Department upwardly revised August payrolls from a previously reported increase of 169,000 to a final reading for the month of 208,000, a notably improved picture of job growth during the late summer.

Although labor market trends appear strong, it is important to note that more than half of the increase in U.S. employment from 2011 to 2015 occurred in the nation's most prosperous postal codes. Uneven economic gains resulting from payroll growth was a conclusion published in a recent study released by the Economic Innovation Group, a Washington, D.C. researcher. Among the country's 100 largest cities, the top 10 most prosperous are located in Texas or the West, including the major tech hubs of Silicon Valley. Rust belt metros including Cleveland, Buffalo and Detroit ranked among the most "distressed postal codes" and benefited from the least payroll growth. This region is the home of to 52.3 million Americans, 17 percent of the population).

Given the current strength of the U.S. economy, a strong likelihood exists that Federal Reserve Bank (Fed) policy makers will raise interest rates again in December 2017. Policy makers have raised rates by a quarter percentage point four times since late 2015 and most recently in June to a range between 1 and 1.25 percent. Despite consistent hikes by the Fed, the ten-year U.S. Treasury yields have been consistently between 2.01 percent and 2.63 percent throughout 2017. Low expectations about future inflation and record low interest rates around the world has unleashed an unprecedented demand from overseas investors for U.S. domestic bonds. Even the municipal market, still largely considered a low-yielding haven for risk-averse Americans seeking tax-exempt income, has benefited from increased demand from foreign investors. Foreign volume in this market sector has increased three-fold over the last decade.

The portfolio strategy continues to focus on the:

- (1) acquisition of high quality issuers;
- (2) identifying and selecting bonds with attractive valuations;
- (3) appropriately sizing the liquidity portion of the portfolio to ensure adequate cash for near term obligations; and
- (4) ensuring that monies targeted for longer term investments are deployed in vehicles with favorable risk-adjusted yields.

Broker-dealers have generally down-sized the amount of securities carried in inventories in response to risk-curb rules crafted after the 2008 financial crisis. These risk curbing rules include the international regulatory framework for banks called Basel III and the U.S. 2010 Dodd-Frank Law. The Treasury Division has increased its capability to review a larger volume of inventory listings to find attractive bonds. Portfolio structuring does not rely on interest rate anticipation strategies, which primarily speculate on the direction of interest rates as a means to earn favorable returns.



## **Santa Clara County Commingled Pool and Segregated Investments**

### **Portfolio Compliance, Review, and Monitoring**

**September 30, 2017**

#### **Yield and Weighted Average Maturity**

The yield of the Commingled Pool is 1.32 and the weighted average life is 573 days.

#### **Compliance**

The County Treasurer believes the Commingled Pool contains sufficient cash flow from liquid and maturing securities, bank deposits and incoming cash to meet the next six months of expected expenditures.

#### **Review and Monitoring**

FTN Financial Main Street Advisors, the County's investment advisor, currently monitors the Treasury Department's investment activities.

#### **Additional Information**

Securities are purchased with the expectation that they will be held to maturity, so unrealized gains or losses are not reflected in the yield calculations.

The market values of securities were taken from pricing services provided by the Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.



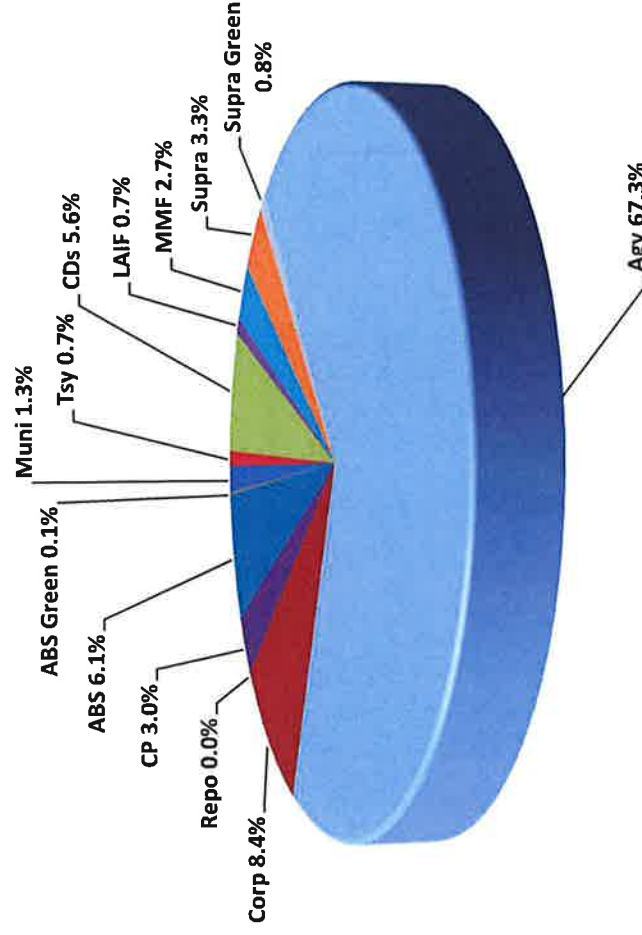
## Santa Clara County Commingled Pool

### Allocation by Security Types

September 30, 2017

Sector	9/30/2017	6/30/2017	% Chng
Federal Agencies	67.26%	57.60%	9.7%
Corporate Bonds	8.37%	6.72%	1.6%
Repurchase Agreements	0.00%	0.00%	0.0%
Commercial Paper	2.95%	10.90%	-7.9%
ABS	6.06%	5.13%	0.9%
ABS Green Bonds	0.07%	0.06%	0.0%
Municipal Securities	1.27%	1.02%	0.2%
U.S. Treasuries	0.74%	0.60%	0.1%
Negotiable CDs	5.64%	9.14%	-3.5%
LAIF	0.75%	0.60%	0.1%
Money Market Funds	2.73%	4.71%	-2.0%
Supranationals	3.33%	2.86%	0.5%
Supranationals Green Bonds	0.83%	0.67%	0.2%
<b>Total</b>	<b>100.00%</b>	<b>100.00%</b>	

Sector	9/30/2017	6/30/2017
Federal Agencies	3,635,253,077	3,876,524,363
Corporate Bonds	452,217,763	452,223,802
Repurchase Agreements	-	-
Commercial Paper	159,597,007	733,603,055
ABS	327,581,521	345,532,895
ABS Green Bonds	3,999,868	3,999,855
Municipal Securities	68,559,010	68,569,877
U.S. Treasuries	40,139,823	40,185,238
Negotiable CDs	305,000,680	615,001,313
LAIF	40,469,740	40,376,758
Money Market Funds	147,424,771	316,813,402
Supranational	179,875,117	192,365,614
Supranationals Green Bonds	45,000,000	45,000,000
<b>Total</b>	<b>5,405,118,378</b>	<b>6,730,196,172</b>



Amounts are based on book value

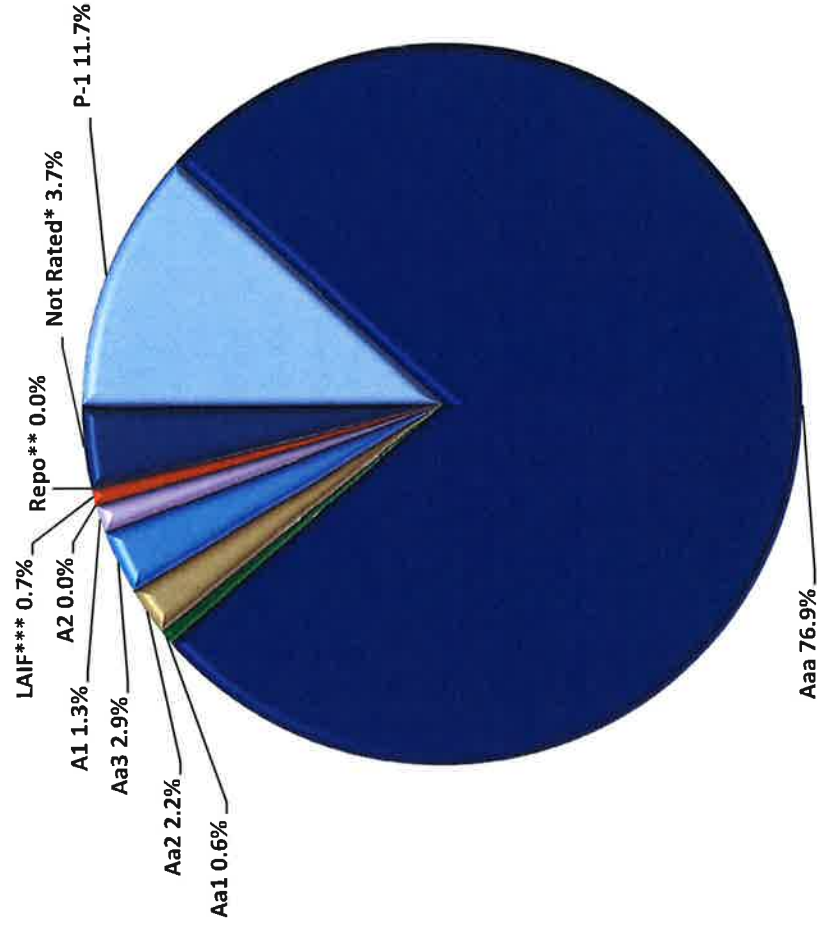




## Santa Clara County Commingled Pool

### Allocation by Ratings

September 30, 2017



Moody's Rating	Portfolio \$	Portfolio %
P-1	634,508,175	11.7%
Aaa	4,156,813,733	76.9%
Aa1	34,981,181	0.6%
Aa2	116,237,246	2.2%
Aa3	154,212,597	2.9%
A1	69,801,041	1.3%
A2	-	0.0%
A3	-	0.0%
LAIF***	40,469,740	0.7%
Repo**	-	0.0%
Not Rated*	198,094,664	3.7%
Total	5,405,118,378	100.0%

\*Not Rated by Moody's but A-1+ by S&P

\*\*Repurchase Agreements are not rated, but are collateralized by U.S. Treasury securities or securities issued by the Federal Agencies of the U.S.

\*\*\*LAIF is not rated, but is comprised of State Code allowable securities

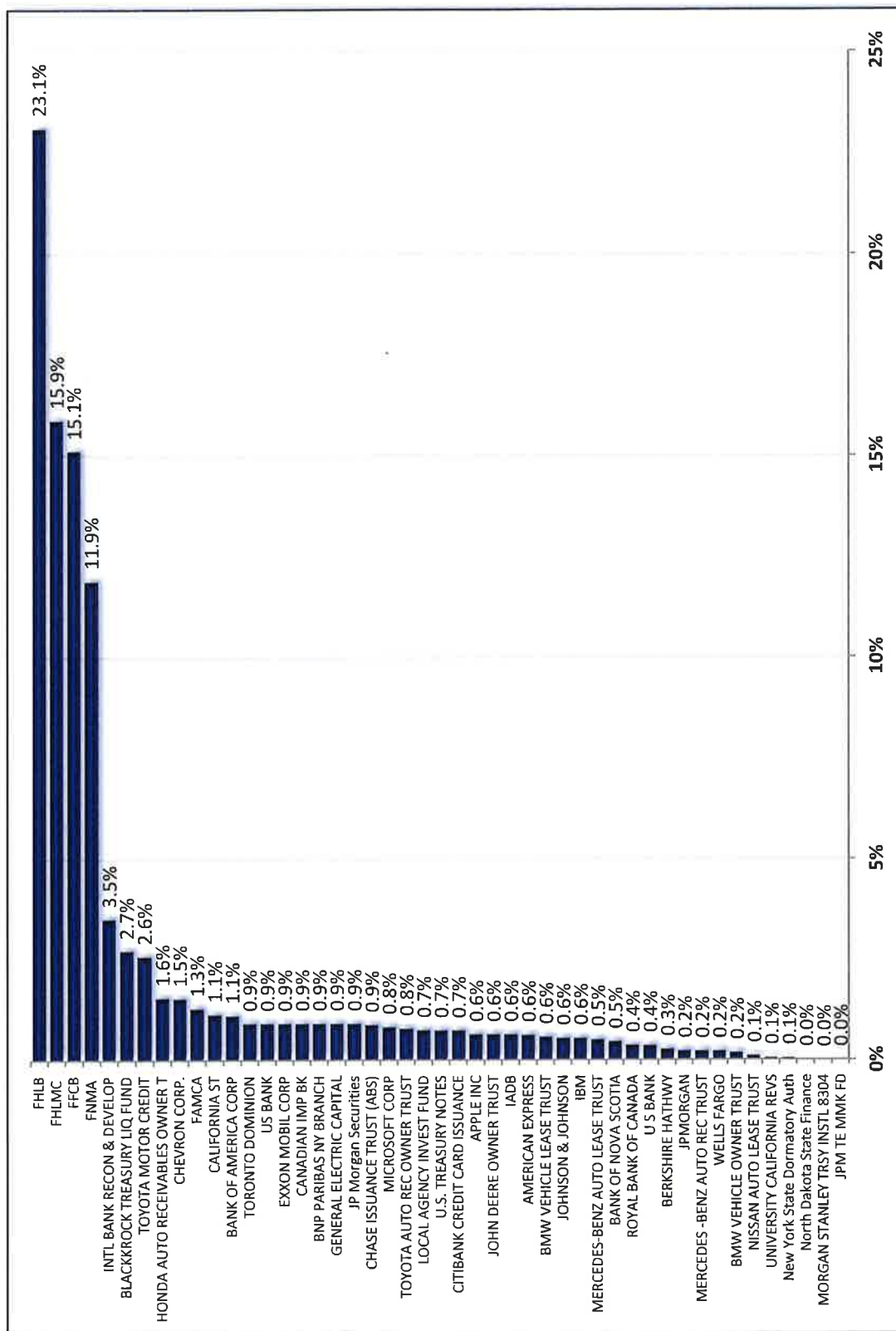
Amounts are based on book values



## Santa Clara County Commingled Pool

### Holdings by Issuer - Percent of Commingled Pool

September 30, 2017



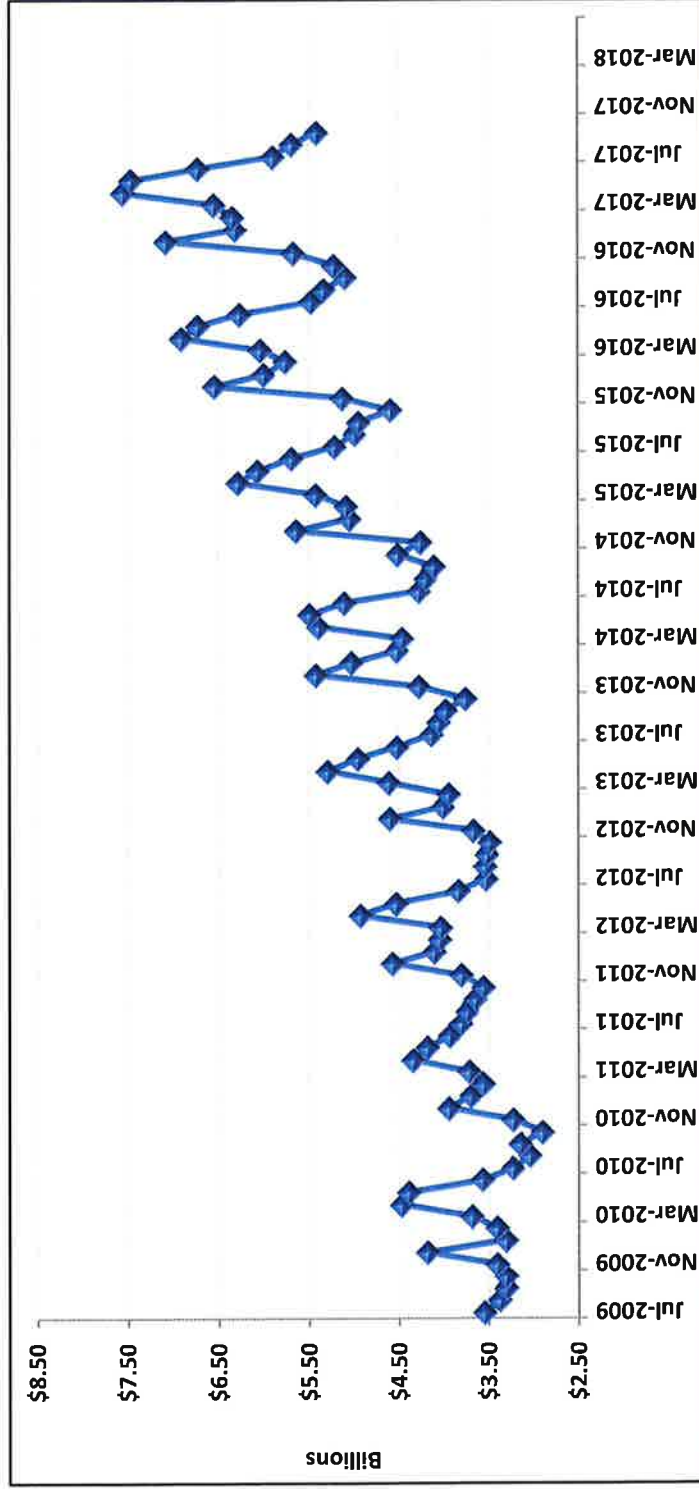
Amounts are based on book values



## Santa Clara County Commingled Pool

### Historical Month End Book Values

September 30, 2017



Fiscal Year	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
FY 2010	\$3.541	\$3.373	\$3.307	\$3.307	\$3.408	\$4.175	\$3.307	\$3.408	\$3.687	\$4.463	\$4.384	\$3.536
FY 2011	\$3.230	\$3.032	\$3.143	\$2.898	\$3.227	\$3.943	\$3.695	\$3.551	\$3.712	\$4.339	\$4.179	\$3.935
FY 2012	\$3.801	\$3.736	\$3.637	\$3.555	\$3.805	\$4.567	\$4.097	\$4.040	\$4.032	\$4.926	\$4.525	\$3.833
FY 2013	\$3.508	\$3.517	\$3.515	\$3.469	\$3.645	\$4.600	\$3.918	\$3.982	\$4.606	\$5.286	\$4.952	\$4.521
FY 2014	\$4.133	\$4.052	\$3.975	\$3.758	\$4.271	\$5.419	\$5.019	\$4.520	\$4.461	\$5.386	\$5.487	\$5.108
FY 2015	\$4.267	\$4.194	\$4.096	\$4.051	\$4.247	\$5.639	\$5.045	\$5.085	\$5.420	\$6.284	\$6.065	\$5.690
FY 2016	\$5.212	\$4.990	\$4.941	\$4.587	\$5.120	\$6.543	\$5.997	\$5.752	\$6.040	\$6.911	\$6.728	\$6.263
FY 2017	\$5.469	\$5.328	\$5.088	\$5.220	\$5.671	\$7.082	\$6.319	\$6.348	\$6.550	\$7.556	\$7.469	\$6.730
FY 2018	\$5.898	\$5.689	\$5.408									

Amounts in billions

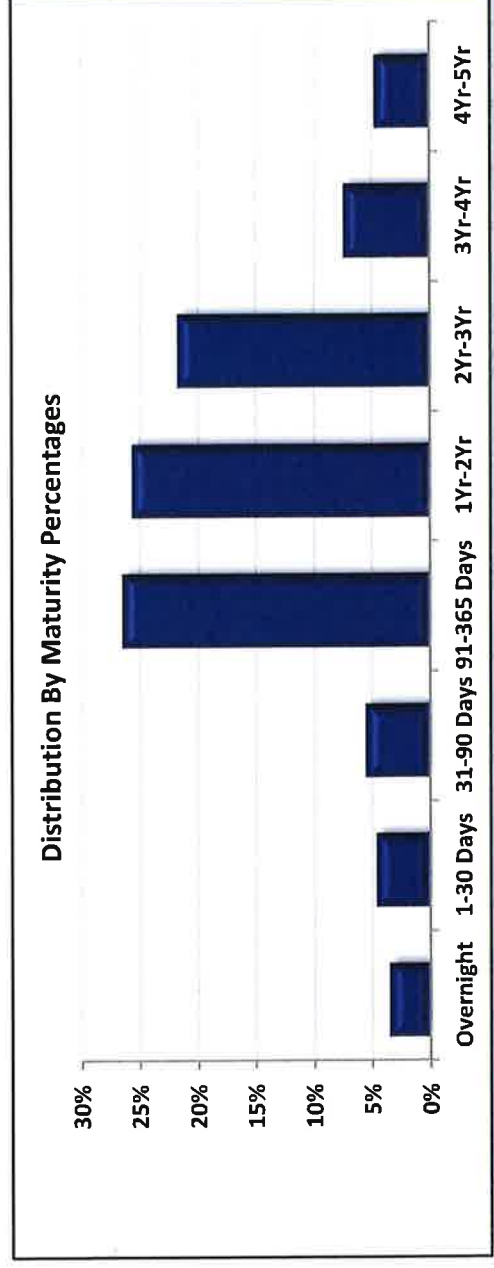
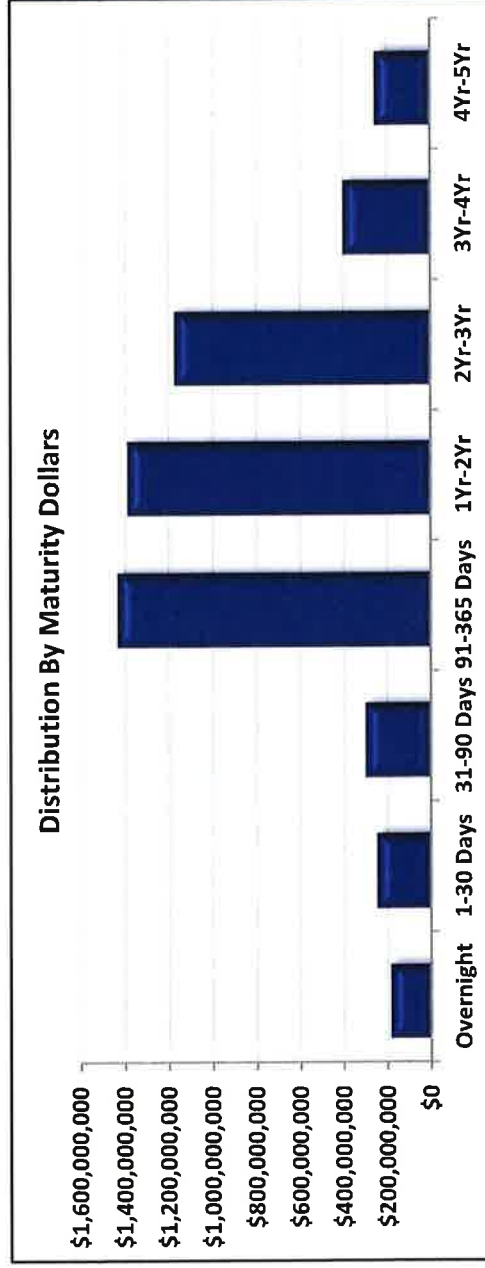


## Santa Clara County Commingled Pool

### Distribution by Maturity

September 30, 2017

Maturity	Amount*
Overnight	187,894,511
1-30 Days	250,973,225
31-90 Days	302,536,314
91-365 Days	1,434,677,280
1Yr-2Yr	1,390,204,264
2Yr-3Yr	1,176,100,451
3Yr-4Yr	404,383,153
4Yr-5Yr	258,349,178
	5,405,118,378



Maturity	Amount*
Overnight	3.48%
1-30 Days	4.64%
31-90 Days	5.60%
91-365 Days	26.54%
1Yr-2Yr	25.72%
2Yr-3Yr	21.76%
3Yr-4Yr	7.48%
4Yr-5Yr	4.78%
	100.00%

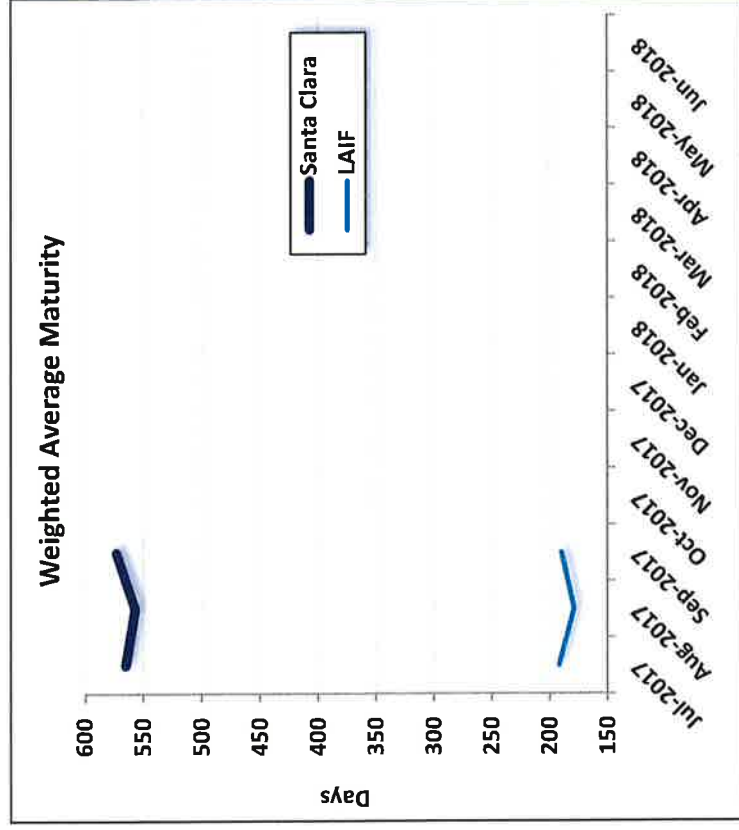
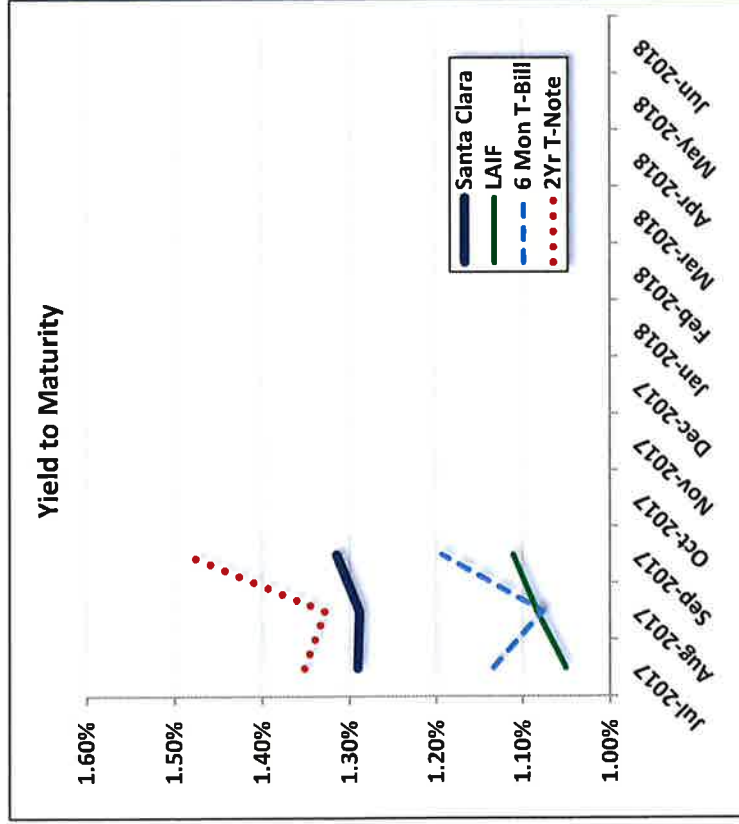
\*Amounts are based on book value



## Santa Clara County Commingled Pool

### Yield to Maturity and Weighted Average Maturity

September 30, 2017





## Santa Clara County



### Approved Issuers and Broker/Dealers

September 30, 2017

#### Direct Commercial Paper Issuers

General Electric  
Toyota Motor Credit  
US Bank, NA

#### Broker/Dealers

Bank of America Merrill Lynch  
Barclays Capital, Inc  
BMO Capital Markets  
BNP Paribas Securities Corp  
BNY Mellon Capital Markets, LLC  
Brean Capital LLC  
Cantor Fitzgerald & Co  
Citigroup Global Markets Inc  
Daiwa Capital Markets America Inc  
Deutsche Bank Securities Inc  
FTN Financial, Inc  
Incapital LLC  
Jefferies & Co  
JP Morgan Securities, Inc  
Keybank Capital Markets, Inc  
Loop Capital Markets LLC  
Mizuho Securities USA, Inc  
Piper Jaffray & Co  
Raymond James, Inc.  
RBC Capital Markets, Inc  
Stifel Nicolaus & Co  
Suntrust Robinson Humphrey Inc  
UBS Financial Service Inc  
Vining Sparks LP  
Williams Capital

# Santa Clara County Commingled Pool

## Compliance with Investment Policy

September 30, 2017



Parameters		In Compliance
Item/Sector		
Maturity	Weighted Average Maturity (WAM) must be less than 24 months	Yes
Interest Periods	Securities must pay interest within one year of the initial investment and at least semiannually in subsequent years	Yes
Investment Swaps	Similar maturity swaps, so as not to affect cash flow needs, should have minimum 5 basis point gain	Yes
Issuer Limits	No more than 5% of the portfolio shall be invested in aggregate of any single institution of the following types: Bankers Acceptances, CP, Negotiable CDs, and Corporate Notes	Yes
U.S. Treasuries	No sector limit, no issuer limit, max maturity 5 years	Yes
U.S. Federal Agencies	No sector limit, no issuer limit, max maturity 5 years	Yes
LAIF	No sector limit, no issuer limit, CA State's deposit limit \$65 million	Yes
Repurchase Agreements	No sector limit, no issuer limit, max maturity 92 days, treasury and agency collateral at 102% of investment, if maturity exceeds 15 days, must be collateralized by securities with 5 years or less maturities	Yes
Commercial Paper	Sector limit 40%, issuer limit 5%, max maturity 270 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by domestic corporation w/ at least \$500 mil of assets, and long term debt rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Corporate Bonds	Sector limit 30%, issuer limit 5%, max maturity 5 years, rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's), issued by domestic corps/depositories	Yes
Money Market Funds	Sector limit 20%, issuer limit 10%, rated by at least two: AAA-m (S&P/Fitch)/Aaa-mf (Moody's), MMF has at least \$500 mil managed	Yes
Negotiable Certificates of Deposit	Sector limit 30%, issuer limit 5%, max maturity 5 years, if under 1 year rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), if greater than 1 year rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Municipal Securities	Sector limit 10%, no issuer limit, State of CA, local CA agencies, and other municipal securities of the other 49 states, if long-term rated, then by at least two: A- (S&P/Fitch)/A3 (Moody's), if short-term rated, then by at least two: SP-1 (S&P), MIG-1 (Moody's), F-1 (Fitch), revenue based bonds payable solely out of the States' or local agencies' revenues	Yes
Mortgage-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of conforming residential mortgage loans insured by FHLMC/FNMA and residential mortgages guaranteed by FHA (GNMA)	Yes, None in Portfolio
Asset-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of loans such as installment/receivables, security must be rated by at least two: AA- (S&P/Fitch), Aa3 (Moody's), issuer rated by at least two: A- (S&P/Fitch), A3 (Moody's)	Yes
Supranational Debt Obligations	Sector limit 10%, max maturity 5 years, issued or unconditionally guaranteed by the IBRD, rated by at least two: AAA (S&P/Fitch), Aaa (Moody's)	Yes
Bankers' Acceptances	Sector limit 40%, issuer limit 5%, max maturity 180 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by commercial banks	Yes, None in Portfolio
Securities Lending	Sector limit 20%, max maturity 92 days for loans and reinvestment, loan counterparty must be a primary dealer, loaned securities must be owned for at least 30 days	Yes, None in Portfolio

**SANTA CLARA COUNTY INVESTMENTS**  
**Fund COMM - COMMINGLED POOL**  
**Investments by Fund**  
**September 30, 2017**

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Negotiable CDs</b>											
06052TXD7	37728	BANK OF AMERICA CORP	04/18/2017	60,000,000.00	60,000,000.00	60,013,140.00	1.370	1.370	1.389	01/19/2018	110
05582WRH9	37616	BNP PARIBAS NY BRANCH	03/28/2017	50,000,000.00	50,000,000.00	50,018,250.00	1.450	1.450	1.470	01/16/2018	107
06417GQY7	37164	BANK OF NOVA SCOTIA	12/02/2016	25,000,000.00	25,000,000.00	25,017,025.00	1.522	1.419	1.438	12/01/2017	61
13606BFD3	37664	CANADIAN IMP BK	04/07/2017	50,000,000.00	50,000,000.00	50,014,600.00	1.380	1.380	1.399	01/19/2018	110
78009NVR4	36156	ROYAL BANK OF CANADA	07/30/2015	20,000,000.00	20,000,000.00	19,998,330.40	1.693	1.669	1.693	07/30/2018	302
89113WJ98	37732	TORONTO DOMINION	04/07/2017	50,000,680.38	50,000,000.00	50,012,650.00	1.370	1.365	1.383	01/08/2018	99
90333VTG5	37723	US BANK	04/17/2017	50,000,000.00	50,000,000.00	50,002,800.00	1.200	1.200	1.216	01/31/2018	122
<b>Subtotal and Average</b>				<b>305,000,680.38</b>	<b>305,000,000.00</b>	<b>305,076,795.40</b>		<b>1.380</b>	<b>1.399</b>		<b>118</b>

**Federal Agency Bonds**

3132X0LA7	37079	FARMER MAC	11/02/2016	25,000,000.00	25,000,000.00	24,975,725.00	0.800	0.789	0.800	02/02/2018	124
3133EEFE5	35527	FFCB NOTES	12/18/2014	10,000,684.44	10,000,000.00	9,997,420.00	1.125	1.077	1.092	12/18/2017	78
3133EEFE5	35529	FFCB NOTES	12/18/2014	10,000,000.00	10,000,000.00	9,997,420.00	1.125	1.109	1.125	12/18/2017	78
3133EEWG1	35799	FFCB NOTES	04/01/2015	9,939,578.62	9,950,000.00	9,918,428.65	1.300	1.353	1.371	04/01/2019	547
3133EEE71	35940	FFCB NOTES	05/01/2015	5,000,000.00	5,000,000.00	4,990,080.00	0.920	0.907	0.920	05/01/2018	212
3133EEE71	35941	FFCB NOTES	05/01/2015	5,000,000.00	5,000,000.00	4,990,080.00	0.920	0.907	0.920	05/01/2018	212
3133EEJ50	35965	FFCB NOTES	05/11/2015	4,997,986.39	5,000,000.00	4,992,660.00	1.030	1.082	1.097	05/11/2018	222
3133EFFJ0	36370	FFCB NOTES	11/19/2015	14,999,320.00	15,000,000.00	14,973,540.00	1.290	1.276	1.294	11/19/2018	414
3133EFGS3	36440	FFCB NOTES	12/14/2015	20,000,000.00	20,000,000.00	19,986,620.00	1.100	1.085	1.100	03/14/2018	164
3133EFGS3	36441	FFCB NOTES	12/14/2015	15,000,000.00	15,000,000.00	14,989,965.00	1.100	1.085	1.100	03/14/2018	164
3133EFSH1	36442	FFCB NOTES	12/14/2015	14,997,891.67	15,000,000.00	14,989,485.00	1.170	1.174	1.190	06/14/2018	256
3133EFSH1	36443	FFCB NOTES	12/14/2015	19,997,132.67	20,000,000.00	19,985,980.00	1.170	1.174	1.190	06/14/2018	256
3133EFZ00	36584	FFCB NOTES	02/24/2016	14,991,517.84	15,000,000.00	14,914,350.00	1.030	1.057	1.072	02/12/2019	499
3133EF3B1	36683	FFCB NOTES	04/12/2016	34,987,083.75	35,000,000.00	34,909,980.00	0.750	0.800	0.811	04/18/2018	199
3133EF4Y0	36726	FFCB NOTES	04/27/2016	26,802,041.89	26,855,000.00	26,616,097.92	1.000	1.112	1.128	04/26/2019	572
3133EGAS4	36768	FFCB NOTES	05/18/2016	24,990,433.92	25,000,000.00	24,955,300.00	0.750	0.841	0.853	02/16/2018	138
3133EGWH4	37018	FFCB NOTES	09/30/2016	10,007,513.73	10,000,000.00	9,701,700.00	1.280	1.243	1.260	09/29/2021	1,459
3133EGYN9	37049	FFCB NOTES	10/17/2016	5,000,000.00	5,000,000.00	4,979,375.00	0.940	0.927	0.940	10/17/2018	381
3133EGJ48	37112	FFCB NOTES	11/17/2016	24,942,545.20	25,000,000.00	24,848,625.00	0.890	1.082	1.097	11/16/2018	411
3133EGT47	37194	FFCB NOTES	12/08/2016	10,000,000.00	10,000,000.00	10,045,600.00	2.010	1.982	2.010	12/08/2021	1,529
3133EGX67	37265	FFCB NOTES	12/20/2016	35,000,000.00	35,000,000.00	35,106,155.00	1.356	1.288	1.306	08/20/2019	688

Portfolio SCL2  
AP  
FI (PRF\_FI) 7.1.1  
Report Ver. 7.3.6.1

**Fund COMM - COMMINGLED POOL**  
**Investments by Fund**  
**September 30, 2017**

Page 2

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds</b>											
3133EG2D6	37305	FFCB NOTES	12/30/2016	25,019,042.55	25,000,000.00	24,940,100.00	1.550	1.490	1.511	09/27/2019	726
3133EG5D3	37378	FFCB NOTES	01/27/2017	5,000,000.00	5,000,000.00	5,000,785.00	2.030	2.002	2.030	01/27/2022	1,579
3133EG5Q4	37399	FFCB NOTES	02/01/2017	20,000,000.00	20,000,000.00	19,941,620.00	1.300	1.282	1.300	02/01/2019	488
3133EG6C4	37404	FFCB NOTES	02/03/2017	14,998,362.78	15,000,000.00	14,966,025.00	1.550	1.533	1.554	02/03/2020	855
3133EG3X1	37466	FFCB NOTES	02/16/2017	14,987,415.98	15,000,000.00	14,958,675.00	1.250	1.297	1.315	01/17/2019	473
3133EHBA0	37502	FFCB NOTES	03/02/2017	19,981,934.81	20,000,000.00	19,967,460.00	1.520	1.537	1.558	03/02/2020	883
3133EHXC9	37566	FFCB NOTES	03/20/2017	13,464,010.58	13,475,000.00	13,469,529.15	1.450	1.485	1.506	03/20/2019	535
3133EHXC9	37574	FFCB NOTES	03/20/2017	25,002,938.89	25,000,000.00	24,989,850.00	1.450	1.422	1.441	03/20/2019	535
3133EG3X1	37628	FFCB NOTES	03/30/2017	24,972,990.73	25,000,000.00	24,931,125.00	1.250	1.316	1.334	01/17/2019	473
3133EHZ2	37639	FFCB NOTES	04/06/2017	15,000,000.00	15,000,000.00	14,981,040.00	1.600	1.578	1.600	04/06/2020	918
3133EHFL2	37665	FFCB NOTES	04/13/2017	14,995,148.67	15,000,000.00	14,964,870.00	1.550	1.541	1.563	04/13/2020	925
3133EHFL2	37666	FFCB NOTES	04/13/2017	9,997,382.22	10,000,000.00	9,976,580.00	1.550	1.539	1.560	04/13/2020	925
3133EHFL2	37667	FFCB NOTES	04/13/2017	9,997,382.22	10,000,000.00	9,976,580.00	1.550	1.539	1.560	04/13/2020	925
3133EHGA5	37725	FFCB NOTES	04/21/2017	25,006,783.33	25,000,000.00	24,922,450.00	1.440	1.406	1.426	10/21/2019	750
3133EHGE7	37746	FFCB NOTES	04/24/2017	26,502,939.84	26,502,000.00	26,422,467.50	1.200	1.180	1.166	10/24/2018	388
3133EHHG1	37761	FFCB NOTES	05/03/2017	5,000,000.00	5,000,000.00	4,980,200.00	1.750	1.726	1.750	05/03/2021	1,310
3133EHHG1	37762	FFCB NOTES	05/03/2017	10,000,000.00	10,000,000.00	9,960,400.00	1.750	1.726	1.750	05/03/2021	1,310
3133EHJA2	37793	FFCB NOTES	05/08/2017	34,990,282.96	35,000,000.00	34,907,775.00	1.550	1.539	1.561	05/08/2020	950
3133EHKH5	37841	FFCB NOTES	05/23/2017	12,008,841.68	12,000,000.00	11,972,292.00	1.570	1.522	1.543	07/23/2020	1,026
3133EHMR1	37901	FFCB NOTES	06/12/2017	5,000,000.00	5,000,000.00	4,986,850.00	1.375	1.356	1.375	06/12/2019	619
3133EHMR1	37905	FFCB NOTES	06/12/2017	5,000,000.00	5,000,000.00	4,986,850.00	1.375	1.356	1.375	06/12/2019	619
3133EHWM1	38024	FFCB NOTES	09/01/2017	3,005,992.50	3,000,000.00	2,981,907.00	1.700	1.624	1.647	09/01/2021	1,431
3133EHWM1	38025	FFCB NOTES	09/01/2017	5,000,000.00	5,000,000.00	4,969,845.00	1.700	1.676	1.700	09/01/2021	1,431
3133EHWM1	38026	FFCB NOTES	09/01/2017	7,015,284.79	7,000,000.00	6,957,783.00	1.700	1.619	1.642	09/01/2021	1,431
3133EHZA4	38046	FFCB NOTES	09/20/2017	9,969,534.51	10,000,000.00	9,924,930.00	1.660	1.715	1.739	09/20/2021	1,450
3130A4GJ5	35684	FHLB NOTES	02/27/2015	19,998,350.79	20,000,000.00	19,984,840.00	1.125	1.124	1.139	04/25/2018	206
3130A4GJ5	35685	FHLB NOTES	02/27/2015	11,999,010.47	12,000,000.00	11,990,904.00	1.125	1.124	1.139	04/25/2018	206
3130A4WA6	35803	FHLB NOTES	04/08/2015	25,000,000.00	25,000,000.00	24,958,625.00	1.000	0.986	1.000	07/06/2018	278
3130A4WB4	35815	FHLB NOTES	04/08/2015	20,000,000.00	20,000,000.00	19,987,940.00	0.875	0.863	0.875	01/08/2018	99
3130A4UP5	35816	FHLB NOTES	04/08/2015	25,010,964.69	25,000,000.00	24,971,975.00	1.050	0.976	0.990	06/29/2018	271
313383VN8	35826	FHLB NOTES	04/09/2015	9,577,778.42	9,460,000.00	9,545,499.48	2.000	1.321	1.340	09/13/2019	712
313383VN8	35827	FHLB NOTES	04/09/2015	25,462,865.95	25,155,000.00	25,382,350.89	2.000	1.332	1.351	09/13/2019	712

Portfolio SCL2

AP

FI (PRE FI) 7.1.1

Report Ver. 7.3.6.1

Run Date: 10/25/2017 - 11:13

**Fund COMM - COMMINGLED POOL**  
**Investments by Fund**  
**September 30, 2017**

Page 3

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds</b>											
3130A4WA6	35855	FHLB NOTES	04/14/2015	11,999,091.22	12,000,000.00	11,980,140.00	1.000	0.996	1.010	07/06/2018	278
3130A4Y71	35864	FHLB NOTES	04/15/2015	26,500,944.70	26,500,000.00	26,468,703.50	1.000	0.981	0.994	06/15/2018	257
3130A4YA4	35865	FHLB NOTES	04/15/2015	16,916,460.61	16,915,000.00	16,884,958.96	1.050	1.025	1.039	08/15/2018	318
313378QK0	35867	FHLB NOTES	04/15/2015	10,092,529.37	10,000,000.00	10,057,660.00	1.875	1.196	1.213	03/08/2019	523
313379DT3	35906	FHLB NOTES	04/23/2015	20,032,845.51	20,000,000.00	19,994,900.00	1.250	0.992	1.006	06/08/2018	250
313378A43	35922	FHLB NOTES	04/28/2015	10,019,033.56	10,000,000.00	10,008,730.00	1.375	0.921	0.934	03/09/2018	159
3130A57J2	35952	FHLB NOTES	05/06/2015	9,999,143.64	10,000,000.00	9,984,060.00	1.000	0.997	1.011	07/06/2018	278
3133XRFZ8	35956	FHLB NOTES	05/07/2015	5,120,832.13	5,000,000.00	5,114,235.00	4.750	1.138	1.154	06/08/2018	250
313379DT3	35957	FHLB NOTES	05/07/2015	5,004,635.42	5,000,000.00	4,998,725.00	1.250	1.096	1.112	06/08/2018	250
3130A57G8	35958	FHLB NOTES	05/07/2015	14,996,139.69	15,000,000.00	14,965,275.00	1.050	1.066	1.081	08/07/2018	310
313378A43	36053	FHLB NOTES	06/18/2015	5,005,097.55	5,000,000.00	5,004,365.00	1.375	1.122	1.138	03/09/2018	159
313378A43	36059	FHLB NOTES	06/19/2015	5,007,110.00	5,000,000.00	5,004,365.00	1.375	1.031	1.045	03/09/2018	159
3133XQSE3	36129	FHLB NOTES	07/16/2015	5,082,046.93	5,000,000.00	5,078,280.00	4.110	1.044	1.058	04/18/2018	199
3130A6AE7	36228	FHLB NOTES	09/16/2015	4,996,531.82	5,000,000.00	4,986,785.00	1.125	1.182	1.199	09/14/2018	348
3130A6LZ8	36267	FHLB NOTES	10/09/2015	10,999,190.30	11,000,000.00	10,997,063.00	0.625	0.928	0.941	10/26/2017	25
3130A5Z77	36288	FHLB NOTES	10/21/2015	5,046,189.23	5,000,000.00	5,015,020.00	1.830	1.461	1.482	07/29/2020	1,032
3130A5Z77	36289	FHLB NOTES	10/21/2015	5,052,559.14	5,000,000.00	5,015,020.00	1.830	1.418	1.438	07/29/2020	1,032
3130A5Z77	36298	FHLB NOTES	10/23/2015	5,051,404.25	5,000,000.00	5,015,020.00	1.830	1.432	1.452	07/29/2020	1,032
3130A5Z77	36308	FHLB NOTES	10/26/2015	10,084,387.62	10,000,000.00	10,030,040.00	1.830	1.498	1.519	07/29/2020	1,032
3130A5Z77	36310	FHLB NOTES	10/26/2015	5,043,322.94	5,000,000.00	5,015,020.00	1.830	1.490	1.511	07/29/2020	1,032
3130A6SW8	36376	FHLB NOTES	11/20/2015	20,000,000.00	20,000,000.00	19,996,580.00	1.000	0.986	1.000	12/19/2017	79
3130A6SW8	36377	FHLB NOTES	11/20/2015	20,000,000.00	20,000,000.00	19,996,580.00	1.000	0.986	1.000	12/19/2017	79
313379EE5	36402	FHLB NOTES	12/02/2015	17,250,894.42	17,200,000.00	17,228,414.40	1.625	1.243	1.261	06/14/2019	621
3130A4PA4	36407	FHLB NOTES	12/03/2015	10,000,447.46	10,000,000.00	9,995,880.00	1.100	1.075	1.090	03/19/2018	169
313375K48	36508	FHLB NOTES	01/11/2016	20,151,162.20	20,000,000.00	20,111,680.00	2.000	1.175	1.191	09/14/2018	348
313379EE5	36550	FHLB NOTES	02/05/2016	15,126,859.06	15,000,000.00	15,024,780.00	1.625	1.102	1.117	06/14/2019	621
3130A7PU3	36679	FHLB NOTES	04/11/2016	10,012,928.57	10,000,000.00	9,889,740.00	1.200	1.131	1.147	04/06/2020	918
3133782M2	36707	FHLB NOTES	04/19/2016	20,167,676.69	20,015,000.00	20,023,506.38	1.500	0.946	0.960	03/08/2019	523
313378A43	36735	FHLB NOTES	04/29/2016	20,044,994.63	20,000,000.00	20,017,460.00	1.375	0.845	0.857	03/09/2018	159
313378J77	36756	FHLB NOTES	05/12/2016	15,270,635.41	15,000,000.00	15,088,935.00	1.875	1.105	1.120	03/13/2020	894
313378J77	36760	FHLB NOTES	05/13/2016	6,104,037.65	6,000,000.00	6,035,574.00	1.875	1.133	1.149	03/13/2020	894
3130A8BD4	36796	FHLB NOTES	05/27/2016	29,974,233.51	30,000,000.00	29,916,390.00	0.875	1.220	1.237	06/29/2018	271

Portfolio SCL2

AP  
FI (PRF\_FI) 7.1.1  
Report Ver. 7.3.6.1



**Fund COMM - COMMINGLED POOL**  
**Investments by Fund**  
**September 30, 2017**

Page 4

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds</b>											
3130A8KR3	36854	FHLB NOTES	06/29/2016	25,265,568.52	25,250,000.00	25,167,811.25	0.820	0.715	0.725	07/06/2018	278
3133834H1	36877	FHLB NOTES	07/12/2016	15,126,436.60	15,000,000.00	14,881,185.00	1.375	1.040	1.055	06/12/2020	985
313378J77	36886	FHLB NOTES	07/15/2016	15,285,980.80	15,000,000.00	15,088,935.00	1.875	1.055	1.069	03/13/2020	894
3130A7L37	36896	FHLB NOTES	07/21/2016	5,021,476.31	5,000,000.00	4,977,805.00	1.250	0.939	0.952	03/15/2019	530
313380WG8	36993	FHLB NOTES	09/30/2016	19,645,315.48	19,500,000.00	19,304,688.00	1.375	1.100	1.115	09/11/2020	1,076
313380WG8	37022	FHLB NOTES	10/06/2016	12,050,790.11	12,000,000.00	11,879,808.00	1.375	1.210	1.227	09/11/2020	1,076
3133834H1	37037	FHLB NOTES	10/13/2016	10,034,894.16	10,000,000.00	9,920,790.00	1.375	1.225	1.242	06/12/2020	985
313380WG8	37054	FHLB NOTES	10/24/2016	10,045,526.13	10,000,000.00	9,899,840.00	1.375	1.199	1.216	09/11/2020	1,076
313383HU8	37058	FHLB NOTES	10/19/2016	15,231,731.04	15,000,000.00	15,024,870.00	1.750	1.147	1.163	06/12/2020	985
313380WG8	37072	FHLB NOTES	10/28/2016	13,903,136.14	13,860,000.00	13,721,178.24	1.375	1.248	1.266	09/11/2020	1,076
3130AA3R7	37108	FHLB NOTES	11/17/2016	34,994,294.81	35,000,000.00	34,878,655.00	1.375	1.363	1.382	11/15/2019	775
3130AABG2	37149	FHLB NOTES	11/30/2016	14,950,288.72	15,000,000.00	15,012,255.00	1.875	1.931	1.957	11/29/2021	1,520
3130AAE46	37190	FHLB NOTES	12/08/2016	49,998,773.09	50,000,000.00	49,878,150.00	1.250	1.234	1.252	01/16/2019	472
3130AADN5	37289	FHLB NOTES	12/28/2016	40,002,173.60	40,000,000.00	39,884,000.00	1.375	1.353	1.371	06/28/2019	635
3130A8Y72	37372	FHLB NOTES	01/26/2017	34,636,699.23	35,000,000.00	34,572,195.00	0.875	1.430	1.450	08/05/2019	673
3130AAQ43	37405	FHLB NOTES	02/03/2017	24,990,688.92	25,000,000.00	24,973,400.00	0.750	0.856	0.868	01/25/2018	116
3130AAXX1	37548	FHLB NOTES	03/10/2017	19,966,410.99	20,000,000.00	19,973,560.00	1.375	1.471	1.491	03/18/2019	533
3130AAXX1	37549	FHLB NOTES	03/10/2017	19,966,410.99	20,000,000.00	19,973,560.00	1.375	1.471	1.491	03/18/2019	533
3130AAXX1	37614	FHLB NOTES	03/28/2017	25,000,463.91	25,000,000.00	24,966,950.00	1.375	1.354	1.373	03/18/2019	533
3130AAE7	37626	FHLB NOTES	03/31/2017	19,979,406.88	20,000,000.00	19,947,140.00	1.125	1.217	1.234	09/14/2018	348
3130A7CX1	37656	FHLB NOTES	04/05/2017	24,975,093.02	25,000,000.00	24,965,400.00	0.875	1.075	1.090	03/19/2018	169
313382K69	37711	FHLB NOTES	04/13/2017	25,890,748.44	25,860,000.00	25,824,209.76	1.750	1.690	1.714	03/12/2021	1,258
313376CR0	37726	FHLB NOTES	04/19/2017	25,455,845.63	25,000,000.00	25,326,325.00	2.250	1.794	1.819	03/11/2022	1,622
313378J77	37778	FHLB NOTES	04/28/2017	14,113,577.74	14,000,000.00	14,083,006.00	1.875	1.513	1.535	03/13/2020	894
3130ABDX1	37816	FHLB NOTES	05/24/2017	30,000,000.00	30,000,000.00	29,929,620.00	1.400	1.380	1.400	05/24/2019	600
313379R87	37961	FHLB NOTES	06/30/2017	5,022,790.64	5,000,000.00	5,015,065.00	1.875	1.722	1.746	06/11/2021	1,349
3130ACE26	38033	FHLB NOTES	09/08/2017	21,930,856.60	22,000,000.00	21,789,548.00	1.375	1.462	1.482	09/28/2020	1,093
3130A8BJ1	37207	FHLB VARIABLE	12/09/2016	16,005,156.80	16,000,000.00	16,004,832.00	1.125	0.793	0.804	11/27/2017	57
3137EADN6	35207	FHLMC NOTES	07/09/2014	9,985,693.67	10,000,000.00	9,988,310.00	0.750	1.255	1.273	01/12/2018	103
3137EADN6	35760	FHLMC NOTES	03/25/2015	9,995,546.77	10,000,000.00	9,988,310.00	0.750	0.898	0.911	01/12/2018	103
3137EADN6	35761	FHLMC NOTES	03/25/2015	9,994,914.90	10,000,000.00	9,988,310.00	0.750	0.921	0.933	01/12/2018	103
3137EADN6	35785	FHLMC NOTES	03/30/2015	4,997,555.64	5,000,000.00	4,994,155.00	0.750	0.914	0.926	01/12/2018	103

Portfolio SCL2

AP  
FI (PRF\_FI) 7.1.1  
Report Ver. 7.3.6.1

Run Date: 10/25/2017 - 11:13

**Fund COMM - COMMINGLED POOL**  
**Investments by Fund**  
**September 30, 2017**

Page 5

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds</b>											
3137EADM8	35873	FHLMC NOTES	04/16/2015	29,962,423.60	30,000,000.00	29,828,160.00	1.250	1.296	1.314	10/02/2019	731
3134G6TF0	35893	FHLMC NOTES	04/21/2015	24,999,124.56	25,000,000.00	24,925,275.00	0.930	0.922	0.934	06/29/2018	271
3137EADM8	36322	FHLMC NOTES	10/29/2015	9,978,211.82	10,000,000.00	9,942,720.00	1.250	1.343	1.362	10/02/2019	731
3137EADM8	36332	FHLMC NOTES	11/04/2015	18,269,471.52	18,350,000.00	18,244,891.20	1.250	1.456	1.476	10/02/2019	731
3137EADM8	36337	FHLMC NOTES	11/06/2015	7,955,037.50	8,000,000.00	7,954,176.00	1.250	1.519	1.540	10/02/2019	731
3137EADM8	36340	FHLMC NOTES	11/09/2015	9,932,627.87	10,000,000.00	9,942,720.00	1.250	1.576	1.598	10/02/2019	731
3137EADM8	36436	FHLMC NOTES	12/11/2015	19,997,894.48	20,000,000.00	19,996,480.00	1.000	1.031	1.046	12/15/2017	75
3137EADM8	36437	FHLMC NOTES	12/11/2015	14,998,420.86	15,000,000.00	14,997,360.00	1.000	1.031	1.046	12/15/2017	75
3137EADM8	36989	FHLMC NOTES	09/27/2016	19,885,232.59	20,000,000.00	19,491,880.00	1.125	1.261	1.278	08/12/2021	1,411
3137EADM8	36992	FHLMC NOTES	09/29/2016	9,947,470.51	10,000,000.00	9,745,840.00	1.125	1.248	1.265	08/12/2021	1,411
3137EADM8	37443	FHLMC NOTES	02/09/2017	20,010,149.34	20,000,000.00	19,947,300.00	1.500	1.456	1.477	01/17/2020	838
3134G3A91	37454	FHLMC NOTES	01/19/2017	19,999,102.25	20,000,000.00	19,946,660.00	1.400	1.385	1.404	08/22/2019	690
3134G6W9	37580	FHLMC NOTES	02/28/2017	11,150,000.00	11,150,000.00	11,115,479.60	1.500	1.479	1.500	08/28/2019	696
3137EAF2	37835	FHLMC NOTES	04/20/2017	44,869,042.50	45,000,000.00	44,713,305.00	1.375	1.471	1.491	04/20/2020	932
3137EAEJ4	38057	FHLMC NOTES	09/29/2017	14,972,900.28	15,000,000.00	14,961,690.00	1.625	1.663	1.687	09/29/2020	1,094
3135G0VC4	35447	FNMA NOTES	11/25/2014	9,997,719.18	10,000,000.00	9,996,100.00	1.130	1.170	1.186	02/28/2018	150
3135G0VC4	35454	FNMA NOTES	11/26/2014	5,999,179.71	6,000,000.00	5,997,660.00	1.130	1.148	1.164	02/28/2018	150
3135G0WJ8	35762	FNMA NOTES	03/25/2015	14,984,784.62	15,000,000.00	14,961,660.00	0.875	1.022	1.036	05/21/2018	232
3135G0YU9	35814	FNMA NOTES	04/07/2015	25,201,111.80	25,000,000.00	25,121,200.00	1.875	1.009	1.023	09/18/2018	352
3135G0UJ5	35847	FNMA NOTES	04/13/2015	7,041,725.04	7,000,000.00	7,019,285.00	1.750	1.474	1.494	03/06/2020	887
3135G0UJ5	35856	FNMA NOTES	04/14/2015	14,672,573.80	14,584,000.00	14,624,178.92	1.750	1.469	1.489	03/06/2020	887
3136FTZ5	36088	FNMA NOTES	06/26/2015	7,401,701.14	7,370,000.00	7,394,040.94	1.750	1.397	1.417	01/30/2019	486
3135G0A78	36347	FNMA NOTES	11/13/2015	9,989,322.28	10,000,000.00	10,012,610.00	1.625	1.650	1.673	01/21/2020	842
3135G0A78	36361	FNMA NOTES	11/18/2015	20,003,423.82	20,000,000.00	20,025,220.00	1.625	1.585	1.607	01/21/2020	842
3135G0WJ8	36378	FNMA NOTES	11/20/2015	14,972,315.76	15,000,000.00	14,961,660.00	0.875	1.152	1.168	05/21/2018	232
3135G0A78	36383	FNMA NOTES	11/24/2015	9,992,625.92	10,000,000.00	10,012,610.00	1.625	1.635	1.658	01/21/2020	842
3135G0A78	36384	FNMA NOTES	11/24/2015	9,993,069.47	10,000,000.00	10,012,610.00	1.625	1.633	1.656	01/21/2020	842
3135G0H63	36505	FNMA NOTES	01/08/2016	24,993,278.64	25,000,000.00	24,974,950.00	1.375	1.376	1.395	01/28/2019	484
3135G0N33	37253	FNMA NOTES	12/19/2016	19,762,797.03	20,000,000.00	19,764,180.00	0.875	1.515	1.536	08/02/2019	670
3135G0538	37582	FNMA NOTES	02/09/2017	10,043,692.07	10,000,000.00	10,025,410.00	2.000	1.866	1.892	01/05/2022	1,557
3136FTZ5	37620	FNMA NOTES	03/29/2017	10,922,869.69	10,865,000.00	10,900,441.63	1.750	1.324	1.343	01/30/2019	486
3135G0T45	37783	FNMA NOTES	04/10/2017	39,837,509.53	40,000,000.00	39,909,000.00	1.875	1.943	1.970	04/05/2022	1,647

Portfolio SCL2

AP  
 FI (PRF\_FI) 7.1.1  
 Report Ver. 7.3.6.1

**Fund COMM - COMMINGLED POOL**  
**Investments by Fund**  
**September 30, 2017**

Page 6

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Subtotal and Average</b>				<b>2,393,972,390.17</b>	<b>2,391,816,000.00</b>	<b>2,386,959,014.37</b>		<b>1.263</b>	<b>1.280</b>		<b>608</b>
<b>Federal Agency Bonds - CALLABLE</b>											
3133EFKM8	36323	FFCB NOTES	10/29/2015	14,999,278.48	15,000,000.00	14,996,265.00	0.710	0.791	0.802	10/20/2017	19
3133EFMU8	36492	FFCB NOTES	12/31/2015	13,269,901.51	13,300,000.00	13,270,048.40	0.950	1.324	1.343	05/02/2018	213
3133EF2L0	36688	FFCB NOTES	04/13/2016	20,000,000.00	20,000,000.00	19,798,420.00	1.400	1.380	1.400	04/13/2020	925
3133EF2L0	36689	FFCB NOTES	04/13/2016	15,000,000.00	15,000,000.00	14,848,815.00	1.400	1.380	1.400	04/13/2020	925
3133EF2L0	36690	FFCB NOTES	04/13/2016	15,000,000.00	15,000,000.00	14,848,815.00	1.400	1.380	1.400	04/13/2020	925
3133EGKA2	36866	FFCB NOTES	07/06/2016	20,000,000.00	20,000,000.00	19,658,180.00	1.500	1.479	1.500	07/06/2021	1,374
3133EG2P9	37300	FFCB NOTES	12/29/2016	10,000,000.00	10,000,000.00	10,001,490.00	2.320	2.288	2.320	12/29/2021	1,550
3133EG2P9	37301	FFCB NOTES	12/29/2016	15,000,000.00	15,000,000.00	15,002,235.00	2.320	2.288	2.320	12/29/2021	1,550
3130A3XL3	36117	FHLB NOTES	07/09/2015	7,225,915.86	7,250,000.00	7,223,298.25	1.500	1.624	1.646	02/10/2020	862
3130A7HM0	36641	FHLB NOTES	03/29/2016	19,995,018.52	20,000,000.00	19,917,100.00	1.250	1.249	1.267	03/29/2019	544
3130A9W49	37131	FHLB NOTES	11/25/2016	15,000,000.00	15,000,000.00	14,840,595.00	1.250	1.232	1.250	02/24/2020	876
3130AANA2	37382	FHLB NOTES	01/30/2017	25,000,000.00	25,000,000.00	24,983,350.00	1.750	1.726	1.750	07/30/2020	1,033
3130AANA2	37383	FHLB NOTES	01/30/2017	5,000,000.00	5,000,000.00	4,996,670.00	1.750	1.726	1.750	07/30/2020	1,033
3130AB3T1	37641	FHLB NOTES	04/24/2017	20,000,000.00	20,000,000.00	19,950,460.00	1.750	1.726	1.750	07/24/2020	1,027
3130ABZE9	38016	FHLB NOTES	08/28/2017	8,400,000.00	8,400,000.00	8,353,900.80	1.650	1.627	1.650	08/28/2020	1,062
3134G67C1	36066	FHLMC NOTES	06/22/2015	9,996,979.17	10,000,000.00	9,990,670.00	1.200	1.225	1.242	06/22/2018	264
3134G34W7	36309	FHLMC NOTES	10/26/2015	2,002,830.27	2,000,000.00	2,000,330.00	1.250	0.805	0.816	01/30/2018	121
3134G74S7	36393	FHLMC NOTES	11/27/2015	5,000,000.00	5,000,000.00	4,985,340.00	1.000	0.986	1.000	08/27/2018	330
3134G76G1	36497	FHLMC NOTES	01/04/2016	13,849,671.37	13,875,000.00	13,858,211.25	1.050	1.327	1.346	05/17/2018	228
3134G8JT7	36583	FHLMC NOTES	02/24/2016	12,500,000.00	12,500,000.00	12,456,650.00	1.500	1.479	1.500	02/24/2020	876
3134G8L98	36590	FHLMC NOTES	02/26/2016	5,000,000.00	5,000,000.00	4,994,885.00	1.050	1.035	1.050	02/26/2018	148
3134G8L98	36591	FHLMC NOTES	02/26/2016	5,000,000.00	5,000,000.00	4,994,885.00	1.050	1.035	1.050	02/26/2018	148
3134G8L98	36592	FHLMC NOTES	02/26/2016	5,000,000.00	5,000,000.00	4,994,885.00	1.050	1.035	1.050	02/26/2018	148
3134G8L98	36593	FHLMC NOTES	02/26/2016	5,000,000.00	5,000,000.00	4,994,885.00	1.050	1.035	1.050	02/26/2018	148
3134G8TV1	36644	FHLMC NOTES	03/29/2016	7,000,000.00	7,000,000.00	6,965,973.00	1.220	1.203	1.220	03/29/2019	544
3134G8S83	36648	FHLMC NOTES	03/30/2016	20,000,000.00	20,000,000.00	19,939,380.00	1.500	1.479	1.500	12/30/2019	820
3134G8PD5	36649	FHLMC NOTES	03/30/2016	19,997,717.46	20,000,000.00	19,901,900.00	1.350	1.337	1.355	09/30/2019	729
3134G8PD5	36650	FHLMC NOTES	03/30/2016	3,714,576.02	3,715,000.00	3,696,777.93	1.350	1.337	1.355	09/30/2019	729
3134G8PD5	36651	FHLMC NOTES	03/30/2016	6,375,000.00	6,375,000.00	6,343,730.63	1.350	1.331	1.350	09/30/2019	729
3134G8PD5	36654	FHLMC NOTES	03/30/2016	12,498,930.06	12,500,000.00	12,438,687.50	1.350	1.335	1.354	09/30/2019	729
3134G9DD6	36721	FHLMC NOTES	04/26/2016	11,993,722.22	12,000,000.00	11,920,044.00	1.150	1.167	1.184	04/26/2019	572

Portfolio SCL2

AP  
 FI (PRE\_FI) 7.1.1  
 Report Ver. 7.3.6.1

# Fund COMM - COMMINGLED POOL

## Investments by Fund

September 30, 2017

Page 7

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds - CALLABLE</b>											
3134G8YU7	36722	FHLMC NOTES	04/26/2016	24,982,888.89	25,000,000.00	24,904,400.00	1.050	1.099	1.115	10/26/2018	390
3134G8XA2	36725	FHLMC NOTES	04/27/2016	10,000,000.00	10,000,000.00	9,973,200.00	1.000	0.986	1.000	07/27/2018	299
3134G9AV9	36729	FHLMC NOTES	04/28/2016	14,991,107.14	15,000,000.00	14,892,390.00	1.250	1.261	1.279	10/28/2019	757
3134G9AV9	36730	FHLMC NOTES	04/28/2016	4,247,480.36	4,250,000.00	4,219,510.50	1.250	1.261	1.279	10/28/2019	757
3134G9HM2	36785	FHLMC NOTES	05/26/2016	9,000,000.00	9,000,000.00	8,940,933.00	1.300	1.282	1.300	11/26/2019	786
3134G9HW0	36787	FHLMC NOTES	05/26/2016	5,250,000.00	5,250,000.00	5,210,850.75	1.250	1.232	1.250	11/26/2019	786
3134G9QW0	36823	FHLMC NOTES	06/14/2016	20,000,000.00	20,000,000.00	19,893,380.00	1.280	1.262	1.280	06/14/2019	621
3134G9ZR1	36848	FHLMC NOTES	06/28/2016	10,630,224.31	10,625,000.00	10,560,325.63	1.000	0.946	0.959	12/28/2018	453
3134GAAX7	37148	FHLMC NOTES	11/30/2016	15,000,000.00	15,000,000.00	14,911,635.00	1.000	0.986	1.000	11/30/2018	425
3134G3K90	37344	FHLMC NOTES	01/19/2017	10,002,753.85	10,000,000.00	9,987,430.00	1.700	1.667	1.690	09/25/2020	1,090
3134GBBM3	37518	FHLMC NOTES	03/29/2017	25,000,000.00	25,000,000.00	25,006,375.00	2.000	1.972	2.000	03/29/2021	1,275
3134GA5T7	37578	FHLMC NOTES	02/28/2017	3,500,000.00	3,500,000.00	3,498,414.50	2.125	2.095	2.125	01/26/2022	1,578
3134GA5T7	37579	FHLMC NOTES	02/28/2017	20,000,000.00	20,000,000.00	19,990,940.00	2.125	2.096	2.125	01/26/2022	1,578
3134GBEW8	37621	FHLMC NOTES	04/26/2017	25,000,000.00	25,000,000.00	24,902,950.00	1.500	1.479	1.500	07/26/2019	663
3134GBEW8	37668	FHLMC NOTES	04/26/2017	8,000,000.00	8,000,000.00	7,968,944.00	1.500	1.479	1.500	07/26/2019	663
3134GBGZ9	37669	FHLMC NOTES	04/27/2017	15,000,000.00	15,000,000.00	14,960,595.00	2.000	1.972	2.000	01/27/2022	1,579
3134GBEF5	37696	FHLMC NOTES	04/27/2017	3,640,000.00	3,640,000.00	3,640,451.36	1.700	1.676	1.700	04/27/2020	939
3134GBJJ2	37741	FHLMC NOTES	04/26/2017	10,000,000.00	10,000,000.00	9,965,840.00	1.750	1.726	1.750	01/26/2021	1,213
3134GBJJ2	37742	FHLMC NOTES	04/26/2017	25,000,000.00	25,000,000.00	24,914,600.00	1.750	1.726	1.750	01/26/2021	1,213
3134GBLR1	37801	FHLMC NOTES	05/25/2017	20,000,000.00	20,000,000.00	19,933,660.00	1.750	1.726	1.750	11/25/2020	1,151
3134GBLQ3	37804	FHLMC NOTES	05/22/2017	20,000,000.00	20,000,000.00	19,936,680.00	1.650	1.627	1.650	05/22/2020	964
3134GBPM8	37817	FHLMC NOTES	05/24/2017	15,000,000.00	15,000,000.00	14,988,660.00	2.000	1.972	2.000	02/24/2022	1,607
3134GBRR5	37849	FHLMC NOTES	06/15/2017	20,000,000.00	20,000,000.00	19,839,500.00	1.800	1.775	1.800	06/15/2021	1,353
3134GBRU8	37861	FHLMC NOTES	06/22/2017	30,000,000.00	30,000,000.00	29,902,140.00	1.625	1.602	1.625	06/22/2020	995
3134GBSM5	37880	FHLMC NOTES	06/22/2017	5,450,000.00	5,450,000.00	5,422,875.35	1.700	1.676	1.700	09/22/2020	1,087
3134GBRZ7	37893	FHLMC NOTES	06/20/2017	15,000,000.00	15,000,000.00	14,941,875.00	1.500	1.479	1.500	12/20/2019	810
3134GBSW3	37894	FHLMC NOTES	06/22/2017	5,000,000.00	5,000,000.00	4,984,385.00	1.750	1.726	1.750	12/22/2020	1,178
3134GBYF3	37988	FHLMC NOTES	07/27/2017	5,750,000.00	5,750,000.00	5,731,732.25	1.800	1.775	1.800	01/27/2021	1,214
3134GBF64	38055	FHLMC NOTES	09/28/2017	6,000,000.00	6,000,000.00	5,974,866.00	1.600	1.578	1.600	09/28/2020	1,093
3135G0XA6	34464	FNMA NOTES	08/12/2013	10,463,318.41	10,500,000.00	10,479,304.50	1.030	1.578	1.600	05/21/2018	232
3136G2WX1	36576	FNMA NOTES	02/22/2016	15,500,000.00	15,500,000.00	15,438,961.00	1.250	1.232	1.250	02/22/2019	509
3136G3NO5	36782	FNMA NOTES	05/24/2016	4,998,627.31	5,000,000.00	4,940,040.00	1.000	1.003	1.016	05/24/2019	600

Portfolio SCL2

AP

FI (PRF\_FI) 7.1.1

Report Ver. 7.3.6.1

Run Date: 10/25/2017 - 11:13

**Fund COMM - COMMINGLED POOL**  
**Investments by Fund**  
**September 30, 2017**

Page 8

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds - CALLABLE</b>											
3136G3QY4	36793	FNMA NOTES	05/27/2016	5,000,000.00	5,000,000.00	4,979,975.00	1.250	1.212	1.229	05/30/2019	606
3136G3QY4	36794	FNMA NOTES	05/27/2016	15,000,000.00	15,000,000.00	14,939,925.00	1.250	1.212	1.229	05/30/2019	606
3136G3QY4	36795	FNMA NOTES	05/27/2016	5,000,000.00	5,000,000.00	4,979,975.00	1.250	1.212	1.229	05/30/2019	606
3136G3PR0	36797	FNMA NOTES	05/27/2016	24,957,969.71	25,000,000.00	24,991,025.00	1.500	1.526	1.548	05/26/2021	1,333
3136G3TD7	36845	FNMA NOTES	06/28/2016	12,987,535.61	12,980,000.00	12,885,518.58	1.200	1.150	1.165	06/28/2019	635
3136G3TB1	36846	FNMA NOTES	06/28/2016	12,058,744.62	12,050,000.00	11,950,093.45	1.100	1.043	1.057	06/28/2019	635
3136G3SQ9	36849	FNMA NOTES	06/28/2016	25,000,000.00	25,000,000.00	24,813,425.00	1.150	1.134	1.150	06/28/2019	635
3135G0L50	36875	FNMA NOTES	07/12/2016	30,000,000.00	30,000,000.00	29,668,620.00	1.050	1.035	1.050	07/12/2019	649
3136G3SY2	36880	FNMA NOTES	07/13/2016	7,500,000.00	7,500,000.00	7,420,755.00	1.250	1.232	1.250	09/30/2019	729
3136G3A62	36902	FNMA NOTES	07/26/2016	15,000,000.00	15,000,000.00	14,856,240.00	1.050	1.035	1.050	07/26/2019	663
3136G4BV4	37017	FNMA NOTES	09/30/2016	20,011,239.58	20,000,000.00	19,662,580.00	1.450	1.411	1.430	09/30/2020	1,095
3135G0Q30	37103	FNMA NOTES	10/21/2016	29,997,965.91	30,000,000.00	29,774,610.00	1.180	1.167	1.183	09/27/2019	726
3136G4MD2	37509	FNMA NOTES	03/16/2017	3,000,000.00	3,000,000.00	3,000,354.00	1.850	1.824	1.850	09/16/2020	1,081
<b>Subtotal and Average</b>				<b>1,006,739,396.64</b>	<b>1,006,910,000.00</b>	<b>1,001,647,816.63</b>		<b>1.435</b>	<b>1.455</b>		<b>849</b>
<b>US Treasury Notes</b>											
912828VK3	35886	U.S. TREASURY NOTES	04/20/2015	25,085,582.69	25,000,000.00	25,014,650.00	1.375	0.895	0.907	06/30/2018	272
912828VQ0	35887	U.S. TREASURY NOTES	04/20/2015	15,054,239.82	15,000,000.00	15,004,680.00	1.375	0.918	0.931	07/31/2018	303
<b>Subtotal and Average</b>				<b>40,139,822.51</b>	<b>40,000,000.00</b>	<b>40,019,330.00</b>		<b>0.904</b>	<b>0.917</b>		<b>283</b>
<b>Corporate Bonds</b>											
037833BN9	36580	APPLE INC	02/23/2016	4,999,575.97	5,000,000.00	4,998,620.00	1.300	1.303	1.321	02/23/2018	145
037833CB4	36918	APPLE INC	08/04/2016	29,981,604.82	30,000,000.00	29,740,800.00	1.100	1.118	1.134	08/02/2019	670
084664CG4	36620	BERKSHIRE HATHAWY	03/15/2016	4,998,156.30	5,000,000.00	5,009,680.00	1.700	1.702	1.726	03/15/2019	530
084664CK5	36933	BERKSHIRE HATHAWY	08/15/2016	9,993,946.48	10,000,000.00	9,928,540.00	1.300	1.314	1.333	08/15/2019	683
17305EFW0	37208	CITIBANK CREDIT CARD ISSUANCE	12/08/2016	39,998,208.72	40,000,000.00	39,940,100.00	1.750	1.725	1.749	11/19/2021	1,510
166764AA8	35280	CHEVRON CORP.	08/19/2014	9,997,997.98	10,000,000.00	9,995,200.00	1.104	1.202	1.219	12/05/2017	65
166764AL4	35427	CHEVRON CORP.	11/18/2014	30,000,000.00	30,000,000.00	30,002,790.00	1.345	1.326	1.345	11/15/2017	45
166764AV2	35694	CHEVRON CORP.	03/03/2015	10,000,000.00	10,000,000.00	9,998,900.00	1.365	1.346	1.365	03/02/2018	152
166764BC3	36355	CHEVRON CORP.	11/17/2015	10,000,000.00	10,000,000.00	9,999,780.00	1.344	1.340	1.359	11/09/2017	39
166764BH2	36765	CHEVRON CORP.	05/16/2016	15,000,000.00	15,000,000.00	14,978,790.00	1.561	1.539	1.561	05/16/2019	592
166764BP4	37503	CHEVRON CORP.	03/03/2017	8,000,000.00	8,000,000.00	8,030,112.00	1.991	1.963	1.991	03/03/2020	884

Portfolio SCL2

AP

FI (PRF\_FI) 7.1.1

Report Ver. 7.3.6.1



**Fund COMM - COMMINGLED POOL**  
**Investments by Fund**  
**September 30, 2017**

Page 9

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Corporate Bonds</b>											
459200HZ7	35633	IBM	02/06/2015	9,996,469.91	10,000,000.00	9,991,560.00	1.125	1.212	1.228	02/06/2018	128
459200HK0	35644	IBM	02/13/2015	9,998,133.40	10,000,000.00	9,989,010.00	1.250	1.286	1.304	02/08/2018	130
459200JN2	37380	IBM	01/27/2017	9,992,568.89	10,000,000.00	10,012,810.00	1.900	1.906	1.933	01/27/2020	848
478160BL7	35435	JOHNSON & JOHNSON	11/21/2014	29,998,902.78	30,000,000.00	29,992,980.00	1.125	1.136	1.151	11/21/2017	51
48125LRG9	36966	JPMORGAN	09/23/2016	12,491,594.44	12,500,000.00	12,454,100.00	1.650	1.661	1.685	09/23/2019	722
5949188F0	36329	MICROSOFT CORP	11/03/2015	19,992,740.74	20,000,000.00	19,967,760.00	1.300	1.315	1.334	11/03/2018	398
5949188N3	36923	MICROSOFT CORP	08/08/2016	9,993,638.80	10,000,000.00	9,910,830.00	1.100	1.119	1.135	08/08/2019	676
5949188V5	37419	MICROSOFT CORP	02/06/2017	14,992,136.81	15,000,000.00	15,061,755.00	1.850	1.847	1.873	02/06/2020	858
89236TDE2	36776	TOYOTA MOTOR CREDIT	05/20/2016	19,984,729.63	20,000,000.00	19,910,460.00	1.400	1.428	1.447	05/20/2019	596
89236TDH5	37057	TOYOTA MOTOR CREDIT	10/18/2016	4,998,293.98	5,000,000.00	4,973,045.00	1.550	1.545	1.567	10/18/2019	747
89236TDL6	37170	TOYOTA MOTOR CREDIT	12/05/2016	50,000,000.00	50,000,000.00	50,021,850.00	1.566	1.394	1.413	12/05/2017	65
89236TDU6	37702	TOYOTA MOTOR CREDIT	04/17/2017	4,998,049.26	5,000,000.00	5,001,825.00	1.950	1.938	1.965	04/17/2020	929
90331HML4	36773	U S BANK	05/19/2016	19,813,868.44	19,588,000.00	19,725,339.44	2.125	1.533	1.554	10/28/2019	757
94888J5D5	36805	WELLS FARGO	06/02/2016	11,997,145.63	12,000,000.00	11,991,696.00	1.750	1.740	1.764	05/24/2019	600
30231GAL6	35710	EXXON MOBIL CORP	03/06/2015	50,000,000.00	50,000,000.00	49,973,550.00	1.305	1.287	1.305	03/06/2018	156
<b>Subtotal and Average</b>				<b>452,217,762.98</b>	<b>452,089,000.00</b>	<b>451,601,882.44</b>		<b>1.423</b>	<b>1.443</b>		<b>471</b>

**Asset Backed Securities (ABS)**

02582JHG8	37862	AMERICAN EXPRESS	05/30/2017	33,994,553.20	34,000,000.00	33,959,121.80	1.640	1.602	1.624	12/15/2021	1,536
05581QAD0	36274	BMW VEHICLE LEASE TRUST	10/14/2015	13,037,441.50	13,037,664.44	13,038,223.76	1.400	1.385	1.405	02/20/2019	507
05581RAD8	36569	BMW VEHICLE LEASE TRUST	02/17/2016	11,616,349.61	11,617,714.69	11,612,247.39	1.340	1.440	1.460	06/20/2018	262
05582XAD4	37036	BMW VEHICLE LEASE TRUST	10/13/2016	6,999,074.60	7,000,000.00	6,978,550.60	1.430	1.423	1.443	09/20/2019	719
05582QAD9	36893	BMW VEHICLE OWNER TRUST	07/20/2016	9,999,955.00	10,000,000.00	9,939,162.00	1.160	1.147	1.163	11/25/2020	1,151
161571GY4	35953	CHASE ISSUANCE TRUST (ABS)	05/06/2015	24,995,595.00	25,000,000.00	24,988,360.00	1.360	1.352	1.371	04/15/2020	927
161571HF4	36929	CHASE ISSUANCE TRUST (ABS)	08/11/2016	22,993,668.10	23,000,000.00	22,799,249.10	1.270	1.267	1.285	07/15/2021	1,383
43813NAC0	35986	HONDA AUTO RECEIVABLES OWNER T	05/20/2015	2,262,029.79	2,262,377.06	2,259,840.94	1.040	1.036	1.050	02/21/2019	508
43814NAC9	36587	HONDA AUTO RECEIVABLES OWNER T	02/25/2016	13,721,150.56	13,723,100.61	13,692,212.66	1.220	1.213	1.230	12/18/2019	808
43814QAC2	36800	HONDA AUTO RECEIVABLES OWNER T	05/31/2016	11,999,767.20	12,000,000.00	11,977,335.60	1.390	1.375	1.395	04/15/2020	927
43814RAC0	37059	HONDA AUTO RECEIVABLES OWNER T	10/25/2016	11,499,253.65	11,500,000.00	11,418,639.80	1.210	1.199	1.216	12/18/2020	1,174
43814TAC6	37615	HONDA AUTO RECEIVABLES OWNER T	03/28/2017	16,499,018.25	16,500,000.00	16,490,849.10	1.720	1.706	1.729	06/22/2020	995
43811BAC8	37976	HONDA AUTO RECEIVABLES OWNER T	06/27/2017	27,997,578.00	28,000,000.00	27,943,759.20	1.680	1.666	1.690	08/16/2021	1,415
47787UAD5	35726	JOHN DEERE OWNER TRUST	03/11/2015	2,898,515.68	2,898,746.13	2,897,660.84	1.320	1.309	1.327	06/17/2019	624

Portfolio SCL2

AP  
 FI (PRF\_FI) 7.1.1  
 Report Ver. 7.3.6.1

**Fund COMM - COMMINGLED POOL**  
**Investments by Fund**  
**September 30, 2017**

Page 10

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Asset Backed Securities (ABS)</b>											
47787WAC3	36216	JOHN DEERE OWNER TRUST	09/09/2015	4,331,092.35	4,331,918.44	4,330,804.70	1.440	1.552	1.573	10/15/2019	744
47788MAC4	36600	JOHN DEERE OWNER TRUST	03/02/2016	10,498,347.30	10,500,000.00	10,481,890.65	1.360	1.353	1.371	04/15/2020	927
47788NAC2	36905	JOHN DEERE OWNER TRUST	07/27/2016	8,749,303.50	8,750,000.00	8,712,926.25	1.250	1.240	1.257	06/15/2020	988
47787XAC1	37507	JOHN DEERE OWNER TRUST	03/02/2017	8,498,789.60	8,500,000.00	8,499,805.35	1.780	1.769	1.793	04/15/2021	1,292
58768FAD8	36623	MERCEDES-BENZ AUTO LEASE TRUST	03/16/2016	22,996,660.40	23,000,000.00	23,007,596.90	1.520	1.513	1.534	03/15/2019	530
58768MAC5	37065	MERCEDES-BENZ AUTO LEASE TRUST	10/26/2016	4,999,984.00	5,000,000.00	4,986,314.00	1.350	1.335	1.354	08/15/2019	683
58769BAD6	36956	MERCEDES-BENZ AUTO REC TRUST	09/14/2016	11,998,537.20	12,000,000.00	11,935,636.80	1.260	1.251	1.269	02/16/2021	1,234
65478UAD1	36557	NISSAN AUTO LEASE TRUST	02/10/2016	5,998,636.80	6,000,000.00	5,983,999.80	1.340	1.335	1.353	10/15/2020	1,110
89237KAD5	36601	TOYOTA AUTO REC OWNER TRUST	03/02/2016	14,999,149.50	15,000,000.00	14,967,336.00	1.250	1.238	1.256	03/16/2020	897
89231LAD9	37035	TOYOTA AUTO REC OWNER TRUST	10/12/2016	13,998,247.20	14,000,000.00	13,918,860.20	1.230	1.222	1.239	10/15/2020	1,110
89238MAD0	37568	TOYOTA AUTO REC OWNER TRUST	03/15/2017	9,998,823.00	10,000,000.00	9,993,677.00	1.730	1.684	1.708	02/16/2021	1,234
<b>Subtotal and Average</b>				<b>327,581,520.99</b>	<b>327,621,521.37</b>	<b>326,814,060.44</b>		<b>1.421</b>	<b>1.441</b>		<b>1,035</b>
<b>Asset Backed Securities-Green Bond</b>											
89231UAD9	36753	TOYOTA AUTO REC OWNER TRUST	05/11/2016	3,999,867.88	4,000,000.00	3,991,077.20	1.300	1.283	1.301	04/15/2020	927
<b>Subtotal and Average</b>				<b>3,999,867.88</b>	<b>4,000,000.00</b>	<b>3,991,077.20</b>		<b>1.284</b>	<b>1.301</b>		<b>927</b>
<b>Municipal Bonds</b>											
13063CFC9	34650	CALIFORNIA ST	11/13/2013	10,001,941.18	10,000,000.00	10,003,800.00	1.750	1.488	1.508	11/01/2017	31
13063CFC9	34729	CALIFORNIA ST	12/11/2013	9,085,348.47	9,085,000.00	9,088,452.30	1.750	1.678	1.701	11/01/2017	31
13063CPN4	35534	CALIFORNIA ST	12/22/2014	12,449,967.33	12,450,000.00	12,450,000.00	1.250	1.236	1.253	11/01/2017	31
13063CSQ4	36313	CALIFORNIA ST	10/27/2015	10,255,545.60	10,220,000.00	10,161,439.40	1.800	1.632	1.655	04/01/2020	913
13063CXU9	36390	CALIFORNIA ST	11/25/2015	5,000,000.00	5,000,000.00	4,999,000.00	1.000	0.989	1.002	11/01/2017	31
13063CP87	36731	CALIFORNIA ST	04/28/2016	14,947,126.90	15,000,000.00	14,683,650.00	1.500	1.608	1.630	04/01/2021	1,278
65887PHS7	37523	North Dakota State Finance	03/08/2017	569,080.61	500,000.00	572,415.00	5.000	1.400	1.420	10/01/2021	1,461
64990CEM9	36822	New York State Dormitory Auth	06/14/2016	3,000,000.00	3,000,000.00	2,981,220.00	1.456	1.435	1.455	07/01/2019	638
91412GWV3	35763	UNIVERSITY CALIFORNIA REVS	03/25/2015	250,000.00	250,000.00	251,535.00	2.003	1.975	2.002	05/15/2019	591
91412GD36	36710	UNIVERSITY CALIFORNIA REVS	04/20/2016	3,000,000.00	3,000,000.00	2,978,670.00	1.169	1.152	1.168	05/15/2019	591
<b>Subtotal and Average</b>				<b>68,559,010.09</b>	<b>68,505,000.00</b>	<b>68,170,181.70</b>		<b>1.463</b>	<b>1.483</b>		<b>499</b>
<b>Commercial Paper, Discount</b>											
36164JX25	38059	GENERAL ELECTRIC CAPITAL	09/29/2017	49,998,542.03	50,000,000.00	49,995,480.00	1.070	1.070	1.084	10/02/2017	1

Portfolio SCL2  
AP  
FI (PRF\_FI) 7.1.1  
Report Ver. 7.3.6.1

Run Date: 10/25/2017 - 11:13

**Fund COMM - COMMINGLED POOL**  
**Investments by Fund**  
**September 30, 2017**

Page 11

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Commercial Paper, Discount</b>											
46640QA50	37690	JP Morgan Securities	04/11/2017	49,811,378.15	50,000,000.00	49,819,516.50	1.420	1.454	1.474	01/05/2018	96
89233HA46	37691	TOYOTA MOTOR CREDIT	04/11/2017	59,787,086.57	60,000,000.00	59,798,132.40	1.350	1.381	1.400	01/04/2018	95
		<b>Subtotal and Average</b>		<b>159,597,006.75</b>	<b>160,000,000.00</b>	<b>159,613,128.90</b>		<b>1.307</b>	<b>1.325</b>		<b>65</b>
<b>Federal Agency Discount Notes</b>											
313313TJ7	37795	FFCB DISCOUNT NOTE	05/03/2017	14,934,274.39	15,000,000.00	14,934,330.00	1.110	1.133	1.149	02/21/2018	143
313385NQ5	37777	FHLB DISCOUNT	04/27/2017	24,980,276.51	25,000,000.00	24,980,750.00	0.980	0.998	1.012	10/30/2017	29
313589ML4	38058	FANNIE MAE DISCOUNT NOTE	09/29/2017	149,995,937.46	150,000,000.00	150,000,000.00	0.980	0.993	1.007	10/02/2017	1
31315LTC2	37610	FARMER MAC DISCOUNT NOTE	03/27/2017	23,704,538.77	23,800,000.00	23,700,206.60	1.060	1.082	1.097	02/15/2018	137
31315LSK5	37766	FARMER MAC DISCOUNT NOTE	04/25/2017	20,926,263.16	21,000,000.00	20,925,030.00	1.060	1.081	1.096	01/29/2018	120
		<b>Subtotal and Average</b>		<b>234,541,290.29</b>	<b>234,800,000.00</b>	<b>234,540,316.60</b>		<b>1.020</b>	<b>1.034</b>		<b>37</b>
<b>Local Agency Investment Fund</b>											
SYS8506	8506	LOCAL AGENCY INVEST FUND	07/01/2015	40,469,740.24	40,469,740.24	40,469,740.24	0.920	0.907	0.920		1
		<b>Subtotal and Average</b>		<b>40,469,740.24</b>	<b>40,469,740.24</b>	<b>40,469,740.24</b>		<b>0.907</b>	<b>0.920</b>		<b>1</b>
<b>Money Market</b>											
SYS37590	37590	JPM TE MMK FD	02/27/2017	1,112.87	1,112.87	1,112.87	0.870	0.858	0.870		1
SYS34292	34292	MORGAN STANLEY TRSY INSTL 8304	05/21/2013	12,851.35	12,851.35	12,851.35	0.900	0.887	0.900		1
SYS23519	23519	BLACKROCK TREASURY LIQ FUND	02/01/2008	147,410,807.02	147,410,807.02	147,410,807.02	0.910	0.897	0.910		1
		<b>Subtotal and Average</b>		<b>147,424,771.24</b>	<b>147,424,771.24</b>	<b>147,424,771.24</b>		<b>0.898</b>	<b>0.910</b>		<b>1</b>
<b>Supranationals - Green Bond</b>											
45905UVR2	36643	INTL BANK RECON & DEVELOP	03/29/2016	45,000,000.00	45,000,000.00	44,837,865.00	1.170	1.154	1.170	01/29/2019	485
		<b>Subtotal and Average</b>		<b>45,000,000.00</b>	<b>45,000,000.00</b>	<b>44,837,865.00</b>		<b>1.154</b>	<b>1.170</b>		<b>485</b>
<b>Supranationals</b>											
4581XOCX4	37663	IADB	04/12/2017	34,929,679.32	35,000,000.00	34,900,040.00	1.625	1.680	1.704	05/12/2020	954
459058EJ8	35936	INTL BANK RECON & DEVELOP	04/30/2015	14,994,208.80	15,000,000.00	14,964,420.00	1.000	1.034	1.048	06/15/2018	257
459058EJ8	35937	INTL BANK RECON & DEVELOP	04/30/2015	9,996,139.20	10,000,000.00	9,976,280.00	1.000	1.041	1.055	06/15/2018	257
459058ER0	36258	INTL BANK RECON & DEVELOP	10/07/2015	14,991,389.61	15,000,000.00	14,941,170.00	1.000	1.043	1.057	10/05/2018	369
459058ER0	36259	INTL BANK RECON & DEVELOP	10/07/2015	24,985,649.35	25,000,000.00	24,901,950.00	1.000	1.043	1.057	10/05/2018	369
459058DN0	36352	INTL BANK RECON & DEVELOP	11/16/2015	20,021,350.00	20,000,000.00	19,996,540.00	1.376	1.153	1.169	04/10/2018	191

Portfolio SCL2  
AP  
FI (PRF\_FI) 7.1.1  
Report Ver. 7.3.6.1

**Fund COMM - COMMINGLED POOL**  
**Investments by Fund**  
**September 30, 2017**

Page 12

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Supranationals</b>											
459058FA6	36653	INTL BANK RECON & DEVELOP	03/30/2016	19,961,792.50	20,000,000.00	19,833,560.00	1.376	1.435	1.455	03/30/2020	911
459058FK4	36881	INTL BANK RECON & DEVELOP	07/13/2016	39,994,908.63	40,000,000.00	39,443,240.00	0.876	0.869	0.881	08/15/2019	683
<b>Subtotal and Average</b>				<b>179,875,117.41</b>	<b>180,000,000.00</b>	<b>178,957,200.00</b>		<b>1.183</b>	<b>1.200</b>		<b>577</b>
<b>Total Investments and Average</b>				<b>5,405,118,377.57</b>	<b>5,403,636,032.85</b>	<b>5,390,123,180.16</b>		<b>1.299</b>	<b>1.317</b>		<b>572</b>

Run Date: 10/25/2017 - 11:13

Portfolio SCL2  
AP  
FI (PRF\_FI) 7.1.1  
Report Ver. 7.3.6.1

**Fund WK - WORKERS COMP**  
**Investments by Fund**  
**September 30, 2017**

Page 13

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds</b>											
3137EAE9	37310	FHLMC NOTES	12/30/2016	2,420,636.84	2,500,000.00	2,436,460.00	1.125	1.961	1.988	08/12/2021	1,411
3135G0WJ8	34807	FNMA NOTES	01/08/2014	4,580,918.33	4,600,000.00	4,588,242.40	0.875	1.527	1.548	05/21/2018	232
3135G0R39	37309	FNMA NOTES	12/30/2016	3,464,146.95	3,500,000.00	3,459,606.50	1.000	1.489	1.510	10/24/2019	753
		<b>Subtotal and Average</b>		<b>10,465,702.12</b>	<b>10,600,000.00</b>	<b>10,484,308.90</b>		<b>1.515</b>	<b>1.538</b>		<b>677</b>
<b>US Treasury Notes</b>											
912828UE8	34791	U.S. TREASURY NOTES	12/27/2013	4,493,710.75	4,500,000.00	4,495,585.50	0.750	1.309	1.327	12/31/2017	91
912828A75	34792	U.S. TREASURY NOTES	12/31/2013	4,486,830.85	4,500,000.00	4,504,923.00	1.500	1.721	1.745	12/31/2018	456
		<b>Subtotal and Average</b>		<b>8,980,541.60</b>	<b>9,000,000.00</b>	<b>9,000,508.50</b>		<b>1.516</b>	<b>1.537</b>		<b>273</b>
<b>Money Market</b>											
SYS34789	34789	BLACKROCK TREASURY LIQ FUND	12/26/2013	8,507,840.95	8,507,840.95	8,507,840.95	0.910	0.897	0.910		1
		<b>Subtotal and Average</b>		<b>8,507,840.95</b>	<b>8,507,840.95</b>	<b>8,507,840.95</b>		<b>0.898</b>	<b>0.910</b>		<b>1</b>
		<b>Total Investments and Average</b>		<b>27,954,084.67</b>	<b>28,107,840.95</b>	<b>27,992,658.35</b>		<b>1.365</b>	<b>1.384</b>		<b>341</b>

Run Date: 10/25/2017 - 11:13

Portfolio SCL2  
AP  
FI (PRE\_FI) 7.1.1  
Report Ver. 7.3.6.1



**Fund PCF - PARK CHARTER FUND**  
**Investments by Fund**  
**September 30, 2017**

Page 14

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Federal Agency Bonds</b>											
3133EGAS4	36777	FFCB NOTES	05/20/2016	1,998,684.50	2,000,000.00	1,996,424.00	0.750	0.914	0.927	02/16/2018	138
3137EAEC9	37307	FHLMC NOTES	12/30/2016	484,127.37	500,000.00	487,292.00	1.125	1.961	1.988	08/12/2021	1,411
3135G0R39	37308	FNMA NOTES	12/30/2016	1,484,634.41	1,500,000.00	1,482,688.50	1.000	1.488	1.508	10/24/2019	753
		<b>Subtotal and Average</b>		<b>3,967,446.28</b>	<b>4,000,000.00</b>	<b>3,966,404.50</b>		<b>1.257</b>	<b>1.274</b>		<b>523</b>
<b>Money Market</b>											
SYS33657	33657	BLACKROCK TREASURY LIQ FUND	09/30/2012	154,729.79	154,729.79	154,729.79	0.910	0.897	0.910		1
		<b>Subtotal and Average</b>		<b>154,729.79</b>	<b>154,729.79</b>	<b>154,729.79</b>		<b>0.898</b>	<b>0.910</b>		<b>1</b>
		<b>Total Investments and Average</b>		<b>4,122,176.07</b>	<b>4,154,729.79</b>	<b>4,121,134.29</b>		<b>1.243</b>	<b>1.261</b>		<b>503</b>

Run Date: 10/25/2017 - 11:13

Portfolio SCL2  
AP  
FI (PRF\_FI) 7.1.1  
Report Ver. 7.3.6.1

**Fund SJE - SAN JOSE-EVERGREEN**  
**Investments by Fund**  
**September 30, 2017**

Page 15

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Municipal Bonds</b>											
011770Z56	35082	ALASKA ST	05/08/2014	213,190.19	200,000.00	213,808.00	5.000	1.252	1.270	08/01/2019	669
011770Z56	35083	ALASKA ST	05/08/2014	266,487.73	250,000.00	267,260.00	5.000	1.252	1.270	08/01/2019	669
041042UD2	34919	ARKANSAS ST	03/10/2014	500,000.00	500,000.00	500,000.00	5.000	0.562	0.570	10/01/2017	0
13063C5Q9	37543	CALIFORNIA ST	03/14/2017	571,630.15	500,000.00	583,735.00	5.000	1.844	1.870	08/01/2022	1,765
13063BFJ6	37573	CALIFORNIA ST	03/20/2017	441,959.57	400,000.00	439,992.00	5.250	2.661	2.698	03/01/2022	1,612
13063BAM4	37714	CALIFORNIA ST	03/08/2017	246,297.63	225,000.00	244,068.75	5.250	0.957	0.971	10/01/2021	1,461
20772JQ96	37546	Connecticut-F	03/09/2017	560,843.12	500,000.00	561,930.00	5.000	1.873	1.900	11/15/2021	1,506
246380B65	34872	DELAWARE ST	02/07/2014	101,704.92	100,000.00	101,690.00	5.000	0.818	0.830	03/01/2018	151
34153P3H9	34972	FLORIDA STATE BOARD EDUCATION	04/01/2014	370,373.39	350,000.00	372,760.50	5.000	1.351	1.370	06/01/2019	608
341150M31	35185	FLORIDA STATE BOARD EDUCATION	06/27/2014	1,159,267.85	1,065,000.00	1,179,104.10	5.000	1.588	1.610	07/01/2020	1,004
373384N28	34845	GEORGIA ST	01/22/2014	522,278.73	500,000.00	523,440.00	5.000	1.055	1.070	12/01/2018	426
373384YJ9	34915	GEORGIA ST	03/10/2014	373,469.23	350,000.00	374,209.50	5.000	1.035	1.050	07/01/2019	638
544525RT7	34702	LOS ANGELES CALIF DEPT WTR & P	12/05/2013	514,786.85	500,000.00	515,320.00	5.000	0.946	0.960	07/01/2018	273
57582PK74	35081	MASSACHUSETTS ST	05/08/2014	558,743.38	530,000.00	561,285.90	5.000	1.242	1.260	04/01/2019	547
57582RKW5	37561	MASSACHUSETTS ST	03/16/2017	229,001.12	200,000.00	231,352.00	5.000	1.607	1.630	04/01/2022	1,643
5741925H9	34869	MARYLAND ST	02/05/2014	215,892.44	205,000.00	216,578.40	5.000	1.114	1.130	03/01/2019	516
5741927Y0	34870	MARYLAND ST	02/05/2014	105,457.86	100,000.00	105,803.00	5.000	1.114	1.130	03/15/2019	530
574193JK5	35122	MARYLAND ST	06/02/2014	463,553.47	440,000.00	464,851.20	5.000	1.095	1.110	03/01/2019	516
574193KQ0	35289	MARYLAND ST	08/21/2014	724,006.55	700,000.00	723,429.00	5.000	0.798	0.810	08/01/2018	304
604129P91	34860	MINNESOTA ST	01/30/2014	1,038,445.69	1,000,000.00	1,039,990.00	5.000	1.035	1.050	10/01/2018	365
6041294D5	35288	MINNESOTA ST	08/21/2014	219,326.62	200,000.00	221,242.00	5.000	1.410	1.430	08/01/2020	1,035
658256T77	34874	NORTH CAROLINA ST	02/07/2014	803,427.72	785,000.00	803,502.45	5.000	0.877	0.890	05/01/2018	212
658256B43	34900	NORTH CAROLINA ST	03/03/2014	121,253.17	115,000.00	121,479.10	5.000	1.035	1.050	03/01/2019	516
658256T93	35131	NORTH CAROLINA ST	06/05/2014	397,266.91	365,000.00	401,470.80	5.000	1.400	1.420	05/01/2020	943
644682F27	34961	NEW HAMPSHIRE ST	03/27/2014	864,204.32	840,000.00	865,292.40	5.000	1.045	1.060	07/01/2018	273
6775213U8	34836	OHIO ST	01/22/2014	756,267.75	750,000.00	756,472.50	3.000	0.936	0.949	03/01/2018	151
677521Q82	37526	OHIO ST	03/08/2017	345,736.27	305,000.00	348,020.25	5.000	1.509	1.530	10/01/2021	1,461
79771TJ57	34839	SAN FRANCISCO CITY & CNTY CA	01/23/2014	102,761.73	100,000.00	102,910.00	5.000	0.376	0.390	06/15/2018	257
797646XL5	34853	SAN FRANCISCO CITY & CNTY CA	01/28/2014	462,700.56	450,000.00	463,095.00	5.000	0.925	0.938	06/15/2018	257
882722D99	35100	TEXAS STATE REFUNDING	05/20/2014	170,000.00	170,000.00	170,000.00	5.000	0.631	0.640	10/01/2017	0
924258ZN9	34946	VERMONT ST	03/21/2014	106,708.10	100,000.00	107,397.00	5.000	1.262	1.280	08/15/2019	683
93974CJH4	34840	WASHINGTON ST	01/23/2014	252,531.96	250,000.00	252,480.00	5.000	0.858	0.870	01/01/2018	92

Portfolio SCL2

AP  
FI (PRF\_FI) 7.1.1  
Report Ver. 7.3.6.1

**Fund SJE - SAN JOSE- EVERGREEN**  
**Investments by Fund**  
**September 30, 2017**

Page 16

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Date	Days To Maturity
<b>Municipal Bonds</b>											
93974CC65	37524	WASHINGTON ST	03/08/2017	565,848.36	500,000.00	568,370.00	5.000	1.352	1.371	07/01/2021	1,369
97705L4E4	34880	WISCONSIN STATE	02/13/2014	264,240.89	250,000.00	265,410.00	5.000	1.198	1.214	05/01/2019	577
97705L4E4	34881	WISCONSIN STATE	02/13/2014	105,747.04	100,000.00	106,164.00	5.000	1.169	1.185	05/01/2019	577
97705MFT7	37717	WISCONSIN STATE	03/29/2017	572,801.15	500,000.00	579,540.00	5.000	1.647	1.670	05/01/2022	1,673
<b>Subtotal and Average</b>				<b>15,288,212.42</b>	<b>14,395,000.00</b>	<b>15,353,452.85</b>		<b>1.230</b>	<b>1.247</b>		<b>707</b>
<b>Money Market - Tax Exempt</b>											
SYS33615	33615	Dreyfus Tax Exempt Inst	09/25/2012	4,906,020.04	4,906,020.04	4,906,020.04	0.640	0.631	0.640		1
<b>Subtotal and Average</b>				<b>4,906,020.04</b>	<b>4,906,020.04</b>	<b>4,906,020.04</b>		<b>0.631</b>	<b>0.640</b>		<b>1</b>
<b>Total Investments and Average</b>				<b>20,194,232.46</b>	<b>19,301,020.04</b>	<b>20,259,472.89</b>		<b>1.084</b>	<b>1.099</b>		<b>535</b>

Run Date: 10/25/2017 - 11:13

Portfolio SCL2  
AP  
FI (PRF\_FI) 7.1.1  
Report Ver. 7.3.6.1

**SANTA CLARA COUNTY INVESTMENTS**  
**Transaction Activity Report**  
**July 1, 2017 - September 30, 2017**  
**Sorted by Transaction Date - Transaction Date**  
**COMMINGLED POOL Fund**

Investment #	Fund	CUSIP	Inv Descrpt	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37963	COMM	36164JU51	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,991,083.34			-149,991,083.34
35505	COMM	3130A3P40	FEDERAL HOME	Redemption	WELLS FARGO	FHLB NOTES		25,000,000.00		25,000,000.00
37962	COMM	36164JU36	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
35505	COMM	3130A3P40	FEDERAL HOME	Interest	WELLS FARGO	FHLB NOTES			109,375.00	109,375.00
36822	COMM	64990CEM9	NYSHGR 1.456%	Interest	WELLS FARGO	New York State			21,840.00	21,840.00
<b>Totals for 07/03/2017</b>							<b>149,991,083.34</b>	<b>75,000,000.00</b>	<b>131,215.00</b>	<b>-74,859,868.34</b>
37964	COMM	36164JU69	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
37963	COMM	36164JU51	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37582	COMM	3135G0S38	FEDERAL NATL MTGAccr Int	Interest	KEYBANC CAPITAL	FNMA NOTES			97,777.78	97,777.78
37582	COMM	3135G0S38	FEDERAL NATL MTGAccr Int	Interest	KEYBANC CAPITAL	FNMA NOTES		16,666.67	-16,666.67	0.00
34292	COMM	SY334292	MSTI 0.0%	Interest		MORGAN STANLEY			12,833.40	12,833.40
34292	COMM	SY334292	MSTI 0.0%	Purchase		MORGAN STANLEY	12,833.40			-12,833.40
37590	COMM	SY337590	JPM TE 0.44%	Interest	JPMorganChase	JPM TE MMK FD			1,111.21	1,111.21
37590	COMM	SY337590	JPM TE 0.44%	Purchase	JPMorganChase	JPM TE MMK FD	1,111.21			-1,111.21
<b>Totals for 07/05/2017</b>							<b>150,009,486.28</b>	<b>150,016,666.67</b>	<b>95,055.72</b>	<b>102,236.11</b>
37966	COMM	36164JU77	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
37964	COMM	36164JU69	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38005	COMM	30229AU64	XON DISC NOTE	Redemption	CITIGROUP GLOBAL	Exxon Mobil Group		50,000,000.00		50,000,000.00
35803	COMM	3130A4WA6	FHLB 1.0% MAT	Interest	WELLS FARGO	FHLB NOTES			125,000.00	125,000.00
35855	COMM	3130A4WA6	FHLB 1.0% MAT	Interest	WELLS FARGO	FHLB NOTES			60,000.00	60,000.00
35852	COMM	3130A57J2	FEDERAL HOME	Interest	WELLS FARGO	FHLB NOTES			50,000.00	50,000.00
36854	COMM	3130A8KR3	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			103,525.00	103,525.00
36866	COMM	3133EGK42	FEDERAL FARM CR	Interest	MERRILL LYNCH	FFCB NOTES			150,000.00	150,000.00
<b>Totals for 07/06/2017</b>							<b>149,995,541.67</b>	<b>200,000,000.00</b>	<b>488,525.00</b>	<b>50,492,983.33</b>
37967	COMM	36164JUA0	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,986,625.01			-149,986,625.01
37375	COMM	06052TVX5	BA 1.17% MAT	Redemption	MERRILL LYNCH	BANK OF AMERICA		50,000,000.00		50,000,000.00
37966	COMM	36164JU77	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37375	COMM	06052TVX5	BA 1.17% MAT	Interest	MERRILL LYNCH	BANK OF AMERICA			261,625.00	261,625.00
<b>Totals for 07/07/2017</b>							<b>149,986,625.01</b>	<b>200,000,000.00</b>	<b>261,625.00</b>	<b>50,274,999.99</b>
37970	COMM	36164JUB8	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
37967	COMM	36164JUA0	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
35815	COMM	3130A4WB4	FEDERAL HOME	Interest	WELLS FARGO	FHLB NOTES			87,500.00	87,500.00

Portfolio SCL2  
AP  
TA (PRF\_TA) 7.1.1  
Report Ver. 7.3.6.1

**SANTA CLARA COUNTY INVESTMENTS**  
**Transaction Activity Report**  
**Sorted by Transaction Date - Transaction Date**

Page 2

Investment #	Fund	CUSIP	Inv Descrpt	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
<b>Totals for 07/10/2017</b>										
37971	COMM	36164JUC6	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67	150,000,000.00	87,500.00	91,958.33
37970	COMM	36164JUB8	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67	150,000,000.00	-149,995,541.67	150,000,000.00
<b>Totals for 07/11/2017</b>										
37972	COMM	36164JUD4	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67	150,000,000.00	-149,995,541.67	150,000,000.00
37971	COMM	36164JUC6	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67	150,000,000.00	37,500.00	37,500.00
35207	COMM	3137EADN6	FEDERAL HOME LN	Interest	KEYBANC CAPITAL	FHLMC NOTES			37,500.00	37,500.00
35760	COMM	3137EADN6	FEDERAL HOME LN	Interest	MORGAN STANLEY	FHLMC NOTES			37,500.00	37,500.00
35761	COMM	3137EADN6	FEDERAL HOME LN	Interest	UBS FINANCE	FHLMC NOTES			18,750.00	18,750.00
35785	COMM	3137EADN6	FEDERAL HOME LN	Interest	MIZUHO	FHLMC NOTES			157,500.00	157,500.00
36875	COMM	3135G0L50	FEDERAL NATL MTG	Interest	BARCLAYS CAPITAL	FNMA NOTES			288,750.00	293,208.33
<b>Totals for 07/12/2017</b>										
37973	COMM	36164JUE2	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67	150,000,000.00	-149,995,541.67	150,000,000.00
37972	COMM	36164JUD4	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67	150,000,000.00	4,458.33	4,458.33
<b>Totals for 07/13/2017</b>										
37974	COMM	36164JUH5	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,986,625.01	150,000,000.00	-149,986,625.01	150,000,000.00
8506	COMM	SY58506	LAIF 1.65%	Purchase	LOCAL AGENCY	LOCAL AGENCY	92,982.15		-92,982.15	50,000,000.00
37234	COMM	3130AAF52	FHLBVR 0.56% MAT	Redemption	DEUTSCHE BANK	FHLB VARIABLE		50,000,000.00	50,000,000.00	50,000,000.00
37381	COMM	89233GUE4	TOYO DISC NOTE	Redemption	TOYO MOTOR	TOYOTA MOTOR		50,000,000.00	50,000,000.00	50,000,000.00
37475	COMM	9033EQUE4	USB DISC NOTE	Redemption	U S BANK	U S BANK		50,000,000.00	50,000,000.00	50,000,000.00
37973	COMM	36164JUE2	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00		150,000,000.00	150,000,000.00
37234	COMM	3130AAF52	FHLBVR 0.56% MAT	Interest	DEUTSCHE BANK	FHLB VARIABLE			41,833.33	41,833.33
8506	COMM	SY58506	LAIF 1.65%	Interest	LOCAL AGENCY	LOCAL AGENCY			92,982.15	92,982.15
<b>Totals for 07/14/2017</b>										
36753	COMM	89231UAD9	TOYO 1.3% MAT	Interest	CITIGROUP GLOBAL	TOYOTA AUTO REC			4,333.33	4,333.33
35726	COMM	47787UAD5	JDOT 1.32% MAT	Interest	CITIGROUP GLOBAL	JOHN DEERE			4,432.71	4,432.71
35726	COMM	47787UAD5	JDOT 1.32% MAT	Redemption	CITIGROUP GLOBAL	JOHN DEERE	344,232.15		28,333.33	344,232.15
35953	COMM	161571GY4	CHAIT 1.36% MAT	Interest	JPMorganChase	CHASE ISSUANCE			829,289.08	829,289.08
35953	COMM	161571GY4	CHAIT 1.36% MAT	Redemption	JPMorganChase	CHASE ISSUANCE			6,532.21	6,532.21
36216	COMM	47787WAC3	JDOT 1.44% MAT	Interest	ROYAL BANK OF	JOHN DEERE			325,207.97	325,207.97
36216	COMM	47787WAC3	JDOT 1.44% MAT	Redemption	ROYAL BANK OF	JOHN DEERE			6,700.00	6,700.00
36557	COMM	65478UAD1	NALT 1.34% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			11,900.00	11,900.00
36557	COMM	65478UAD1	NALT 1.34% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO	163,569.87		15,625.00	163,569.87
36600	COMM	47788MAC4	JDOT 1.36% MAT	Interest	MERRILL LYNCH	JOHN DEERE			330,633.57	330,633.57
36600	COMM	47788MAC4	JDOT 1.36% MAT	Redemption	MERRILL LYNCH	JOHN DEERE			15,625.00	15,625.00
36601	COMM	89237KAD5	TAOT 1.25% MAT	Interest	MERRILL LYNCH	TOYOTA AUTO REC			473,038.24	473,038.24
36601	COMM	89237KAD5	TAOT 1.25% MAT	Redemption	MERRILL LYNCH	TOYOTA AUTO REC			29,133.33	29,133.33
36623	COMM	58768FAD8	MBALT 1.52% MAT	Interest	BARCLAYS CAPITAL	MERCEDES-BENZ				

Portfolio SCL2  
AP  
TA (PRF\_TA) 7.1.1  
Report Ver. 7.3.6.1



**SANTA CLARA COUNTY INVESTMENTS**  
**Transaction Activity Report**  
**Sorted by Transaction Date - Transaction Date**

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
36623	COMM	58768FAD8	MBALT 1.52% MAT	Redemption	BARCLAYS CAPITAL	MERCEDES-BENZ	1,140,588.56			1,140,588.56
36800	COMM	43814QAC2	HAROT 1.39% MAT	Interest	MERRILL LYNCH	HONDA AUTO			13,900.00	13,900.00
36800	COMM	43814QAC2	HAROT 1.39% MAT	Redemption	MERRILL LYNCH	HONDA AUTO	373,781.33			373,781.33
36905	COMM	47788NAC2	JDOT 1.25% MAT	Interest	ROYAL BANK OF	JOHN DEERE			9,114.58	9,114.58
36905	COMM	47788NAC2	JDOT 1.25% MAT	Redemption	ROYAL BANK OF	JOHN DEERE	254,396.78			254,396.78
36929	COMM	161571HF4	CHAIT 1.27% MAT	Interest	JPMorganChase	CHASE ISSUANCE			24,341.67	24,341.67
36929	COMM	161571HF4	CHAIT 1.27% MAT	Redemption	JPMorganChase	CHASE ISSUANCE	499,183.40			499,183.40
37035	COMM	89231LAD9	TAOT 1.23% MAT	Interest	JPMorganChase	TOYOTA AUTO REC			14,350.00	14,350.00
37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	JPMorganChase	TOYOTA AUTO REC	363,664.20			363,664.20
37065	COMM	58768MAC5	MBALT 1.35% MAT	Interest	BANK OF AMERICA	MERCEDES-BENZ			5,625.00	5,625.00
37065	COMM	58768MAC5	MBALT 1.35% MAT	Redemption	BANK OF AMERICA	MERCEDES-BENZ	196,892.21			196,892.21
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	BANK OF AMERICA	TOYOTA AUTO REC			14,416.67	14,416.67
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	BANK OF AMERICA	TOYOTA AUTO REC	221,773.01			221,773.01
37862	COMM	02582JHG8	AME 1.64% MAT	Interest	ROYAL BANK OF	AMERICAN			46,466.67	46,466.67
37862	COMM	02582JHG8	AME 1.64% MAT	Redemption	ROYAL BANK OF	AMERICAN	618,164.37			618,164.37
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	CITIGROUP GLOBAL	HONDA AUTO			23,520.00	23,520.00
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	CITIGROUP GLOBAL	HONDA AUTO	534,573.18			534,573.18
<b>Totals for 07/15/2017</b>							<b>6,668,987.92</b>	<b>258,724.50</b>	<b>6,927,712.42</b>	
35802	COMM	45905URF3	IBRD 0.9% MAT	Redemption	WELLS FARGO	INTL BANK RECON &	12,500,000.00			12,500,000.00
35802	COMM	45905URF3	IBRD 0.9% MAT	Interest	WELLS FARGO	INTL BANK RECON &			56,250.00	56,250.00
37190	COMM	3130AAE46	FEDERAL HOME	Interest	BANK OF AMERICA	FHLB NOTES			312,500.00	312,500.00
<b>Totals for 07/16/2017</b>							<b>12,500,000.00</b>	<b>368,750.00</b>	<b>12,868,750.00</b>	
37975	COMM	36164JUJ1	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
35497	COMM	3133EDQM7	FEDERAL FARM CR	Redemption	KEYBANC CAPITAL	FFCB NOTES			15,000,000.00	15,000,000.00
37974	COMM	36164JUJ5	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
35497	COMM	3133EDQM7	FEDERAL FARM CR	Interest	KEYBANC CAPITAL	FFCB NOTES			75,000.00	75,000.00
35802	COMM	45905URF3	IBRD 0.9% MAT	Interest	WELLS FARGO	INTL BANK RECON &			250.00	250.00
37443	COMM	3137EAE5	FEDERAL HOME LN	Interest	KEYBANC CAPITAL	FHLMC NOTES			150,000.00	150,000.00
37466	COMM	3133EG3X1	FEDERAL FARM CR	Interest	KEYBANC CAPITAL	FHLMC NOTES	18,333.33		-18,333.33	0.00
37466	COMM	3133EG3X1	FEDERAL FARM CR	Accr Int	Daiwa Capital Market	FFCB NOTES			93,750.00	93,750.00
37628	COMM	3133EG3X1	FEDERAL FARM CR	Interest	Daiwa Capital Market	FFCB NOTES	15,104.17		-15,104.17	0.00
37628	COMM	3133EG3X1	FEDERAL FARM CR	Accr Int	KEYBANC CAPITAL	FFCB NOTES			156,250.00	156,250.00
36956	COMM	58769BAD6	MBART 1.26% MAT	Interest	KEYBANC CAPITAL	FFCB NOTES	63,368.06		-63,368.06	0.00
36956	COMM	58769BAD6	MBART 1.26% MAT	Redemption	CITIGROUP GLOBAL	MERCEDES-BENZ			12,600.00	12,600.00
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	CITIGROUP GLOBAL	MERCEDES-BENZ	286,321.22			286,321.22
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	BANK OF AMERICA	JOHN DEERE			12,608.33	12,608.33
<b>Totals for 07/17/2017</b>							<b>149,995,541.67</b>	<b>165,567,355.62</b>	<b>403,652.77</b>	<b>15,975,466.72</b>

**SANTA CLARA COUNTY INVESTMENTS**  
**Transaction Activity Report**  
**Sorted by Transaction Date - Transaction Date**

Page 4

Investment #	Fund	CUSIP	Inv Descrip	Transaction Type	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37977	COMM	36164JUK8	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
37975	COMM	36164JUJ1	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36587	COMM	43814NAC9	HAROT 1.22% MAT	Interest	JPMorganChase	HONDA AUTO			14,233.33	14,233.33
36587	COMM	43814NAC9	HAROT 1.22% MAT	Redemption	JPMorganChase	HONDA AUTO		498,727.45		498,727.45
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	BARCLAYS CAPITAL	HONDA AUTO			11,595.83	11,595.83
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	BARCLAYS CAPITAL	HONDA AUTO		285,160.35		285,160.35
<b>Totals for 07/18/2017</b>							<b>149,995,541.67</b>	<b>150,783,887.80</b>	<b>25,829.16</b>	<b>814,175.29</b>
37978	COMM	36164JUL6	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
37977	COMM	36164JUK8	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
<b>Totals for 07/19/2017</b>							<b>149,995,541.67</b>	<b>150,000,000.00</b>		<b>4,458.33</b>
37979	COMM	36164JUM4	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
37978	COMM	36164JUL6	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37265	COMM	313EGX67	FFCB 0.859% MAT	Interest	INCAPITAL LLC	FFCB NOTES			38,856.42	38,856.42
35064	COMM	92867RAC3	VALET 0.91% MAT	Interest	JPMorganChase	VOLKSWAGEN			506.88	506.88
35064	COMM	92867RAC3	VALET 0.91% MAT	Redemption	JPMorganChase	VOLKSWAGEN		364,975.76		364,975.76
36274	COMM	05581QAD0	BMWLT 1.4% MAT	Interest	CREDIT SUISSE	BMW VEHICLE			29,680.79	29,680.79
36274	COMM	05581QAD0	BMWLT 1.4% MAT	Redemption	CREDIT SUISSE	BMW VEHICLE		3,888,919.57		3,888,919.57
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Interest	MERRILL LYNCH	BMW VEHICLE			14,516.67	14,516.67
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Redemption	MERRILL LYNCH	BMW VEHICLE		1,110,886.28		1,110,886.28
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Interest	MIZUHO	BMW VEHICLE			8,341.67	8,341.67
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Redemption	MIZUHO	BMW VEHICLE		265,431.67		265,431.67
<b>Totals for 07/20/2017</b>							<b>149,995,541.67</b>	<b>155,630,213.28</b>	<b>91,902.43</b>	<b>5,726,574.04</b>
37980	COMM	36164JUQ5	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,986,625.01			-149,986,625.01
37701	COMM	06427KS32	BMOCHI 0.95% MAT	Redemption	BMO CAPITAL	BANK OF		50,000,000.00		50,000,000.00
37876	COMM	313385JK3	FHLB DISC NOTE	Redemption	CITIGROUP GLOBAL	FHLB NOTES		75,000,000.00		75,000,000.00
37979	COMM	36164JUM4	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36347	COMM	3135GQA78	FEDERAL NATL MTG Interest		CITIGROUP GLOBAL	FNMA NOTES			81,250.00	81,250.00
36361	COMM	3135GQA78	FEDERAL NATL MTG Interest		KEYBANC CAPITAL	FNMA NOTES			162,500.00	162,500.00
36383	COMM	3135GQA78	FEDERAL NATL MTG Interest		BARCLAYS CAPITAL	FNMA NOTES			81,250.00	81,250.00
36384	COMM	3135GQA78	FEDERAL NATL MTG Interest		KEYBANC CAPITAL	FNMA NOTES			81,250.00	81,250.00
37701	COMM	06427KS32	BMOCHI 0.95% MAT	Interest	BMO CAPITAL	BANK OF			196,597.22	196,597.22
35986	COMM	43813NAC0	HAROT 1.04% MAT	Interest	JPMorganChase	HONDA AUTO			3,072.89	3,072.89
35986	COMM	43813NAC0	HAROT 1.04% MAT	Redemption	JPMorganChase	HONDA AUTO		427,137.60		427,137.60
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	BANK OF AMERICA	HONDA AUTO			23,650.00	23,650.00
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	BANK OF AMERICA	HONDA AUTO		445,268.18		445,268.18
<b>Totals for 07/21/2017</b>							<b>149,986,625.01</b>	<b>275,872,405.78</b>	<b>629,570.11</b>	<b>126,515,350.88</b>
37981	COMM	36164JUR3	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
37980	COMM	36164JUQ5	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00

**SANTA CLARA COUNTY INVESTMENTS**  
**Transaction Activity Report**  
**Sorted by Transaction Date - Transaction Date**

Page 5

Investment #	Fund	CUSIP	Inv Descr	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37841	COMM	3133EKKH5	FEDERAL FARM CR Interest		INCAPITAL LLC	FFCB NOTES	149,995,541.67	150,000,000.00	31,400.00	31,400.00
<b>Totals for 07/24/2017</b>							149,995,541.67	150,000,000.00	31,400.00	35,858.33
37982	COMM	36164JUS1	GE CAP DISC NOTE Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
35942	COMM	3134G3ZH6	FEDERAL HOME LN Redemption		WELLS FARGO	FHLMC NOTES		10,000,000.00		10,000,000.00
37981	COMM	36164JUR3	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
35942	COMM	3134G3ZH6	FEDERAL HOME LN Interest		WELLS FARGO	FHLMC NOTES			50,000.00	50,000.00
37405	COMM	3130AAQ43	FEDERAL HOME Interest		MIZUHO	FHLB NOTES			93,750.00	93,750.00
37405	COMM	3130AAQ43	FEDERAL HOME Accr Int		MIZUHO	FHLB NOTES		4,166.67	-4,166.67	0.00
36893	COMM	05582QAD9	BMWOT 1.16% MAT Interest		JPMorganChase	BMW VEHICLE			9,666.67	9,666.67
36893	COMM	05582QAD9	BMWOT 1.16% MAT Redemption		JPMorganChase	BMW VEHICLE		258,957.36		258,957.36
<b>Totals for 07/25/2017</b>							149,995,541.67	160,263,124.03	149,250.00	10,416,832.36
37984	COMM	36164JUT9	GE CAP DISC NOTE Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
37982	COMM	36164JUS1	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36902	COMM	3136G3A62	FEDERAL NATL MTG Interest		INCAPITAL LLC	FNMA NOTES			78,750.00	78,750.00
37741	COMM	3134GBJ2	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			43,750.00	43,750.00
37742	COMM	3134GBJ2	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			109,375.00	109,375.00
<b>Totals for 07/26/2017</b>							149,995,541.67	150,000,000.00	231,875.00	236,333.33
37988	COMM	3134GBYF3	FEDERAL HOME LN Purchase		INCAPITAL LLC	FHLMC NOTES	5,750,000.00			-5,750,000.00
37985	COMM	36960LUU7	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
37143	COMM	16677JUT1	CHEVRO ZERO CPN Redemption		BANK OF AMERICA	CHEVRON		55,000,000.00		55,000,000.00
37984	COMM	36164JUT9	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37378	COMM	3133EG5D3	FEDERAL FARM CR Interest		INCAPITAL LLC	FFCB NOTES			50,750.00	50,750.00
37380	COMM	459200JN2	INTERNATIONAL Interest		ROYAL BANK OF	IBM			95,000.00	95,000.00
37669	COMM	3134GBGZ9	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			75,000.00	75,000.00
<b>Totals for 07/27/2017</b>							155,745,541.67	205,000,000.00	220,750.00	49,475,208.33
37987	COMM	36960LUX1	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	99,991,083.34			-99,991,083.34
37986	COMM	36164JUX0	GE CAP DISC NOTE Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	49,995,541.67			-49,995,541.67
35556	COMM	3137EADJ5	FEDERAL HOME LN Redemption		CITIGROUP GLOBAL	FHLMC NOTES		25,000,000.00		25,000,000.00
37686	COMM	03785DUU8	AAPL DISC NOTE Redemption		BANK OF AMERICA	APPLE INC		60,000,000.00		60,000,000.00
37703	COMM	3134GBHF2	FEDERAL HOME LN Redemption		BARCLAYS CAPITAL	FHLMC NOTES		40,000,000.00		40,000,000.00
37985	COMM	36960LUU7	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
35556	COMM	3137EADJ5	FEDERAL HOME LN Interest		CITIGROUP GLOBAL	FHLMC NOTES			125,000.00	125,000.00
36505	COMM	3135GQH63	FEDERAL NATL MTG Interest		DEUTSCHE BANK	FNMA NOTES			171,875.00	171,875.00
37703	COMM	3134GBHF2	FEDERAL HOME LN Interest		BARCLAYS CAPITAL	FHLMC NOTES			180,000.00	180,000.00
<b>Totals for 07/28/2017</b>							149,986,625.01	275,000,000.00	476,875.00	125,490,249.99
37990	COMM	36960LV10	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
37989	COMM	36164JV19	GE CAP DISC NOTE Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89			-49,998,513.89
37397	COMM	21687AUX9	RABO DISC NOTE Redemption		MERRILL LYNCH	RABOBANK		60,000,000.00		60,000,000.00

**SANTA CLARA COUNTY INVESTMENTS**  
**Transaction Activity Report**  
**Sorted by Transaction Date - Transaction Date**

Page 6

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37629	COMM	83369YPE0	SOCNAM 1.16% MAT Redemption		JPMorganChase	SOCIETE	50,000,000.00			50,000,000.00
37986	COMM	36164JUX0	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
37987	COMM	36960LUX1	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
35887	COMM	912828VQ0	UNITED STATES Interest		CANTOR	U.S. TREASURY	103,125.00		103,125.00	103,125.00
36088	COMM	3136FTZZ5	FEDERAL NATL MTG Interest		KEYBANC CAPITAL	FNMA NOTES	64,487.50		64,487.50	64,487.50
36156	COMM	78009NVR4	RBC 1.693% MAT Interest		ROYAL BANK OF	ROYAL BANK OF	169,300.00		169,300.00	169,300.00
36288	COMM	3130A5Z77	FEDERAL HOME Interest		KEYBANC CAPITAL	FHLB NOTES	45,750.00		45,750.00	45,750.00
36289	COMM	3130A5Z77	FEDERAL HOME Interest		KEYBANC CAPITAL	FHLB NOTES	45,750.00		45,750.00	45,750.00
36298	COMM	3130A5Z77	FEDERAL HOME Interest		KEYBANC CAPITAL	FHLB NOTES	45,750.00		45,750.00	45,750.00
36308	COMM	3130A5Z77	FEDERAL HOME Interest		KEYBANC CAPITAL	FHLB NOTES	91,500.00		91,500.00	91,500.00
36309	COMM	3134G34W7	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES	12,500.00		12,500.00	12,500.00
36310	COMM	3130A5Z77	FEDERAL HOME Interest		KEYBANC CAPITAL	FHLB NOTES	45,750.00		45,750.00	45,750.00
36643	COMM	45905UVR2	IBRD 1.17% MAT Interest		BMO CAPITAL	INTL BANK RECON &	263,250.00		263,250.00	263,250.00
37382	COMM	3130AANA2	FEDERAL HOME Interest		INCAPITAL LLC	FHLB NOTES	218,750.00		218,750.00	218,750.00
37383	COMM	3130AANA2	FEDERAL HOME Interest		INCAPITAL LLC	FHLB NOTES	43,750.00		43,750.00	43,750.00
37620	COMM	3136FTZZ5	FEDERAL NATL MTG Interest		KEYBANC CAPITAL	FNMA NOTES	95,068.75		95,068.75	95,068.75
37620	COMM	3136FTZZ5	FEDERAL NATL MTG Acrr Int		KEYBANC CAPITAL	FNMA NOTES	31,161.42		-31,161.42	0.00
37629	COMM	83369YPE0	SOCNAM 1.16% MAT Interest		JPMorganChase	SOCIETE	199,777.78		199,777.78	199,777.78
<b>Totals for 07/31/2017</b>							<b>149,995,541.67</b>	<b>260,031,161.42</b>	<b>1,413,347.61</b>	<b>111,448,967.36</b>
37993	COMM	36960LV28	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89			-49,998,513.89
37992	COMM	36164JV27	GE CAP DISC NOTE Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
37989	COMM	36164JV19	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
37990	COMM	36960LV10	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
37399	COMM	3133EG5Q4	FFCB 1.3% MAT Interest		INCAPITAL LLC	FFCB NOTES	130,000.00		130,000.00	130,000.00
<b>Totals for 08/01/2017</b>							<b>149,995,541.67</b>	<b>150,000,000.00</b>	<b>130,000.00</b>	<b>134,458.33</b>
37994	COMM	36960LV36	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
37992	COMM	36164JV27	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
37993	COMM	36960LV28	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
37079	COMM	3132X0LA7	FEDERAL AGRIC Interest		MIZUHO	FARMER MAC	100,000.00		100,000.00	100,000.00
37253	COMM	3135G0N33	FNMA 0.875% MAT Interest		KEYBANC CAPITAL	FNMA NOTES	87,500.00		87,500.00	87,500.00
34292	COMM	SY334292	MSTI 0.0% Interest		MORGAN STANLEY	MORGAN STANLEY	8.32		8.32	8.32
34292	COMM	SY334292	MSTI 0.0% Purchase		MORGAN STANLEY	MORGAN STANLEY	8.32		-8.32	-8.32
37590	COMM	SY337590	JPM TE 0.44% Interest		JPM MorganChase	JPM TE MMK FD	0.77		0.77	0.77
37590	COMM	SY337590	JPM TE 0.44% Purchase		JPM MorganChase	JPM TE MMK FD	0.77		-0.77	-0.77
<b>Totals for 08/02/2017</b>							<b>149,995,550.76</b>	<b>150,000,000.00</b>	<b>187,509.09</b>	<b>191,958.33</b>
37995	COMM	36960LV44	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
37994	COMM	36960LV36	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
37404	COMM	3133EG6C4	FEDERAL FARM CR Interest		INCAPITAL LLC	FFCB NOTES	116,250.00		116,250.00	116,250.00

Portfolio SCL2  
AP  
TA (PRF\_TA) 7.1.1  
Report Ver. 7.3.6.1

**SANTA CLARA COUNTY INVESTMENTS**  
**Transaction Activity Report**  
**Sorted by Transaction Date - Transaction Date**

Page 7

Investment #	Fund	CUSIP	Inv Descrip	Transaction Type	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
<b>Totals for 08/03/2017</b>										
37996	COMM	36960LV77	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67	150,000,000.00	116,250.00	120,708.33
37995	COMM	36960LV44	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	149,986,625.01			-149,986,625.01
36918	COMM	037933CB4	APPLE INC, SR GLBL Interest		MERRILL LYNCH	APPLE INC	150,000,000.00		165,000.00	150,000,000.00
<b>Totals for 08/04/2017</b>										
37997	COMM	36960LV85	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,986,625.01	150,000,000.00	165,000.00	178,374.99
37998	COMM	36164JV84	GE CAP DISC NOTE Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89			-49,998,513.89
37996	COMM	36960LV77	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89			-49,998,513.89
35633	COMM	459200HZ7	IBM 1.125% MAT Interest		CITIGROUP GLOBAL IBM		150,000,000.00			150,000,000.00
35958	COMM	3130A57G8	FEDERAL HOME Interest		WELLS FARGO	FHLB NOTES			56,250.00	56,250.00
37372	COMM	3130A8Y72	FEDERAL HOME Interest		CITIGROUP GLOBAL	FHLB NOTES			78,750.00	78,750.00
37419	COMM	594918BV5	MICROSOFT CORP, Interest		BARCLAYS CAPITAL	MICROSOFT CORP			153,125.00	153,125.00
<b>Totals for 08/07/2017</b>										
37999	COMM	36164JV92	GE CAP DISC NOTE Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78	150,000,000.00	426,875.00	50,429,847.22
37203	COMM	19121AV81	KOPP ZERO CPN Redemption		JPMorganChase	Coca-Cola Co	99,997,027.78			-99,997,027.78
37997	COMM	36960LV85	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			60,000,000.00
37998	COMM	36164JV84	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
35644	COMM	459200HK0	INTERNATIONAL Interest		JEFFERIES & CO,	IBM			62,500.00	50,000,000.00
36923	COMM	594918BN3	MICROSOFT CORP, Interest		MERRILL LYNCH	MICROSOFT CORP			55,000.00	62,500.00
<b>Totals for 08/08/2017</b>										
38001	COMM	36960LV40	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78	160,000,000.00	117,500.00	60,120,472.22
38000	COMM	36164JVA9	GE CAP DISC NOTE Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89			-49,998,513.89
37999	COMM	36164JV92	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
<b>Totals for 08/09/2017</b>										
38003	COMM	36960LV88	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67	100,000,000.00		100,000,000.00
38002	COMM	36164JV87	GE CAP DISC NOTE Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89			-49,998,513.89
38000	COMM	36164JVA9	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
38001	COMM	36960LV40	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
36117	COMM	3130A3XL3	FEDERAL HOME Interest		INCAPITAL LLC	FHLB NOTES			54,375.00	50,000,000.00
<b>Totals for 08/10/2017</b>										
38004	COMM	36960LVE2	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67	150,000,000.00	54,375.00	58,833.33
37210	COMM	16677JVB9	CHEVRO ZERO CPN Redemption		BANK OF AMERICA	CHEVRON	149,986,625.01			-149,986,625.01
38002	COMM	36164JV87	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	50,000,000.00			50,000,000.00
38003	COMM	36960LV88	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	100,000,000.00			100,000,000.00
<b>Totals for 08/11/2017</b>										
38006	COMM	36960LVF9	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,986,625.01	200,000,000.00		50,013,374.99
38004	COMM	36960LVE2	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
<b>Totals for 08/12/2017</b>										
38004	COMM	36960LVE2	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00

Run Date: 10/25/2017 - 11:15

Portfolio SCL2  
AP  
TA (PRF\_TA) 7.1.1  
Report Ver. 7.3.6.1



**SANTA CLARA COUNTY INVESTMENTS**  
Transaction Activity Report  
Sorted by Transaction Date - Transaction Date

Page 8

Investment #	Fund	CUSIP	Inv Descrip	Transaction Type	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
36584	COMM	3133EFZN0	FEDERAL FARM OR Interest	Interest	INCAPITAL LLC	FFCB NOTES			77,250.00	77,250.00
36989	COMM	3137EAEC9	FEDERAL HOME LN Interest	Interest	KEYBANC CAPITAL	FHLMC NOTES			112,500.00	112,500.00
36992	COMM	3137EAEC9	FEDERAL HOME LN Interest	Interest	KEYBANC CAPITAL	FHLMC NOTES			56,250.00	56,250.00
<b>Totals for 08/14/2017</b>							<b>149,995,541.67</b>	<b>150,000,000.00</b>	<b>246,000.00</b>	<b>250,458.33</b>
38007	COMM	36960LVG7	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
35528	COMM	3130A3RW6	FEDERAL HOME Redemption	Redemption	WELLS FARGO	FHLB NOTES		25,000,000.00		25,000,000.00
35531	COMM	3130A3SZ8	FEDERAL HOME Redemption	Redemption	WELLS FARGO	FHLB NOTES		17,000,000.00		17,000,000.00
35756	COMM	3134G3B90	FEDERAL HOME LN Redemption	Redemption	KEYBANC CAPITAL	FHLMC NOTES		15,000,000.00		15,000,000.00
38006	COMM	36960LVF9	GE DISC NOTE MAT Redemption	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
35528	COMM	3130A3RW6	FEDERAL HOME Interest	Interest	WELLS FARGO	FHLB NOTES			125,000.00	125,000.00
35531	COMM	3130A3SZ8	FEDERAL HOME Interest	Interest	WELLS FARGO	FHLB NOTES			80,750.00	80,750.00
35756	COMM	3134G3B90	FEDERAL HOME LN Interest	Interest	KEYBANC CAPITAL	FHLMC NOTES			65,625.00	65,625.00
35865	COMM	3130A4YA4	FEDERAL HOME Interest	Interest	WELLS FARGO	FHLB NOTES			88,803.75	88,803.75
36753	COMM	89231UAD9	TOYO 1.3% MAT Interest	Interest	CITIGROUP GLOBAL	TOYOTA AUTO REC			4,333.33	4,333.33
36881	COMM	459058FK4	IBRD 0.875% MAT Interest	Interest	INTL BANK RECON &	INTL BANK RECON &			175,200.00	175,200.00
36933	COMM	084664CK5	BERKSHIRE Interest	Interest	BANK OF AMERICA	BERKSHIRE			65,000.00	65,000.00
35726	COMM	47787UAD5	JDOT 1.32% MAT Interest	Interest	CITIGROUP GLOBAL	JOHN DEERE		4,060.52		4,060.52
35726	COMM	47787UAD5	JDOT 1.32% MAT Redemption	Redemption	CITIGROUP GLOBAL	JOHN DEERE		425,954.56		425,954.56
35953	COMM	161571GY4	CHAIR 1.36% MAT Interest	Interest	JP Morgan Chase	CHASE ISSUANCE			28,333.33	28,333.33
35953	COMM	161571GY4	CHAIR 1.36% MAT Redemption	Redemption	JP Morgan Chase	CHASE ISSUANCE		855,726.63		855,726.63
36216	COMM	47787WAC3	JDOT 1.44% MAT Interest	Interest	ROYAL BANK OF	JOHN DEERE			6,157.06	6,157.06
36216	COMM	47787WAC3	JDOT 1.44% MAT Redemption	Redemption	ROYAL BANK OF	JOHN DEERE		404,790.44		404,790.44
36557	COMM	65478UAD1	NALT 1.34% MAT Interest	Interest	MERRILL LYNCH	NISSAN AUTO			6,700.00	6,700.00
36557	COMM	65478UAD1	NALT 1.34% MAT Redemption	Redemption	MERRILL LYNCH	NISSAN AUTO		168,412.63		168,412.63
36600	COMM	47788MAC4	JDOT 1.36% MAT Interest	Interest	MERRILL LYNCH	JOHN DEERE			11,900.00	11,900.00
36600	COMM	47788MAC4	JDOT 1.36% MAT Redemption	Redemption	MERRILL LYNCH	JOHN DEERE		341,719.91		341,719.91
36601	COMM	89237KAD5	TAOT 1.25% MAT Interest	Interest	MERRILL LYNCH	TOYOTA AUTO REC			15,625.00	15,625.00
36601	COMM	89237KAD5	TAOT 1.25% MAT Redemption	Redemption	MERRILL LYNCH	TOYOTA AUTO REC		488,877.00		488,877.00
36623	COMM	58768FAD8	MBALT 1.52% MAT Interest	Interest	BARCLAYS CAPITAL	MERCEDES-BENZ			29,133.33	29,133.33
36623	COMM	58768FAD8	MBALT 1.52% MAT Redemption	Redemption	BARCLAYS CAPITAL	MERCEDES-BENZ		1,198,983.08		1,198,983.08
36800	COMM	43814QAC2	HAROT 1.39% MAT Interest	Interest	MERRILL LYNCH	HONDA AUTO			13,900.00	13,900.00
36800	COMM	43814QAC2	HAROT 1.39% MAT Redemption	Redemption	MERRILL LYNCH	HONDA AUTO		386,449.40		386,449.40
36905	COMM	47788NAC2	JDOT 1.25% MAT Interest	Interest	ROYAL BANK OF	JOHN DEERE			9,114.58	9,114.58
36905	COMM	47788NAC2	JDOT 1.25% MAT Redemption	Redemption	ROYAL BANK OF	JOHN DEERE		262,778.47		262,778.47
36929	COMM	161571HF4	CHAIR 1.27% MAT Interest	Interest	JP Morgan Chase	CHASE ISSUANCE			24,341.67	24,341.67
36929	COMM	161571HF4	CHAIR 1.27% MAT Redemption	Redemption	JP Morgan Chase	CHASE ISSUANCE		512,779.88		512,779.88
36956	COMM	58769BAD6	MBART 1.26% MAT Interest	Interest	CITIGROUP GLOBAL	MERCEDES-BENZ			12,600.00	12,600.00
36956	COMM	58769BAD6	MBART 1.26% MAT Redemption	Redemption	CITIGROUP GLOBAL	MERCEDES-BENZ		294,647.17		294,647.17
37035	COMM	89231LAD9	TAOT 1.23% MAT Interest	Interest	JP Morgan Chase	TOYOTA AUTO REC			14,350.00	14,350.00
37035	COMM	89231LAD9	TAOT 1.23% MAT Redemption	Redemption	JP Morgan Chase	TOYOTA AUTO REC		374,941.96		374,941.96

Portfolio SCL2

AP

TA (PRF\_TA) 7.1.1  
Report Ver. 7.3.6.1

Run Date: 10/25/2017 - 11:15

**SANTA CLARA COUNTY INVESTMENTS**  
Transaction Activity Report  
Sorted by Transaction Date - Transaction Date

Page 9

Investment #	Fund	CUSIP	Inv Descrip	Transaction Type	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37065	COMM	58768MAC5	MBALT 1.35% MAT	Interest	BANK OF AMERICA	MERCEDES-BENZ			5,625.00	5,625.00
37065	COMM	58768MAC5	MBALT 1.35% MAT	Redemption	BANK OF AMERICA	MERCEDES-BENZ		205,391.30		205,391.30
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	BANK OF AMERICA	JOHN DEERE			12,608.33	12,608.33
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	BANK OF AMERICA	JOHN DEERE		189,730.81		189,730.81
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	BANK OF AMERICA	TOYOTA AUTO REC			14,416.67	14,416.67
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	BANK OF AMERICA	TOYOTA AUTO REC		228,464.78		228,464.78
37862	COMM	02582JHG8	AME 1.64% MAT	Interest	ROYAL BANK OF	AMERICAN			46,466.67	46,466.67
37862	COMM	02582JHG8	AME 1.64% MAT	Redemption	ROYAL BANK OF	AMERICAN		635,629.37		635,629.37
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	CITIGROUP GLOBAL	HONDA AUTO			39,200.00	39,200.00
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	CITIGROUP GLOBAL	HONDA AUTO		550,142.62		550,142.62
<b>Totals for 08/15/2017</b>							<b>149,995,541.67</b>	<b>214,525,420.01</b>	<b>899,244.24</b>	<b>65,429,122.58</b>
38008	COMM	36960LVH5	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
38007	COMM	36960LVG7	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36768	COMM	3133EGAS4	FEDERAL FARM CR	Interest	KEYBANC CAPITAL	FCB NOTES			93,750.00	93,750.00
<b>Totals for 08/16/2017</b>							<b>149,995,541.67</b>	<b>150,000,000.00</b>	<b>93,750.00</b>	<b>98,208.33</b>
38009	COMM	36960LVJ1	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
38008	COMM	36960LVH5	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
<b>Totals for 08/17/2017</b>							<b>149,995,541.67</b>	<b>150,000,000.00</b>		<b>4,458.33</b>
38010	COMM	36960LVH4	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,986,625.01			-149,986,625.01
38009	COMM	36960LVJ1	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36587	COMM	43814NAC9	HAROT 1.22% MAT	Interest	JPMorganChase	HONDA AUTO			14,233.33	14,233.33
36587	COMM	43814NAC9	HAROT 1.22% MAT	Redemption	JPMorganChase	HONDA AUTO		517,106.27		517,106.27
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	BARCLAYS CAPITAL	HONDA AUTO			11,595.83	11,595.83
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	BARCLAYS CAPITAL	HONDA AUTO		293,734.41		293,734.41
<b>Totals for 08/18/2017</b>							<b>149,986,625.01</b>	<b>150,810,840.68</b>	<b>25,829.16</b>	<b>850,044.83</b>
38011	COMM	36960LVN2	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,985,541.67			-149,985,541.67
38010	COMM	36960LVH4	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37265	COMM	3133EGX67	FFCB 0.859% MAT	Interest	INCAPITAL LLC	FFCB NOTES			40,620.59	40,620.59
35084	COMM	92867RAC3	VALET 0.91% MAT	Interest	JPMorganChase	VOLKSWAGEN			199.22	199.22
35084	COMM	92867RAC3	VALET 0.91% MAT	Redemption	JPMorganChase	VOLKSWAGEN		208,492.42		208,492.42
35986	COMM	43813NAC0	HAROT 1.04% MAT	Interest	JPMorganChase	HONDA AUTO			2,697.15	2,697.15
35986	COMM	43813NAC0	HAROT 1.04% MAT	Redemption	JPMorganChase	HONDA AUTO		391,437.56		391,437.56
36274	COMM	05581QAD0	BMWLT 1.4% MAT	Interest	CREDIT SUISSE	BMW VEHICLE			25,149.91	25,149.91
36274	COMM	05581QAD0	BMWLT 1.4% MAT	Redemption	CREDIT SUISSE	BMW VEHICLE		4,255,598.99		4,255,598.99
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Interest	MERRILL LYNCH	BMW VEHICLE			14,566.38	14,566.38
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Redemption	MERRILL LYNCH	BMW VEHICLE		423,019.98		423,019.98
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Interest	MIZUHO	BMW VEHICLE			8,341.67	8,341.67
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Redemption	MIZUHO	BMW VEHICLE		276,534.11		276,534.11

Portfolio SCL2  
AP  
TA (PRF\_TA) 7.1.1  
Report Ver. 7.3.6.1

**SANTA CLARA COUNTY INVESTMENTS**  
Transaction Activity Report  
Sorted by Transaction Date - Transaction Date

Page 10

Investment #	Fund	CUSIP	Inv Descrip	Transaction Type	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	BANK OF AMERICA	HONDA AUTO			23,650.00	23,650.00
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	BANK OF AMERICA	HONDA AUTO		460,350.21		460,350.21
<b>Totals for 08/21/2017</b>										
							<b>149,995,541.67</b>	<b>156,015,433.27</b>	<b>115,224.92</b>	<b>6,135,116.52</b>
38012	COMM	36960LVP7	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
38011	COMM	36960LVN2	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36576	COMM	3136G2WX1	FEDERAL NATL MTG	Interest	INCAPITAL LLC	FNMA NOTES			96,875.00	96,875.00
37454	COMM	3134G3A91	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			140,000.00	140,000.00
<b>Totals for 08/22/2017</b>										
							<b>149,995,541.67</b>	<b>150,000,000.00</b>	<b>236,875.00</b>	<b>241,333.33</b>
38013	COMM	36960LVQ5	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
38012	COMM	36960LVP7	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36580	COMM	037833BN9	APPLE INC, SR	GLBL Interest	JP Morgan Chase	APPLE INC			32,500.00	32,500.00
<b>Totals for 08/23/2017</b>										
							<b>149,995,541.67</b>	<b>150,000,000.00</b>	<b>32,500.00</b>	<b>36,958.33</b>
38014	COMM	36960LVR3	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
38013	COMM	36960LVQ5	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36593	COMM	3134G8JT7	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			93,750.00	93,750.00
37817	COMM	3134GBPM8	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			75,000.00	75,000.00
<b>Totals for 08/24/2017</b>										
							<b>149,995,541.67</b>	<b>150,000,000.00</b>	<b>168,750.00</b>	<b>173,208.33</b>
38015	COMM	36960LVU6	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,986,625.01			-149,986,625.01
37133	COMM	13606A5U8	CIBC 1.24% MAT	Redemption	MIZUHO	CANADIAN IMP BK		60,000,000.00		60,000,000.00
37313	COMM	46640PVR1	JPMSCC ZERO CPN	Redemption	JP Morgan Chase	JP Morgan Securities		60,000,000.00		60,000,000.00
38014	COMM	36960LVR3	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37133	COMM	13606A5U8	CIBC 1.24% MAT	Interest	MIZUHO	CANADIAN IMP BK			558,000.00	558,000.00
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Interest	JP Morgan Chase	BMW VEHICLE			9,666.67	9,666.67
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Redemption	JP Morgan Chase	BMW VEHICLE		266,708.68		266,708.68
<b>Totals for 08/25/2017</b>										
							<b>149,986,625.01</b>	<b>270,266,708.68</b>	<b>567,666.67</b>	<b>120,847,750.34</b>
38016	COMM	3130ABZE9	FEDERAL HOME	Purchase	INCAPITAL LLC	FHLB NOTES	8,400,000.00			-8,400,000.00
38018	COMM	36960LVV4	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	74,997,770.83			-74,997,770.83
38017	COMM	36164JVV3	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	74,997,770.83			-74,997,770.83
35943	COMM	3135G0MZ3	FEDERAL NATL MTG	Redemption	BNP PARIBAS	FNMA NOTES		10,000,000.00		10,000,000.00
36143	COMM	3130A62S5	FHLB 0.75% MAT	Redemption	BARCLAYS CAPITAL	FHLB NOTES		10,000,000.00		10,000,000.00
36144	COMM	3130A62S5	FHLB 0.75% MAT	Redemption	DEUTSCHE BANK	FHLB NOTES		15,000,000.00		15,000,000.00
37767	COMM	313385KZ8	FHDN DISC NOTE	Redemption	MIZUHO	FHLB DISCOUNT		45,000,000.00		45,000,000.00
38015	COMM	36960LVU6	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
35447	COMM	3135G0VC4	FEDERAL NATL MTG	Interest	KEYBANC CAPITAL	FNMA NOTES			56,500.00	56,500.00
35454	COMM	3135G0VC4	FEDERAL NATL MTG	Interest	KEYBANC CAPITAL	FNMA NOTES			33,900.00	33,900.00
35943	COMM	3135G0MZ3	FEDERAL NATL MTG	Interest	BNP PARIBAS	FNMA NOTES			43,750.00	43,750.00
36143	COMM	3130A62S5	FHLB 0.75% MAT	Interest	BARCLAYS CAPITAL	FHLB NOTES			37,500.00	37,500.00
36144	COMM	3130A62S5	FHLB 0.75% MAT	Interest	DEUTSCHE BANK	FHLB NOTES			56,250.00	56,250.00

Portfolio SCL2  
AP  
TA (PRF\_TA) 7.1.1  
Report Ver. 7.3.6.1

SANTA CLARA COUNTY INVESTMENTS

Transaction Activity Report

Sorted by Transaction Date - Transaction Date

Page 11

Investment #	Fund	CUSIP	Inv Descrip	Transaction Type	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
36393	COMM	3134G74S7	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMC NOTES			25,000.00	25,000.00
36590	COMM	3134G8L98	FEDERAL HOME LN Interest	Interest	CITIGROUP GLOBAL	FHLMC NOTES			26,250.00	26,250.00
36591	COMM	3134G8L98	FEDERAL HOME LN Interest	Interest	FTN FINANCIAL	FHLMC NOTES			26,250.00	26,250.00
36592	COMM	3134G8L98	FEDERAL HOME LN Interest	Interest	DEUTSCHE BANK	FHLMC NOTES			26,250.00	26,250.00
36593	COMM	3134G8L98	FEDERAL HOME LN Interest	Interest	WELLS FARGO	FHLMC NOTES			26,250.00	26,250.00
37578	COMM	3134GA5T7	FHLMC 2.125% MAT Interest	Interest	INCAPITAL LLC	FHLMC NOTES			37,187.50	37,187.50
37579	COMM	3134GA5T7	FHLMC 2.125% MAT Interest	Interest	INCAPITAL LLC	FHLMC NOTES			212,500.00	212,500.00
37580	COMM	3134GA6W9	FEDERAL HOME LN Interest	Interest	INCAPITAL LLC	FHLMC NOTES			83,625.00	83,625.00
<b>Totals for 08/28/2017</b>							<b>158,395,541.66</b>	<b>230,000,000.00</b>	<b>691,212.50</b>	<b>72,295,670.84</b>
38019	COMM	36960LVW2	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
38017	COMM	36164JVV3	GE CAP DISC NOTE Redemption	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		75,000,000.00		75,000,000.00
38018	COMM	36960LVV4	GE DISC NOTE MAT Redemption	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		75,000,000.00		75,000,000.00
<b>Totals for 08/29/2017</b>							<b>149,995,541.67</b>	<b>150,000,000.00</b>		<b>4,458.33</b>
38021	COMM	313385LC8	FHDM DISC NOTE Purchase	Purchase	MIZUHO	FHDL DISCOUNT	149,996,250.00			-149,996,250.00
38020	COMM	36960LVX0	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
37121	COMM	89113WNA0	TORDOM 1.14% MAT Redemption	Redemption	MIZUHO	TORONTO		50,000,000.00		50,000,000.00
38019	COMM	36960LVW2	GE DISC NOTE MAT Redemption	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
37121	COMM	89113WNA0	TORDOM 1.14% MAT Interest	Interest	MIZUHO	TORONTO			446,500.00	446,500.00
<b>Totals for 08/30/2017</b>							<b>299,991,791.67</b>	<b>200,000,000.00</b>	<b>446,500.00</b>	<b>-99,545,291.67</b>
38023	COMM	36960LV19	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
38022	COMM	36164JW18	GE CAP DISC NOTE Purchase	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89			-49,998,513.89
37139	COMM	06427KHS9	BMOCHI 1.26% MAT Redemption	Redemption	BMO CAPITAL	BANK OF		50,000,000.00		50,000,000.00
37806	COMM	36164JW19	GE CAP DISC NOTE Redemption	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
38020	COMM	36960LVX0	GE DISC NOTE MAT Redemption	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
38021	COMM	313385LC8	FHDM DISC NOTE Redemption	Redemption	MIZUHO	FHDL DISCOUNT		150,000,000.00		150,000,000.00
37139	COMM	06427KHS9	BMOCHI 1.26% MAT Interest	Interest	BMO CAPITAL	BANK OF			481,250.00	481,250.00
<b>Totals for 08/31/2017</b>							<b>149,995,541.67</b>	<b>400,000,000.00</b>	<b>481,250.00</b>	<b>250,485,708.33</b>
38024	COMM	3133EHWM1	FEDERAL FARM CR Purchase	Purchase	INCAPITAL LLC	FFCB NOTES	3,006,120.00			-3,006,120.00
38025	COMM	3133EHWM1	FEDERAL FARM CR Purchase	Purchase	INCAPITAL LLC	FFCB NOTES	5,000,000.00			-5,000,000.00
38026	COMM	3133EHWM1	FEDERAL FARM CR Purchase	Purchase	INCAPITAL LLC	FFCB NOTES	7,015,610.00			-7,015,610.00
38027	COMM	36164JW59	GE CAP DISC NOTE Purchase	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,982,166.68			-149,982,166.68
38022	COMM	36164JW18	GE CAP DISC NOTE Redemption	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
38023	COMM	36960LV19	GE DISC NOTE MAT Redemption	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
37164	COMM	06417GQY7	BNS 1.25417% MAT Interest	Interest	ROYAL BANK OF	BANK OF NOVA			97,224.83	97,224.83
<b>Totals for 09/01/2017</b>							<b>165,003,896.68</b>	<b>150,000,000.00</b>	<b>97,224.83</b>	<b>-14,906,671.85</b>
38029	COMM	36960LV68	GE DISC NOTE MAT Purchase	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89			-49,998,513.89
38028	COMM	36164JW67	GE CAP DISC NOTE Purchase	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
38027	COMM	36164JW59	GE CAP DISC NOTE Redemption	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00

Portfolio SCL2

AP

TA (PRF\_TA) 7.1.1

Report Ver. 7.3.6.1

**SANTA CLARA COUNTY INVESTMENTS**  
Transaction Activity Report  
Sorted by Transaction Date - Transaction Date

Page 12

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
35694	COMM	166764AV2	CHEVRON CORP	Interest	BARCLAYS CAPITAL	CHEVRON CORP.			68,250.00	68,250.00
37170	COMM	89236TDL6	TOYO 0.19167% MAT	Interest	BANK OF AMERICA	TOYOTA MOTOR			187,585.44	187,585.44
37502	COMM	3133EHB00	FEDERAL FARM CR	Interest	INCAPITAL LLC	FICB NOTES			152,000.00	152,000.00
37503	COMM	166764BP4	CHEVRON CORP	Interest	CITIGROUP GLOBAL	CHEVRON CORP.			79,640.00	79,640.00
34292	COMM	SYS34292	MSTI 0.0%	Interest		MORGAN STANLEY			9.63	9.63
34292	COMM	SYS34292	MSTI 0.0%	Purchase	JPMorganChase	MORGAN STANLEY	9.63		-9.63	-9.63
37590	COMM	SYS37590	JPM TE 0.44%	Interest	JPMorganChase	JPM TE MMK FD			0.89	0.89
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	JPMorganChase	JPM TE MMK FD	0.89		-0.89	-0.89
<b>Totals for 09/05/2017</b>							<b>149,995,552.19</b>	<b>150,000,000.00</b>	<b>487,485.96</b>	<b>491,933.77</b>
38031	COMM	36960LW76	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89		-49,998,513.89	-49,998,513.89
38030	COMM	36164LW75	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78		-99,997,027.78	-99,997,027.78
38028	COMM	36164LW67	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
38029	COMM	36960LW68	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
35710	COMM	30231GAL6	EXXON MOBIL	Interest	JPMorganChase	EXXON MOBIL			326,250.00	326,250.00
35847	COMM	3135G0UJ5	FEDERAL NATL MTG	Interest	BREAN CAPITAL	LLCFNMA NOTES			61,250.00	61,250.00
35856	COMM	3135G0UJ5	FEDERAL NATL MTG	Interest	BREAN CAPITAL	LLCFNMA NOTES			127,610.00	127,610.00
<b>Totals for 09/06/2017</b>							<b>149,995,541.67</b>	<b>150,000,000.00</b>	<b>515,110.00</b>	<b>519,568.33</b>
38032	COMM	36960LW84	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67		-149,995,541.67	-149,995,541.67
38030	COMM	36164LW75	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
38031	COMM	36960LW76	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
<b>Totals for 09/07/2017</b>							<b>149,995,541.67</b>	<b>150,000,000.00</b>		<b>4,458.33</b>
38033	COMM	3130ACE26	FEDERAL HOME	Purchase	BARCLAYS CAPITAL	FHLB NOTES	21,929,380.00		-21,929,380.00	-21,929,380.00
38035	COMM	36960LW87	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,986,625.01		-149,986,625.01	-149,986,625.01
38032	COMM	36960LW84	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
35867	COMM	313378QK0	FEDERAL HOME	Interest	UBS FINANCE	FHLB NOTES			93,750.00	93,750.00
36707	COMM	313378M2	FEDERAL HOME	Interest	MERRILL LYNCH	FHLB NOTES			150,112.50	150,112.50
<b>Totals for 09/08/2017</b>							<b>171,916,005.01</b>	<b>150,000,000.00</b>	<b>243,862.50</b>	<b>-21,672,142.51</b>
38036	COMM	36960LW5	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67		-149,995,541.67	-149,995,541.67
38035	COMM	36960LW87	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
35822	COMM	313378A43	FEDERAL HOME	Interest	BREAN CAPITAL	LLCFHBLB NOTES			68,750.00	68,750.00
36053	COMM	313378A43	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			34,375.00	34,375.00
36059	COMM	313378A43	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			34,375.00	34,375.00
36735	COMM	313378A43	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			137,500.00	137,500.00
36993	COMM	313380WG8	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES			134,062.50	134,062.50
37022	COMM	313380WG8	FEDERAL HOME	Interest	FHLB NOTES	FHLB NOTES			82,500.00	82,500.00
37054	COMM	313380WG8	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			68,750.00	68,750.00
37072	COMM	313380WG8	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			95,287.50	95,287.50
37726	COMM	313378CR0	FHLB 2.25% MAT	Interest	KEYBANC CAPITAL	FHLB NOTES			281,250.00	281,250.00



SANTA CLARA COUNTY INVESTMENTS

Transaction Activity Report

Sorted by Transaction Date - Transaction Date

Investment #	Fund	CUSIP	Inv Descrip	Transaction Type	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37726	COMM	313378CR0	FHLB 2.25% MAT	Accr Int	KEYBANC CAPITAL	FHLB NOTES	149,995,541.67	59,375.00	-59,375.00	0.00
Totals for 09/11/2017							149,995,541.67	150,059,375.00	877,475.00	941,308.33
38037	COMM	36960LWD3	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
38036	COMM	36960LWC5	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
37711	COMM	313382K69	FEDERAL HOME Interest		KEYBANC CAPITAL	FHLB NOTES			226,275.00	226,275.00
37711	COMM	313382K69	FEDERAL HOME Accr Int		KEYBANC CAPITAL	FHLB NOTES		38,969.58	-38,969.58	0.00
Totals for 09/12/2017							149,995,541.67	150,038,969.58	187,305.42	230,733.33
38039	COMM	36960LWE1	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
38037	COMM	36960LWD3	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
35826	COMM	313383VN8	FEDERAL HOME Interest		WELLS FARGO	FHLB NOTES			94,600.00	94,600.00
35827	COMM	313383VN8	FEDERAL HOME Interest		KEYBANC CAPITAL	FHLB NOTES			251,550.00	251,550.00
36756	COMM	313378J77	FEDERAL HOME Interest		INCAPITAL LLC	FHLB NOTES			140,625.00	140,625.00
36760	COMM	313378J77	FEDERAL HOME Interest		INCAPITAL LLC	FHLB NOTES			56,250.00	56,250.00
36886	COMM	313378J77	FEDERAL HOME Interest		KEYBANC CAPITAL	FHLB NOTES			140,625.00	140,625.00
37207	COMM	3130A8BJ1	FHLBVR 0.855% MAT Interest		DEUTSCHE BANK	FHLB VARIABLE			46,000.00	46,000.00
37778	COMM	313378J77	FEDERAL HOME Interest		KEYBANC CAPITAL	FHLB NOTES			131,250.00	131,250.00
37778	COMM	313378J77	FEDERAL HOME Accr Int		KEYBANC CAPITAL	FHLB NOTES		32,812.50	-32,812.50	0.00
Totals for 09/13/2017							149,995,541.67	150,032,812.50	828,087.50	865,358.33
38040	COMM	36960LWF8	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
38039	COMM	36960LWE1	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
36228	COMM	3130A8AE7	FEDERAL HOME Interest		KEYBANC CAPITAL	FHLB NOTES			28,125.00	28,125.00
36440	COMM	3133EFSG3	FEDERAL FARM CR Interest		Daiwa Capital Market	FFCB NOTES			110,000.00	110,000.00
36441	COMM	3133EFSG3	FEDERAL FARM CR Interest		WELLS FARGO	FFCB NOTES			82,500.00	82,500.00
36508	COMM	313375K48	FEDERAL HOME Interest		BREAN CAPITAL LLC	FHLB NOTES			200,000.00	200,000.00
37626	COMM	3130A8AE7	FEDERAL HOME Interest		INCAPITAL LLC	FHLB NOTES			112,500.00	112,500.00
37626	COMM	3130A8AE7	FEDERAL HOME Accr Int		INCAPITAL LLC	FHLB NOTES		10,625.00	-10,625.00	0.00
Totals for 09/14/2017							149,995,541.67	150,010,625.00	522,500.00	537,583.33
38041	COMM	36960LWJ0	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,986,625.01			-149,986,625.01
38040	COMM	36960LWF8	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
36620	COMM	084664CG4	BERKSHIRE Interest		JP Morgan Chase	BERKSHIRE			42,500.00	42,500.00
36753	COMM	89231UAD9	TOYO 1.3% MAT Interest		CITIGROUP GLOBAL	TOYOTA AUTO REC			4,333.33	4,333.33
36896	COMM	3130A7L37	FEDERAL HOME Interest		WELLS FARGO	FHLB NOTES			31,250.00	31,250.00
35726	COMM	47787UAD5	JDOT 1.32% MAT Interest		CITIGROUP GLOBAL	JOHN DEERE			3,584.77	3,584.77
35726	COMM	47787UAD5	JDOT 1.32% MAT Redemption		CITIGROUP GLOBAL	JOHN DEERE	335,830.61			335,830.61
35953	COMM	161571GY4	CHAIR 1.36% MAT Interest		JP Morgan Chase	CHASE ISSUANCE			28,333.33	28,333.33
35953	COMM	161571GY4	CHAIR 1.36% MAT Redemption		JP Morgan Chase	CHASE ISSUANCE	883,551.09			883,551.09
36216	COMM	47787WAC3	JDOT 1.44% MAT Interest		ROYAL BANK OF	JOHN DEERE			5,656.89	5,656.89
36216	COMM	47787WAC3	JDOT 1.44% MAT Redemption		ROYAL BANK OF	JOHN DEERE	329,695.87			329,695.87

Portfolio SCL2

AP

TA (PRF\_TA) 7.1.1

Report Ver. 7.3.6.1

**SANTA CLARA COUNTY INVESTMENTS**  
Transaction Activity Report  
Sorted by Transaction Date - Transaction Date

Page 14

Investment #	Fund	CUSIP	Inv Descip	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
36557	COMM	65478UAD1	NALT 1.34% MAT	Interest	MERRILL LYNCH	NISSAN AUTO			6,700.00	6,700.00
36557	COMM	65478UAD1	NALT 1.34% MAT	Redemption	MERRILL LYNCH	NISSAN AUTO		173,457.83		173,457.83
36600	COMM	47788MAC4	JDOT 1.36% MAT	Interest	MERRILL LYNCH	JOHN DEERE			11,900.00	11,900.00
36600	COMM	47788MAC4	JDOT 1.36% MAT	Redemption	MERRILL LYNCH	JOHN DEERE		353,389.72		353,389.72
36601	COMM	89237KAD5	TAOT 1.25% MAT	Interest	MERRILL LYNCH	TOYOTA AUTO REC			15,625.00	15,625.00
36601	COMM	89237KAD5	TAOT 1.25% MAT	Redemption	MERRILL LYNCH	TOYOTA AUTO REC		505,549.30		505,549.30
36623	COMM	58768FAD8	MBALT 1.52% MAT	Interest	BARCLAYS CAPITAL	MERCEDES-BENZ			29,133.33	29,133.33
36623	COMM	58768FAD8	MBALT 1.52% MAT	Redemption	BARCLAYS CAPITAL	MERCEDES-BENZ		1,263,115.51		1,263,115.51
36800	COMM	43814QAC2	HAROT 1.39% MAT	Interest	MERRILL LYNCH	HONDA AUTO			13,900.00	13,900.00
36800	COMM	43814QAC2	HAROT 1.39% MAT	Redemption	MERRILL LYNCH	HONDA AUTO		399,784.59		399,784.59
36905	COMM	47788NAC2	JDOT 1.25% MAT	Interest	ROYAL BANK OF	JOHN DEERE			9,114.58	9,114.58
36905	COMM	47788NAC2	JDOT 1.25% MAT	Redemption	ROYAL BANK OF	JOHN DEERE		271,568.29		271,568.29
36929	COMM	161571HF4	CHAIT 1.27% MAT	Interest	JP MorganChase	CHASE ISSUANCE			24,341.67	24,341.67
36929	COMM	161571HF4	CHAIT 1.27% MAT	Redemption	JP MorganChase	CHASE ISSUANCE		526,795.55		526,795.55
36956	COMM	58769BAD6	MBART 1.26% MAT	Interest	CITIGROUP GLOBAL	MERCEDES-BENZ			12,600.00	12,600.00
36956	COMM	58769BAD6	MBART 1.26% MAT	Redemption	CITIGROUP GLOBAL	MERCEDES-BENZ		303,276.22		303,276.22
37035	COMM	89231LAD9	TAOT 1.23% MAT	Interest	JP MorganChase	TOYOTA AUTO REC			14,350.00	14,350.00
37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	JP MorganChase	TOYOTA AUTO REC		386,692.64		386,692.64
37065	COMM	58768MAC5	MBALT 1.35% MAT	Interest	BANK OF AMERICA	MERCEDES-BENZ			5,625.00	5,625.00
37065	COMM	58768MAC5	MBALT 1.35% MAT	Redemption	BANK OF AMERICA	MERCEDES-BENZ		214,529.49		214,529.49
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	BANK OF AMERICA	JOHN DEERE			12,608.33	12,608.33
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	BANK OF AMERICA	JOHN DEERE		195,420.57		195,420.57
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	BANK OF AMERICA	TOYOTA AUTO REC			14,416.67	14,416.67
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	BANK OF AMERICA	TOYOTA AUTO REC		235,392.69		235,392.69
37862	COMM	02582JHG8	AME 1.64% MAT	Interest	ROYAL BANK OF	AMERICAN			46,466.67	46,466.67
37862	COMM	02582JHG8	AME 1.64% MAT	Redemption	ROYAL BANK OF	AMERICAN		653,557.53		653,557.53
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	CITIGROUP GLOBAL	HONDA AUTO			39,200.00	39,200.00
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	CITIGROUP GLOBAL	HONDA AUTO		566,165.08		566,165.08
<b>Totals for 09/15/2017</b>							<b>149,986,625.01</b>	<b>157,597,772.58</b>	<b>371,639.57</b>	<b>7,982,787.14</b>
38043	COMM	36960LWK7	GE DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC				
38042	COMM	36164JWK6	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC				
38041	COMM	36960LWJ0	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC				
35814	COMM	31350Y0Y9	FEDERAL NATL MTG Interest	Interest	BMO CAPITAL	FNMA NOTES		150,000,000.00		150,000,000.00
37509	COMM	3136G4MD2	FEDERAL NATL MTG Interest	Interest	INCAPITAL LLC	FNMA NOTES			234,375.00	234,375.00
37548	COMM	3130AAXX1	FHLB 1.4918% MAT	Interest	BANK OF AMERICA	FHLB NOTES			27,750.00	27,750.00
37549	COMM	3130AAXX1	FHLB 1.4918% MAT	Interest	DEUTSCHE BANK	FHLB NOTES			143,611.11	143,611.11
37614	COMM	3130AAXX1	FHLB 1.4918% MAT	Interest	JEFFERIES & CO,	FHLB NOTES			143,611.11	143,611.11
37614	COMM	3130AAXX1	FHLB 1.4918% MAT	Interest	JEFFERIES & CO,	FHLB NOTES			179,513.89	179,513.89
37614	COMM	3130AAXX1	FHLB 1.4918% MAT	Accr Int	JEFFERIES & CO,	FHLB NOTES			-17,187.50	0.00
36587	COMM	43814NAC9	HAROT 1.22% MAT	Interest	JP MorganChase	HONDA AUTO			14,272.69	14,272.69
36587	COMM	43814NAC9	HAROT 1.22% MAT	Redemption	JP MorganChase	HONDA AUTO		276,860.04		276,860.04

Portfolio SCL2  
AP  
TA (PRF\_TA) 7.1.1  
Report Ver. 7.3.6.1

**SANTA CLARA COUNTY INVESTMENTS**  
Transaction Activity Report  
Sorted by Transaction Date - Transaction Date

Page 15

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	BARCLAYS CAPITAL	HONDA AUTO			11,595.83	11,595.83
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	BARCLAYS CAPITAL	HONDA AUTO		302,643.21		302,643.21
<b>Totals for 09/18/2017</b>							<b>149,995,541.67</b>	<b>150,596,690.75</b>	<b>737,542.13</b>	<b>1,338,691.21</b>
38045	COMM	36960LWL5	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
38044	COMM	36164JWL4	GE CAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89			-49,998,513.89
38042	COMM	36164JWK6	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
38043	COMM	36960LWK7	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
36407	COMM	3130A4PA4	FEDERAL HOME	Interest	KEYBANC CAPITAL	FHLB NOTES			55,000.00	55,000.00
37656	COMM	3130A7CX1	FEDERAL HOME	Interest	MIZUHO	FHLB NOTES			109,375.00	109,375.00
37656	COMM	3130A7CX1	FEDERAL HOME	Accr Int	MIZUHO	FHLB NOTES		9,722.22	-9,722.22	0.00
<b>Totals for 09/19/2017</b>							<b>149,995,541.67</b>	<b>150,009,722.22</b>	<b>154,652.78</b>	<b>168,833.33</b>
38046	COMM	3133EHZA4	FEDERAL FARM CR	Purchase	INCAPITAL LLC	FFCB NOTES	9,969,300.00			-9,969,300.00
38047	COMM	36960LWM3	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
35750	COMM	3135G0PP2	FEDERAL NATL MTG	Redemption	KEYBANC CAPITAL	FNMA NOTES		16,365,000.00		16,365,000.00
38044	COMM	36164JWL4	GE CAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
38045	COMM	36960LWL5	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
35750	COMM	3135G0PP2	FEDERAL NATL MTG	Interest	KEYBANC CAPITAL	FNMA NOTES			81,825.00	81,825.00
37265	COMM	3133EGX67	FFCB 0.859% MAT	Interest	INCAPITAL LLC	FFCB NOTES			40,704.38	40,704.38
37566	COMM	3133EHXC9	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			97,693.75	97,693.75
37574	COMM	3133EHXC9	FEDERAL FARM CR	Interest	KEYBANC CAPITAL	FFCB NOTES			181,250.00	181,250.00
36274	COMM	05581QAD0	BMWLT 1.4% MAT	Interest	CREDIT SUISSE	BMW VEHICLE		4,201,286.05		4,201,286.05
36274	COMM	05581QAD0	BMWLT 1.4% MAT	Redemption	MERRILL LYNCH	BMW VEHICLE			14,156.95	14,156.95
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Interest	MERRILL LYNCH	BMW VEHICLE		959,102.91		959,102.91
36569	COMM	05581RAD8	BMWLT 1.34% MAT	Redemption	MERRILL LYNCH	BMW VEHICLE			8,341.67	8,341.67
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Interest	MIZUHO	BMW VEHICLE		288,431.90		288,431.90
37036	COMM	05582XAD4	BMWLT 1.43% MAT	Redemption	MIZUHO	BMW VEHICLE				
<b>Totals for 09/20/2017</b>							<b>159,964,841.67</b>	<b>171,813,820.86</b>	<b>444,155.77</b>	<b>12,293,134.96</b>
38048	COMM	36960LWN1	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
38047	COMM	36960LWM3	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
35986	COMM	43813NAC0	HAROT 1.04% MAT	Interest	JP Morgan Chase	HONDA AUTO			2,357.45	2,357.45
35986	COMM	43813NAC0	HAROT 1.04% MAT	Redemption	JP Morgan Chase	HONDA AUTO		388,831.34		388,831.34
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	BANK OF AMERICA	HONDA AUTO			23,650.00	23,650.00
37615	COMM	43814TAC6	HAROT 1.72% MAT	Redemption	BANK OF AMERICA	HONDA AUTO		476,140.68		476,140.68
<b>Totals for 09/21/2017</b>							<b>149,995,541.67</b>	<b>150,864,972.02</b>	<b>26,007.45</b>	<b>895,437.80</b>
38049	COMM	36960LWR2	GE DISC NOTE MAT	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	149,986,625.01			-149,986,625.01
38048	COMM	36960LWN1	GE DISC NOTE MAT	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
<b>Totals for 09/22/2017</b>							<b>149,986,625.01</b>	<b>150,000,000.00</b>		<b>13,374.99</b>

**SANTA CLARA COUNTY INVESTMENTS**  
**Transaction Activity Report**  
 Sorted by Transaction Date - Transaction Date

Page 16

Investment #	Fund	CUSIP	Inv Descrip	Transaction Type	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
38051	COMM	36960LWS0	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89			-49,998,513.89
38050	COMM	36164JWS9	GE CAP DISC NOTE Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
35678	COMM	3133EEQX1	FEDERAL FARM CR Redemption		BNP PARIBAS	FFCB NOTES		10,000,000.00		10,000,000.00
35683	COMM	3133EEQX1	FEDERAL FARM CR Redemption		KEYBANC CAPITAL	FFCB NOTES		15,000,000.00		15,000,000.00
35757	COMM	3133EEQX1	FEDERAL FARM CR Redemption		BNP PARIBAS	FFCB NOTES		20,000,000.00		20,000,000.00
35872	COMM	3133EEQX1	FEDERAL FARM CR Redemption		Daiwa Capital Market	FFCB NOTES		10,000,000.00		10,000,000.00
38049	COMM	36960LWR2	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC	150,000,000.00			150,000,000.00
35678	COMM	3133EEQX1	FEDERAL FARM CR Interest		BNP PARIBAS	FFCB NOTES			50,000.00	50,000.00
35683	COMM	3133EEQX1	FEDERAL FARM CR Interest		KEYBANC CAPITAL	FFCB NOTES			75,000.00	75,000.00
35757	COMM	3133EEQX1	FEDERAL FARM CR Interest		BNP PARIBAS	FFCB NOTES			100,000.00	100,000.00
35872	COMM	3133EEQX1	FEDERAL FARM CR Interest		Daiwa Capital Market	FFCB NOTES			50,000.00	50,000.00
36966	COMM	48125LRG9	JPMCC 1.65% MAT Interest		JPMorganChase	JPMORGAN			103,125.00	103,125.00
37344	COMM	3134G3K90	FEDERAL HOME LN Interest		INCAPITAL LLC	FHLMC NOTES			85,000.00	85,000.00
36893	COMM	05582QAD9	BMWOT 1.16% MAT Interest		JPMorganChase	BMW VEHICLE			9,666.67	9,666.67
36893	COMM	05582QAD9	BMWOT 1.16% MAT Redemption		JPMorganChase	BMW VEHICLE		274,773.15		274,773.15
<b>Totals for 09/25/2017</b>							<b>149,995,541.67</b>	<b>205,274,773.15</b>	<b>472,791.67</b>	<b>55,752,023.15</b>
38052	COMM	36960LWT8	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
38050	COMM	36164JWS9	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
38051	COMM	36960LWS0	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
<b>Totals for 09/26/2017</b>							<b>149,995,541.67</b>	<b>150,000,000.00</b>		<b>4,458.33</b>
38054	COMM	36960LWU5	GE DISC NOTE MAT Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	99,997,027.78			-99,997,027.78
38053	COMM	36164JWU4	GE CAP DISC NOTE Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	49,998,513.89			-49,998,513.89
35755	COMM	3134G3M31	FEDERAL HOME LN Redemption		MERRILL LYNCH	FHLMC NOTES		20,000,000.00		20,000,000.00
38052	COMM	36960LWT8	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
35755	COMM	3134G3M31	FEDERAL HOME LN Interest		MERRILL LYNCH	FHLMC NOTES			100,000.00	100,000.00
37103	COMM	3135G0Q30	FEDERAL NATL MTG Interest		INCAPITAL LLC	FNMA NOTES			177,000.00	177,000.00
37305	COMM	3133EGD6	FEDERAL FARM CR Interest		KEYBANC CAPITAL	FFCB NOTES			193,750.00	193,750.00
<b>Totals for 09/27/2017</b>							<b>149,995,541.67</b>	<b>170,000,000.00</b>	<b>470,750.00</b>	<b>20,475,208.33</b>
38055	COMM	3134GBF64	FEDERAL HOME LN Purchase		INCAPITAL LLC	FHLMC NOTES	6,000,000.00			-6,000,000.00
38056	COMM	36164JWU2	GE CAP DISC NOTE Purchase		GENERAL ELECTRIC	GENERAL ELECTRIC	149,995,541.67			-149,995,541.67
35892	COMM	3133ECBX1	FEDERAL FARM CR Redemption		PIPER JAFFRAY &	FFCB NOTES		5,000,000.00		5,000,000.00
37632	COMM	46640PWJ3	JPMSCC DISC NOTE Redemption		JPMorganChase	JP Morgan Securities		30,000,000.00		30,000,000.00
38053	COMM	36164JWU4	GE CAP DISC NOTE Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC		50,000,000.00		50,000,000.00
38054	COMM	36960LWU5	GE DISC NOTE MAT Redemption		GENERAL ELECTRIC	GENERAL ELECTRIC		100,000,000.00		100,000,000.00
35892	COMM	3133ECBX1	FEDERAL FARM CR Interest		PIPER JAFFRAY &	FFCB NOTES			20,500.00	20,500.00
38033	COMM	3130ACE26	FEDERAL HOME Interest		BARCLAYS CAPITAL	FHLB NOTES			16,805.56	16,805.56
<b>Totals for 09/28/2017</b>							<b>155,995,541.67</b>	<b>185,000,000.00</b>	<b>37,305.56</b>	<b>29,041,763.89</b>
38057	COMM	3137EAEJ4	FEDERAL HOME LN Purchase		KEYBANC CAPITAL	FHLMC NOTES	14,972,850.00			-14,972,850.00

Run Date: 10/25/2017 - 11:15

Portfolio SCL2  
 AP  
 TA (PRF\_TA) 7.1.1  
 Report Ver. 7.3.6.1

SANTA CLARA COUNTY INVESTMENTS

Transaction Activity Report

Page 17

Sorted by Transaction Date - Transaction Date

Investment #	Fund	CUSIP	Inv Descip	Transaction Type	Dealer	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
38058	COMM	313589ML4	FNDN DISC NOTE	Purchase	MIZUHO	FANNIE MAE	149,987,749.50			-149,987,749.50
38059	COMM	36164JX25	GECAP DISC NOTE	Purchase	GENERAL ELECTRIC	GENERAL ELECTRIC	49,995,541.67			-49,995,541.67
38056	COMM	36164JWW/2	GECAP DISC NOTE	Redemption	GENERAL ELECTRIC	GENERAL ELECTRIC		150,000,000.00		150,000,000.00
36641	COMM	3130A7HM0	FEDERAL HOME	Interest	INCAPITAL LLC	FHLB NOTES			125,000.00	125,000.00
36644	COMM	3134G8TV1	FHLMC 1.22% MAT	Interest	INCAPITAL LLC	FHLMC NOTES			42,700.00	42,700.00
37018	COMM	3133EGWH4	FEDERAL FARM CR	Interest	INCAPITAL LLC	FFCB NOTES			64,000.00	64,000.00
37518	COMM	3134GBBM3	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			250,000.00	250,000.00
Totals for 09/29/2017							214,956,141.17	150,000,000.00	481,700.00	-64,474,441.17
36648	COMM	3134G8S83	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			150,000.00	150,000.00
36649	COMM	3134G8PD5	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			135,000.00	135,000.00
36650	COMM	3134G8PD5	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			25,076.25	25,076.25
36651	COMM	3134G8PD5	FEDERAL HOME LN	Interest	INCAPITAL LLC	FHLMC NOTES			43,031.25	43,031.25
36653	COMM	459058FA6	IBRD 1.375% MAT	Interest	MERRILL LYNCH	INTL BANK RECON &			137,600.00	137,600.00
36654	COMM	3134G8PD5	FEDERAL HOME LN	Interest	SUNTRUST	FHLMC NOTES			84,375.00	84,375.00
36880	COMM	3136G3SY2	FEDERAL NATL MTG	Interest	INCAPITAL LLC	FNMA NOTES			46,875.00	46,875.00
37017	COMM	3136G4BV4	FEDERAL NATL MTG	Interest	BREAN CAPITAL LLC	FNMA NOTES			145,000.00	145,000.00
Totals for 10/02/2017									766,957.50	766,957.50
Grand Total							9,631,740,386.7	10,815,251,738.	18,714,278.00	1,202,225,630.1



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

12.11

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

Board of Trustees:

Date: December 1, 2017

Subject: **Amendment of Land Use Agreement with Santa Clara County Office of Education (SCCOE) at Lyndale Elementary School**

Staff Analysis: The District and SCCOE currently has an existing land use agreement at Lyndale Elementary School for the SCCOE's Head Start Program. SCCOE desires to add one portable office for the purpose of improving parent engagement and community services at the Lyndale Head Start site.

Recommendation: Staff recommends the Board of Trustees approve the amendment of the Land Use Agreement to allow SCCOE to add one portable office at the Lyndale School site.

Approved by: Kolvira Chheng



Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Meeting: December 14, 2017

Regular Board Meeting

Recommended Approval

12.11

Agenda Placement



Hilaria Bauer, Ph.D. Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved \_\_\_\_\_ Not Approved \_\_\_\_\_ Tabled \_\_\_\_\_

Initiator: \_\_\_\_\_  
 Manager: \_\_\_\_\_  
 Director: \_\_\_\_\_  
 Chief: \_\_\_\_\_

**LAND USE AGREEMENT**  
**Lyndale School**  
**13901 Nordyke Drive, San Jose, CA 95127**

This is an agreement Agreement between the Santa Clara County Office of Education (herein after referred to as "County Office of Education" and the Alum Rock School District (hereinafter referred to as "Owner"), to provide certain land on which portable classrooms belonging to the County Office of Education will be placed.

IT IS AGREED between the parties as follows:

1. Land to be Provided - Owner shall provide the land described below during the term of this agreement:

Description: Approximately 1,800 sq. ft. on Lyndale School grounds in San Jose.

Address: Lyndale School, 13901 Nordyke Drive, San Jose, CA 95127

2. Access to Land - The County Office of Education, it's officers, agents, employees licensees, and invitees and pupils in the Head Start Program and their parents or guardians, shall have all right of ingress and egress to and from the above land over such other portions of Owner's property at the location as deemed necessary for the use of the land. Such right of access shall also include keys, parking stickers and other necessary items.

3. Repairs and Maintenance - The County Office of Education shall be responsible for all repairs to portable building that will be used for Head Start classrooms.

4. Utilities - County Office of Education shall pay for it's share or usage of water, electricity, light, heat, and normal janitorial services and refuse removal; this cost is not be included in the rental fee provided below. The owner will invoice the County Office of Education once a year, no later than April 30<sup>th</sup> of each calendar year.

5. Rent - County Office of Education shall pay the Owner the sum of \$12.00 per year, as the rent for the use of the land as set forth above.

6. Billing - The above rental payment shall be made in September to District on receipt of Owner's standard invoice.

7. Damage to Premises - County Office of Education shall pay Owner for the repair or replacement of any property or facilities of Owner which may be lost, damaged or stolen as a result of the County Office of Education's use of Owner's premises.

8. Indemnification - County Office of Education and Owner shall mutually indemnify, hold harmless, and defend each other from any claim or damages arising from the respective acts, omissions, or errors of their respective officers, agents, or employees. It is the intention of the parties hereto that each party shall bear the financial liability, if any, attributable to their respective officers, agents, and employees.

County Office of Education agrees to furnish upon request, a certificate of insurance to the Owner, providing evidence of the above liability insurance. The certificate of insurance shall contain a provision that the Owner shall be given written notice 30 calendar days in advance of cancellation or any material change in this liability insurance policy.

9. Insurance - During the entire term of this Agreement and any extension thereof, Owner shall maintain in effect a policy of property insurance covering the land which is leased by the County Office of Education, providing coverage for the perils of fire, vandalism and malicious mischief, windstorm, flooding and other perils, as appropriate, in an amount representing the current replacement value of subject property. Owner agrees to provide County Office of Education upon request, with evidence of such insurance coverage.

During the entire term of this Agreement, and any extension thereof, County Office of Education shall maintain in effect a policy of comprehensive general liability insurance, including coverage of owned and unowned automobiles, providing a minimum combined single limit coverage of \$1 million for the defense of lawsuits and the payment of damages arising from bodily injury, defense of lawsuits and the payment of damages arising from bodily injury, sickness or disease, and death to any person, and property loss, damage, and destruction for each accident or occurrence, covering the Santa Clara County Office of Education, its officers, employees, and agents and the Santa Clara County Board of Education. County Office of Education agrees to furnish upon request a certificate of insurance to the Owner, providing evidence of the above liability insurance. The certificate of insurance shall contain a provision that Owners shall be given written notice 30 calendar days in advance of cancellation or any material change in this liability insurance policy.

10. Assignments and Subcontracts - This agreement, or any part thereof, may not be assigned or subcontracted by either party hereto, except with the written consent of the other party. Any assignment or subcontract made without such consent shall be void, and shall, at the option of the aggrieved party, terminate the agreement.

11. Term - The term of this agreement shall commence on May 1, 2014 and shall terminate on April 30, 2019 subject to continuing availability of Head Start funds for the purposes defined herein.

12. Elective Termination -- Either County Office of Education or Alum Rock Elementary School District may terminate this agreement by giving the other party, not less than sixty (60) days prior notice of termination.

13. Healthy School Act of 2000 -- County Office of Education certifies that it is in compliance with California's Healthy School Act of 2000 (AB 2260) as they relate to the use of pesticides. Owner shall provide adequate notices to the use of pesticides. Owner shall provide adequate notices to County Office of Education as required. Owner will indemnify and hold harmless the County Office of Education from all damages, penalties, and consequences imposed upon it for any failure of the Owner to comply with such provisions.

Notices to Owner shall be addressed to:

Alum Rock Union School District  
2930 Gay Avenue  
San Jose, CA 95127

By: *Linda Latasa*  
Linda Latasa,  
Assistant Superintendent,  
Business Services

Date: 4/24/14

Notices to Tenant shall be addressed to:

Ana M. Trujillo, Director  
Head Start Program  
Santa Clara County Office of Education  
1290 Ridder Park Drive, MC #225  
San Jose, CA 95110-1374

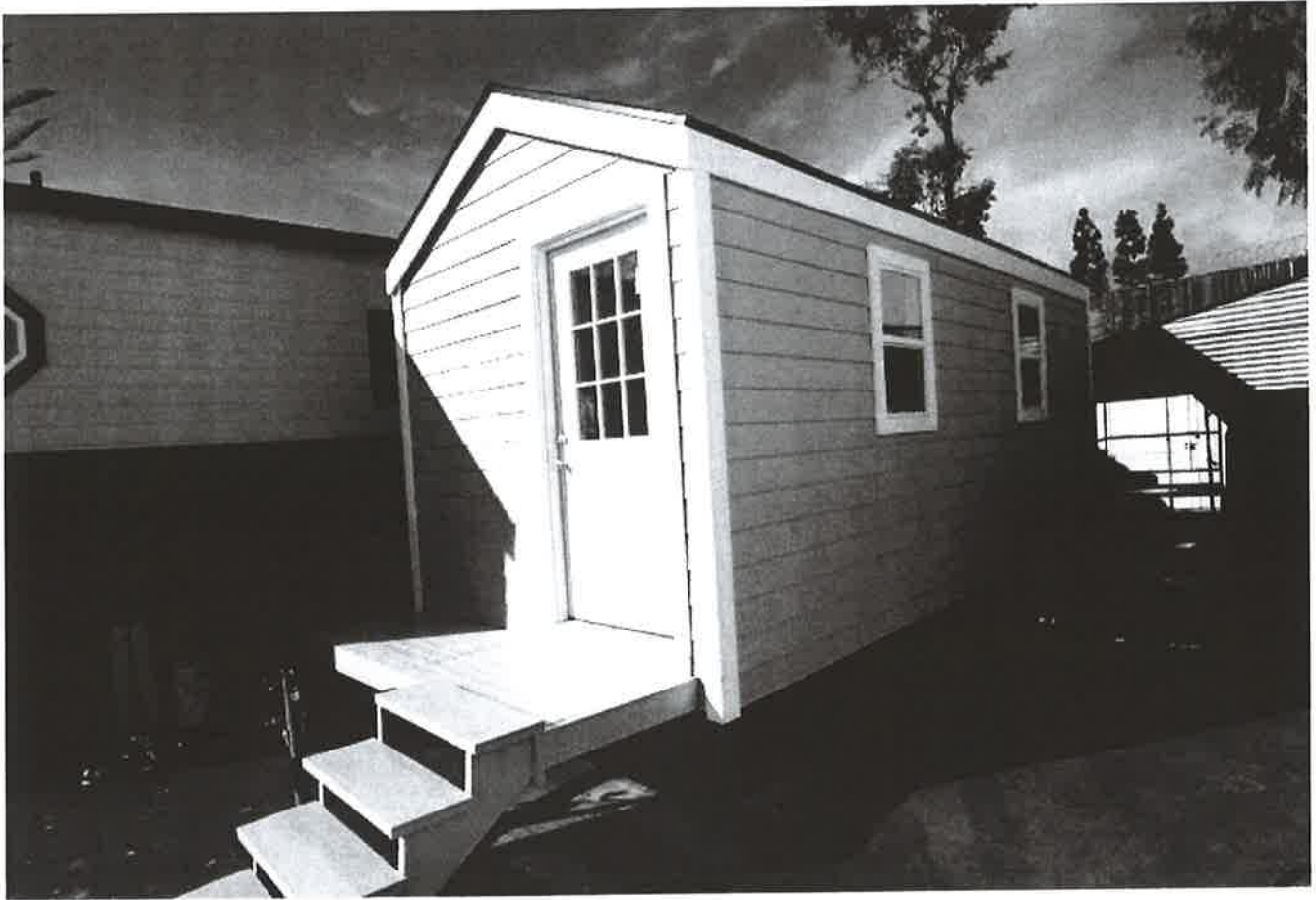
By: *Mary Ann Dewan*  
Mary Ann Dewan, Ph.D.,  
Chief Schools Officer

Date: 5/6/14

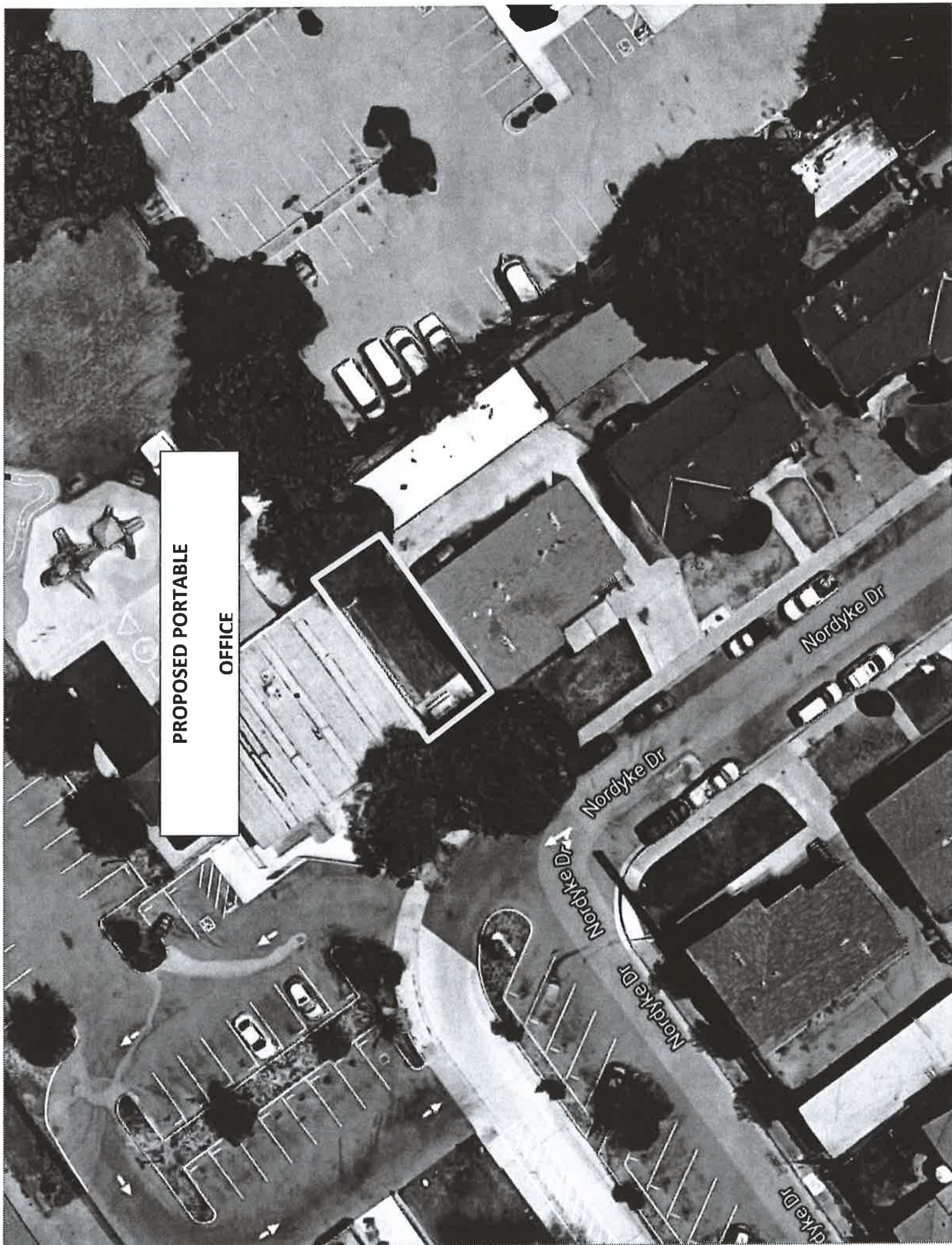
SCCOE USE ONLY:	
Initiator:	<u><i>AMT/AP</i></u>
Manager:	<u>                    </u>
Director:	<u><i>[Signature]</i></u>
Chief:	<u><i>[Signature]</i></u>

5/8/14  
Risk Management  
Reviewer: *K Eastman*  
Rm# RM14-19-05081  
05001





**PROPOSED PORTABLE  
OFFICE**



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San Jose, CA 95127

12.12

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES**

To the Board of Trustees:

Subject: Resolution #17-17/18 Inventory Disposal

**Staff Analysis:**

Authorize the sale, donation, and/or disposal of surplus property under the direction of the Procurement Manager, Assistant Superintendent of Business Services and the Superintendent.

**Recommendation:**

Staff recommends approval of the sale, donation and/or disposal, of Surplus Property listed on the attached sheets dated December 14, 2017.

Prepared by: Maria Martinez  Title: Procurement Manager

Approved by: Kolvira Chheng  Title: Assistant Superintendent of Business Services

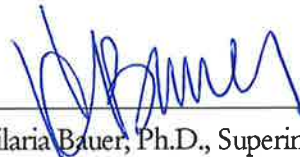
To the Board of Trustees:

Meeting: December 14, 2017

Recommend Approval

12.12

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
OF  
SANTA CLARA COUNTY, STATE OF CALIFORNIA**

**RESOLUTION # 17-17/18  
SALE, DONATION -AND/OR DISPOSAL OF SURPLUS PROPERTY**

**WHEREAS**, Education Code 39520 authorizes the sale for cash of any property belonging to the District if the property is not required for school purposes, or it should be disposed for the purpose of replacement, or it is unsatisfactory or not suitable for school use.

**WHEREAS**, Education Code 39520 and 39521 provides procedures to implement such a sale, public or private, conducted by staff;

**WHEREAS**, Education Code 39521 provides for donations to charitable organizations or disposal if property is of insufficient value to defray costs of arranging a sale;

**NOW, THEREFORE, BE IT RESOLVED**, that this Board does hereby authorize such a sale, donation, and/or disposal of surplus property, under the direction of Assistant Superintendent of Business Services, Administrator of Fiscal Services and /or Superintendent.

**PASSED AND ADOPTED** this 14<sup>th</sup> day of December, 2017, by the Board of Trustees of the Alum Rock Union Elementary School District, County of Santa Clara, and State of California.

Ayes \_\_\_\_\_

Noes \_\_\_\_\_

Absent \_\_\_\_\_

Abstain \_\_\_\_\_

**Board of Trustees:**

Andrés Quintero, President

\_\_\_\_\_

Karen Martinez, Vice President

\_\_\_\_\_

Dolores Márquez-Frausto, Clerk

\_\_\_\_\_

Khanh Tran, Member

\_\_\_\_\_

Esau Ruiz Herrera, Member

\_\_\_\_\_

**I HEREBY CERTIFY** that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on December 14, 2017.

\_\_\_\_\_  
Hilaria Bauer, Ph.D., Superintendent

**SURPLUS FURNITURE EQUIPMENT DISPOSAL**

**DATE 14, 2017**

**Resolution # 17-17/18**

<b>Description</b>	<b>ARUSD #</b>	<b>Serial #</b>	<b>LOCATION</b>	<b>Department</b>
DESKTOP DELL GX520	11437	3WWDB81	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	11448	JVWDB81	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	11441	2WWDB81	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	11428	1SWDB81	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	11551	DSQ6Q91	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	11446	7WWDB81	RUSO/MCENEE	ITSS
DESKTOP DELL GX270	00013334	6ZNC1F1	RUSO/MCENEE	ITSS
DESKTOP DELL 745	10314	3JZR251	RUSO/MCENEE	ITSS
LAPTOP DELL D510	09963	H7NZP91	RUSO/MCENEE	ITSS
LAPTOP DELL D510	09971	65NZP91	RUSO/MCENEE	ITSS
LAPTOP DELL D510	10726	5CXK61	RUSO/MCENEE	ITSS
LAPTOP DELL D505	10594	6GC3V61	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	11439	5WWDB81	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	11560	2TQ6Q91	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	11431	GSWDB81	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	NA	CTQ6Q91	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	11438	4WWDB81	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	11432	CSWDB81	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013307	3KTC1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00012699	5FM7ZC1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013309	2NLB1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013322	COPC1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	NA	JOPC1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013295	JJTC1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013326	HOPC1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00012698	5DM7ZC1	RUSO/MCENEE	ITSS
DESKTOP DELL GX270	NA	FHZR251	RUSO/MCENEE	ITSS
DESKTOP DELL GX270	NA	7GZR251	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013324	FOPC1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013270	38VB1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013297	DHTC1F1	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	11557	DRQ6Q91	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	11447	8SWDB81	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	11559	7TQ6Q91	RUSO/MCENEE	ITSS
DESKTOP DELL GX520	11435	DSWDB81	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013306	2JTC1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013316	5NLB1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013320	JNLB1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013276	28VB1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013331	61PC1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013313	FNLB1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	NA	3ZNC1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013333	4ZNC1F1	RUSO/MCENEE	ITSS
DESKTOP DELL GX270	10310	GHZR251	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013332	DOPC1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013330	51PC1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013286	J8KC1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013293	4HTC1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013301	GGTC1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013315	9NLB1F1	RUSO/MCENEE	ITSS
DESKTOP DEL 745	00013321	HNLB1F1	RUSO/MCENEE	ITSS



DESKTOP DEL 745	00013261	1TR61F1	RUSO/MCENTEE	ITSS
DESKTOP DEL 745	00013319	HMLB1F1	RUSO/MCENTEE	ITSS
DESKTOP DEL 745	00013329	BOPC1F1	RUSO/MCENTEE	ITSS
DESKTOP DELL GX520	11445	7SWDB81	RUSO/MCENTEE	ITSS
DESKTOP DELL GX520	11543	HSQ6Q91	RUSO/MCENTEE	ITSS
DESKTOP DELL GX520	11554	4RQ6Q91	RUSO/MCENTEE	ITSS
DESKTOP DELL GX520	11549	5QQ6Q91	RUSO/MCENTEE	ITSS
DESKTOP DELL GX520	11443	4TWDB81	RUSO/MCENTEE	ITSS
PRINTER RICOH ALICIO 1515MF	NA	K2269100008	RUSO/MCENTEE	ITSS
PRINTER DELL 2335DN	NA	DWH4FG1	RUSO/MCENTEE	ITSS
PRINTER DELL 1720DN	NA	2FVWTB1	RUSO/MCENTEE	ITSS
PRINTER DELL 1720DN	NA	15XWTB1	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
DELL MONITOR 15"	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 2000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 2000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 2000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 2000	NA	NA	RUSO/MCENTEE	ITSS

PORTABLE COMPUTER ALPHASMART 2000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 2000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 2000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 2000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 2000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 2000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 2000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 2000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
PORTABLE COMPUTER ALPHASMART 3000	NA	NA	RUSO/MCENTEE	ITSS
IBM THINKCENTRE DESKTOP	NA	1S8113D5ULKRP020	SAN ANTONIO	ITSS
IBM THINKCENTRE DESKTOP	NA	1S8113D5ULKRP014	SAN ANTONIO	ITSS
IBM THINKCENTRE DESKTOP	NA	1S8113D5ULKRP036	SAN ANTONIO	ITSS
IBM THINKCENTRE DESKTOP	NA	1S8113D5ULKRP053	SAN ANTONIO	ITSS
IBM THINKCENTRE DESKTOP	NA	1S8113D5ULKRP016	SAN ANTONIO	ITSS
IBM THINKCENTRE DESKTOP	NA	1S8113D5ULKRK268	SAN ANTONIO	ITSS
IBM THINKCENTRE DESKTOP	NA	1S8113D5ULKRD721	SAN ANTONIO	ITSS
IBM THINKCENTRE DESKTOP	NA	1S8113D5ULKRP079	SAN ANTONIO	ITSS
IBM THINKCENTRE DESKTOP	NA	1S8113D5ULKRP054	SAN ANTONIO	ITSS
HP PAVILLION A600Y DESKTOP	NA	MXP432006G	SAN ANTONIO	ITSS
BROTHER 8060 PRINTER	NA	U61506J8J773572	SAN ANTONIO	ITSS
HP COLOR LASERJET 4700N	14023	JP4LB33017	SAN ANTONIO	ITSS
HP LASERJET P2015DN	NA	CNBJS00896	SAN ANTONIO	ITSS
DELL OPTIPLEX GX520	12524	6R85WC1	SAN ANTONIO	ITSS
DELL OPTIPLEX GX520	12521	1R85WC1	SAN ANTONIO	ITSS
DELL OPTIPLEX GX520	11421	27KDB81	SAN ANTONIO	ITSS
DELL OPTIPLEX GX520	12527	CP85WC1	SAN ANTONIO	ITSS

DELL OPTIPLEX GX520	12530	FQ85WC1	SAN ANTONIO	ITSS
DELL OPTIPLEX 745	12707	BDM7ZC1	SAN ANTONIO	ITSS
DELL OPTIPLEX 745	12711	FDM7ZC1	SAN ANTONIO	ITSS
DELL OPTIPLEX 755	13482	8KN6JF1	SAN ANTONIO	ITSS
DELL OPTIPLEX 755	13470	3WL3JF1	SAN ANTONIO	ITSS
DELL OPTIPLEX 755	13474	1NN6JF1	SAN ANTONIO	ITSS
DELL OPTIPLEX 755	13469	2WL3JF1	SAN ANTONIO	ITSS
DELL OPTIPLEX 755	13483	9MN6JF1	SAN ANTONIO	ITSS
DELL OPTIPLEX 755	13473	1MN6JF1	SAN ANTONIO	ITSS
DELL OPTIPLEX 755	13481	6MN6JF1	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN06R6444780432SL170	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0FJ066641807B80XBS	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068Q1MDA	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0D5421466334972VHL	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0C53696418057H2PJH	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0C53696418057H2QQH	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0C5369641804A705QS	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN06R6444780432SL149	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN06R644478043BUN20W	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN06R6444780432SL13X	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0C53696418057H2PPH	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0FJ066641807B80XJS	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0FJ066641807B80Y2S	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN06R644478043BUN0L7	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN06R6444780432SN10Q	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068Q1M7A	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0FJ066641807B80XMS	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0Y44137220151J099L	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN06R6444780431CN1HC	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068L1F1S	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0WH3177287274B0M5L	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0FJ066641807B80X1S	SAN ANTONIO	ITSS
DELL 15" MONITOR	NA	CN0C53696418057H2PLH	SAN ANTONIO	ITSS
DELL LATITUDE D505	11128	84F1B71	SAN ANTONIO	ITSS
DELL LATITUDE D505	11120	39F1B71	SAN ANTONIO	ITSS
DELL LATITUDE D505	11123	45F1B71	SAN ANTONIO	ITSS
DELL LATITUDE D505	11127	8HF1B71	SAN ANTONIO	ITSS
DELL LATITUDE D505	10470	F15HR51	SAN ANTONIO	ITSS
DELL LATITUDE D505	11125	7BF1B71	SAN ANTONIO	ITSS
DELL LATITUDE D505	11124	7WD1B71	SAN ANTONIO	ITSS
DELL LATITUDE D505	10433	7DLHR51	SAN ANTONIO	ITSS
DELL OPTIPLEX 745	12943	3PW2JD1	ITSS	ITSS
DELL OPTIPLEX 746	12944	3QW2JD1	ITSS	ITSS
DELL OPTIPLEX 745	12946	5PW2JD1	ITSS	ITSS
DELL OPTIPLEX 745	12947	5QW2JD1	ITSS	ITSS
DELL OPTIPLEX 745	12955	9PW2JD1	ITSS	ITSS
DELL OPTIPLEX 745	12963	GPW2JD1	ITSS	ITSS
DELL OPTIPLEX 745	12948	6NW2JD1	ITSS	ITSS
DELL OPTIPLEX 745	12954	8QW2JD1	ITSS	ITSS
DELL OPTIPLEX 745	12956	9QW2JD1	ITSS	ITSS
DELL OPTIPLEX 755	NA	2HRHZF1	ITSS	ITSS
DELL OPTIPLEX GX520	12447	17BQVC1	ITSS	ITSS
DELL OPTIPLEX GX520	12505	41CQVC1	ITSS	ITSS
DELL OPTIPLEX 755	14012	BHRHZF1	ITSS	ITSS
DELL OPTIPLEX 755	14011	6HRHZF1	ITSS	ITSS
DELL OPTIPLEX 755	14013	GHRHZF1	ITSS	ITSS
DELL OPTIPLEX 745	12712	FFM7ZC1	ITSS	ITSS
DELL OPTIPLEX 745	12714	GDM7ZC1	ITSS	ITSS



DELL 19" MONITOR	NA	CN05Y23271618454ABK6	ITSS	ITSS
DELL 17" MONITOR	NA	CN0PM3727287277D2GGI	ITSS	ITSS
DELL 15" MONITOR	NA	CN0WH339466337942Y1S	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068K416A	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068K3Y3A	ITSS	ITSS
COMPAQ EVO	7665	W223JYHZC576	ITSS	ITSS
DELL OPTIPLEX GX520	12501	D0CQVC1	ITSS	ITSS
DELL OPTIPLEX GX520	12503	31CQVC1	ITSS	ITSS
DELL OPTIPLEX GX520	12508	G0CQVC1	ITSS	ITSS
DELL OPTIPLEX GX520	12448	27BQVC1	ITSS	ITSS
DELL OPTIPLEX GX520	12507	F0CQVC1	ITSS	ITSS
DELL OPTIPLEX GX520	12502	C0CQVC1	ITSS	ITSS
DELL OPTIPLEX GX520	12509	J0CQVC1	ITSS	ITSS
DELL OPTIPLEX GX520	12510	H0CQVC1	ITSS	ITSS
DELL OPTIPLEX GX520	12506	21CQVC1	ITSS	ITSS
DELL OPTIPLEX GX520	12504	11CQVC1	ITSS	ITSS
DELL OPTIPLEX GX280	10822	F504T61	ITSS	ITSS
CUSTOM SUPERVISOR IT PC	NA	VI1000BWS0902000794	ITSS	ITSS
DELL OPTIPLEX 755	14323	JZS17G1	ITSS	ITSS
DELL OPTIPLEX 755	14319	BZS17G1	ITSS	ITSS
DELL OPTIPLEX 755	14317	6ZS17G1	ITSS	ITSS
DELL OPTIPLEX 755	14318	9ZS17G1	ITSS	ITSS
DELL 15" MONITOR	NA	CN0M16196418041G08NC	ITSS	ITSS
DELL 15" MONITOR	NA	CN0M1618466333CB1D9L	ITSS	ITSS
DELL 15" MONITOR	NA	CN0U479571618563CDNY	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068K412A	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068K3Y0A	ITSS	ITSS
DELL 17" MONITOR	NA	MX08R3394760534NAZT4	ITSS	ITSS
DELL 15" MONITOR	NA	CN0D54214663353O3HWL	ITSS	ITSS
DELL 17" MONITOR	NA	CN0UW0426418082L46YH	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068K415A	ITSS	ITSS
DELL 15" MONITOR	NA	CN06R6444780432DN0D7	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068K417A	ITSS	ITSS
DELL 17" MONITOR	NA	CN0J18067161842IBC2U	ITSS	ITSS
DELL 15" MONITOR	NA	CN0U479571618622GG9R	ITSS	ITSS
DELL 17" MONITOR	NA	CN0F50356418055M2B3C	ITSS	ITSS
DELL 15" MONITOR	NA	CN0HC5454663367566WS	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068K413A	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068Q13MA	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068K414A	ITSS	ITSS
DELL 15" MONITOR	NA	CN0C5369641804B19Y6C	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068K3ZNA	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068K3TJA	ITSS	ITSS
COMPAQ CRT 17" MONITOR	NA	210CM23DB122	ITSS	ITSS
DELL 22" MONITOR	NA	CN0CU889716187B5AHMS	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418068L06AS	ITSS	ITSS
HITACHI CP-X305	13556	F7LU03187	ITSS	ITSS
HITACHI CP-X305	13362	F7JU02085	ITSS	ITSS
HP P2015DN PRINTER	NA	CNBJP54552	ITSS	ITSS
DELL 2330DN PRINTER	NA	B35CSG1	ITSS	ITSS
AV322 DOC CAM	15715	A03A09688	ITSS	ITSS
DELL 17" MONITOR	NA	MY08R33947603399EZH3	ITSS	ITSS
DELL 17" MONITOR	NA	CN0F50356418055M2C3C	ITSS	ITSS
DELL 17" MONITOR	NA	MX08R3394760534LAZA0	ITSS	ITSS
DELL 17" MONITOR	NA	CN0M16094663343M2W6L	ITSS	ITSS
DELL 15" MONITOR	NA	CN0M1619641803AU03QC	ITSS	ITSS
DELL 15" MONITOR	NA	CN0Y44137220151JOAOL	ITSS	ITSS
DELL 15" MONITOR	NA	CN06R64447804357L1WP	ITSS	ITSS

DELL 15" MONITOR	NA	CN0M16196418041F054C	ITSS	ITSS
DELL 15" MONITOR	NA	CN0M1618466333CB1C9L	ITSS	ITSS
DELL 15" MONITOR	NA	CN06R64447804357L1XB	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418079H063A	ITSS	ITSS
DELL 15" MONITOR	NA	CN06R64447804357L1LK	ITSS	ITSS
DELL 15" MONITOR	NA	CN0M1619641803AU049C	ITSS	ITSS
DELL 15" MONITOR	NA	CNOC53696418045V1ANH	ITSS	ITSS
DELL 15" MONITOR	NA	CNOC53696418051G1KUS	ITSS	ITSS
DELL 15" MONITOR	NA	CN0WH3177287268G0A3T	ITSS	ITSS
DELL 15" MONITOR	NA	CN0Y44137220151J09EL	ITSS	ITSS
DELL 15" MONITOR	NA	CN06R64447804357L1LR	ITSS	ITSS
DELL 15" MONITOR	NA	CNOC5369641804B23NKC	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418079H061A	ITSS	ITSS
DELL 15" MONITOR	NA	CN0M16196418041G08PC	ITSS	ITSS
DELL 15" MONITOR	NA	CNOC5369641804B235CC	ITSS	ITSS
DELL 15" MONITOR	NA	CN0MC040641806190B9L	ITSS	ITSS
DELL 15" MONITOR	NA	CN0M16196418041F10DC	ITSS	ITSS
DELL 15" MONITOR	NA	CN0M16196418041F10HC	ITSS	ITSS
DELL 15" MONITOR	NA	CN0M1619641803AU03EC	ITSS	ITSS
DELL 15" MONITOR	NA	CN0M16196418041F10JC	ITSS	ITSS
DELL 15" MONITOR	NA	CN0Y44137220151J09CL	ITSS	ITSS
DELL 15" MONITOR	NA	CN06R64447804357L1L5	ITSS	ITSS
DELL 15" MONITOR	NA	CN0M16196418041F0Z7C	ITSS	ITSS
DELL 15" MONITOR	NA	CN0FJ0666418079H060A	ITSS	ITSS
DELL 15" MONITOR	NA	CNOC5369641804B22WFC	ITSS	ITSS
DELL 15" MONITOR	NA	CN0M16196418041F0ZHC	ITSS	ITSS
DELL 15" MONITOR	NA	CN0Y99987287266E19CT	ITSS	ITSS
DELL 15" MONITOR	NA	CN0M16196418041F0Z9C	ITSS	ITSS
DELL 15" MONITOR	NA	CN0M16196418041F10DC	ITSS	ITSS
DELL 15" MONITOR	NA	CN0Y44137220151J08ML	ITSS	ITSS
SCANNER ASTRA UMAX 610P	NA	H6W0F08244011	FISCHER	ITSS
LAPTOP DELL D600/D500	9181	B3D0341	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	3NBMZ21	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	2WJV771	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	11NSF41	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	J4NMR61	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	GGJV771	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	95RSP51	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	6W1WV61	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	4KDTP51	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	DDQ1W61	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	CZNFV51	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	3YMRX51	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	4LQVG61	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	GVLW941	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	GR30V21	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	CBXS851	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	69THP61	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	BK2HG61	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	CSKBJ71	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	2P5ML61	FISCHER	ITSS
LAPTOP DELL D600/D500	NA	8VJV771	FISCHER	ITSS
MONITOR DELL 15"	NA	NA	FISCHER	ITSS
MONITOR DELL 15"	NA	NA	FISCHER	ITSS
MONITOR DELL 15"	NA	NA	FISCHER	ITSS
MONITOR DELL 17"	NA	NA	FISCHER	ITSS
PROJECTOR DELL DLP 3300	12620	84HP961	FISCHER	ITSS
CASSETTE TAPE RECORDER	17055	EA654745	FISCHER	ITSS



CASSETTE TAPE RECORDER	NA	90100578	FISCHER	ITSS
PRINTER DELL 2330DN	15529	97XDSG1	FISCHER	ITSS
PRINTER DELL 2330DN	NA	D8FQGN1	FISCHER	ITSS
PRINTER DELL 2330DN	NA	G8T7SG1	FISCHER	ITSS
HP LASERJET 2605DN PRINTER	NA	CNBC68W05B	FISCHER	ITSS
HP LASERJET 3500 PRINTER	11519	CNBRC27455	FISCHER	ITSS
HP LASERJET 2420DN PRINTER	NA	CNDJC70299	FISCHER	ITSS
TAPE RECORDER SHARP RD-767AV	NA	880913453	FISCHER	ITSS
OVERHEAD PROJECTOR 3M 1700	NA	70020903	FISCHER	ITSS
PROXIMA MULTIMODE II A482LT LCD	33817	1909	FISCHER	ITSS
SAMSUNG VCR	NA	6VCT300761B	FISCHER	ITSS
MONITOR 17"	NA	NA	FISCHER	ITSS
MONITOR 17"	NA	NA	FISCHER	ITSS
MONITOR 17"	NA	NA	FISCHER	ITSS
DESKTOPS DELL GX270	8985	CJCQ641	FISCHER	ITSS
DESKTOPS DELL GX270	8984	8RCQ641	FISCHER	ITSS
DESKTOPS DELL GX270	8986	JRCQ641	FISCHER	ITSS
DESKTOPS DELL 760	15431	JZ3JDK1	FISCHER	ITSS
DESKTOPS DELL 760	15417	J3MMDK1	FISCHER	ITSS
DESKTOPS DELL 760	15420	JZ2RDK1	FISCHER	ITSS
DESKTOPS DELL 760	15422	JZ2XDK1	FISCHER	ITSS
IBM 8310-63U DESKTOPS	7975	KCD4ZHB1S831063UKCD4ZH	FISCHER	ITSS
MONITOR DELL 15"	NA	NA	FISCHER	ITSS
HP LASERJET 4100N PRINTER	7125	USLNH25593	FISCHER	ITSS
LAPTOP DELL D505	11177	8M6DB71	FISCHER	ITSS
DESKTOPS DELL GX520	12382	1YBSSC1	FISCHER	ITSS
DESKTOPS DELL GX260	8010	BR55P21	FISCHER	ITSS
DESKTOPS DELL GX280	NA	89NVF61	FISCHER	ITSS
DESKTOPS DELL GX270	9109	8DQ2341	FISCHER	ITSS
ALPHA SMART 2000	NA	ALF2000129703461	ADELANTE	ITSS
ALPHA SMART 2000	NA	ALF300002110867AQ	ADELANTE	ITSS
ALPHA SMART 2000	NA	ALF3000020110865AQ	ADELANTE	ITSS
ALPHA SMART 2000	56805	ALF3000070080601HA	ADELANTE	ITSS
ALPHA SMART 2000	56803	ALF3000070080605GA	ADELANTE	ITSS
ALPHA SMART 2000	56813	ALF3000060069391GA	ADELANTE	ITSS
DELL GX740	12666	2CM72C1	ADELANTE	ITSS
DELL MONITOR	NA	CNXTW63SN3L38	ADELANTE	ITSS
VIZIO TV	NA	LT67GAR423803	ADELANTE	ITSS
DELL GX740	12689	HBM7ZC1	ADELANTE	ITSS
DELL MONITOR	NA	CNTWDS45673FGH	ADELANTE	ITSS
DELL GX520	9788	9R337B1	ADELANTE	ITSS
HITACHI CPX 305	14437	F8CU04843	ADELANTE	ITSS
HITACHI CPX 305	14438	F8CU04842	ADELANTE	ITSS
DESKTOP DELL OPTIPLEX 755	00014296	5GM07G1	MCCOLLAM	ITSS
DESKTOP DELL OPTIPLEX GX270	10268	7BB9W41	MCCOLLAM	ITSS
DESKTOP IBM 300GL	00111	24BLB31	MCCOLLAM	ITSS
DESKTOP DELL GX520	00012611	JZ9HXC1	MCCOLLAM	ITSS
DESKTOP DELL 780	00015685	7X1M5M1	MCCOLLAM	ITSS
DESKTOP DELL 760	00015399	FWZHDK1	MCCOLLAM	ITSS
DESKTOP DELL GX520	00012608	20BHXC1	MCCOLLAM	ITSS
DESKTOP DELL GX280	10834	64G6T61	MCCOLLAM	ITSS
DESKTOP DELL GX520	11980	5ZPB0C1	MCCOLLAM	ITSS
DESKTOP IBM 300GL	00105	23BLG68	MCCOLLAM	ITSS
DESKTOP DELL GX260	08051	HZ25S21	MCCOLLAM	ITSS
DESKTOP DELL GX270	09422	56MS941	MCCOLLAM	ITSS
DESKTOP IBM 300GL	00087	23BLG90	MCCOLLAM	ITSS
DESKTOP DELL GX520	10771	7K03W81	MCCOLLAM	ITSS
DESKTOP DELL GX520	11765	48ZZR91	MCCOLLAM	ITSS

DESKTOP GATEWAY MNT PERF-1300	N/A	0023934016	MCCOLLAM	ITSS
DESKTOP DELL GX270	09415	C5MS941	MCCOLLAM	ITSS
DESKTOP DELL GX520	N/A	C7ZZR91	MCCOLLAM	ITSS
DESKTOP IBM PBU	00167	11S22P1340ZJ1FJZ000KXH	MCCOLLAM	ITSS
DESKTOP DELL GX280	10832	JQF6T61	MCCOLLAM	ITSS
DESKTOP DELL 755	00014470	2XDWBG1	MCCOLLAM	ITSS
DESKTOP DELL 755	00014471	3XDWBG1	MCCOLLAM	ITSS
DESKTOP DELL GX280	10835	HPF6T61	MCCOLLAM	ITSS
DESKTOP DELL GX520	11768	G7ZZR91	MCCOLLAM	ITSS
DESKTOP DELL GX520	11767	68ZZR91	MCCOLLAM	ITSS
DESKTOP DELL 780	00015684	8X1M5M1	MCCOLLAM	ITSS
DESKTOP DELL GX280	10823	HTF6T61	MCCOLLAM	ITSS
DESKTOP DELL GX280	10828	CQF6T61	MCCOLLAM	ITSS
DESKTOP DELL GX520	00012326	DT1XPC1	MCCOLLAM	ITSS
DESKTOP DELL 745	00012924	G9L3JD1	MCCOLLAM	ITSS
DESKTOP DELL 760	00015451	43M0GK1	MCCOLLAM	ITSS
DESKTOP LENOVO 8811-WGU	N/A	1S 8811WGU LKHFHD5	MCCOLLAM	ITSS
DESKTOP DELL 760	00015398	FGMJDK1	MCCOLLAM	ITSS
DESKTOP DELL GX280	10837	6RF6T61	MCCOLLAM	ITSS
DESKTOP DELL GX270	08922	26ZFS31	MCCOLLAM	ITSS
DESKTOP DELL GX270	08473	45HG941	MCCOLLAM	ITSS
DESKTOP DELL GX520	10770	4K03W81	MCCOLLAM	ITSS
DVD/VCR SONY SLV-D370P	N/A	0296143	MCCOLLAM	ITSS
DVD/VCR ZENITH ZDX-313	N/A	691-35030286	MCCOLLAM	ITSS
PRINTER HP LASERJET 4000N	00001	USEF152541	MCCOLLAM	ITSS
PRINTER HP COLOR LASERJET 2600N	N/A	CNHC81B3T7	MCCOLLAM	ITSS
PRINTER HP DESKJET 870CXI	37756	SG69G162JWEB	MCCOLLAM	ITSS
PRINTER HP DESKJET 870CXI	46891	SG78B1H0QFEB	MCCOLLAM	ITSS
MFC BROTHER MFC-5440CN	N/A	U61091K4F852298Y	MCCOLLAM	ITSS
PRINTER HP LASERJET 1300N	10107	CNBKF20380	MCCOLLAM	ITSS
PRINTER HP LASERJET 2300N	10643	cnbgh92718	MCCOLLAM	ITSS
PRINTER HP DESKJET 930C	N/A	MY03S162V7JJ	MCCOLLAM	ITSS
PRINTER HP DESKJET 930C	N/A	MY03S162ZTJJ	MCCOLLAM	ITSS
PRINTER HP LASERJET 1300N	10111	CNBKF20367	MCCOLLAM	ITSS
PRINTER HP LASERJET 1300N	N/A	CNBKG03361	MCCOLLAM	ITSS
PRINTER DELL 2335DN	N/A	48J4FG1	MCCOLLAM	ITSS
PRINTER HP DESKJET 1220C	N/A	SG31S130K00K	MCCOLLAM	ITSS
PRINTER HP COLOR LASERJET CP3505N	14486	CNBC84W1DX	MCCOLLAM	ITSS
PRINTER HP LASERJET 2300N	10644	CNBGH88649	MCCOLLAM	ITSS
PRINTER HP LASERJET 1320	NA	CNHC5CR27N	MCCOLLAM	ITSS
PRINTER HP LASERJET 1320N	NA	CNRC6905Q8	MCCOLLAM	ITSS
PRINTER HP LASERJET P2015DN	NA	CNBIN64467	MCCOLLAM	ITSS
PRINTER HP COLOR LASERJET CP3600N	NA	CNWBD69896	MCCOLLAM	ITSS
PRINTER HP LASERJET 1320	NA	CNHC5C84DC	MCCOLLAM	ITSS
PRINTER HP LASERJET P2015DN	NA	CNBJR88561	MCCOLLAM	ITSS
PRINTER HP LASERJET 1300N	10113	CNBIC49295	MCCOLLAM	ITSS
MONITOR IBM 6333-07N	NA	6601005	MCCOLLAM	ITSS
MONITOR COMPAQ M710	NA	102CG26RC431	MCCOLLAM	ITSS
ZIP DRIVE ZIP100 PLUS	45411	PLCJ301057	MCCOLLAM	ITSS
SCANNER EPSON G810A	NA	CTTX043956	MCCOLLAM	ITSS
VACUUM SHOP VAC M100 MIGHTY MINI	NA	5270710	MCCOLLAM	ITSS
SCAN GUN PERCON SNAPSHOR DECODED	48164	SM762327	MCCOLLAM	ITSS
SPEAKERS WHIRLPOOL 11-2001	NA	NA	MCCOLLAM	ITSS
SPEAKERS WHIRLPOOL 11-2001	NA	NA	MCCOLLAM	ITSS
OVERHEAD PROJECTOR 3M 1700	NA	70155458	MCCOLLAM	ITSS
OVERHEAD PROJECTOR 3M 1730	NA	1135648	MCCOLLAM	ITSS
OVERHEAD PROJECTOR 3M 1700	NA	S171027362	MCCOLLAM	ITSS
DESKTOP IBM 300GL	115	1S657483U23BLG52	MCCOLLAM	ITSS

MONITOR COMPAQ S720	NA	151CP43AH581	MCCOLLAM	ITSS
OVERHEAD PROJECTOR 3M 1700	NA	1135629	MCCOLLAM	ITSS
OVERHEAD PROJECTOR 3M 1700	NA	S171055240	MCCOLLAM	ITSS
OVERHEAD PROJECTOR 3M 1800	NA	80059383	MCCOLLAM	ITSS
OVERHEAD PROJECTOR 3M 1707	NA	935232	MCCOLLAM	ITSS
OVERHEAD PROJECTOR APOLLO 3000M	NA	12070000485	MCCOLLAM	ITSS
OVERHEAD PROJECTOR APOLLO 3000M	NA	11070002970	MCCOLLAM	ITSS
OVERHEAD PROJECTOR APOLLO 3000M	NA	12070000570	MCCOLLAM	ITSS
OVERHEAD PROJECTOR 3M 1800	NA	18121986	MCCOLLAM	ITSS
CARD READER CALIFONE CARD MASTER 2000	38807	EE400036	MCCOLLAM	ITSS
TYPEWRITER BROTHER EM-630	8099	B38859923	MCCOLLAM	ITSS
TYPEWRITER BROTHER EM-630	8098	B38859956	MCCOLLAM	ITSS
DESKTOP DELL 780	16959	C6ZMGQ1	MCCOLLAM	ITSS
DESKTOP DELL GX280	10833	7TF6T61	MCCOLLAM	ITSS
PROJECTOR HITACHI CP-X251	12537	F7DU27471	MCCOLLAM	ITSS
PROJECTOR HITACHI CP-X2011N	16613	FOEU04490	MCCOLLAM	ITSS
VCR ZENITH VRS50	26867	78013098	MCCOLLAM	ITSS
PROJECTOR EPSON EMP-83	NA	JXJF7Z2874L	MCCOLLAM	ITSS
OVERHEAD PROJECTOR 3M 1700	NA	S171051475	MCCOLLAM	ITSS
PRINTER HP DESKJET 970CXI	NA	MY06N18004JQ	MCCOLLAM	ITSS
SPEAKERS POLKAUDIO N279	NA	NA	MCCOLLAM	ITSS
MONITOR PHILIPS 190S5FG	NA	BZ000509115041	MCCOLLAM	ITSS
MONITOR DELL 1901FP	NA	CN-05*232-71618-45J-BAC7	MCCOLLAM	ITSS
MONITOR HP E190I	NA	CN44070D0M	MCCOLLAM	ITSS
MONITOR HP E190I	NA	CN44070F2J	MCCOLLAM	ITSS
MONITOR DELL 2007FPB	NA	MX0C95364663472F1RFL	MCCOLLAM	ITSS
MONITOR DELL 1908FPT	NA	N/A	MCCOLLAM	ITSS
MONITOR DELL 1908FPT	NA	CN0G554F6418084A1CVL	MCCOLLAM	ITSS
MONITOR DELL 1908FPT	NA	CN0G554F6418084A1CFL	MCCOLLAM	ITSS
MONITOR DELL E171FP	NA	MY08R339476033AAEHTV	MCCOLLAM	ITSS
MONITOR DELL E151FPP	NA	CN06R64447804335L1G2	MCCOLLAM	ITSS
MONITOR DELL P170ST	NA	CN0C2JMK7444528AJHS	MCCOLLAM	ITSS
MONITOR DELL P170ST	NA	CN0C2JMK7444513LA5HS	MCCOLLAM	ITSS
MONITOR DELL P170ST	NA	CN0C2JMK74445028AJMS	MCCOLLAM	ITSS
MONITOR DELL P170ST	NA	CN0C182J74445985A5CS	MCCOLLAM	ITSS
MONITOR DELL P170ST	NA	CN0C182J74445985AAFS	MCCOLLAM	ITSS
MONITOR DELL P170ST	NA	CN0C2JMK7444513LA9KS	MCCOLLAM	ITSS
MONITOR DELL E172FPT	NA	CN0J180671618426ANUC	MCCOLLAM	ITSS
MONITOR DELL E172FPT	NA	CN0J180671618418A641	MCCOLLAM	ITSS
MONITOR DELL E172FPT	NA	CN0J180671618418AAHQ	MCCOLLAM	ITSS
MONITOR DELL E172FPT	NA	CN0M16196418043L0U1H	MCCOLLAM	ITSS
MONITOR DELL E172FPT	NA	CN0C53696418045V1A8H	MCCOLLAM	ITSS
MONITOR DELL E172FPT	NA	CN0C53696418045V1APH	MCCOLLAM	ITSS
MONITOR DELL E172FPT	NA	CN0M1619641804121GQC	MCCOLLAM	ITSS
MONITOR DELL E172FPT	NA	CN0M1619641804121GPC	MCCOLLAM	ITSS
MONITOR DELL E172FPT	NA	CN0C53696418045V1A7H	MCCOLLAM	ITSS
MONITOR DELL E172FPT	NA	CN0C53696418045V1A9H	MCCOLLAM	ITSS
MONITOR DELL E172FPT	NA	CN0M1619641803AU3XTC	MCCOLLAM	ITSS
MONITOR DELL E172FPT	NA	CN0C53696418045V19BH	MCCOLLAM	ITSS
MONITOR DELL E172FPT	NA	CN0C53696418045V1AMH	MCCOLLAM	ITSS
MONITOR DELL E157FPF	NA	CN0WH317728727430GTL	MCCOLLAM	ITSS
MONITOR DELL E157FPF	NA	CN0WH3177287274312VL	MCCOLLAM	ITSS
MONITOR DELL E157FPF	NA	CN0FJ0666418069D39ES	MCCOLLAM	ITSS
MONITOR DELL E157FPF	NA	CN0KC0266418066G2FZS	MCCOLLAM	ITSS
MONITOR DELL E157FPF	NA	CN0WH317728727431N6L	MCCOLLAM	ITSS
MONITOR DELL E157FPF	NA	CN0WH339742617C3269U	MCCOLLAM	ITSS
MONITOR DELL 1505FP	NA	CN0U479571618622GJQS	MCCOLLAM	ITSS



MONITOR DELL 1505FP	NA	CN0U479571618622GJPM	MCCOLLAM	ITSS
MONITOR DELL 1505FP	NA	CN0U4795716185AIGDEP	MCCOLLAM	ITSS
MONITOR DELL 1505FP	NA	CN0U479571618622GJQV	MCCOLLAM	ITSS
MONITOR DELL 1505FP	NA	CN0U4795716185AIGDFF	MCCOLLAM	ITSS
MONITOR DELL 1505FP	NA	CN0U479571618622GJQA	MCCOLLAM	ITSS
MONITOR DELL 1505FP	NA	CN0U479571618622GJQH	MCCOLLAM	ITSS
MEDIA CENTER MOUNT BRETTFORD	NA	NA	MCCOLLAM	ITSS
TV SHARP	39487	NA	MCCOLLAM	ITSS
TV ZENITH C27A24T	NA	121-22030114	MCCOLLAM	ITSS
TV SHARP-27LS300	54688	635439	MCCOLLAM	ITSS
TV SHARP 25K-S100	NA	619261	MCCOLLAM	ITSS
TV SHARP-27LS300	NA	648849	MCCOLLAM	ITSS
MONITOR IBM 6333-07N	NA	66-02567	MCCOLLAM	ITSS
DESKTOP IBM 300GL	68	23BLH09	MCCOLLAM	ITSS
CASSETTE RECORDER CALIFONE 1300 AV	NA	FG632321	MCCOLLAM	ITSS
ALPHASMART HUB ALPHAHUB ACC-AH02-HB	NA	0612-3287-AQ	MCCOLLAM	ITSS
PORTABLE COMPUTER ALPHASMART 3000 (QTY 88)	NA	NA	MCCOLLAM	ITSS
LIFESIZE TV/CONFERENCE STAND	14765	BB130600E8408	MCCOLLAM	ITSS
PORTABLE COMPUTER ALPHASMART NEOSMART (QTY 30)	NA	NA	MCCOLLAM	ITSS
DESKTOP DELL GX270	10168	FHD9P41	LUCHA	ITSS
DESKTOP DELL 745	12951	7QW2JD1	LUCHA	ITSS
DESKTOP DELL 755	14360	8N5Y6G1	LUCHA	ITSS
DESKTOP DELL 755	14363	JN5Y6G1	LUCHA	ITSS
DESKTOP DELL 755	14354	DP5Y6G1	LUCHA	ITSS
DESKTOP DELL 755	14357	GN5Y6G1	LUCHA	ITSS
DESKTOP DELL GX520	12058	27C36C1	LUCHA	ITSS
DESKTOP DELL GX280	11039	CNVK771	LUCHA	ITSS
DESKTOP DELL 745	13357	308S8F1	LUCHA	ITSS
DESKTOP DELL GX 520	12525	9985WC1	LUCHA	ITSS
ALL-IN-ONE COMPUTER APPLE IMAC G5	N/A	N/A	LUCHA	ITSS
MONITOR DELL E153FPF	NA	CN0Y44137220151J04KL	LUCHA	ITSS
MONITOR DELL E172FPB	NA	CN0M16094663343519PT	LUCHA	ITSS
MONITOR DELL E157FPC	NA	CN0FJ066641807AF3R9L	LUCHA	ITSS
MONITOR DELL E157FPC	NA	CN0FJ066641807AF3R1L	LUCHA	ITSS
MONITOR DELL E157FPC	NA	CN0FJ066641807AF3QXL	LUCHA	ITSS
MONITOR DELL E157FPC	NA	CN0FJ066641807AF3RHL	LUCHA	ITSS
LAPTOP DELL LATITUDE D530	14397	D8KS7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D531	14399	4NRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D532	14374	6HRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D533	14377	5FRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D534	14409	7LRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D535	14389	JDRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D536	14421	BMRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D537	14407	1NRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D538	14398	19KS7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D539	14422	BKRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D540	14415	3LRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D541	14412	3MRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D542	14371	GCRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D543	14385	HJRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D544	14410	CKRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D545	14416	8LRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D546	14400	1MRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D547	14404	FLRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D548	14370	FHRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D549	14373	3KRT7G1	LUCHA	ITSS

LAPTOP DELL LATITUDE D550	14403	4LRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D551	14391	BCRT7G1	LUCHA	ITSS
LAPTOP DELL LATITUDE D552	14411	CMRT7G1	LUCHA	ITSS
HITACHI PROJECTOR CP-305X	13448	NA	LUCHA	
HITACHI PROJECTOR CP-305X	13375	NA	LUCHA	
DELL COMPUTER	13355	NA	LUCHA	
DELL COMPUTER	13352	NA	LUCHA	
MEMOREX VCR	NA	NA	LUCHA	
COMPUTER MONITOR		CNK3150GFX	CASELL	
COMPUTER MONITOR		CNK3150GQ7	CASELL	
COMPUTER MONITOR		CNK3150GNX	CASELL	
COMPUTER MONITOR		CNK3150GQ4	CASELL	
COMPUTER MONITOR		CNK3150GQD	CASELL	
COMPUTER MONITOR		CNK3150GP6	CASELL	
DELL COMPUTER	14591	FPD7GG1	CASELL	
HP ZERO CLIENT		2TG306063720132797-55	CASELL	
HP ZERO CLIENT		2TG306081820132797-37	CASELL	
HP ZERO CLIENT		2TG306066520132797-42	CASELL	
HP ZERO CLIENT		2TG306069020132797-41	CASELL	
DELL MONITOR		CN-OU4795-71618-SAIGGG	CASELL	
HP ZERO CLIENT		27G306079220132797-56	CASELL	
COMPUTER MONITOR		CN0730C71623 7789	FISCHER	
COMPUTER MONITOR		CN0M1118460333CP	FISCHER	
COMPUTER MONITOR		CN0730C716239813412	FISCHER	
COMPUTER MONITOR		CN0M618466333CDDWC4	FISCHER	
COMPUTER MONITOR		CN0M1618466333LS16E4	FISCHER	
COMPUTER MONITOR		CN001806716183DC1416	FISCHER	
COMPUTER MONITOR		CN0Y160M470261995	FISCHER	
COMPUTER MONITOR		CN0D319174261	FISCHER	
COMPUTER MONITOR		CN0730C716839781	FISCHER	
COMPUTER HARDRIVE	8986		FISCHER	
COMPUTER HARDRIVE	15422		FISCHER	
COMPUTER HARDRIVE	89855		FISCHER	
COMPUTER HARDRIVE	15417		FISCHER	
COMPUTER HARDRIVE	8310634		FISCHER	
COMPUTER HARDRIVE	8984		FISCHER	
COMPUTER HARDRIVE	15431		FISCHER	
COMPUTER HARDRIVE	51420		FISCHER	
LAPTOP		USD74849	FISCHER	
LAPTOP		USD85685	FISCHER	
LAPTOP		USD85716	FISCHER	
LAPTOP		USP85714	FISCHER	
LAPTOP		USP85682	FISCHER	
LAPTOP		CN0X8957	FISCHER	
PRINTER	11519		FISCHER	
PRINTER		CN07NWC73375	FISCHER	
PRINTER		CN07N568241	FISCHER	
PRINTER		CN0487300521	FISCHER	
PRINTER		CN11127V0595H	FISCHER	
LAPTOP		0932RY	FISCHER	
SCANNER		HGNOF08944011	FISCHER	
LAPTOPS		USD74897	FISCHER	
LAPTOPS		USD74890	FISCHER	
LAPTOPS		USD85683	FISCHER	
LAPTOPS		77570	FISCHER	
LAPTOPS		09181	FISCHER	
LAPTOPS		D93DK4	FISCHER	
MILKBOX UNIT BEVERAGE AIR ST49W	0004242	S11812	CNS	



MILKBOX UNIT BEVERAGE AIR ST49W	00017074	622303	CNS	
FREEZER UNIT ARTIC AIR CF153	NA	WB21131071	CNS	
FREEZER UNIT ARTIC AIR CF153	NA	WB21131059	CNS	
FREEZER UNIT ARTIC AIR CF153	NA	WB21131035	CNS	
FREEZER UNIT ARTIC AIR CF153	NA	WB21131050	CNS	
FREEZER ENERGY STAR	NA	WB14836306	CNS	
MILKBOX UNIT BEVERAGE AIR ST49W	00017029	5508870	CNS	
MILKBOX UNIT BEVERAGE AIR	00013439	850587	CNS	
MILKBOX UNIT BEVERAGE AIR STF58	NA	8107399	CNS	
KIPP WARMER CARTER HOFFMAN	001511	NA	CNS	
CONTAINER PH-180U	NA	1407080100199028M14	CNS	
NEOPOST 4 STATION MAIL INSERTER	00014797	08DZ5456	PRINT SHOP	
TYPEWRITER BROTHER EM-ML100	NA	U53080E7K236890	SPECIAL ED	

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

12.13

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: Approve/Ratify Notices of Employment and Changes of Status/December 14, 2017/  
Human Resources Department

Staff Analysis:

This item includes recommendations such as new hires, leaves of absence, or other changes in employee status.

Recommendation:

The Board is requested to approve the hiring of any new employee, and any change of status for existing employees.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:  
**Recommend Approval**

Meeting: December 14, 2017  
Regular Board Meeting

12.13  
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-  
HUMAN RESOURCES DEPARTMENT  
MEETING OF THE BOARD OF TRUSTEES  
December 14, 2017**

**CERTIFICATED OFFERS OF EMPLOYMENT:**

**I. New Hires:**

1. Sahota, Deepak	SDC/Ryan Elementary	11/20/2017
-------------------	---------------------	------------

**II. Employee Contracts:**

1. Kinoshita, Gloria	CELDT Tester	12/01/2017-01/31/2018
2. Nagashima, Haruko	CELDT Tester	12/01/2017-01/31/2018
3. Nielsen, Susan	CELDT Examiner	12/01/2017-01/31/2018
4. Sanchez, Mario	Substitute Principal/Mathson	12/04/2017-06/30/2018
5. Stegeman, Rebecca	CELDT Tester	12/01/2017-01/31/2018
6. Vielma, Carolyn	CELDT Tester	12/01/2017-01/31/2018

**III. Residential Substitute Teacher Agreement:**

1. Almazan, Epsom	Residential Substitute Teacher	12/01/2017-12/22/2017
2. Cook, Steve	Residential Substitute Teacher	12/01/2017-12/22/2017
3. Faines, Anna	Residential Substitute Teacher	12/01/2017-12/22/2017
4. Faines, James	Residential Substitute Teacher	12/01/2017-12/22/2017
5. Harlow, William(Bill)	Residential Substitute Teacher	12/01/2017-12/22/2017
6. Hawkins, Zane	Residential Substitute Teacher	12/01/2017-12/22/2017
7. Hernandez, Frank	Residential Substitute Teacher	12/01/2017-12/22/2017
8. Loreda, Michael	Residential Substitute Teacher	12/01/2017-12/22/2017
9. Loui, Florence	Residential Substitute Teacher	12/01/2017-12/22/2017
10. Manundo, Marlon	Residential Substitute Teacher	12/01/2017-12/22/2017
11. Rojas, Raul	Residential Substitute Teacher	12/01/2017-12/22/2017
12. Vasquez, Mary	Residential Substitute Teacher	12/01/2017-12/22/2017

**CERTIFICATED CHANGES OF STATUS:**

**IV. ED Code Assignment 44831 2017/2018:**

Chan, Sarah	Speech Therapist/Special Education Department
-------------	---

Under the provisions of this statute, the governing board of a school district or a county office of education may contract or employ an individual to provide speech and language services if the individual has verified the following: 1) master's degree in communicative disorders, 2) valid license issued by the Speech-Language Pathology and Audiology Board, 3) passage of the California Basic Educational Skills Test (CBEST), and 4) the criminal record summary pursuant to EC §44332.6.

## **CLASSIFIED PERSONNEL – December 14, 2017**

### **CLASSIFIED OFFERS OF EMPLOYMENT:**

#### **I. New Hires:**

1. Andrew, Raul Flores	Night Custodian I/Arbuckle	11/07/17
2. Arroyo-Cardenas, Fabian	Night Custodian I/Linda Vista	11/14/17
3. Azevedo, Nelia	Child Nutrition Assistant I/Fischer	10/26/17
4. Balmonte, Sandra L	Campus Paraeducator/McCollam	10/30/17
5. Caudillo, Fredrick Robert	School Office Assistant/Hubbard	11/20/17
6. Ceja, Mayra Guadalupe	Campus Paraeducator/Linda Vista	11/07/17
7. Garcia, Jesus	Night Custodian II/Hubbard	12/04/17
8. Guzman, Martha Pena	Night Custodian II/Mathson & Renaissance I	11/07/17
9. Hernandez, Luz Vazquez	Night Custodian I/Ryan	11/07/17
10. Martinez, Joleigh Casondra	School Office Assistant/Mathson	11/27/17
11. Martinez, Maribel Ochoa	Campus Paraeducator/Adelante I	10/26/17
12. Mejia, Nora Mercedes	Campus Paraeducator/Renaissance II	10/26/17
13. Ontiveros, Michelle Sara	Paraeducator Special Education I/Lyndale	10/19/17
14. Orozco, Melissa	Autism Intervention Assistant/Lyndale	12/04/17
15. Rivera, Jorge Hernandez	Night Custodian II/District Office (Floater)	11/14/17

#### **II. Working Out of Classification:**

1. Cereceres, Corina	Sr. Accounting Assistant/Purchasing	10/23/17-11/03/17
----------------------	-------------------------------------	-------------------

#### **III. Retirement:**

1. Moya, Amparo C	Child Nutrition Assistant II/Cassell	01/05/18
-------------------	--------------------------------------	----------

#### **IV. Contracted Employees:**

1. Almazan, Epsom	Girls Basketball Coach/Ocala	10/15/17-12/19/17
2. Beyer, Joseph	Behavior Assistant/ Special Education	11/10/17-06/30/18
3. Diaz, Miguel Angel	Boys Soccer Coach/ Adelante	09/17/17-06/14/18
4. Hawkins, Zane	Girls Basketball Coach/ Ocala	10/15/17-12/19/17
5. Munoz, Erlinda	Softball Coach/ Adelante	09/01/17-06/18/18
6. Pangilinan, Oscar	Instructor Assistant/ VAPA	08/28/17-06/30/18
7. Ramirez, Laura	Vocal Coach/ VAPA	09/14/17-06/30/18
8. Valdez, Nathaniel	Wrestling Coach/ Ocala	10/01/17-12/10/17

#### **V. Termination:**

1. Ramirez, Ashley Brooke	Paraeducator Special Education II/Linda Vista	12/06/17
---------------------------	---	----------

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue  
San José, CA 95127

12.14

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: Out of State Travel

**Catalyst Teacher Professional Development**  
**Boston, MA**  
**January 11 – 12, 2018**

**Summary:**

The Catalyst Teacher Professional Development is aligned to evidence-based instructional strategies that drive SEL and 21<sup>st</sup> century outcomes including growth mindset, self-efficiency, and collaborative problem solving. The Catalyst Project is supported by a library of instructional resources designed to support effective and meaningful integration of citizen teachers into the classroom. No cost.

**Recommendation:**

Staff recommends approval of out-of-state travel for Ketki Tarde, Sarika Kukreja, and Loretta Tanner.

Submitted by: Jess Serna

Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:

**Recommend Approval**

Meeting:

December 14, 2017  
Regular Board Meeting

12.14  
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

RECEIVED  
PURCHASING  
2017 NOV 31 AM 9:05

## TRAVEL APPROVAL FORM Attach Supporting Document

Date of Request: 12/1/17

1. Program Manager / Principal: Tara Bickford Dept. / School Site: Joseph George MS
2. Title of Conference / Meeting: Catalyst Teacher PD
3. Place: Boston, MA Citizen schools
4. Purpose: PBL integration + Mentor program
5. Date (s) of Conference / Meetings: 1/11/18 + 1/12/18
6. Principal / Program Manager Approval: Tara Bickford R.S. Date 12/1/17
7. Departure Date / Time: 1/10/18 3pm Hour: AM ☐ PM ☒
8. Return Date / Time: 1/13/18 7am Hour: AM ☒ PM ☐
9. Human Resources:

Names(s) of Employees Attending	Sub?		Bilingual		Sub Request?: (Name)	Code Sub To:
	Yes	No	Yes	No		
Kethi Tarde	X			X		06-250-1190-0-1190
Sarika Kukreja	X			X		06-250-1190-0-1190
Loretta Tanner	X			X		06-250-1190-0-1190

Substitute: ☐ Available ☐ Unavailable ☐ No Sub Needed

Remarks: \_\_\_\_\_

Human Resources Dept. Approval: ☐ Yes ☐ No

[Signature]  
Asst. Superintendent of Human Resources Date 12-4-17

### 10. Business Services:

Cost Description	Total Estimated Costs	Reimbursement Requested
Transportation	\$	\$
Registration		
Lodging		
Meals		
Shuttle / Parking		
Other		
Total	\$	\$

*Paid by Citizen schools*

Attach Purchase Order(s), Invoice(s) and Registration Form(s).

11. Account Code: 06-250-1190-0-1190

Approved by: [Signature] Date: \_\_\_\_\_ Approved By: \_\_\_\_\_ Date: \_\_\_\_\_  
Business Services / Purchasing Superintendent

- This form must be completed and submitted at least 60 days prior to the proposed trip.
- Cash advance request, submit the completed form 60 days prior to cash advance due date.
- The approved yellow travel approval copy will be returned to the originator. This authorization must be received by the originator before the trip begins.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue  
San José, CA 95127

12.15

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: Out of State Travel

**Deloitte Courageous Principals Program**  
**Westlake, TX**  
**January 19 – 21, 2018**

**Summary:**

The Courageous Principal Program is a leadership development program focused on honing relationship building, communication and influencing skills. Through this carefully and strategically constructed program, school leaders will work to refine the skills necessary to foster positive interactions and manage change, with the goal of enhancing school leader effectiveness and ultimately, student achievement. No cost.

**Recommendation:**

Staff recommends approval of out-of-state travel for Anna Nguyen.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:  
**Recommend Approval**

Meeting: December 14, 2017  
Regular Board Meeting

12.15  
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## TRAVEL APPROVAL FORM Attach Supporting Document

Date of Request: 11/27/17

1. Program Manager / Principal: Anna Nguyen Dept. / School Site: Renaissance Academy

2. Title of Conference / Meeting: Deloitte Courageous Principals Weekend

3. Place: Westlake, Texas

4. Purpose: Professional development to enhance leadership communication

5. Date (s) of Conference / Meetings: Friday, January 19 - Sunday, January 21, 2018

6. Principal / Program Manager Approval: [Signature] Date: 11/27/17

7. Departure Date / Time: Thursday, Jan. 18, 2018 6:00 Hour: AM ☐ PM ☒

8. Return Date / Time: Sunday, Jan. 21, 2018 6:00 Hour: AM ☐ PM ☒

9. Human Resources:

Names(s) of Employees Attending	Sub?		Bilingual		Sub Request?: (Name)	Code Sub To:
	Yes	No	Yes	No		
<u>Anna Nguyen</u>		<input checked="" type="checkbox"/>				

Substitute: ☐ Available ☐ Unavailable ☐ No Sub Needed

Remarks: \_\_\_\_\_

Human Resources Dept. Approval: ☐ Yes ☐ No

[Signature] 12-5-17  
Asst. Superintendent of Human Resources Date

### 10. Business Services:

Cost Description	Total Estimated Costs	Reimbursement Requested
Transportation	\$	\$
Registration		
Lodging		
Meals		
Shuttle / Parking		
Other		
Total	\$ <u>0</u>	\$ <u>0</u>

Attach Purchase Order(s), Invoice(s) and Registration Form(s).

11. Account Code: \_\_\_\_\_

Approved by: \_\_\_\_\_ Date: \_\_\_\_\_ Approved By: \_\_\_\_\_ Date: \_\_\_\_\_  
Business Services / Purchasing Superintendent

1. This form must be completed and submitted at least 60 days prior to the proposed trip.
2. Cash advance request, submit the completed form 60 days prior to cash advance due date.
3. The approved yellow travel approval copy will be returned to the originator. This authorization must be received by the originator before the trip begins.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

12.16

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: Out of State Travel

**FETC Conference**  
**Orlando, FL**  
**January 23 – 26, 2018**

Summary:

As a part of the Professional Development for the iPad Roll Out VILs (Verizon Innovative Learning) is sending two instructional leaders per site to a conference intended to directly support the 1 to 1 implementation. The purpose of the FETC conference is to further build capacity in the VILs schools for teaching and learning. Attendees will return from the conference with new strategies and information that can be shared with the rest of the staff to support immediately implementation in the classroom. No cost.

Recommendation:

Staff recommends approval of out-of-state travel for Tracy Leathers and Cristina Bustamante.

Submitted by: Jess Serna

Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:

**Recommend Approval**

Meeting:

December 14, 2017  
Regular Board Meeting

12.16  
Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_





# ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

## TRAVEL APPROVAL FORM Attach Supporting Document

Date of Request: 11/27/17

1. Program Manager / Principal: Leathers Dept. / School Site: Ocala STEAM

2. Title of Conference / Meeting: FETC

3. Place: Orlando, FL

4. Purpose: to learn about tech & steam integration

5. Date (s) of Conference / Meetings: Jan 23 - Jan 26

6. Principal / Program Manager Approval: [Signature] Date: 11/27/17

7. Departure Date / Time: Jan 22 Hour: AM ☒ PM ☐ 8:00

8. Return Date / Time: Jan 27 Hour: AM ☐ PM ☒

9. Human Resources:

Names(s) of Employees Attending	Sub?		Bilingual		Sub Request?: (Name)	Code Sub To:
	Yes	No	Yes	No		
<u>Tracy Leathers</u>		<input checked="" type="checkbox"/>				
<u>Cristina Bustamante</u>		<input checked="" type="checkbox"/>				

Substitute: ☐ Available ☐ Unavailable ☐ No Sub Needed

Remarks: \_\_\_\_\_

Human Resources Dept. Approval: ☐ Yes ☐ No \_\_\_\_\_

Asst. Superintendent of Human Resources \_\_\_\_\_ Date \_\_\_\_\_

### 10. Business Services:

Cost Description	Total Estimated Costs	Reimbursement Requested
Transportation	\$	\$
Registration		
Lodging		
Meals		
Shuttle / Parking		
Other		
Total	\$ <u>(11,115 Funded)</u>	

Attach Purchase Order(s), Invoice(s) and Registration Form(s).

11. Account Code: 0326050000 5200

Approved by: \_\_\_\_\_ Date \_\_\_\_\_ Approved By: \_\_\_\_\_ Date \_\_\_\_\_

Business Services / Purchasing Superintendent

- This form must be completed and submitted at least 60 days prior to the proposed trip.
- Cash advance request, submit the completed form 60 days prior to cash advance due date.
- The approved yellow travel approval copy will be returned to the originator. This authorization must be received by the originator before the trip begins.



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue  
San José, CA 95127

12.17

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION – BOARD OF EDUCATION**

To the Board of Trustees:

Subject: Out of State Travel

**FETC Conference**  
**Orlando, FL**  
**January 23 – 26, 2018**

**Summary:**

As a part of the Professional Development for the iPad Roll Out VILs (Verizon Innovative Learning) is sending two instructional leaders per site to a conference intended to directly support the 1 to 1 implementation. The purpose of the FETC conference is to further build capacity in the VILs schools for teaching and learning. Attendees will return from the conference with new strategies and information that can be shared with the rest of the staff to support immediately implementation in the classroom. No cost.

**Recommendation:**

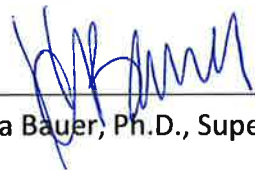
Staff recommends approval of out-of-state travel for Jackie Montejano and Jennifer Doherty.

Submitted by: Jess Serna Title: Interim Assistant Superintendent,  
Human Resources

To the Board of Trustees:  
**Recommend Approval**

Meeting: December 14, 2017  
Regular Board Meeting

12.17  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_

# TRAVEL APPROVAL FORM

## ATTACH SUPPORTING DOCUMENT

Date of Request 11/27/17

1. Program Manager / Principal Montejano Dept. / School Site Sheppard

2. Title of Conference / Meeting FETC - Conference

3. Place Orlando, Florida

4. Purpose Ed Tech Conference, sponsored by Verizon (VICS) Grant

5. Date (s) of Conference / Meetings 1/23 - 1/26/18

6. Principal / Program Manager Approval [Signature] / R.S. Date 11/27/17

7. Departure Date / Time 1/22/18 6:00 AM Hour AM ☒ PM ☐

8. Return Date / Time 1/27/18 8:00 AM Hour AM ☒ PM ☒

9. Human Resources:

Names(s) of Employees Attending	Sub?		Bilingual		Sub Request (Name)	Code Sub To:
	Yes	No	Yes	No		
Jackie Montejano		X		X	N/A	
Jennifer Doherty		X		X	N/A	

Substitute: ☐ Available ☐ Unavailable ☒ No Sub Needed

Remarks: \_\_\_\_\_

Human Resources Dept. Approval ☐ Yes ☐ No \_\_\_\_\_

Asst. Superintendent of Human Resources \_\_\_\_\_ Date \_\_\_\_\_

## 10. Business Services:

Cost Description	Total Estimated Costs	Reimbursement Requested
Transportation	\$	\$
Registration		
Lodging		
Meals		
Shuttle / Parking		
Other		
Total	\$ <u>0 (VICS funded)</u>	

Attach Purchase Order(s), Invoice(s) and Registration Form(s).

11. Account Code \_\_\_\_\_

Approved by: \_\_\_\_\_ Date \_\_\_\_\_ Approved By: \_\_\_\_\_ Date \_\_\_\_\_

Business Services / Purchasing Superintendent

- This form must be completed and submitted at least 60 days prior to the proposed trip.
- Cash advance request, submit the completed form 60 days prior to cash advance due date.
- The approved yellow travel approval copy will be returned to the originator. This authorization must be received by the originator before the trip begins.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT  
2930 Gay Avenue, San Jose, CA 95127

12.18

Office of Superintendent of Schools

**ITEM REQUIRING ATTENTION - BOARD OF EDUCATION**



To the Board of Trustees:

Date: November 27, 2017

**Subject:** School-sponsored Field Trip List

**Staff Analysis:** Student field trips are an important component of the educational program. Field trips supplement and enrich classroom-learning experiences and provide opportunities for students to relate their new learning to the outside world.

**Recommendation:** Staff recommends approval of out-of-county and overnight school-sponsored field trips.

Submitted by: Sandra Garcia  Title: Director of State and Federal Programs  
Approved by: Rene Sanchez  Title: Assistant Superintendent of Instructional Services

To the Board of Trustees:

Meeting: December 14, 2017  
Regular Board Meeting

**Recommend Approval**

12.18  
Agenda Placement

  
Hilaria Bauer, Ph.D., Superintendent

**DISPOSITION BY BOARD OF TRUSTEES**

Motion by: \_\_\_\_\_ Seconded by: \_\_\_\_\_

Approved: \_\_\_\_\_ Not Approved: \_\_\_\_\_ Tabled: \_\_\_\_\_



Alum Rock Union Elementary School District

# Field Trip Information Sheet

## Overnight Field Trips are in BOLD

## Field Trips for Board Approval: December 14, 2017

School	Field Trip Event	City, and County	Date	Grade	# of classes	Budget (coding)	Purpose: (academic standard the trip supports)
Adelante II	Natural Bridges State Park	Santa Cruz, Santa Cruz	12/15/2017	2	1	PTA	Students will learn about district animals and their habitats.
Adelante II	San Francisco Exploratorium	San Francisco, San Francisco	12/19/2017	1	1	PTA	Students will tour the Exploratorium and participate in hands on science activities.
Cassell Elementary	California Academy of Science	San Francisco, San Francisco	01/12/2018	5	2	Title I	Students will learn about different animals and their habitats.
<b>McCollam Elementary</b>	<b>Camp Koinonia Outdoor Science Camp</b>	<b>Corralitos, Santa Cruz</b>	<b>01/30/2018-02/02/2018</b>	<b>5</b>	<b>2</b>	<b>Title I</b>	<b>Students will participate in outdoor science classes and learn about the ecosystems.</b>
Adelante II	California Academy of Science	San Francisco, San Francisco	02/09/2018	3	1	Donations	Students will explore distinct living animals and their habitats.
L.U.C.H.A.	Oakland Zoo	Oakland, Alameda	02/28/2018	1	2	PLG	Students will learn about animals and their habitats.
George Middle	The Exploratorium of San Francisco	San Francisco, San Francisco	03/21/2018	6	8	Title I	Students will explore science, art, and human perception and participate in hands-on activities.