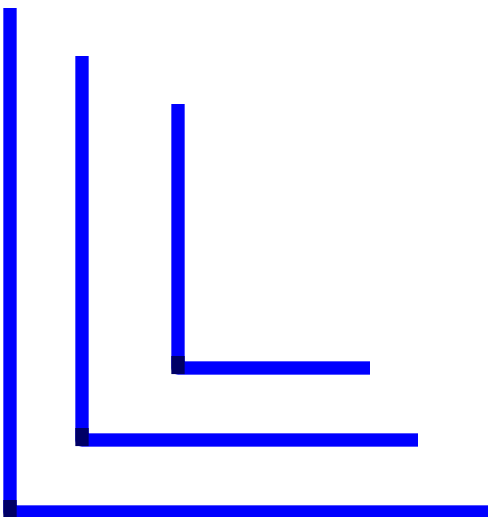


ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

BOARD OF TRUSTEES



**Regular Board Meeting
March 12, 2020**



**Thursday, March 12, 2020
REGULAR BOARD MEETING AGENDA**

Type: REGULAR BOARD MEETING AGENDA

Time: 5:30 p.m.

Code: Regular #12-19/20

Location: ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT, 2930 Gay Avenue, San Jose, CA 95127; Board Room.

In compliance with the Americans with Disabilities Act, if you need special assistance in order to participate in the public meeting of the Board of Trustees, please contact the Office of the Superintendent at (408) 928-6822. Notification 72 hours prior to the meeting will enable the District to make reasonable accommodations.

1. OPEN SESSION - CALL TO ORDER AND ROLL CALL

1.01 CALL TO ORDER / ROLL CALL / PLEDGE OF ALLEGIANCE -- BOARD PRESIDENT ERNESTO BEJARANO.

1.02 DISCUSSION AND/OR MODIFICATION(S) OF THE AGENDA. The Board may change the order of business including, but not limited to, an announcement that an agenda item will be considered out of order, that consideration of an item has been withdrawn, postponed, rescheduled or removed from the Consent Calendar for separate discussion and possible action.

2. SPECIAL PRESENTATION-DISCUSSION AND CONSIDERATION ONLY (NO ACTION)

2.01 ASES (After School Education and Safety) Program-Agency Presentations. (Information Only)

3. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD

3.01 REQUESTS TO ADDRESS THE BOARD provides members of the public an opportunity to speak to the Board about any matter under the jurisdiction of the Board and not otherwise on the agenda. Those who wish to address specific agenda items will have an opportunity to do so when that agenda item is introduced and presented during this meeting. Individuals wishing to speak are requested to proceed to the podium. There is a three-minute time limit for each speaker. The Board will automatically refer to staff any formal written requests that are brought before them at this time. Written matters may be placed on a future meeting.

4. COMMENTS AND COMMUNICATION

4.01 Teamsters.

4.02 California School Employee's Association (CSEA).

4.03 Alum Rock Administrator's Association (ARAA).

4.04 Alum Rock Educator's Association (AREA).

4.05 Superintendent.

4.06 Board of Trustees/Communications/Comments.

5. CONTRACTS OVER \$100,000-- BOARD DISCUSSION AND/OR ACTION (The Board may take an action on any item in this section, unless it is designated for information only.)

5.01 Approve Contract with IT Management Corporation, Replacement of District's Data Center and Main Distribution Frame at Hubbard, George and Sheppard schools, IT Department, \$237,285.48

6. SUPERINTENDENT / BOARD BUSINESS--BOARD DISCUSSION AND/OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

6.01 Approve Board Member Term Limits of two consecutive four-year terms.

6.02 Approve Resolution No. 24-19/20: Authorizing Closure of Arbuckle Elementary School and Consolidation with Adelante II Academy.

6.03 Approve Amended Board Bylaw 9121 President, Second Reading.

6.04 Approve Board Policy 4119.11/4219.11/4319.11 Sexual Harassment, Second Reading.

6.05 Approve Board Policy 4111/4211/4311 Recruitment and Selection, Second Reading.

6.06 Approve Board Policy 5131 Conduct, Second Reading.

7. INSTRUCTIONAL SERVICES-- BOARD DISCUSSION AND/OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

7.01 Approve Board Policy 5145.7, Sexual Harassment (Students), Second Reading.

7.02 Approve Board Policy 5131.62, Tobacco (Use Prevention Education Program), Second Reading.

7.03 Approve Amended Board Policy 3513.3, Tobacco-Free Schools, Second Reading.

7.04 PRESENTATION:Local Control Accountability Plan (LCAP) Update-Stakeholder Input. (Information Only)

8. BUSINESS--BOARD DISCUSSION AND/OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

8.01 Approve Second Interim Financial Report.

9. BOND / FACILITIES--BOARD DISCUSSION AND/OR ACTION(The Board may take action on any item in this section, unless it is designated for information only.)

9.01 Update on Request for Proposal (RFP) for Program Management. (Information Only)

10. HUMAN RESOURCES-- BOARD DISCUSSION AND/OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

10.01 Resignations. (Information Only)

11. CONSENT CALENDAR-- BOARD DISCUSSION AND/ OR ACTION (The Board may take action on any item in this section, unless it is designated for information only.)

11.01 Approve Board Meeting Minutes for the following dates: (1)June 13, 2019, Amended Minutes for Regular Board Meeting and (2) February 13, 2020, Regular Board Meeting.

11.02 Approve Acceptance of Donations.

11.03 Approve Fundraising Activities.

11.04 Approve Acceptance of Vendor & Payroll Warrants.

11.05 Approve Acceptance of Enrollment/Attendance Report for Month 6 (January 20, 2020 thru February 14, 2020).

11.06 Approve the Santa Clara County Treasury Investment Portfolio Status.

11.07 Approve/Ratify Notices of Employment and Changes of Status/March 12, 2020/Human Resources Department

11.08 Approve School-sponsored Field Trip List

11.09 Approve School Accountability Report Cards (SARC)

11.10 Approve Resolution No. 19-19/20: California Public Schools Month - April 2020

11.11 Approve Resolution No. 20-19/20: Public School Volunteer Week - April 20-24, 2020

11.12 Approve Contracts for Professional Services - Firms/Organizations

11.13 Approve Memorandum(s) of Understanding(s).

11.14 Approve Resolution No. 21-19/20: Dolores Huerta Day - April 10, 2020

12. CLOSED SESSION

12.01 ANNOUNCEMENT AND PUBLIC COMMENTS REGARDING ITEMS TO BE DISCUSSED IN CLOSED SESSION (Government Code Section 54957.7).

12.02 RECESS TO CLOSED SESSION: The Board will recess to Closed Session at approximately 9:00 p.m. Open Session will resume at conclusion of Closed Session.

12.03 CONFERENCE WITH LEGAL COUNSEL-EXISTING LITIGATION (Paragraph (1) of subdivision (d) of Section 54956.9) Name of the cases: Alum Rock Union Elementary School District v. Del Terra Real Estate Services, Inc., et al., Santa Clara County Superior Court case no. 19CV354180; and, Leal & Trejo, APC v. Alum Rock Union Elementary School District, Santa Clara County Superior Court case no. 19CV356647.

12.04 CONFERENCE WITH LEGAL COUNSEL-- ANTICIPATED LITIGATION Significant exposure to Litigation pursuant to paragraph (2) or (3) of subdivision (d) of Section 54956.9: Nine (9) potential cases.

12.05 CONFERENCE WITH LEGAL COUNSEL--ANTICIPATED LITIGATION Significant exposure to Litigation pursuant to paragraph (2) or (3) of subdivision (d) of section 54956.9 : Claim for Damages of CTG Construction, Inc.

12.06 CONFERENCE WITH LABOR NEGOTIATORS, EMPLOYEE ORGANIZATION: CSEA (California School Employees Association); District Negotiators: (1) Hilaria Bauer, Ph.D., Superintendent; (2) Carlos Moran, Assistant Superintendent, Human Resources and (3) Jess Serna, Lead Negotiator.

12.07 CONFERENCE WITH LABOR NEGOTIATORS, EMPLOYEE ORGANIZATION: AREA (Alum Rock Educators Association); District Negotiators: (1) Hilaria Bauer, Ph.D., Superintendent; (2) Carlos Moran, Assistant Superintendent, Human Resources and (3) Jess Serna, Lead Negotiator.

12.08 CONFERENCE WITH LABOR NEGOTIATORS, EMPLOYEE ORGANIZATION: TEAMSTERS; District Negotiators: (1) Hilaria Bauer, Ph.D., Superintendent; (2) Carlos Moran, Assistant Superintendent, Human Resources and (3) Jess Serna, Lead Negotiator.

13. RECONVENE TO OPEN SESSION - DISTRICT OFFICE BOARD ROOM

13.01 REPORT OF ACTION TAKEN IN CLOSED SESSION.

14. RECEIVE REPORTS

15. FUTURE BOARD AGENDA REQUESTS

15.01 Requests from Board of Trustees and/or from the Public. Requests shall be submitted in writing to the Superintendent or designee with supporting documents and information, if any, at least one week before the scheduled meeting date. Items submitted less than a week before the scheduled meeting date may be postponed to a later meeting in order to allow sufficient time for consideration and research of the issue. BB 9322

16. ADJOURNMENT

16.01 President adjourns the meeting.

17. NEXT MEETING(S)

17.01 April 9, 2020 (2019-20 School Year).

2.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION


To the Board of Trustees:


Date: March 2, 2020

Subject: ASES (After School Education and Safety) Program- Agency Presentations

Staff Analysis: ASES providers (Think Together and Citizen Schools) will provide a presentation on the services provided to students in the Alum Rock Union Elementary School District. Think Together serves students at six (6) schools under the ASES grant and provides additional service to our TK/K students at nine (9) schools paid with LCAP funding. Citizen Schools serves students at four (4) middle schools under the ASES grant.

****PRESENTATION/INFORMATION ONLY****

Submitted by: Sandra Garcia  Title: Director of State and Federal Programs

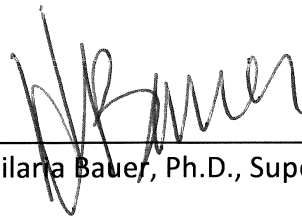
Approved by: Rene Sanchez  Title: Assistant Superintendent of Instructional Services

To the Board of Trustees:

Meeting: March 12, 2020
Regular Board Meeting

2.01

Agenda Placement



Hilana Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

5.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF TRUSTEES

Board of Trustees:

Date: March 12, 2020

Subject: **CONTRACTS OVER \$100,000**

IT Management Corporation E-Rate Category 2 Internal Connections

Staff Analysis: The District has grown its technology use at all levels, such as supporting 1:1 student device and “Bring your own device” initiatives. For these reasons we are seeking a solution to update our core infrastructure capable of handling our current and future needs. The Technology Department posted FCC form 470 for E-Rate’s category 2 internal connections, equipment and installation services. The equipment and services requested are for replacement of District’s data center Nexus 7K switch, two UPS battery backup systems, three main distribution frame (MDF) routers for Hubbard, George, and Sheppard Middle Schools, and 863 licenses for wireless controller (Wi-Fi). The District received two proposals, and the District’s evaluation team using a bid evaluation matrix, selected the highest scored vendor that submitted a detailed proposal that outlines how it will partner with the District to accomplish the District’s objectives without compromising technology standards. The evaluation team found IT Management Corporation’s proposal to be the most responsive based on their high scores in price, past E-Rate experience and completeness of response. Total estimated cost is \$237,285.48 minus \$201,692.66 (E-Rate discount, when approved), remaining actual cost the District would be \$35,592.82 after E-Rate reimbursement.

Funding: Restricted

Recommendation: Staff recommends that the District enter into contract with IT management Corporation for E-Rate eligible Category 2 Internal Connections equipment and services from July 1, 2020 to June 30, 2021. The cost of this contract is \$237,285.48.

Submitted by: Avtar Gill *AS*

Title: Director of IT Support Services

Approved by: Kolvira Chheng *K*

Title: Assistant Superintendent of Business Services

To the Board of Trustees:

Meeting: March 12, 2020
Regular Board Meeting

Recommended Approval

5.01

Agenda Placement

Hilaria Bauer
Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved _____ Not Approved _____ Tabled _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

INDEPENDENT CONTRACTOR AGREEMENT

TO: DIVISION OF BUSINESS SERVICES CONTRACT NO. _____

FROM: ITSS (School/Dept.) VENDOR NO. _____

PROGRAM MANAGER: Avtar Gill

1. **PARTIES:** The Alum Rock Union Elementary School District (ARUESD), whose address is 2930 Gay Avenue, San Jose, CA 95127, and the following named Contractor:

Name of Individual/Company: IT Management Corporation

Address: 5201 Great America Parkway #320 City: Santa Clara State: CA Zip: 95054

Phone: (408) 495-5900 Email Address: maryam@itmgmt.com

SSN: _____ or Fed I.D. #: 26-4508448

Mutually agree and promise as follows:

2. **CONTRACT TERM:** start date 7-1-2020 end date 6-30-2021

3. **CONTRACTOR'S OBLIGATION:** In consideration of the compensation, the Contractor shall provide the following services, materials, products, and/or reports:

A. Description of services to be provided and expected results (e.g. services, materials, products and/or reports). Attach proposals, exhibits and other documentation if necessary:

Replacement of district's data center Nexus 7K switch, APC battery backup system, license for wireless controllers, and

replacement of main distribution frame (MDF) routers at Hubbard, George and Sheppard middle schools.

4. **COMPENSATION:** In consideration of Contractor's provision of services as described above, and subject to the payment provisions expressed herein, ARUESD shall pay Contractor upon Contractor's submission of a properly documented demand for payment (Invoice) which shall be submitted not later than 30 days from the end of the month in which the contract services were rendered, and upon approval of such demand by ARUESD as follows: (Check either a, b, or c)

_____ a. **Fee Rate:** \$ _____ per hour/day of service as may be requested by ARUESD, not to exceed a maximum of _____ hours/days of services. ARUESD may, but is not obligated to, request the maximum number of hours/days of service.

☒ b. **Other:** \$237,285.48 (describe rate agreement) Contingent upon e-rate approval.

5. **BUDGET CODE:**

FUND	DEPARTMENT	PROG/COUNTER	OBJECT	\$ AMOUNT	PROG. TITLE	BUS OFC
03	460	50400	5815	\$237,285.48		

6. **TERMINATION:** This contract may be terminated by ARUESD at its sole discretion, upon 30-day advance written notice thereof to the Contractor, or canceled immediately by written mutual consent.

7. **INDEPENDENT CONTRACTOR STATUS:** This contract is by and between two independent contractors and is not intended to and shall not be construed to create the relationship of agent, servant, employee, partnership, joint venture, or association. In executing this agreement, the Contractor certifies that no one who has or who will have any financial interest under this agreement is an officer or employee of ARUESD. Additionally, as the Contractor is not an ARUESD employee, ARUESD is not responsible for obtaining workers' compensation insurance coverage for the Contractor.

8. **COMPLETENESS OF AGREEMENT:** This agreement constitutes the entire understanding of the parties and any change or modification shall be in writing and signed by both parties hereto.

CONTRACTS MUST BE SUBMITTED 30 DAYS PRIOR TO BOARD MEETING



IT Management Corp.
 5201 Great America Parkway
 Suite 320
 Santa Clara, California 95054
 United States
<http://www.ITMGMT.com>
 (P) (888) 970-2070
 (F) (408) 739-1101

Quote (Open)

Date Feb 25, 2020 03:57 PM PST	Expiration Date 11/30/2020
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Modified Date Mar 02, 2020 03:44 PM PST

Doc # 5722 - rev 2 of 2

Description ARUSD Erate 2020 Cat2Eqp UPS
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SalesRep Sales Representative, Inside (P) 408-837-7000 ext. 1720 (F) 888-506-0606

Customer Contact Gill, Avtar (P) (408) 928-6911 avtar.gill@arUSD.org , accounts.payable@arUSD.org
--



Customer

Alum Rock Union Elementary
 School District (AR0182)
 Gill, Avtar
 2930 Gay Street
 San Jose, CA 95127
 United States
 (P) (408) 928-6800

Bill To

Alum Rock Union Elementary
 School District
 Accounts, Payable
 2930 Gay Street
 San Jose, CA 95127
 United States
accounts.payable@arUSD.org

Ship To

Alum Rock Union Elementary
 School District
 Gill, Avtar
 2930 Gay Street
 San Jose, CA 95127
 United States
avtar.gill@arUSD.org

Shipping Info

Delivery Method: FedEx Ground
 Carrier Account:
 Shipping Instructions:

Payment Method

Terms: Net 22 Days

Public Procurement Awards & Contracts:



#	Description	Part #	Tax Qty	Unit Price	Total
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1 Liebert EXS UPS 20 kVA UPS Solution

Vertiv, Liebert EXS UPS 20 kVA

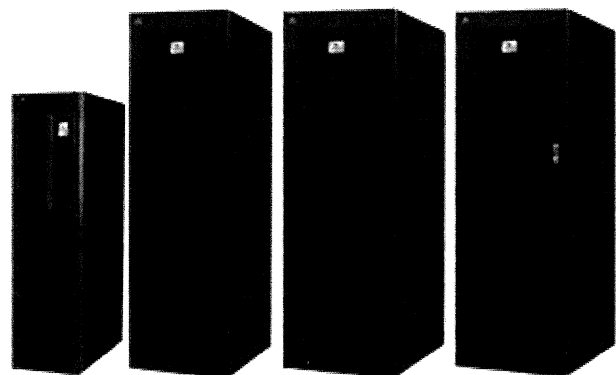
53S20GC8A0AA0GO Yes 1

20 kW Capacity, 3 phase UPS with 4 String(s), 30 minutes back up at half load

The Liebert EXS UPS delivers simplicity, reliability and efficiency in a compact three phase power solution. The integrated design and reduced footprint provides maximum power capacity. Robust availability is ensured from the integrated maintenance bypass and advanced monitoring capabilities. Flexibility is enhanced using output distribution and variable battery runtime options. Low TCO is maintained from the high operating efficiency levels and reduced installation costs.



VERTIV
 Liebert EXS 10-80 kVA



10 - 20 kVA

30 - 40 kVA

60 kVA

80 kVA

#	Description	Part #	Tax Qty	Unit Price	Total
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Note: Liebert EXS, Model 53S20GC8A0AA0GO, 20 kVA / 20 kW Capacity, 3 phase UPS with 4 String(s), 015 minutes back up at full load
System Features:

- True on-line design with PWM sine wave output
- 1.0 (Unity) Output Power Factor Rating
- Input PF correction with wide input voltage and frequency range for longer battery life
- Efficient three-stage charging technique and comprehensive discharging protection
- Single or Dual Input Capability (field configurable)
- Internal static bypass for mission-critical availability
- Integral isolated maintenance bypass breaker
- 30kA withstand rating
- Automatic restart after extended outages
- Input and output noise suppression
- Automatic and manual battery test feature
- Microprocessor-based control and monitoring
- LCD display for user friendly operation to provide local monitoring and configuration of operational parameters
- USB Port Communications
- Two (2) Intellislot Communications Ports
- Battery start capability
- One-year warranty

System Accessories:

Each Liebert EXS ships with the following features:

- Configurable to operate at voltages of 208/120 VAC, or 220/127 VAC, 3PH 4W+Gnd
- Internal long life sealed-valve regulated lead acid batteries (VRLA)
- UPS dimensions: 17.3" W x 29.5" D x 63.0" H
- UPS unit weight 1,174 lbs

Liebert EXS Integrated Output Distribution:

Note: UPS units with the integrated distribution PODs are 6 inches deeper than the dimension stated above

- One (1) PD3-001 - Power Output Distribution, with (2) L21-30R output receptacles with circuit breaker protection

Communications Hardware and Software Options:

- IS-UNITY-LIFE, IntelliSlot Unity Card - Delivers Web, LIFE Services Protocol for remote monitoring by Vertiv Services.

Start Up/Services

StartUp Services No 1

Note: Start-Up services for 53S20GC8A0AA0GO consisting of:

- Start-up of new UPS and internal batteries performed 8x5
- Services performed by Vertiv factory-trained technician
- PowerAssurance Package 5 years

Bundle Subtotal

2 \$24,446.86 \$48,893.72

QUOTE APPROVED & TERMS ACCEPTED BY:

NAME: _____
TITLE: _____
SIGNATURE: _____
DATE: _____
P.O. NUMBER: _____
FAX TO: (888) 506-0606

Subtotal:	\$48,893.72
Tax (9.250%):	\$4,035.83
Shipping:	\$1,850.00
Multiple Award Schedule Contract	
Transaction, IFF & Administration Fees*:	\$0.00
Total:	\$54,779.55

Sales Associates

Preparer: Sales Representative, Inside
Email: isr@itmgmt.com
Phone: 408-837-7000 ext. 1720
Account Manager: IT Management Corp., Account Manager
Email: AM@ITMGMT.com

IT Management Corp. Standard Terms & Conditions

For up to date list of terms and conditions, please visit MSA.ITMGMT.com

Payments:

- One hundred percent (100%) of hardware/software/support/maintenance/training is invoiced upon shipment of order.
- Applicable Sales Taxes, shipping and handling fees are invoiced upon shipment of order.
- You may download a copy of our W9 via following the link:

W-9.ITMGMT.com

Delivery:

- Hardware/Software will be shipped ground unless otherwise specified by Customer.
- Freight on Board (FOB) Origin- IT Management Corp. (IT MGMT) shall, in its best efforts, deliver products and services in a timely manner to Customer.
- For third-party vendor orders, IT MGMT is limited to the delivery schedule and inventory availability by the vendor, and as such, IT MGMT shall not be held responsible for delays in shipping to customer.

No-Hire Provision:

- During the Project and for a period of one year after the completion or termination of the Project, Client will not directly or indirectly solicit, employ or retain in any capacity, or directly or indirectly offer to employ or retain in any capacity, any personnel of Consultant who are working or have worked on the Project.

Confidentiality:

- Customer agrees to treat all Confidential Information as confidential information of IT MGMT, both during and after the term of this engagement.
- Confidential Information means all information and material to which Customer has access in connection with this purchase order including, but not limited to, IT MGMT documentation, financials, marketing, sales, estimates of work, and quotations. Customer agrees to use the Confidential Information received under this quotation solely for the purposes of performing its obligations under this quotation. Customer will not disclose or make Confidential Information available to any third party, except as specifically authorized by IT MGMT in writing.

Contract Administration Fees:

*Multiple Award Schedule contracts fees and Industrial Funding Fee (IFF) payments is a critical component of contract compliance and contract maintenance. Government agencies prefer to procure through the MAS programs such as CMAS, GSA, PPEPM and others incur the IFF because of the resulting benefits, particularly compared to the cost of the customer staff time needed to award a new procurement. The Industrial Funding Fee is a fee to cover the cost of operating the Federal Supply Schedules (FSS) or other programs. This fee is a fixed percentage of reported sales the Schedule contracts that is paid quarterly by contractors. Each MSA Program fee varies depending on the IFF scheduled published by the authoritative entity.



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 Suite 320
 Santa Clara, California 95054
 United States
<http://www.ITMGMT.com>
 (P) (888) 970-2070
 (F) (408) 739-1101

Quote (Open)

Date Feb 26, 2020 09:26 AM PST	Expiration Date 03/18/2020
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Modified Date
 Feb 27, 2020 01:24 PM
 PST

Doc #
 5730 - rev 2 of 2

Description
 ARUSD Erate 2020 Cat2Eqp Cisco

SalesRep
 Account , Manager
 (P) 408-721-1400
 (F) 408-739-1101

Customer Contact
 Chavez, Jose
 (P) (408) 928-6911
 (F) (408) 928-6415
jchavez@arUSD.org

Customer

Alum Rock Union Elementary
 School District (AR0182)
 Chavez, Jose
 2930 Gay Street
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accounts.payable@arUSD.org

Ship To

Alum Rock Union Elementary
 School District
 Gill, Avtar
 2930 Gay Street
 San Jose, CA 95127
 United States
avtar.gill@arUSD.org

Shipping Info

Delivery Method: FedEx
 Ground
 Carrier Account:
 Shipping Instructions:

Payment Method

Terms: Net 22 Days

Public Procurement Awards & Contracts:



#	Description	Part #	Tax	List Price	% off	Qty	Unit Price	Total
						List		
Cisco 4500 Routers with Licences & Accessories								
1	Cisco Catalyst 4500-X Switch - 16 x 10 Gigabit SFP+ - rack-mountable	WS-C4500X-16SFP+	Yes	\$27,630.00	57.00%	3	\$11,880.90	\$35,642.70
2	Cisco SMARTnet Extended service agreement - replacement - 8x5 - response time: NBD - for P/N: WS-C4500X-16SFP+, WS-C4500X16SFP+-RF, WS-C4500X16SFP+-WS	CON-SNT-WSC16SFX	No	\$1,310.00	25.00%	3	\$982.50	\$2,947.50
3	Cisco Front-to-Back Cooling Power supply - hot-plug / (plug-in module) - AC 100-240 V - 750 Watt - for Catalyst 4500-X	C4KX-PWR-750AC-R	Yes	\$2,730.00	57.00%	3	\$1,173.90	\$3,521.70
4	Cisco IOS Enterprise Services Product upgrade license - upgrade from Cisco IOS IP Base - for Catalyst 4500-X	C4500X-16P-IP-ES	No	\$5,313.00	57.00%	3	\$2,284.59	\$6,853.77
							Subtotal	\$48,965.67
Cisco 9500 Core Switches for Data Center 4500 with Licences & Accessories								
5	Cisco Catalyst 9500 Network Advantage - switch - L3 - managed - 48 x 25 Gigabit SFP28 - rack-mountable	C9500-48Y4C-A	Yes	\$23,500.00	57.00%	2	\$10,105.00	\$20,210.00
6	Cisco SMARTnet Extended service agreement - replacement - 8x5 - response time: NBD - for P/N: C9500-48Y4C-A, C9500-48Y4C-A=	CON-SNT-C9504YA4	No	\$1,692.00	25.00%	2	\$1,269.00	\$2,538.00

#	Description	Part #	Tax	List Price	% off List	Qty	Unit Price	Total
7	Cisco Power supply - 650 Watt - for Catalyst 9500	C9K-PWR-650WAC-R/2	Yes	\$2,100.00	45.00%	2	\$1,155.00	\$2,310.00
8	Cisco Network and Digital Network Architecture Advantage Term License (5 years) - 1 switch	C9500-DNA-A-5Y	No	\$20,170.00	57.00%	2	\$8,673.10	\$17,346.20
								Subtotal \$42,404.20
Cisco DNA Essentials for the Wireless Controller								
9	Cisco Systems Aironet Digital Network Architecture Essentials Term License (3 years) DNA Subscription for Wireless	AIR-DNA-E-3Y	No	\$225.00	57.00%	883	\$96.75	\$85,430.25
								Subtotal \$85,430.25

QUOTE APPROVED & TERMS ACCEPTED BY:

NAME: _____
 TITLE: _____
 SIGNATURE: _____
 DATE: _____
 P.O. NUMBER: _____
 FAX TO: (888) 506-0606

Subtotal: \$176,800.12
 Discount: -\$0.00
 Tax (9.250%): \$5,705.81
 Shipping: \$0.00
 Multiple Award Schedule Contract Transaction, IFF & Administration Fees*: \$0.00
Total: \$182,505.93
 (List Price: \$404,548.00)

Sales Associates

Preparer: Account , Manager
 Email: SLED@itmgmt.com
 Phone: 408-721-1400
 Account Manager: IT Management Corp., Account Manager
 Email: AM@ITMGMT.com

IT Management Corp. Standard Terms & Conditions

For up to date list of terms and conditions, please visit MSA.ITMGMT.com

Payments:

- One hundred percent (100%) of hardware/software/support/maintenance/training is invoiced upon shipment of order.
- Applicable Sales Taxes, shipping and handling fees are invoiced upon shipment of order.
- You may download a copy of our W9 via following the link:
W-9.ITMGMT.com

Delivery:

- Hardware/Software will be shipped ground unless otherwise specified by Customer.
- Freight on Board (FOB) Origin- IT Management Corp. (IT MGMT) shall, in its best efforts, deliver products and services in a timely manner to Customer.
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- Confidential Information means all information and material to which Customer has access in connection with this purchase order including, but not limited to, IT MGMT documentation, financials, marketing, sales, estimates of work, and quotations. Customer agrees to use the Confidential Information received under this quotation solely for the purposes of performing its obligations under this quotation. Customer will not disclose or make Confidential Information available to any third party, except as specifically authorized by IT MGMT in writing.

Contract Administration Fees:

*Multiple Award Schedule contracts fees and Industrial Funding Fee (IFF) payments is a critical component of contract compliance and contract maintenance. Government agencies prefer to procure through the MAS programs such as CMAS, GSA, PPEPM and others incur the IFF because of the resulting benefits, particularly compared to the cost of the customer staff time needed to award a new procurement. The Industrial Funding Fee is a fee to cover the cost of operating the Federal Supply Schedules (FSS) or other programs. This fee is a fixed percentage of reported sales the Schedule contracts that is paid quarterly by contractors. Each MSA Program fee varies depending on the IFF scheduled published by the authoritative entity.

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

6.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **Approve Board Member Term Limits of two consecutive four-year terms.**

Approve

Submitted by: Hilaria Bauer, Ph.D. Title: Superintendent

To the Board of Trustees:

Meeting:

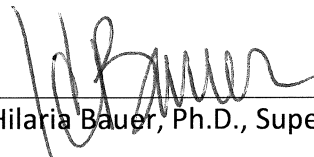
March 12, 2020

Regular Board Meeting

Approve

6.01

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue
San José, CA 95127

6.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: At the January 16, 2020, Regular Board Meeting (agenda item 7.10) the Board took an action to approve establishing a District Advisory Committee regarding school capacity issues, facility utilization and school transformation (Education Code Section 17387). The committee members appointed by the Superintendent included parents (Maria Perez (Arbuckle) and Imelda Bautista (Adelante II)), teachers (Jaskaml Kaur (Arbuckle) and Alejandra Torres (Adelante II)), Dr. Villalobos (Principal of Cesar Chavez Elementary School) and Jocelyn Merz (AREA President). These committee held two meetings: February 11 and February 27. The meetings were open to the public. The committee was supported and facilitated by Superintendent Hilaria Bauer and Assistant Superintendent Kolvira Chheng. The purpose of the Advisory Committee was to obtain stakeholder input regarding the closure of Arbuckle and to delineate a transition plan for the Arbuckle students to other schools within Alum Rock. The transition plan for staff members will follow the stipulated process on each unit bargaining agreement. The committee was presented with historical enrollment data for each of the District schools for the last 5 years. A copy of the data is included. The data shows that since 2015-2016 Arbuckle Elementary has experienced the steepest decline in enrollment (62% decline). The data also shows that since its opening in 2017-2018, Adelante II Academy has had the greatest increase in enrollment (87%) in that time period. Superintendent Bauer shared with the committee that she had met with Arbuckle staff and a group of parents in June of 2019 alerting them of the possibility of closing Arbuckle.

In light of the data, the committee was asked to consider the steeply declining enrollment at Arbuckle and the increased interest in the Adelante II educational program, including potentially the closure of Arbuckle and to maintain Adelante II on the site. Based on this consideration, the committee was asked to provide input on the best way to inform staff and families in Arbuckle about this recommendation. During the first Advisory Committee meeting, the committee recommended to hold an Arbuckle community meeting on February 25. During this meeting, the committee recommended to share with stakeholders the recommendation, to have a transfer plan for students and families, and to invite principals of nearby schools to provide specific information about the schools. The committee's intention was to address as much as possible potential questions and concerns. The committee also recommended to have a second Advisory Committee meeting to debrief and address issues once the stakeholders meeting was held. Both of these meetings were held, and the second phase of the transition plan will take place pending on board action. The follow up plan includes a second stakeholder meeting to ensure all families are informed, and to hold a recruitment event on site to allow families to make decisions about their school choice for school year 2020-2021.

In light of the enrollment data and need to maximize District facilities and human resources for the benefit of all students, Administration is recommending that the Board approve Resolution #24-19/20 submitted herewith for the closing of the Arbuckle Elementary School and the consolidation of its programs and attendance boundaries with Adelante II Academy."

APPROVE

Submitted by: Hilaria Bauer

Title: Superintendent

To the Board of Trustees:

Meeting:

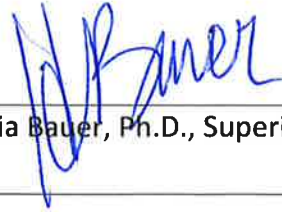
March 12, 2020

Regular Board Meeting

Approval

6-02

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____

Seconded by: _____

Approved: _____

Not Approved: _____

Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Resolution # 24 19/20

**Authorizing Closure of Arbuckle Elementary School and Consolidation with
Adelante II Academy**

March 12, 2020

WHEREAS, the District's Adelante II Academy was opened in the 2017-2018 school year and currently serves 225 students in grades TK-4;

WHEREAS, the Adelante II Academy is a dual language academy whose mission is to provides an opportunity for all students to achieve bilingual proficiency and to develop multicultural competencies through a rigorous English and Spanish dual language instruction program;

WHEREAS, the Adelante II Academy and Arbuckle Elementary School are both located at 1970 Cinderella Lane, San Jose, CA, and share the school site facilities at that location;

WHEREAS, enrollment at Arbuckle Elementary School has declined 62% since the 2015-2016 instructional year (from 328 enrollment in 2015-2016 to 124 enrollment in current year);

WHEREAS, enrollment at Adelante II Academy has increased by 87% since its opening (from 188 enrollment in 2017-2018 to 225 enrollment in current year);

WHEREAS, the District has encountered and continues to encounter a budgetary impact based on declining enrollment;

WHEREAS, the District recognizes that the consolidation of schools may be in the best interests of the District community to provide the best academic and support the environment and the most efficient use of resources for all students now and in the future; and

WHEREAS, there is a desire to enhance greater access to the District's successful dual language academy like Adelante II Academy and to create the opportunity to serve more students desiring to attend the Adelante II Academy.

NOW, THEREFORE, BE IT RESOLVED, that the Board of Trustees approves the closure of Arbuckle Elementary School and its consolidation with the Adelante II Academy at the 1970 Cinderella Lane, San Jose, CA site, effective the 2020-2021 school year and which consolidated school shall be named and operated as "Adelante 2 Academy"; and

BE IT FURTHER RESOLVED, that the Adelante II Academy attendance area beginning the 2020-2021 school year shall be the same as the current Arbuckle Elementary School attendance area; and

BE IT FURTHER RESOLVED, that the Administration is directed to timely notify the California Department of Education regarding the closure and consolidation of the Arbuckle Elementary School.

ADOPTED this 12th day of March 2020, by the Board of Trustees of the Alum Rock Union Elementary School District Board, in Santa Clara County, California.

Ayes____ Noes____ Absent____ Abstain____

Board of Trustees:

Ernesto Bejarano, President _____

Corina Herrera-Loera , Vice President _____

Andrés Quintero, Clerk _____

Dolores Marquez-Frausto , Member _____

Linda Chavez, Member _____

I HEREBY CERTIFY that the foregoing resolution was duly and regularly introduced, passed, and adopted by the members of the Board of Trustees of the Alum Rock Union Elementary School District at a public meeting of said Board held on March 12, 2020.

Hilaria Bauer, Ph.D., Superintendent

Date

RESOLUTION NUMBER 24-19/20- Page 2 of 2

Summary of Enrollm

School	2015-16	2016-17	Changes from PY	2017-18	Changes from PY	2018-19
Adelante 2 ES	0	0	-	118	118	172
Adelante K-8	586	603	17	513	(90)	528
Aptitud K-8	442	469	27	443	(26)	417
Arbuckle ES	328	301	(27)	265	(36)	223
Cassell ES	418	427	9	406	(21)	389
Chavez ES	456	397	(59)	373	(24)	344
Cureton ES	506	500	(6)	425	(75)	385
Dorsa ES	489	418	(71)	371	(47)	371
Hubbard K-8	525	588	63	594	6	622
Linda Vista ES	569	546	(23)	527	(19)	512
LUCHA ES	249	289	40	305	16	283
Lyndale ES	427	411	(16)	395	(16)	334
McCollam ES	423	434	11	493	59	502
Meyer ES	522	436	(86)	379	(57)	369
Painter ES	401	379	(22)	374	(5)	334
Russo McEntee ES	589	558	(31)	523	(35)	446
Ryan ES	418	385	(33)	364	(21)	305
San Antonio ES	441	404	(37)	390	(14)	344
Fischer MS	423	352	(71)	321	(31)	289
George MS	607	572	(35)	559	(13)	521
Mathson MS	368	325	(43)	301	(24)	293
Ocala MS	477	489	12	477	(12)	449
Renaissance 1 MS	285	300	15	293	(7)	308
Renaissance 2 MS	289	289	-	272	(17)	278
Sheppard MS	677	622	(55)	604	(18)	601
Home/Hosp	4	3	(1)	4	1	4
Total	10,919	10,497	(422)	10,089	(408)	9,623

ent - ARUSD

Changes from PY	2019-20	Changes from PY	Total Changes Since FY 2015-16
54	225	53	225
15	522	(6)	(64)
(26)	408	(9)	(34)
(42)	124	(99)	(204)
(17)	362	(27)	(56)
(29)	305	(39)	(151)
(40)	376	(9)	(130)
-	351	(20)	(138)
28	592	(30)	67
(15)	491	(21)	(78)
(22)	269	(14)	20
(61)	297	(37)	(130)
9	525	23	102
(10)	356	(13)	(166)
(40)	299	(35)	(102)
(77)	409	(37)	(180)
(59)	300	(5)	(118)
(46)	323	(21)	(118)
(32)	301	12	(122)
(38)	509	(12)	(98)
(8)	245	(48)	(123)
(28)	452	3	(25)
15	295	(13)	10
6	267	(11)	(22)
(3)	583	(18)	(94)
-	4	-	-
(466)	9,190	(433)	(1,729)

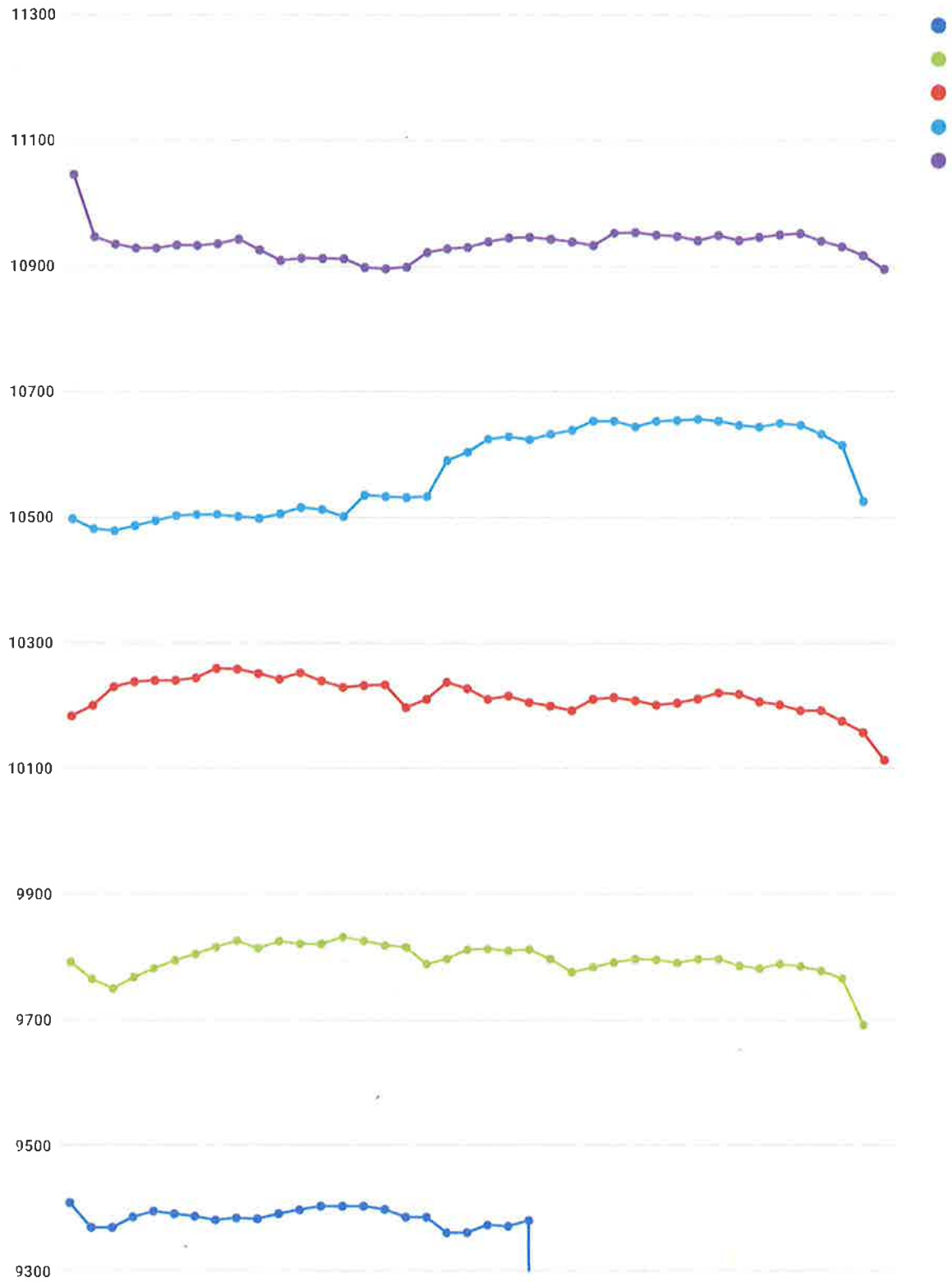
2019-20 ENROLLMENT BY WEEK												2019-20 Enrollment				
Wk	T4	TK	00	01	02	03	04	05	06	07	08	Sub Ttl w/o SDC	SDC	Total w/SDC	PreK	Ttl w/SDC & PreK
-3	164	198	867	937	949	1015	988	989	1006	1026	1002	9141	269	9410	62	9472
-2	172	213	892	946	955	1,024	991	978	1,013	1,031	1,007	9222	275	9497	67	9564
-1	190	234	934	975	985	1,045	1,014	996	1,053	1,056	1,042	9524	270	9794	68	9862
1	184	228	900	930	945	1,021	973	949	982	1,022	1,015	9149	260	9409	71	9480
2	195	223	895	925	950	1016	968	942	964	1014	1012	9104	266	9370	62	9432
3	199	225	894	922	951	1013	970	942	962	1015	1010	9103	267	9370	63	9433
4	199	224	898	923	952	1018	970	943	964	1015	1010	9116	271	9387	62	9449
5	199	226	899	922	954	1017	973	940	966	1005	1010	9111	285	9396	63	9459
6	199	228	895	921	953	1022	972	940	964	1004	1008	9106	286	9392	67	9459
7	199	226	899	922	953	1022	964	941	966	1003	1007	9102	286	9388	67	9455
8	197	227	900	920	952	1025	962	941	963	1004	1006	9097	285	9382	67	9449
9	202	226	899	922	950	1025	964	942	961	1005	1004	9100	285	9385	68	9453
10	202	228	899	926	949	1025	959	942	961	1001	1005	9097	287	9384	71	9455
11	202	228	900	926	948	1026	960	943	961	1001	1005	9100	292	9392	72	9464
12	202	229	903	923	949	1028	961	943	960	1001	1007	9106	292	9398	72	9470
13	201	229	904	924	950	1032	961	941	963	1002	1007	9114	290	9404	77	9481
14	201	229	904	924	950	1032	961	941	963	1002	1007	9114	290	9404	77	9481
15	201	229	904	924	950	1032	961	941	963	1002	1007	9114	290	9404	77	9481
16	199	228	902	922	948	1027	961	945	962	1004	1010	9108	291	9399	75	9474
17	181	243	903	923	950	1028	961	940	960	1004	1008	9101	286	9387	74	9461
18	181	243	903	923	950	1028	961	940	960	1004	1008	9101	286	9387	74	9461
19	115	308	898	926	939	1023	959	941	958	1002	1004	9073	289	9362	76	9438
20	97	327	895	927	942	1028	957	943	956	1002	1000	9074	288	9362	75	9437
21	95	332	896	927	945	1025	958	946	958	1004	1001	9087	287	9374	76	9450
22	83	344	896	929	941	1022	958	947	959	1004	1003	9086	286	9372	76	9448
23	70	360	900	928	944	1020	958	947	960	1006	1003	9096	285	9381	74	9455
24												0		0		0
25												0		0		0
26												0		0		0
27												0		0		0
28												0		0		0
29												0		0		0
30												0		0		0
31												0		0		0
32												0		0		0
33												0		0		0
34												0		0		0
35												0		0		0
36												0		0		0
37												0		0		0
38												0		0		0
39												0		0		0
40												0		0		0

2018-19 Enrollment					2017-18 Enrollment			2016/17 Enrollment			2015-16 Enrollment			2014-1
Sub Ttl w/o SDC	SDC	Total w/SDC	PreK	Ttl w/SDC & PreK	Sub Ttl w/o SDC	Total w/SDC	Ttl w/SDC & PreK	Sub Ttl w/o SDC	Total w/SDC	Ttl w/SDC & PreK	Sub Ttl w/o SDC	Total w/SDC	Ttl w/SDC & PreK	Sub Ttl w/o SDC
9466	264	9730	54	9784	10008	10333	10386	10267	10643	10701	10576	10961	11031	10867
9552	264	9816	54	9870	10132	10460	10514	10367	10746	10804	10751	11139	11206	11007
9696	267	9963	53	10016	10269	10598	10654	10507	10886	10945	10844	11233	11297	11280
9526	266	9792	53	9845	9870	10183	10237	10124	10498	10555	10659	11046	11114	11067
9499	266	9765	54	9819	9881	10200	10253	10110	10482	10539	10562	10947	11013	10943
9483	267	9750	54	9804	9910	10230	10283	10108	10479	10536	10548	10935	11002	10956
9502	266	9768	55	9823	9921	10238	10293	10115	10487	10546	10542	10929	10999	10972
9516	266	9782	55	9837	9924	10240	10297	10122	10495	10554	10540	10929	10999	10965
9527	268	9795	55	9850	9923	10240	10298	10131	10503	10563	10544	10934	11003	10953
9537	268	9805	55	9860	9926	10244	10304	10136	10505	10565	10540	10933	11005	10965
9547	269	9816	56	9872	9941	10259	10320	10134	10505	10566	10544	10936	11008	10956
9551	275	9826	59	9885	9943	10258	10320	10130	10502	10565	10551	10943	11017	10941
9541	273	9814	60	9874	9934	10251	10316	10128	10499	10562	10532	10926	11001	10950
9551	274	9825	60	9885	9924	10242	10308	10135	10506	10570	10513	10909	10981	10952
9547	274	9821	60	9881	9918	10252	10319	10144	10516	10580	10518	10913	10986	10965
9547	274	9821	60	9881	9920	10239	10306	10142	10513	10577	10516.5	10912.5	10988.5	10962
9555	277	9832	63	9895	9910	10229	10294	10134	10502	10565	10515	10912	10991	10930
9550	276	9826	64	9890	9914	10232	10298	10166	10536	10599	10496	10898	10978	10926
9543	276	9819	63	9882	9914	10233	10299	10164	10534	10597	10496	10896	10976	10908
9539	277	9816	63	9879	9878	10197	10265	10162	10532	10595	10492	10899	10979	10899
9513	276	9789	65	9854	9891	10210	10280	10165	10534	10600	10517	10922	11002	10899
9521	276	9797	67	9864	9918	10237	10307	10222	10591	10660	10524	10928	11008	10903
9534	278	9812	66	9878	9910	10227	10298	10234	10604	10674	10526	10930	11009	10898
9530	283	9813	68	9881	9893	10210	10282	10256	10625	10696	10535	10939	11020	10891
9527	283	9810	68	9878	9896	10215	10288	10261	10629	10700	10541	10945	11027	10899
9529	283	9812	68	9880	9886	10205	10278	10255	10624	10698	10541	10946	11028	10898
9516	281	9797	70	9867	9880	10199	10272	10261	10633	10708	10538	10943	11027	10897
9496	280	9776	72	9848	9873	10192	10265	10266	10639	10715	10535	10939	11025	10907
9503	281	9784	73	9857	9891	10210	10283	10280	10654	10731	10528	10933	11018	10907
9512	280	9792	76	9868	9894	10213	10286	10281	10654	10732	10548	10953	11035	10912
9517	280	9797	77	9874	9888	10208	10287	10273	10645	10723	10541	10954	11035	10902
9516	280	9796	77	9873	9879	10201	10281	10281	10654	10733	10536	10950	11031	10900
9513	278	9791	77	9868	9882	10204	10284	10284	10655	10736	10533	10948	11030	10899
9518	279	9797	76	9873	9889	10211	10291	10286	10657	10738	10525	10941	11024	10902
9518	279	9797	76	9873	9898	10220	10300	10284	10654	10736	10533	10949	11033	10906
9508	278	9786	78	9864	9896	10218	10298	10278	10647	10728	10526	10941	11026	10899
9506	276	9782	79	9861	9882	10206	10289	10279	10644	10725	10531	10946	11031	10917
9513	276	9789	78	9867	9877	10201	10284	10285	10650	10733	10535	10950	11037	10916
9508	277	9785	79	9864	9868	10192	10275	10282	10647	10730	10538	10952	11039	10913
9502	276	9778	78	9856	9868	10192	10277	10269	10633	10715	10526	10940	11027	10905
9490	276	9766	79	9845	9852	10175	10261	10250	10615	10697	10518	10931	11019	10887
9417	275	9692	79	9771	9833	10157	10243	10165	10526	10608	10505	10917	11005	10867
					9792	10113	10199				10483	10895	10983	

15 Enrollment 2013-14 Enrollment 2012-13 Enrollment

Total w/SDC	Ttl w/SDC & PreK	Sub Ttl w/o SDC	Total w/SDC	Ttl w/SDC & PreK	Sub Ttl w/o SDC	Total w/SDC	Ttl w/SDC & PreK
11262	11331	11381	11748	11808	11660	12010	12073
11402	11471	11448	11820	11879	11927	12285	12340
11680	11746	11617	11990	12046	12074	12435	12490
11468	11532	11554	11925	11980	11892	12252	12307
11324	11385	11373	11742	11796	11721	12080	12137
11338	11400	11382	11754	11809	11669	12030	12087
11355	11417	11394	11767	11822	11677	12038	12092
11353	11413	11401	11778	11834	11704	12066	12119
11338	11399	11401	11779	11838	11718	12083	12139
11349	11411	11404	11787	11847	11713	12080	12136
11340	11406	11406	11787	11848	11721	12092	12152
11328	11395	11404	11784	11844	11717	12088	12150
11341	11408	11403	11784	11848	11705	12079	12143
11341	11410	11395	11778	11843	11721	12096	12165
11350	11418	11392	11778	11845	11711	12086	12152
11353	11421	11375	11762	11831	11702	12079	12145
11319	11389	11367	11758	11828	11698	12073	12139
11315	11387	11366	11761	11833	11694	12070	12137
11299	11371	11343	11735	11808	11695	12075	12143
11292	11366	11335	11729	11805	11686	12066	12134
11294	11367	11335	11727	11803	11683	12062	12131
11297	11371	11358	11750	11826	11686	12066	12136
11292	11366	11366	11758	11834	11698	12076	12147
11283	11358	11370	11763	11840	11689	12067	12139
11292	11367	11385	11781	11863	11703	12084	12155
11292	11368	11382	11778	11860	11684	12064	12136
11292	11369	11378	11773	11855	11673	12053	12126
11303	11381	11374	11769	11851	11662	12042	12116
11303	11382	11374	11771	11855	11677	12058	12132
11309	11388	11386	11785	11872	11682	12060	12136
11302	11381	11395	11795	11884	11686	12067	12143
11300	11379	11397	11800	11888	11689	12069	12145
11298	11377	11405	11806	11894	11689	12069	12146
11303	11382	11404	11806	11894	11690	12070	12149
11306	11389	11402	11804	11893	11691	12070	12149
11299	11382	11401	11803	11892	11691	12069	12149
11318	11403	11400	11803	11892	11687	12064	12144
11315	11402	11406	11809	11898	11689	12069	12149
11312	11399	11411	11814	11902	11689	12070	12151
11303	11390	11402	11809	11898	11700	12081	12162
11286	11373	11387	11792	11882	11682	12064	12144
11266	11353	11364	11769	11858	11662	12044	12124
		11338	11744	11833	11642	12024	12104

Multi-year ARUSD enrollment chart



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

Office of Superintendent of Schools

6.03

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **DISCUSSION/ACTION: Board Bylaw 9121, President – Second Reading**

Attached is the second reading for Board Bylaw 9121, President.

Recommendation:

Staff recommends approval of second reading.

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

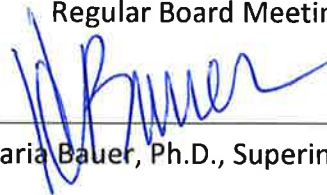
Meeting:

March 12, 2020

Recommend Approval

Regular Board Meeting

6.03



Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union ESD

Board Bylaw

President and Vice President

BB 9121

Board Bylaws

The Board of Trustees shall elect a president and vice president from among its members to provide leadership on behalf of the Board and the educational community it serves.

(cf. 9000 - Role of the Board)

(cf. 9005 - Governance Standards)

(cf. 9100 - Organization)

Upon assuming the role of president or vice president, prior to the next regularly scheduled meeting, the president and vice president and the district's General Counsel will schedule and hold an orientation. The orientation shall provide an overview of the president and vice president's roles and responsibilities. Furthermore, the orientation will provide an overview of the pertinent sections of the bylaws that give or limit the authority of the president and vice president. The orientation will take place on an annual basis. General Counsel will provide the president and vice president a select list of bylaws that outline their roles and responsibilities.

On a monthly basis, the Board of Trustees will be provided with a dollar amount and number of hours that the president and vice president has incurred in legal services.

Any and all requests of General Counsel, other than legal advice as currently permitted under the bylaws, that by their nature will incur cost to Alum Rock School District, will be brought to the Board and will proceed only with an affirmative vote of the majority of the Board.

To ensure that Board meetings are conducted in an efficient, transparent, and orderly manner, the president or vice president shall:

1. Call such meetings of the Board as he/she may deem necessary, giving notice as required by law

(cf. 9320 - Meetings and Notices)

(cf. 9321 - Closed Session Purposes and Agendas)

2. Consult with the Superintendent or designee on the preparation of Board meeting agendas

(cf. 9322 - Agenda/Meeting Materials)

3. Call the meeting to order at the appointed time and preside over the meeting

4. Announce the business to come before the Board in its proper order
5. Enforce the Board's bylaws related to the conduct of meetings and help ensure compliance with applicable requirements of the Brown Act
6. Recognize persons who desire to speak, and protect the speaker who has the floor from disturbance or interference
7. Facilitate the Board's effective deliberation, ensuring that each Board member has an opportunity to participate in the deliberation and that the discussion remains focused
8. Rule on issues of parliamentary procedure
9. Put motions to a vote, and clearly state the results of the vote

(cf. 9323 - Meeting Conduct)

The president and vice president shall have the same rights as other members of the Board, including the right to discuss and vote on all matters before the Board.

The president or vice president shall perform other duties in accordance with law and Board policy including, but not limited to:

1. Signing all instruments, acts, orders, and resolutions necessary to comply with legal requirements and carry out the will of the Board
2. Working with the Superintendent or designee to ensure that Board members have necessary materials and information
3. Subject to Board approval, appointing and dissolving all committees

(cf. 9130 - Board Committees)

4. In conjunction with the Superintendent or designee, representing the district as the Board's spokesperson in communications with the media

(cf. 1112 - Media Relations)

5. Leading the Board's advocacy efforts to build support within the local community and at the state and national levels

When the president resigns or is absent, the vice president shall perform the president's duties. When both the president and vice president are absent, the clerk shall perform the president's duties.

(cf. 9123 - Clerk)

Legal Reference:

EDUCATION CODE

35022 President of the board

35143 Annual organizational meetings; dates and notice

GOVERNMENT CODE

54950-54963 Ralph M. Brown Act

Management Resources:

CSBA PUBLICATIONS

Call to Order: A Blueprint for Great Board Meetings, 2015

Board Presidents' Handbook, revised 2002

CSBA Professional Governance Standards, 2000

WEB SITES

CSBA: <http://www.csba.org>

Bylaw ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

adopted: July 2, 2013 San Jose, California

~~Revised:~~ October 10, 2019

~~Revised: January 16 February March 132, 2020~~

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

6.04

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **DISCUSSION/ACTION: Board Policy 4119.11/4219.11/4319.11 Sexual Harassment -
Second Reading**

Attached is the second reading for Board Policy 4119.11/4219.11/4319.11 – Sexual Harassment.

Recommendation:

Staff recommends approval of second reading.

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting:

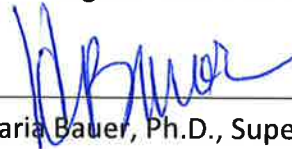
March 12, 2020

Recommend Approval

Regular Board Meeting

6.04

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union ESD

Board Policy

Sexual Harassment

BP 4119.11/~~4219.11/4319.11~~

Personnel

The Board of Trustees is committed to providing a safe work environment that is free of harassment and intimidation. ~~–The Board prohibits sexual harassment of against district employees and job applicants. –The Board also and prohibits~~ retaliatory behavior or action against ~~district employees or other~~ any persons who complains, ~~testify~~testifies, or otherwise participates in the complaint process established ~~pursuant to for the purpose of~~ this policy ~~and administrative regulation.~~

Sexual harassment includes, but is not limited to, harassment that is based on the gender, gender identity, gender expression, or sexual orientation of the victim.

This policy shall apply to all district employees and to other persons on district property or with some employment relationship with the district, such as interns, volunteers, contractors, and job applicants.

(cf. 0410 - Nondiscrimination in District Programs and Activities)

(cf. 4030 - Nondiscrimination in Employment)

Any district employee who engages or participates in sexual harassment or who aids, abets, incites, compels, or coerces another to commit sexual harassment in violation of this policy is subject to disciplinary action, up to and including dismissal.

(cf. 4117.4 - Dismissal)

(cf. 4118 - Suspension/Disciplinary Action)

(cf. 4218 - Dismissal/Suspension/Disciplinary Action)

The Superintendent or designee shall take all actions necessary to ensure the prevention, investigation, and correction of sexual harassment, including but not limited to:

1. Providing training to employees in accordance with law and administrative regulation
2. Publicizing and disseminating the district's sexual harassment policy to employees and others to whom the policy may apply
staff

(cf. 4112.9/4212.9/4312.9 - Employee Notifications)

3. Ensuring prompt, thorough, and fair investigation of complaints

4. Taking timely and appropriate corrective/remedial action(s), which may require interim separation of the complainant and the alleged harasser and subsequent monitoring of developments

The Superintendent or designee shall periodically evaluate the effectiveness of the district's strategies to prevent and address harassment. Such evaluation may involve conducting regular anonymous employee surveys to assess whether harassment is occurring or is perceived to be tolerated, partnering with researchers or other agencies with the needed expertise to evaluate the district's prevention strategies, and using any other effective tool for receiving feedback on systems and/or processes. As necessary, changes shall be made to the harassment policy, complaint procedures, or training.

Sexual Harassment Reports and Complaints

All complaints and allegations of sexual harassment shall be kept confidential to the extent necessary to carry out the investigation or to take other subsequent necessary actions. —(5 CCR-4964)

Any district employee ~~or job applicant~~ who feels that he/she has been sexually harassed in the performance of his/her district responsibilities or who has knowledge of any incident of sexual harassment by or against another employee, ~~a job applicant or a student~~, shall immediately report the incident to his/her direct supervisor, another supervisor, the principal, district administrator, the district's coordinator for nondiscrimination, or the Superintendent, or, if available, a complaint hotline or an ombudsman.

A supervisor, ~~principal~~ or other ~~district~~ administrator who receives a harassment complaint shall promptly notify the Superintendent or designee coordinator.

Complaints of sexual harassment shall be filed and investigated in accordance with the complaint procedure specified in AR 4031-4030 - Complaints Concerning Nondiscrimination in Employment. An employee may bypass his/her supervisor in filing a complaint where the supervisor is the subject of the complaint.

(cf. 4031-4030 - Complaints Concerning Nondiscrimination in Employment)

All complaints and allegations of sexual harassment shall be kept confidential to the extent

necessary to carry out the investigation or to take other subsequent necessary actions. (2 CCR 11023)

~~Any district employee who engages or participates in sexual harassment or who aids, abets, incites, compels, or coerces another to commit sexual harassment against a district employee, job applicant, or student is in violation of this policy and is subject to disciplinary action, up to and including dismissal.~~

~~(cf. 4117.4—Dismissal)~~

~~(cf. 4118—Suspension/Disciplinary Action)~~

~~(cf. 4218—Dismissal/Suspension/Disciplinary Action)~~

Legal Reference:

EDUCATION CODE

200-262.4 Prohibition of discrimination on the basis of sex

GOVERNMENT CODE

12900-12996 Fair Employment and Housing Act, especially:

12940 Prohibited discrimination

12950 Sexual harassment; distribution of information

12950.1 Sexual harassment training

LABOR CODE

1101 Political activities of employees

1102.1 Discrimination: sexual orientation

CODE OF REGULATIONS, TITLE 2

7287.8 Retaliation

7288.0 Sexual harassment training and education

11009 Employment discrimination

11021 Retaliation

11023 Harassment and discrimination prevention and correction

11024 Sexual harassment training and education

11034 Terms, conditions, and privileges of employment

CODE OF REGULATIONS, TITLE 5

4900-4965 Nondiscrimination in elementary and secondary education programs receiving state financial assistance

UNITED STATES CODE, TITLE 20

1681-1688 Title IX prohibition against discrimination

UNITED STATES CODE, TITLE 42

2000d-2000d-7 Title VI, Civil Rights Act of 1964

2000e-2000e-17 Title VII, Civil Rights Act of 1964, as amended

2000h-2-2000h-6 Title IX, 1972 Education Act Amendments

CODE OF FEDERAL REGULATIONS, TITLE 34

106.1-106.9 Nondiscrimination on the basis of sex in education programs or activities

106.51-106.61 Nondiscrimination on the basis of sex in employment in education program or activities

106.9—Dissemination of policy

COURT DECISIONS

Department of Health Services v. Superior Court of California, (2003) 31 Cal.4th 1026

Faragher v. City of Boca Raton, (1998) 118 S.Ct. 2275

Burlington Industries v. Ellreth, (1998) 118 S.Ct. 2257

Gebser v. Lago Vista Independent School District, (1998) 118 S.Ct. 1989

Oncale v. Sundowner Offshore Serv. Inc., (1998) 118 S.Ct. 998

Meritor Savings Bank, FSB v. Vinson et al., (1986) 447 U.S. 57

Management Resources:

U.S. EQUAL EMPLOYMENT OPPORTUNITY COMMISSION PUBLICATIONS

Promising Practices for Preventing Harassment, November 2017

OFFICE OF CIVIL RIGHTS AND NATIONAL ASSOCIATION OF ATTORNEYS-
GENERAL

Protecting Students from Harassment and Hate Crime, January, 1999

WEB SITES

California Department of Fair Employment and Housing: <http://www.dfeh.ca.gov>

Equal Employment Opportunity Commission: <http://www.eeoc.gov>

U.S. Department of Education, Office for Civil Rights:

<http://www.ed.gov/about/offices/list/ocr/index.html>

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

adopted: July 2, 2013 San Jose, California

Revised: December 12, 2019 January February March 1632, 2020

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

6.05

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **DISCUSSION/ACTION: Board Policy 4111/4211/4311, Recruitment and Selection –
Second Reading**

Attached is the second reading for Board Policy 4111/4211/4311, Recruitment and Selection.

Recommendation:

Staff recommends approval of second reading.

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

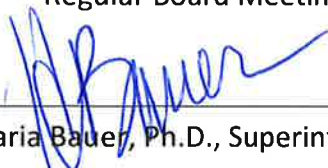
Meeting:

March 12, 2020

Recommend Approval

Regular Board Meeting

6.05



Agenda Placement

Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union ESD

Board Policy

Recruitment And Selection

BP 4111/4211/4311

Personnel

~~Cautionary Notice: AB 97 (Ch. 47, Statutes of 2013) repealed Education Code 42605, which provided temporary flexibility for specified "Tier 3" categorical programs, and instead redirects the funding for those categorical programs into the Local Control Funding Formula (LCFF) (Education Code 42238.01-42251). The supplemental and concentration grant portions of the LCFF may be used for any schoolwide or districtwide educational purpose in accordance with state regulations to be adopted by January 31, 2014, with a goal of increasing or improving services for English learners, foster youth, and students eligible for free and reduced-price meals. Certain requirements related to Tier 3 categorical program(s) in the following policy or regulation are no longer applicable.~~

The Board of Trustees is committed to employing suitable, qualified individuals to effectively carry out the district's vision, mission, and goals. ~~to provide high-quality education to its students and to ensure the efficient running of district operations.~~

(cf. 0000 - Vision)

(cf. ~~0100-0200~~ - Goals for the School District)

(cf. 4000 - Concepts and Roles)

(cf. 4100 - Certificated Personnel)

(cf. 4200 - Classified Personnel)

(cf. 4300 - Administrative and Supervisory Personnel)

~~(cf. 9000 - Role of the Board)~~

The Superintendent shall develop equitable, fair, open, and transparent recruitment and selection processes and procedures that which ensure that employees individuals are selected based on demonstrated knowledge, skills, and competence and not on any bias, personal preference, or unlawful discrimination.

(cf. 0410 - Nondiscrimination in District Programs and Activities)

(cf. 4030 - Nondiscrimination in Employment)

~~(cf. 4031 - Complaints Concerning Discrimination in Employment)~~

(cf. 4032 - Reasonable Accommodation)

(cf. 4111.2/4211.2/4311.2 - Legal Status Requirement)

When a vacancy occurs, the Superintendent or designee shall review the job description for the position to ensure that it accurately describes the major functions and duties of the position. He/she ~~also~~ shall also disseminate job announcements to ensure a wide range of candidates.

The district's selection procedures shall include screening processes, interviews, ~~observations, and recommendations from previous employers, and observations when appropriate,~~ -as necessary to identify the best possible candidate for a position.

(cf. 4112.61/4212.61/4312.61 - Employment References)

The Superintendent or designee may establish an interview committee, ~~as appropriate,~~ to rank candidates and recommend finalists. During job interviews, applicants may be asked to describe or demonstrate how they will be able to perform the duties of the job. All discussions and recommendations shall be confidential in accordance with law.

(cf. 2230 - Representative and Deliberative Groups)

~~During job interviews, applicants may be asked to describe or demonstrate how they will be able to perform the duties of the job.~~ No inquiry shall be made with regard to any ~~category of discrimination information~~ prohibited by state or federal nondiscrimination laws.

The Superintendent or designee shall not inquire, orally or in writing, in regard to an applicant's salary history information, including compensation and benefits. He/she shall also not rely on salary history information as a factor in determining whether to offer employment to an applicant or the salary to offer. However, the Superintendent or designee may consider salary information that is disclosable under state or federal law or that the applicant discloses voluntarily and without prompting. Upon request, the Superintendent or designee shall provide the applicant the pay scale for the position to which he/she is applying. (Labor Code 432.3)

For each position, the Superintendent or designee shall present to the Board one candidate who meets all qualifications established by law and the Board for the position. No person shall be employed by the Board without the recommendation or endorsement of the Superintendent or designee.

(cf. 4112 - Appointment and Conditions of Employment)

(cf. 4112.2 - Certification)

(cf. 4112.23 - Special Education Staff)

(cf. 4112.24 - Teacher Qualifications Under the No Child Left Behind Act)

(cf. 4212 - Appointment and Conditions of Employment)

(cf. 4312.1 - Contracts)

Recruitment Incentives for Teachers

With Board approval and in accordance with district needs, the district may provide incentives to recruit teachers, administrators, or other employees, such as signing bonuses, assistance with beginning teacher induction and/or credential costs, mentoring, additional compensation, and/or subsidized housing.

~~Contingent upon available funding, the Superintendent or designee may provide incentives to recruit credentialed teachers to teach in any district school ranked in the bottom half of the state~~

~~Academic Performance Index. Such incentives may include, but are not limited to, signing bonuses, improved work conditions, teacher compensation, or housing subsidies.— (Education Code 44735)~~

Legal Reference:

EDUCATION CODE

200-262.4 Prohibition of discrimination

35035 Responsibilities of superintendent

44066 Limitations on certification requirement

44259 Teaching credential; exception; designated subjects; minimum requirements

~~44735 Incentive grants for recruiting teachers for low-performing schools~~

~~44740-44741 Personnel management assistance teams~~

44750 Teacher recruitment resource center

44830-44831 Employment of certificated persons

44858 Age or marital status in certificated positions

44859 Prohibition against certain rules and regulations re residency

45103-45139 Employment (classified employees)

49406 Examination for tuberculosis

52051 Academic Performance Index

GOVERNMENT CODE

815.2 Liability of public entities and public employees

6250-6276.48 Public Records Act

12900-12996 Fair Employment and Housing Act, including:

12940-~~12956~~ 12957 Discrimination prohibited; unlawful practices

HEALTH AND SAFETY CODE

53570-53574 Teacher Housing Act of 2016

LABOR CODE

432.3 Salary information

UNITED STATES CODE, TITLE 5

552 Freedom of Information Act

UNITED STATES CODE, TITLE 8

1324a Unlawful employment of aliens

1324b Unfair immigration related employment practices

UNITED STATES CODE, TITLE 20

1681-1688 Title IX prohibition against discrimination

UNITED STATES CODE, TITLE 42

2000d-2000d-7 Title VI, Civil Rights Act of 1964

2000e-2000e-17 Title VII, Civil Rights Act of 1964 as amended

~~2000h-2-2000h-6 Title IX, 1972 Education Act Amendments~~

12101-12213 Americans with Disabilities Act

CODE OF FEDERAL REGULATIONS, TITLE 28

35.101-35.190 Americans with Disabilities Act

CODE OF FEDERAL REGULATIONS, TITLE 34

106.51-106.61 Nondiscrimination on the basis of sex in employment in education program or activities

COURT DECISIONS

C.A. v William S. Hart Union High School District et al., (2012) 138 Cal.Rptr.3d 1

Management Resources:

CALIFORNIA COUNTY SUPERINTENDENTS EDUCATIONAL SERVICES ASSOCIATION PUBLICATIONS

Teacher Recruitment in California: An Analysis of Effective Strategies, Research Brief, Veritas Research and Evaluation Group, October 2017

WEB SITES

California County Superintendents Educational Services Association: <http://ccsesa.org/recruit>

California Department of Education: <https://www.cde.ca.gov>

California Department of Fair Employment and Housing: <http://www.dfeh.ca.gov>

Commission on Teacher Credentialing: <http://www.ctc.ca.gov>

Education Job Opportunities Information Network: <http://www.edjoin.org>

Teach USA: <https://culturalvistas.org/programs/us/teach-usa>
<http://www.calteach.org>

U.S. Equal Employment Opportunity Commission: <http://www.eeoc.gov>

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

adopted: July 2, 2013 San Jose, California

Revised: February/March 132, 2020

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

6.06

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **DISCUSSION/ACTION: Board Policy 5131, Conduct – Second Reading**

Attached is the second reading for Board Policy 5131, Conduct.

Recommendation:

Staff recommends approval of second reading.

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting:

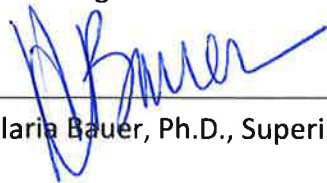
March 12, 2020

Recommend Approval

Regular Board Meeting

6.06

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union ESD

Board Policy

Conduct

BP 5131

Students

The Board of Trustees believes that all students have the right to be educated in a safe and positive learning environment free from disruptions. Students shall be expected to exhibit appropriate conduct that does not infringe upon the rights of others or interfere with the school program while on school grounds, going to or coming from school, at school activities, or using district transportation.

(cf. 0450 - Comprehensive Safety Plan)

(cf. 5131.1 - Bus Conduct)

(cf. 5137 - Positive School Climate)

(cf. 6145.2 - Athletic Competition)

The Superintendent or designee shall ensure that each school develops standards of conduct and discipline consistent with Board policies and administrative regulations. Students and parents/guardians shall be notified of district and school rules related to conduct.

Prohibited student conduct includes, but is not limited to:

1. Conduct that endangers students, staff, or others, including, but not limited to, physical violence, possession of a firearm or other weapon, and terrorist threats

(cf. 5131.7 - Weapons and Dangerous Instruments)

(cf. 5142 - Safety)

2. Discrimination, harassment, intimidation, or bullying of students or staff, including sexual harassment, hate-motivated behavior, cyberbullying, hazing or initiation activity, extortion, or any other verbal, written, or physical conduct that causes or threatens to cause violence, bodily harm, or substantial disruption to the school program.

(cf. 5131.2 - Bullying)

(cf. 5145.3 - Nondiscrimination/Harassment)

(cf. 5145.7 - Sexual Harassment)

(cf. 5145.9 - Hate-Motivated Behavior)

3. Conduct that disrupts the orderly classroom or school environment

(cf. 5131.4 - Student Disturbances)

4. Willful defiance of staff's authority

5. Damage to or theft of property belonging to students, staff, or the district

(cf. 3515.4 - Recovery for Property Loss or Damage)

(cf. 5131.5 - Vandalism and Graffiti)

~~The district shall not be responsible for students' personal belongings which are brought on-campus or to a school activity and are lost, stolen, or damaged.~~

6. Obscene acts or use of profane, vulgar, or abusive language

(cf. 5145.2 - Freedom of Speech/Expression)

7. Possession, use, or being under the influence of tobacco, alcohol, or other prohibited ~~drugssubstances~~

(cf. 5131.6 - Alcohol and Other Drugs)

(cf. 5131.62 - Tobacco)

(cf. 5131.63 - Steroids)

8. Possession or use of a laser pointer, unless for a valid instructional or other school-related purpose with prior permission of the principal or designee (Penal Code 417.27)

~~Prior to bringing a laser pointer on school premises for a valid instructional or school-related purpose, a student shall obtain permission from the principal or designee.~~

9. Use of a ~~cellular/digital telephone~~cell phone, smart watch, pager, or other mobile communications device during instructional time or in an unauthorized manner in violation of district policy

~~Such devices shall be turned off in class, except when being used for a valid instructional or other school-related purpose as determined by the teacher or other district employee, and at any other time directed by a district employee. Any device with camera, video, or voice recording function shall not be used in any manner which infringes on the privacy rights of any other person.~~

~~No student shall be prohibited from possessing or using an electronic signaling device that is determined by a licensed physician or surgeon to be essential for the student's health and the use of which is limited to purposes related to the student's health. (Education Code 48901.5)~~

10. Plagiarism or dishonesty on school work or tests

(cf. 5131.9 - Academic Honesty)

(cf. 6162.54 - Test Integrity/Test Preparation)

(cf. 6162.6 - Use of Copyrighted Materials)

11. ~~Inappropriate attire~~ Wearing of any attire that violates district or school dress codes, including gang-related apparel

(cf. 5132 - Dress and Grooming)

12. Tardiness or unexcused absence from school

(cf. 5113 - Absences and Excuses)

(cf. 5113.1 - Chronic Absence and Truancy)

(cf. 5113.11 - Attendance Supervision)

(cf. 5113.12 - District School Attendance Review Board)

13. Failure to remain on school premises in accordance with school rules

(cf. 5112.5 - Open/Closed Campus)

Employees are expected to ~~provide appropriate supervision to~~ enforce standards of conduct and, ~~if when~~ they observe or receive a report of a violation of these standards, to ~~immediately appropriately~~ intervene or ~~call for~~ seek assistance. ~~If an employee believes a matter has not been resolved, he/she shall refer the matter to his/her supervisor or an administrator for further investigation. As necessary, the employee shall refer the matter to a supervisor or the principal or designee.~~

When a school ~~official employee~~ suspects that a search of a student or ~~his/her~~ student's belongings will turn up evidence of the student's violation of the law or school rules, such a search shall be conducted in accordance with BP/AR 5145.12 - Search and Seizure.

(cf. 5145.12 - Search and Seizure)

When a student uses any prohibited device, or uses a permitted device in ~~any unethical or illegal activity~~ an unauthorized manner, a district employee may confiscate the device. The employee shall store the ~~item in a secure manner until an appropriate time~~ device securely until it is returned to the student or turned over to the principal or designee, as appropriate.

Students who violate district or school rules and regulations may be subject to discipline including, but not limited to, suspension, expulsion, transfer to alternative programs, referral to a student success team or counseling services, or denial of participation in extracurricular or cocurricular activities or other privileges in accordance with Board policy and administrative regulation. The Superintendent or designee shall notify local law enforcement as appropriate.

(cf. 1020 - Youth Services)

(cf. 1400 - Relations Between Other Governmental Agencies and the Schools)

(cf. 5020 - Parent Rights and Responsibilities)

(cf. 5127 - Graduation Ceremonies and Activities)

(cf. 5138 - Conflict Resolution/Peer Mediation)

(cf. 5144 - Discipline)
(cf. 5144.1 - Suspension and Expulsion/Due Process)
(cf. 5144.2 - Suspension and Expulsion/Due Process (Students with Disabilities))
(cf. 6020 - Parent Involvement)
(cf. 6145 - Extracurricular and Cocurricular Activities)
(cf. 6159.4 - Behavioral Interventions for Special Education Students)
(cf. 6164.2 - Guidance/Counseling Services)
(cf. 6164.5 - Student Success Teams)
(cf. 6184 - Continuation Education)
(cf. 6185 - Community Day School)

Students also may be subject to discipline, in accordance with law, Board policy, or administrative regulation, for any off-campus conduct during nonschool hours which poses a threat or danger to the safety of students, staff, or district property, or substantially disrupts school activities.

Legal Reference:

EDUCATION CODE

200-262.4 Prohibition of discrimination
32280-32289 Comprehensive safety plan
35181 Governing board authority to set policy on responsibilities of students
35291-35291.5 Rules
44807 Duty concerning conduct of students
48900-48925 Suspension and expulsion
51512 Prohibition against electronic listening or recording device in classroom without permission

CIVIL CODE

1714.1 Liability of parents and guardians for willful misconduct of minor

PENAL CODE

288.2 Harmful matter with intent to seduce
313 Harmful matter
417.25-417.27 Laser scope or laser pointer
647 Use of camera or other instrument to invade person's privacy; misdemeanor
653.2 Electronic communication devices, threats to safety

VEHICLE CODE

23123-23124 Prohibitions against use of electronic devices while driving

CODE OF REGULATIONS, TITLE 5

300-307 Duties of students

UNITED STATES CODE, TITLE 42

~~2000h~~-2000h620 USC 1681-1688 Title IX, 1972 Education Act Amendments

COURT DECISIONS

J.C. v. Beverly Hills Unified School District, (2010) 711 F.Supp.2d 1094
LaVine v. Blaine School District, (~~2000~~2001, 9th Cir.) 257 F.3d 981
Emmett v. Kent School District No. 415, (2000) 92 F.Supp. 1088

Bethel School District No. 403 v. Fraser, (1986) 478 U.S. 675
New Jersey v. T.L.O., (1985) 469 U.S. 325
Tinker v. Des Moines Independent Community School District, (1969) 393 U.S. 503

Management Resources:

CSBA PUBLICATIONS

Safe Schools: Strategies for Governing Boards to Ensure Student Success, 2011

Providing a Safe, Nondiscriminatory School Environment for All Students, Policy Brief, April 2010

Cyberbullying: Policy Considerations for Boards, Policy Brief, July 2007

CALIFORNIA DEPARTMENT OF EDUCATION PUBLICATIONS

Bullying at School, 2003

WEB SITES

CSBA: <http://www.csba.org>

California Department of Education, Safe Schools Office: <http://www.cde.ca.gov/lr/ss>

Center for Safe and Responsible Internet Use: <http://cyberbully.org>

<https://www.ewa.org/organization/center-safe-and-responsible-internet-use>

National School Boards Association: <http://www.nsba.org>

National School Safety Center: <http://www.schoolsafety.us>

U.S. Department of Education: <http://www.ed.gov>

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

adopted: July 2, 2013 San Jose, California

revised: ~~February 13~~ March 12, 2020

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

7.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

CONSIDERATION/ACTION: Board Policy 5145.7, Sexual Harassment (Students) – Second Reading

Attached is the second reading for Board Policy 5145.7, Sexual Harassment (Students)

Recommendation:

Staff recommends approval of second reading.

Submitted by: Norma Flores **NF** Title: Director of Student Services
Approved by: Rene Sanchez **RS** Title: Assistant Supt. Instructional Services

To the Board of Trustees:

Recommend Approval

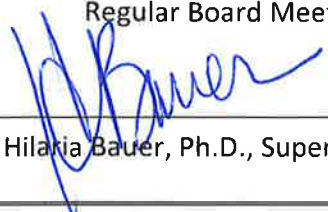
Meeting:

March 12, 2020

Regular Board Meeting

7.01

Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union ESD

Board Policy

Sexual Harassment

BP 5145.7

Students

The Board of Trustees is committed to maintaining a safe school environment that is free from harassment and discrimination. The Board prohibits ~~sexual harassment of students at school or at school-sponsored or school-related activities.~~ sexual harassment targeted at any student by anyone. The Board also prohibits retaliatory behavior or action against any person who ~~reports, files a complaint,~~ or testifies about, or otherwise ~~supports a complainant in alleging sexual harassment.~~ participates in district complaint processes.

The district strongly encourages any student who feels that he/she is being or has been sexually harassed on school grounds or at a school-sponsored or school-related activity by another student or an adult who has experienced off-campus sexual harassment that has a continuing effect on campus to immediately contact his/her teacher, the principal, or any other available school employee. Any employee who receives a report or observes an incident of sexual harassment shall notify the principal or a district compliance officer. Once notified, the principal or compliance officer shall take the steps to investigate and address the allegation, as specified in the accompanying administrative regulation.

(cf. 0410 - Nondiscrimination in District Programs and Activities)

(cf. 1312.1 - Complaints Concerning District Employees)

(cf. 5131 - Conduct)

(cf. 5131.2 - Bullying)

(cf. 5137 - Positive School Climate)

(cf. 5141.4 - Child Abuse Prevention and Reporting)

(cf. 5145.3 - Nondiscrimination/Harassment)

~~(cf. 1312.3 - Uniform Complaint Procedures)~~

(cf. 4119.11/4219.11/4319.11 - Sexual Harassment)

(cf. 6142.1 - Sexual Health and HIV/AIDS Prevention Instruction)

~~(cf. 5131 - Conduct)~~

~~(cf. 5131.2 - Bullying)~~

~~(cf. 5137 - Positive School Climate)~~

~~(cf. 5145.3 - Nondiscrimination/Harassment)~~

~~(cf. 6142.1 - Sexual Health and HIV/AIDS Prevention Instruction)~~

The Superintendent or designee shall take appropriate actions to reinforce the district's sexual harassment policy.

Instruction/Information

The Superintendent or designee shall ensure that all district students receive age-appropriate instruction and information on sexual harassment. Such instruction and information shall include:

1. What acts and behavior constitute sexual harassment, including the fact that sexual harassment could occur between people of the same sex and could involve sexual violence
2. A clear message that students do not have to endure sexual harassment under any circumstance
3. Encouragement to report observed instances of sexual harassment, even where the alleged victim of the harassment has not complained
4. A clear message that student safety is the district's primary concern, and that any separate rule violation involving an alleged victim or any other person reporting a sexual harassment incident will be addressed separately and will not affect the manner in which the sexual harassment complaint will be received, investigated, or resolved
5. A clear message that, regardless of a complainant's noncompliance with the writing, timeline, or other formal filing requirements, every sexual harassment allegation that involves a student, whether as the complainant, respondent, or victim of the harassment, shall be investigated and prompt action shall be taken to stop any harassment, prevent recurrence, and address any continuing effect on students
64. Information about the district's procedure for investigating complaints and the person(s) to whom a report of sexual harassment should be made
75. Information about the rights of students and parents/guardians to file a criminal complaint, as applicable, including the right to file a civil or criminal complaint while the district investigation of a sexual harassment complaint continues
8. A clear message that, when needed, the district will take interim measures to ensure a safe school environment for a student who is the complainant or victim of sexual harassment and/or other students during an investigation and that, to the extent possible, when such interim measures are taken, they shall not disadvantage the complainant or victim of the alleged harassment

Complaint Process

~~Any student who feels that he/she is being or has been sexually harassed on school grounds or at a school-sponsored or school-related activity (e.g., by a visiting athlete or coach) shall immediately contact his/her teacher or any other employee. An employee who receives such a complaint shall report it in accordance with administrative regulation.~~

~~(cf. 1312.1—Complaints Concerning District Employees)
(cf. 5141.4—Child Abuse Prevention and Reporting)~~

~~The Superintendent or designee shall ensure that any complaints regarding sexual harassment are immediately investigated in accordance with administrative regulation. When the Superintendent or designee has determined that harassment has occurred, he/she shall take prompt, appropriate action to end the harassment and to address its effects on the victim.~~

Complaint Process and Disciplinary Actions

The Superintendent or designee shall ensure that any complaints regarding sexual harassment are immediately investigated in accordance with administrative regulation. When the Superintendent or designee has determined that harassment has occurred, he/she shall take prompt, appropriate action to end the harassment and to address its effects on the victim.

Sexual harassment complaints by and against students shall be investigated and resolved in accordance with law and district procedures specified in AR 1312.3 - Uniform Complaint Procedures. Principals are responsible for notifying students and parents/guardians that complaints of sexual harassment can be filed under AR 1312.3 and where to obtain a copy of the procedures.

(cf. 1312.3 - Uniform Complaint Procedures)

Upon investigation of a sexual harassment complaint, a~~Any student who found to have engages-engaged~~ in sexual harassment or sexual violence~~at school or at a school-sponsored or school-related activity is~~ in violation of this policy and shall be subject to disciplinary action. For students in grades 4-8, disciplinary action may include suspension and/or expulsion, provided that, in imposing such discipline, the entire circumstances of the incident(s) shall be taken into account.

(cf. 5144 - Discipline)

(cf. 5144.1 - Suspension and Expulsion/Due Process)

(cf. 5144.2 - Suspension and Expulsion/Due Process (Students with Disabilities))

Upon investigation of a sexual harassment complaint, ~~Any staff member~~ any employee found to have engaged in sexual harassment or sexual violence toward any student shall ~~be subject to discipline up to and including dismissal~~ have his/her employment terminated in accordance with applicable policies, laws, and/or the applicable collective bargaining agreements.

(cf. 4117.7 - Employment Status Report)

(cf. 4118 - Dismissal/Suspension/Disciplinary Action)

(cf. 4218 - Dismissal/Suspension/Disciplinary Action)

(cf. 4119.11/4219.11/4319.11 - Sexual Harassment)

Confidentiality and Record-Keeping

All complaints and allegations of sexual harassment shall be kept confidential except as necessary to carry out the investigation or take other subsequent necessary action. (5 CCR 4964)

(cf. 4119.23/4219.23/4319.23 - Unauthorized Release of Confidential/Privileged Information)
(cf. 5125 - Student Records)

== The Superintendent or designee shall maintain a record of all reported cases of sexual harassment to enable the district to monitor, address, and prevent repetitive harassing behavior in ~~the district~~ schools.

(cf. 3580 - District Records)

Legal Reference:

EDUCATION CODE

200-262.4 Prohibition of discrimination on the basis of sex

48900 Grounds for suspension or expulsion

48900.2 Additional grounds for suspension or expulsion; sexual harassment

48904 Liability of parent/guardian for willful student misconduct

48980 Notice at beginning of term

CIVIL CODE

51.9 Liability for sexual harassment; business, service and professional relationships

1714.1 Liability of parents/guardians for willful misconduct of minor

GOVERNMENT CODE

12950.1 Sexual harassment training

CODE OF REGULATIONS, TITLE 5

4600-4687 Uniform complaint procedures

4900-4965 Nondiscrimination in elementary and secondary education programs

UNITED STATES CODE, TITLE 20

[1221 Application of laws](#)

[1232g Family Educational Rights and Privacy Act](#)

1681-1688 Title IX, discrimination

UNITED STATES CODE, TITLE 42

1983 Civil action for deprivation of rights

2000d-2000d-7 Title VI, Civil Rights Act of 1964

2000e-2000e-17 Title VII, Civil Rights Act of 1964 as amended

CODE OF FEDERAL REGULATIONS, TITLE 34

[99.1-99.67 Family Educational Rights and Privacy](#)

106.1-106.71 Nondiscrimination on the basis of sex in education programs

COURT DECISIONS

Donovan v. Poway Unified School District, (2008) 167 Cal.App.4th 567

Flores v. Morgan Hill Unified School District, (2003, 9th Cir.) 324 F.3d 1130

Reese v. Jefferson School District, (2001, 9th Cir.) 208 F.3d 736

Davis v. Monroe County Board of Education, (1999) 526 U.S. 629

Gebser v. Lago Vista Independent School District, (1998) 524 U.S. 274

Oona by Kate S. v. McCaffrey, (1998, 9th Cir.) 143 F.3d 473

Doe v. Petaluma City School District, (1995, 9th Cir.) 54 F.3d 1447

Management Resources:

CSBA PUBLICATIONS

[Providing a Safe, Nondiscriminatory School Environment for Transgender and Gender-Nonconforming Students, Policy Brief, February 2014](#)

Safe Schools: Strategies for Governing Boards to Ensure Student Success, 2011

[Providing a Safe, Nondiscriminatory School Environment for All Students, Policy Brief, April 2010](#)

[U.S. DEPARTMENT OF EDUCATION, OFFICE FOR CIVIL RIGHTS PUBLICATIONS](#)

[Dear Colleague Letter: Transgender Students, May 2016](#)

[Examples of Policies and Emerging Practices for Supporting Transgender Students, May 2016](#)

[Dear Colleague Letter: Title IX Coordinators, April 2015](#)

[Questions and Answers on Title IX and Sexual Violence, April 2014](#)

Dear Colleague Letter: Sexual Violence, April 4, 2011

Sexual Harassment: It's Not Academic, September 2008

Revised Sexual Harassment Guidance, [Harassment of Students by School Employees, Other Students, or Third Parties](#), January 2001

WEB SITES

CSBA: <http://www.csba.org>

California Department of Education: <http://www.cde.ca.gov>

U.S. Department of Education, Office for Civil Rights: <http://www.ed.gov/about/offices/list/ocr>

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
adopted: July 2, 2013 San Jose, California
Revised: February 13, 2020 March 12, 2020

SECOND READING

7.02

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: CONSIDERATION/ACTION: Board Policy 5131.62, Tobacco (Use Prevention Education Program) – Second Reading

Attached is the second reading for Board Policy 5131.62– Tobacco (Use Prevention Education Program)

Recommendation:

Staff recommends approval of second reading.

Submitted by: Norma Flores NE Title: Director of Student Services
Approved by: Rene Sanchez R.S. Title: Assistant Supt., Instructional Services

To the Board of Trustees:

Recommend Approval

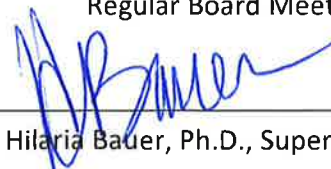
7.02

Agenda Placement

Meeting:

March 12, 2020

Regular Board Meeting



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union ESD

Board Policy

Tobacco

BP 5131.62

Students

The Governing Board recognizes that tobacco use presents serious health risks and desires to provide support and assistance in reducing the number of students who begin or continue to use tobacco. The Superintendent or designee shall establish a comprehensive program that includes consistent enforcement of laws prohibiting tobacco possession and use by students, tobacco-use prevention education including youth development activities, and intervention and cessation activities and/or referrals.

(cf. 5141.23 - Asthma Management)

Prohibition Against Tobacco Use

Students shall not possess, smoke, or use tobacco or any product containing tobacco or nicotine or vapor emitting electronic devices, with or without nicotine content, that mimic the use of tobacco products, while on campus, while attending school-sponsored activities, or while under the supervision and control of district employees. ~~Prohibited products include, but are not limited to, cigarettes, cigars, miniature cigars, clove cigarettes, smokeless tobacco, snuff, chew packets, betel and other~~ Vapor emitting electronic devices, such as electronic hookah, with or without nicotine content, that mimic the use of tobacco products, are also prohibited. However, this section does not prohibit the use or possession of prescription products, or other cessation aids such as nicotine patches or nicotine gum. Student use or possession of such products must conform to laws governing student use and possession of medications on school property. (Education Code 48900, 48901)

(cf. 3513.3 - Tobacco-Free Schools)

(cf. 5131 - Conduct)

(cf. 5144 - Discipline)

(cf. 5144.1 - Suspension and Expulsion/Due Process)

(cf. 5144.2 - Suspension and Expulsion/Due Process (Students with Disabilities))

~~Students' possession or use of nicotine delivery devices, such as electronic cigarettes, is also prohibited.~~

Smoking means inhaling, exhaling, burning, or carrying of any lighted or heated cigar, cigarette, pipe, tobacco, or plant product intended for inhalation, whether natural or synthetic, in any manner or form, and includes the use of an electronic smoking device that creates aerosol or vapor or of any oral smoking device for the purpose of circumventing the prohibition of smoking. (Business and Professions Code 22950.5; Education Code 48901)

Tobacco products include: (Business and Professions Code 22950.5; Education Code 48901)

1. A product containing, made, or derived from tobacco or nicotine that is intended for human consumption, whether smoked, heated, chewed, absorbed, dissolved, inhaled, snorted, sniffed, or ingested by any other means, including, but not limited to, cigarettes, cigars, little cigars, chewing tobacco, pipe tobacco, or snuff
2. An electronic device that delivers nicotine or other vaporized liquids to the person inhaling from the device, including, but not limited to, an electronic cigarette, cigar, pipe, or hookah
3. Any component, part, or accessory of a tobacco product, whether or not sold separately

These prohibitions do not apply to a student's possession or use of his/her own prescription products. (Education Code 48900, 48901)

Legal Reference:

EDUCATION CODE

48900 Suspension or expulsion (grounds)

48900.5 Suspension, limitation on imposition; exception

48901 Smoking or use of tobacco prohibited

51202 Instruction in personal and public health and safety

60041 Instructional materials, portrayal of effects of tobacco use

BUSINESS AND PROFESSIONS CODE

22950.5 Stop Tobacco Access to Kids Enforcement Act; definitions

HEALTH AND SAFETY CODE

104350-104495 Tobacco-use prevention education

104559 Tobacco use prohibition

119405 Unlawful to sell or furnish electronic cigarettes to minors

PENAL CODE

308 Minimum age for tobacco possession

CODE OF REGULATIONS, TITLE 17

6800 Definition, health assessment

6844-6847 Child Health and Disability Prevention program; health assessments

UNITED STATES CODE, TITLE 20

7111-7117 Safe and Drug-Free Schools and Communities Act

7111-7122 Student Support and Academic Enrichment Grants

CODE OF FEDERAL REGULATIONS, TITLE 21

1140.1-1140.34 Unlawful sale of cigarettes and smokeless tobacco to minors

ATTORNEY GENERAL OPINIONS

88 Ops.Cal.Atty.Gen. 8 (2005)

Management Resources:

CALIFORNIA DEPARTMENT OF EDUCATION PUBLICATIONS

TUPE Acceptance of Funds Guidance

Health Education Content Standards for California Public Schools: Kindergarten Through Grade Twelve, 2008

Health Framework for California Public Schools: Kindergarten Through Grade Twelve, 2003

Getting Results: Part II California Action Guide to Tobacco Use Prevention Education, 2000

WEST ED PUBLICATIONS

Guidebook for the California Healthy Kids Survey

WEB SITES

CSBA: <http://www.csba.org>

California Department of Education, Tobacco-Use Prevention Education:

<http://www.cde.ca.gov/ls/he/at/tupe.asp>

California Department of Public Health, Tobacco Control:

<http://www.cdph.ca.gov/programs/tobacco>

California Healthy Kids Resource Center: <http://www.californiahealthykids.org>

California Healthy Kids Survey: <http://www.wested.org/hks>

Centers for Disease Control and Prevention, Smoking and Tobacco Use:

<http://www.cdc.gov/tobacco>

U.S. Surgeon General: <http://www.surgeongeneral.gov>

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

adopted: July 2, 2013 San Jose, California

revised: May 8, 2014

revised: ~~February 13, 2020~~ [March 12, 2020](#)

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

7.03

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **CONSIDERATION/ACTION: Board Policy 3513.3, Tobacco-Free Schools – Second Reading**

Attached is the second reading for Board Policy 3513.3, Tobacco-Free Schools

Recommendation:

Staff recommends approval of second reading.

Submitted by: Norma Flores **NE** Title: Director of Student Services

Approved by: Rene Sanchez **RS** Title: Assistant Supt. Instructional Services

To the Board of Trustees:

Recommend Approval

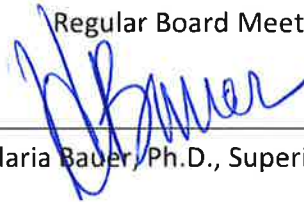
7.03

Agenda Placement

Meeting:

March 12, 2020

Regular Board Meeting



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Alum Rock Union ESD

Board Policy

Tobacco-Free Schools

BP 3513.3

Business and Noninstructional Operations

The Governing Board recognizes that the health hazards associated with smoking and the use of tobacco products, including the breathing of second-hand smoke, are inconsistent with its goal to provide a healthy environment for students and staff.

(cf. 3514 - Environmental Safety)
(cf. 4159/4259/4359 - Employee Assistance Programs)
(cf. 5030 - Student Wellness)
(cf. 5131.62 - Tobacco)
(cf. 5141.23 - Asthma Management)
(cf. 6142.8 - Comprehensive Health Education)
(cf. 6143 - Courses of Study)

The Board prohibits smoking, sale, advertising and/or the use of tobacco products (including e-cigarettes/vape pens) at any time in district-owned or leased buildings, on district property, and in district vehicles. (Health and Safety Code 104420, 104559) ~~The Board prohibits smoking and/or the use of tobacco products at any time in district-owned or leased buildings, on district property, and in district vehicles. (Health and Safety Code 104420; , 104559) Labor Code 6404.5; 20 USC 6083)~~

~~This~~ These prohibitions ~~applies~~ apply to all employees, students, and visitors at any school-sponsored instructional program, activity, or athletic event held on or off district property. Any written joint use agreement governing community use of district facilities or grounds shall include notice of the district's tobacco-free schools policy and consequences for violations of the policy.

(cf. 1330 - Use of School Facilities)
(cf. 1330.1 - Joint Use Agreements)

Smoking means inhaling, exhaling, burning, or carrying of any lighted or heated cigar, cigarette, pipe, tobacco, or plant product intended for inhalation, whether natural or synthetic, in any manner or form, and includes the use of an electronic smoking device that creates aerosol or vapor or of any oral smoking device for the purpose of circumventing the prohibition of smoking. (Business and Professions Code 22950.5; Education Code 48901)

Tobacco products include: (Business and Professions Code 22950.5; Education Code 48901)

1. Any product containing, made, or derived from tobacco or nicotine that is intended for human consumption, whether smoked, heated, chewed, absorbed, dissolved, inhaled, snorted, sniffed, or ingested by any other means, including, but not limited to, cigarettes, cigars, little cigars, chewing tobacco, pipe tobacco, or snuff
2. An electronic device that delivers nicotine or other vaporized liquids to the person inhaling from the device, including, but not limited to, an electronic cigarette, cigar, pipe, or hookah
3. Any component, part, or accessory of a tobacco product, whether or not sold separately

This policy does not prohibit the use or possession of prescription products and other cessation aids that have been approved by the U.S. Department of Health and Human Services, Food and Drug Administration, such as nicotine patch or gum.

~~Prohibited products include any product containing tobacco or nicotine, including, but not limited to, cigarettes, cigars, miniature cigars, smokeless tobacco, snuff, chew, clove cigarettes, betel, and nicotine delivery devices such as electronic cigarettes. Other vapor emitting electronic devices, such as electronic hookah, with or without nicotine content, that mimic the use of tobacco products, are also prohibited. However, this section does not prohibit the use or possession of prescription products, or other cessation aids such as nicotine patches or nicotine gum. Student use or possession of such products must conform to laws governing student use and possession of medications on school property. Exceptions may be made for the use or possession of prescription nicotine products.~~

Smoking or use of any tobacco-related products ~~and or~~ disposal of any tobacco-related waste are prohibited within 25 feet of any playground, except on a public sidewalk located within 25 feet of the playground. In addition, any form of intimidation, threat, or retaliation against a person for attempting to enforce this policy is prohibited. (Health and Safety Code 104495)

Legal Reference:

EDUCATION CODE

48900 Grounds for suspension/expulsion

48901 Prohibition against tobacco use by students

BUSINESS AND PROFESSIONS CODE

22950.5 Stop Tobacco Access to Kids Enforcement Act: definitions

HEALTH AND SAFETY CODE

39002 Control of air pollution from nonvehicular sources

104350-104495 Tobacco use prevention, especially:

104495 Prohibition of smoking and tobacco waste on playgrounds

104559 Tobacco use prohibition

119405 Unlawful to sell or furnish electronic cigarettes to minors

LABOR CODE

3300 Employer, definition

6304 Safe and healthful workplace

6404.5 Occupational safety and health; use of tobacco products

UNITED STATES CODE, TITLE 20

6083 Nonsmoking policy for children's services

~~7100-7117 Safe and Drug-Free Schools and Communities Act~~

~~7111-7122 Student Support and Academic Enrichment Grants~~

CODE OF FEDERAL REGULATIONS, TITLE 21

1140.1-1140.34 Unlawful sale of cigarettes and smokeless tobacco to minors

PERB-PUBLIC EMPLOYMENT AND RELATIONS BOARD RULINGS

Eureka Teachers Assn. v. Eureka City School District (1992) PERB Order #955 (16 PERC 23168)

CSEA #506 and Associated Teachers of Metropolitan Riverside v. Riverside Unified School District (1989) PERB Order #750 (13 PERC 20147)

Management Resources:

WEB SITES

California Department of Education, Alcohol, Tobacco and Other Drug Prevention:

<http://www.cde.ca.gov/ls/he/at>

California Department of Education, Tobacco-Free School District Certification:

<http://www.cde.ca.gov/ls/he/at/tobaccofreecert.asp>

California Department of Public Health, Tobacco Control:

<http://www.cdph.ca.gov/programs/tobacco>

Occupational Safety and Health Standards Board: <http://www.dir.ca.gov/OSHSB/oshsb.html>

U.S. Environmental Protection Agency: <http://www.epa.gov>

Policy ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

adopted: July 2, 2013 San Jose, California

revised: May 8, 2014

~~revised: February 13~~ March 12, 2020

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

7.04

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

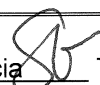
To the Board of Trustees:

Date: March 2, 2020

Subject: **INFORMATION/PRESENTATION: Local Control Accountability Plan (LCAP) Update-Stakeholder Input**

Staff Analysis: Staff will share the process for gathering stakeholder input, progress with the development of the Local Control Accountability Plan (LCAP) and next steps. A presentation with initial findings will be presented to the Board in April.

Recommendation: Information Only

Submitted by: Sandra Garcia  Title: Director of State and Federal Programs

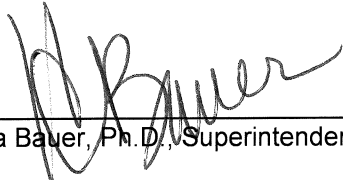
Approved by: Rene Sanchez  Title: Assistant Superintendent of Instructional Services

To the Board of Trustees:

Meeting: March 12, 2020
Regular Board Meeting

Recommend Approval

7.04
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

8.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION

To the Board of Trustees:

Date: February 27, 2020

Subject: Second Interim Financial Report

Staff Analysis:


The Second Interim Report is one measure designed to help the County and ultimately the State to monitor each school district's fiscal health. According to the State requirements, in order to have a Positive Certification, ARUSD must project at least 3% in unrestricted reserves in each of the current and following two years. Districts that may not meet this requirement receive a qualified budget certification and Districts that do not demonstrate that they will meet this requirement receive a negative budget certification. If any problems are anticipated, the County will offer immediate fiscal management advisory services.

School districts in California are required to maintain their financial affairs in accordance with prudent financial management principles. Those principles were strengthened and codified in 1991 with the passage of AB 1200. The increased oversight provided by AB 1200 was in response to a number of school district near-bankruptcies, requests for state loans, and defaults on school district financial obligations.

The statute requires school districts to self-certify budgets, interim reports, unaudited actuals, and disclosure statements related to collective bargaining. The law also requires county offices of education (COE) to review the various certifications and determine whether the financial position of the district is in conformance with state-recommended standards and criteria. The COE is empowered to initiate action if problems are disclosed or discovered. That action may take the form of additional questions or warnings, a lowering of the self-rating from "positive" to either "qualified" or "negative," appointment of a fiscal advisor, or more stringent measures if the situation warrants.

The Alum Rock Union Elementary School District is presenting a Qualified Certification of its financial condition. This means that the District may not meet its financial obligations for the current fiscal year or two subsequent fiscal years.

Recommendation: Staff recommends approval of the Second Interim Financial Report.

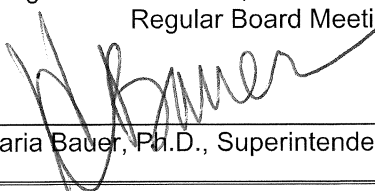
Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: March 12, 2020
Regular Board Meeting

Recommend Approval

8.01
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

9.01

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES


Date: March 2, 2020

To the Board of Trustees:

Subject: Request for Proposal (RFP) on Program Management Update

Staff Analysis: Updates on RFP for Program Management Services.

*****DISCUSSION, INFORMATION*****

Submitted by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: March 12, 2020
Regular Board Meeting

INFORMATION ONLY

9.01

Agenda Placement


Hilana Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

10.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

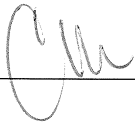
To the Board of Trustees:

Subject: Information Regarding Resignations

Staff Analysis:

Pursuant to Board Policy 4117.2 the Superintendent or her designee has accepted the following resignations.

Submitted by: Carlos Moran



Title: Assistant Superintendent, Human Resources

To the Board of Trustees:

Information Only

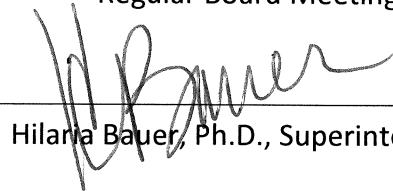
10.01

Agenda Placement

Meeting:

March 12, 2020

Regular Board Meeting



Hilana Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

**ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT-
HUMAN RESOURCES DEPARTMENT
MEETING OF THE BOARD OF TRUSTEES**

March 12, 2020

CERTIFICATED RESIGNATIONS:

I. Resignations:

1.	Alfaro, Sabrina	PLOA 2019/2020	06/30/2020
2.	Cardenas, David	PLOA 2019/2020	06/30/2020
3.	Garvey, Stephen	School Psychologist/Special Education Dept.	06/10/2020
4.	Hare, Kayla	Core/Ocala Steam Academy	06/09/2020
5.	Hartzell, Kyle	Speech Therapist/Lyndale Elementary	06/09/2020
6.	Heally, Barbara	Core/George Middle School	06/09/2020
7.	Nguyen, Bryan	Math/Sheppard Middle School	06/09/2020
8.	Rivera, Bernadette	2/Cassell Elementary	06/09/2020
9.	Tello, Dulce	PLOA 2019/2020	06/30/2020
10.	Tiet, Shally	2/L.U.C.H.A. Academy	06/09/2020
11.	Vadasz, Natasha	4/Lyndale Elementary	06/09/2020

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San José, CA 95127

11.01

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION – BOARD OF EDUCATION

To the Board of Trustees:

Subject: **APPROVAL OF BOARD MEETING MINUTES FOR THE FOLLOWING DATES:**

- Amended June 13, 2019, Regular Board Meeting
- February 13, 2020, Regular Board Meeting

RECOMMEND APPROVAL

Submitted by: Hilaria Bauer Title: Superintendent

To the Board of Trustees:

Meeting:

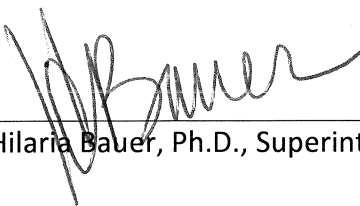
March 12, 2020

Regular Board Meeting

Recommend Approval

11.01

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

**DRAFT AMENDED MINUTES
ALUM ROCK ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127**

**MINUTES OF A REGULAR MEETING OF THE BOARD OF TRUSTEES
of the Alum Rock Union School District
Held on Thursday, June 13, 2019, 5:30 p.m. at the Alum Rock Union School
District Office Board Room, 2930 Gay Avenue, San Jose, CA 95127
Meeting #19-18/19**

1. OPEN SESSION

1.01 Call to Order / Roll Call

President Linda Chavez welcomed everyone in the audience. President Linda Chavez called the Board Meeting to order at 5:31 p.m. followed by Roll Call.

Board Members Present:

Linda Chavez	President
Ernesto Bejarano	Vice-President
Dolores Marquez-Frausto	Clerk (<i>arrived late at 5:32 p.m.</i>)
Andrés Quintero	Member (<i>arrived late at 5:34 p.m.</i>)
Corina Herrera-Loera	Member

Administrative and Support Staff Members Present:

Dr. Hilaria Bauer	Superintendent
Rene Sanchez	Assistant Superintendent, Instructional Services
Jess Serna	Interim Assistant Superintendent, Human Resources
Kolvira Chheng	Assistant Superintendent, Business Services
Marie Sanchez	Sr. Executive Assistant, Superintendent's Office
Maribel Carrillo	Sr. Executive Assistant, Superintendent's Office (<i>in transition</i>)

President Linda Chavez welcomed everyone and led the Pledge of Allegiance at this time.

1. OPEN SESSION *(continued)*

Public Comment:

- 1) Carol Adamek – CSEA representative, asked that the Board reconsider the classified layoffs. She asked that if you could afford a seventy-thousand-dollar consulting firm, then how could you afford this?

2. SPECIAL PRESENTATION/RECOGNITION

2.01 Special Recognition: ARUSD Classified, Certificated and Certificated Management Retirees

Superintendent Hilaria Bauer expressed that whether you are certified or classified, we are one team. She said to the retirees that they are the generation who took them to the 21st century and what they accomplished as a group has forever changed Alum Rock. She thanked every one of them.

Mr. Carlos Moran, Director of Human Resources, greeted everyone and thanked everyone who came out to celebrate with the retirees. He stated the District wanted to honor the retirees for the great work. Mr. Moran stated that, as a token of their appreciation, they were going to be given a plaque and a framed copy of the resolution.

Classified Retirees:

Helen Gaeta	School Office Assistant
Renee Hobson	Child Nutrition Assistant II
Marie Sanchez	Senior Executive Assistant

Certificated Retirees:

Rosa Aquino	Teacher	Patricia Graham	Teacher
Sharrie Bailey	Teacher	Rosemary Neff	Teacher
Mary Anne Bettencourt	Instructional Coach	Meredith Riley	Teacher
Raul De La Silva	Teacher	Lisa Rodriguez	Teacher
Andrew Dias	Teacher	Maria Santiago	Teacher
Margee Frost	Teacher	Kristy Savory-Kelly	Teacher
Timothy Spacek	Teacher	Rosamaria Garcia	Teacher

Certificated Management Retirees:

Paula Alli	Elementary Principal
Jean Gallagher	Chief Special Services Officer

2. SPECIAL PRESENTATION/RECOGNITION *(continued)*

2.03 Special Recognition: Teacher of the Year-2019

Mr. Rene Sanchez, Assistant Superintendent, Instructional Services, presented the award to Ms. Thao Doan, Painter teacher.

2.04 Special Recognition: Elementary Spelling Bee-Student Recognition

Ms. Brittany Rykels, Coordinator, Academic Services, presented an award to:

Aaron Buenaventura, Painter School

2.05 Special Recognition: National Spanish Spelling Bee-Student Recognition

Ms. Nuria Bravo Sanz, Principal from Adelante I, presented awards to:

Victor Heredia, Diego Escobar and Alexander Almaguer.

2.06 Special Recognition: Seal of Biliteracy Achievement Awards- Student Recognition

Mr. Ivan Montes, Coordinator, Academic Service, presented awards to:

Alexander Almaguer, Sofia Calderon, Malyna Gomez Trujillo, David Alexander Guzman Arroyo, Marco Heredia, Angel Emiliano Solis Perez, Diego Francisco Escobar, Miriam Janet Guzman Arroyo, Valeria Itzel Heredia and Luis Gerardo Solario Morales.

2.07 Special Recognition: Hubbard Media Arts Academy-Students Accepted to Bellarmine.

Mr. Jonathan Natividad, Principal of Hubbard Media Arts Academy, announced and congratulated the following students:

Sergio Barrientos, Juan Lepe, and Josbel Pena.

2.02 Special Recognition: Friend of Alum Rock Certificate, Special thank you to Mr. Andres Martinez for his work during NASA Night.

Superintendent Hilaria Bauer presented Andres Martinez and informed him that we have tremendous talent in Alum Rock.

Andres Martinez stated that Dr. Bauer had a dream of NASA coming to Alum Rock. He stated that he has a partnership with this awesome district. Andres Martinez showed a short video. He introduced the Vice President of Universidad Popular Autonoma de Puebla Dubai Murrieta and two students, Joel Contreras and Luis Fernando Contreras. They will be building the first satellite in Mexico.

2. SPECIAL PRESENTATION/RECOGNITION *(continued)*

2.02 Special Recognition: Friend of Alum Rock Certificate, Special thank you to Mr. Andres Martinez for his work during NASA Night.

Superintendent Hilaria Bauer presented Andres Martinez with an award for all he has done for Alum Rock.

President Linda Chavez announced what would be discussed in Closed Session and adjourned to closed session. The board requested to move up Agenda items 7.01 and 7.02. Discussion was made regarding if they should make a motion or not Trustee Andrés Quintero stated that it was not necessary.

7.02 Public Hearing Local Control of Accountability Plan (LCAP)

Ms. Sandra Garcia, Director of State & Federal, gave a Power Point presentation. Some of the highlights included the following:

Objectives: (1) Annual Measurable Outcomes (metrics collected to this point); (2) Actions/Services for 2019-20 school year; and (3) Next steps in the LCAP adoption process.

LCAP Development Timeline

Oct/Dec	Jan/Feb	March	April	May	June
-LCFF/LCAP Parent Informational Meeting	-DAC/ LCAP Input session -DELAC/ LCAP Input session -Parent/Community Input forums -AREA, CSEA, ARAA & Teamsters LCAP Review & Input session -Management Team Input session	-Staff & Parent Meetings -On-line parent, staff & student surveys -Board update	-Board update with initial findings	-Board update -LCAP draft presented to the Board	-Public Hearing/ Stakeholder feedback -Approval of LCAP (special board meeting) -Submit LCAP to SCCOE for approval

7.02 Public Hearing Local Control of Accountability Plan (LCAP) (continued)

Trends/Findings (all stakeholders)

- Goal 1: Rigorous Instruction. Provide all students with a rigorous, challenging, and innovative Instructional Program that prepares them for college-readiness and for excellence in the 21st Century.
- Goal 2: Proficiency in English. English Learners will have the required skills to reach grade level standards/proficiency.
- Goal 3: Safe, Welcoming Environment. Provide all students and families a safe, welcoming, and caring environment conducive to learning.
- Goal 4: Stakeholder Engagement. Engage stakeholders in a meaningful way that promotes a positive learning, working, and community environment that is geared toward student achievement.

2019-20 LCAP Draft

- Annual Update
- 4 LCAP goals (listed above)
- Results of Annual Measurable Outcomes
- Actions & Services for 2019-2020

Next Steps

- LCAP Adoption- June 27, 2019
- Submit LCAP to the SCCOE for approval

President Linda Chavez asked if the board had any questions.

Vice President Ernesto Bejarano thanked Ms. Sandra Garcia for the presentation and asked about the next steps. He was under the impression that tonight is the time for the public to have the opportunity to make recommendations and comments. Assuming that the Board would like to incorporate some of those recommendations or comments into the LCAP, what would be the process? Would that take place on June 27?

Ms. Sandra Garcia, Director of State and Federal, responded that from now to June 27, the LCAP could be modified and it would be brought to the Board for adoption.

Vice President Ernesto Bejarano asked if email could be sent or if there is a formal process for the Board.

Rene Sanchez, Assistant Superintendent, Instructional Services said if there are any special recommendations, submit them to the Superintendent. If they meet all the requirements, then they could be inputted.

Vice President Ernesto Bejarano asked if it would be appropriate to submit for final approval on June 27?

7.02 Public Hearing Local Control of Accountability Plan (LCAP) *(continued)*

Trustee Dolores Marquez-Frausto said that she was going to piggyback on what her colleague's comment. She felt that he wanted to have our community well informed for those who do not come to the meeting. She asked how they get the information, through the principals or the District Office.

Rene Sanchez, Assistant Superintendent, Instructional Services, stated that there is a draft on our website and multiple forms have been sent throughout the year, in which the community has had the opportunity to share thoughts and ideas. He stated that they have surveyed families and principals.

Trustee Dolores Marquez-Frausto requested that she be given the information of those that did the survey and the number of people we reached.

Superintendent Hilaria Bauer thanked Trustee Dolores Marquez-Frausto and stated that they will provide her the presentation that has been given to this Board. She said that this was provided back in April and May. She also said that this is available to whoever requests it.

Trustee Dolores Marquez Frausto stated that instead of coming to the District Office, the public should be able to obtain a copy of the LCAP should be at the school sites.

Superintendent Hilaria Bauer responded and stated that, as a friendly reminder, not all our sites are open during the summer and that the staff is gone. If anyone wants a copy, they can send an email directly to her. She would be happy to provide a copy.

Trustee Corina Herrera-Loera stated that there are agencies outside that have meetings and forms that the district has provided to us. The agency will help dissect the information and really read through it. She stated that she does recognize some parents that have gone to those meetings.

Trustee Dolores Marquez-Frausto stated that she does understand that she has been participating from the beginning of this process. She has been seeing the same people over and over, but she wanted to know how many new parents are getting the information on a wider spectrum not, just the same ones that are attending.

Superintendent Hilaria Bauer stated that she understands and that there were several surveys collected.

Ms. Sandra Garcia, Director of State and Federal, stated that 2,550 parents participated in the survey. She also stated that is about 600 more than last year. She stated that there are 8 summer school sites and she could put a copy of the draft at those sites.

7.02 Public Hearing Local Control of Accountability Plan (LCAP) (continued)

Trustee Andrés Quintero thanked Director Sandra Garcia, her staff and all the sites for sending out robo calls and text messages. He stated that 2,500 survey responses it did not happen by chance. This was a combined effort.

Clerk Dolores Marquez-Frausto stated that she does not have children in the community and was unaware of the robo calls and that she is just a grandma.

President Linda Chavez said that she was going to open the Public Hearing and asked if anyone had a public comment. It will remain open until the next meeting.

- 1) Female, Parent from Mathson, thanked Director Sandra Garcia for the LCAP and commented that suspensions were lower because of the programs provided. If parents know how to read the LCAP, they get more involved
- 2) Olivia – asked if she could get the LCAP sooner. She said that suspensions decreased because of a good change and more of parent engagement.
- 3) Flor-Parent, requested the LCAP in Spanish. She stated that it is only in English on the website. Parents want to see more programs.
- 4) Maria Martinez- Parent, thanked Dr. Bauer, Director Sandra Garcia and Assistant Superintendent Rene Sanchez for the LCAP and stated that Somos Mayfair makes it easy to participate for parents.

Superintendent Hilaria Bauer stated that the community and district work well together. She also stated that the LCAP is available in Spanish and it should be posted tomorrow morning.

1.03 The Board will Adjourn to Closed Session at Approximately 6:41 p.m.

President Linda Chavez announced that they will be going to closed session. The Board adjourned to Closed Session at 6:41 p.m.

4. RECONVENE TO OPEN SESSION

3.01 Call to Order / Pledge of Allegiance

The Board reconvened to Open Session at 8:58 p.m. President Linda Chavez welcomed everyone and took a second Roll Call in which all Board Members were present.

Clerk Dolores Marquez-Frausto left at 9:00pm.

4.02 Report of Action Taken in Closed Session

Superintendent Hilaria Bauer reported that the Board took the following action:

4. RECONVENE TO OPEN SESSION *(continued)*

4.02 Report of Action Taken in Closed Session

3.01 To approve Public Employee Appointment/Employment (1) Elementary Principals; (2) Middle School Principal; (3) Coordinator, State & Federal; Director II Special Education; (4) Director, Child Nutrition; (5) Director, Human Resources; and (6) Assistant Superintendent, Human Resources, with a vote of 4 in favor; 1 opposition (Clerk Dolores Marquez-Frausto); no absent; and no abstention.

3.02 Leal & Trejo, APC submitted its claim for damages to the District on May 1, 2019. The Board voted 4-1 (Member Dolores Marquez-Frausto voting no) voted to reject the claim from Leal & Trejo.

Superintendent Hilaria Bauer reported that there was no other action to report at this time.

3.03 Agenda Review and Adoption

4. PUBLIC MEMBERS WHO WISH TO ADDRESS THE BOARD

4.01 Requests to Address the Board

Public Comments:

- 1) Female speaker (no name given), former teacher and board member, said she is not in agreement with the voting boxes in the school. This speaker spoke about citizenship in this country based on merit.
- 2) Virginia Garcia, mother of three children that attend Hubbard, stated that she knows that our students are not in the standard that the state requires. Ms. Garcia stated that this affects her child. She requested to bring back the program Sylvan or any other programs that help her child and other children.
- 3) Barbara Sedillo, parent of two Hubbard students and PTA President, stated that parents want to see more programs and she sees that in the LCAP of 2019-2020 there is funding for these programs. She spoke about how students need social and emotional support.
- 4) Sandra Mendoza, parent of a student that has an IEP, stated that her daughter participated in the Jose Valdes program. She stated that she read the LCAP and she noticed that there was a big reduction for kids with these types of needs.
- 5) Chris Khang, Chief Officer at Alpha Schools, commented about the positive collaboration that Alpha School and Alum Rock have. He thanked Dr. Bauer, Assistant Superintendent Kolvira Chheng and the Board for the support they have given them.

4. PUBLIC MEMBERS WHO WISH TO ADDRESS THE

Page 8 of 18 pages

- 6) Marissa Trejas spoke on behalf of a parent that has an Alum Rock student with special needs. There are concerns about the bus that the son rides. It does not have air conditioning and her son comes home aggressive and upset. She stated that the parent wanted to know when they are going to fix the buses because it affects her son.

6. COMMENTS AND COMMUNICATION

6.01 Teamsters

There were no representatives from Teamsters at this time.

6.02 California School Employee's Association (CSEA)

Female speaker, commented that they have been trying to have negotiations for reopeners since last Spring and that they have been trying to get together with Management. She asked that Management clear the calendar so that they can actually do something with the negotiations.

6.03 Alum Rock Administrator's Association (ARAA)

Lyssa Perry, Principal of San Antonio, thanked MOT for helping with the end-of-year events and CNS Department for providing breakfast for graduates.

Superintendent Hilaria Bauer thanked Principal Lyssa Perry and wished her well.

6.04 Alum Rock Educator's Association (AREA)

There were no representatives from AREA at this time.

6.05 Superintendent

Superintendent Hilaria Bauer thanked the Board for its support and stated that her job was not easy but she loved it. She also stated that it would be very hard to find someone that loves Alum Rock like she does and she commented that there are going to be a lot of changes in our midst. Dr. Bauer turned it over to Interim Assistant Superintendent, Jess Serna.

Jess Serna, Interim Assistant Superintendent of Human Resources, thanked the Superintendent, the Board, and President Chavez for being part of this team. He thanked them for their support and friendship. Interim Assistant Superintendent Serna thanked the Labor partners, without them, he cannot do the work. Jocelyn Merz, AREA President, Sharon Fontaine CSEA, President, Buddy Parden, Teamsters Chief Shop Steward, and the community. He said that his parents were farm workers and that they would stay in camps and that when they would leave his mother would tell them to leave it cleaner than when they got there. So that is what he hopes that he did here.

6. COMMENTS AND COMMUNICATION (continued)

6.06 Board of Trustees

Member Andrés Quintero commented that he attended the Alum Rock Jazz fundraising and he stated that it was amazing to see young students and hear them play. He thanked Interim Assistant Superintendent Jess Serna for comments made about farm workers. He continued by saying that we are not the country we use to be due to the passage of civil rights that made it illegal to have racial covenants. Mr. Quintero stated that some people may refer to the past as the good old days. They may have been good for them, but they may have not been good for others. He commented that he thanked God that we are not the country we use to be. We were not perfect back in 1968.

Member Corina Herrera-Loera stated that she wanted to piggyback on the amazing things that our teachers, staff and administration are doing. She mentioned that she had attended many graduations and saw how many students received medals. Ms. Herrera-Loera mentioned that she loved how our leader, our Superintendent, is making sure that our staff gets the development to promote them in their career. She stated that she is happy for the next year.

Vice President Ernesto Bejarano mentioned that he was glad that three or four young gentlemen will be attending Bellarmine High School College Prep and he wanted to highlight that in that same group of students there is a female that has been accepted to Notre Dame High School which he believes is equivalent to Bellarmine High School. He stated that he wanted to make a comment on a post he made in social media several weeks ago. His post alluded to the fact that we needed to tighten up our administration processes. It is not new news to anyone. It has been discussed here before. The post specifically referenced our contracting procedures.

President Linda Chavez thanked everyone and shared that her children had attended Alum Rock and, as adults, are very successful in their fields. President Chavez stated that they are moving forward. President Chavez stated that she also attended the Jazz Festival and that it is important to have kids attend different events. She thanked the teachers.

7. PUBLIC HEARING(S) AND/OR SPECIAL ORDER OF BUSINESS

7.03 Presentation of the Proposed 2019-20 Budget draft staff presentation be will held. A copy of the 2019-20 Budget draft will be available to the public at least 72 hours prior to the Public Hearing. The Budget draft will be located at the District Office, Receptionist's Desk.

Kolvira Chheng, Assistant Superintendent Business Services, gave a PowerPoint presentation. Some of the highlights included the following:

Objectives: (1) Local Control Funding (LCFF) Overview; (2) Key Assumptions (3) General Fund MVP, Statement of Reserves and Future Considerations.

7. PUBLIC HEARING(S) AND/OR SPECIAL ORDER OF BUSINESS *(continued)*

President Linda Chavez asked if there were any questions from the Board.

Vice President Ernesto Bejarano stated that he had a couple of questions and thanked Assistant Superintendent Chheng for the report. He asked if the 3% requirement that the state gives us a very conservative amount and if that is a bare bone minimum that they require.

Assistant Superintendent Chheng responded and stated that is the bare bone. He stated that our payroll is close to 7 ½ to 8 million dollars a month and the 3% requirement is about 4 million dollars. It is about two or three weeks of payroll.

Vice President Ernesto Bejarano asked if our reserves barely a month of operating.

Assistant Superintendent Chheng said that is not even a month of operating.

Vice President Ernesto Bejarano stated that it was his understanding that if everything stays the same, we need to expect that there are going to be staffing cuts in the coming years to make this budget work.

Assistant Superintendent Chheng agreed and stated that there is built in assumptions in terms of reduction into certificated staff starting in 20-21.

Vice President Ernesto Bejarano stated that he wanted to clarify if there was no way of knowing if they are filled or unfilled positions.

Assistant Superintendent Chheng responded and said it too soon to tell right now. We are lucky enough to do it through attrition especially with respect to certificated positions. He said that is the goal and he stated that it was a bit too soon to give a firm answer.

Member Andrés Quintero stated that he was asked by a former school board member now city council member, what was going on with the budget because she has not been paying attention because she has been focused on city matters. He stated that he responded that we are basically getting nothing and that is what is killing us. He stated that we do not go backwards and there is no growth, yet our costs continue to increase. Mr. Quintero asked if he gave her a correct answer and a good explanation. He stated that he did not go into details because he does not know them that well to break them down for her.

Assistant Superintendent Chheng said that is a pretty accurate response in terms of information. He stated that he had mentioned that this is based on the state-wide average and this does not factor in any declining enrollment.

7. PUBLIC HEARING(S) AND/OR SPECIAL ORDER OF BUSINESS *(continued)*

Member Corina Herrera-Loera was concerned about future possible certificated staff cuts She asked if they would affect the students. She stated they need to plan ahead and fill in certain positions.

Assistant Superintendent Chheng thanked Member Corina Herrera-Loera for her input in the last board meeting and He said he would keep them informed.

Member Corina Herrera-Loera asked if enrollment increasing.

Assistant Superintendent Chheng responded that as soon as school gets started, he will be able to give a better overview.

Superintendent Hilaria Bauer stated that she wanted to highlight that we will be considering bringing a report to the Board at the September meeting in order to have a sense of how many students have materialized. This is something we are monitoring constantly. She said that there will be very difficult decisions ahead of us.

7.04. PUBLIC HEARING: Proposed 2019-2020 Budget Adoption

President Linda Chavez opened up the Public Hearing.

- 1) Male speaker (no name given) stated that he has been in the education field himself and understands how budgeting work. He asked about the cuts for certificated staff if it also included classified and management.

Superintendent Hilaria Bauer responded and said that they will not be engaging with the public and stated that she welcomed the question, but cuts are cuts.

- 2) Maria Martinez stated she was not aware of how much money Aptitud received. Her question was that where can she find the Aptitud in the LCAP.
- 3) Female speaker (no name given) stated that she was worried about 14 million dollars that are going to be cut. She asked what kind of quality education are their kids going to receive and she stated that the teachers are not getting what they deserve.

11. SUPERINTENDENT/BOARD BUSINESS

The Board had discussion on this agenda item.

11.02 REGISTRAR OF VOTERS, BALLOT DROP BOX. Registrar of Voters is asking for the support for the upcoming March 3, 2019 Primary Election and November 3, 2020 Presidential Election.

11. SUPERINTENDENT/BOARD BUSINESS (continued)

MOTION #19-01 by Member Andrés Quintero to accept and approve Registrar of Voters, Drop Box- 18/19 as presented. MOTION #19-07 was seconded by Vice President Ernesto Bejarano.

MOTION #19-02 by Member Andrés Quintero to extend the Board Meeting until 11:00 pm in order to finish business on the agenda. MOTION #19-02 was seconded by Vice-President Ernesto Bejarano.

The Board was in consensus to accept MOTION #19-02 as stated.

PUBLIC COMMENT:

Male speaker, (no name given) expressed his concerns about the person or persons coming to the campus' and there being no control. He stated that this is a poorly thought out concept.

MOTION #19-01 carried with a vote of 3 in favor; one opposition (President Linda Chavez); one absent (Clerk Dolores Marquez-Frausto); and no abstention.

8. INSTRUCTIONAL SERVICES

8.01 Resolution No. 11-18/19, Regarding Sufficiency or Insufficiency of Instructional Materials.

MOTION #19-03 by Member Andrés Quintero to accept and approve Resolution No. 11-18/19 as presented. MOTION #19-03 was seconded by Vice President Ernesto Bejarano.

MOTION #19-03 carried with a vote of 4 in favor; no opposition; one absent (Clerk Dolores Marquez-Frausto); and no abstention.

9. CONTRACT EXTENSIONS OVER \$100,000—BOARD DISCUSSION AND/OR ACTION

The Board had discussion on these agenda items.

There was consensus from the Board to combine and take action on *Agenda Items 9.01, 9.02, 9.03 and 9.04* to discuss listed below.

9. CONTRACT EXTENSIONS OVER \$100,000—BOARD DISCUSSION AND/OR ACTION *(continued)*

9.01 Approve Contract Extension for the 2019/2020 SY with Crystal Creamery for the purchase of juice and dairy products, CNS, \$520,000.00

9.02 Approve Contract Extension for the 2019/2020 SY with Daylight Foods Produce for the purchase of fruits & vegetables, CNS, \$400,000.00.

9.03 Approve Contract Extension for the 2019/2020 SY with Sysco of San Francisco for the purchase of pantry grocery items, CNS, \$825,000.00.

9.04 Approve Contract Extension for the 2019/2020 SY with P&R Paper Supply Company for the purchase of cafeteria paper goods, CNSS, \$260,000.00

MOTION #19-04 by Member Corina Herrera-Loera to approve Action Items 9.01, 9.02, 9.03 and 9.04 as presented. MOTION #19-04 was seconded by Member Andrés Quintero.

MOTION #19-04 carried with a vote of 4 in favor; no opposition; one absent (Clerk Dolores Marquez-Frausto); and no abstention.

PUBLIC COMMENT:

Male Speaker, no name given, spoke about items 9.01 through 9.04 that totaled 5,000 over 2 million dollars. He asked if these are bid out to the community or are continued vendors that have had these for a while. If these are not bid out he would like to know why.

10. NEW CONTRACTS OVER \$100,000.00—BOARD DISCUSSION AND/OR ACTION

The Board had discussion on these agenda items.

10.01 Approve Contract with Think Together for after school in areas such as homework center, science, math, arts programs, etc. (sites TBD), State & Federal, \$793,479.00.

MOTION #19-05 by Member Andrés Quintero to approve Contract for Think Together presented. MOTION #19-05 was seconded by Vice President Ernesto Bejerano.

10. NEW CONTRACTS OVER \$100,000.00—BOARD DISCUSSION AND/OR ACTION *(continuation)*

MOTION #19-05 carried with a vote of 4 in favor; no opposition; one absent (Clerk Dolores Marquez-Frausto); and no abstention.

10.02 Approve Contract with Michael's Transportation Services to transport summer school students participating in Jose Valdes Program, State & Federal, \$100,240.00.

10. NEW CONTRACTS OVER \$100,000.00—BOARD DISCUSSION AND/OR ACTION *(continued)*

MOTION #19-06 by Member Andrés Quintero to approve Contract for Michael's Transportation presented. **MOTION #19-06** was seconded by Member Corina Herrera-Loera.

***MOTION #19-06** carried with a vote of 4 in favor; no opposition; one absent (Clerk Dolores Marquez-Frausto); and no abstention.*

10.03 Approve Contract with YMCA of Silicon Valley-East Valley to provide after school homework assistance and other enrollment programs at LUCHA & Adelante II, State & Federal, \$234,576.00.

MOTION #19-07 by Member Corina Herrera-Loera to approve Contract with YMCA of Silicon Valley-East Valley presented. **MOTION #19-07** was seconded by Member Andrés Quintero.

***MOTION #19-07** carried with a vote of 4 in favor; no opposition; one absent (Clerk Dolores Marquez-Frausto); and no abstention*

10.04 Approve Contract with Citizen Schools to provide after school homework assistance and enrichment opportunities to Renaissance Fischer/Mathson, George, and Sheppard M.S., State & Federal, \$589,672.00.

MOTION #19-08 by Vice President Ernesto Bejerano to approve Contract with Citizens Schools as presented. **MOTION #19-08** was seconded by Member Andrés Quintero.

***MOTION #19-08** carried with a vote of 4 in favor; no opposition; one absent (Clerk Dolores Marquez-Frausto); and no abstention*

11. SUPERINTENDENT/ BOARD BUSINESS

The Board had discussion on these agenda items.

11.01 Board Bylaw 9006, First Reading—Board of Trustees' Code of Conduct.

MOTION #19-09 by Member Andrés Quintero to approve Contract with Citizens Schools as presented. **MOTION #19-09** was seconded by Member Corina Herrera-Loera.

11. SUPERINTENDENT/ BOARD BUSINESS (continued)

MOTION #19-09 carried with a vote of 4 in favor; no opposition; one absent (Clerk Dolores Marquez-Frausto); and no abstention.

13. HUMAN RESOURCES

13.01 Information Regarding Resignations

13.02 Approve New AREA Job Description- Teacher on Special Assignment (TOSA) –VILS Grant Implementation, 6th-8th grade.

MOTION #19-10 by Member Andrés Quintero to approve New AREA Job Description as presented. MOTION #19-10 was seconded by Vice President Ernesto Bejerano.

MOTION #19-10 carried with a vote of 4 in favor; no opposition; one absent (Clerk Dolores Marquez-Frausto); and no abstention

There was consensus from the Board to combine and take action on *Agenda Items 13.03, 13.04 and 13.05* listed below.

13.03 Approve Resolution No. 45-18/19 –Recognition of Classified Retirees

13.04 Approve Resolution No. 46-18/19 – Recognition of Certificated Retirees

13.05 Approve Resolution No. 47-18/19–Recognition of Certificated Management Retirees.

MOTION #19-11 by Member Andrés Quintero to approve Agenda Items 13.03,1304 and 13.05 as presented. MOTION #19-11 was seconded by Member Corina Herrera-Loera.

MOTION #19-11 carried with a vote of 4 in favor; no opposition; one absent (Clerk Dolores Marquez-Frausto); and no abstention

14. CONSENT CALENDAR

There was consensus from the Board to combine and take action on *Agenda Items 14.02, 14.03, 14.04, 14.05, 14.06, 14.07, 14.08, 14.09, 14.10, 14.11, 14.12, 14.13 and 14.14* to discuss.

Vice President Ernesto Bejerano asked to pull *Agenda Item 14.01 Approval of Minutes for the following meetings May 6, 2019, May 9, 2019, May 9, 2019* to be discussed separately.

14.02 Approve Contracts for Professional Services- Firm.

14.03 Approve Memorandum(s) of Understanding(s).

14.04 Acceptance of Donations.

14.05 Approval of Fundraising Activities.

14.06 Acceptance of Vendor & Payroll Warrants.

14. CONSENT CALENDAR *(continued)*

14.07 Acceptance of Enrollment/Attendance Report for Month 9 (April 15, 2019 thru May 10, 2019).

14.08 Approve Education Protection Account (EPA) Spending Plan for 2019-2020.

14.09 Approve the Santa Clara County Treasury Investment Portfolio Status.

14.10 Approve/Ratify Notices of Employment and Changes of Status/ June 13, 2019/Human Resources Department.

14.11 Approval of CSEA, Teamsters, Supervisory and Management/ Confidential Calendars, 2019-2020.

14.12 Approve Out of State Travel, New Tech Annual Conference, Orlando, FL, July 15-19, 2019 for JoAnn Sanchez, Jesse Springer, Linda Templeton, Jackie Montejano, Jasmine Woods and Amber Johnstone. Estimated cost \$9,900.

14.13 Approve Out of State Travel, New Tech Annual Conference, Orlando, FL, July 15-19, 2019 for Melanie Ann Abad, Matt Barbieri, Kelle Breaux, Katy Grunewald, Crystal Madril, Jerald Minter and Vivian Nguyen. Estimated cost \$8,800.

14.14 School-sponsored Field Trip List.

MOTION #19-12 by Member Andrés Quintero to approve Agenda Items 14.02, 14.03, 14.04, 14.05, 14.06, 14.07, 14.08, 14.09, 14.10, 14.11, 14.12, 14.13, and 14.14 as presented. MOTION #19-12 was seconded by Member Corina Herrera-Loera.

MOTION #19-12 carried with a vote of 4 in favor; no opposition; one absent (Clerk Dolores Marquez-Frausto); and no abstention

14.01 Approve Approval of Minutes for the following meetings: (1) May 6, 2019, Special Board Meeting, 6:30pm; (2) May 9, 2019, Special Board Meeting, 5:15pm, and (3) May 9, 2019, Regular Board Meeting, 5:30pm.

Vice President Ernesto Bejerano requested to have language added to the May 9, 2019 Minutes Agenda Item 8 Contracts over \$100,000. He had suggested a one-year contract to provide continuity of services and to develop a contract with more specific scope of services.

MOTION #19-13 by Member Andrés Quintero to approve Agenda Item 14.01 Approval of Minutes as presented. MOTION #19-13 was seconded by Member Corina Herrera-Loera.

MOTION #19-13 carried with a vote of 4 in favor; no opposition; one absent (Clerk Dolores Marquez-Frausto); and no abstention.

15. FUTURE BOARD AGENDA REQUESTS

15.01 Requests from Board of Trustees and/or from the Public

16. ADJOURNMENT

16.01 President Adjourns the Meeting

17. June 27, 2019, Regular Board Meeting

President Linda Chavez adjourned the meeting at 10:51p.m.

Respectfully submitted,

Andrés Quintero
Board Clerk
mc

**DRAFT MINUTES
ALUM ROCK ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue
San Jose, CA 95127**

**MINUTES OF A REGULAR MEETING OF THE BOARD OF TRUSTEES
of the Alum Rock Union School District
Held on Thursday, February 13, 2020, 5:30 p.m. at the Alum Rock Union School
District Office Board Room, 2930 Gay Avenue, San Jose, CA 95127
Meeting #11-19/20**

1. OPEN SESSION

1.01 Call to Order/Roll Call/Pledge of Allegiance

President Ernesto Bejarano welcomed everyone in the audience. President Ernesto Bejarano called the Board Meeting to order at 5:30 p.m. followed by Roll Call.

Board Members Present:

Ernesto Bejarano	President
Corina Herrera-Loera	Vice-President <i>(arrived late at 5:32 p.m.)</i>
Andrés Quintero	Clerk <i>(arrived late at 5:32 p.m.)</i>
Linda Chavez	Member
Dolores Marquez-Frausto	Member

Administrative and Support Staff Members Present:

Dr. Hilaria Bauer	Superintendent
Rene Sanchez	Assistant Superintendent, Instructional Services
Carlos Moran	Assistant Superintendent, Human Resources
Kolvira Chheng	Assistant Superintendent, Business Services
Maribel Carrillo	Sr. Executive Assistant, Superintendent's Office

1. OPEN SESSION *(continued)*

1.01 Call to order/Roll Call/Pledge of Allegiance

President Ernesto Bejarano said there was a quorum.

President Ernesto Bejarano said there were several requests made to move agenda items.

President Ernesto Bejarano asked the Board members if there were any requests to move agenda items.

President Ernesto Bejarano asked to move items 8.01 and 8.02 before item 6.01.

Clerk Andrés Quintero commented to move item 8.01 before the closed session because he feels that there will be more public comment on this item.

Member Dolores Marquez-Frausto said she is not in favor of moving the item 8.02 before closed session. She said there should be comments made by both the public and the Board.

President Ernesto Bejarano replied that there would be discussion from both the Board and the public. He said this item is for discussion only, not action.

President Ernesto Bejarano commented to move the items after the closed session because the closed session will be short.

Member Linda Chavez commented that the items should be addressed beforehand because there is the staff that is on the clock.

Clerk Corina Herrera-Loera apologized for being late. She said her suggestion is to have Public Comment for 20 minutes and she does not feel the need to rush through the items.

President Ernesto Bejarano asked if she was referring to items 4.01 or 8.02.

Vice-President Corina Herrera-Loera said it was important for her to hear the parent's comments and this would allow the parents to speak if they need to leave early.

President Ernesto Bejarano asked what item Vice-President Corina Herrera-Loera was speaking of.

Vice-President Corina Herrera-Loera replied she was speaking about item 4 non agendized items for community members to speak.

1. OPEN SESSION *(continued)*

Vice-President Corina Herrera-Loera said she agrees with what President Ernesto Bejarano said. She would like to have parents speak before the Board goes to closed session.

Member Linda Chavez said there has been discussion on this item and there will not be a vote. This is a discussion only. She asked if the Board can go to closed session and be respectful of the staff's time because there are things that the Board needs to make decisions on.

President Ernesto Bejarano said there is a proposal to move items 8.01 and 8.02 before item 6.01. He said everything else stays the same.

There was consensus from the Board.

Vice-President Corina Herrera-Loera said moving forward, she requests to have 20 minutes for Public Comment before closed session.

President Ernesto Bejarano called for order from the audience.

President Ernesto Bejarano said there would be a 5-minute break to regain order.

President Ernesto Bejarano called the meeting back to order. He said upon speaking to Counsel Rogelio Ruiz, the Board is going to closed session.

2. Closed Session

2.01 Announcement and Public Comments Regarding Items to be Discussed In Closed Session

President Ernesto Bejarano announced the items that would be discussed in Closed Session. There were no public comments from the audience at this time.

2.02 Recess To Closed Session: The Board will recess to Closed Session at approximately 5:35 p.m. Open session will resume approximately 1 ½ hours after the start of Closed Session.

President Ernesto Bejarano announced that the Board would reconvene to Open Session in approximately 1 ½ hours. The Board adjourned to Closed Session at 5:43 p.m.

3. RECONVENE TO OPEN SESSION

3.01 Call to Order / Roll Call

The Board reconvened to Open Session at 7:39 p.m. President Ernesto Bejarano welcomed everyone and took a second Roll Call in which all Board Members were present. There was a quorum.

President Ernesto Bejarano said he is proposing to move items 8.01 and 8.02 after item 4.01 non agendized Public Comment.

President Ernesto Bejarano said there is no report of closed session items at this time.

4. Public Members Who Wish to Address the Board

Public Comments:

- 1) Olivia Ortiz said to consider moving Public Comment before going to Closed Session. She said the Board had mentioned they would like to see parents at the Board Meetings but it is really hard for parents to be here for two to three hours. She said hopefully the Board can consider this because kids need to go to school.
- 2) Deliza Gonzalez said there is a new Board but they are going to the old tactics. She said parents are arriving early to make comments but are unable to because they have to leave. She commented the students are bringing in the money and without the students there would be no district. She said parents are getting mad and looking for other options.

President Ernesto Bejarano said he would like to take the responsibility of putting this proposal on the table on the agenda today because his colleagues had brought this to his attention.

- 3) Flor De Leon said she sends out emails to the Board and there is no response to her email. She said she keeps on asking the Board to move Public Comment before the Board goes to closed session. She commented the parents do not participate because they say the Board takes too long during the Closed Session. She asked the Board to please consider moving Public Comment before going to Closed Session.

8. Superintendent/Board Business—Board Discussion And/ Or Action

8.01 Update Regarding Board Governance Handbook.

Superintendent Bauer said she was working on finding the old Board Governance Handbook and was unable to locate it. She was asked to check on a presentation of Board Term Limits with Santa Clara County Office of Education and CSBA. She commented she received a response from CSBA recommending a New Beginnings Training. This training will consist of the Board Governance Handbook, mission and vision statement. She said they will also include Board Term Limits. She commented the cost for this training is 2,700 plus travel fees. She respectfully asked the Board to direct staff and approve this type of training.

MOTION #01-11 by Member Linda Chavez to have the Superintendent look into the action item 8.01 so we can get the Good Beginnings Governance Handbook and work on the handbook mission, a vision statement. MOTION #01-11 was seconded by President Ernesto Bejarano for the purpose of discussion.

Clerk Andrés Quintero said he appreciates the research the administration has done but due to the financial constraint the district has, he hesitates to do this. He feels the handbook is necessary and Counsel Rogelio Ruiz can work with a template from another school district in order for the district to have their Governance Handbook.

Vice-President Corina Herrera-Loera said there have been agencies who are willing to give a presentation to the Board regarding term limits. She commented she feels Board Term Limits is more pressing than the Board Governance Handbook.

Superintendent Bauer said she reached out to Santa Clara Office of Education Superintendent Dewan regarding the term limit presentation that staff had offered. She said Superintendent Dewan mentioned she felt that the staff had spoken out of their enthusiasm and willingness to provide a term limit presentation, but the Santa Clara County Office of Education is not the best agency to provide these types of training. She said Superintendent Dewan recommended to reach out to CSBA because their focus is on Board issues

Member Linda Chavez said eleven months ago she and Superintendent Bauer brought this to the Board and no one voted on it. She said now it is being reintroduced because the Board needs to put a plan and strategy for the district to move forward. She said term limits were just a bonus to do the training, but she is still not in agreement to spend money on term limits. She said if the community wants term limits, they need to raise money.

President Ernesto Bejarano said he wants to see if he is understanding his colleague's thought process regarding term limits she feels terms limits are more pressing right now and she does not want to rush through the Board Governance Training. He said he is wondering what is the pressing issue on term limits. He commented the Board is under no obligation or audit recommendation to do term limits. He said there is a recommendation to complete the Board Governance Handbook.

8. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

President Ernesto Bejarano said he wants to see if he is understanding his colleague's thought process regarding term limits is more pressing right now and she does not want to rush through the Board Governance Training. He said he is wondering what is the pressing issue on term limits. He commented the Board is under no obligation or audit recommendation to do term limits. He said there is a recommendation to complete the Board Governance Handbook. He said that the Board term limits are an important issue to some segments of our community. He said a decision like this is wide-ranging and long term. He commented he would like to take time with it.

Vice-President Corina Herrera-Loera said President Ernesto Bejarano asked is more important if term limits of Board Governance Handbook is more important. She said to her they are equally important. She said parents are seeking a response from the Board on term limits. She commented she pushed to have it in the January 2020 Board Meeting Agenda and it was taken off. She said the community has been waiting for months for a response on term limits.

Member Dolores Marquez-Frausto said she will not be speaking on term limits because it is not the item the Board is on. She said this is historical because she is going to agree with her colleague Clerk Andrés Quintero. She commented she does not want to spend any more money when there is a 9 million dollar deficit and there are going to be cut. She said the Board should pay Counsel Rogelio Ruiz to ensure the Board Governance Handbook can be done using other templates.

Member Linda Chavez requested that the Board move on with the vote since there is a second on the floor.

President Ernesto Bejarano said there is a motion on the floor to approve. He said direction has been given to staff to inform the Board of term limits.

Member Linda Chavez said she had asked for the Governance Handbook, vision & mission statement and somehow term limits were tagged on. She said this was not what she requested to be on the agenda. She commented to have the Board Governance Handbook completed to know what guidelines to follow.

Superintendent Bauer commented she sent out an email to CSBA asking about training for term limits. She said CSBA responded by saying what would be best would be to have a New Beginnings workshop to create a Board Governance Handbook and the norms of the handbook would discuss term limits. She said she wanted to be very clear for the Board to know how this came to be.

8. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

Vice-President Corina Herrera-Loera said she overheard President Ernesto Bejarano say if term limits were dealt with now the Board would not need to discuss item 8.02. She asked Counsel Rogelio Ruiz if the Board was able to discuss 8.02 because she wanted to speak on this item.

President Ernesto Bejarano replied to Vice-President Corina-Herrera-Loera that item 8.02 will be heard.

Public Comments:

- 1) Frank Chavez commented it was obvious that the Board needed a Board Governance Handbook because of the way they were handing this item. He told the Board they need to learn how to govern.

AMENDED MOTION #02-11 by Member Linda Chavez to support the Good Beginnings workshop in the amount of \$2,700.00 plus travel for the Governance Handbook, mission and vision statement. MOTION #02-11 was seconded by President Ernesto Bejarano.

MOTION #02-11 failed with a vote of 1 in favor; four opposition (Member Dolores Marquez-Frausto, Clerk Andrés Quintero, Vice-President Corina Herrera-Loera and President Ernesto Bejarano); no absent; and no abstention.

MOTION #03-11 by Clerk Andrés Quintero to have general counsel look into best practices in other districts and put together a handbook. MOTION #03-11 was seconded by Member Linda Chavez.

Vice-President Corina Herrera-Loera asked if the staff would be able to put the Governance Handbook together and show it to Counsel Rogelio Ruiz for him to review.

AMENDED MOTION #04-11 by Clerk Andrés Quintero to direct staff to come up with the Governance Handbook based on other templates and to use the current mission and vision statement we have in place knowing full well how it is written. MOTION #04-11 was seconded by Member Linda Chavez.

AMENDED MOTION #05-11 by Clerk Andrés Quintero to direct staff to create a Governance Handbook with the current mission and vision statement we have.

Clerk Andrés Quintero said staff will be gathering our comments and moving in that direction. He said he motion he made was very general and the staff will bring a handbook knowing full well the conversations the Board had.

8. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

Superintendent Bauer commented that what she is hearing from the Board is that the district needs to be very frugal. She said she has collected samples of Board Governance Handbooks and she will be sending them to the Board. She commented that Counsel Rogelio Ruiz is an amazing resource but his time adds up pretty fast versus paying \$2,700.

Member Linda Chavez commented that there are other places where money can be saved. She said regarding the Board Governance Handbook, vision and mission statement the Board does not need to be frugal.

Member Dolores Marquez-Frausto asked Superintendent Bauer to mark the ones that mirror the district population and demographics.

Clerk Andrés Quintero said given the importance of the handbook, there is a need to dedicate a short study session. He said before the study session, the staff would have compiled various options of sample Board Governance Handbooks that mirror the district. He commented the Board has taken on heavier tasks like the hiring of the Superintendent.

President Ernesto Bejarano asked about the cost difference between having the staff or legal counsel work on the Board Governance Handbook versus having CSBA do it at a cost of \$2,700 plus travel.

Counsel Rogelio Ruiz replied that he does not see this as being time-intensive. He said it is just about needing a form that works by incorporating the Board's vision and mission statement.

Member Dolores Marquez-Frausto commented she was told the process of doing the Board Governance Handbook sounds complicated, but after hearing Counsel Rogelio Ruiz explain it, it sounds simpler.

President Ernesto Bejarano said a short study session is warranted. He said whatever CSBA would bring to the Board, it would be missing the specific things that the Board would feel would be important.

Clerk Andrés Quintero commented the Board would be well prepared to have a 1 ½ study session and move forward.

President Ernesto Bejarano asked Clerk Andrés Quintero if what he has said encompasses his motion and if he could repeat his motion.

8. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

AMENDED MOTION #06-11 by Clerk Andrés Quintero to give direction to staff to create a Governance Handbook and we will go ahead and move forward with a short maximum of 2 hours study session General counsel will review the final. MOTION #06-11 was seconded by President Ernesto Bejarano.

Superintendent Bauer said she will be sending recommendations of Governance Handbooks to be considered at the Study Session. She said once the Board has chosen one then she will send it to legal for review.

Public Comment:

- 1) Natalie Ball said she is a parent and it is almost 8:30 pm. Some of the audience has not even had dinner. She asked the Board to be more conscious of this.

MOTION #06-11 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

8.02 Board Term Limits (Information Only)

Superintendent Bauer said she had already provided information regarding this item. She said Santa Clara County Office of Education respectfully declined the request and she had already read the response from CSBA.

Vice-President Corina Herrera-Loera said there was a recent email sent to the Board regarding doing a presentation regarding term limits. She said she wants to make a motion to have an action item placed for the March 2020 Board meeting regarding term limits.

President Ernesto Bejarano commented that this item is not for action.

Vice-President Corina Herrera-Loera asked Counsel Rogelio Ruiz how she can move forward to place term limits as an action item to be heard at the March 2020 Board Meeting.

Counsel Rogelio Ruiz replied the Board has a Board Bylaw that allows a Board member to ask for an action item to be added to the agenda.

Vice-President Corina Herrera-Loera said she had just asked President Ernesto Bejarano to have this item added for the next Board Meeting and he replied this was not the place to do that.

Counsel Rogelio Ruiz said if a Board member asked to have an item to be added to the agenda there could be three Board members who can call out a Special Board Meeting for further action.

8. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

Vice-President Corina Herrera-Loera asked if, at this point, she could call out a Special Board Meeting.

Counsel Rogelio Ruiz replied that she could request a Special Board Meeting or three Board Members can call out a Special Board Meeting.

Vice-President Corina Herrera-Loera said she is trying to put it on the agenda for the March 2020 Board Meeting. She said she is requesting it.

Counsel Rogelio Ruiz replied to specify that it will be for action.

President Ernesto Bejarano said he would like to go back to his original request to have the staff bring the Board a package of information that speaks to what the physical implications are regarding a decision like this in a school district and what the impact is on children including families. He said he has done his research and it is clear what he thinks about this. He commented that part of the community has set forth its thoughts about what the Board should do.

Vice-President Corina Herrera-Loera is in agreement with President Ernesto Bejarano that we should have all the information in order to make a vote to make a decision. She said her recommendation is to have the presentation in order to have the Board hear the same information. She said the presentation can be given at the March 2020 Board Meeting and the agenda item can be for action after the presentation has been heard.

Superintendent Bauer said the information requested is not something she can put it together. She said there are people who are prepared to do that. She said she would like to ask Counsel Rogelio Ruiz about the presentation together with the pros and cons of having term limits. She said she is not sure she can do this and bring the Board justice. The Board will have questions. She commented she is trying to be very transparent. She said she is respectfully asking the Board to consider this.

Vice-President Corina Herrera-Loera said the Board received an email from Tom Collins who is willing to do a presentation regarding term limits.

President Ernesto Bejarano mentioned he also received the same email. He said the presentation does not offer him the information he needs on what would make more sense for the district. He said he would like a third party opinion.

Vice-President Corina Herrera-Loera commented she is willing to bring someone that could answer President Ernesto Bejarano's questions.

8. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

Clerk Andrés Quintero said he made his position very clear back in the November 2019 Board Meeting. He commented that he is concerned about bringing on Tom Collins to do a presentation because he has stated his position. He said he would like someone that is neutral. He said he understands why the Santa Clara Office of Education did not want to do the presentation because they do not have term limits themselves. He said he is not opposed to term limits. He said he supports 3 to 4-year term limits, but less than that, he does not. He commented the Board needs a healthy mix of those who have experience and those coming in to be well trained.

Member Dolores Marquez-Frausto said to Somos parents that they have been very faithful holding the Board accountable. She commented they have told her she has been a Board member for a long time and she said the people keep on putting me here. She commented she does not oppose term limits. She asked Somos parents if they did not support three members of the Board. She said to Somos parents that they need to go back and have a one-on-one with them. She said the Superintendent was asked to do a presentation and she said it was not her expertise. She said if your bosses are asking you to do a presentation, you would need to bring the information. She commented she will be running for school board one more time.

President Ernesto Bejarano said the studies have shown that term limits impact families and students. He thanked Clerk Andrés Quintero for bringing up what Professor Christenson thoughts of term limits. He said that is the kind of third party expert he is looking for. He asked Clerk Andrés Quintero if that was what he said.

Clerk Andrés Quintero said the county had a 2 to 4-year term limit and they bumped it up to a 4-year term limit.

President Ernesto Bejarano said, looking at this district, there have not been candidates that have served more than two terms and the ones that had more than two consecutive terms won in the first place. He said high performing districts have Board members for a minimum of 12 years. He commented on having a discussion that is reasonable according to the data he is open to.

Vice-President Corina Herrera-Loera is in agreement with Clerk Andrés Quintero, Member Dolores Marquez-Frausto and President Ernesto Bejarano on what they have said about term limits. She said she wants to put in the March 2020 meeting to vote on it and move forward.

Member Linda Chavez said at the Board meeting of November 2019, she does not want to spend a dime of Alum Rock's money that is for the student's education. She said if the community wants to raise money or vote the Board member out of office, run it through a campaign and vote them out. She commented if there was a vote today, it would be 3 to 2. She said the district will not be spending money to put this on the ballot.

8. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

President Ernesto Bejarano commented he did not say if he would vote on this item but he said he would entertain a discussion of it.

Member Linda Chavez said she does not mind discussing this item. She said she will not vote on this item because the Board needs to be wise with money.

President Ernesto Bejarano said Public Comment was agendized for 3 minutes but he said if it is going to take more time he will allow for two minutes per speaker.

Public Comments:

- 1) Luis Escobar said he is a parent of the Alum Rock School District and his daughter attends Cesar Chavez. He said, as part of the agenda, he believes parents have a voice. He said not having term limits has allowed Board members to hold office at the school board indefinitely. He commented they have seen this school district in state audits. He said they will continue to organize term limits.
- 2) Gabriela Cardoza said she is a parent at Alum Rock School District. She said for the past 15 months they have been asking for the Board to approve a term limit policy for the wellbeing of the community. She said on December 12, 2019, there was a press conference. They collected 550 signatures. On January 16, 2020, they had a visual. She said she feels discriminated against and they are looking forward to working together.
- 3) Flor De Leon said some of the Board members said they would support term limits. She said parents have lost their confidence in the Board members because they feel disrespected and ignored. She said she would like this item to be placed for action. She said they have been knocking on doors to do a presentation.
- 4) Brenda Zendejas said a lot of the Board members went to a training where they took an oath to support term limits except Clerk Andrés Quintero. She asked the Board members that were present why they are changing their minds. She said to have faith in their community. She said there are qualified members of the community to take your seat.
- 5) Alma Fajardo said she is a parent at Painter. She said a lot of the Board members supported term limits. She commented Member Linda Chavez had said she would support us on term limits and now you are saying you are concerned about the money.
- 6) Olivia said she is a parent and she said it is the job of the Board members to research if term limits are a benefit or not. She said most of the Board members said they would support term limits. She said to not just ignore them.

8. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

- 7) Sharon Chen said three Board members had said they would support term limits. She said she is disappointed with Member Linda Chavez's position. She commented that President Ernesto Bejarano went to debate and said you would support term limits.
- 8) Silvia Nolasco said she has a son with special needs that is an Alum Rock School District student. She said ever since she has become involved with Alum Rock School District, they are always saying there is no money. She would like to ask Member Dolores Marquez-Frausto what is going on since she has been here for 12 years. She commented that this is the reason why there need to be new Board members.
- 9) Deliza Gonzalez said they are not here as Somos they are here as Empuje. She said that they need term limits to be an action item. She commented to the Board members to listen to the parents.

Clerk Andrés Quintero thanked the person who clarified the situation on one of the forums that took place. He said he was asked if he supported two-year term limits and he said he did not. He said he understands the frustration of the people. He commented he supported his colleague's position that the Board cannot just jump into this. He asked Counsel Rogelio Ruiz to explain the reason why the Board goes behind closed doors during closed session.

Counsel Rogelio Ruiz explained that, by law, closed session needs to be behind closed doors because of confidential matters. He said the Brown Act does not allow discussion on term limits behind closed doors.

Member Linda Chavez said when she was asked if she supported term limits at the forum, she did not know it was going to cost the district 100 thousand dollars. She said she may not agree with the community, but she will listen.

Vice-President Corina Herrera-Loera said she will ask for term limits to be added to the agenda. She commented she will speak to President Ernesto Bejarano about having a presentation. She said to cover all the questions, he may have and put it for action.

President Ernesto Bejarano said he has received several requests on this issue. He said many community members have been asking for term limits before this board. He said he does not remember the specific time limit, but he will go back and check. He said he based his decision on gathering all available information.

Member Dolores Marquez-Frausto thanked the community for coming and speaking. She said she was going to keep her comments short.

5. Comments and Communications

5.01 Teamsters

There were no representatives from Teamsters at this time.

5.02 California School Employee's Association (CSEA)

There were no representatives from CSEA at this time.

5.03 Alum Rock Administrator's (ARAA)

Tara Bickford, ARAA President and Principal of Joseph George, said that the district is celebrating Black History month to push for equality and social justice. She said the district is gearing up for Steam on March 5, 2020.

5.04 Alum Rock Educator's Association (AREA)

There were no representatives from AREA at this time.

5.05 Superintendent

Superintendent Bauer said Member Dolores Marquez-Frausto asked to see what she was doing to increase enrollment and she wanted to thank Director Norma Flores for putting this packet together. She said 49 students have enrolled in TK and Kindergarten.

5.06 Board of Trustees/Communication/Comments

Member Linda Chavez spoke about Board Policy 6020 parent involvement and asked Superintendent Bauer how this is implemented now. She said this is one of the ways the district can improve if the parents get more involved. She mentioned Board Policy 5020 Parents' Rights and Responsibilities. She said the previous Board members got this from the Charters Schools. She said the parents are involved at the Charter Schools.

Clerk Andrés Quintero asked President Ernesto Bejarano to keep the meeting moving. He said there are 20 items more that need equal attention.

Vice-President Corina Herrera-Loera said she had lots to report but she wanted to wish a Happy Birthday to her husband Gerardo Lorea and Senior Executive Assistant Maribel Carrillo.

6. Contracts Extensions Over \$100,000

6.01 Approve Contract Extension for the 2019/2020 SY with the Accountable Healthcare Staffing which will provide a Registered Behavior Technician required at Ryan Elementary in order to fulfill services per IEP, Special Education, new total cost of this contract \$128,800.00

MOTION #07-11 by Member Linda Chavez to approve Action Item 6.01 as presented. MOTION #07-11 was seconded by Clerk Andrés Quintero.

MOTION #07-11 carried with a vote of 4 in favor; no opposition; one absent (Dolores Marquez-Frausto); and no abstention.

7. Contracts Over \$100,000

7.01 Approve the contract with Orenda Education (Formerly Principals Exchange), to conduct a Discovery Study and capacity building on instructional leadership topics to support implementation of an equity driven system, Academic Services, \$119, 925.00

MOTION #08-11 by Member Linda Chavez to approve Action Item 7.01 as presented. MOTION #08-11 was seconded by Clerk Andrés Quintero.

President Ernesto Bejarano asked if there was a time element.

Superintendent Bauer replied that it needs to be approved before the end of the school year. She said if they wait until March or April of this year, it would cutting it short.

President Ernesto Bejarano said the Board just received the information today and he has questions.

Vice-President Corina Herrera-Loera asked if this contract covers the entire work. She was not expecting to approve it because if the Board approves the first part, they would be tied into the next part.

President Ernesto Bejarano wanted to echo what Vice-President Corina Herrera-Loera said because he had the same thoughts.

Member Linda Chavez said the sooner this is brought to the schools the better it will be for the students.

Clerk Andrés Quintero asked if the funds are coming out of the LCAP.

Superintendent Bauer replied that these specific funds are coming out of the CST. She said Orenda Education is also looking for instructional issues in the schools.

Member Dolores Marquez-Frausto asked how much the CSI will cost.

7. Contracts Over \$100,000 (continued)

Superintendent Bauer replied that those funds are allocated for that.

Assistant Superintendent Sanchez said some of these schools were failing in certain areas and they were identified to receive this type of assistance.

Member Dolores Marquez-Frausto asked Assistant Superintendent Sanchez what department are they coming out of.

Assistant Superintendent Sanchez replied he did know what department they were coming out of but it is targeted for those schools that have some gaps.

Member Dolores Marquez-Frausto asked how many schools.

Assistant Superintendent Sanchez replied three schools.

President Ernesto Bejarano commented he was unable to receive the materials on time and will abstain from voting.

MOTION #08-11 carried with a vote of 4 in favor; no opposition; no absent; and one abstention (President Ernesto Bejarano).

8. Superintendent/Board Business—Board Discussion And/ Or Action

8.03 Approve Amended Board Bylaw 9323 Meeting Conduct, Third Reading.

MOTION #09-11 by Vice-President Corina Herrera-Loera to approve Action Item 8.03 as presented. MOTION #09-11 was seconded by Member Linda Chavez.

MOTION #09-11 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

8.04 Approve Amended Board Policy 3311 Bids, Second Reading—Business and Non Instructional.

MOTION #10-11 by Clerk Andrés Quintero to approve Action Item 8.04 as presented. MOTION #10-11 was seconded by Member Linda Chavez.

MOTION #10-11 carried with a vote of 4 in favor; no opposition; no absent; and one abstention (Dolores Marquez-Frausto).

8. Superintendent/Board Business—Board Discussion And/ Or Action (continued)

**8.05 Approve Amended Board Policy 3312 Contracts, Second Reading—
Business and Non Instructional.**

**MOTION #11-11 by Member Linda Chavez to approve Action Item 8.05 as presented.
MOTION #11-11 was seconded by Clerk Andrés Quintero.**

MOTION #11-11 carried with a vote of 4 in favor; no opposition; no absent; and one abstention (Dolores Marquez-Frausto).

8.06 Approve Amended Board Bylaw 9121 President, Second Reading.

**MOTION #12-11 by Member Linda Chavez to approve Action Item 8.06 as presented.
MOTION #12-11 was seconded by Vice-President Corina Herrera-Loera.**

Clerk Andrés Quintero said Vice-President was left out. He said the language needs to be added and it needs to come back for a third reading.

8.07 2020 Ballot for CSBA Delegate Assembly- Deadline is March 16, 2020. The Board may vote for no more than 4 candidates in the Region or Subregion as indicated on the ballot. All re-elected and newly elected Delegates will serve two-year terms beginning April 1, 2020-March 31,2022. Ballots must be postmarked by the U.S. Post Office on or before Friday, March 16, 2020.

MOTION #13-11 by Clerk Andrés Quintero to vote for Melissa Baten Caswell, Cynthia Chang, Jodi Muirhead, and Mary Patterson. MOTION #13-11 was seconded by President Ernesto Bejarano.

President Ernesto Bejarano asked Clerk Andrés Quintero what these candidates bring to the table.

Clerk Andrés Quintero replied they have a good track record. He said they have been supportive of positions that he and other different members have taken.

President Ernesto Bejarano asked if the Board needs to vote on this even if Member Linda Chavez has not been heard.

Counsel Rogelio Ruiz replied per Robert Rules of Order the Board needs to take an action on the motion that is on the floor.

MOTION #13-11 failed with a vote of 2 in favor; three opposition (Member Dolores Marquez-Frausto, Member Linda Chavez and Vice-President Corina Herrera-Loera); no absent; and no abstention).

8. Superintendent/Board Business—Board Discussion And/ Or Action *(continued)*

MOTION #14-11 by Member Linda Chavez to vote for Lorena Chavez, Melissa Baten Caswell, Cynthia Cheng, and Jodi Muirhead. MOTION #14-11 was seconded by President Ernesto Bejarano.

The Board had a discussion.

MOTION AMENDED #15-11 by Member Linda Chavez to vote for Lorena Chavez, Melissa Baten Caswell, Cynthia Cheng, and Jodi Muirhead. MOTION #14-11 was seconded by President Ernesto Bejarano.

MOTION #15-11 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

8.09 Approve Amended Board Policy 4030 Nondiscrimination in Employment, Second Reading.

MOTION #16-11 by Clerk Andrés Quintero to approve Action Item 8.09 as presented. MOTION #16-11 was seconded by Member Linda Chavez.

MOTION #16-11 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

9. Instructional Services

9.01 Board Policy 3513.3, Tobacco-Free-First Reading, Information Only.

Vice-President Corina Herrera-Loera said she would like to have vaping added.

Member Linda Chavez said she would like it to include the sale of any product as well as vaping.

Member Dolores Marquez-Frausto asked what is the difference between items 9.01 and 9.02.

Superintendent Bauer said one is prevention and one is a policy.

9.03 SB 187 Comprehensive School Safety Plans-Schools must routinely write or revise their Comprehensive School Safety Plans.

Superintendent Bauer said she sent the Board a copy of the safety plans for all 25 schools. She said she is respectfully asking the Board to approve them.

9. Instructional Services *(continued)*

MOTION #17-11 by Member Dolores Marquez-Frausto to approve Action Item 9.02 as presented. MOTION #17-11 was seconded by Vice-President Corina Herrera-Loera.

President Ernesto Bejarano asked in the event of an issue what the protocol for each site. He asked if there was a grab-and-go handbook

Director Norma Flores replied that there are protocols that are in the safety plans and they are signed by a police officer.

Vice-President Corina Herrera-Loera asked if there is training for the staff to go through the safety plans.

Director Norma Flores replied that once they are revised, reviewed with staff.

Public Comments:

- 1) Jocelyn Merz thanked the Board for taking this seriously. She commented she has asked teachers if they have seen the safety plans and they said they have not seen them.

MOTION AMENDED #18-11 by Member Dolores Marquez-Frausto to approve Action Item 9.02 as presented and staff will follow up with site administrators to ensure teachers are trained on their safety plans and that training will be offered to the parents. MOTION #18-11 was seconded by Vice-President Corina Herrera-Loera.

MOTION #18-11 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

9.04 Student and School Progress Report

Assistant Superintendent Sanchez said the district also gets identified for assistance. He said all the measures help the state determine how well the districts are improving.

Director Barbara Campbell gave a brief presentation and the following are the highlights.

- California Accountable systems of support
- Any School can request any type of assistance
- Suspension data and chronic absenteeism
- Next year trying to strengthen practices

Assistant Superintendent Sanchez said, in 2018, there were 80 homeless students and 62 foster kids.

9. Instructional Services *(continued)*

Vice-President Corina Herrera-Loera asked if it was all the schools.

Page 19 of 24

Assistant Superintendent Sanchez replied the plan is to support all schools being submitted for a grant.

**MOTION #19-11 by Clerk Andrés Quintero to extend the meeting to 11:00 pm.
MOTION #19-11 was seconded by Vice-President Corina Herrera-Loera.**

MOTION #19-11 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

Public Comment:

- 1) Flor De Leon thanked the Board for doing this. She said when there is talk of social and emotional programs. There is a need for these types of programs.

9.05 Form J-13 A, Request for Allowance of Attendance Due to Emergency Conditions for Preschool Program of Adelante I and Adelante II.

**MOTION #20-11 by Clerk Andrés Quintero to approve Action Item 9.05 as presented.
MOTION #20-11 was seconded by Member Linda Chavez.**

MOTION #20-11 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

10. Bond/ Facilities

10.01 Approve the 2018/19 Annual Performance and Financial Audit of General Obligation Bonds Reports for Measure J and Measure I.

Assistant Superintendent Chheng introduced Charles Raibley, CPA with Crowe and he gave a presentation. The following are the brief highlights.

- Deliverables of the audit of Measure J
- Proposition 39
- Financial Statements for Measure J

10. Bond/ Facilities (continued)

MOTION #21-11 by Clerk Andrés Quintero to approve Action Item presented. MOTION #21-11 was seconded by Member Linda Chavez. *Page 20 of 24*

MOTION #21-11 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

10.02 Update on Request for Proposal (RFP) for Program Management Information Only.

11. Human Resources

11.01 Information Regarding Resignations

12. CONSENT CALENDAR

There was a consensus from the Board to combine and take action on Agenda Items 12.01, 12.02, 12.03, 12.04, 12.05, 12.06, 12.07, 12.08, 12.09, 12.10, 12.11, 12.12, 12.13, 12.14, 12.16, 12.17 and 12.19.

Member Linda Chavez asked to pull Agenda Item 12.15 Approve Memorandum (s) of Understanding(s) to be discussed separately.

- 12.01 Approve Board Meeting Minutes for the following dates: (1) November 14, 2019, Regular Board Meeting and (2) January 16, 2020, Regular Board Meeting
- 12.02 Acceptance of Donations.
- 12.03 Approval of Fundraising Activities.
- 12.04 Acceptance of Vendor & Payroll Warrants.
- 12.05 Acceptance of Enrollment/Attendance Report for Month 5 (December 9, 2019 thru January 17, 2020)
- 12.06 Approve Resolution No. 15-19/20- Read Across America-March 2, 2020
- 12.07 Approve Addendum for the extension of B1718-T002 ERate's Basic Maintenance of Internal Connections (BMIC) Bid. This extension would be for an additional two years, from July 1, 2020 through June 30, 2022.
- 12.08 Approve Resolution No. 16-19/20; Women's History Month-March 2020.
- 12.09 Approve Resolution No. 17-19/20; Arts Education Month-March 2020
- 12.10 Approve Resolution No. 18-19/20; Annual Cesar Chavez Community March, 2020
- 12.11 Approve/Ratify Notices of Employment and Changes of Status/February 13, 2020/Human Resources.
- 12.12 Approve Out of State Travel, New Tech Network Leadership Summit, Dallas, TX, March 24-27, 2020 for Katherine Grunewald, George Kleidon and Vivian Nguyen. Estimated Cost \$4750.

12. CONSENT CALENDAR *(continued)*

12.13 Approve Out-of-State Travel, City Year Investors Summit, Washington D.C., March 10-13, 2020 for Rene Sanchez. Estimated Cost \$350.

12.14 Approve Out-of-State Travel, School Leaders of Color Conference, Las Vegas, NV, February 7-9, 2020 for Anna Nguyen and Ivan Montes. Estimated cost \$840.

12.16 Approve Contracts for Professional Services- Firm/Organizations

12.17 School-sponsored Field Trip List

12.18 Quarterly Report on Williams Uniform Complaints

MOTION #22-11 by Clerk Andrés Quintero to approve Agenda Items 12.01, 12.02, 12.03, 12.04, 12.05, 12.06, 12.07, 12.08, 12.09, 12.10, 12.11, 12.12, 12.13, 12.14, 12.16, 12.17 and 12.18 as presented. MOTION #22-11 was seconded by Vice-President Corina Herrera-Loera.

MOTION #22-11 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

12.15 Approve Memorandum(s) of Understanding(s).

Member Linda Chavez said she pulled item 12.15 because she wants more information on both of the MOU's.

Clerk Andrés Quintero said he appreciates the partnership the district has with TFA.

Member Dolores Marquez-Frausto asked where the 50,000 thousand dollars coming from.

Superintendent Bauer replied LCAP.

Public Comments:

1) Jocelyn Merz asked why it needs to be considered now.

Member Dolores Marquez-Frausto commented that the Board was not willing to spend 2,700 hundred. She said she is not fond of TFA.

Member Linda Chavez commented this is an investment for our kids. She said that the district will not be paying a high salary.

Vice-President Corina Herrera-Loera commented the MOU says not to exceed 50,000 thousand and it is for the 20/21 School Year.

12. CONSENT CALENDAR *(continued)*

Assistant Superintendent Moran said the district is not obligated to use TFA and the district does not need to commit to a group.

Member Dolores Marquez-Frausto said she will like to table this item if there is not an urgency.

Assistant Superintendent Moran commented that for the district to participate in they need to secure TFA people.

Superintendent Bauer commented the poll is for Special Education and Adelante. She asked the Board to consider approving this item.

MOTION #23-11 by Clerk Andrés Quintero to approve Memorandum(s) of Understanding(s). MOTION #23-11 was seconded by Vice-President Corina Herrera-Loera.

MOTION #23-11 carried with a vote of 5 in favor; no opposition; no absent; and no abstention.

13. RECEIVE REPORTS

There were none.

14. FUTURE BOARD AGENDA REQUESTS

14.01 Requests from Board of Trustees and/or from the Public

Vice-President Corina Herrera-Loera asked to have Board Tem Limits for presentation and action.

Member Linda Chavez said she is requesting information on the Ocala Field, Sheppard, DCP Lease Agreement and she asked Superintendent Bauer for the sites to follow Board Policy 6020

Superintendent Bauer said even though this is a Board Policy they cannot enforce it.

Clerk Andrés Quintero asked what happened to the parent participation and the district use to keep track of the parents' hours.

Superintendent Bauer said she would check on if the parents' hours are being tracked.

15. ADJOURNMENT

15.01 President Adjourns the Meeting

President Ernesto Bejarano adjourned the meeting at 10:58 p.m.

Respectfully submitted,

Andrés Quintero, Board Clerk
mc

11.02

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION


To the Board of Trustees:

Date: February 24, 2020

Subject: **Acceptance of Donations**

Staff Analysis: The District has received donations as summarized on the sheet dated March 12, 2020.

Recommendation: Staff recommends approval for acceptance of these donations.

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: March 12, 2020
 Regular Board Meeting

Recommend Approval

11.02
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

ACCEPTANCE OF DONATIONS

<u>DONOR NAME</u>	<u>DESCRIPTION OR PURPOSE</u>	<u>ESTIMATED VALUE</u>	<u>RECEIVING SCHOOL OR DEPARTMENT</u>
Lifetouch	Materials & Supplies	\$ 163.28	Adelante II Academy
Lifetouch	Materials & Supplies	\$ 40.59	Arbuckle Elementary
Dorsa Elementary School Community	Materials & Supplies	\$ 374.45	Dorsa Elementary
Philanthropic Ventures Foundation	Materials & Supplies	\$ 500.00	Fischer Middle
Lifetouch	Materials & Supplies	\$ 10.86	Lyndale Elementary
YourCause, LLC Trustee for Wells Fargo Foundation Ed. Matching Gifts	Materials & Supplies	\$ 280.00	Lyndale Elementary
Lifetouch	Materials & Supplies	\$ 13.76	Mathson Middle
AT&T Giving Campaign	Materials & Supplies	\$ 60.00	McCollam Elementary
AT&T Giving Campaign	Materials & Supplies	\$ 40.00	McCollam Elementary
Foothill-De Anza Colleges Foundation	Materials & Supplies	\$ 555.00	Painter Elementary
Lifetouch	Materials & Supplies	\$ 25.29	Painter Elementary
Mr. & Ms. Robert Hernandez	Materials & Supplies	\$ 50.00	Painter Elementary
Ms. Samantha Duran	Materials & Supplies	\$ 25.00	Painter Elementary
Mr. & Ms. Rudy Duran	Materials & Supplies	\$ 225.00	Painter Elementary
Mr. Sami Sweidan	Materials & Supplies	\$ 100.00	Russo/McEntee Academy
Foothill-De Anza Colleges Foundation	Materials & Supplies	\$ 825.00	San Antonio Elementary



ADELANTE II DUAL LANGUAGE ACADEMY

1970 Cinderella Lane, San José, CA 95116 Phone: 408-928-7100 Fax: 408-928-7101 Principal: Diederich A. Bonemeyer

To: Board of Trustees

Fr: Adelante II Dual Language Academy

Date: January 28, 2020

Re: Lifetouch Donation

Please accept the following donation from Lifetouch in the amount of \$163.28 to be deposited into Adelante II's donation account. The funds will be used for materials, supplies and field trips for the students.

Thank you,

Diederich Bonemeyer
Principal

BUSINESS OFFICE
RECEIVED

2020 JAN 29 A 11:46



ARBUCKLE ELEMENTARY

1970 Cinderella Lane San José, CA 95116 Phone: 408-928-7100 Fax: 408-928-7101 Principal: Diederich Bonemeyer

RECEIVED

2020 JAN 29 A 11:46

To: Board of Trustees

Fr: Arbuckle Elementary School

Date: January 28, 2020

Re: Lifetouch Donation

Please accept the following donation from Lifetouch in the amount of \$40.59 to be deposited into Arbuckle's donation account. The funds will be used for materials, supplies and field trips for the students.

Thank you,

Diederich Bonemeyer
Principal



DORSA ELEMENTARY SCHOOL

1290 Bal Harbor Way

San José, CA 95122

Phone: 408•928•7400

Fax: 408•928•7401

January 27, 2020

ARUESD Board Members
2930 Gay Avenue
San Jose, CA 95127

Dear Board Members:

On behalf of the Dorsa Elementary School community, I would like to petition your approval to accept the following donation in the amount of \$374.45

These donations will be used to pay for classroom materials/Fieldtrips

Thank you in advance for supporting our school by accepting these donations.

Respectfully Yours,

Viviana Garcia, Principal,
Dorsa Elementary School

VCG/jm

BUSINESS OFFICE
RECEIVED
2020 JAN 30 A 11:27



CLYDE L. FISCHER MIDDLE SCHOOL Business & Communications

1720 Hopkins Drive, San Jose, CA 95122

Phone: (408) 928-7500

Fax: (408) 928-7501

February 7, 2020

Alum Rock School District
Board of Trustees
2390 Gay Ave.
San Jose, CA 95127

Dear Board of Trustees:

Please accept the following donation for Board approval:

<u>Company/Sponsor(s) Name</u>	<u>Amount</u>	<u>To be Used for:</u>
Philanthropic Ventures Foundation	\$500.00	Art Supplies
<u>Total Amount of Donations:</u>	\$500.00	

Sincerely,

Dr. George Kleidon
School Principal

BUSINESS OFFICE
RECEIVED
2020 FEB 10 A 11:28

Dr. George W. Kleidon, Principal



Lyndale Elementary School

All Students Can Learn.....All Students Can Succeed

13901 Nordyke Drive
San Jose, California 95127
(408) 928-7900

Ms. Kasturi Basu, Principal
Ms. Vanessa Esquibel, School Secretary
February 12, 2020

Dear Alum Rock School Board,

I am happy to inform you that Lyndale School is the recipient of a \$10.86 donation from Lifetouch National School Studios. Thank you for accepting this donation, and your continued support of Lyndale School.

Sincerely,

Kasturi Basu, Principal

BUSINESS OFFICE
RECEIVED
2020 FEB 14 A 11:45



Lyndale Elementary School

All Students Can Learn.....All Students Can Succeed

13901 Nordyke Drive
San Jose, California 95127
(408) 928-7900

Ms. Kasturi Basu, Principal
Ms. Vanessa Esquibel, School Secretary
February 12, 2020

Dear Alum Rock School Board,

I am happy to inform you that Lyndale School is the recipient of a \$280.00 donation from YourCause, LLC Trustee for Wells Fargo Foundation Educational Matching Gifts. Thank you for accepting this donation, and your continued support of Lyndale School.

Sincerely,

Kasturi Basu, Principal

BUSINESS OFFICE
RECEIVED
2020 FEB 14 A 11:46

.....
Mathson Middle School

January 30, 2020

Alum Rock Unified School Board of Trustees

Dear Board of Trustees:

On behalf of Mathson Middle School, please accept this Donation from
Lifetouch National School Studios:

\$13.76

This donation will be used for:

Materials and Supplies for Mathson Institute of Technology.

Vince Iwasaki

1/31/2020

Vince Iwasaki
Principal
Mathson Institute of Technology


BUSINESS OFFICE
RECEIVED
2020 FEB -4 P 12:44



McCollam Elementary School

3311 Lucian Ave. • San Jose, CA 95127 • (408) 928-8000 Fax (408) 928-8001

TO: Hilaria Bauer, Superintendent
Honorable Board Members, Alum Rock Union Elementary
School District
Business Office

FR: Pablo Fiene, Principal 

DATE: January 29, 2020

RE: Monetary Donation to McCollam School

BUSINESS OFFICE
RECEIVED
2020 JAN 31 12:18

Please accept a donation, a check in the amount of \$60.00 from AT&T Giving Campaign. This money will go towards the purchase of materials, supplies, and field trips for classrooms. Please deposit the check into the following donation account: # 06-040-21100-8699.

Thank you for your assistance.




McCollam Elementary School

3311 Lucian Ave. • San Jose, CA 95127 • (408) 928-8000 Fax (408) 928-8001

RECEIVED

2020 JAN 31 P 12:18

TO: Hilaria Bauer, Superintendent
Honorable Board Members, Alum Rock Union Elementary
School District
Business Office

FR: Pablo Fiene, Principal 

DATE: January 29, 2020

RE: Monetary Donation to McCollam School

Please accept a donation, a check in the amount of \$40.00 from AT&T Giving Campaign. This money will go towards the purchase of materials, supplies, and field trips for classrooms. Please deposit the check into the following donation account: # 06-040-21100-8699.

Thank you for your assistance.



PAINTER ELEMENTARY SCHOOL

500 Rough & Ready Road San Jose, CA 95133

(408) 928-8400

Fax: (408) 928-8401

February 6, 2020

Honorable Board of Trustees
Alum Rock Union Elementary School District
2930 Gay Avenue
San Jose, CA 95127

Dear Board Members:

Please accept the following donation check made to Ben Painter Elementary School from:

Foothill-De Anza Colleges
Foundation

\$555.00

Check #20008008

The donation check is a donation to our school to be used for the science education of students.
Thank you in advance for your approval.

Sincerely,

Mrs. Le Tran
Principal

/sna

Attachment

BUSINESS OFFICE
RECEIVED
2020 FEB -7 P 1:13

PAINTER ELEMENTARY SCHOOL



500 Rough & Ready Road San Jose, CA 95133

(408) 928-8400

Fax: (408) 928-8401

January 30, 2020

Honorable Board of Trustees
Alum Rock Union Elementary School District
2930 Gay Avenue
San Jose, CA 95127

Dear Board Members:

Please accept the following donation checks made to Ben Painter Elementary School from:

Lifetouch National Studios

\$25.29

Check #3442071

The funds are a donation on behalf of the fall individual pictures taken during the 2019/2020 school year. Thank you in advance for your approval.

Sincerely,

Mrs. Le Tran
Principal

/sna

Attachments

BUSINESS OFFICE
RECEIVED
2020 FEB - 7 P 1:13



PAINTER ELEMENTARY SCHOOL

500 Rough & Ready Road San Jose, CA 95133

(408) 928-8400

Fax: (408) 928-8401

January 27, 2020

Honorable Board of Trustees
Alum Rock Union Elementary School District
2930 Gay Avenue
San Jose, CA 95127

Dear Board Members:

Please accept the following donation checks made to Ben Painter Elementary School from:

Robert and Charlotte Hernandez	\$50.00	Check #1272
Samantha Duran	\$25.00	Check #2197
Ruby and Malia S. Duran	\$225.00	Check #1176

The contributions are donations intended for 5th Grade Science Camp. Thank you in advance for your approval of these funds.

Sincerely,

Mrs. Le Tran
Principal

/sna

Attachment

BUSINESS OFFICE
RECEIVED
2020 JAN 29 A 11:46



Russo/McEntee Academy

2851 Gay Ave San Jose, CA 95127

Phone: (408)928-8850

Fax: 408-928-8901

February 12, 2020

Board of Education:

Please accept the following donation from Sami Sweidan (Russo Parent) on the amount of \$100.00 (check). For fieldtrips, admissions, materials and other essentials for TK classroom at Russo/McEntee Academy.

Thank you,

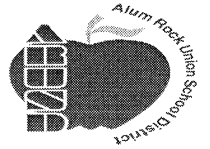
Tanu Kohli, Principal

BUSINESS OFFICE
RECEIVED
2020 FEB 13 A 11:20

Tanu Kohli, Principal



Alum Rock Union Elementary School District
San Antonio Elementary School
1721 East San Antonio Street
San Jose, California 95116
408-928-8700



Dr. Ivan Montes– Principal

Mrs. Leticia Corona- School Admin. Assistant

February 3, 2020

Honorable Board of Trustees
Alum Rock Union Elementary School District
2930 Gay Avenue
San Jose, CA 95127

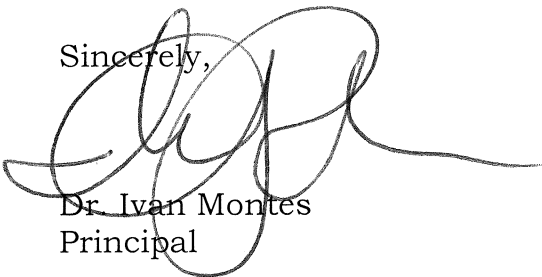
BUSINESS OFFICE
RECEIVED
2020 FEB - 3 P 12:20

Honorable Members of the Board:

Please accept the following donation of \$825.00 from The Physics Show at Foothill College. The money will be used for science education for fourth and fifth grade students.

Thank you in advance for your approval.

Sincerely,



Dr. Ivan Montes
Principal

Office of Superintendent of Schools

11.03

ITEM REQUIRING ATTENTION - BOARD OF EDUCATION


To the Board of Trustees:

Date: February 27, 2020

Subject: **Approval of Fundraising Activities**

Staff Analysis: The District has received fundraising requests as summarized on the attached sheet dated March 12, 2020.

Recommendation: Staff recommends approval of these fundraising event requests.

Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: March 12, 2020
 Regular Board Meeting

Recommend Approval

11.03
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

APPROVAL OF FUNDRAISING ACTIVITY

<u>SCHOOL</u>	<u>FUNDRAISING ACTIVITY</u>	<u>ACTIVITY SPONSOR</u>	<u>DATE</u>
Adelante Academy	Popcorn and Candy Sales	PTA	02/10/20-06/12/20
George Middle	Believe Catalogue Sales	ASB	03/16/20-04/10/20
George Middle	Pennies for Patience	ASB	04/01/20-04/30/20
Meyer Elementary	D.E.A.R. Night Sales	PTA	03/11/20-03/11/20
Meyer Elementary	Multi-Cultural Day Sales	PTA	05/15/20-05/15/20
VAPA DHO	Contributions to the ASB Account	ASB	08/26/19-06/30/20
VAPA DHO	Jazz Band Concerts' Sales	ASB	08/26/19-06/30/20
VAPA DHO	Music Supplies & Concerts' Sales	ASB	08/26/19-06/30/20
Music DHO-Ocala STEAM	Chocolate Bar Sales	AYA	03/13/20-04/30/20
Music DHO-Sheppard	Signature Gummy Sales	ASB	03/16/20-03/31/20



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

BUSINESS OFFICE
RECEIVED

FUNDRAISING EVENT APPROVAL FORM

2020 JAN 31 P 12:16

Fundraiser #: _____ (From Financial Plan)

School Name: Adelante Date: 01-17-20

Description of Event: Type Popcorn and Candy Sales Vendor Nor Cal Fundraising

Purpose for Event: To raise money for field trips Great America and to

purchase music equipment, instruments, equipment, additional music

If selling food what are the items: Popcorn, chocolate Brand and package size: World's finest

chocolate 60 bars per box, Stella's Gourmet Popcorn 12-16oz bags

Explain how food is handled/stored prior to or day of event: Popcorn is stored in storage closet

prior to and up to day of event chocolate is also stored in a closet

prior to and up to day of event.

Event Sponsor (please check one) ASB: _____ Booster Club ☒ PTA ☐ PTO, Other: PTA

Proposed Date(s) of Event: 2/10/2020 - 6/12/2020 Time of Event: off campus only

Contact Person: Israel Santiago

Location of Proposed Activity: off campus

Status of Event (please check one): ☒ New Event ☐ Held previously (years): _____

Use of Facilities thru Facilitron is required for all events outside the normal school hours.

Is the Use of Facilities Reservation thru Facilitron completed? Yes ☐ No ☐ N/A ☐

Has Revenue Potential form been prepared? (For ASB Only - Form must be included) Yes ☐ No ☐

Other Background information (Is proposed fundraising event on current ASB budget?) Yes ☐ No ☐

ASB Advisor: [Signature] Date: 1/21/2020

Student Council Approval Date: [Signature] Middle Schools must attach minutes)

Student Council Representative: _____ Signature _____ Date _____

Principal Approval: [Signature] Signature _____ Date 1-21-2020

Reviewed by Business Services: [Signature] Date: 3/2/20

- FUNDRAISING EVENTS INVOLVING FOOD SALES MUST BE REVIEWED BY CNS Director,

CNS [Signature] Date: 3/2/20

Approved ☒ Denied _____

- FUNDRAISING EVENTS INVOLVING USE OF FACILITIES MUST BE REVIEWED BY MOT

Director, MOT: _____ Date: _____

Operations Mgr.: _____ Date: _____

Approved _____ Denied _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

FUNDRAISING EVENT APPROVAL FORM

BUSINESS OFFICE
RECEIVED

Fundraiser #: 6 (From Financial Plan)

School Name: Joseph George Middle School

2020 JAN 30 A 11:29
Date: 1/27/2020

Description of Event: Type Believe! Catalogue Sales Vendor Believe!

Purpose for Event: student activities, field trips

If selling food what are the items: _____ Brand and package size: _____

Explain how food is handled/stored prior to or day of event: _____

Event Sponsor (please check one) ASB: ☒ X

Booster Club (PTA, PTO, Other): _____

Proposed Date(s) of Event: 3/16/2020-4/10/2020

Time of Event: all day

Contact Person: Alondra Rios and Sarika Kukreja

Location of Proposed Activity: Joseph George Middle School and home

Status of Event (please check one): ☒ New Event ☐ Held previously (years): _____

Use of Facilities thru Facilitron is required for all events outside the normal school hours.

Is the Use of Facilities Reservation thru Facilitron completed?

Yes ☐

No ☐

N/A ☒

Has Revenue Potential form been prepared? (For ASB Only - Form must be included)

Yes ☒

No ☐

Other Background information (Is proposed fundraising event on current ASB budget?)

Yes ☒

No ☐

ASB Advisor: _____

Signature

Date

Student Council Approval Date: 1/24/2020

(Middle Schools must attach minutes)

Student Council Representative: _____

Signature

Date

Principal Approval: _____

Signature

Date

Reviewed by Business Services: _____

Date: _____

- FUNDRAISING EVENTS INVOLVING FOOD SALES MUST BE REVIEWED BY CNS Director,
CNS _____ Date: _____

Approved _____ Denied _____

- FUNDRAISING EVENTS INVOLVING USE OF FACILITIES MUST BE REVIEWED BY MOT

Director, MOT: _____ Date: _____

Operations Mgr.: _____ Date: _____

Approved _____ Denied _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

FUNDRAISING EVENT APPROVAL FORM

BUSINESS OFFICE
RECEIVED

2020 JAN 30 A 11: 29

Fundraiser #: 7 (From Financial Plan)

School Name: Joseph George Middle School

Date: 1/27/2020

Description of Event: Type Pennies for Patients

Vendor Lymphoma Society

Purpose for Event: raise money for cancer patients and research

If selling food what are the items: _____ Brand and package size: _____

Explain how food is handled/stored prior to or day of event: _____

Event Sponsor (please check one) ASB: ☒ _____

Booster Club (PTA, PTO, Other): _____

Proposed Date(s) of Event: 4/1/2020-4/30/2020

Time of Event: all day

Contact Person: Alondra Rios and Sarika Kukreja

Location of Proposed Activity: Joseph George Middle School and home

Status of Event (please check one): ☒ New Event

☐ Held previously (years): _____

Use of Facilities thru Facilitron is required for all events outside the normal school hours.

Is the Use of Facilities Reservation thru Facilitron completed?

Yes ☐

No ☐

N/A ☒

Has Revenue Potential form been prepared? (For ASB Only - Form must be included)

Yes ☒

No ☐

Other Background information (Is proposed fundraising event on current ASB budget?)

Yes ☒

No ☐

ASB Advisor: _____

Signature

Date

Student Council Approval Date: 1/24/2020

(Middle Schools must attach minutes)

Student Council Representative: _____

Signature

Date

Principal Approval: _____

Signature

Date

Reviewed by Business Services: _____

Date: _____

- FUNDRAISING EVENTS INVOLVING FOOD SALES MUST BE REVIEWED BY CNS Director,
CNS _____ Date: _____

Approved _____ Denied _____

- FUNDRAISING EVENTS INVOLVING USE OF FACILITIES MUST BE REVIEWED BY MOT

Director, MOT: _____

Date: _____

Operations Mgr.: _____

Date: _____

Approved _____ Denied _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

BUSINESS OFFICE
RECEIVED

FUNDRAISING EVENT APPROVAL FORM 2020 FEB -3 P 12:21

Fundraiser #: _____ (From Financial Plan)

School Name: D. J. Meyer Date: 1/19/2020

Description of Event: Type DEAR Night Vendor None

Purpose for Event: To promote reading to community

If selling food what are the items: _____ Brand and package size: _____

Event Sponsor (please check one) ASB: _____ Booster Club ☒ PTA, Other: _____

Proposed Date(s) of Event: Wed. March 11/2020 Time of Event: 5:00 - 6:00pm

Contact Person: Lynn Martinez

Location of Proposed Activity: Cafeteria

Status of Event (please check one): ☐ New Event ☒ Held previously (years): Last 5 yrs.

A Use of Facilities Form is required for all events outside the normal school hours.

Is the Use of Facilities Form attached? (Form must be included when required) Yes _____ No _____

Has Revenue Potential form been prepared? (For ASB Only - Form must be included) Yes _____ No _____

Other Background information (Is proposed fundraising event on current ASB budget?) Yes _____ No _____

ASB Advisor: _____ Signature _____ Date _____

Student Council Approval Date: _____ (Middle Schools must attach minutes)

Student Council Representative: _____ Signature _____ Date _____

Principal Approval: [Signature] Date 1/31/2020

Reviewed by Business Services: _____ Date: _____

- FUNDRAISING EVENTS INVOLVING FOOD SALES MUST BE REVIEWED BY CNS Director,

CNS _____ Date: _____

Approved _____ Denied _____

- FUNDRAISING EVENTS INVOLVING USE OF FACILITIES MUST BE REVIEWED BY MOT

Director, MOT: _____ Date: _____

Operations Mgr.: _____ Date: _____

Approved _____ Denied _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

BUSINESS OFFICE
RECEIVED

FUNDRAISING EVENT APPROVAL FORM

2020 FEB -3 P 12:21

Fundraiser #: _____ (From Financial Plan)

School Name: D. J. Meyer Date: 1/19/2020

Description of Event: Type Multi Cultural Day Vendor _____

Purpose for Event: To build community and raise PTA funds.

If selling food what are the items: Nachos, water, aqua fresca, hot dogs, ^{steak} chinese food Brand and package size: Turkey dogs, all storebought items each

Event Sponsor (please check one) ASB: _____ Booster Club ☒ PTA ☐ PTO, Other: _____

Proposed Date(s) of Event: Friday, May 15, 2020 Time of Event: 4:30 - 6:30 pm

Contact Person: Lynn Martinez

Location of Proposed Activity: Black top

Status of Event (please check one): ☐ New Event ☒ Held previously (years): last 3 yrs.

A Use of Facilities Form is required for all events outside the normal school hours.

Is the Use of Facilities Form attached? (Form must be included when required) Yes _____ No _____

Has Revenue Potential form been prepared? (For ASB Only - Form must be included) Yes _____ No _____

Other Background information (Is proposed fundraising event on current ASB budget?) Yes _____ No _____

ASB Advisor: _____ Signature _____ Date _____

Student Council Approval Date: _____ (Middle Schools must attach minutes)

Student Council Representative: _____ Signature _____ Date _____

Principal Approval: [Signature] Date 1/30/2020

Reviewed by Business Services: [Signature] Date 3/2/20

- FUNDRAISING EVENTS INVOLVING FOOD SALES MUST BE REVIEWED BY CNS Director,

CNS [Signature] Date: 3/2/20

Approved ☒ Denied _____

- FUNDRAISING EVENTS INVOLVING USE OF FACILITIES MUST BE REVIEWED BY MOT

Director, MOT: _____ Date: _____

Operations Mgr.: _____ Date: _____

Approved _____ Denied _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

Request for Approval: Contributions to the ASB Account

School Site: Music-VAPA-Prep District Honor Orchestra (DHO-ASB) Date: January 21, 2020

Purpose for Contributions: To be used for additional supplies and equipment for Jazz Program and Music Programs.

Proposed Date(s) of Event: 2019-2020 School Year

Contact Person: Noli Magsino, VAPA Coordinator

Student Body Account Number: _____

Status of Event (please check one): ☐ New Event ☒ Held Previously (Years): 30+ years

What procedures will be used to account for contributions received?
Accounting records, deposit slips.

Other Background Information (such as other schools or clubs that have held similar events):
Music Department and VAPA program previous events.

ASB Advisor [Signature] Date: 1-23-2020
Signature

Student Council Approval Date January 21, 2020

Student Council Representative [Signature] Date: 1/23/2020
School Admin (Elementary) Signature

Principal Approval [Signature] Date: 1/21/2020
Signature

Reviewed by Business Services: [Signature] Date: _____

Board Approval Date: _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

FUNDRAISING EVENT APPROVAL FORM

Fundraiser #: _____ (From Financial Plan)

School Name: Music-VAPA-Prep District Honor Orchestra (DHO-ASB) Date: January 21, 2020

Description of Event: Type Jazz Band Concerts Vendor Various

Purpose for Event: To raise money to supplement district-wide music program including purchasing of supplies, music, bus transportation for concerts, events, and performances.

If selling food what are the items: n/a Brand and package size: n/a

Explain how food is handled/stored prior to or day of event: n/a

Event Sponsor (please check one) ASB: (DHO-ASB) Booster Club (PTA, PTO, Other): n/a

Proposed Date(s) of Event: 2019-2020 School Year Time of Event: n/a

Contact Person: Noli Magsino, VAPA Coordinator

Location of Proposed Activity: District-wide

Status of Event (please check one): ☐ New Event ☒ Held previously (years): 30+ years

Use of Facilities thru Facilitron is required for all events outside the normal school hours.

Is the Use of Facilities Reservation thru Facilitron completed? Yes ☐ No ☐ N/A ☒

Has Revenue Potential form been prepared? (For ASB Only - Form must be included) Yes ☒ No ☐

Other Background information (Is proposed fundraising event on current ASB budget?) Yes ☒ No ☐

ASB Advisor: [Signature] 1-23-2020
Signature Date

Student Council Approval Date: _____ (Middle Schools must attach minutes)

Student Council Representative: [Signature] 1/23/2020
Signature Date

Principal Approval: [Signature] 1/21/2020
Signature Date

Reviewed by Business Services: _____ Date: _____

- FUNDRAISING EVENTS INVOLVING FOOD SALES MUST BE REVIEWED BY CNS Director,

CNS _____ Date: _____

Approved _____ Denied _____

- FUNDRAISING EVENTS INVOLVING USE OF FACILITIES MUST BE REVIEWED BY MOT

Director, MOT: _____ Date: _____

Operations Mgr.: _____ Date: _____

Approved _____ Denied _____

BUSINESS OFFICE
RECEIVED
2020 FEB 11 P 12:15



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

FUNDRAISING EVENT APPROVAL FORM

Fundraiser #: _____ (From Financial Plan)

School Name: Music-VAPA-Prep District Honor Orchestra (DHO-ASB) **Date:** January 21, 2020

Description of Event: Type Music Supplies & Concerts Vendor Various

Purpose for Event: Sales of books, recorders, and other music supplies to students. Money to be used to purchase additional supplies and equipment as well as field trips, performances, and concerts.

If selling food what are the items: n/a Brand and package size: n/a

Explain how food is handled/stored prior to or day of event: n/a

Event Sponsor (please check one) ASB: (DHO-ASB) Booster Club (PTA, PTO, Other): n/a

Proposed Date(s) of Event: 2019-2020 School Year **Time of Event:** n/a

Contact Person: Noli Magsino, VAPA Coordinator

Location of Proposed Activity: District-wide

Status of Event (please check one): ☐ New Event ☒ Held previously (years): 30+ years

Use of Facilities thru Facilitron is required for all events outside the normal school hours.

Is the Use of Facilities Reservation thru Facilitron completed? Yes ☐ No ☐ N/A ☒

Has Revenue Potential form been prepared? (For ASB Only - Form must be included) Yes ☒ No ☐

Other Background information (Is proposed fundraising event on current ASB budget?) Yes ☒ No ☐

ASB Advisor: [Signature] Signature 1-23-20 Date

Student Council Approval Date: _____ (Middle Schools must attach minutes)

Student Council Representative: [Signature] Signature 1-23-2020 Date

Principal Approval: [Signature] Signature 1/21/2020 Date

Reviewed by Business Services: _____ Date: _____

- FUNDRAISING EVENTS INVOLVING FOOD SALES MUST BE REVIEWED BY CNS Director,**
CNS _____ Date: _____
Approved _____ Denied _____
- FUNDRAISING EVENTS INVOLVING USE OF FACILITIES MUST BE REVIEWED BY MOT**
Director, MOT: _____ Date: _____
Operations Mgr.: _____ Date: _____
Approved _____ Denied _____

BUSINESS OFFICE
RECEIVED
2020 FEB 11 P 12:15



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

FUNDRAISING EVENT APPROVAL FORM

BUSINESS OFFICE
RECEIVED

2020 FEB 11 P 12:55

Fundraiser #: _____ (From Financial Plan) MUSIC DHO
 School Name: Ocala Steam Academy Date: 1-31-20
 Description of Event: Type Chocolate Bar Fund. Vendor Worlds Finest Chocolate
 Purpose for Event: raise money for the Ocala Steam Academy
Band Program.
 If selling food what are the items: Chocolate Bars Brand and package size: World's Finest
Chocolate Bars
 Explain how food is handled/stored prior to or day of event: students individually sell
boxes of chocolate bars.
 Event Sponsor (please check one) ASB: _____ Booster Club (PTA, PTO, Other): A.Y.A
 Proposed Date(s) of Event: 3-13-20/4-30-20 Time of Event: off campus only
 Contact Person: Louie Flores Jr.
 Location of Proposed Activity: Ocala Steam Academy
 Status of Event (please check one): ☒ New Event ☐ Held previously (years): _____

Use of Facilities thru Facilitron is required for all events outside the normal school hours.

Is the Use of Facilities Reservation thru Facilitron completed? Yes ☐ No ☐ N/A ☐
 Has Revenue Potential form been prepared? (For ASB Only - Form must be included) Yes ☒ No ☐
 Other Background information (Is proposed fundraising event on current ASB budget?) Yes ☐ No ☒
 ASB Advisor: _____ Signature _____ Date 1-30-20

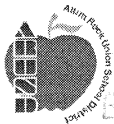
Student Council Approval Date: _____ (Middle Schools must attach minutes)

Student Council Representative: Jessica Bral Signature _____ Date 2-3-20

* Principal Approval: _____ Signature _____ Date 1/31/20

Reviewed by Business Services: Maria Newk Signature _____ Date 3/2/20

- FUNDRAISING EVENTS INVOLVING FOOD SALES MUST BE REVIEWED BY CNS Director.
 CNS Deer Signature _____ Date: 3/2/20
 Approved ☒ Denied ☐
- FUNDRAISING EVENTS INVOLVING USE OF FACILITIES MUST BE REVIEWED BY MOT
 Director, MOT: _____ Date: _____
 Operations Mgr.: _____ Date: _____
 Approved _____ Denied _____



ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2020 FEB -5 A 9:05 BUSINESS OFFICE RECEIVED FUNDRAISING EVENT APPROVAL FORM

Fundraiser #: _____ (From Financial Plan) MUSIC DHO
 School Name: Sheppard Middle School Date: 1/14/2020
 Description of Event: Type Signature Gummy Sales Vendor Signature
 Purpose for Event: Students will perform at Great America with the band
 If selling food what are the items: Gummy beans Brand and package size: Signature 60 count
 Explain how food is handled/stored prior to or day of event: The food will be stored in the bandroom on a high shelf.
 Event Sponsor (please check one) ASB: X Booster Club (PTA, PTO, Other): _____
 Proposed Date(s) of Event: 3/14/20 - 3/31/20 Time of Event: off campus only
 Contact Person: Lorena Lozano
 Location of Proposed Activity: Sheppard band Students
 Status of Event (please check one): ☐ New Event ☐ Held previously (years): _____

Use of Facilities thru Facilitron is required for all events outside the normal school hours.

Is the Use of Facilities Reservation thru Facilitron completed? Yes ☐ No ☐ N/A ☒
 Has Revenue Potential form been prepared? (For ASB Only - Form must be included) Yes ☒ No ☐
 Other Background information (Is proposed fundraising event on current ASB budget?) Yes ☒ No ☐
 ASB Advisor: [Signature] 11/17/2020
 Signature Date
 Student Council Approval Date: 11/17/2020 (Middle Schools must attach minutes)
 Student Council Representative: Ravenah Fernandez 11/17/2020
 Signature Date
 Principal Approval: [Signature] 2/4/2020 -
 Signature Date
 Reviewed by Business Services: [Signature] 3/2/20
 Date

- FUNDRAISING EVENTS INVOLVING FOOD SALES MUST BE REVIEWED BY CNS Director
 CNS [Signature] Date: 3/2/20
 Approved ☒ Denied ☐
- FUNDRAISING EVENTS INVOLVING USE OF FACILITIES MUST BE REVIEWED BY MOT
 Director, MOT: _____ Date: _____
 Operations Mgr.: _____ Date: _____
 Approved _____ Denied _____

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

11.04

Office of Superintendent of Schools

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees:


March 2, 2020

Subject: Vendor & Payroll Warrants

Staff Analysis: Summary of Vendor and Payroll Warrants issued during February month of Fiscal Year 2019/2020.

Total of vendor warrants	\$	4,087,364.47
Total of payroll warrants	\$	4,674,805.74
Total	\$	8,762,170.21

Recommendation: Staff recommends approval of the Vendor & Payroll Warrants for the month of February 2020.

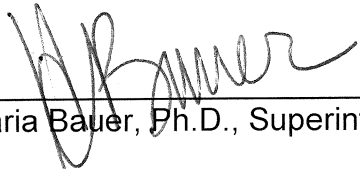
Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: March 12, 2020
Regular Board Meeting

Recommend Approval

11.04
Agenda Placement


Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

February 2020

	<u>VENDOR WARRANTS</u>	<u>AMOUNT</u>
Fund		
010,050,060,080	General Fund (Incl Payroll A/P)	\$2,079,921.31
120	Child Development Fund	\$0.00
130	Cafeteria Fund	\$305,585.39
140	Deferred Maintenance Fund	\$0.00
210	Building Fund	\$9,150.00
250	Capital Facilities Fund	\$0.00
350	County School Facility Fund	\$0.00
400	Special Reserve for Capital Outlay Fund	\$0.00
670	Health and Welfare & Workers Comp	\$1,656,062.60
	Subtotal	<u>\$4,050,719.30</u>
95	Student Body Fund	\$36,645.17
	Total Vendor Warrants	<u>\$4,087,364.47</u>
	<u>PAYROLL WARRANTS</u>	
	10th of the month	\$595,764.82
	EOM Payroll	\$4,081,928.94
	Manual Checks	\$3,730.26
	Void Checks	(\$6,618.28)
	Total Payroll Warrants	<u>\$4,674,805.74</u>
	Grand Total All Warrants	<u>\$8,762,170.21</u>

	From	To
AP Warrants used #	4002015	- 4002454
Payroll Direct Deposit Pay Stub used #	4700941	- 4702392
Payroll Checks used #	4400084	- 4400237

AP Check Register - Pivot Table Summary by Vendor
February 2020

Vendor Name	Date	Warrant #	Fund	Sum of Amount
4IMPRINT INC.	2/13/2020	4002148 010	\$	2,154.21
	2/20/2020	4002285 060	\$	413.37
4IMPRINT INC. Total			\$	2,567.58
ACCOUNTABLE HEALTHCARE	2/14/2020	4002218 080	\$	13,460.00
ACCOUNTABLE HEALTHCARE Total			\$	13,460.00
ACE EMPOWER ACADEMY	2/7/2020	4002103 010	\$	71,046.00
ACE EMPOWER ACADEMY Total			\$	71,046.00
ACHIEVE KIDS	2/20/2020	4002263 080	\$	6,472.00
ACHIEVE KIDS Total			\$	6,472.00
ACME PACIFIC REPAIRS INC.	2/7/2020	4002112 050	\$	314.00
ACME PACIFIC REPAIRS INC. Total			\$	314.00
ACTION TOWING AND ROAD SERVICE	2/7/2020	4002113 010	\$	720.00
ACTION TOWING AND ROAD SERVICE Total			\$	720.00
AGUILAR, ZUNIGA GRISEL	2/28/2020	4002389 130	\$	7.48
AGUILAR, ZUNIGA GRISEL Total			\$	7.48
AIRGAS, INC.	2/7/2020	4002114 010	\$	110.34
	2/13/2020	4002156 010	\$	37.12
AIRGAS, INC. Total			\$	147.46
ALEJANDRA GUADALUPE BASULTO	2/14/2020	4002219 060	\$	97.36
ALEJANDRA GUADALUPE BASULTO Total			\$	97.36
ALICIA G GONZALEZ	2/28/2020	4002390 130	\$	6.33
ALICIA G GONZALEZ Total			\$	6.33
ALLIANCE FOR YOUTH ACHIEVEMENT	2/28/2020	4002436 010	\$	30.00
ALLIANCE FOR YOUTH ACHIEVEMENT Total			\$	30.00
ALPHA BLANCA ALVARADO(ALPHA1)	2/7/2020	4002104 010	\$	71,491.00
ALPHA BLANCA ALVARADO(ALPHA1) Total			\$	71,491.00
ALPHA JOSE HERNANDEZ (ALPHA	2/7/2020	4002105 010	\$	65,878.00
ALPHA JOSE HERNANDEZ (ALPHA Total			\$	65,878.00
ALUM ROCK #305	2/28/2020	4002437 010	\$	528.00
ALUM ROCK #305 Total			\$	528.00
ALUM ROCK ADMINISTRATORS ASSOC	2/28/2020	4002438 010	\$	525.00
ALUM ROCK ADMINISTRATORS ASSOC Total			\$	525.00
AMAZON WEB SERVICES INC.	2/13/2020	4002141 010	\$	1,830.94
AMAZON WEB SERVICES INC. Total			\$	1,830.94
AMAZON.COM LLC	2/5/2020	4002035 080	\$	25.10
	2/13/2020	4002149 080	\$	306.37
	2/14/2020	4002206 010	\$	351.00
	2/20/2020	4002264 010	\$	343.20
AMAZON.COM LLC Total			\$	1,025.67
AMERICAN FIDELITY ASSURANCE	2/28/2020	4002439 010	\$	21,275.00
AMERICAN FIDELITY ASSURANCE Total			\$	21,275.00
AMERICAN FIDELITY ASSURANCE CO	2/28/2020	4002440 010	\$	31,333.26
AMERICAN FIDELITY ASSURANCE CO Total			\$	31,333.26
ANDRE BOUDIN BAKERIES	2/7/2020	4002099 010	\$	304.81
	2/14/2020	4002207 060	\$	535.83
	2/28/2020	4002405 010	\$	301.91
ANDRE BOUDIN BAKERIES Total			\$	1,142.55

AP Check Register - Pivot Table Summary by Vendor
February 2020

Vendor Name	Date	Warrant #	Fund	Sum of Amount
ANTONIO ANAYA	2/5/2020	4002025 010	\$	64.38
	2/27/2020	4002348 010	\$	58.88
ANTONIO ANAYA Total			\$	123.26
ARAMARK UNIFORM SERVICES	2/20/2020	4002316 130	\$	1,587.92
ARAMARK UNIFORM SERVICES Total			\$	1,587.92
ARCLITE INC	2/5/2020	4002026 050	\$	1,784.68
ARCLITE INC Total			\$	1,784.68
ASSETGENIE INC.	2/27/2020	4002334 010	\$	1,709.00
ASSETGENIE INC. Total			\$	1,709.00
ASSOCIATION OF CALIFORNIA	2/28/2020	4002441 010	\$	3,322.76
ASSOCIATION OF CALIFORNIA Total			\$	3,322.76
AT&T	2/14/2020	4002190 010	\$	3,568.40
	2/20/2020	4002286 010	\$	101.60
	2/27/2020	4002335 010	\$	394.55
		4002336 010	\$	80.25
AT&T Total			\$	4,144.80
BALCO HOLDINGS INC	2/14/2020	4002239 010	\$	1,525.00
	2/28/2020	4002422 010	\$	10,845.26
BALCO HOLDINGS INC Total			\$	12,370.26
BARNES AND NOBLE	2/6/2020	4002076 060	\$	726.47
	2/27/2020	4002337 060	\$	534.10
BARNES AND NOBLE Total			\$	1,260.57
BAUER'S INTELLIGENT	2/20/2020	4002265 010	\$	1,281.00
BAUER'S INTELLIGENT Total			\$	1,281.00
BAY AREA AIR QUALITY MGMT	2/13/2020	4002157 010	\$	239.00
BAY AREA AIR QUALITY MGMT Total			\$	239.00
BAY AREA FLOOR MACHINE	2/5/2020	4002057 010	\$	129.13
	2/13/2020	4002123 010	\$	527.30
	2/19/2020	4002253 010	\$	176.66
BAY AREA FLOOR MACHINE Total			\$	833.09
BIG JOE	2/13/2020	4002142 010	\$	104.41
BIG JOE Total			\$	104.41
BIOMETRICS4ALL INC.	2/6/2020	4002077 010	\$	14.25
BIOMETRICS4ALL INC. Total			\$	14.25
BIRGITTE GHOSE	2/7/2020	4002093 080	\$	19.90
BIRGITTE GHOSE Total			\$	19.90
BOSCO CONSTRUCTION SERVICES	2/28/2020	4002423 050	\$	14,650.00
BOSCO CONSTRUCTION SERVICES Total			\$	14,650.00
BRAINPOP.COM	2/27/2020	4002338 060	\$	175.00
BRAINPOP.COM Total			\$	175.00
BRITTNEY BYLUND	2/14/2020	4002191 010	\$	61.87
BRITTNEY BYLUND Total			\$	61.87
BUREAU VERITAS TECHNICAL	2/5/2020	4002017 010	\$	1,080.00
BUREAU VERITAS TECHNICAL Total			\$	1,080.00
CALIFORNIA ACADEMY OF SCIENCES	2/20/2020	4002287 010	\$	978.75
CALIFORNIA ACADEMY OF SCIENCES Total			\$	978.75
CALIFORNIA ASSOCIATION OF	2/5/2020	4002036 060	\$	3,141.00

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CALIFORNIA ASSOCIATION OF	2/14/2020	4002192 010	\$	810.00
	2/27/2020	4002339 060	\$	5,287.70
CALIFORNIA ASSOCIATION OF Total			\$	9,238.70
CALIFORNIA DEPARTMENT OF ED	2/27/2020	4002340 010	\$	2,208.28
CALIFORNIA DEPARTMENT OF ED Total			\$	2,208.28
CALIFORNIA SPEECH-LANGUAGE	2/14/2020	4002208 080	\$	920.00
CALIFORNIA SPEECH-LANGUAGE Total			\$	920.00
CALIFORNIA SPORT DESIGN	2/5/2020	4002018 010	\$	1,543.59
CALIFORNIA SPORT DESIGN Total			\$	1,543.59
CAL-STEAM INC.	2/19/2020	4002254 050	\$	1,080.16
CAL-STEAM INC. Total			\$	1,080.16
CAMBIUM LEARNING INC.	2/5/2020	4002027 060	\$	140.72
	2/13/2020	4002158 010	\$	4,500.00
CAMBIUM LEARNING INC. Total			\$	4,640.72
CANON FINANCIAL SERVICES INC.	2/20/2020	4002291 010	\$	494.93
		060	\$	316.84
		4002310 010	\$	9,861.33
		060	\$	1,929.47
		050	\$	737.43
		080	\$	340.85
		130	\$	175.89
	2/27/2020	4002349 010	\$	71.01
CANON FINANCIAL SERVICES INC. Total			\$	13,927.75
CARLOS MORAN	2/28/2020	4002424 010	\$	127.65
CARLOS MORAN Total			\$	127.65
CATERPILLAR FINANCIAL	2/14/2020	4002227 010	\$	289.96
CATERPILLAR FINANCIAL Total			\$	289.96
CEDAR FAIR SOUTHWEST INC	2/14/2020	4002193 010	\$	1,587.00
CEDAR FAIR SOUTHWEST INC Total			\$	1,587.00
CELLCO PARTNERSHIP	2/5/2020	4002058 010	\$	4,586.34
CELLCO PARTNERSHIP Total			\$	4,586.34
CHRISTINE GARCIA-COGLIANDRO	2/5/2020	4002045 130	\$	34.50
CHRISTINE GARCIA-COGLIANDRO Total			\$	34.50
CINTAS CORPORATION	2/14/2020	4002240 010	\$	424.71
		050	\$	131.56
CINTAS CORPORATION Total			\$	556.27
CITIZEN SCHOOLS INC	2/14/2020	4002228 060	\$	159,826.76
CITIZEN SCHOOLS INC Total			\$	159,826.76
CITY OF SAN JOSE	2/5/2020	4002059 060	\$	31,216.87
CITY OF SAN JOSE Total			\$	31,216.87
COLUMBIA UNIVERSITY TC	2/5/2020	4002060 080	\$	650.00
COLUMBIA UNIVERSITY TC Total			\$	650.00
COMMUNITY SCHOOL OF MUSIC AND	2/5/2020	4002037 060	\$	3,166.00
COMMUNITY SCHOOL OF MUSIC AND Total			\$	3,166.00
CONSCIOUS TEACHING LLC	2/14/2020	4002194 010	\$	4,500.00
CONSCIOUS TEACHING LLC Total			\$	4,500.00
CONSTANT CONTACT INC.	2/14/2020	4002220 010	\$	588.00

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CONSTANT CONTACT INC. Total			\$	588.00
COOK'S AUTOMOTIVE SERVICES	2/14/2020	4002241 010	\$	578.53
COOK'S AUTOMOTIVE SERVICES Total			\$	578.53
CROWN AWARDS	2/5/2020	4002038 010	\$	100.93
CROWN AWARDS Total			\$	100.93
CROWN CASTLE INTERNATIONAL	2/27/2020	4002341 010	\$	2,514.70
CROWN CASTLE INTERNATIONAL Total			\$	2,514.70
CSEA VICTORY CLUB	2/28/2020	4002442 010	\$	51.00
CSEA VICTORY CLUB Total			\$	51.00
CURRICULUM ASSOCIATES LLC	2/13/2020	4002150 010	\$	95.22
	2/20/2020	4002266 060	\$	4,324.00
CURRICULUM ASSOCIATES LLC Total			\$	4,419.22
DANNY SANCHEZ	2/5/2020	4002028 010	\$	625.00
		060	\$	1,300.00
	2/13/2020	4002159 060	\$	1,500.00
	2/14/2020	4002229 060	\$	500.00
DANNY SANCHEZ Total			\$	3,925.00
DAVID ANTHONY ALARCON	2/14/2020	4002221 080	\$	32.43
DAVID ANTHONY ALARCON Total			\$	32.43
DAYLIGHT FOODS INC	2/14/2020	4002230 130	\$	31,669.39
DAYLIGHT FOODS INC Total			\$	31,669.39
DELTA DENTAL	2/14/2020	4002201 670	\$	960.64
DELTA DENTAL Total			\$	960.64
DEPARTMENT OF EDUCATION - AWG	2/28/2020	4002443 010	\$	834.87
DEPARTMENT OF EDUCATION - AWG Total			\$	834.87
DEPARTMENT OF INDUSTRIAL	2/14/2020	4002242 050	\$	450.00
	2/20/2020	4002275 050	\$	450.00
DEPARTMENT OF INDUSTRIAL Total			\$	900.00
DIEDERICH ALBERTO BONEMEYER	2/7/2020	4002094 010	\$	158.76
	2/14/2020	4002195 060	\$	84.00
DIEDERICH ALBERTO BONEMEYER Total			\$	242.76
DJONT OPERATIONS LLC	2/7/2020	4002100 010	\$	4,133.72
DJONT OPERATIONS LLC Total			\$	4,133.72
DOMINGO SANCHEZ	2/28/2020	4002413 010	\$	2,400.00
DOMINGO SANCHEZ Total			\$	2,400.00
DUDS BY DUDES LLC	2/28/2020	4002406 010	\$	906.81
DUDS BY DUDES LLC Total			\$	906.81
DURHAM SCHOOL SERVICES LP	2/27/2020	4002342 060	\$	445.20
DURHAM SCHOOL SERVICES LP Total			\$	445.20
EARLYCHILDHOOD LLC	2/20/2020	4002267 060	\$	258.16
EARLYCHILDHOOD LLC Total			\$	258.16
EAST SIDE UNION HIGH SCHOOL	2/27/2020	4002343 010	\$	343.49
EAST SIDE UNION HIGH SCHOOL Total			\$	343.49
ECMC POST DEFAULT SERVICES	2/28/2020	4002444 010	\$	56.78
ECMC POST DEFAULT SERVICES Total			\$	56.78
EDGAR J GUDIEL	2/27/2020	4002350 010	\$	17.83
EDGAR J GUDIEL Total			\$	17.83

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
EDGAR RAMIREZ	2/28/2020	4002414	010	\$ 560.00
EDGAR RAMIREZ Total				\$ 560.00
EDGES ELECTRICAL GROUP LLC	2/7/2020	4002115	050	\$ 1,102.91
	2/13/2020	4002124	050	\$ 245.62
	2/20/2020	4002292	050	\$ 5,170.74
	2/28/2020	4002425	050	\$ 482.74
EDGES ELECTRICAL GROUP LLC Total				\$ 7,002.01
EINSTEIN NOAH RESTAURANT	2/5/2020	4002046	010	\$ 214.17
	2/13/2020	4002160	010	\$ 77.72
	2/19/2020	4002255	010	\$ 105.48
	2/20/2020	4002293	010	\$ 257.12
	2/27/2020	4002351	010	\$ 104.45
EINSTEIN NOAH RESTAURANT Total				\$ 758.94
ELIZABETH MUSQUEZ	2/13/2020	4002179	010	\$ 17.16
ELIZABETH MUSQUEZ Total				\$ 17.16
EMS LINQ INC.	2/27/2020	4002352	130	\$ 2,000.27
EMS LINQ INC. Total				\$ 2,000.27
ERIKA ZEPEDA CAMPOS	2/28/2020	4002391	130	\$ 9.20
ERIKA ZEPEDA CAMPOS Total				\$ 9.20
ESTHER B. CLARK SCHOOL AT	2/14/2020	4002222	080	\$ 9,134.86
ESTHER B. CLARK SCHOOL AT Total				\$ 9,134.86
EVERGREEN ELEMENTARY SCHOOL	2/13/2020	4002151	010	\$ 647.57
EVERGREEN ELEMENTARY SCHOOL Total				\$ 647.57
FAGEN FRIEDMAN & FULFROST LLP	2/5/2020	4002019	060	\$ 1,080.00
FAGEN FRIEDMAN & FULFROST LLP Total				\$ 1,080.00
FEDERAL EXPRESS	2/5/2020	4002020	010	\$ 118.56
	2/20/2020	4002268	010	\$ 69.12
FEDERAL EXPRESS Total				\$ 187.68
FIRST STUDENT INC.	2/5/2020	4002039	060	\$ 512.80
	2/13/2020	4002143	010	\$ 497.26
	2/14/2020	4002196	060	\$ 100.00
	2/27/2020	4002374	060	\$ 1,361.42
FIRST STUDENT INC. Total				\$ 2,471.48
FLORES, LOUIE JR.	2/14/2020	4002197	010	\$ 9.66
FLORES, LOUIE JR. Total				\$ 9.66
FOLEY & SONS INC	2/13/2020	4002125	060	\$ 534.12
	2/20/2020	4002276	010	\$ 249.25
		4002311	010	\$ 790.82
	2/27/2020	4002353	010	\$ 443.40
	2/28/2020	4002426	010	\$ 109.21
			060	\$ 166.37
FOLEY & SONS INC Total				\$ 2,293.17
FOLLETT SCHOOL SOLUTIONS INC.	2/27/2020	4002323	060	\$ 770.26
		4002324	060	\$ 291.93
		4002325	060	\$ 929.29
		4002326	060	\$ 969.65
		4002327	060	\$ 8.00

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FOLLETT SCHOOL SOLUTIONS INC.	2/27/2020	4002328 060	\$	1,096.44
		4002329 060	\$	1,102.53
		4002330 060	\$	902.15
		4002331 060	\$	874.00
		4002332 060	\$	562.25
		4002333 060	\$	318.68
FOLLETT SCHOOL SOLUTIONS INC. Total			\$	7,825.18
FOSTER DAIRY FARMS	2/14/2020	4002238 130	\$	57,645.80
FOSTER DAIRY FARMS Total			\$	57,645.80
FOUNDATIONS PSYCHOLOGICAL	2/20/2020	4002269 080	\$	6,120.00
FOUNDATIONS PSYCHOLOGICAL Total			\$	6,120.00
FRESH & NATURAL	2/6/2020	4002078 010	\$	1,207.63
FRESH & NATURAL Total			\$	1,207.63
GARDENLAND CENTER INC.	2/19/2020	4002256 050	\$	441.60
GARDENLAND CENTER INC. Total			\$	441.60
GOLD STAR FOODS	2/14/2020	4002231 130	\$	129,565.08
GOLD STAR FOODS Total			\$	129,565.08
GONZALEZ ANA	2/14/2020	4002198 010	\$	59.75
GONZALEZ ANA Total			\$	59.75
GREENFIELD LEARNING INC.	2/14/2020	4002223 060	\$	3,700.00
GREENFIELD LEARNING INC. Total			\$	3,700.00
GUITAR SHOWCASE	2/14/2020	4002209 010	\$	199.94
	2/28/2020	4002407 010	\$	576.04
GUITAR SHOWCASE Total			\$	775.98
HAPARA INC.	2/5/2020	4002040 060	\$	892.50
HAPARA INC. Total			\$	892.50
HOME DEPOT U.S.A. INC.	2/5/2020	4002061 050	\$	313.05
	2/13/2020	4002126 050	\$	3,064.58
	2/14/2020	4002243 010	\$	5,947.50
	2/19/2020	4002257 050	\$	1,874.58
		4002258 010	\$	3,083.94
	2/27/2020	4002380 050	\$	804.84
	2/28/2020	4002420 010	\$	2,566.05
		4002421 010	\$	1,391.06
		4002427 010	\$	1,406.87
HOME DEPOT U.S.A. INC. Total			\$	20,452.47
HORIZON DISTRIBUTORS INC	2/13/2020	4002127 050	\$	296.67
HORIZON DISTRIBUTORS INC Total			\$	296.67
HUSHI NATIVIDAD	2/13/2020	4002180 010	\$	482.45
HUSHI NATIVIDAD Total			\$	482.45
HUYNH TUYET	2/7/2020	4002095 080	\$	92.00
HUYNH TUYET Total			\$	92.00
IDN INC	2/7/2020	4002116 010	\$	3,534.40
	2/13/2020	4002128 010	\$	370.73
	2/28/2020	4002428 050	\$	811.66
IDN INC Total			\$	4,716.79
INTEGRATED COMMUNICATIONS	2/7/2020	4002117 050	\$	775.00

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
INTEGRATED COMMUNICATIONS	Total			\$ 775.00
INTERNAL REVENUE SERVICE	2/28/2020	4002445 010	\$	500.00
INTERNAL REVENUE SERVICE	Total			\$ 500.00
INTERNATIONAL ASSOCIATION FOR	2/7/2020	4002101 060	\$	2,000.00
INTERNATIONAL ASSOCIATION FOR	Total			\$ 2,000.00
IT MANAGEMENT CORPORATION	2/6/2020	4002079 010	\$	2,922.49
	2/7/2020	4002102 060	\$	9,030.97
	2/27/2020	4002375 060	\$	20,484.16
IT MANAGEMENT CORPORATION	Total			\$ 32,437.62
ITSAVVY LLC	2/7/2020	4002096 060	\$	668.09
	2/27/2020	4002344 010	\$	210.79
		4002376 010	\$	55.67
		060	\$	4,464.73
ITSAVVY LLC	Total			\$ 5,399.28
JACALYN STROMQUIST	2/13/2020	4002181 670	\$	18.52
JACALYN STROMQUIST	Total			\$ 18.52
JACQUELINE THIEN AN DUONG	2/20/2020	4002270 080	\$	47.33
JACQUELINE THIEN AN DUONG	Total			\$ 47.33
JADE RESTAURANT	2/6/2020	4002080 010	\$	437.00
JADE RESTAURANT	Total			\$ 437.00
JAMES LE	2/28/2020	4002415 010	\$	840.00
JAMES LE	Total			\$ 840.00
JAMES R KELLY	2/5/2020	4002047 010	\$	1,595.05
JAMES R KELLY	Total			\$ 1,595.05
JESUS L ARAUJO JR	2/14/2020	4002199 010	\$	93.12
JESUS L ARAUJO JR	Total			\$ 93.12
JET MULCH INC	2/28/2020	4002429 050	\$	3,102.75
JET MULCH INC	Total			\$ 3,102.75
JOEY BAYAOA TANGO	2/4/2020	4002015 010	\$	1,683.98
JOEY BAYAOA TANGO	Total			\$ 1,683.98
JOHN H. UHT	2/27/2020	4002354 010	\$	9,010.00
		050	\$	200.00
JOHN H. UHT	Total			\$ 9,210.00
JOSEPH MATTHEW MANLUCO	2/13/2020	4002182 010	\$	49.45
JOSEPH MATTHEW MANLUCO	Total			\$ 49.45
JUAN DE DIOS TOVAR	2/28/2020	4002416 010	\$	770.00
JUAN DE DIOS TOVAR	Total			\$ 770.00
JUANITA SANCHEZ	2/27/2020	4002381 080	\$	1,938.64
JUANITA SANCHEZ	Total			\$ 1,938.64
JUNGER, ROBERT & JANET G.	2/7/2020	4002097 010	\$	197.60
JUNGER, ROBERT & JANET G.	Total			\$ 197.60
KAISER	2/14/2020	4002202 670	\$	197,672.74
		4002203 670	\$	302.00
	2/27/2020	4002386 670	\$	302.00
KAISER	Total			\$ 198,276.74
KAISER PERMANENTE	2/14/2020	4002204 670	\$	412.66
	2/27/2020	4002387 670	\$	412.66

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Vendor Name	Date	Warrant #	Fund	Sum of Amount
KAISER PERMANENTE				\$ 825.32
KATE ALLISON PARSONS	2/5/2020	4002048 080	\$	77.05
KATE ALLISON PARSONS				\$ 77.05
KEENAN & ASSOCIATES	2/14/2020	4002205 670	\$	233,178.00
	2/27/2020	4002388 670	\$	116,589.00
KEENAN & ASSOCIATES				\$ 349,767.00
KELLY PAPER COMPANY	2/14/2020	4002224 010	\$	401.32
KELLY PAPER COMPANY				\$ 401.32
KELLY-MOORE PAINT COMPANY INC.	2/13/2020	4002129 050	\$	475.02
	2/19/2020	4002259 050	\$	141.56
KELLY-MOORE PAINT COMPANY INC. Total				\$ 616.58
KEVIN DAVID WEBSTER	2/5/2020	4002049 080	\$	45.43
	2/19/2020	4002260 080	\$	48.30
KEVIN DAVID WEBSTER				\$ 93.73
KIPP HEARTWOOD ACADEMY	2/7/2020	4002106 010	\$	103,146.00
KIPP HEARTWOOD ACADEMY				\$ 103,146.00
KIPP PRIZE PREPARATORY ACADEMY	2/7/2020	4002107 010	\$	101,488.00
KIPP PRIZE PREPARATORY ACADEMY Total				\$ 101,488.00
LACY ROBERT AND JAMIE	2/28/2020	4002408 010	\$	204.18
LACY ROBERT AND JAMIE				\$ 204.18
LAKESHORE EQUIPMENT COMPANY	2/6/2020	4002081 010	\$	120.31
	2/5/2020	4002021 010	\$	100.00
	2/13/2020	4002152 010	\$	462.74
	2/20/2020	4002271 060	\$	174.30
		4002288 060	\$	165.22
	2/27/2020	4002345 010	\$	594.32
LAKESHORE EQUIPMENT COMPANY				\$ 1,616.89
LANGUAGE PEOPLE	2/13/2020	4002155 010	\$	4,540.58
LANGUAGE PEOPLE				\$ 4,540.58
LATINO FILM INSTITUTE	2/5/2020	4002022 010	\$	64,692.00
LATINO FILM INSTITUTE				\$ 64,692.00
LAYCO ALICIA P	2/28/2020	4002409 010	\$	204.18
LAYCO ALICIA P				\$ 204.18
LAZEL INC	2/5/2020	4002023 010	\$	388.49
		4002041 060	\$	437.35
	2/13/2020	4002144 060	\$	1,119.40
		4002153 060	\$	824.58
LAZEL INC				\$ 2,769.82
LDP INC	2/14/2020	4002225 060	\$	2,063.19
LDP INC				\$ 2,063.19
LE, KHA AND MAIHUONG TRAN	2/5/2020	4002024 010	\$	401.78
LE, KHA AND MAIHUONG TRAN				\$ 401.78
LEASE SERVICING CENTER INC.	2/20/2020	4002294 010	\$	140.93
		060	\$	1,389.66
LEASE SERVICING CENTER INC. Total				\$ 1,530.59
LETICIA ARRIAGA DE MALDONADO	2/28/2020	4002392 130	\$	6.90
LETICIA ARRIAGA DE MALDONADO				\$ 6.90

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LET'S TRAVEL	2/27/2020	4002377	010	\$ 957.99
			060	\$ 4,382.19
LET'S TRAVEL Total				\$ 5,340.18
LITTLE HEROES INC.	2/6/2020	4002082	010	\$ 4,200.00
			060	\$ 4,200.00
	2/5/2020	4002056	010	\$ 5,040.00
			060	\$ 20,160.00
LITTLE HEROES INC. Total				\$ 33,600.00
LORRAINE ADAMS-	2/7/2020	4002098	060	\$ 573.30
LORRAINE ADAMS- Total				\$ 573.30
LOWES COMPANIES INC.	2/13/2020	4002130	050	\$ 36.29
	2/20/2020	4002277	050	\$ 1,251.53
	2/28/2020	4002430	050	\$ 194.82
LOWES COMPANIES INC. Total				\$ 1,482.64
LOZANO SMITH LLP	2/13/2020	4002161	010	\$ 125.00
			060	\$ 1,125.00
LOZANO SMITH LLP Total				\$ 1,250.00
LUCILA DELGADO	2/14/2020	4002226	080	\$ 77.58
LUCILA DELGADO Total				\$ 77.58
MANSON WESTERN CORPORATION	2/5/2020	4002029	080	\$ 149.02
MANSON WESTERN CORPORATION Total				\$ 149.02
MARIA C. GOCHEZ BLANCO	2/20/2020	4002278	050	\$ 727.72
	2/27/2020	4002382	050	\$ 1,140.20
MARIA C. GOCHEZ BLANCO Total				\$ 1,867.92
MARIA CARMINA OROZCO	2/13/2020	4002183	010	\$ 157.55
MARIA CARMINA OROZCO Total				\$ 157.55
MARICELA GONZALEZ MAGANA	2/28/2020	4002393	130	\$ 21.85
MARICELA GONZALEZ MAGANA Total				\$ 21.85
MARILU BELMAN	2/14/2020	4002200	010	\$ 297.24
MARILU BELMAN Total				\$ 297.24
MAXIM HEALTHCARE SERVICE INC	2/5/2020	4002050	080	\$ 12,455.50
	2/14/2020	4002232	080	\$ 13,681.50
	2/27/2020	4002355	080	\$ 5,282.00
MAXIM HEALTHCARE SERVICE INC Total				\$ 31,419.00
MCSIG	2/13/2020	4002184	670	\$ 18,642.00
MCSIG Total				\$ 18,642.00
METLIFE SMALL MARKET	2/7/2020	4002110	010	\$ 3,662.96
METLIFE SMALL MARKET Total				\$ 3,662.96
MICHAEL V. GALLEGOS	2/27/2020	4002346	010	\$ 2,343.49
MICHAEL V. GALLEGOS Total				\$ 2,343.49
MID AMERICA ADMINISTRATION	2/11/2020	4002120	010	\$ 8,946.18
	2/28/2020	4002446	010	\$ 2,215.22
MID AMERICA ADMINISTRATION Total				\$ 11,161.40
MIKE NASCIMENTO	2/28/2020	4002417	010	\$ 945.00
MIKE NASCIMENTO Total				\$ 945.00
MIKES SHY NON SHIRTS INC.	2/20/2020	4002295	060	\$ 1,915.70
MIKES SHY NON SHIRTS INC. Total				\$ 1,915.70

AP Check Register - Pivot Table Summary by Vendor
February 2020

Vendor Name	Date	Warrant #	Fund	Sum of Amount
MKO AUTO SERVICE INC.	2/5/2020	4002062	010	\$ 387.50
MKO AUTO SERVICE INC. Total				\$ 387.50
MONARCH TRUCK SERVICE	2/5/2020	4002030	010	\$ 209.40
MONARCH TRUCK SERVICE Total				\$ 209.40
MONICA MEZA	2/28/2020	4002394	130	\$ 16.10
MONICA MEZA Total				\$ 16.10
MONSTER TECHNOLOGY	2/5/2020	4002031	010	\$ 983.25
MONSTER TECHNOLOGY Total				\$ 983.25
MUSICIAN'S FRIEND, INC.	2/13/2020	4002131	010	\$ 252.15
		4002162	010	\$ 196.63
MUSICIAN'S FRIEND, INC. Total				\$ 448.78
NADIA G MIRANDA	2/28/2020	4002395	130	\$ 45.43
NADIA G MIRANDA Total				\$ 45.43
NASCO MODESTO A DIV.OF	2/20/2020	4002279	060	\$ 417.88
	2/27/2020	4002356	060	\$ 1,557.44
NASCO MODESTO A DIV.OF Total				\$ 1,975.32
NEOPOST USA INC	2/27/2020	4002369	010	\$ 315.62
NEOPOST USA INC Total				\$ 315.62
NESTLE WATERS NORTH AMERICA	2/14/2020	4002247	010	\$ 779.08
			050	\$ 37.67
	2/20/2020	4002314	010	\$ 184.03
	2/27/2020	4002370	130	\$ 23.42
NESTLE WATERS NORTH AMERICA Total				\$ 1,024.20
NEXTEL OF CALIFORNIA INC.	2/5/2020	4002063	010	\$ 2,339.44
NEXTEL OF CALIFORNIA INC. Total				\$ 2,339.44
NOLI LADRA MAGSINO JR	2/20/2020	4002296	010	\$ 76.37
NOLI LADRA MAGSINO JR Total				\$ 76.37
NORCAL TRUCK LEASING INC	2/13/2020	4002132	010	\$ 922.20
	2/14/2020	4002233	010	\$ 449.50
	2/27/2020	4002357	010	\$ 899.00
NORCAL TRUCK LEASING INC Total				\$ 2,270.70
OCCUPATIONAL HEALTH CTR OF CA	2/6/2020	4002083	010	\$ 290.50
	2/14/2020	4002210	010	\$ 32.00
	2/28/2020	4002410	010	\$ 132.50
OCCUPATIONAL HEALTH CTR OF CA Total				\$ 455.00
OFFICE DEPOT	2/6/2020	4002088	010	\$ 666.94
		4002089	010	\$ 933.92
	2/7/2020	4002090	010	\$ 864.49
		4002091	010	\$ 1,833.79
		4002092	010	\$ 519.02
			060	\$ 302.38
			050	\$ 25.93
	2/19/2020	4002248	010	\$ 504.28
		4002249	010	\$ 697.54
		4002250	010	\$ 1,559.32
		4002251	010	\$ 1,160.94
			670	\$ 70.87

AP Check Register - Pivot Table Summary by Vendor
February 2020

Vendor Name	Date	Warrant #	Fund	Sum of Amount
OFFICE DEPOT	2/19/2020	4002252 010	\$	1,284.89
		060	\$	463.07
	2/27/2020	4002319 010	\$	209.29
		4002320 010	\$	640.34
		4002321 010	\$	1,117.29
		4002322 010	\$	830.30
		4002367 010	\$	989.80
		4002368 010	\$	333.67
		4002372 010	\$	961.71
		4002373 010	\$	609.59
OFFICE DEPOT Total			\$	16,579.37
OLD MISSION GIFT SHOP	2/5/2020	4002051 060	\$	150.00
OLD MISSION GIFT SHOP Total			\$	150.00
ONE STONE APPAREL INC.	2/5/2020	4002065 060	\$	285.04
	2/27/2020	4002371 010	\$	3,402.75
ONE STONE APPAREL INC. Total			\$	3,687.79
O'REILLY AUTOMOTIVE STORES INC	2/5/2020	4002064 010	\$	425.11
	2/13/2020	4002133 010	\$	185.38
		4002163 010	\$	126.65
O'REILLY AUTOMOTIVE STORES INC Total			\$	737.14
OTC DIRECT INC	2/5/2020	4002066 010	\$	170.15
	2/20/2020	4002297 010	\$	618.81
OTC DIRECT INC Total			\$	788.96
P & R PAPER SUPPLY COMPANY	2/5/2020	4002052 130	\$	20,719.68
P & R PAPER SUPPLY COMPANY Total			\$	20,719.68
PACIFIC EDUCATORS INC.	2/28/2020	4002447 010	\$	98.58
PACIFIC EDUCATORS INC. Total			\$	98.58
PALOS SPORT	2/13/2020	4002164 010	\$	83.98
	2/14/2020	4002212 010	\$	77.94
	2/27/2020	4002358 010	\$	199.70
PALOS SPORT Total			\$	361.62
PANG, KAM PIU AND	2/28/2020	4002396 010	\$	204.18
PANG, KAM PIU AND Total			\$	204.18
PARTRIDGE CONSULTING INC.	2/5/2020	4002067 080	\$	5,500.00
	2/27/2020	4002359 080	\$	5,500.00
PARTRIDGE CONSULTING INC. Total			\$	11,000.00
PATRICIA M TOVAR	2/20/2020	4002298 010	\$	21.60
PATRICIA M TOVAR Total			\$	21.60
PEARSON EDUCATION INCORPORATED	2/20/2020	4002280 080	\$	778.93
	2/27/2020	4002360 080	\$	30.00
	2/28/2020	4002431 080	\$	1,421.29
PEARSON EDUCATION INCORPORATED Total			\$	2,230.22
PERSEUS ASSOCIATES	2/13/2020	4002165 010	\$	525.00
PERSEUS ASSOCIATES Total			\$	525.00
PESI	2/27/2020	4002361 080	\$	438.00
PESI Total			\$	438.00
PESTICIDE APPLICATORS	2/27/2020	4002383 050	\$	100.00

AP Check Register - Pivot Table Summary by Vendor
February 2020

Vendor Name	Date	Warrant #	Fund	Sum of Amount
PESTICIDE APPLICATORS	Total			\$ 100.00
PG&E	2/6/2020	4002084 010	\$	98.88
	2/13/2020	4002154 010	\$	139,019.39
PG&E	Total			\$ 139,118.27
POCKET FULL OF THERAPY INC	2/13/2020	4002166 080	\$	183.06
POCKET FULL OF THERAPY INC	Total			\$ 183.06
PORTER, MICHAEL	2/28/2020	4002418 010	\$	490.00
PORTER, MICHAEL	Total			\$ 490.00
PRE-PAID LEGAL SERVICES INC.	2/28/2020	4002448 010	\$	278.00
PRE-PAID LEGAL SERVICES INC.	Total			\$ 278.00
PRO-ED	2/13/2020	4002134 080	\$	160.60
PRO-ED	Total			\$ 160.60
QUICK LIGHT RECYCLING LLC	2/14/2020	4002244 050	\$	230.00
QUICK LIGHT RECYCLING LLC	Total			\$ 230.00
QUINTENSSSENTIAL SCHOOL SYSTEMS	2/27/2020	4002347 010	\$	1,250.00
QUINTENSSSENTIAL SCHOOL SYSTEMS	Total			\$ 1,250.00
R & R REFRIGERATION & AIR	2/5/2020	4002068 130	\$	165.87
	2/14/2020	4002234 130	\$	2,756.57
R & R REFRIGERATION & AIR	Total			\$ 2,922.44
RANDALL E. KLEIN	2/14/2020	4002211 010	\$	518.00
	2/20/2020	4002289 010	\$	239.00
	2/27/2020	4002378 010	\$	346.00
RANDALL E. KLEIN	Total			\$ 1,103.00
REALLY GOOD STUFF LLC	2/20/2020	4002299 060	\$	57.71
	2/27/2020	4002362 010	\$	92.22
		060	\$	95.97
REALLY GOOD STUFF LLC	Total			\$ 245.90
REBECA GARCIA	2/28/2020	4002397 130	\$	8.63
REBECA GARCIA	Total			\$ 8.63
RECOLOGY SOUTH BAY	2/13/2020	4002145 010	\$	19,743.31
	2/20/2020	4002272 010	\$	2,211.88
RECOLOGY SOUTH BAY	Total			\$ 21,955.19
REHON & ROBERTS	2/28/2020	4002412 010	\$	25,400.00
		210	\$	9,150.00
REHON & ROBERTS	Total			\$ 34,550.00
REILLY BEHAVIORAL &	2/28/2020	4002432 080	\$	2,825.00
REILLY BEHAVIORAL &	Total			\$ 2,825.00
RENTERIA, RAFAEL M & FRANCES M	2/28/2020	4002398 010	\$	204.18
RENTERIA, RAFAEL M & FRANCES M	Total			\$ 204.18
RESOURCE AREA FOR TEACHERS	2/13/2020	4002167 010	\$	25.00
RESOURCE AREA FOR TEACHERS	Total			\$ 25.00
RICK DELONG	2/28/2020	4002433 010	\$	1,670.00
RICK DELONG	Total			\$ 1,670.00
RIVERA, AIDA A.	2/28/2020	4002399 010	\$	204.18
RIVERA, AIDA A.	Total			\$ 204.18
RMC A RAY MORGAN COMPANY	2/5/2020	4002053 060	\$	33.62
	2/13/2020	4002135 010	\$	92.66

AP Check Register - Pivot Table Summary by Vendor
February 2020

Vendor Name	Date	Warrant #	Fund	Sum of Amount
RMC A RAY MORGAN COMPANY	2/13/2020	4002135 060	\$	146.94
	2/14/2020	4002213 010	\$	818.03
	2/20/2020	4002281 010	\$	516.98
		4002312 010	\$	49.83
		4002317 010	\$	90.86
	2/27/2020	4002363 010	\$	133.92
RMC A RAY MORGAN COMPANY Total			\$	1,882.84
RO HEALTH INC.	2/5/2020	4002069 010	\$	2,996.25
	2/13/2020	4002168 010	\$	5,979.75
RO HEALTH INC. Total			\$	8,976.00
ROCKETSHIP FUERZA	2/7/2020	4002108 010	\$	153,686.00
ROCKETSHIP FUERZA Total			\$	153,686.00
ROCKETSHIP SI SE PUEDE	2/7/2020	4002109 010	\$	100,580.00
ROCKETSHIP SI SE PUEDE Total			\$	100,580.00
RODAN ENTERPRISES INC.	2/13/2020	4002169 060	\$	92.00
	2/20/2020	4002282 010	\$	228.93
		4002300 010	\$	228.93
RODAN ENTERPRISES INC. Total			\$	549.86
ROMELIA GALAVIZ	2/27/2020	4002379 010	\$	59.00
ROMELIA GALAVIZ Total			\$	59.00
ROSA LOZANO	2/13/2020	4002185 010	\$	150.00
ROSA LOZANO Total			\$	150.00
RUSSELL SIGLER INC.	2/20/2020	4002313 050	\$	105.86
RUSSELL SIGLER INC. Total			\$	105.86
RYAN BUNDALIAN CACHOLA	2/5/2020	4002042 080	\$	80.29
RYAN BUNDALIAN CACHOLA Total			\$	80.29
SABINA MURESAN	2/13/2020	4002186 010	\$	39.10
SABINA MURESAN Total			\$	39.10
SAN JOSE CHARTERS INC	2/20/2020	4002301 060	\$	5,698.00
SAN JOSE CHARTERS INC Total			\$	5,698.00
SAN JOSE COMMUNITY MEDIA	2/6/2020	4002085 010	\$	460.00
	2/28/2020	4002411 010	\$	460.00
SAN JOSE COMMUNITY MEDIA Total			\$	920.00
SAN JOSE WATER COMPANY	2/6/2020	4002086 010	\$	14,581.57
SAN JOSE WATER COMPANY Total			\$	14,581.57
SANTA CLARA COUNTY OFFICE OF	2/13/2020	4002146 010	\$	150.00
	2/20/2020	4002283 010	\$	290.82
	2/27/2020	4002364 080	\$	37,203.68
SANTA CLARA COUNTY OFFICE OF Total			\$	37,644.50
SANTA CLARA COUNTY SHERIFF DPT	2/11/2020	4002121 010	\$	1,277.18
	2/28/2020	4002449 010	\$	1,695.07
SANTA CLARA COUNTY SHERIFF DPT Total			\$	2,972.25
SAVE MART SUPERMARKETS	2/5/2020	4002070 080	\$	189.78
	2/13/2020	4002170 060	\$	48.63
	2/20/2020	4002302 060	\$	24.19
SAVE MART SUPERMARKETS Total			\$	262.60
SCHOLASTIC	2/13/2020	4002171 060	\$	455.40

AP Check Register - Pivot Table Summary by Vendor
February 2020

Vendor Name	Date	Warrant #	Fund	Sum of Amount
SCHOLASTIC				\$ 455.40
SCHOOL HEALTH CORPORATION	2/5/2020	4002071 080	\$	264.51
SCHOOL HEALTH CORPORATION Total				\$ 264.51
SCHOOL NURSE SUPPLY INC.	2/13/2020	4002172 010	\$	240.82
	2/20/2020	4002303 010	\$	96.35
SCHOOL NURSE SUPPLY INC. Total				\$ 337.17
SCHOOL OF ARTS & CULTURE @ MHP	2/27/2020	4002365 010	\$	2,672.80
SCHOOL OF ARTS & CULTURE @ MHP Total				\$ 2,672.80
SCHOOL SERVICES OF CALIFORNIA	2/13/2020	4002173 010	\$	825.00
SCHOOL SERVICES OF CALIFORNIA Total				\$ 825.00
SCHOOL SPECIALTY INC.	2/14/2020	4002214 080	\$	44.93
	2/28/2020	4002400 010	\$	46.63
SCHOOL SPECIALTY INC. Total				\$ 91.56
SCHOOLHOUSE OUTFITTERS LLC	2/5/2020	4002032 080	\$	366.43
SCHOOLHOUSE OUTFITTERS LLC Total				\$ 366.43
SECURITY CONTRACTOR SERVICES	2/27/2020	4002384 050	\$	243.75
SECURITY CONTRACTOR SERVICES Total				\$ 243.75
SELF INSURED SCHOOLS OF	2/13/2020	4002187 670	\$	830,277.00
SELF INSURED SCHOOLS OF Total				\$ 830,277.00
SERVICE STATION SYSTEMS	2/13/2020	4002136 010	\$	100.00
SERVICE STATION SYSTEMS Total				\$ 100.00
SEVERIN INTERMEDIATE HOLDINGS	2/7/2020	4002118 010	\$	2,025.00
SEVERIN INTERMEDIATE HOLDINGS Total				\$ 2,025.00
SFCC INTERMEDIATEHOLDINGS INC	2/13/2020	4002137 010	\$	170.76
		4002174 060	\$	215.49
	2/20/2020	4002304 010	\$	50.07
	2/27/2020	4002366 010	\$	195.93
SFCC INTERMEDIATEHOLDINGS INC Total				\$ 632.25
SHARP ELECTRONICS CORPORATION	2/5/2020	4002054 060	\$	135.66
	2/13/2020	4002175 060	\$	148.00
	2/20/2020	4002305 060	\$	321.98
SHARP ELECTRONICS CORPORATION Total				\$ 605.64
SILICON VALLEY SECURITY &	2/14/2020	4002245 010	\$	12,824.00
SILICON VALLEY SECURITY & Total				\$ 12,824.00
SITHAN LY	2/28/2020	4002401 130	\$	8.63
SITHAN LY Total				\$ 8.63
SPURR	2/20/2020	4002273 010	\$	19,344.48
SPURR Total				\$ 19,344.48
STANDARD INSURANCE CO.	2/28/2020	4002450 010	\$	17,429.63
STANDARD INSURANCE CO. Total				\$ 17,429.63
STATE DISBURSEMENT UNIT	2/28/2020	4002451 010	\$	1,083.75
STATE DISBURSEMENT UNIT Total				\$ 1,083.75
STATE OF CALIFORNIA	2/4/2020	4002016 010	\$	2,272.53
	2/11/2020	4002122 010	\$	88.37
	2/14/2020	4002215 010	\$	625.00
	2/28/2020	4002452 010	\$	2,811.48
STATE OF CALIFORNIA Total				\$ 5,797.38

AP Check Register - Pivot Table Summary by Vendor
February 2020

Vendor Name	Date	Warrant #	Fund	Sum of Amount
STEVE SAMUELIAN	2/20/2020	4002290 010	\$	12,000.00
STEVE SAMUELIAN Total			\$	12,000.00
STLR CORPORATION	2/13/2020	4002176 010	\$	1,280.00
STLR CORPORATION Total			\$	1,280.00
SUGEY ROSALES	2/5/2020	4002055 130	\$	250.00
SUGEY ROSALES Total			\$	250.00
SYSCO SAN FRANCISCO INC.	2/20/2020	4002318 130	\$	58,835.65
SYSCO SAN FRANCISCO INC. Total			\$	58,835.65
TALTON, VERONICA	2/13/2020	4002188 010	\$	24.84
TALTON, VERONICA Total			\$	24.84
TEAMSTERS LOCAL #150	2/28/2020	4002453 010	\$	10,627.00
TEAMSTERS LOCAL #150 Total			\$	10,627.00
TEAMSTERS MANAGED TRUST FUNDS	2/13/2020	4002189 670	\$	232,272.00
TEAMSTERS MANAGED TRUST FUNDS Total			\$	232,272.00
TESTAMERICA LABORATORIES INC.	2/7/2020	4002119 010	\$	157.50
TESTAMERICA LABORATORIES INC. Total			\$	157.50
TEXAS LIFE INSURANCE	2/28/2020	4002454 010	\$	5,344.88
TEXAS LIFE INSURANCE Total			\$	5,344.88
THANH PHU	2/28/2020	4002402 050	\$	50.00
THANH PHU Total			\$	50.00
THE AUDACITY PERFORMING ARTS	2/5/2020	4002072 060	\$	5,000.00
THE AUDACITY PERFORMING ARTS Total			\$	5,000.00
THE PROPHET CORPORATION	2/6/2020	4002087 060	\$	1,179.67
	2/13/2020	4002147 010	\$	372.53
	2/20/2020	4002274 010	\$	222.07
THE PROPHET CORPORATION Total			\$	1,774.27
THE TECH MUSEUM OF INNOVATION	2/14/2020	4002246 010	\$	628.00
THE TECH MUSEUM OF INNOVATION Total			\$	628.00
THINK TOGETHER	2/13/2020	4002177 060	\$	7,132.10
	2/14/2020	4002235 060	\$	106,023.10
	2/20/2020	4002284 060	\$	1,426.42
THINK TOGETHER Total			\$	114,581.62
THU HA HUYNH	2/5/2020	4002043 080	\$	155.13
THU HA HUYNH Total			\$	155.13
TINA MARIE SANDERS	2/28/2020	4002403 130	\$	16.68
TINA MARIE SANDERS Total			\$	16.68
TIRE TIME INC.	2/5/2020	4002033 010	\$	496.70
TIRE TIME INC. Total			\$	496.70
TOBII DYNAVOK LLC	2/14/2020	4002216 080	\$	2,986.00
TOBII DYNAVOK LLC Total			\$	2,986.00
TONY VERA	2/28/2020	4002419 010	\$	1,120.00
TONY VERA Total			\$	1,120.00
TURF AND INDUSTRIAL EQUIPMENT	2/20/2020	4002306 050	\$	147.03
TURF AND INDUSTRIAL EQUIPMENT Total			\$	147.03
TURF STAR	2/20/2020	4002307 050	\$	427.68
TURF STAR Total			\$	427.68
TUTORWORKS INC.	2/5/2020	4002073 060	\$	41,601.90

AP Check Register - Pivot Table Summary by Vendor
February 2020

Vendor Name	Date	Warrant #	Fund	Sum of Amount
TUTORWORKS INC. Total			\$	41,601.90
U.S. BANK	2/20/2020	4002262 010	\$	2,667.31
U.S. BANK Total			\$	2,667.31
UNITED PARCEL SERVICE	2/13/2020	4002138 010	\$	124.00
UNITED PARCEL SERVICE Total			\$	124.00
UNITED RENTALS INC	2/20/2020	4002308 050	\$	55.44
UNITED RENTALS INC Total			\$	55.44
VASQUEZ, MARTHA	2/14/2020	4002217 010	\$	204.18
VASQUEZ, MARTHA Total			\$	204.18
VISION SERVICE PLAN	2/7/2020	4002111 670	\$	12,499.59
	2/27/2020	4002385 670	\$	12,452.92
VISION SERVICE PLAN Total			\$	24,952.51
VISTA CENTER FOR THE BLIND &	2/14/2020	4002236 080	\$	1,409.40
VISTA CENTER FOR THE BLIND & Total			\$	1,409.40
VITO G DESIMONE	2/5/2020	4002044 080	\$	58.08
VITO G DESIMONE Total			\$	58.08
VOLER STRATEGIC ADVISORS	2/28/2020	4002404 010	\$	8,500.00
VOLER STRATEGIC ADVISORS Total			\$	8,500.00
W.W. GRAINGER INC.	2/13/2020	4002139 050	\$	2,268.61
	2/19/2020	4002261 050	\$	606.59
	2/28/2020	4002434 050	\$	313.30
W.W. GRAINGER INC. Total			\$	3,188.50
WELLS FARGO BANKS	2/20/2020	4002315 130	\$	8.12
WELLS FARGO BANKS Total			\$	8.12
WILLIAM V. MACGILL & CO.	2/5/2020	4002034 010	\$	660.96
	2/28/2020	4002435 060	\$	145.74
WILLIAM V. MACGILL & CO. Total			\$	806.70
WOODWIND & BRASSWIND INC.	2/5/2020	4002074 010	\$	786.51
WOODWIND & BRASSWIND INC. Total			\$	786.51
YANG, JIMMY SHIH-CHI AND	2/20/2020	4002309 010	\$	401.78
YANG, JIMMY SHIH-CHI AND Total			\$	401.78
YMCA OF SILICON VALLEY	2/13/2020	4002178 010	\$	5,000.00
	2/14/2020	4002237 010	\$	74,398.03
		060	\$	30,990.75
YMCA OF SILICON VALLEY Total			\$	110,388.78
ZAMORA'S OMELETTE HOUSE	2/13/2020	4002140 010	\$	173.08
ZAMORA'S OMELETTE HOUSE Total			\$	173.08
ZUM SERVICES INC	2/5/2020	4002075 010	\$	7,745.00
ZUM SERVICES INC Total			\$	7,745.00
Grand Total			\$	4,050,719.30

ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT
2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

11.05

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES

To the Board of Trustees:

February 27, 2020

Subject: Enrollment/Attendance Report for Month 6
(January 20, 2020 thru February 14, 2020)

Staff Analysis: The Enrollment/Attendance Report for the attendance February 14, 2020 provides information on how many students are enrolled at each school and what percent attended class. This report captures our ADA percentages for the first seven months of the school year. It establishes our Local Control Funding Formula (LCFF) for 2019/2020 school year.

Month 6

February 14, 2020
Regular Ed & SDC ADA

94.40%

Recommendation: Staff recommends acceptance of this month's Enrollment/Attendance Report.

Submitted by: Doug Durham Title: Student Data Coordinator

Approved by: Kolvira Chheng Title: Assistant Superintendent, Business Services

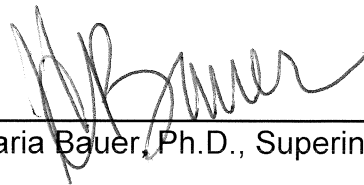
To the Board of Trustees:

Meeting: March 12, 2020
Regular Board Meeting

Recommend Approval

11.05

Agenda Placement



Hilaria Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

Enrollment/ADA 2019-2020 for Elementary and Middle Schools

Elementary	Month 1 8/20-9/13		Month 2 9/16 10/11		Month 3 10/14-11/08		Month 4 11/11-12/06		Month 5 12/09 - 01/17		Month 6 01/20 - 02/14		Month 7		Month 8		Month 9		Month 10		Month 11	
	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA
Adelante 2	253	97.69	252	96.69	251	96.67	253	96.38	249	94.91	248	93.86										
Adelante	531	97.92	528	96.95	524	96.78	527	95.58	525	95.18	523	94.68										
Aptitud	416	97.14	421	96.31	434	97.40	438	95.83	428	94.91	435	94.94										
Arbuckle	124	98.54	125	97.13	126	97.74	127	97.00	126	94.86	126	94.33										
Cassell	374	96.39	370	94.34	365	95.05	365	94.45	368	93.03	365	91.87										
Chavez	313	97.73	311	95.80	319	95.57	320	95.27	316	93.48	315	93.57										
Cureton	386	97.13	379	96.42	379	96.84	380	96.23	380	94.66	385	94.25										
Dorsa	359	97.94	363	95.93	367	96.57	365	96.16	365	95.22	364	94.47										
Hubbard	601	96.64	606	93.71	598	95.14	597	93.57	592	92.43	592	93.50										
Linda Vista	509	97.60	505	95.49	507	95.49	505	94.07	504	93.28	504	93.38										
Lucha	278	98.21	281	96.55	286	97.34	288	96.31	288	95.33	288	94.76										
Lyndale	289	96.66	306	96.24	308	96.52	308	95.34	309	93.94	312	94.11										
McCollam	545	97.09	549	95.39	547	96.44	547	95.83	541	94.22	538	94.29										
Meyer	367	97.67	367	96.13	366	96.16	363	95.73	355	94.36	355	94.05										
Painter	306	96.77	303	96.08	304	95.80	305	94.74	310	95.07	312	94.38										
Russo/McEntee	419	97.07	415	95.63	416	96.69	416	94.78	423	94.08	425	93.94										
Ryan	319	97.72	316	96.36	312	96.43	314	95.89	316	95.27	317	93.56										
San Antonio	339	97.33	333	95.80	336	95.58	331	95.67	328	93.81	329	93.67										

Middle	Month 1 8/20-9/13		Month 2 9/16 10/11		Month 3 10/14-11/08		Month 4 11/11-12/06		Month 5 12/09 - 01/17		Month 6 01/20 - 02/14		Month 7		Month 8		Month 9		Month 10		Month 11	
	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA	Enroll	ADA
Fischer	304	97.33	302	95.47	295	95.60	292	95.46	291	94.32	293	93.90										
George	514	96.55	506	95.30	502	95.06	501	94.89	500	93.91	500	94.36										
Mathson	245	95.05	245	94.52	247	95.00	247	94.56	244	94.80	250	94.40										
Ocala	447	97.54	453	95.33	458	96.04	460	95.13	456	94.97	461	94.88										
Renaissance	294	98.22	293	98.00	295	97.75	296	97.40	294	96.96	294	97.00										
Ren 2	269	97.46	267	96.84	268	97.04	270	98.04	271	97.91	271	98.00										
Sheppard	585	97.69	583	96.58	580	97.03	582	95.98	582	95.81	585	95.85										

Total Avg	9386	97.32	9379	95.96	9390	96.31	9397	95.61	9361	94.67	9387	94.40	0	#DIV/0!	0	#DIV/0!	0	#DIV/0!	0	#DIV/0!	0	#DIV/0!
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ALUM ROCK UNION ELEMENTARY SCHOOL DISTRICT

2930 Gay Avenue, San Jose, CA 95127

Office of Superintendent of Schools

11.06

ITEM REQUIRING ATTENTION - BOARD OF TRUSTEES


To the Board of Trustees:

Date: March 3, 2020

Subject: Santa Clara County Treasury Investment Portfolio Status

Staff Analysis: In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with county-policy, the Santa Clara County Treasury Investment Portfolio Report as of December 31, 2019 is submitted for the Board of Trustee's review and acceptance.

Recommendation: Staff recommends that the Board of Trustees approve the SCC Investment Portfolio Report as of December 31, 2019.

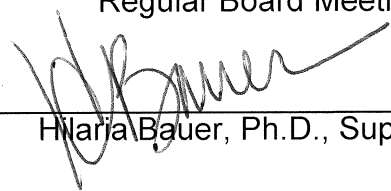
Approved by: Kolvira Chheng  Title: Assistant Superintendent, Business Services

To the Board of Trustees:

Meeting: March 12, 2020
Regular Board Meeting

Recommend Approval

11.06
Agenda Placement


Hilary Bauer, Ph.D., Superintendent

DISPOSITION BY BOARD OF TRUSTEES

Motion by: _____ Seconded by: _____

Approved: _____ Not Approved: _____ Tabled: _____

County of Santa Clara



Finance Agency Controller-Treasurer Department

County Government Center
70 W. Hedding Street, East Wing, 2nd Floor
San Jose, California 95110-1705
(408) 299-5200 FAX (408) 288-9237

February 10, 2020

Submitted by: Alan Minato
Alan Minato, Director of Finance

TO: BOARD OF TRUSTEES, SANTA CLARA COUNTY SCHOOL DISTRICTS
BOARDS OF DIRECTORS, SANTA CLARA COUNTY SPECIAL PURPOSE DISTRICTS

FROM: ANNIE TOM, ACTING ASSISTANT CONTROLLER-TREASURER Annie Tom

SUBJECT: COUNTY OF SANTA CLARA TREASURY INVESTMENT PORTFOLIO STATUS

RECOMMENDATION

Receive and file the December 31, 2019 Detailed Investment Portfolio Listing.

DISCUSSION

In compliance with the State of California Government Code as amended by Chapters 783 and 784, Statutes of 1995 and in compliance with County Policy, the Santa Clara County Treasury Investment Portfolio Report as of December 31, 2019 is submitted for your review and acceptance.

The attached detailed investment reports list each investment of the County Treasury Pool as well as individual reports for specific investment funds that each school district or special district has in the County Treasury. The reports include the respective purchase and maturity dates, par value, amortized cost, market value, and yield to maturity for each investment.

A summary of market value versus cost is provided below for Commingled Investments of the County Pool.

	Cost	Market Value	Increase (Decrease)	Percent
Commingled Investments	\$9,363,178,207	\$9,391,209,924	\$28,031,717	0.30%

The yield of the pool on December 31, 2019 was 1.97%. As a comparison, on December 31, 2019 the yield of a 6-month Treasury Bill was 1.58%. A two-year Treasury Note was 1.57%. The State of California Local Agency Investment Fund (LAIF) yield was 2.04%.

Attached with the current investment strategy is a schedule that lists the average weighted maturities and yield for the Commingled Treasury Pool. Charts outlining investment concentration and distribution of bond maturities are provided for the Pool. Also included is a chart showing the one-year history of the Pool along with interest rates offered by selected comparable instruments.

Securities are purchased with the expectation that they will generally be held to maturity, hence unrealized gains or losses are not reflected in the yield calculations.

The market values of Pool securities were taken from pricing services provided by Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

A combination of securities maturing, new revenues, and tax receipts will adequately cover the anticipated cash flow needs for the next six months. Cash flows are continually monitored and are considered paramount in the selection of securities purchased for the Pool.

Attachments:

December 31, 2019 Quarterly Investment Summary



Quarterly Investment Report

December 31, 2019



Quarterly Investment Review Table of Contents

Quarterly Investment Report Table of Contents

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Board of Supervisors: Mike Wasserman, Cindy Chavez, Dave Cortese, Susan Ellenberg, S. Joseph Simitian

County Executive: Jeffrey V. Smith

Santa Clara County Commingled Pool and Segregated Investments



December 31, 2019

Fund	Cost Value**	Market Value	Variance	% Variance
Commingled Investment Pool	\$9,363,178,207	\$9,391,209,924	\$28,031,717	0.30%
Worker's Compensation	\$29,186,516	\$29,485,102	\$298,586	1.02%
Park Charter Fund	\$4,297,167	\$4,338,069	\$40,902	0.95%
San Jose-Evergreen	\$20,766,956	\$20,789,833	\$22,876	0.11%
Medical Malpractice Insurance Fund (1)	\$9,457,139	\$9,562,369	\$105,230	1.11%
Total	\$9,426,885,985	\$9,455,385,297	\$28,499,312	0.30%

(1) Managed by Chandler Asset Management, Inc.

Summary of Yields* for Select Santa Clara County Investment Funds

Fund	2019		2018
	Oct 31	Nov 30	Dec 31
Commingled Investment Pool	2.09%	2.05%	1.97%
Worker's Compensation	2.15%	2.05%	2.04%
Weighted Yield	2.09%	2.05%	1.97%

*Yield to maturity (YTM) is the rate of return paid on a bond, note, or other fixed income security if the investor buys and holds it to its maturity date and if the coupon interest paid over the life of the bond is reinvested at the same rate as the coupon rate. The calculation for YTM is based on the coupon rate, length of time to maturity, and market price at time of purchase.

Yield is a snapshot measure of the yield of the portfolio on the day it was measured based on the current portfolio holdings on that day. This is not a measure of total return, and is not intended to be, since it does not factor in unrealized capital gains and losses and reinvestment rates are dependent upon interest rate changes

**Cost Value is the amortized book value of the securities as of the date of this report.

Santa Clara County Commingled Pool and Segregated Investments



Portfolio Strategy

December 31, 2019

Despite months of tension and acrimonious trade negotiations between the U.S. and China, the S&P 500 equity index posted a very healthy gain of 29 percent for 2019, its best return since 2013 and U.S. gross domestic product (GDP) expanded at a 2.1 percent during the quarter ending December 31, 2019. Full-year GDP grew 2.3 percent in 2019. The current U.S. economic recovery, the longest on record, began in June 2009 and is now in its 127th month. Further reduction in borrowing costs, signs of stabilization in global manufacturing and healthy job creation, all helped bolster GDP. The yield of the benchmark 10-year Treasury Note fell to 1.46%, from 2.68%, as a result of Federal Reserve Bank interest rate cuts in the second half of the year. Labor markets exceeded expectations for the latter half of 2019, with unemployment at 3.5 percent, the lowest since December 1969, and with wage increases approaching 4 percent, particularly among average, non-supervisory workers. November posted a particularly strong payroll gain of 266,000.

In January of 2020, the United States and China, signed the first phase of a trade agreement that carries the expectation that China will purchase an additional \$200.0 billion of American goods over the next 24 months. The agreement leaves in place tariffs on \$370 billion in imports from China. President Donald Trump also signed a new trade pact with Canada and Mexico, extending the life of the trading bloc created by North American Free Trade Agreement (NAFTA) but with important updates. The White House is now turning its attention to trade relationship with other nations and regions including the United Kingdom, Africa and the European Union, with whom trade relations have already begun to sour.

Even with an improving trade outlook and the resulting clear positive-sentiment shift in financial markets, weakness persists in the manufacturing sector. Manufacturing does appear to be emerging from its contraction that lasted two quarters in 2019. Nonetheless, only three sector industries reported growth in December. Expansion in December equaled September 2019 which was the worst showing since early 2009. Transportation equipment industries again had the fastest contraction in new orders in December; this category includes both autos and aerospace equipment. Economists suggest demand may take some time to recover but, ultimately, companies could remain wary until a more comprehensive China trade agreement is reached. Fortunately, the services sector continues to strengthen and expand. This sector will need to remain firm in order to support job growth and more broadly, consumer income. Eleven non-manufacturing industries reported growth in December.

Santa Clara County Commingled Pool and Segregated Investments



Portfolio Strategy

December 31, 2019

Strength was concentrated in the areas most reflective of pure services sectors and consumer strength, including health care, management and support services, accommodation and food services, finance and retail trade. Of note, the real estate sector is poised for a stronger 2020, owing to lower interest rates after a dismal performance in 2018. Builders and consumers are optimistic, permits are rising, and solid pent up demand exists. Yet, certain constraints may hamper growth, including robust demand for limited building supplies, restrictive zoning regulations, and limited secondary housing stock with many baby boomers opting to retain their original homes. Residential construction outlays increased at a 5.8 percent rate in the fourth quarter, the strongest in two years, and followed a 4.6 percent advance in the third quarter.

While the Federal Reserve Bank policy makers have signaled that they will hold rates steady unless a major shift in outlook occurs, further weakness in inflation could spur policy makers to further lower interest rates in 2020. Three rate reductions occurred in 2019. Policy makers have said it is too premature to respond to the potential economic implications of the coronavirus. Meanwhile, the Fed's preferred underlying inflation measure, the personal consumption expenditures price index excluding food and energy, rose at a 1.3 percent annualized pace in the quarter, well below policy makers' 2 percent inflation goal.

The portfolio strategy continues to focus on the:

- (1) acquisition of high-quality issuers;
- (2) identifying and selecting bonds with attractive valuations;
- (3) appropriately sizing the liquidity portion of the portfolio to ensure adequate cash for near term obligations; and
- (4) ensuring that monies targeted for longer term investments are deployed in vehicles with favorable risk-adjusted yields.

Broker-dealers have generally down-sized the amount of securities carried in inventories in response to risk-curbing rules crafted after the 2008 financial crisis. These risk curbing rules include the international regulatory framework for banks called Basel III and the U.S. 2010 Dodd-Frank Law. The Treasury Division has increased its capability to review a larger volume of inventory listings to find attractive bonds. Portfolio structuring does not solely rely on interest rate anticipation strategies, which primarily speculate on the direction of interest rates to earn favorable returns.



Santa Clara County Commingled Pool and Segregated Investments

Portfolio Compliance, Review, and Monitoring

December 31, 2019

Yield and Weighted Average Maturity

The yield of the Commingled Pool is 1.97 and the weighted average life is 471 days.

Compliance

The County Treasurer believes the Commingled Pool contains sufficient cash flow from liquid and maturing securities, bank deposits and incoming cash to meet the next six months of expected expenditures.

Review and Monitoring

FTN Financial Main Street Advisors, the County's investment advisor, currently monitors the Treasury Department's investment activities.

Additional Information

Securities are purchased with the expectation that they will be held to maturity, so unrealized gains or losses are not reflected in the yield calculations. The market values of securities were taken from pricing services provided by the Bank of New York Mellon, Bloomberg Analytics, dealer quotes, and an independent pricing service.

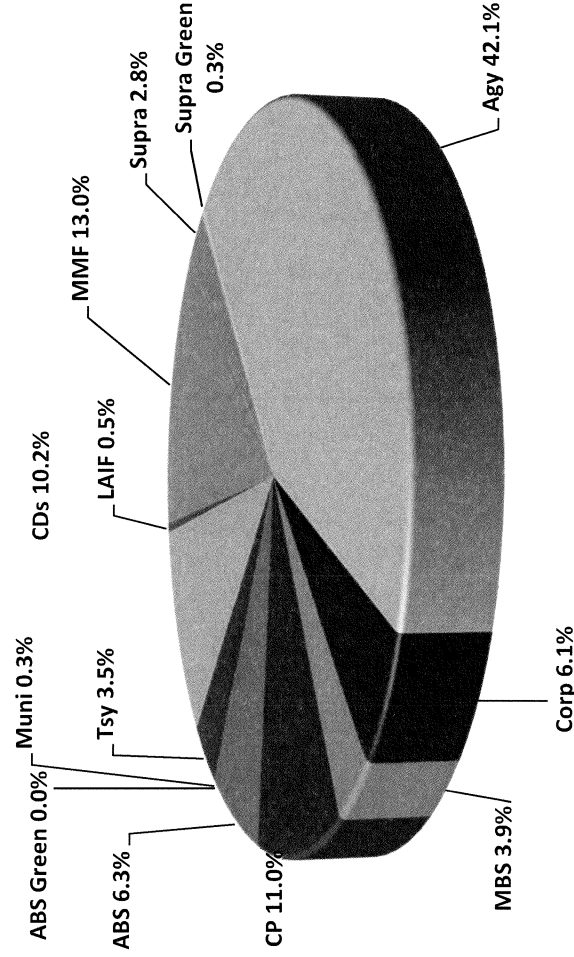


Santa Clara County Commingled Pool

Allocation by Security Types

December 31, 2019

Sector	12/31/2019	9/30/2019	% Chng
Federal Agencies	42.06%	49.63%	-7.6%
Corporate Bonds	6.11%	7.16%	-1.1%
Mortgage Backed Securities	3.88%	4.99%	-1.1%
Commercial Paper	10.99%	8.84%	2.1%
ABS	6.33%	7.92%	-1.6%
ABS Green Bonds	0.00%	0.00%	0.0%
Municipal Securities	0.34%	0.44%	-0.1%
U.S. Treasuries	3.52%	0.21%	3.3%
Negotiable CDs	10.20%	4.60%	5.6%
LAIF	0.45%	0.59%	-0.1%
Money Market Funds	13.05%	12.53%	0.5%
Supranationals	2.81%	2.75%	0.1%
Supranationals Green Bonds	0.27%	0.35%	-0.1%
Total	100.00%	100.00%	



Sector	12/31/2019	9/30/2019
Federal Agencies	3,937,928,439	3,563,469,579
Corporate Bonds	572,003,613	514,048,492
Mortgage Backed Securities	363,586,967	358,124,917
Commercial Paper	1,028,835,149	634,857,044
ABS	592,684,553	568,707,478
ABS Green Bonds	-	-
Municipal Securities	31,423,357	31,429,925
U.S. Treasuries	329,842,654	14,991,821
Negotiable CDs	955,000,000	330,000,000
LAIF	42,306,613	42,047,613
Money Market Funds	1,221,663,058	899,494,058
Supranational	262,903,805	197,291,456
Supranationals Green Bonds	25,000,000	25,000,000
Total	9,363,178,207	7,179,462,383

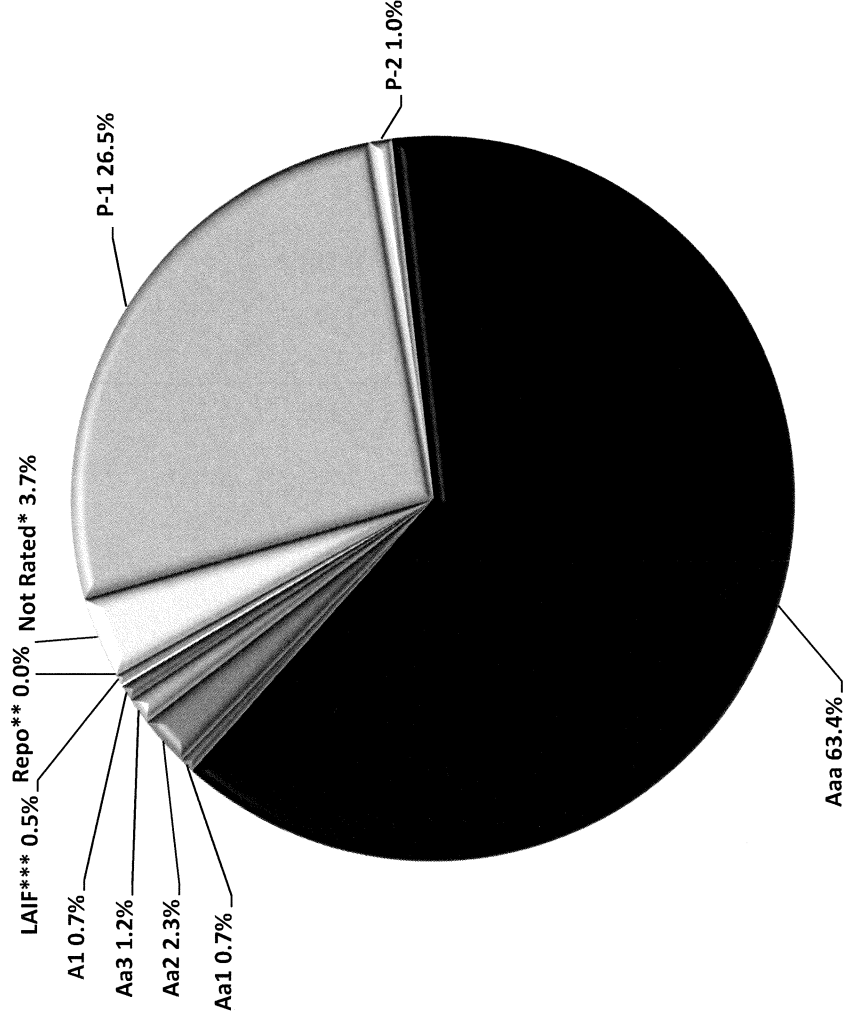
Amounts are based on book value



Santa Clara County Commingled Pool

Allocation by Ratings

December 31, 2019



Moody's Rating	Portfolio \$	Portfolio %
P-1	2,484,656,162	26.5%
P-2	93,551,816	1.0%
Aaa	5,936,315,940	63.4%
Aa1	62,324,595	0.7%
Aa2	213,109,845	2.3%
Aa3	113,620,370	1.2%
A1	65,414,274	0.7%
A2	9,999,769	0.1%
A3	-	0.0%
LAIF***	42,306,613	0.5%
Repo**	-	0.0%
Not Rated*	341,878,823	3.7%
Total	9,363,178,207	100.0%

*Not Rated by Moody's but A-1+ by S&P

**Repurchase Agreements are not rated, but are collateralized by U.S. Treasury securities or securities issued by the Federal Agencies of the U.S.

***LAIF is not rated, but is comprised of State Code allowable securities

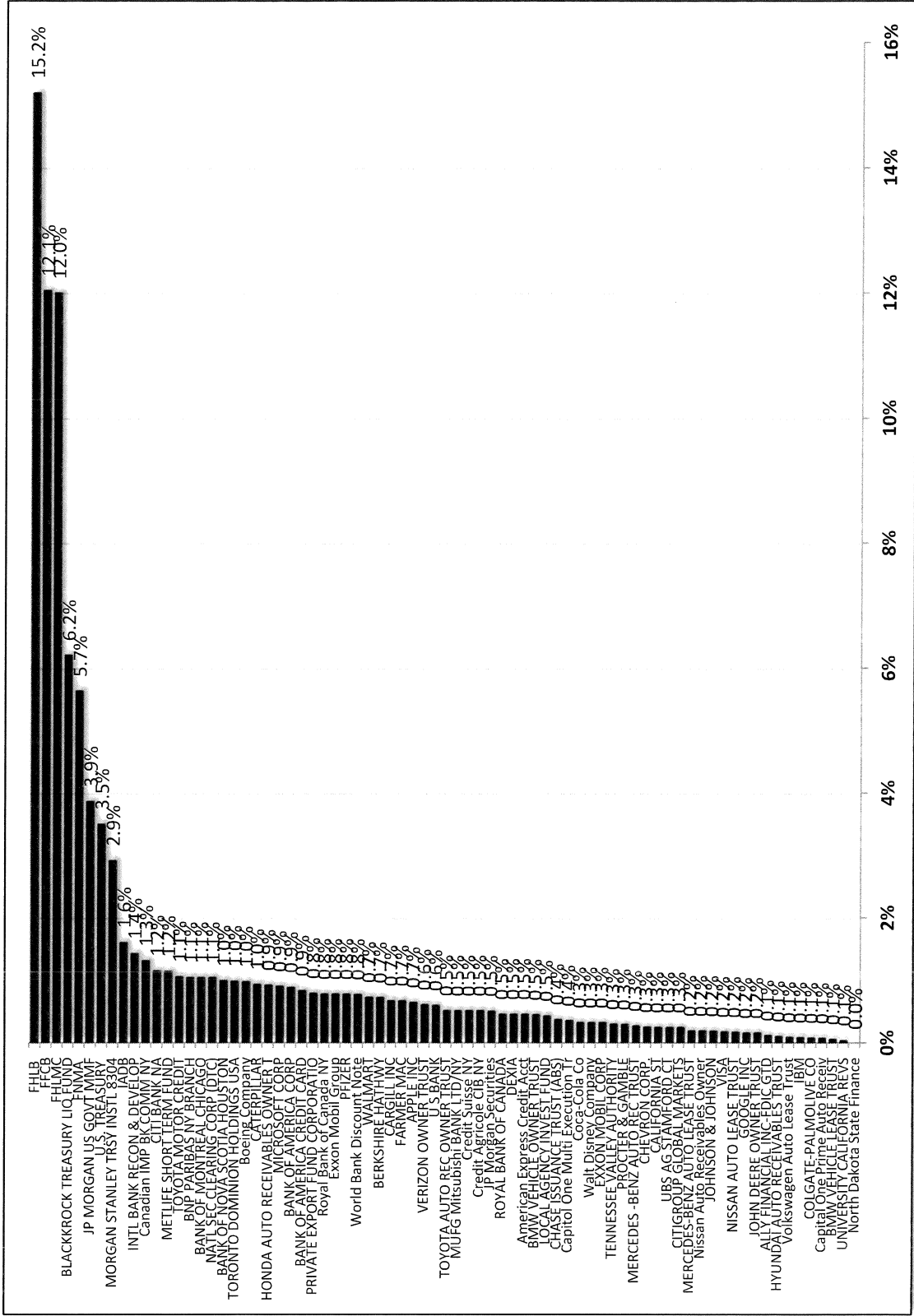
Amounts are based on book values



Santa Clara County Commingled Pool

Holdings by Issuer - Percent of Commingled Pool

December 31, 2019

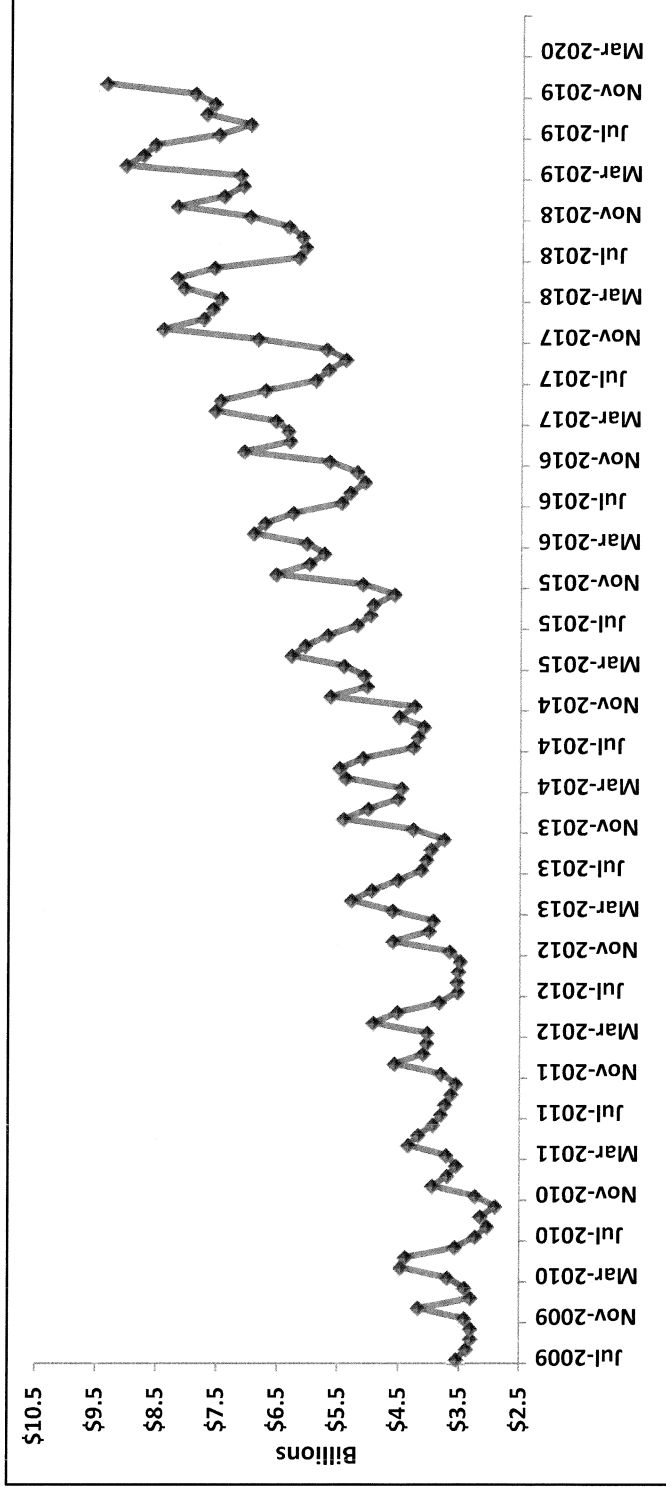


Amounts are based on book values



Santa Clara County Commingled Pool Historical Month End Book Values

December 31, 2019



Fiscal Year	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun
FY 2010	\$3.541	\$3.373	\$3.307	\$3.307	\$3.408	\$4.175	\$3.307	\$3.408	\$3.687	\$4.463	\$4.384	\$3.536
FY 2011	\$3.230	\$3.032	\$3.143	\$2.898	\$3.227	\$3.943	\$3.695	\$3.551	\$3.712	\$4.339	\$4.179	\$3.935
FY 2012	\$3.801	\$3.736	\$3.637	\$3.555	\$3.805	\$4.567	\$4.097	\$4.040	\$4.032	\$4.926	\$4.525	\$3.833
FY 2013	\$3.508	\$3.517	\$3.515	\$3.469	\$3.645	\$4.600	\$3.918	\$3.982	\$4.606	\$5.286	\$4.952	\$4.521
FY 2014	\$4.133	\$4.052	\$3.975	\$3.758	\$4.271	\$5.419	\$5.019	\$4.520	\$4.461	\$5.386	\$5.487	\$5.108
FY 2015	\$4.267	\$4.194	\$4.096	\$4.051	\$4.247	\$5.639	\$5.045	\$5.085	\$5.420	\$6.284	\$6.065	\$5.690
FY 2016	\$5.212	\$4.990	\$4.941	\$4.587	\$5.120	\$6.543	\$5.997	\$5.752	\$6.040	\$6.911	\$6.728	\$6.263
FY 2017	\$5.469	\$5.328	\$5.088	\$5.220	\$5.671	\$7.082	\$6.319	\$6.348	\$6.550	\$7.556	\$7.469	\$6.730
FY 2018	\$5.898	\$5.689	\$5.408	\$5.720	\$6.850	\$8.427	\$7.754	\$7.608	\$7.472	\$8.079	\$8.192	\$7.584
FY 2019	\$6.180	\$6.068	\$6.127	\$6.350	\$6.987	\$8.199	\$7.425	\$7.105	\$7.151	\$9.054	\$8.767	\$8.565
FY 2020	\$7.510	\$6.984	\$7.179	\$7.583	\$7.909	\$9.363						

Amounts in billions

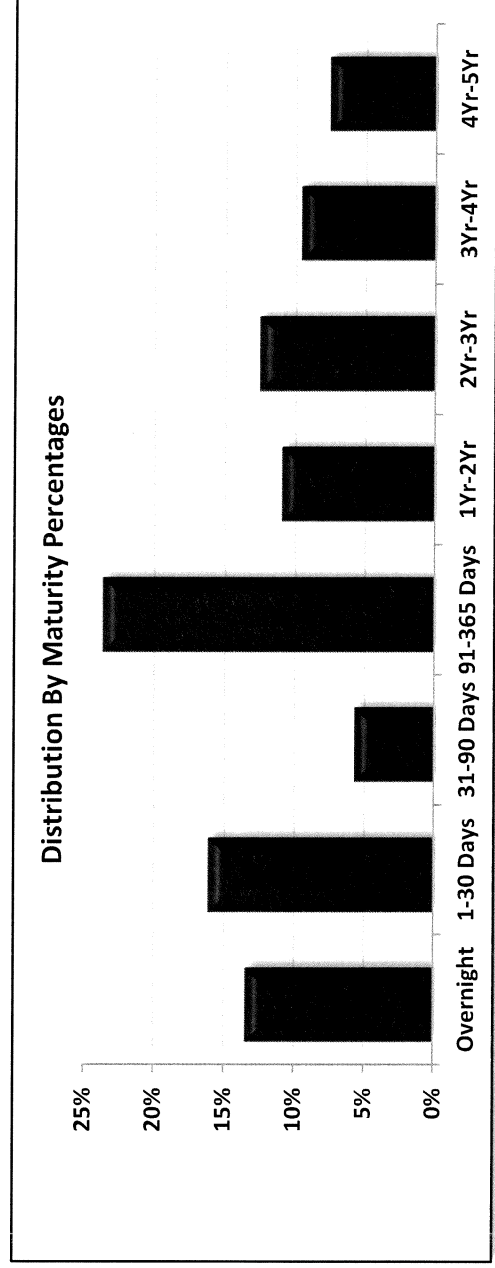
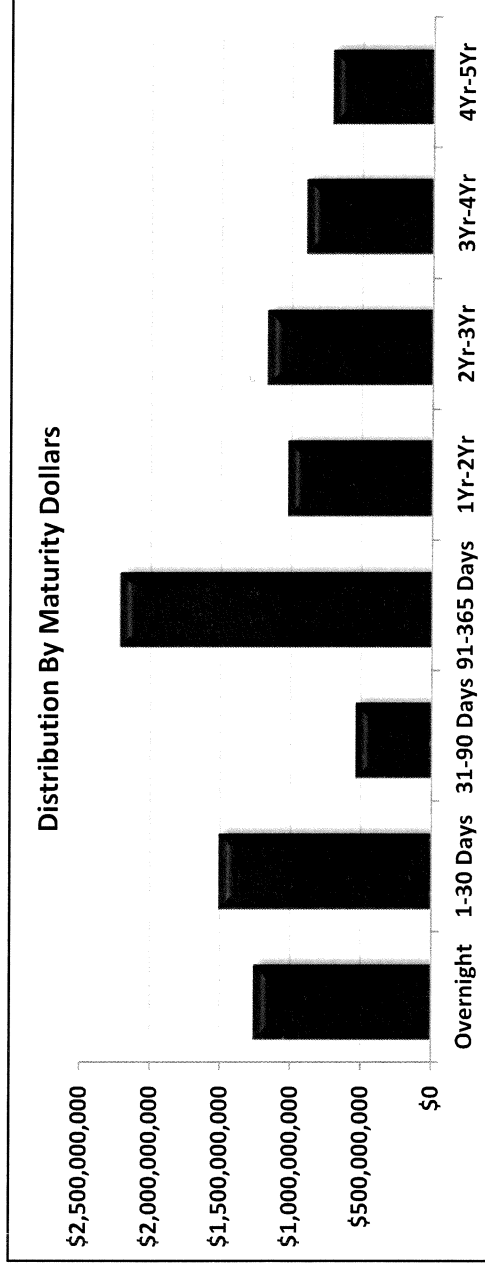


Santa Clara County Commingled Pool

Distribution by Maturity

December 31, 2019

Maturity	Amount*
Overnight	1,263,969,671
1-30 Days	1,512,960,359
31-90 Days	539,506,755
91-365 Days	2,216,712,916
1Yr-2Yr	1,030,037,750
2Yr-3Yr	1,178,648,645
3Yr-4Yr	902,904,300
4Yr-5Yr	718,437,811
	9,363,178,207



Maturity	Amount*
Overnight	13.50%
1-30 Days	16.16%
31-90 Days	5.76%
91-365 Days	23.67%
1Yr-2Yr	11.00%
2Yr-3Yr	12.59%
3Yr-4Yr	9.64%
4Yr-5Yr	7.67%
	100.00%

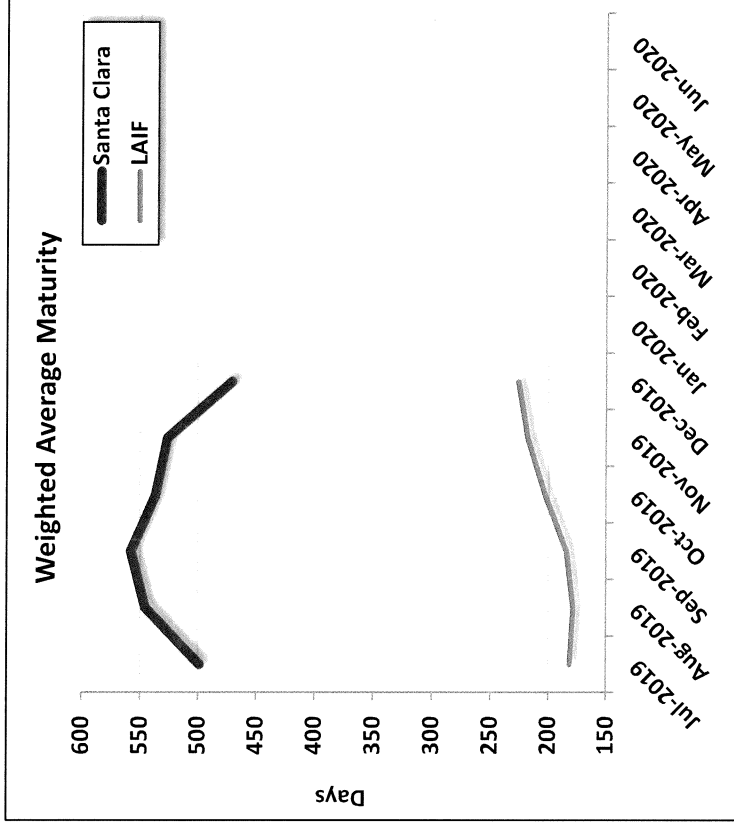
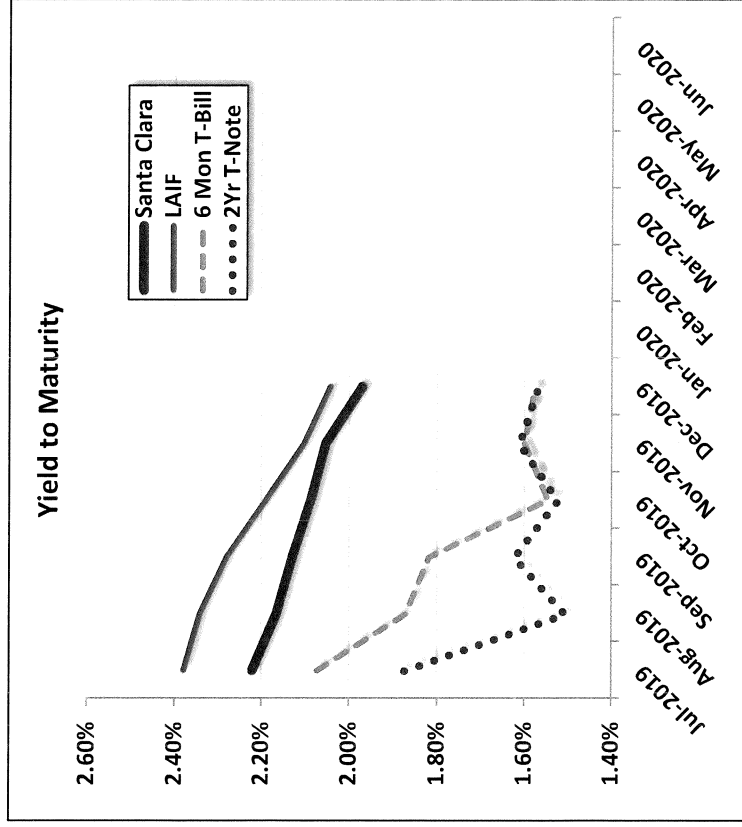
*Amounts are based on book value



Santa Clara County Commingled Pool

Yield to Maturity and Weighted Average Maturity

December 31, 2019



Item	Jul-19	Aug-19	Sep-19	Oct-19	Nov-19	Dec-19	Jan-20	Feb-20	Mar-20	Apr-20	May-20	Jun-20
SCC YTM	2.22%	2.17%	2.13%	2.09%	2.05%	1.97%						
LAIF YTM	2.38%	2.34%	2.28%	2.19%	2.10%	2.04%						
6 Mon T-Bill	2.07%	1.87%	1.82%	1.55%	1.60%	1.58%						
2Yr T-Note	1.87%	1.51%	1.62%	1.52%	1.61%	1.57%						
SCC WAM	499	546	558	537	527	471						
LAIF WAM	182	179	185	203	218	226						

Santa Clara County

Approved Issuers and Broker/Dealers

December 31, 2019

Direct Commercial Paper Issuers

Toyota Motor Credit
US Bank, NA
Dexia Credit Local, NY

Broker/Dealers

Academy Securities, Inc
Bank of America Merrill Lynch
Barclays Capital, Inc
BMO Capital Markets
BNP Paribas Securities Corp
BNY Mellon Capital Markets, LLC
BOK Financial Securities (Bank of Oklahoma)
Brean Capital LLC
Cantor Fitzgerald & Co
Citigroup Global Markets Inc
Daiwa Capital Markets America Inc
Deutsche Bank Securities Inc
FTN Financial Capital Markets
Incapital LLC
Jefferies & Co
JP Morgan Securities, Inc
Keybank Capital Markets, Inc
Loop Capital Markets LLC
Mizuho Securities USA, Inc
MUFG Securities USA LLC
Raymond James, Inc.
RBC Capital Markets, Inc
UBS Financial Service Inc
Vining Sparks LP
Williams Capital



Santa Clara County Commingled Pool **Compliance with Investment Policy**

December 31, 2019



Parameters		In Compliance
Item/Sector		
Maturity	Weighted Average Maturity (WAM) must be less than 24 months	Yes
Interest Periods	Securities must pay interest within one year of the initial investment and at least semiannually in subsequent years	Yes
Investment Swaps	Similar maturity swaps, so as not to affect cash flow needs, should have minimum 5 basis point gain	Yes
Issuer Limits	No more than 5% of the portfolio shall be invested in aggregate of any single institution of the following types: Bankers Acceptances, CP, Negotiable CDs, and Corporate Notes	Yes
U.S. Treasuries	No sector limit, no issuer limit, max maturity 5 years	Yes
U.S. Federal Agencies	No sector limit, no issuer limit, max maturity 5 years	Yes
LAIF	No sector limit, no issuer limit, CA State's deposit limit \$65 million	Yes
Repurchase Agreements	No sector limit, no issuer limit, max maturity 92 days, treasury and agency collateral at 102% of investment, if maturity exceeds 15 days, must be collateralized by securities with 5 years or less maturities	Yes
Commercial Paper	Sector limit 40%, issuer limit 5%, max maturity 270 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by domestic corporation w/ at least \$500 mil of assets, and long term debt rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Corporate Bonds	Sector limit 30%, issuer limit 5%, max maturity 5 years, rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's), issued by domestic corps/depositories	Yes
Money Market Funds	Sector limit 20%, issuer limit 10%, rated by at least two: AAA-m (S&P/Fitch)/Aaa-mf (Moody's), MMF has at least \$500 mil managed	Yes
Negotiable Certificates of Deposit	Sector limit 30%, issuer limit 5%, max maturity 5 years, if under 1 year rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), if greater than 1 year rated by at least two: AA- (S&P/Fitch)/Aa3 (Moody's)	Yes
Municipal Securities	Sector limit 10%, no issuer limit, State of CA, local CA agencies, and other municipal securities of the other 49 states, if long-term rated, then by at least two: A- (S&P/Fitch)/A3 (Moody's), if short-term rated, then by at least two: SP-1 (S&P), MIG-1 (Moody's), F-1 (Fitch), revenue based bonds payable solely out of the States' or local agencies' revenues	Yes
Mortgage-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of conforming residential mortgage loans insured by FHLMC/FNMA and residential mortgages guaranteed by FHA (GNMA)	Yes
Asset-Backed Securities	Sector limit 20% in aggregate with ABS, no issuer limit, max maturity 5 years, collateralized by pools of loans such as installment/receivables, security must be rated by at least two: AA- (S&P/Fitch), Aa3 (Moody's), issuer rated by at least two: A- (S&P/Fitch), A3 (Moody's)	Yes
Supranational Debt Obligations	Sector limit 10%, max maturity 5 years, issued or unconditionally guaranteed by the IBRD, rated by at least two: AAA (S&P/Fitch), Aaa (Moody's)	Yes
Bankers' Acceptances	Sector limit 40%, issuer limit 5%, max maturity 180 days, rated by at least two: A-1 (S&P), P-1 (Moody's), F-1 (Fitch), issued by commercial banks, collateral must exceed market value of security by 2%	Yes, None in Portfolio
Securities Lending	Sector limit 20%, max maturity 92 days for loans and reinvestment, loan counterparty must be a primary dealer, loaned securities must be owned for at least 30 days	Yes, None in Portfolio



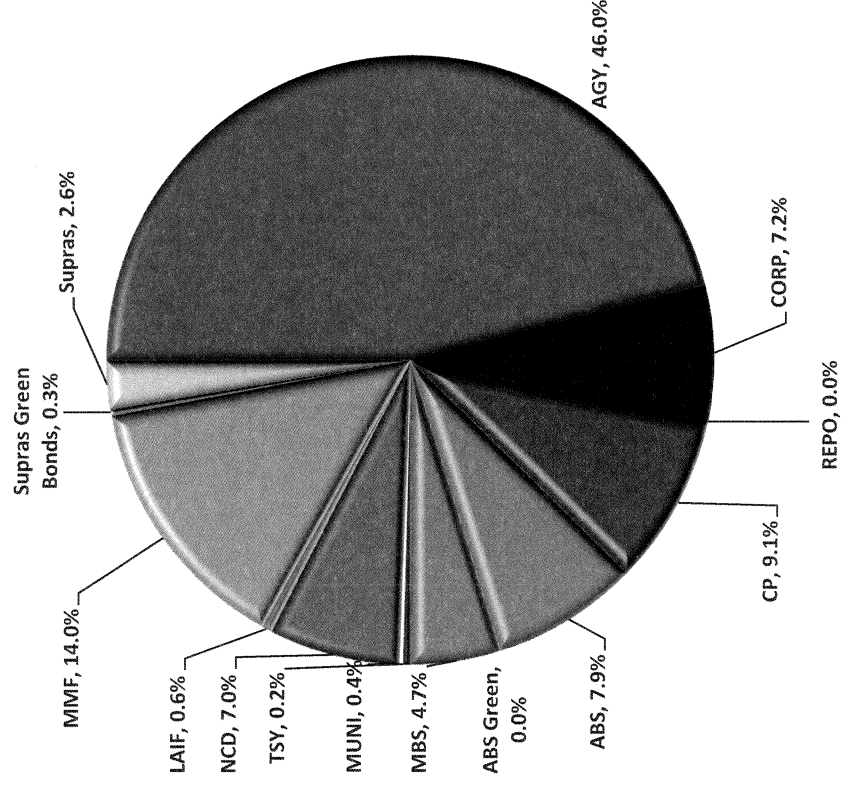
Santa Clara County Commingled Pool

Allocation by Security Types

For the Month Ended October 31, 2019

Average Daily Balance	\$ 7,195,948,512.00
Book Yield	2.088%
Weighted Average Maturity	537 Days

Asset Allocation By Market Value



Investment Type	Par Value (Millions)	Book Value* (Millions)	Value (Millions)
Federal Agencies	\$ 3,490.01	\$ 3,489.90	\$ 3,508.42
Corporate Bonds	542.89	544.03	549.59
Repurchase Agreements	-	-	-
Commercial Paper	693.00	689.49	689.84
Asset-Backed Securities	596.25	596.13	599.82
Asset-Backed Sec Green Bds	-	-	-
Mortgage Backed Securities	353.88	353.99	361.43
Municipal Securities	31.39	31.43	31.51
U.S. Treasuries	15.00	14.99	15.11
Negotiable CDs	530.00	530.00	530.40
LAIF	42.31	42.31	42.31
Money Market Funds	1,068.86	1,068.86	1,068.86
Supranationals Green Bonds	25.00	25.00	24.99
Supranationals	197.00	197.29	197.88
Total	\$ 7,585.59	\$ 7,583.42	\$ 7,620.16

*Represents Amortized Book Value



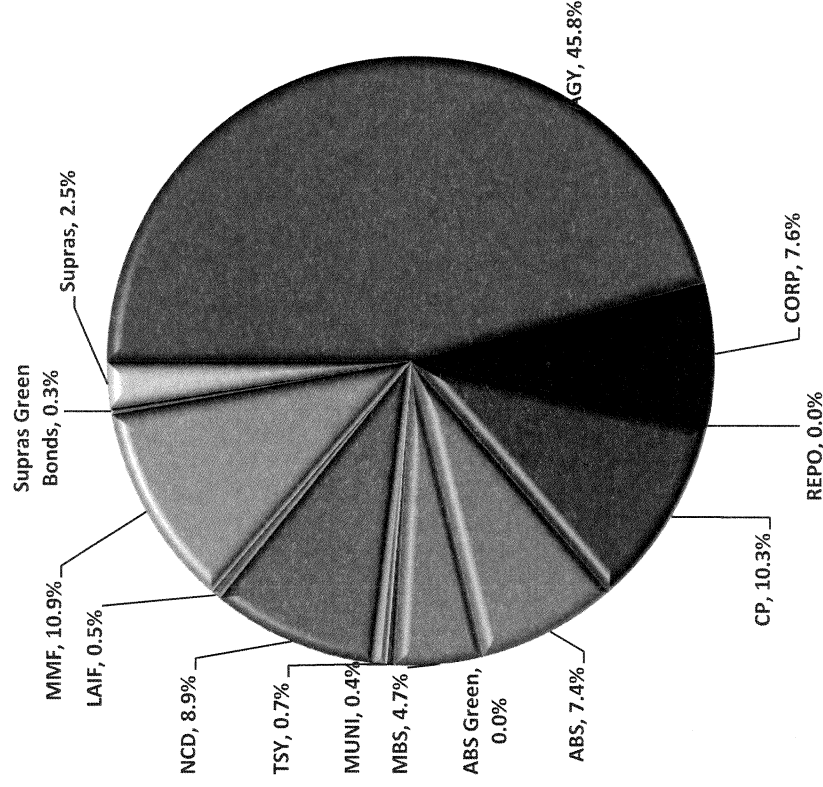
Santa Clara County Commingled Pool

Allocation by Security Types

For the Month Ended November 30, 2019

Average Daily Balance	\$ 7,676,262,707.51
Book Yield	2.054%
Weighted Average Maturity	527 Days

Asset Allocation By Market Value



Investment Type	Par Value (Millions)	Book Value* (Millions)	Value (Millions)
Federal Agencies	\$ 3,624.84	\$ 3,625.31	\$ 3,639.93
Corporate Bonds	594.77	596.75	601.41
Repurchase Agreements	-	-	-
Commercial Paper	818.00	814.47	814.63
Asset-Backed Securities	587.94	587.82	591.17
Asset-Backed Sec Green Bds	-	-	-
Mortgage Backed Securities	364.11	364.32	370.99
Municipal Securities	31.39	31.43	31.49
U.S. Treasuries	55.00	54.99	55.08
Negotiable CDs	705.00	705.00	705.33
LAIF	42.31	42.31	42.31
Money Market Funds	864.00	864.00	864.00
Supranationals Green Bonds	25.00	25.00	24.99
Supranationals	197.00	197.28	197.75
Total	\$ 7,909.37	\$ 7,908.68	\$ 7,939.09

*Represents Amortized Book Value

SANTA CLARA COUNTY INVESTMENTS
Fund COMM - COMMINGLED POOL
Investments by Fund
December 31, 2019

CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Days To Date Maturity
Negotiable CDs										
06052TF70	39679	BANK OF AMERICA CORP	07/12/2019	45,000,000.00	45,000,000.00	45,018,405.00	2.150	2.121	2.151	02/05/2020 35
06052TF96	39743	BANK OF AMERICA CORP	08/15/2019	40,000,000.00	40,000,000.00	40,005,160.00	2.010	2.010	2.037	01/17/2020 16
06367BBQ1	40090	BANK OF MONTREAL CHICAGO	12/04/2019	50,000,000.00	50,000,000.00	49,992,000.00	1.830	1.830	1.855	06/26/2020 177
06367BBX6	40118	BANK OF MONTREAL CHICAGO	12/09/2019	50,000,000.00	50,000,000.00	49,981,800.00	1.800	1.800	1.825	07/31/2020 212
05586FXA0	39304	BNP PARIBAS NY BRANCH	03/13/2019	50,000,000.00	50,000,000.00	50,057,250.00	2.690	2.690	2.727	02/21/2020 51
05586FKT3	39986	BNP PARIBAS NY BRANCH	10/29/2019	50,000,000.00	50,000,000.00	49,994,300.00	1.860	1.859	1.885	06/26/2020 177
06417G5U8	39351	BANK OF NOVA SCOTIA HOUSTON	03/27/2019	45,000,000.00	45,000,000.00	45,017,550.00	2.580	2.580	2.615	01/17/2020 16
06417MEF8	39984	BANK OF NOVA SCOTIA HOUSTON	10/29/2019	50,000,000.00	50,000,000.00	49,998,450.00	1.880	1.880	1.906	07/30/2020 211
22535CJM4	40075	Credit Agricole CIB NY	11/25/2019	50,000,000.00	50,000,000.00	49,987,400.00	1.820	1.795	1.820	07/24/2020 205
13606B7L4	40004	Canadian IMP BK COMM NY	11/01/2019	75,000,000.00	75,000,000.00	74,961,450.00	1.830	1.830	1.855	07/17/2020 198
13606C2P8	40061	Canadian IMP BK COMM NY	11/20/2019	50,000,000.00	50,000,000.00	49,970,000.00	1.800	1.800	1.825	08/28/2020 240
17305TK50	39671	CITI BANK NA	07/10/2019	35,000,000.00	35,000,000.00	35,004,550.00	2.190	2.190	2.220	01/10/2020 9
17305TM25	40107	CITI BANK NA	12/06/2019	30,000,000.00	30,000,000.00	30,000,720.00	1.840	1.840	1.865	07/10/2020 191
17305TN65	40127	CITI BANK NA	12/10/2019	45,000,000.00	45,000,000.00	44,993,565.00	1.830	1.830	1.855	08/03/2020 215
22549LC37	39990	Credit Suisse NY	10/30/2019	50,000,000.00	50,000,000.00	49,987,000.00	1.910	1.909	1.936	07/31/2020 212
25215FES9	39336	DEXIA	03/22/2019	45,000,000.00	45,000,000.00	45,023,813.10	2.550	2.550	2.585	01/22/2020 21
55379W5A0	39994	MUFG Mitsubishi BANK LTD/NY	10/30/2019	50,000,000.00	50,000,000.00	50,003,550.00	1.920	1.920	1.946	07/24/2020 205
78012UMJ1	39300	ROYAL BANK OF CANADA	03/12/2019	45,000,000.00	45,000,000.00	45,013,005.00	2.640	2.640	2.676	01/13/2020 12
78012USC0	40122	Royal Bank of Canada NY	12/10/2019	50,000,000.00	50,000,000.00	49,995,600.00	1.820	1.820	1.845	07/31/2020 212
78012USE6	40133	Royal Bank of Canada NY	12/11/2019	25,000,000.00	25,000,000.00	24,997,600.00	1.820	1.796	1.821	07/24/2020 205
90275DJV3	39800	UBS AG STAMFORD CT	09/03/2019	25,000,000.00	25,000,000.00	25,006,100.00	2.000	2.000	2.027	03/06/2020 65
Subtotal and Average				955,000,000.00	955,000,000.00	955,009,268.10		2.028	2.057	143

Mortgage Backed Securities (MBS)

3137AYCE9	38387	FHLMC Multi-Family	01/12/2018	20,157,000.00	20,000,000.00	20,335,956.00	2.682	2.443	2.477	10/25/2022 1,028
3137AYCE9	38391	FHLMC Multi-Family	01/16/2018	7,555,078.13	7,500,000.00	7,625,983.50	2.682	2.454	2.488	10/25/2022 1,028
3137AWQH1	38465	FHLMC Multi-Family	02/22/2018	5,460,553.13	5,580,000.00	5,618,587.37	2.307	3.155	3.198	08/25/2022 967
3137B36J2	38643	FHLMC Multi-Family	03/20/2018	20,345,312.50	20,000,000.00	20,746,126.00	3.320	2.865	2.905	02/25/2023 1,151
3137AYCE9	38666	FHLMC Multi-Family	04/17/2018	11,616,539.06	11,745,000.00	11,942,290.16	2.682	2.879	2.919	10/25/2022 1,028
3137AYCE9	38744	FHLMC Multi-Family	06/05/2018	19,788,281.25	20,000,000.00	20,335,956.00	2.682	2.877	2.917	10/25/2022 1,028
3137B4WB8	38854	FHLMC Multi-Family	09/12/2018	4,768,285.16	4,775,000.00	4,932,910.68	3.060	2.968	3.009	07/25/2023 1,301

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Fund COMM - COMMINGLED POOL
Investments by Fund
December 31, 2019

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Days To Date Maturity
Mortgage Backed Securities (MBS)										
3137B5JM6	38864	FHLMC Multi-Family	09/25/2018	10,135,937.50	10,000,000.00	10,489,134.00	3.531	2.710	2.748	07/25/2023 1,301
3137B3NA2	38945	FHLMC Multi-Family	11/02/2018	10,605,782.00	10,605,782.00	10,984,131.61	3.250	3.173	3.217	04/25/2023 1,210
3137B5KW2	39026	FHLMC Multi-Family	12/03/2018	10,092,187.50	10,000,000.00	10,469,254.00	3.458	3.164	3.208	08/25/2023 1,332
3137B04Y7	39295	FHLMC Multi-Family	03/11/2019	26,755,312.50	27,000,000.00	27,377,057.70	2.615	2.792	2.831	01/25/2023 1,120
3137BQR90	39342	FHLMC Multi-Family	03/26/2019	23,034,375.00	23,400,000.00	23,521,579.38	2.272	2.637	2.674	01/25/2023 1,120
3137BP4J5	39654	FHLMC Multi-Family	07/01/2019	22,203,409.54	21,899,722.02	22,109,992.20	2.446	1.911	1.938	03/25/2026 2,275
3137BQR90	39721	FHLMC Multi-Family	07/31/2019	3,591,047.66	3,580,000.00	3,598,600.61	2.272	2.113	2.142	01/25/2023 1,120
3138LAYM5	38477	FNMA Multi-Family	02/27/2018	3,433,965.34	3,473,037.01	3,482,601.86	2.550	2.795	2.834	09/01/2022 974
3136B1XP4	38664	FNMA Multi-Family	04/30/2018	2,959,560.59	2,901,840.09	2,930,760.12	3.560	2.746	2.784	09/25/2021 633
3136B1XP4	38665	FNMA Multi-Family	04/30/2018	2,959,560.59	2,901,840.09	2,930,760.12	3.560	2.746	2.784	09/25/2021 633
31381TYT1	39150	FNMA Multi-Family	01/14/2019	29,373,297.99	29,429,628.14	29,942,783.15	2.750	2.670	2.707	03/01/2022 790
31381RZ23	39158	FNMA Multi-Family	01/16/2019	14,617,823.61	14,290,154.83	14,581,631.83	3.840	2.825	2.865	08/01/2021 578
31381RLL6	39218	FNMA Multi-Family	02/07/2019	11,949,222.10	11,698,794.77	11,935,205.30	3.840	2.833	2.872	07/01/2021 547
31381RLL6	39219	FNMA Multi-Family	02/07/2019	1,994,600.91	1,952,798.81	1,992,261.18	3.840	2.833	2.872	07/01/2021 547
3138LQKH8	39319	FNMA Multi-Family	03/19/2019	24,609,375.00	25,000,000.00	25,180,928.50	2.470	2.794	2.833	01/01/2024 1,461
3138EXK67	39457	FNMA Multi-Family	04/18/2019	15,401,314.18	15,463,530.73	15,670,153.50	2.513	2.578	2.614	03/01/2023 1,155
3138LEYD7	39587	FNMA Multi-Family	05/30/2019	18,400,138.67	18,733,835.11	18,634,939.94	1.970	2.656	2.693	11/01/2023 1,400
3138LQFF8	39609	FNMA Multi-Family	06/11/2019	4,976,953.13	5,000,000.00	4,954,446.65	2.150	2.249	2.280	01/01/2024 1,461
3138L2BU0	39632	FNMA Multi-Family	06/24/2019	6,771,025.13	6,779,499.50	6,767,428.13	2.310	2.315	2.347	12/01/2022 1,065
3138LOU90	39734	FNMA Multi-Family	08/13/2019	5,085,937.50	5,000,000.00	5,084,636.95	2.590	1.983	2.011	09/01/2022 974
31381VBJ3	39735	FNMA Multi-Family	08/13/2019	5,145,232.01	5,041,256.10	5,156,521.24	2.830	2.010	2.038	06/01/2022 882
3138LORM5	39844	FNMA Multi-Family	09/13/2019	8,883,654.10	8,824,023.01	8,821,262.24	2.135	1.872	1.898	11/01/2022 1,035
3136A9MN5	40056	FNMA Multi-Family	11/19/2019	10,916,205.53	10,808,124.30	10,869,459.32	2.301	1.834	1.859	09/25/2022 998
Subtotal and Average				363,586,967.31	363,383,866.51	369,023,339.24		2.621	2.657	1,142

Federal Agency Bonds

3133EGWH4	37018	FFCB NOTES	09/30/2016	10,003,281.38	10,000,000.00	9,951,475.40	1.280	1.243	1.260	09/29/2021 637
3133EGT47	37194	FFCB NOTES	12/08/2016	10,000,000.00	10,000,000.00	10,074,440.40	2.010	1.982	2.010	12/08/2021 707
3133EG5D3	37378	FFCB NOTES	01/27/2017	5,000,000.00	5,000,000.00	5,044,316.30	2.030	2.002	2.030	01/27/2022 757
3133EG6C4	37404	FFCB NOTES	02/03/2017	14,999,937.78	15,000,000.00	15,000,818.55	1.550	1.533	1.554	02/03/2020 33
3133EHA0	37502	FFCB NOTES	03/02/2017	19,998,734.81	20,000,000.00	20,000,692.20	1.520	1.537	1.558	03/02/2020 61
3133EHEZ2	37639	FFCB NOTES	04/06/2017	15,000,000.00	15,000,000.00	14,998,987.35	1.600	1.578	1.600	04/06/2020 96

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Fund COMM - COMMINGLED POOL
Investments by Fund
December 31, 2019

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Days To Date Maturity
Federal Agency Bonds										
3133EHFL2	37665	FFCB NOTES	04/13/2017	14,999,457.42	15,000,000.00	14,994,563.25	1.550	1.541	1.563	04/13/2020 103
3133EHFL2	37666	FFCB NOTES	04/13/2017	9,999,707.22	10,000,000.00	9,996,375.50	1.550	1.539	1.560	04/13/2020 103
3133EHFL2	37667	FFCB NOTES	04/13/2017	9,999,707.22	10,000,000.00	9,996,375.50	1.550	1.539	1.560	04/13/2020 103
3133EHGG1	37761	FFCB NOTES	05/03/2017	5,000,000.00	5,000,000.00	5,012,611.85	1.750	1.726	1.750	05/03/2021 488
3133EHGG1	37762	FFCB NOTES	05/03/2017	10,000,000.00	10,000,000.00	10,025,223.70	1.750	1.726	1.750	05/03/2021 488
3133EHJA2	37793	FFCB NOTES	05/09/2017	34,998,682.96	35,000,000.00	34,988,337.30	1.550	1.539	1.561	05/08/2020 128
3133EHKH5	37841	FFCB NOTES	05/23/2017	12,001,764.84	12,000,000.00	12,001,641.60	1.570	1.522	1.543	07/23/2020 204
3133EHW11	38024	FFCB NOTES	09/01/2017	3,002,550.00	3,000,000.00	3,006,849.81	1.700	1.624	1.647	09/01/2021 609
3133EHW11	38025	FFCB NOTES	09/01/2017	5,000,000.00	5,000,000.00	5,011,416.35	1.700	1.676	1.700	09/01/2021 609
3133EHW11	38026	FFCB NOTES	09/01/2017	7,006,504.17	7,000,000.00	7,015,982.89	1.700	1.619	1.642	09/01/2021 609
3133EHA44	38046	FFCB NOTES	09/20/2017	9,986,803.26	10,000,000.00	10,016,434.00	1.660	1.715	1.739	09/20/2021 628
3133EHJ95	38081	FFCB NOTES	10/26/2017	9,999,043.98	10,000,000.00	10,008,645.90	1.750	1.737	1.762	10/26/2020 299
3133EHJ95	38082	FFCB NOTES	10/26/2017	4,998,770.83	5,000,000.00	5,004,322.95	1.750	1.756	1.780	10/26/2020 299
3133EHP31	38126	FFCB NOTES	11/10/2017	9,974,079.12	9,975,000.00	10,043,703.71	1.950	1.928	1.955	11/02/2021 671
3133EHW58	38169	FFCB NOTES	11/27/2017	14,998,641.67	15,000,000.00	15,043,888.80	1.900	1.943	1.970	11/27/2020 331
3133EHW58	38204	FFCB NOTES	12/01/2017	21,534,526.06	21,550,000.00	21,613,053.58	1.900	1.954	1.982	11/27/2020 331
3133EGYC3	38310	FFCB NOTES	12/20/2017	8,406,698.64	8,550,000.00	8,550,018.47	1.730	2.338	2.371	10/12/2022 1,015
3133EJGH6	38506	FFCB NOTES	03/15/2018	23,001,966.62	23,000,000.00	23,165,131.26	2.440	2.395	2.429	10/15/2020 288
3133EJHL6	38545	FFCB NOTES	03/27/2018	9,998,948.89	10,000,000.00	10,019,253.20	2.375	2.387	2.420	03/27/2020 86
3133EJLU1	38629	FFCB NOTES	04/24/2018	14,999,726.19	15,000,000.00	15,007,899.30	2.420	2.829	2.869	01/24/2020 23
3133EJLU1	38630	FFCB NOTES	04/24/2018	29,999,693.33	30,000,000.00	30,015,798.60	2.420	2.814	2.854	01/24/2020 23
3133EJPX1	38718	FFCB NOTES	05/21/2018	4,985,700.91	5,000,000.00	5,182,064.55	2.875	3.253	3.299	12/21/2022 1,085
3133EJTT6	38837	FFCB NOTES	07/05/2018	4,998,301.33	5,000,000.00	5,052,696.85	2.625	2.623	2.659	01/05/2021 370
3133EJTT6	38838	FFCB NOTES	07/05/2018	4,998,301.33	5,000,000.00	5,052,696.85	2.625	2.623	2.659	01/05/2021 370
3133EJZH5	38878	FFCB NOTES	10/05/2018	13,915,453.82	14,000,000.00	14,438,725.84	2.800	2.997	3.038	09/13/2022 986
3133EJK24	38943	FFCB NOTES	11/01/2018	14,998,725.84	15,000,000.00	15,379,683.60	3.000	2.963	3.004	10/19/2021 657
3133EJW70	38999	FFCB NOTES	11/26/2018	34,983,699.72	35,000,000.00	35,636,506.15	2.875	2.869	2.909	05/26/2021 511
3133EJW70	39023	FFCB NOTES	11/30/2018	26,810,485.76	26,825,000.00	27,312,836.50	2.875	2.875	2.915	05/26/2021 511
3133EJ3B3	39075	FFCB NOTES	12/17/2018	9,991,763.33	10,000,000.00	10,235,867.30	2.800	2.805	2.844	12/17/2021 716
3133EJY60	39105	FFCB NOTES	12/24/2018	12,580,503.81	12,500,000.00	13,034,540.25	3.020	2.765	2.803	03/03/2023 1,157
3133EJ3B3	39108	FFCB NOTES	12/24/2018	4,305,403.89	4,300,000.00	4,401,422.94	2.800	2.695	2.732	12/17/2021 716
3133EJ4Q9	39157	FFCB NOTES	01/16/2019	19,990,374.83	20,000,000.00	20,199,117.60	2.550	2.562	2.598	01/11/2021 376

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Federal Agency Bonds										
3133EJ5V7	39165	FFCB NOTES	01/18/2019	14,852,789.56	14,875,000.00	15,313,639.95	2.625	2.640	2.676	01/18/2023 1,113
3133EJ5P0	39173	FFCB NOTES	01/25/2019	9,989,765.80	10,000,000.00	10,201,913.80	2.600	2.615	2.652	01/18/2022 748
3133EKCS3	39296	FFCB NOTES	03/11/2019	20,010,033.33	20,000,000.00	20,232,126.60	2.550	2.472	2.506	03/11/2021 435
3133KEW2	39357	FFCB NOTES	03/28/2019	19,989,688.33	20,000,000.00	20,299,214.40	2.280	2.272	2.303	03/28/2022 817
3133EKL2	39565	FFCB NOTES	05/22/2019	9,596,418.27	9,590,000.00	9,799,016.06	2.300	2.247	2.279	05/15/2023 1,230
3133EKNR3	39594	FFCB NOTES	06/03/2019	10,003,794.81	10,000,000.00	10,141,549.80	2.200	2.153	2.183	06/03/2022 884
3133EKS7	39639	FFCB NOTES	06/26/2019	9,964,528.82	10,000,000.00	10,045,929.10	1.770	1.850	1.876	06/26/2023 1,272
3133EKPS9	39689	FFCB NOTES	07/17/2019	20,048,564.71	20,000,000.00	20,160,522.00	2.125	1.924	1.951	06/07/2021 523
3133EKVD5	39693	FFCB NOTES	07/18/2019	11,239,313.50	11,250,000.00	11,313,739.91	1.875	1.896	1.922	01/18/2022 748
3133EKVC7	39701	FFCB NOTES	07/22/2019	14,997,782.01	15,000,000.00	15,073,035.30	1.875	1.858	1.884	07/19/2021 565
3133EKZV1	39751	FFCB NOTES	08/19/2019	19,994,125.52	20,000,000.00	19,997,267.00	1.550	1.546	1.568	08/16/2021 593
3133ELCS1	40135	FFCB NOTES	12/11/2019	14,398,660.00	14,450,000.00	14,346,547.83	1.550	1.615	1.638	03/11/2024 1,531
3133ELAE4	40136	FFCB NOTES	12/11/2019	19,970,617.92	20,000,000.00	20,009,739.20	1.625	1.659	1.682	08/22/2022 964
3133ELDK7	40162	FFCB NOTES	12/16/2019	24,998,697.60	25,000,000.00	25,016,865.50	1.630	1.609	1.632	06/15/2022 896
3133ELDK7	40163	FFCB NOTES	12/16/2019	24,998,697.60	25,000,000.00	25,016,865.50	1.630	1.609	1.632	06/15/2022 896
3133ELDK7	40164	FFCB NOTES	12/16/2019	24,998,697.60	25,000,000.00	25,016,865.50	1.630	1.609	1.632	06/15/2022 896
3130A5Z77	36288	FHLB NOTES	10/21/2015	5,009,437.49	5,000,000.00	5,005,238.30	1.830	1.469	1.490	07/29/2020 210
3130A5Z77	36289	FHLB NOTES	10/19/2015	5,010,726.51	5,000,000.00	5,005,238.30	1.830	1.424	1.444	07/29/2020 210
3130A5Z77	36298	FHLB NOTES	10/23/2015	5,010,503.03	5,000,000.00	5,005,238.30	1.830	1.432	1.452	07/29/2020 210
3130A5Z77	36308	FHLB NOTES	10/26/2015	10,017,242.27	10,000,000.00	10,010,476.60	1.830	1.498	1.519	07/29/2020 210
3130A5Z77	36310	FHLB NOTES	10/26/2015	5,008,851.84	5,000,000.00	5,005,238.30	1.830	1.490	1.511	07/29/2020 210
3130A7PU3	36679	FHLB NOTES	04/11/2016	10,001,357.14	10,000,000.00	9,989,119.30	1.200	1.131	1.147	04/06/2020 96
313378J77	36756	FHLB NOTES	05/12/2016	15,022,092.69	15,000,000.00	15,006,273.00	1.875	1.105	1.120	03/13/2020 72
313378J77	36760	FHLB NOTES	05/13/2016	6,008,492.87	6,000,000.00	6,002,509.20	1.875	1.133	1.149	03/13/2020 72
3133834H1	36877	FHLB NOTES	07/12/2016	15,020,964.26	15,000,000.00	14,980,958.40	1.375	1.040	1.055	06/12/2020 163
313378J77	36886	FHLB NOTES	07/15/2016	15,023,345.37	15,000,000.00	15,006,273.00	1.875	1.055	1.069	03/13/2020 72
313380WG8	36993	FHLB NOTES	09/30/2016	19,534,272.52	19,500,000.00	19,460,370.54	1.375	1.100	1.115	09/11/2020 254
313380WG8	37022	FHLB NOTES	10/06/2016	12,011,978.80	12,000,000.00	11,975,612.64	1.375	1.210	1.227	09/11/2020 254
3133834H1	37037	FHLB NOTES	10/13/2016	10,005,785.75	10,000,000.00	9,987,305.60	1.375	1.225	1.242	06/12/2020 163
313380WG8	37054	FHLB NOTES	10/24/2016	10,010,737.29	10,000,000.00	9,979,677.20	1.375	1.199	1.216	09/11/2020 254
313383HU8	37058	FHLB NOTES	10/19/2016	15,038,422.96	15,000,000.00	15,004,209.75	1.750	1.147	1.163	06/12/2020 163
313380WG8	37072	FHLB NOTES	10/28/2016	13,870,173.62	13,860,000.00	13,831,832.60	1.375	1.248	1.266	09/11/2020 254

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Federal Agency Bonds											
3130AABG2	37149	FHLB NOTES	11/30/2016	14,977,168.65	15,000,000.00	15,080,474.25	1.875	1.931	1.957	11/29/2021	698
313382K69	37711	FHLB NOTES	04/13/2017	25,870,678.95	25,860,000.00	25,907,005.20	1.750	1.690	1.714	03/12/2021	436
313378CR0	37726	FHLB NOTES	04/19/2017	25,225,073.78	25,000,000.00	25,325,522.50	2.250	1.794	1.819	03/11/2022	800
313378J77	37778	FHLB NOTES	04/28/2017	14,009,271.65	14,000,000.00	14,005,854.80	1.875	1.513	1.535	03/13/2020	72
313379RB7	37961	FHLB NOTES	06/30/2017	5,008,910.63	5,000,000.00	5,016,059.95	1.875	1.722	1.746	06/11/2021	527
3130ACE26	38033	FHLB NOTES	09/08/2017	21,982,858.60	22,000,000.00	21,959,711.84	1.375	1.462	1.482	09/28/2020	271
3130A3UQ5	38102	FHLB NOTES	11/03/2017	10,006,264.76	10,000,000.00	10,021,252.70	1.875	1.781	1.806	12/11/2020	345
3130ACUK8	38178	FHLB NOTES	11/28/2017	25,000,000.00	25,000,000.00	25,128,801.75	2.000	1.972	2.000	05/28/2021	513
313383HU8	38247	FHLB NOTES	12/08/2017	24,980,186.67	25,000,000.00	25,007,016.25	1.750	1.905	1.932	06/12/2020	163
3130A66T9	38269	FHLB NOTES	12/13/2017	24,938,701.92	25,000,000.00	24,994,549.00	1.625	1.961	1.989	09/11/2020	254
3130ADJH6	38418	FHLB NOTES	01/29/2018	7,614,837.12	7,615,000.00	7,617,585.37	2.100	2.099	2.128	01/29/2020	28
313370US5	38436	FHLB NOTES	02/05/2018	5,018,442.84	5,000,000.00	5,036,303.25	2.875	2.292	2.324	09/11/2020	254
3130ADUJ6	38570	FHLB NOTES	04/06/2018	31,572,959.22	31,575,000.00	31,757,172.59	2.450	2.425	2.459	09/21/2020	264
3130ADXU1	38578	FHLB NOTES	04/09/2018	19,999,776.51	20,000,000.00	20,002,670.40	2.320	2.340	2.372	01/09/2020	8
3130AECJ7	38733	FHLB NOTES	05/21/2018	9,999,272.08	10,000,000.00	10,039,084.40	2.625	2.607	2.643	05/28/2020	148
3130AFB63	38896	FHLB NOTES	10/22/2018	14,992,591.67	15,000,000.00	15,198,491.55	2.950	2.958	2.999	01/22/2021	387
3130AFB63	38901	FHLB NOTES	10/23/2018	4,997,527.50	5,000,000.00	5,066,163.85	2.950	2.958	2.999	01/22/2021	387
3130AFB63	38920	FHLB NOTES	10/26/2018	25,003,072.58	25,000,000.00	25,330,819.25	2.950	2.898	2.939	01/22/2021	387
3130AFB63	38922	FHLB NOTES	10/26/2018	5,000,401.80	5,000,000.00	5,066,163.85	2.950	2.902	2.943	01/22/2021	387
313370E38	38978	FHLB NOTES	11/19/2018	20,047,585.08	20,000,000.00	20,145,583.00	3.375	2.787	2.826	06/12/2020	163
3130AFE78	39016	FHLB NOTES	11/29/2018	14,344,869.43	14,350,000.00	14,919,071.92	3.000	2.970	3.012	12/09/2022	1,073
3130A0F70	39069	FHLB NOTES	12/14/2018	10,164,368.84	10,000,000.00	10,622,759.00	3.375	2.883	2.923	12/08/2023	1,437
3130A0F70	39106	FHLB NOTES	12/24/2018	3,571,251.11	3,500,000.00	3,717,965.65	3.375	2.778	2.817	12/08/2023	1,437
3130ABFD3	39138	FHLB NOTES	01/08/2019	15,002,886.74	15,000,000.00	15,363,417.60	2.625	2.580	2.616	06/07/2022	888
3130AB3H7	39362	FHLB NOTES	03/29/2019	14,309,028.40	14,250,000.00	14,669,286.59	2.375	2.238	2.269	03/08/2024	1,528
313370E38	39383	FHLB NOTES	04/08/2019	10,040,971.46	10,000,000.00	10,072,791.50	3.375	2.404	2.438	06/12/2020	163
313370E38	39393	FHLB NOTES	04/08/2019	23,390,619.93	23,295,000.00	23,464,567.80	3.375	2.402	2.436	06/12/2020	163
313379Q69	39545	FHLB NOTES	05/16/2019	24,955,811.14	25,000,000.00	25,287,975.00	2.125	2.169	2.200	06/10/2022	891
3130A5P45	39552	FHLB NOTES	05/20/2019	15,054,777.68	15,000,000.00	15,292,120.20	2.375	2.188	2.219	06/10/2022	891
3133834G3	39559	FHLB NOTES	05/21/2019	9,963,488.34	10,000,000.00	10,161,874.20	2.125	2.205	2.236	06/09/2023	1,255
3130A1W95	39563	FHLB NOTES	05/22/2019	24,981,880.92	25,000,000.00	25,219,258.75	2.250	2.269	2.301	06/11/2021	527
3133834G3	39592	FHLB NOTES	06/03/2019	9,990,325.45	10,000,000.00	10,161,874.20	2.125	2.124	2.154	06/09/2023	1,255

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Federal Agency Bonds										
3133834G3	39665	FHLB NOTES	07/08/2019	21,647,439.54	21,505,000.00	21,853,110.47	2.125	1.897	1.923	06/09/2023 1,255
313378JP7	39786	FHLB NOTES	08/29/2019	5,519,848.27	5,445,000.00	5,515,527.83	2.375	1.525	1.546	09/10/2021 618
3130A2UW4	39852	FHLB NOTES	09/16/2019	10,480,671.12	10,000,000.00	10,523,297.00	2.875	1.776	1.801	09/13/2024 1,717
3130A3DL5	39936	FHLB NOTES	10/16/2019	10,199,950.21	9,940,000.00	10,193,605.98	2.375	1.617	1.639	09/08/2023 1,346
3130A2UW4	39985	FHLB NOTES	10/29/2019	31,497,043.79	30,000,000.00	31,569,891.00	2.875	1.737	1.762	09/13/2024 1,717
3130AHJY0	40025	FHLB NOTES	11/08/2019	9,984,139.81	10,000,000.00	10,012,054.80	1.625	1.687	1.710	11/19/2021 688
3137EAC9	36989	FHLMC NOTES	09/27/2016	19,952,063.36	20,000,000.00	19,852,461.00	1.125	1.261	1.278	08/12/2021 589
3137EAC9	36992	FHLMC NOTES	09/29/2016	9,978,059.21	10,000,000.00	9,926,230.50	1.125	1.248	1.265	08/12/2021 589
3137EAE5	37443	FHLMC NOTES	02/09/2017	20,000,196.60	20,000,000.00	19,998,032.40	1.500	1.456	1.477	01/17/2020 16
3137EAEF2	37835	FHLMC NOTES	04/20/2017	44,984,467.50	45,000,000.00	44,964,000.00	1.375	1.471	1.491	04/20/2020 110
3137EAEJ4	38057	FHLMC NOTES	09/29/2017	14,993,262.78	15,000,000.00	14,999,063.70	1.625	1.663	1.687	09/29/2020 272
3137EAEK1	38135	FHLMC NOTES	11/15/2017	24,992,990.76	25,000,000.00	25,049,074.75	1.875	1.881	1.908	11/17/2020 321
3137EAEI9	38462	FHLMC NOTES	02/16/2018	44,955,450.00	45,000,000.00	45,385,771.05	2.375	2.433	2.466	02/16/2021 412
3137EADB2	40083	FHLMC NOTES	11/27/2019	20,300,827.15	20,000,000.00	20,308,293.20	2.375	1.596	1.619	01/13/2022 743
3135G0U05	35847	FNMA NOTES	04/13/2015	7,003,099.57	7,000,000.00	7,001,728.72	1.750	1.474	1.494	03/06/2020 65
3135G0U05	35856	FNMA NOTES	04/14/2015	14,590,579.77	14,584,000.00	14,587,601.66	1.750	1.469	1.489	03/06/2020 65
3135G0A78	36347	FNMA NOTES	11/13/2015	9,999,742.71	10,000,000.00	10,000,270.50	1.625	1.650	1.672	01/21/2020 20
3135G0A78	36361	FNMA NOTES	11/18/2015	20,000,082.50	20,000,000.00	20,000,541.00	1.625	1.585	1.607	01/21/2020 20
3135G0A78	36383	FNMA NOTES	11/24/2015	9,999,822.31	10,000,000.00	10,000,270.50	1.625	1.635	1.658	01/21/2020 20
3135G0A78	36384	FNMA NOTES	11/24/2015	9,999,833.00	10,000,000.00	10,000,270.50	1.625	1.633	1.656	01/21/2020 20
3135G0S38	37582	FNMA NOTES	02/09/2017	10,020,621.29	10,000,000.00	10,077,070.60	2.000	1.866	1.892	01/05/2022 735
3135G0T45	37783	FNMA NOTES	04/10/2017	39,918,554.65	40,000,000.00	40,257,731.20	1.875	1.943	1.970	04/05/2022 825
3135G0S38	38079	FNMA NOTES	10/25/2017	9,998,561.59	10,000,000.00	10,077,070.60	2.000	1.979	2.007	01/05/2022 735
3135G0S38	38142	FNMA NOTES	11/16/2017	11,392,728.35	11,395,000.00	11,482,821.95	2.000	1.982	2.010	01/05/2022 735
3135G0T78	38163	FNMA NOTES	11/22/2017	4,976,638.45	5,000,000.00	5,053,769.55	2.000	2.149	2.179	10/05/2022 1,008
3135G0T60	38209	FNMA NOTES	12/04/2017	9,977,875.73	10,000,000.00	9,990,678.20	1.500	1.866	1.892	07/30/2020 211
3135G0V75	40044	FNMA NOTES	11/14/2019	25,011,175.96	25,000,000.00	25,028,501.25	1.750	1.715	1.739	07/02/2024 1,644
880591EV0	38503	TENNESSEE VALLEY AUTHORITY	03/08/2018	19,995,602.75	20,000,000.00	20,026,025.20	2.250	2.327	2.360	03/15/2020 74
880591EV0	38815	TENNESSEE VALLEY AUTHORITY	06/21/2018	9,992,979.49	10,000,000.00	10,013,012.60	2.250	2.535	2.570	03/15/2020 74
Subtotal and Average				1,953,504,670.42	1,950,539,000.00	1,965,181,850.50		1.971	1.999	518

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Federal Agency Bonds - CALLABLE											
31422BDZ0	39341	FARMER MAC	03/25/2019	25,000,000.00	25,000,000.00	25,031,158.50	2.610	2.574	2.610	03/25/2022	814
3133EF2L0	36688	FFCB NOTES	04/13/2016	20,000,000.00	20,000,000.00	19,982,305.20	1.400	1.380	1.400	04/13/2020	103
3133EF2L0	36689	FFCB NOTES	04/13/2016	15,000,000.00	15,000,000.00	14,986,728.90	1.400	1.380	1.400	04/13/2020	103
3133EF2L0	36690	FFCB NOTES	04/13/2016	15,000,000.00	15,000,000.00	14,986,728.90	1.400	1.380	1.400	04/13/2020	103
3133EGKA2	36866	FFCB NOTES	07/06/2016	20,000,000.00	20,000,000.00	19,965,172.80	1.500	1.479	1.500	07/06/2021	552
3133EGG82	38326	FFCB NOTES	12/26/2017	1,972,432.97	2,000,000.00	1,984,457.42	1.520	2.262	2.293	11/15/2021	684
3133EGNK7	38341	FFCB NOTES	12/29/2017	4,431,300.51	4,450,000.00	4,444,300.40	1.320	2.049	2.077	07/27/2020	208
3133EGAH8	38342	FFCB NOTES	12/29/2017	10,785,277.14	10,875,000.00	10,842,021.67	1.550	2.144	2.174	05/17/2021	502
3133EGKD6	38346	FFCB NOTES	12/29/2017	6,930,091.68	7,000,000.00	6,964,972.42	1.625	2.188	2.218	10/05/2021	643
3133EFF28	38368	FFCB NOTES	01/05/2018	5,212,518.86	5,245,000.00	5,244,325.28	1.650	2.171	2.202	03/01/2021	425
3133EGXA8	39206	FFCB NOTES	02/04/2019	17,526,433.73	18,020,000.00	17,963,262.23	1.730	2.658	2.695	01/04/2023	1,099
3133EKR33	39628	FFCB NOTES	06/21/2019	15,000,000.00	15,000,000.00	15,028,467.75	2.220	2.189	2.220	06/21/2024	1,633
3133EKR33	39629	FFCB NOTES	06/21/2019	10,000,000.00	10,000,000.00	10,018,978.50	2.220	2.189	2.220	06/21/2024	1,633
3133EKZM1	39739	FFCB NOTES	08/14/2019	24,000,000.00	24,000,000.00	23,902,119.60	1.990	1.962	1.990	08/14/2023	1,321
3133EKC61	39756	FFCB NOTES	08/20/2019	5,000,000.00	5,000,000.00	5,005,320.75	2.100	2.071	2.100	08/20/2024	1,693
3133EKC61	39757	FFCB NOTES	08/20/2019	10,000,000.00	10,000,000.00	10,010,641.50	2.100	2.071	2.100	08/20/2024	1,693
3133EKC61	39758	FFCB NOTES	08/20/2019	5,000,000.00	5,000,000.00	5,005,320.75	2.100	2.071	2.100	08/20/2024	1,693
3133EKN9	39768	FFCB NOTES	08/23/2019	15,000,000.00	15,000,000.00	14,813,946.90	2.060	2.031	2.059	08/13/2024	1,686
3133EKH66	39801	FFCB NOTES	09/03/2019	20,000,000.00	20,000,000.00	19,937,406.40	1.850	1.824	1.850	03/03/2022	792
3133EKM94	39832	FFCB NOTES	09/11/2019	15,000,000.00	15,000,000.00	15,001,754.70	1.900	1.873	1.900	09/11/2023	1,349
3133EKM94	39833	FFCB NOTES	09/11/2019	15,000,000.00	15,000,000.00	15,001,754.70	1.900	1.873	1.900	09/11/2023	1,349
3133EKN93	39853	FFCB NOTES	09/16/2019	14,973,162.50	15,000,000.00	14,978,793.00	1.960	1.972	2.000	09/16/2024	1,720
3133EKX84	39926	FFCB NOTES	10/10/2019	20,000,000.00	20,000,000.00	19,769,952.60	2.070	2.042	2.070	07/10/2023	1,286
3133EK4N3	40009	FFCB NOTES	11/04/2019	25,000,000.00	25,000,000.00	24,974,081.00	1.800	1.775	1.800	11/04/2022	1,038
3130A3XL3	36117	FHLB NOTES	07/09/2015	7,248,893.66	7,250,000.00	7,248,837.25	1.500	1.624	1.646	02/10/2020	40
3130A9W49	37131	FHLB NOTES	11/25/2016	15,000,000.00	15,000,000.00	14,992,188.45	1.250	1.232	1.250	02/24/2020	54
3130AANA2	37382	FHLB NOTES	01/30/2017	25,000,000.00	25,000,000.00	25,011,458.50	1.750	1.726	1.750	07/30/2020	211
3130AANA2	37383	FHLB NOTES	01/30/2017	5,000,000.00	5,000,000.00	5,002,291.70	1.750	1.726	1.750	07/30/2020	211
3130AB3T1	37641	FHLB NOTES	04/24/2017	20,000,000.00	20,000,000.00	20,010,394.60	1.750	1.726	1.750	07/24/2020	205
3130ABZE9	38016	FHLB NOTES	08/28/2017	8,400,000.00	8,400,000.00	8,401,781.89	1.650	1.627	1.650	08/28/2020	240
3130ACK52	38389	FHLB NOTES	01/12/2018	24,914,566.63	25,000,000.00	25,009,103.75	1.700	2.134	2.164	10/05/2020	278
3130AEXG0	38855	FHLB NOTES	09/25/2018	15,000,000.00	15,000,000.00	15,038,356.05	3.000	2.958	3.000	03/25/2022	814

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Federal Agency Bonds - CALLABLE										
3130A9MR9	38858	FHLB NOTES	09/17/2018	9,339,432.46	9,685,000.00	9,619,881.84	1.650	2.981	3.023	10/12/2022 1,015
3130AC2P8	38859	FHLB NOTES	09/17/2018	9,931,908.99	10,000,000.00	10,003,857.90	1.800	2.831	2.870	08/28/2020 240
3130AFA72	38921	FHLB NOTES	10/26/2018	12,000,000.00	12,000,000.00	12,122,201.16	3.250	3.205	3.250	04/26/2023 1,211
3130A8R54	39014	FHLB NOTES	11/28/2018	4,777,877.59	5,000,000.00	4,987,778.55	1.800	3.102	3.145	07/28/2023 1,304
3130AH2B8	39834	FHLB NOTES	09/11/2019	20,000,000.00	20,000,000.00	20,001,251.80	1.970	1.943	1.970	09/11/2024 1,715
3130AHGL1	40010	FHLB NOTES	11/04/2019	25,000,000.00	25,000,000.00	24,825,340.25	1.875	1.849	1.875	11/04/2024 1,769
3134G8JT7	36583	FHLMC NOTES	02/24/2016	12,500,000.00	12,500,000.00	12,496,718.13	1.500	1.479	1.500	02/24/2020 54
3134G3K90	37344	FHLMC NOTES	01/19/2017	10,000,676.92	10,000,000.00	10,005,238.90	1.700	1.667	1.690	09/25/2020 268
3134GBBM3	37518	FHLMC NOTES	03/29/2017	25,000,000.00	25,000,000.00	25,122,732.75	2.000	1.972	2.000	03/29/2021 453
3134GA5T7	37578	FHLMC NOTES	02/28/2017	3,500,000.00	3,500,000.00	3,538,841.15	2.125	2.095	2.125	01/26/2022 756
3134GA5T7	37579	FHLMC NOTES	02/28/2017	20,000,000.00	20,000,000.00	20,221,949.40	2.125	2.096	2.125	01/26/2022 756
3134GBGZ9	37669	FHLMC NOTES	04/27/2017	15,000,000.00	15,000,000.00	15,122,451.90	2.000	1.972	2.000	01/27/2022 757
3134GBEF5	37696	FHLMC NOTES	04/27/2017	3,640,000.00	3,640,000.00	3,640,697.10	1.700	1.676	1.700	04/27/2020 117
3134GBLJ2	37741	FHLMC NOTES	04/26/2017	10,000,000.00	10,000,000.00	10,013,310.10	1.750	1.726	1.750	01/26/2021 391
3134GBJJ2	37742	FHLMC NOTES	04/26/2017	25,000,000.00	25,000,000.00	25,033,275.25	1.750	1.726	1.750	01/26/2021 391
3134GBLR1	37801	FHLMC NOTES	05/25/2017	20,000,000.00	20,000,000.00	20,025,807.60	1.750	1.726	1.750	11/25/2020 329
3134GBLQ3	37804	FHLMC NOTES	05/22/2017	20,000,000.00	20,000,000.00	19,997,353.40	1.650	1.627	1.650	05/22/2020 142
3134GBPM8	37817	FHLMC NOTES	05/24/2017	15,000,000.00	15,000,000.00	15,126,322.20	2.000	1.972	2.000	02/24/2022 785
3134GBRR5	37849	FHLMC NOTES	06/15/2017	20,000,000.00	20,000,000.00	20,056,408.40	1.800	1.775	1.800	06/15/2021 531
3134GBRU8	37861	FHLMC NOTES	06/22/2017	30,000,000.00	30,000,000.00	29,993,838.30	1.625	1.602	1.625	06/22/2020 173
3134GBSM5	37880	FHLMC NOTES	06/22/2017	5,450,000.00	5,450,000.00	5,453,452.47	1.700	1.676	1.700	09/22/2020 265
3134GBSW3	37894	FHLMC NOTES	06/22/2017	5,000,000.00	5,000,000.00	5,005,822.25	1.750	1.726	1.750	12/22/2020 356
3134GBYF3	37988	FHLMC NOTES	07/27/2017	5,750,000.00	5,750,000.00	5,762,347.84	1.800	1.775	1.800	01/27/2021 392
3134GBF64	38055	FHLMC NOTES	09/28/2017	6,000,000.00	6,000,000.00	5,999,446.08	1.600	1.578	1.600	09/28/2020 271
3134GBU83	38090	FHLMC NOTES	10/30/2017	15,000,000.00	15,000,000.00	15,100,105.50	2.000	1.972	2.000	10/29/2021 667
3134GBZ70	38170	FHLMC NOTES	11/27/2017	25,000,000.00	25,000,000.00	25,066,685.25	1.875	1.849	1.875	11/27/2020 331
3134GBZ70	38171	FHLMC NOTES	11/27/2017	10,000,000.00	10,000,000.00	10,026,674.10	1.875	1.849	1.875	11/27/2020 331
3134GSAC9	38334	FHLMC NOTES	12/28/2017	10,000,000.00	10,000,000.00	10,044,000.80	2.050	2.021	2.050	12/28/2020 362
3134GSAX3	38352	FHLMC NOTES	01/08/2018	25,000,000.00	25,000,000.00	25,061,197.00	2.220	2.189	2.220	07/01/2022 912
3134GSFE0	38466	FHLMC NOTES	02/26/2018	20,000,000.00	20,000,000.00	20,199,281.40	2.500	2.465	2.500	02/26/2021 422
3134GSMY8	38796	FHLMC NOTES	06/15/2018	15,000,000.00	15,000,000.00	15,073,367.70	2.750	3.426	3.474	06/15/2023 1,261
3134GSS63	39188	FHLMC NOTES	01/30/2019	15,000,000.00	15,000,000.00	15,008,435.40	2.800	2.761	2.800	01/30/2023 1,125

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3134GSS63	39189	FHLMC NOTES	01/30/2019	10,000,000.00	10,000,000.00	10,005,623.60	2.800	2.761	2.800	01/30/2023 1,125
3134GSS63	39199	FHLMC NOTES	02/01/2019	10,185,000.00	10,185,000.00	10,190,727.64	2.800	2.761	2.799	01/30/2023 1,125
3134GS677	39353	FHLMC NOTES	03/27/2019	15,000,000.00	15,000,000.00	15,027,112.65	2.625	2.589	2.625	09/27/2022 1,000
3134GS6F7	39354	FHLMC NOTES	03/27/2019	30,000,000.00	30,000,000.00	30,047,271.00	2.720	2.682	2.720	03/27/2023 1,181
3134GTGT4	39472	FHLMC NOTES	04/24/2019	25,000,000.00	25,000,000.00	25,009,952.00	2.550	2.515	2.550	01/24/2023 1,119
3134GTFW8	39473	FHLMC NOTES	04/24/2019	25,000,000.00	25,000,000.00	25,082,244.25	2.560	2.525	2.560	07/24/2023 1,300
3134GTMQ3	39564	FHLMC NOTES	05/22/2019	12,300,000.00	12,300,000.00	12,327,171.32	2.500	2.465	2.500	05/22/2023 1,237
3134GTQS5	39593	FHLMC NOTES	06/03/2019	10,259,065.56	10,250,000.00	10,269,266.00	2.625	2.567	2.603	06/03/2024 1,615
3134GTXX6	39649	FHLMC NOTES	06/28/2019	25,000,000.00	25,000,000.00	25,009,953.50	2.100	2.073	2.102	06/26/2024 1,638
3134GTA52	39682	FHLMC NOTES	07/15/2019	15,000,000.00	15,000,000.00	15,026,149.95	2.300	2.268	2.300	07/15/2024 1,657
3134GTH55	39698	FHLMC NOTES	07/19/2019	25,000,000.00	25,000,000.00	25,058,749.25	2.200	2.169	2.200	07/19/2024 1,661
3134GTS20	39726	FHLMC NOTES	08/02/2019	20,000,000.00	20,000,000.00	20,030,234.20	2.070	2.041	2.070	08/02/2024 1,675
3134GTS20	39727	FHLMC NOTES	08/02/2019	5,000,000.00	5,000,000.00	5,007,558.55	2.070	2.041	2.070	08/02/2024 1,675
3134GTS61	39728	FHLMC NOTES	08/05/2019	20,000,000.00	20,000,000.00	20,027,363.20	2.100	2.071	2.100	02/05/2024 1,496
3134GT3M3	39773	FHLMC NOTES	08/26/2019	25,000,000.00	25,000,000.00	25,008,628.75	2.050	2.021	2.050	08/26/2022 968
3134GUJFC9	39904	FHLMC NOTES	10/02/2019	25,000,000.00	25,000,000.00	24,835,966.25	2.000	1.972	2.000	10/02/2024 1,736
3134GUH78	40207	FHLMC NOTES	12/30/2019	15,000,000.00	15,000,000.00	15,002,589.30	2.000	1.972	2.000	12/30/2024 1,825
3134GUH78	40208	FHLMC NOTES	12/30/2019	20,000,000.00	20,000,000.00	20,003,452.40	2.000	1.972	2.000	12/30/2024 1,825
3134GUK66	40209	FHLMC NOTES	12/30/2019	8,790,000.00	8,790,000.00	8,782,757.74	2.000	1.972	2.000	09/30/2024 1,734
3136G3PR0	36797	FNMA NOTES	05/27/2016	24,983,859.09	25,000,000.00	24,937,106.25	1.500	1.526	1.548	05/26/2021 511
3136G4BV4	37017	FNMA NOTES	09/30/2016	20,002,802.08	20,000,000.00	19,977,508.00	1.450	1.411	1.430	09/30/2020 273
3136G4MD2	37509	FNMA NOTES	03/16/2017	3,000,000.00	3,000,000.00	3,002,986.62	1.850	1.824	1.850	09/16/2020 259
3136G4NP4	38233	FNMA NOTES	12/06/2017	39,954,603.38	40,000,000.00	40,003,736.80	1.800	1.979	2.007	07/24/2020 205
3136G4NP4	38235	FNMA NOTES	12/07/2017	5,993,054.70	6,000,000.00	6,000,560.52	1.800	1.983	2.011	07/24/2020 205
3136G4TX1	39845	FNMA NOTES	09/13/2019	24,988,438.15	25,000,000.00	24,951,162.00	2.125	2.108	2.138	09/12/2023 1,350
Subtotal and Average				1,384,741,396.60	1,386,290,000.00	1,386,940,287.67		2.042	2.070	886

US Treasury Notes

912828Y46	39102	U.S. TREASURY NOTES	12/21/2018	14,994,296.08	15,000,000.00	15,084,960.00	2.625	2.654	2.691	07/31/2020 212
912828XW7	40084	U.S. TREASURY NOTES	11/27/2019	40,000,000.00	40,000,000.00	39,998,440.00	1.625	1.601	1.623	07/31/2020 212
9128287F1	40099	U.S. TREASURY NOTES	12/05/2019	40,064,184.09	40,000,000.00	40,096,880.00	1.750	1.623	1.646	07/31/2021 577
912828WY2	40115	U.S. TREASURY NOTES	12/09/2019	40,365,132.81	40,000,000.00	40,403,120.00	2.250	1.638	1.661	07/31/2021 577

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US Treasury Notes										
912828GZ8	40155	U.S. TREASURY NOTES	12/13/2019	30,008,109.30	30,000,000.00	30,094,920.00	1.750	1.719	1.743	06/30/2024 1,642
9128282P4	40210	U.S. TREASURY NOTES	12/31/2019	40,268,465.01	40,000,000.00	40,282,800.00	1.875	1.586	1.608	07/31/2022 942
Subtotal and Average				205,700,187.29	205,000,000.00	205,961,120.00		1.704	1.728	706
Corporate Bonds										
037833DJ6	38124	APPLE INC	11/13/2017	44,989,080.00	45,000,000.00	45,114,928.65	2.000	2.001	2.029	11/13/2020 317
037833DL1	39631	APPLE INC	09/11/2019	6,998,931.20	7,000,000.00	6,995,075.43	1.700	1.682	1.705	09/11/2022 984
037833CU2	40165	APPLE INC	12/16/2019	10,336,584.23	10,000,000.00	10,344,390.60	2.850	2.010	2.038	05/11/2024 1,592
084670BQ0	38830	BERKSHIRE HATHWAY	06/29/2018	9,934,144.06	10,000,000.00	10,038,687.40	2.200	2.732	2.770	03/15/2021 439
084670BJ6	39496	BERKSHIRE HATHWAY	04/30/2019	10,638,424.10	10,500,000.00	10,846,247.06	3.000	2.517	2.552	02/11/2023 1,137
084670BR8	39544	BERKSHIRE HATHWAY	05/16/2019	16,693,279.77	16,624,000.00	17,046,645.42	2.750	2.576	2.611	03/15/2023 1,169
084664BQ3	39658	BERKSHIRE HATHWAY	07/03/2019	10,226,635.87	10,000,000.00	10,252,383.70	4.250	1.995	2.023	01/15/2021 380
084670BR8	40080	BERKSHIRE HATHWAY	11/26/2019	22,207,264.01	21,623,000.00	22,172,739.04	2.750	1.850	1.876	03/15/2023 1,169
19416QEL0	39553	COLGATE-PALMOLIVE CO	05/20/2019	9,472,773.07	9,506,000.00	9,638,238.63	2.250	2.344	2.377	11/15/2022 1,049
166764BP4	37503	CHEVRON CORP.	03/03/2017	8,000,000.00	8,000,000.00	8,000,145.04	1.991	1.963	1.991	03/03/2020 62
166764BG4	39549	CHEVRON CORP.	05/17/2019	8,532,435.68	8,575,000.00	8,629,151.47	2.100	2.438	2.472	05/16/2021 501
166764BN9	39573	CHEVRON CORP.	05/24/2019	8,876,014.11	8,885,000.00	9,033,847.12	2.498	2.510	2.545	03/03/2022 792
02079KAA5	38601	GOOGLE INC	04/16/2018	16,912,640.90	16,705,000.00	17,144,357.37	3.625	2.645	2.682	05/19/2021 504
459200JN2	37380	IBM	01/27/2017	9,999,768.89	10,000,000.00	9,999,614.10	1.900	1.906	1.933	01/27/2020 26
478160CD4	40031	JOHNSON & JOHNSON	11/12/2019	19,617,554.13	19,445,000.00	19,659,894.86	2.250	1.805	1.830	03/03/2022 792
191216BT6	38529	Coca-Cola Co	03/19/2018	7,452,168.23	7,500,000.00	7,502,627.63	1.875	2.646	2.682	10/27/2020 300
594918BV5	37419	MICROSOFT CORP	02/06/2017	14,999,674.31	15,000,000.00	14,999,348.85	1.850	1.847	1.873	02/06/2020 36
594918AY0	38123	MICROSOFT CORP	11/13/2017	14,095,635.66	14,096,000.00	14,096,102.90	1.850	1.847	1.872	02/12/2020 42
594918BW3	39355	MICROSOFT CORP	03/27/2019	20,024,359.57	20,000,000.00	20,282,726.00	2.400	2.305	2.337	02/06/2022 767
594918BW3	39361	MICROSOFT CORP	03/29/2019	20,052,342.75	20,000,000.00	20,282,726.00	2.400	2.235	2.266	02/06/2022 767
594918BX1	39489	MICROSOFT CORP	04/29/2019	6,550,254.80	6,500,000.00	6,744,789.09	2.875	2.635	2.672	02/06/2024 1,497
594918BQ6	40005	MICROSOFT CORP	11/01/2019	10,894,903.56	10,815,000.00	10,892,267.66	2.000	1.762	1.786	08/08/2023 1,315
742718EQ8	38524	PROCTER & GAMBLE	03/15/2018	19,629,118.04	20,000,000.00	20,068,673.00	1.700	2.728	2.766	11/03/2021 672
742718EQ8	38526	PROCTER & GAMBLE	03/16/2018	9,817,861.36	10,000,000.00	10,034,336.50	1.700	2.709	2.747	11/03/2021 672
89236TDU6	37702	TOYOTA MOTOR CREDIT	04/17/2017	4,999,774.26	5,000,000.00	4,999,897.20	1.950	1.938	1.965	04/17/2020 107
89236P5F9	39332	TOYOTA MOTOR CREDIT	03/21/2019	11,339,298.01	11,213,000.00	11,504,032.41	3.400	2.675	2.712	09/15/2021 623
89236TFX8	39439	TOYOTA MOTOR CREDIT	04/12/2019	24,988,027.08	25,000,000.00	25,446,224.75	2.650	2.635	2.671	04/12/2022 832

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Corporate Bonds										
89236TFX8	39452	TOYOTA MOTOR CREDIT	04/17/2019	14,987,284.05	15,000,000.00	15,267,734.85	2.650	2.652	2.688	04/12/2022 832
90331HPA5	39207	U S BANK	02/04/2019	2,998,673.63	3,000,000.00	3,036,018.84	3.000	2.974	3.016	02/04/2021 400
90331HPC1	39569	U S BANK	05/23/2019	14,987,309.44	15,000,000.00	15,280,898.40	2.650	2.650	2.687	05/23/2022 873
90331HPF4	40117	U S BANK	12/09/2019	28,976,122.81	29,000,000.00	28,974,701.27	1.950	1.950	1.977	01/09/2023 1,104
90331HPF4	40154	U S BANK	12/13/2019	11,000,000.00	11,000,000.00	10,990,403.93	1.950	1.922	1.949	01/09/2023 1,104
92826CAC6	39527	VISA	05/09/2019	11,212,317.99	11,156,000.00	11,480,294.88	2.800	2.583	2.619	12/14/2022 1,078
92826CAB8	39917	VISA	10/07/2019	7,173,916.16	7,135,000.00	7,163,416.85	2.200	1.554	1.576	12/14/2020 348
931142EA7	38076	WALMART	10/20/2017	6,996,923.70	7,000,000.00	7,009,554.58	1.900	1.920	1.947	12/15/2020 349
931142EG4	38826	WALMART	06/27/2018	39,997,021.23	40,000,000.00	40,202,389.20	2.850	2.843	2.882	06/23/2020 174
931142EG4	39672	WALMART	07/10/2019	7,557,607.92	7,532,000.00	7,570,109.89	2.850	2.097	2.126	06/23/2020 174
931142EL3	39714	WALMART	07/29/2019	5,143,082.72	5,000,000.00	5,187,731.25	2.850	2.148	2.178	07/08/2024 1,650
931142EK5	39996	WALMART	10/30/2019	10,501,237.08	10,000,000.00	10,514,987.30	3.400	1.878	1.904	06/26/2023 1,272
30231GAV4	38852	EXXON MOBIL CORP	09/10/2018	9,926,747.47	10,000,000.00	10,059,029.30	2.222	2.837	2.876	03/01/2021 425
30231GBB7	39747	EXXON MOBIL CORP	08/16/2019	5,000,000.00	5,000,000.00	5,027,599.20	1.902	1.875	1.902	08/16/2022 958
30231GAV4	39951	EXXON MOBIL CORP	10/22/2019	12,026,191.06	11,963,000.00	12,033,616.75	2.222	1.736	1.761	03/01/2021 425
30231GAC6	39998	EXXON MOBIL CORP	10/31/2019	5,240,229.67	5,000,000.00	5,238,782.85	3.176	1.950	1.977	03/15/2024 1,535
Subtotal and Average				572,003,612.58	569,773,000.00	576,807,367.22		2.267	2.299	692

Asset Backed Securities (ABS)

02007TAC9	40134	ALLY FINANCIAL INC-FDIC GTD	12/11/2019	12,997,761.40	13,000,000.00	12,977,668.60	1.840	1.829	1.854	06/17/2024 1,629
02582JHC6	38646	American Express Credit Acct	03/21/2018	44,994,775.50	45,000,000.00	45,069,237.00	2.670	2.653	2.690	10/17/2022 1,020
05522RCZ9	39062	BANK OF AMERICA CREDIT CARD	12/12/2018	20,147,080.47	20,140,000.00	20,430,674.58	3.000	2.862	2.902	09/15/2023 1,353
05522RDA3	39082	BANK OF AMERICA CREDIT CARD	12/18/2018	15,000,585.94	15,000,000.00	15,281,625.00	3.100	3.064	3.107	12/15/2023 1,444
05522RCY2	39220	BANK OF AMERICA CREDIT CARD	02/08/2019	24,936,523.44	25,000,000.00	25,246,327.50	2.700	2.716	2.753	07/17/2023 1,293
05522RCY2	39320	BANK OF AMERICA CREDIT CARD	03/19/2019	19,987,500.00	20,000,000.00	20,197,062.00	2.700	2.711	2.749	07/17/2023 1,293
05586VAD4	39327	BMW VEHICLE LEASE TRUST	03/20/2019	7,498,898.25	7,500,000.00	7,592,440.50	2.920	2.906	2.946	08/22/2022 964
09659QAD9	38412	BMW VEHICLE OWNER TRUST	01/24/2018	26,683,489.00	26,683,755.84	26,755,799.31	2.350	2.329	2.362	04/25/2022 845
05588CAC6	39861	BMW VEHICLE OWNER TRUST	09/18/2019	17,247,681.60	17,250,000.00	17,258,497.35	1.920	1.907	1.934	01/25/2024 1,485
161571HN7	38708	CHASE ISSUANCE TRUST (ABS)	05/10/2018	37,000,000.00	37,000,000.00	37,036,911.20	1.940	2.052	2.081	04/17/2023 1,202
14041NFU0	39811	Capitol One Multi Execution Tr	09/05/2019	34,991,187.00	35,000,000.00	34,892,571.00	1.720	1.711	1.735	08/15/2024 1,688
14042WAC4	39586	Capital One Prime Auto Receiv	05/30/2019	8,998,176.60	9,000,000.00	9,080,523.00	2.510	2.500	2.535	11/15/2023 1,414
43814RAC0	37059	HONDA AUTO RECEIVABLES OWNER T	10/25/2016	916,136.03	916,195.49	915,478.93	1.210	1.199	1.216	12/18/2020 352

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Asset Backed Securities (ABS)										
43814TAC6	37615	HONDA AUTO RECEIVABLES OWNER T	03/28/2017	4,404,274.24	4,404,536.31	4,400,847.51	1.720	1.706	1.729	06/22/2020 173
43811BAC8	37976	HONDA AUTO RECEIVABLES OWNER T	06/27/2017	11,414,098.48	11,415,085.88	11,404,475.56	1.680	1.666	1.690	08/16/2021 593
43814UAG4	38738	HONDA AUTO RECEIVABLES OWNER T	05/30/2018	11,999,738.40	12,000,000.00	12,110,704.80	3.010	2.988	3.030	05/18/2022 868
43815AAC6	39013	HONDA AUTO RECEIVABLES OWNER T	11/28/2018	21,746,748.38	21,750,000.00	22,107,298.13	3.160	3.144	3.188	01/17/2023 1,112
43814WAC9	39269	HONDA AUTO RECEIVABLES OWNER T	02/27/2019	13,749,631.50	13,750,000.00	13,960,828.75	2.830	2.809	2.848	03/20/2023 1,174
43815MAC0	39582	HONDA AUTO RECEIVABLES OWNER T	05/29/2019	16,999,365.90	17,000,000.00	17,201,818.90	2.520	2.500	2.535	06/21/2023 1,267
43815NAC8	39777	HONDA AUTO RECEIVABLES OWNER T	08/27/2019	7,249,939.83	7,250,000.00	7,233,545.40	1.780	1.762	1.787	08/15/2023 1,322
44891JAC2	40017	HYUNDAI AUTO RECEIVABLES TRUST	11/06/2019	11,749,458.33	11,750,000.00	11,758,013.50	1.940	1.923	1.950	02/15/2024 1,506
47787XAC1	37507	JOHN DEERE OWNER TRUST	03/02/2017	1,163,799.57	1,163,965.32	1,163,631.61	1.780	1.769	1.793	04/15/2021 470
47788CAC6	38476	JOHN DEERE OWNER TRUST	02/28/2018	6,853,119.95	6,853,612.72	6,879,436.45	2.660	2.641	2.678	04/18/2022 838
477870AC3	39706	JOHN DEERE OWNER TRUST	07/24/2019	8,748,142.38	8,750,000.00	8,807,232.88	2.210	2.199	2.230	12/15/2023 1,444
58772TAC4	39190	MERCEDES-BENZ AUTO LEASE TRUST	01/30/2019	9,999,707.00	10,000,000.00	10,090,427.00	3.100	3.079	3.122	11/15/2021 684
58769QAC5	40062	MERCEDES-BENZ AUTO LEASE TRUST	11/20/2019	9,998,410.00	10,000,000.00	10,002,344.00	2.000	1.991	2.019	10/15/2022 1,018
58769BAD6	36956	MERCEDES-BENZ AUTO REC TRUST	09/14/2016	276,000.73	276,034.38	275,922.25	1.260	1.251	1.269	02/16/2021 412
58769TAD7	39883	MERCEDES-BENZ AUTO REC TRUST	09/25/2019	26,996,282.10	27,000,000.00	26,995,080.60	1.930	1.917	1.944	03/15/2024 1,535
65478DAD9	38475	NISSAN AUTO LEASE TRUST	02/28/2018	18,170,237.89	18,172,314.99	18,250,299.66	2.650	2.585	2.621	05/16/2022 866
65479HAC1	39578	Nissan Auto Receivables Owner	05/28/2019	19,995,478.00	20,000,000.00	20,201,398.00	2.500	2.489	2.523	11/15/2023 1,414
89238MAD0	37568	TOYOTA AUTO REC OWNER TRUST	03/15/2017	1,736,984.23	1,737,188.70	1,736,362.15	1.730	1.718	1.742	02/16/2021 412
89238KAD4	38137	TOYOTA AUTO REC OWNER TRUST	11/15/2017	19,041,374.70	19,043,130.48	19,040,352.09	1.930	1.914	1.940	01/18/2022 748
89231PAD0	38958	TOYOTA AUTO REC OWNER TRUST	11/07/2018	9,997,837.00	10,000,000.00	10,171,834.00	3.180	3.167	3.211	03/15/2023 1,169
89233MAD5	40038	TOYOTA AUTO REC OWNER TRUST	11/13/2019	19,498,592.10	19,500,000.00	19,491,396.60	1.920	1.904	1.931	01/15/2024 1,475
92867XAD8	39913	Volkswagen Auto Lease Trust	10/04/2019	10,499,834.10	10,500,000.00	10,510,166.10	1.990	1.971	1.999	11/21/2022 1,055
92349GAA9	39612	VERIZON OWNER TRUST	06/12/2019	34,997,553.50	35,000,000.00	35,251,160.00	2.330	2.312	2.344	12/20/2023 1,449
92348AAA3	39920	VERIZON OWNER TRUST	10/08/2019	23,998,149.60	24,000,000.00	23,977,351.20	1.940	1.924	1.951	04/20/2024 1,571
Subtotal and Average				592,684,553.14	592,805,820.11	595,756,743.11		2.341	2.373	1,230

Municipal Bonds

13063CSQ4	36313	CALIFORNIA ST	10/27/2015	10,223,554.56	10,220,000.00	10,222,759.40	1.800	1.632	1.655	04/01/2020 91
13063CPB7	36731	CALIFORNIA ST	04/28/2016	14,981,116.75	15,000,000.00	14,955,450.00	1.500	1.608	1.630	04/01/2021 456
65887PHS7	37523	North Dakota State Finance	03/06/2017	530,222.77	500,000.00	533,590.00	5.000	1.400	1.420	10/01/2021 639
91412GWW7	39314	UNIVERSITY CALIFORNIA REVS	03/15/2019	4,023,462.53	4,000,000.00	4,097,360.00	2.826	2.530	2.566	05/15/2022 865
91412HEX7	39321	UNIVERSITY CALIFORNIA REVS	03/19/2019	1,000,000.00	1,000,000.00	1,026,000.00	2.657	2.620	2.657	05/15/2023 1,230

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Municipal Bonds										
91412HEW9	39322	UNIVERSITY CALIFORNIA REVS	03/19/2019	665,000.00	665,000.00	677,841.15	2.608	2.571	2.606	05/15/2022 865
		Subtotal and Average		31,423,356.61	31,385,000.00	31,513,000.55		1.783	1.808	425
Commercial Paper, Discount										
09702LA88	39670	Boeing Company	07/10/2019	22,989,658.84	23,000,000.00	22,991,055.53	2.350	2.378	2.411	01/08/2020 7
09702LA88	39719	Boeing Company	07/31/2019	21,194,293.44	21,203,000.00	21,194,754.37	2.150	2.170	2.201	01/08/2020 7
09702LGX7	40037	Boeing Company	11/13/2019	49,367,863.43	50,000,000.00	49,357,450.00	2.140	2.199	2.230	07/31/2020 212
14177TA79	40211	CARGILL INC	12/31/2019	64,984,580.84	65,000,000.00	64,979,525.00	1.460	1.460	1.480	01/07/2020 6
24023GA31	40138	CATERPILLAR	12/12/2019	89,491,177.99	89,500,000.00	89,487,917.50	1.820	1.822	1.847	01/03/2020 2
17327AAA5	39988	CITIGROUP GLOBAL MARKETS	10/30/2019	24,988,701.79	25,000,000.00	24,988,750.00	1.850	1.856	1.882	01/10/2020 9
46640PFS7	39973	JP Morgan Securities	10/28/2019	49,546,911.33	50,000,000.00	49,550,385.00	1.840	1.891	1.917	06/26/2020 177
19121AAX9	39839	Coca-Cola Co	09/12/2019	24,960,179.49	25,000,000.00	24,965,082.00	1.950	1.965	1.992	01/31/2020 30
59157TAH6	39787	METLIFE SHORT TERM FUND	08/30/2019	34,969,799.27	35,000,000.00	34,972,563.85	1.980	1.995	2.023	01/17/2020 16
59157TCL5	39999	METLIFE SHORT TERM FUND	10/31/2019	29,781,823.04	29,900,000.00	29,789,480.63	1.820	1.833	1.858	03/20/2020 79
59157TGO0	40116	METLIFE SHORT TERM FUND	12/09/2019	44,523,842.24	45,000,000.00	44,549,546.85	1.850	1.900	1.927	07/24/2020 205
63763PAF6	39731	NATL SEC CLEARING CORP (DTC)	08/12/2019	24,981,394.04	25,000,000.00	24,982,708.25	1.950	1.966	1.993	01/15/2020 14
63763PA90	39789	NATL SEC CLEARING CORP (DTC)	08/30/2019	24,989,543.90	25,000,000.00	24,989,625.00	1.920	1.933	1.960	01/09/2020 8
63763PB73	39995	NATL SEC CLEARING CORP (DTC)	10/30/2019	49,913,063.19	50,000,000.00	49,912,248.00	1.730	1.738	1.762	02/07/2020 37
7426M2BU4	39635	PRIVATE EXPORT FUND CORPORATIO	06/25/2019	3,488,156.75	3,500,000.00	3,490,374.79	2.100	2.162	2.192	02/28/2020 58
7426M2AH4	39681	PRIVATE EXPORT FUND CORPORATIO	07/15/2019	19,981,062.80	20,000,000.00	19,984,700.00	2.120	2.190	2.221	01/17/2020 16
7426M2CD1	39884	PRIVATE EXPORT FUND CORPORATIO	09/26/2019	13,049,352.03	13,100,000.00	13,054,681.99	1.950	1.968	1.995	03/13/2020 72
7426M2FS5	40082	PRIVATE EXPORT FUND CORPORATIO	11/27/2019	24,789,234.42	25,000,000.00	24,775,192.50	1.720	1.758	1.782	06/26/2020 177
7426M2FW6	40186	PRIVATE EXPORT FUND CORPORATIO	12/20/2019	14,869,491.43	15,000,000.00	14,861,983.35	1.730	1.774	1.799	06/30/2020 181
71708EBD6	39772	PFIZER	08/26/2019	19,954,045.27	20,000,000.00	19,959,308.20	1.960	1.978	2.005	02/13/2020 43
71708EAV7	39781	PFIZER	08/28/2019	29,954,908.12	30,000,000.00	29,959,883.40	1.970	1.986	2.014	01/29/2020 28
71708EAV7	39825	PFIZER	09/10/2019	24,961,877.20	25,000,000.00	24,966,569.50	2.000	2.015	2.043	01/29/2020 28
89116EAX1	39720	TORONTO DOMINION HOLDINGS USA	07/31/2019	34,936,412.56	35,000,000.00	34,611,811.15	2.170	2.243	2.274	01/31/2020 30
89116EAX1	39723	TORONTO DOMINION HOLDINGS USA	08/01/2019	19,963,833.64	20,000,000.00	19,778,177.80	2.160	2.232	2.263	01/31/2020 30
89116EFS7	39857	TORONTO DOMINION HOLDINGS USA	09/17/2019	39,610,075.21	40,000,000.00	40,000,000.00	1.980	2.035	2.064	06/26/2020 177
89233GAT1	39645	TOYOTA MOTOR CREDIT	06/27/2019	44,983,947.34	45,000,000.00	44,985,474.90	2.140	2.200	2.230	01/07/2020 6
2546F2AQ9	39782	Walt Disney Company	08/27/2019	19,975,371.77	20,000,000.00	19,978,400.00	1.965	1.981	2.008	01/24/2020 23
2546R2AX4	39799	Walt Disney Company	09/03/2019	12,280,197.19	12,300,000.00	12,282,820.34	1.970	1.986	2.013	01/31/2020 30

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Commercial Paper, Discount										
459052YH3	40147	World Bank Discount Note	12/12/2019	49,626,780.21	50,000,000.00	49,629,139.00	1.580	1.619	1.642	06/19/2020 170
459052YH3	40153	World Bank Discount Note	12/13/2019	24,813,394.21	25,000,000.00	24,814,569.50	1.580	1.619	1.642	06/19/2020 170
30229AAT6	40139	Exxon Mobil Group	12/12/2019	74,914,375.69	75,000,000.00	74,906,625.00	1.620	1.623	1.645	01/27/2020 26
Subtotal and Average				1,028,835,148.67	1,032,503,000.00	1,028,750,803.40		1.900	1.926	68
Federal Agency Discount Notes										
31315KTF7	39755	FARMER MAC	08/20/2019	14,962,374.65	15,000,000.00	14,970,233.40	1.890	1.934	1.961	02/18/2020 48
313312RW2	39662	FFCB DISCOUNT NOTE	07/05/2019	49,957,225.69	50,000,000.00	49,970,639.00	2.060	2.110	2.140	01/16/2020 15
313384RN1	39673	FHLB DISCOUNT	07/10/2019	24,989,895.75	25,000,000.00	24,993,708.25	2.085	2.136	2.166	01/08/2020 7
313312RL6	39736	FHLB DISCOUNT	08/13/2019	24,993,459.53	25,000,000.00	24,995,805.50	1.935	1.961	1.961	01/06/2020 5
313384TR0	40187	FHLB DISCOUNT	12/20/2019	49,874,433.45	50,000,000.00	49,879,666.50	1.575	1.601	1.624	02/28/2020 58
313384RH4	40192	FHLB DISCOUNT	12/23/2019	149,987,807.88	150,000,000.00	149,993,709.00	1.480	1.501	1.522	01/03/2020 2
313384RM3	40204	FHLB DISCOUNT	12/30/2019	199,952,883.06	200,000,000.00	199,958,056.00	1.430	1.450	1.470	01/07/2020 6
313588RY9	40126	FANNIE MAE DISCOUNT NOTE	12/10/2019	59,965,835.38	60,000,000.00	59,967,283.20	1.480	1.502	1.523	01/15/2020 14
31315KRG7	39597	FARMER MAC DISCOUNT NOTE	06/05/2019	24,998,456.70	25,000,000.00	25,000,000.00		2.284	2.316	01/02/2020 1
Subtotal and Average				599,682,372.09	600,000,000.00	599,729,100.85		1.632	1.654	11
Treasury Bills										
912796TZ2	40142	U S TREASURY BILL	12/12/2019	74,484,806.00	75,000,000.00	74,484,750.00		1.563	1.585	06/11/2020 162
912796TZ2	40143	U S TREASURY BILL	12/12/2019	49,657,660.27	50,000,000.00	49,656,500.00	1.525	1.558	1.579	06/11/2020 162
Subtotal and Average				124,142,466.27	125,000,000.00	124,141,250.00		1.561	1.583	162
Local Agency Investment Fund										
SYS8506	8506	LOCAL AGENCY INVEST FUND	07/01/2015	42,306,613.42	42,306,613.42	42,306,613.42	2.048	2.019	2.048	1
Subtotal and Average				42,306,613.42	42,306,613.42	42,306,613.42		2.020	2.048	1
Money Market										
SYS37590	37590	JP MORGAN US GOVT MMF	02/27/2017	363,527,742.03	363,527,742.03	363,527,742.03	1.520	1.499	1.520	1
SYS34292	34292	MORGAN STANLEY TRSY INSTL 8304	05/21/2013	275,073,381.71	275,073,381.71	275,073,381.71	1.500	1.479	1.500	1
SYS233519	23519	BLACKROCK TREASURY LIQ FUND	02/01/2008	583,061,934.00	583,061,934.00	583,061,934.00	1.510	1.489	1.510	1
Subtotal and Average				1,221,663,057.74	1,221,663,057.74	1,221,663,057.74		1.490	1.511	1

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Supranationals - Green Bond										
45818WBU9	38324	IADB	12/21/2017	25,000,000.00	25,000,000.00	24,987,384.75	2.051	2.199	2.229	01/15/2022 745
			Subtotal and Average	25,000,000.00	25,000,000.00	24,987,384.75		2.199	2.230	745
Supranationals										
4581X0CX4	37663	IADB	04/12/2017	34,990,210.41	35,000,000.00	34,979,854.00	1.625	1.680	1.704	05/12/2020 132
45818WBP0	38317	IADB	12/21/2017	45,020,247.57	45,000,000.00	44,993,700.00	2.071	2.151	2.181	07/15/2022 926
45818WBM7	38385	IADB	01/12/2018	20,057,327.19	20,000,000.00	20,045,000.00	2.201	2.147	2.176	07/15/2021 561
45818WBM7A	38739	IADB	05/30/2018	10,037,923.99	10,000,000.00	10,022,500.00	2.201	2.114	2.144	07/15/2021 561
4581X0DF2	39495	IADB	04/29/2019	17,141,821.77	17,000,000.00	17,574,809.78	2.625	2.372	2.405	01/16/2024 1,476
459058FA6	36653	INTL BANK RECON & DEVELOP	03/30/2016	19,996,217.50	20,000,000.00	19,980,815.80	1.376	1.435	1.455	03/30/2020 89
459058GQ0	39367	INTL BANK RECON & DEVELOP	04/02/2019	5,030,071.18	5,000,000.00	5,155,467.90	2.500	2.315	2.347	03/19/2024 1,539
45905U4D3	39865	INTL BANK RECON & DEVELOP	09/19/2019	35,000,000.00	35,000,000.00	35,005,997.60	2.080	0.596	0.604	09/19/2022 992
459058HG1	39871	INTL BANK RECON & DEVELOP	09/23/2019	10,000,000.00	10,000,000.00	10,010,632.30	2.200	2.169	2.200	09/23/2024 1,727
45905U5S9	40146	INTL BANK RECON & DEVELOP	12/12/2019	25,000,000.00	25,000,000.00	25,004,941.75	2.000	1.767	1.791	12/12/2024 1,807
459058GH0	40161	INTL BANK RECON & DEVELOP	12/16/2019	40,629,985.44	40,000,000.00	40,665,018.80	2.750	1.698	1.722	07/23/2021 569
			Subtotal and Average	262,903,805.05	262,000,000.00	263,438,737.93		1.737	1.761	830
Total Investments and Average				9,363,178,207.19	9,362,649,357.78	9,391,209,924.48		1.944	1.971	471

Fund WK - WORKERS COMP
Investments by Fund
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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Days To Date
Mortgage Backed Securities (MBS)										
3138LGKH8	39318	FNMA Multi-Family	03/19/2019	2,953,125.00	3,000,000.00	3,021,711.42	2.470	2.794	2.833	01/01/2024 1,461
		Subtotal and Average		2,953,125.00	3,000,000.00	3,021,711.42		2.795	2.834	1,461
Federal Agency Bonds										
3133EJZU6	38872	FFCB NOTES	09/27/2018	2,994,773.40	3,000,000.00	3,065,151.90	2.850	2.915	2.956	09/20/2021 628
3133EJZH5	38879	FFCB NOTES	10/05/2018	993,960.99	1,000,000.00	1,031,337.56	2.800	2.997	3.038	09/13/2022 986
3133EJ3B3	39112	FFCB NOTES	12/24/2018	2,002,513.44	2,000,000.00	2,047,173.46	2.800	2.695	2.732	12/17/2021 716
3130A0F70	39114	FHLB NOTES	12/24/2018	1,530,536.19	1,500,000.00	1,593,413.85	3.375	2.778	2.817	12/08/2023 1,437
3137EAEC9	37310	FHLMC NOTES	12/30/2016	2,466,851.19	2,500,000.00	2,481,557.63	1.125	1.961	1.988	08/12/2021 589
		Subtotal and Average		9,988,635.21	10,000,000.00	10,218,634.40		2.623	2.659	795
Money Market										
SYS34789	34789	BLACKROCK TREASURY LIQ FUND	12/26/2013	16,244,755.68	16,244,755.68	16,244,755.68	1.510	1.489	1.510	1
		Subtotal and Average		16,244,755.68	16,244,755.68	16,244,755.68		1.489	1.510	1
		Total Investments and Average		29,186,515.89	29,244,755.68	29,485,101.50		2.009	2.037	420

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Fund PCF - PARK CHARTER FUND
Investments by Fund
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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Days To Date Maturity
Federal Agency Bonds										
3133EJ3B3	39113	FFCB NOTES	12/24/2018	1,702,136.42	1,700,000.00	1,740,097.44	2.800	2.695	2.732	12/17/2021 716
3137EAE09	37307	FHLMC NOTES	12/30/2016	493,370.24	500,000.00	496,311.53	1.125	1.961	1.988	08/12/2021 589
		Subtotal and Average		2,195,506.66	2,200,000.00	2,236,408.97		2.530	2.566	687
Money Market										
SYS33657	33657	BLACKROCK TREASURY LIQ FUND	09/30/2012	2,101,659.91	2,101,659.91	2,101,659.91	1.510	1.489	1.510	1
		Subtotal and Average		2,101,659.91	2,101,659.91	2,101,659.91		1.489	1.510	1
		Total Investments and Average		4,297,166.57	4,301,659.91	4,338,068.88		2.021	2.049	351

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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Days To Date Maturity
Municipal Bonds										
010411AE6	39793	Alabama State	08/30/2019	531,609.84	500,000.00	530,740.00	5.000	0.947	0.960	08/01/2021 578
041042UP5	40021	ARKANSAS ST	11/07/2019	525,499.14	500,000.00	525,865.00	3.000	1.094	1.110	10/01/2022 1,004
13063C5Q9	37543	CALIFORNIA ST	03/14/2017	538,285.08	500,000.00	551,285.00	5.000	1.844	1.870	08/01/2022 943
13063BFJ6	37573	CALIFORNIA ST	03/20/2017	420,583.94	400,000.00	402,756.00	5.250	2.661	2.698	03/01/2022 790
13063DSG4	40064	CALIFORNIA ST	11/20/2019	554,508.95	500,000.00	556,190.00	5.000	1.065	1.080	11/01/2022 1,035
20772JQ96	37546	Connecticut-F	03/09/2017	527,633.60	500,000.00	535,345.00	5.000	1.873	1.900	11/15/2021 684
246381NB9	39874	DELAWARE ST	09/23/2019	574,062.50	500,000.00	578,160.00	5.000	1.242	1.260	02/01/2024 1,492
341150M31	35185	FLORIDA STATE BOARD EDUCATION	06/27/2014	1,082,139.61	1,065,000.00	1,085,533.20	5.000	1.588	1.610	07/01/2020 182
373385CF8	40048	GEORGIA ST	11/15/2019	548,044.40	500,000.00	548,480.00	5.000	1.075	1.090	07/01/2022 912
419792JY3	39790	Hawaii State	08/30/2019	574,906.19	500,000.00	571,085.00	5.000	0.907	0.920	10/01/2023 1,369
57582RKW5	37561	MASSACHUSETTS ST	03/16/2017	214,500.56	200,000.00	217,468.00	5.000	1.607	1.630	04/01/2022 821
57582RWB8	39846	MASSACHUSETTS ST	09/13/2019	546,955.36	500,000.00	548,480.00	5.000	1.154	1.170	07/01/2022 912
57582RQU3	40039	MASSACHUSETTS ST	11/13/2019	114,304.45	100,000.00	114,679.00	5.000	1.154	1.170	11/01/2023 1,400
574193KT4	39795	MARYLAND ST	08/30/2019	110,103.46	100,000.00	109,961.00	5.000	1.006	1.020	08/01/2022 943
574193RL4	39807	MARYLAND ST	09/04/2019	569,726.01	500,000.00	568,450.00	5.000	1.006	1.020	08/01/2023 1,308
574193PA0	40057	MARYLAND ST	11/19/2019	329,693.98	300,000.00	329,883.00	5.000	1.085	1.100	08/01/2022 943
56052AZE9	39802	Maine State	09/03/2019	443,650.68	420,000.00	442,927.80	5.000	0.966	0.980	06/01/2021 517
6041294D5	35288	MINNESOTA ST	08/21/2014	203,979.01	200,000.00	204,548.00	5.000	1.410	1.430	08/01/2020 213
60412AQP1	39803	MINNESOTA ST	09/03/2019	570,675.14	500,000.00	568,450.00	5.000	0.957	0.970	08/01/2023 1,308
6041297C4	39813	MINNESOTA ST	09/05/2019	550,518.74	500,000.00	549,805.00	5.000	1.006	1.020	08/01/2022 943
60412ADC4	40040	MINNESOTA ST	11/13/2019	439,252.37	400,000.00	439,844.00	5.000	1.115	1.131	08/01/2022 943
658256T93	35131	NORTH CAROLINA ST	06/05/2014	369,163.47	365,000.00	369,712.15	5.000	1.400	1.420	05/01/2020 121
658256Z21	39792	NORTH CAROLINA ST	08/30/2019	567,436.05	500,000.00	565,760.00	5.000	0.956	0.970	06/01/2023 1,247
647293RQ4	39826	NEW MEXICO ST SEVERANCE TAX	09/10/2019	542,129.63	500,000.00	541,620.00	5.000	1.035	1.050	03/01/2022 790
677521Q82	37526	OHIO ST	03/08/2017	322,822.12	305,000.00	325,544.80	5.000	1.509	1.530	10/01/2021 639
677522XY5	39812	OHIO ST	09/05/2019	540,842.96	500,000.00	540,035.00	5.000	1.006	1.020	02/01/2022 762
677522Q80	40063	OHIO ST	11/20/2019	559,311.85	500,000.00	560,470.00	5.000	1.155	1.171	03/01/2023 1,155
68609BSH1	39791	OREGON ST	08/30/2019	585,326.92	500,000.00	582,010.00	5.000	0.948	0.961	05/01/2024 1,582
68609TJY5	39873	OREGON ST	09/23/2019	210,448.29	200,000.00	211,006.00	5.000	1.242	1.260	06/01/2021 517
882723RA9	40026	TEXAS STATE REFUNDING	11/08/2019	561,518.27	500,000.00	562,510.00	5.000	1.114	1.130	04/01/2023 1,186
917542UG5	40033	UTAH ST	11/12/2019	565,791.44	500,000.00	567,670.00	5.000	1.134	1.150	07/01/2023 1,277
924258ZV7	39794	VERMONT ST	08/30/2019	561,716.18	500,000.00	559,980.00	5.000	0.956	0.970	02/15/2023 1,141

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Fund SJE - SAN JOSE- EVERGREEN
Investments by Fund
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CUSIP	Investment #	Issuer	Purchase Date	Book Value	Par Value	Market Value	Current Rate	YTM 360	YTM 365	Maturity Days To Date Maturity
Municipal Bonds										
93974CC65	37524	WASHINGTON ST	03/08/2017	526,339.34	500,000.00	529,130.00	5.000	1.352	1.371	07/01/2021 547
93974DM70	40027	WASHINGTON ST	11/08/2019	643,643.56	550,000.00	644,858.50	5.000	1.154	1.170	08/01/2024 1,674
97705MFT7	37717	WISCONSIN STATE	03/29/2017	537,091.59	500,000.00	545,150.00	5.000	1.647	1.670	05/01/2022 851
956553XU0	40032	West Virginia State	11/12/2019	636,597.78	565,000.00	638,297.45	5.000	1.183	1.200	06/01/2023 1,247
Subtotal and Average				17,700,812.46	16,170,000.00	17,723,688.90		1.231	1.248	961
Money Market - Tax Exempt										
SY533615	33615	Dreyfus Tax Exempt Inst	09/25/2012	3,066,143.82	3,066,143.82	3,066,143.82	1.080	1.065	1.080	1
Subtotal and Average				3,066,143.82	3,066,143.82	3,066,143.82		1.065	1.080	1
Total Investments and Average				20,766,956.28	19,236,143.82	20,789,832.72		1.206	1.223	819

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SANTA CLARA COUNTY INVESTMENTS

Transaction Activity Report

October 1, 2019 - December 31, 2019

Sorted by Fund - Transaction Date

COMMINGLED POOL Fund

Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
39896	COMM	SYS39896	BCREPO 1.82% MAT Purchase	Purchase	10/01/2019	BARCLAYS CAPITAL	100,000,000.00			-100,000,000.00
39897	COMM	09659CX29	BNP P DISC NOTE Purchase	Purchase	10/01/2019	BNP PARIBAS NY	49,997,513.89			-49,997,513.89
39898	COMM	313384ML0	FHDN DISC NOTE Purchase	Purchase	10/01/2019	FHLB DISCOUNT	49,997,777.78			-49,997,777.78
39899	COMM	21687BX22	RABO DISC NOTE Purchase	Purchase	10/01/2019	RABOBANK	99,995,027.78			-99,995,027.78
39893	COMM	21687BX14	RABO DISC NOTE Redemption	Redemption	10/01/2019	RABOBANK		100,000,000.00		100,000,000.00
39894	COMM	09659CX11	BNP P DISC NOTE Redemption	Redemption	10/01/2019	BNP PARIBAS NY		50,000,000.00		50,000,000.00
39895	COMM	313384MK2	FHDN DISC NOTE Redemption	Redemption	10/01/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
36313	COMM	13063CSQ4	CALIFORNIA ST FORInterest	Interest	10/01/2019	CALIFORNIA ST			91,980.00	91,980.00
36731	COMM	13063CP87	CALIFORNIA ST FORInterest	Interest	10/01/2019	CALIFORNIA ST			112,500.00	112,500.00
37523	COMM	65887PHS7	NORTH DAKOTA Interest	Interest	10/01/2019	North Dakota State F			12,500.00	12,500.00
38884	COMM	31381N7G2	FNMM 3.27% MAT Interest	Interest	10/01/2019	FNMA Multi-Family				0.00
38884	COMM	31381N7G2	FNMM 3.27% MAT Redemption	Redemption	10/01/2019	FNMA Multi-Family				0.00
39900	COMM	SYS39900	BCREPO 1.82% MAT Purchase	Purchase	10/02/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39903	COMM	09659KX39	BNP P DISC NOTE Purchase	Purchase	10/02/2019	BNP PARIBAS NY	49,997,513.89			-49,997,513.89
39902	COMM	313384MM8	FHDN DISC NOTE Purchase	Purchase	10/02/2019	FHLB DISCOUNT	49,997,777.78			-49,997,777.78
39904	COMM	3134GUF09	FEDERAL HOME LN Purchase	Purchase	10/02/2019	FHLMC NOTES	25,000,000.00			-25,000,000.00
39901	COMM	21687BX30	RABO DISC NOTE Purchase	Purchase	10/02/2019	RABOBANK	99,995,027.78			-99,995,027.78
35873	COMM	3137EADM8	FEDERAL HOME LN Redemption	Redemption	10/02/2019	FHLMC NOTES		30,000,000.00		30,000,000.00
36322	COMM	3137EADM8	FEDERAL HOME LN Redemption	Redemption	10/02/2019	FHLMC NOTES		10,000,000.00		10,000,000.00
36332	COMM	3137EADM8	FEDERAL HOME LN Redemption	Redemption	10/02/2019	FHLMC NOTES		18,350,000.00		18,350,000.00
36337	COMM	3137EADM8	FEDERAL HOME LN Redemption	Redemption	10/02/2019	FHLMC NOTES		8,000,000.00		8,000,000.00
36340	COMM	3137EADM8	FEDERAL HOME LN Redemption	Redemption	10/02/2019	FHLMC NOTES		10,000,000.00		10,000,000.00
39896	COMM	SYS39896	BCREPO 1.82% MAT Redemption	Redemption	10/02/2019	BARCLAYS CAPITAL		100,000,000.00		100,000,000.00
39897	COMM	09659CX29	BNP P DISC NOTE Redemption	Redemption	10/02/2019	BNP PARIBAS NY		50,000,000.00		50,000,000.00
39898	COMM	313384ML0	FHDN DISC NOTE Redemption	Redemption	10/02/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39899	COMM	21687BX22	RABO DISC NOTE Redemption	Redemption	10/02/2019	RABOBANK		100,000,000.00		100,000,000.00
35873	COMM	3137EADM8	FEDERAL HOME LN Interest	Interest	10/02/2019	FHLMC NOTES			187,500.00	187,500.00
36322	COMM	3137EADM8	FEDERAL HOME LN Interest	Interest	10/02/2019	FHLMC NOTES			62,500.00	62,500.00
36332	COMM	3137EADM8	FEDERAL HOME LN Interest	Interest	10/02/2019	FHLMC NOTES			114,687.50	114,687.50
36337	COMM	3137EADM8	FEDERAL HOME LN Interest	Interest	10/02/2019	FHLMC NOTES			50,000.00	50,000.00
36340	COMM	3137EADM8	FEDERAL HOME LN Interest	Interest	10/02/2019	FHLMC NOTES			62,500.00	62,500.00
39896	COMM	SYS39896	BCREPO 1.82% MAT Interest	Interest	10/02/2019	BARCLAYS CAPITAL			5,055.56	5,055.56
34292	COMM	SYS34292	MSTI 0.0% Interest	Interest	10/02/2019	MORGAN STANLEY			137,086.96	137,086.96
34292	COMM	SYS34292	MSTI 0.0% Purchase	Purchase	10/02/2019	MORGAN STANLEY	137,086.96			-137,086.96

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SANTA CLARA COUNTY INVESTMENTS
Transaction Activity Report
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Investment #	Fund	CUSIP	Inv Descrip	TransactionType	TransactionDate	Issuer	New Principal	Principal Paydowns	Interest	Total Cash
37590	COMM	SYS37590	JPM TE 0.44%	Interest	10/02/2019	JP MORGAN US			186,976.07	186,976.07
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	10/02/2019	JP MORGAN US	186,976.07			-186,976.07
39905	COMM	SYS39905	BCREPO 1.81% MAT	Purchase	10/03/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39907	COMM	09659CX45	BNP P DISC NOTE	Purchase	10/03/2019	BNP PARIBAS NY	49,997,513.89			-49,997,513.89
39908	COMM	31338AMN6	FHDN DISC NOTE	Purchase	10/03/2019	FHLB DISCOUNT	49,997,805.56			-49,997,805.56
39906	COMM	21687BX48	RABO DISC NOTE	Purchase	10/03/2019	RABOBANK	99,995,055.56			-99,995,055.56
39900	COMM	SYS39900	BCREPO 1.82% MAT	Redemption	10/03/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39901	COMM	21687BX30	RABO DISC NOTE	Redemption	10/03/2019	RABOBANK		100,000,000.00		100,000,000.00
39902	COMM	31338AMM8	FHDN DISC NOTE	Redemption	10/03/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39903	COMM	09659KX39	BNP P DISC NOTE	Redemption	10/03/2019	BNP PARIBAS NY		50,000,000.00		50,000,000.00
39900	COMM	SYS39900	BCREPO 1.82% MAT	Interest	10/03/2019	BARCLAYS CAPITAL			10,111.13	10,111.13
39909	COMM	SYS39909	BCREPO 1.78% MAT	Purchase	10/04/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39910	COMM	09659CX78	BNP P DISC NOTE	Purchase	10/04/2019	BNP PARIBAS NY	49,992,583.33			-49,992,583.33
39912	COMM	31338AMR7	FHDN DISC NOTE	Purchase	10/04/2019	FHLB DISCOUNT	49,993,208.33			-49,993,208.33
39911	COMM	21687BX71	RABO DISC NOTE	Purchase	10/04/2019	RABOBANK	99,985,166.66			-99,985,166.66
39913	COMM	92867XAD8	VWALT 1.99% MAT	Purchase	10/04/2019	Volkswagen Auto	10,499,834.10			-10,499,834.10
39905	COMM	SYS39905	BCREPO 1.81% MAT	Redemption	10/04/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39906	COMM	21687BX48	RABO DISC NOTE	Redemption	10/04/2019	RABOBANK		100,000,000.00		100,000,000.00
39907	COMM	09659CX45	BNP P DISC NOTE	Redemption	10/04/2019	BNP PARIBAS NY		50,000,000.00		50,000,000.00
39908	COMM	31338AMN6	FHDN DISC NOTE	Redemption	10/04/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39905	COMM	SYS39905	BCREPO 1.81% MAT	Interest	10/04/2019	BARCLAYS CAPITAL			10,055.57	10,055.57
39914	COMM	SYS39914	BCREPO 1.8% MAT	Purchase	10/07/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39916	COMM	31338AM55	FHDN DISC NOTE	Purchase	10/07/2019	FHLB DISCOUNT	49,997,736.11			-49,997,736.11
39915	COMM	21687BX89	RABO DISC NOTE	Purchase	10/07/2019	RABOBANK	99,995,055.56			-99,995,055.56
39917	COMM	92826CAB8	VISA INC. SR GLBL	Purchase	10/07/2019	VISA	7,232,717.79			-7,232,717.79
39909	COMM	SYS39909	BCREPO 1.78% MAT	Redemption	10/07/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39910	COMM	09659CX78	BNP P DISC NOTE	Redemption	10/07/2019	BNP PARIBAS NY		50,000,000.00		50,000,000.00
39911	COMM	21687BX71	RABO DISC NOTE	Redemption	10/07/2019	RABOBANK		100,000,000.00		100,000,000.00
39912	COMM	31338AMR7	FHDN DISC NOTE	Redemption	10/07/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
36679	COMM	3130A7PU3	FEDERAL HOME	Interest	10/07/2019	FHLB NOTES		60,000.00		60,000.00
37639	COMM	3133EHEZ2	FEDERAL FARM CR	Interest	10/07/2019	FFCB NOTES		120,000.00		120,000.00
37783	COMM	3135G0T45	FEDERAL NATL MTG	Interest	10/07/2019	FNMA NOTES		375,000.00		375,000.00
38163	COMM	3135G0T78	FEDERAL NATL MTG	Interest	10/07/2019	FNMA NOTES		50,000.00		50,000.00
38346	COMM	3133EGKD6	FEDERAL FARM CR	Interest	10/07/2019	FFCB NOTES		56,875.00		56,875.00
38389	COMM	3130ACK52	FEDERAL HOME	Interest	10/07/2019	FHLB NOTES		212,500.00		212,500.00
39107	COMM	3133EFX44	FEDERAL FARM CR	Interest	10/07/2019	FFCB NOTES		56,272.50		56,272.50
39909	COMM	SYS39909	BCREPO 1.78% MAT	Interest	10/07/2019	BARCLAYS CAPITAL		29,666.65		29,666.65
39918	COMM	SYS39918	BCREPO 1.82% MAT	Interest	10/08/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39919	COMM	31338AMT3	FHDN DISC NOTE	Purchase	10/08/2019	FHLB DISCOUNT	49,997,736.11			-49,997,736.11
39920	COMM	92348AAA3	VZOT 1.94% MAT	Purchase	10/08/2019	VERIZON OWNER	23,998,149.60			-23,998,149.60

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39914	COMM	SYS39914	BCREPO 1.82% MAT	Redemption	10/08/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39915	COMM	21687BX89	RABO DISC NOTE	Redemption	10/08/2019	RABOBANK		100,000,000.00		100,000,000.00
39916	COMM	31338AMS5	FHDN DISC NOTE	Redemption	10/08/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39914	COMM	SYS39914	BCREPO 1.82% MAT	Interest	10/08/2019	BARCLAYS CAPITAL			10,000.01	10,000.01
39921	COMM	SYS39921	BCREPO 1.82% MAT	Purchase	10/09/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39922	COMM	31338AMU0	FHDN DISC NOTE	Purchase	10/09/2019	FHLB DISCOUNT	49,997,736.11			-49,997,736.11
39918	COMM	SYS39918	BCREPO 1.82% MAT	Redemption	10/09/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39919	COMM	31338AMT3	FHDN DISC NOTE	Redemption	10/09/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39918	COMM	SYS39918	BCREPO 1.82% MAT	Interest	10/09/2019	BARCLAYS CAPITAL			10,111.12	10,111.12
39923	COMM	SYS39923	BCREPO 1.82% MAT	Purchase	10/10/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39926	COMM	3133EXK84	FEDERAL FARM CR	Purchase	10/10/2019	FFCB NOTES	20,000,000.00			-20,000,000.00
39924	COMM	31338AMV8	FHDN DISC NOTE	Purchase	10/10/2019	FHLB DISCOUNT	49,997,638.89			-49,997,638.89
39925	COMM	21687BXB2	RABO DISC NOTE	Purchase	10/10/2019	RABOBANK	99,995,055.56			-99,995,055.56
39921	COMM	SYS39921	BCREPO 1.82% MAT	Redemption	10/10/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39922	COMM	31338AMU0	FHDN DISC NOTE	Redemption	10/10/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39921	COMM	SYS39921	BCREPO 1.82% MAT	Interest	10/10/2019	BARCLAYS CAPITAL			10,111.11	10,111.11
39927	COMM	SYS39927	BCREPO 1.82% MAT	Purchase	10/11/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39929	COMM	31338AMZ9	FHDN DISC NOTE	Purchase	10/11/2019	FHLB DISCOUNT	49,990,555.56			-49,990,555.56
39928	COMM	21687BXF3	RABO DISC NOTE	Purchase	10/11/2019	RABOBANK	99,980,222.22			-99,980,222.22
39923	COMM	SYS39923	BCREPO 1.82% MAT	Redemption	10/11/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39924	COMM	31338AMV8	FHDN DISC NOTE	Redemption	10/11/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39925	COMM	21687BXB2	RABO DISC NOTE	Redemption	10/11/2019	RABOBANK		100,000,000.00		100,000,000.00
39923	COMM	SYS39923	BCREPO 1.82% MAT	Interest	10/11/2019	BARCLAYS CAPITAL			10,111.10	10,111.10
39930	COMM	SYS39930	BCREPO 1.94% MAT	Purchase	10/15/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39931	COMM	31338ANA3	FHDN DISC NOTE	Purchase	10/15/2019	FHLB DISCOUNT	49,997,500.00			-49,997,500.00
39932	COMM	21687BXG1	RABO DISC NOTE	Purchase	10/15/2019	RABOBANK	99,995,000.00			-99,995,000.00
8506	COMM	SYS8506	LAIF 1.65%	Purchase	10/15/2019	LOCAL AGENCY	259,000.91			-259,000.91
39927	COMM	SYS39927	BCREPO 1.82% MAT	Redemption	10/15/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39928	COMM	21687BXF3	RABO DISC NOTE	Redemption	10/15/2019	RABOBANK		100,000,000.00		100,000,000.00
39929	COMM	31338AMZ9	FHDN DISC NOTE	Redemption	10/15/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
36688	COMM	3133EF2L0	FEDERAL FARM CR	Interest	10/15/2019	FFCB NOTES			140,000.00	140,000.00
36689	COMM	3133EF2L0	FEDERAL FARM CR	Interest	10/15/2019	FFCB NOTES			105,000.00	105,000.00
36690	COMM	3133EF2L0	FEDERAL FARM CR	Interest	10/15/2019	FFCB NOTES			105,000.00	105,000.00
37665	COMM	3133EHFL2	FEDERAL FARM CR	Interest	10/15/2019	FFCB NOTES			116,250.00	116,250.00
37666	COMM	3133EHFL2	FEDERAL FARM CR	Interest	10/15/2019	FFCB NOTES			77,500.00	77,500.00
37667	COMM	3133EHFL2	FEDERAL FARM CR	Interest	10/15/2019	FFCB NOTES			77,500.00	77,500.00
38310	COMM	3133EGYC3	FEDERAL FARM CR	Interest	10/15/2019	FFCB NOTES			73,957.50	73,957.50
38317	COMM	45818WBPO	IADB 1.42917% MAT	Interest	10/15/2019	IADB			273,150.00	273,150.00
38324	COMM	45818WBUP	IADB 1.64203% MAT	Interest	10/15/2019	IADB			147,000.00	147,000.00
38385	COMM	45818WBMT	IADB 1.92152% MAT	Interest	10/15/2019	IADB			128,000.00	128,000.00

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38506	COMM	3133EJGH6	FEDERAL FARM CR Interest	Interest	10/15/2019	FICB NOTES			280,600.00	280,600.00
38739	COMM	45818WBM7A	IADB 1.92152% MAT Interest	Interest	10/15/2019	IADB			64,000.00	64,000.00
38858	COMM	3130A9MR9	FEDERAL HOME Interest	Interest	10/15/2019	FHLB NOTES			79,901.25	79,901.25
39439	COMM	89236TFX8	TOYOTA MOTOR Interest	Interest	10/15/2019	TOYOTA MOTOR			331,250.00	331,250.00
39452	COMM	89236TFX8	TOYOTA MOTOR Interest	Interest	10/15/2019	TOYOTA MOTOR			198,750.00	198,750.00
39452	COMM	89236TFX8	TOYOTA MOTOR Accr Int	Interest	10/15/2019	TOYOTA MOTOR	5,520.83		-5,520.83	0.00
39927	COMM	SYS39927	BCREPO 1.82% MAT Interest	Interest	10/15/2019	BARCLAYS CAPITAL			40,444.45	40,444.45
36956	COMM	58769BAD6	MBART 1.26% MAT Interest	Interest	10/15/2019	MERCEDES -BENZ			1,426.12	1,426.12
36956	COMM	58769BAD6	MBART 1.26% MAT Redemption	Redemption	10/15/2019	MERCEDES -BENZ	378,214.79			378,214.79
37035	COMM	89231LAD9	TAOT 1.23% MAT Interest	Interest	10/15/2019	TOYOTA AUTO REC			1,360.83	1,360.83
37035	COMM	89231LAD9	TAOT 1.23% MAT Redemption	Redemption	10/15/2019	TOYOTA AUTO REC	621,134.72			621,134.72
37507	COMM	47787XAC1	JDOT 1.78% MAT Interest	Interest	10/15/2019	JOHN DEERE			3,897.78	3,897.78
37507	COMM	47787XAC1	JDOT 1.78% MAT Redemption	Redemption	10/15/2019	JOHN DEERE	545,637.17			545,637.17
37568	COMM	89238MAD0	TAOT 1.73% MAT Interest	Interest	10/15/2019	TOYOTA AUTO REC			4,693.05	4,693.05
37568	COMM	89238MAD0	TAOT 1.73% MAT Redemption	Redemption	10/15/2019	TOYOTA AUTO REC	512,200.86			512,200.86
37976	COMM	43811BAC8	HAROT 1.68% MAT Interest	Interest	10/15/2019	HONDA AUTO			21,553.45	21,553.45
37976	COMM	43811BAC8	HAROT 1.68% MAT Redemption	Redemption	10/15/2019	HONDA AUTO	1,344,332.08			1,344,332.08
38137	COMM	89238KAD4	TAOT 1.93% MAT Interest	Interest	10/15/2019	TOYOTA AUTO REC			38,599.99	38,599.99
38137	COMM	89238KAD4	TAOT 1.93% MAT Redemption	Redemption	10/15/2019	TOYOTA AUTO REC	1,690,475.66			1,690,475.66
38475	COMM	65478DAD9	NALT 2.65% MAT Interest	Interest	10/15/2019	NISSAN AUTO			40,854.16	40,854.16
38475	COMM	65478DAD9	NALT 2.65% MAT Redemption	Redemption	10/15/2019	NISSAN AUTO	601,966.32			601,966.32
38476	COMM	47788CAC6	JDOT 2.66% MAT Interest	Interest	10/15/2019	JOHN DEERE			18,287.50	18,287.50
38476	COMM	47788CAC6	JDOT 2.66% MAT Redemption	Redemption	10/15/2019	JOHN DEERE	274,831.19			274,831.19
38646	COMM	02582JHQ6	AMXCA 2.67% MAT Interest	Interest	10/15/2019	American Express			100,125.00	100,125.00
38646	COMM	02582JHQ6	AMXCA 2.67% MAT Redemption	Redemption	10/15/2019	American Express	1,277,403.00			1,277,403.00
38708	COMM	161571HN7	CHAHT 2.1227% MAT Interest	Interest	10/15/2019	CHASE ISSUANCE			66,391.88	66,391.88
38708	COMM	161571HN7	CHAHT 2.1227% MAT Redemption	Redemption	10/15/2019	CHASE ISSUANCE	911,993.77			911,993.77
38958	COMM	89231PAD0	TAOT 3.18% MAT Interest	Interest	10/15/2019	TOYOTA AUTO REC			26,500.00	26,500.00
38958	COMM	89231PAD0	TAOT 3.18% MAT Redemption	Redemption	10/15/2019	TOYOTA AUTO REC	243,456.68			243,456.68
39013	COMM	43815AAC6	HAROT 3.16% MAT Interest	Interest	10/15/2019	HONDA AUTO			57,274.99	57,274.99
39013	COMM	43815AAC6	HAROT 3.16% MAT Redemption	Redemption	10/15/2019	HONDA AUTO	542,333.03			542,333.03
39062	COMM	05522RCZ9	BACCT 3.1% MAT Interest	Interest	10/15/2019	BANK OF AMERICA			50,350.00	50,350.00
39062	COMM	05522RCZ9	BACCT 3.1% MAT Redemption	Redemption	10/15/2019	BANK OF AMERICA	449,033.73			449,033.73
39082	COMM	05522RDA3	BACCT 3.1% MAT Interest	Interest	10/15/2019	BANK OF AMERICA			38,750.00	38,750.00
39082	COMM	05522RDA3	BACCT 3.1% MAT Redemption	Redemption	10/15/2019	BANK OF AMERICA	307,848.77			307,848.77
39190	COMM	58772TAC4	MBALT 3.1% MAT Interest	Interest	10/15/2019	MERCEDES-BENZ			25,833.33	25,833.33
39190	COMM	58772TAC4	MBALT 3.1% MAT Redemption	Redemption	10/15/2019	MERCEDES-BENZ	386,890.38			386,890.38
39220	COMM	05522RCY2	BACCT 2.7% MAT Interest	Interest	10/15/2019	BANK OF AMERICA			56,250.00	56,250.00
39220	COMM	05522RCY2	BACCT 2.7% MAT Redemption	Redemption	10/15/2019	BANK OF AMERICA	582,353.31			582,353.31
39320	COMM	05522RCY2	BACCT 2.7% MAT Interest	Interest	10/15/2019	BANK OF AMERICA			45,000.00	45,000.00

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39320	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	10/15/2019	BANK OF AMERICA		466,776.65		466,776.65
39578	COMM	65479HAC1	NAROT 2.5% MAT	Interest	10/15/2019	Nissan Auto Receivab			41,666.66	41,666.66
39578	COMM	65479HAC1	NAROT 2.5% MAT	Redemption	10/15/2019	Nissan Auto Receivab		396,280.28		396,280.28
39586	COMM	14042WAC4	COPAR 2.51% MAT	Interest	10/15/2019	Capital One Prime Au			18,825.03	18,825.03
39586	COMM	14042WAC4	COPAR 2.51% MAT	Redemption	10/15/2019	Capital One Prime Au		178,294.91		178,294.91
39706	COMM	477870AC3	JDOT 2.21% MAT	Interest	10/15/2019	JOHN DEERE			16,114.59	16,114.59
39706	COMM	477870AC3	JDOT 2.21% MAT	Redemption	10/15/2019	JOHN DEERE		166,627.22		166,627.22
39777	COMM	43815NAC8	HAROT 1.78% MAT	Interest	10/15/2019	HONDA AUTO			10,754.16	10,754.16
39777	COMM	43815NAC8	HAROT 1.78% MAT	Redemption	10/15/2019	HONDA AUTO		151,425.11		151,425.11
39811	COMM	14041NFU0	COMET 1.72% MAT	Interest	10/15/2019	Capitol One Multi Ex			66,888.89	66,888.89
39811	COMM	14041NFU0	COMET 1.72% MAT	Redemption	10/15/2019	Capitol One Multi Ex		574,514.37		574,514.37
39883	COMM	58769TAD7	MBART 1.93% MAT	Interest	10/15/2019	MERCEDES -BENZ			29,100.06	29,100.06
39883	COMM	58769TAD7	MBART 1.93% MAT	Redemption	10/15/2019	MERCEDES -BENZ		483,361.13		483,361.13
8506	COMM	SYS8506	LAIF 1.65%	Interest	10/15/2019	LOCAL AGENCY			259,000.91	259,000.91
39933	COMM	SYS39933	BCREPO 2.3% MAT	Purchase	10/16/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39934	COMM	313384NB1	FHDN DISC NOTE	Purchase	10/16/2019	FHLB DISCOUNT	49,997,597.22			-49,997,597.22
39936	COMM	3130A3DL5	FEDERAL HOME	Purchase	10/16/2019	FHLB NOTES	10,239,561.23			-10,239,561.23
39935	COMM	21687BXH9	RABO DISC NOTE	Purchase	10/16/2019	RABOBANK	99,995,000.00			-99,995,000.00
39930	COMM	SYS39930	BCREPO 1.94% MAT	Redemption	10/16/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
39931	COMM	313384NA3	FHDN DISC NOTE	Redemption	10/16/2019	FHLB DISCOUNT	50,000,000.00			50,000,000.00
39932	COMM	21687BXG1	RABO DISC NOTE	Redemption	10/16/2019	RABOBANK	100,000,000.00			100,000,000.00
39930	COMM	SYS39930	BCREPO 1.94% MAT	Interest	10/16/2019	BARCLAYS CAPITAL			10,777.79	10,777.79
39937	COMM	SYS39937	BCREPO 1.93% MAT	Purchase	10/17/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39938	COMM	313384NC9	FHDN DISC NOTE	Purchase	10/17/2019	FHLB DISCOUNT	49,997,597.22			-49,997,597.22
39939	COMM	21687BXJ5	RABO DISC NOTE	Purchase	10/17/2019	RABOBANK	99,995,028.00			-99,995,028.00
39933	COMM	SYS39933	BCREPO 2.3% MAT	Redemption	10/17/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
39934	COMM	313384NB1	FHDN DISC NOTE	Redemption	10/17/2019	FHLB DISCOUNT	50,000,000.00			50,000,000.00
39935	COMM	21687BXH9	RABO DISC NOTE	Redemption	10/17/2019	RABOBANK	100,000,000.00			100,000,000.00
37702	COMM	89236TDU6	TOYOTA MOTOR	Interest	10/17/2019	TOYOTA MOTOR			48,750.00	48,750.00
39933	COMM	SYS39933	BCREPO 2.3% MAT	Interest	10/17/2019	BARCLAYS CAPITAL			11,111.10	11,111.10
39940	COMM	SYS39940	BCREPO 1.85% MAT	Purchase	10/18/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39942	COMM	313384NF2	FHDN DISC NOTE	Purchase	10/18/2019	FHLB DISCOUNT	49,992,708.33			-49,992,708.33
39941	COMM	21687BXM8	RABO DISC NOTE	Purchase	10/18/2019	RABOBANK	99,985,083.34			-99,985,083.34
37057	COMM	89236TDH5	TOYOTA MOTOR	Redemption	10/18/2019	TOYOTA MOTOR		5,000,000.00		5,000,000.00
39937	COMM	SYS39937	BCREPO 1.93% MAT	Redemption	10/18/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
39938	COMM	313384NC9	FHDN DISC NOTE	Redemption	10/18/2019	FHLB DISCOUNT	50,000,000.00			50,000,000.00
39939	COMM	21687BXJ5	RABO DISC NOTE	Redemption	10/18/2019	RABOBANK	100,000,000.00			100,000,000.00
37057	COMM	89236TDH5	TOYOTA MOTOR	Interest	10/18/2019	TOYOTA MOTOR			38,750.00	38,750.00
39937	COMM	SYS39937	BCREPO 1.93% MAT	Interest	10/18/2019	BARCLAYS CAPITAL			10,722.22	10,722.22
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	10/18/2019	HONDA AUTO			2,273.67	2,273.67

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37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	10/18/2019	HONDA AUTO		460,796.18		460,796.18
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	10/18/2019	HONDA AUTO			30,100.00	30,100.00
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	10/18/2019	HONDA AUTO		394,116.19		394,116.19
39269	COMM	43814WAC9	HAROT 2.83% MAT	Interest	10/18/2019	HONDA AUTO			32,427.08	32,427.08
39269	COMM	43814WAC9	HAROT 2.83% MAT	Redemption	10/18/2019	HONDA AUTO		322,256.39		322,256.39
39943	COMM	SYS39943	BCREPO 1.83% MAT	Purchase	10/21/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39944	COMM	09659CXX3	BNP P DISC NOTE	Purchase	10/21/2019	BNP PARIBAS NY	49,997,513.89			-49,997,513.89
39946	COMM	31338ANG0	FHDN DISC NOTE	Purchase	10/21/2019	FHLB DISCOUNT	49,997,569.44			-49,997,569.44
39947	COMM	43707MXN2	HOME D DISC NOTE	Purchase	10/21/2019	HOME DEPOT	99,995,083.34			-99,995,083.34
39945	COMM	21687BXN6	RABO DISC NOTE	Purchase	10/21/2019	RABOBANK	99,995,027.78			-99,995,027.78
37725	COMM	3133EHCAS	FEDERAL FARM CR	Redemption	10/21/2019	FFCB NOTES	25,000,000.00			25,000,000.00
39940	COMM	SYS39940	BCREPO 1.85% MAT	Redemption	10/21/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
39941	COMM	21687BXMB	RABO DISC NOTE	Redemption	10/21/2019	RABOBANK	100,000,000.00			100,000,000.00
39942	COMM	31338ANF2	FHDN DISC NOTE	Redemption	10/21/2019	FHLB DISCOUNT	50,000,000.00			50,000,000.00
37725	COMM	3133EHCAS	FEDERAL FARM CR	Interest	10/21/2019	FFCB NOTES			180,000.00	180,000.00
37835	COMM	3137EAEF2	FHLMC 1.375% MAT	Interest	10/21/2019	FHLMC NOTES			309,375.00	309,375.00
38943	COMM	3133EJK24	FEDERAL FARM CR	Interest	10/21/2019	FFCB NOTES			225,000.00	225,000.00
39940	COMM	SYS39940	BCREPO 1.85% MAT	Interest	10/21/2019	BARCLAYS CAPITAL			30,833.35	30,833.35
37615	COMM	43814TAC6	HAROT 1.72% MAT	Interest	10/21/2019	HONDA AUTO			8,954.24	8,954.24
39327	COMM	05586VAD4	BMWLT 3.38% MAT	Interest	10/21/2019	BMW VEHICLE			18,250.00	18,250.00
39327	COMM	05586VAD4	BMWLT 3.38% MAT	Redemption	10/21/2019	BMW VEHICLE			208,136.45	208,136.45
39582	COMM	43815MAC0	HAROT 2.52% MAT	Interest	10/21/2019	HONDA AUTO			35,700.00	35,700.00
39582	COMM	43815MAC0	HAROT 2.52% MAT	Redemption	10/21/2019	HONDA AUTO			374,521.26	374,521.26
39612	COMM	92349GAA9	VERIZON OWNER	Interest	10/21/2019	VERIZON OWNER			67,958.35	67,958.35
39612	COMM	92349GAA9	VERIZON OWNER	Redemption	10/21/2019	VERIZON OWNER			670,695.32	670,695.32
39948	COMM	SYS39948	BCREPO 1.85% MAT	Purchase	10/22/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39949	COMM	09659CXP8	BNP P DISC NOTE	Purchase	10/22/2019	BNP PARIBAS NY	49,997,513.89			-49,997,513.89
39952	COMM	31338ANH8	FHDN DISC NOTE	Purchase	10/22/2019	FHLB DISCOUNT	49,997,500.00			-49,997,500.00
39954	COMM	31338ANH8	FHDN DISC NOTE	Purchase	10/22/2019	FHLB DISCOUNT	49,997,569.44			-49,997,569.44
39953	COMM	43707MXP7	HOME D DISC NOTE	Purchase	10/22/2019	HOME DEPOT	99,995,055.56			-99,995,055.56
39950	COMM	21687BXP1	RABO DISC NOTE	Purchase	10/22/2019	RABOBANK	99,995,027.78			-99,995,027.78
39951	COMM	30231GAV4	EXXON MOBIL	Purchase	10/22/2019	EXXON MOBIL	12,074,229.98			-12,074,229.98
39943	COMM	SYS39943	BCREPO 1.83% MAT	Redemption	10/22/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
39944	COMM	09659CXX3	BNP P DISC NOTE	Redemption	10/22/2019	BNP PARIBAS NY	50,000,000.00			50,000,000.00
39945	COMM	21687BXN6	RABO DISC NOTE	Redemption	10/22/2019	RABOBANK	100,000,000.00			100,000,000.00
39946	COMM	31338ANG0	FHDN DISC NOTE	Redemption	10/22/2019	FHLB DISCOUNT	50,000,000.00			50,000,000.00
39947	COMM	43707MXN2	HOME D DISC NOTE	Redemption	10/22/2019	HOME DEPOT	100,000,000.00			100,000,000.00
39943	COMM	SYS39943	BCREPO 1.83% MAT	Interest	10/22/2019	BARCLAYS CAPITAL			10,166.66	10,166.66
39955	COMM	SYS39955	BCREPO 1.83% MAT	Purchase	10/23/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00

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39956	COMM	09659CX06	BNP P DISC NOTE	Purchase	10/23/2019	BNP PARIBAS NY	49,997,513.89			-49,997,513.89
39958	COMM	313384NJ4	FHND DISC NOTE	Purchase	10/23/2019	FHLB DISCOUNT	49,997,527.78			-49,997,527.78
39959	COMM	313588NU0	FNDN DISC NOTE	Purchase	10/23/2019	FANNIE MAE	49,997,680.56			-49,997,680.56
39960	COMM	43707MX05	HOME D DISC NOTE	Purchase	10/23/2019	HOME DEPOT	24,998,777.78			-24,998,777.78
39957	COMM	21687BX09	RABO DISC NOTE	Purchase	10/23/2019	RABOBANK	99,995,027.78			-99,995,027.78
39950	COMM	SYS37590	JPM TE 0.44%	Purchase	10/23/2019	JP MORGAN US	75,000,000.00			-75,000,000.00
39948	COMM	SYS39948	BCREPO 1.85% MAT	Redemption	10/23/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39949	COMM	09659CXP8	BNP P DISC NOTE	Redemption	10/23/2019	BNP PARIBAS NY		50,000,000.00		50,000,000.00
39950	COMM	21687BXP1	RABO DISC NOTE	Redemption	10/23/2019	RABOBANK		100,000,000.00		100,000,000.00
39952	COMM	313384NH8	FHND DISC NOTE	Redemption	10/23/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39953	COMM	43707MX07	HOME D DISC NOTE	Redemption	10/23/2019	HOME DEPOT		100,000,000.00		100,000,000.00
39954	COMM	313384NH8	FHND DISC NOTE	Redemption	10/23/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39948	COMM	SYS39948	BCREPO 1.85% MAT	Interest	10/23/2019	BARCLAYS CAPITAL			10,277.78	10,277.78
39961	COMM	SYS39961	BCREPO 1.83% MAT	Purchase	10/24/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39964	COMM	09659CXR4	BNP P DISC NOTE	Purchase	10/24/2019	BNP PARIBAS NY	49,997,513.89			-49,997,513.89
39963	COMM	313384NK1	FHND DISC NOTE	Purchase	10/24/2019	FHLB DISCOUNT	49,997,500.00			-49,997,500.00
39965	COMM	43708MXR3	HOME D DISC NOTE	Purchase	10/24/2019	HOME DEPOT	99,995,111.12			-99,995,111.12
39962	COMM	21687BXR7	RABO DISC NOTE	Purchase	10/24/2019	RABOBANK	99,995,027.78			-99,995,027.78
39955	COMM	SYS39955	BCREPO 1.83% MAT	Redemption	10/24/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39956	COMM	09659CX06	BNP P DISC NOTE	Redemption	10/24/2019	BNP PARIBAS NY		50,000,000.00		50,000,000.00
39957	COMM	21687BX09	RABO DISC NOTE	Redemption	10/24/2019	RABOBANK		100,000,000.00		100,000,000.00
39958	COMM	313384NJ4	FHND DISC NOTE	Redemption	10/24/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39959	COMM	313588NU0	FNDN DISC NOTE	Redemption	10/24/2019	FANNIE MAE		50,000,000.00		50,000,000.00
39960	COMM	43707MX05	HOME D DISC NOTE	Redemption	10/24/2019	HOME DEPOT		25,000,000.00		25,000,000.00
37641	COMM	3130AB3T1	FEDERAL HOME	Interest	10/24/2019	FHLB NOTES			175,000.00	175,000.00
39955	COMM	SYS39955	BCREPO 1.83% MAT	Interest	10/24/2019	BARCLAYS CAPITAL			10,166.68	10,166.68
39966	COMM	SYS39966	BCREPO 1.81% MAT	Purchase	10/25/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39969	COMM	09659CXU7	BNP P DISC NOTE	Purchase	10/25/2019	BNP PARIBAS NY	49,992,541.67			-49,992,541.67
39971	COMM	22533UXU2	CACPNY DISC NOTE	Purchase	10/25/2019	Credit Agricole Corp	74,988,875.00			-74,988,875.00
39968	COMM	313384NN5	FHND DISC NOTE	Purchase	10/25/2019	FHLB DISCOUNT	99,985,000.00			-99,985,000.00
39970	COMM	313384NN5	FHND DISC NOTE	Purchase	10/25/2019	FHLB DISCOUNT	49,992,500.00			-49,992,500.00
39967	COMM	21687BXU0	RABO DISC NOTE	Purchase	10/25/2019	RABOBANK	99,985,083.34			-99,985,083.34
36893	COMM	05582QAD9	BMWOT 1.16% MAT	Redemption	10/25/2019	BMW VEHICLE		217,541.58		217,541.58
38884	COMM	31381N7G2	FNMAM 3.27% MAT	Redemption	10/25/2019	FNMA Multi-Family		3,419,303.24		3,419,303.24
39478	COMM	3134GTJV6	FEDERAL HOME LN	Redemption	10/25/2019	FHLMC NOTES		40,000,000.00		40,000,000.00
39961	COMM	SYS39961	BCREPO 1.83% MAT	Redemption	10/25/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39962	COMM	21687BXR7	RABO DISC NOTE	Redemption	10/25/2019	RABOBANK		100,000,000.00		100,000,000.00
39963	COMM	313384NK1	FHND DISC NOTE	Redemption	10/25/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39964	COMM	09659CXR4	BNP P DISC NOTE	Redemption	10/25/2019	BNP PARIBAS NY		50,000,000.00		50,000,000.00
39965	COMM	43708MXR3	HOME D DISC NOTE	Redemption	10/25/2019	HOME DEPOT		100,000,000.00		100,000,000.00

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39478	COMM	3134GTJ6	FEDERAL HOME LN Interest	Interest	10/25/2019	FHLMC NOTES			518,000.00	518,000.00
39961	COMM	SYS39961	BCREPO 1.83% MAT Interest	Interest	10/25/2019	BARCLAYS CAPITAL			10,166.66	10,166.66
36893	COMM	05582QAD9	BMWOT 1.16% MAT Interest	Interest	10/25/2019	BMW VEHICLE			210.29	210.29
36893	COMM	05582QAD9	BMWOT 1.16% MAT Redemption	Redemption	10/25/2019	BMW VEHICLE	0.98			0.98
36893	COMM	05582QAD9	BMWOT 1.16% MAT Interest	Interest	10/25/2019	BMW VEHICLE				0.00
36893	COMM	05582QAD9	BMWOT 1.16% MAT Redemption	Redemption	10/25/2019	BMW VEHICLE				0.00
38387	COMM	3137AYCE9	Interest	Interest	10/25/2019	FHLMC Multi-Family			44,700.00	44,700.00
38387	COMM	3137AYCE9	Redemption	Redemption	10/25/2019	FHLMC Multi-Family	609,883.71			609,883.71
38391	COMM	3137AYCE9	Interest	Interest	10/25/2019	FHLMC Multi-Family			16,762.50	16,762.50
38391	COMM	3137AYCE9	Redemption	Redemption	10/25/2019	FHLMC Multi-Family	228,591.51			228,591.51
38412	COMM	09659QAD9	BMWOT 2.35% MAT Interest	Interest	10/25/2019	BMW VEHICLE			62,666.66	62,666.66
38412	COMM	09659QAD9	BMWOT 2.35% MAT Redemption	Redemption	10/25/2019	BMW VEHICLE	660,799.04			660,799.04
38465	COMM	3137AWQH1	FHLMCM 2.307% Interest	Interest	10/25/2019	FHLMC Multi-Family			10,727.55	10,727.55
38465	COMM	3137AWQH1	FHLMCM 2.307% Redemption	Redemption	10/25/2019	FHLMC Multi-Family	173,968.84			173,968.84
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT Interest	Interest	10/25/2019	FNMA Multi-Family			7,418.16	7,418.16
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT Redemption	Redemption	10/25/2019	FNMA Multi-Family	6,069.90			6,069.90
38643	COMM	3137B36J2	FHLMCM 3.32% MAT Interest	Interest	10/25/2019	FHLMC Multi-Family			55,333.34	55,333.34
38643	COMM	3137B36J2	FHLMCM 3.32% MAT Redemption	Redemption	10/25/2019	FHLMC Multi-Family	559,765.20			559,765.20
38664	COMM	3136B1XP4	FNMM 3.56% MAT Interest	Interest	10/25/2019	FNMA Multi-Family			9,421.53	9,421.53
38664	COMM	3136B1XP4	FNMM 3.56% MAT Redemption	Redemption	10/25/2019	FNMA Multi-Family	107,152.65			107,152.65
38665	COMM	3136B1XP4	FNMM 3.56% MAT Interest	Interest	10/25/2019	FNMA Multi-Family			9,421.53	9,421.53
38665	COMM	3136B1XP4	FNMM 3.56% MAT Redemption	Redemption	10/25/2019	FNMA Multi-Family	107,152.65			107,152.65
38666	COMM	3137AYCE9	Interest	Interest	10/25/2019	FHLMC Multi-Family			26,250.08	26,250.08
38666	COMM	3137AYCE9	Redemption	Redemption	10/25/2019	FHLMC Multi-Family	351,477.79			351,477.79
38744	COMM	3137AYCE9	Interest	Interest	10/25/2019	FHLMC Multi-Family			44,700.00	44,700.00
38744	COMM	3137AYCE9	Redemption	Redemption	10/25/2019	FHLMC Multi-Family	598,727.51			598,727.51
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT Interest	Interest	10/25/2019	FHLMC Multi-Family			12,176.25	12,176.25
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT Redemption	Redemption	10/25/2019	FHLMC Multi-Family	119,655.42			119,655.42
38864	COMM	3137B5JM6	FHLMCM 3.531% Interest	Interest	10/25/2019	FHLMC Multi-Family			29,425.00	29,425.00
38864	COMM	3137B5JM6	FHLMCM 3.531% Redemption	Redemption	10/25/2019	FHLMC Multi-Family	252,499.41			252,499.41
38884	COMM	31381N7G2	FNMM 3.27% MAT Interest	Interest	10/25/2019	FNMA Multi-Family			9,292.92	9,292.92
38884	COMM	31381N7G2	FNMM 3.27% MAT Redemption	Redemption	10/25/2019	FNMA Multi-Family	-9,058.46			-9,058.46
38945	COMM	3137B3NA2	FHLMC REMIC Interest	Interest	10/25/2019	FHLMC Multi-Family			28,723.99	28,723.99
38945	COMM	3137B3NA2	FHLMC REMIC Redemption	Redemption	10/25/2019	FHLMC Multi-Family	280,666.28			280,666.28
39026	COMM	3137B5KW2	FHLMCM 3.458% Interest	Interest	10/25/2019	FHLMC Multi-Family			28,816.67	28,816.67
39026	COMM	3137B5KW2	FHLMCM 3.458% Redemption	Redemption	10/25/2019	FHLMC Multi-Family	247,244.60			247,244.60
39150	COMM	31381TYT1	FNMM 2.75% MAT Interest	Interest	10/25/2019	FNMA Multi-Family			67,883.32	67,883.32
39150	COMM	31381TYT1	FNMM 2.75% MAT Redemption	Redemption	10/25/2019	FNMA Multi-Family	64,989.03			64,989.03
39158	COMM	31381R223	FNMM 3.84% MAT Interest	Interest	10/25/2019	FNMA Multi-Family			45,993.96	45,993.96
39158	COMM	31381R223	FNMM 3.84% MAT Redemption	Redemption	10/25/2019	FNMA Multi-Family	28,234.80			28,234.80

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39218	COMM	31381RLL6	FNMAM 3.84% MAT	Interest	10/25/2019	FNMA Multi-Family			37,637.85	37,637.85
39218	COMM	31381RLL6	FNMAM 3.84% MAT	Redemption	10/25/2019	FNMA Multi-Family		21,435.56		21,435.56
39219	COMM	31381RLL6	FNMAM 3.84% MAT	Interest	10/25/2019	FNMA Multi-Family			6,282.62	6,282.62
39219	COMM	31381RLL6	FNMAM 3.84% MAT	Redemption	10/25/2019	FNMA Multi-Family		3,578.10		3,578.10
39295	COMM	3137B04Y7	FHLMCM 2.615% Interest	Interest	10/25/2019	FHLMC Multi-Family			58,837.51	58,837.51
39295	COMM	3137B04Y7	FHLMCM 2.615% Interest	Redemption	10/25/2019	FHLMC Multi-Family		758,951.59		758,951.59
39319	COMM	3138LQKH8	FNMAM 2.47% MAT	Interest	10/25/2019	FNMA Multi-Family			51,458.33	51,458.33
39319	COMM	3138LQKH8	FNMAM 2.47% MAT	Redemption	10/25/2019	FNMA Multi-Family		573,126.01		573,126.01
39342	COMM	3137BQR90	FHLMCM 2.272% Interest	Interest	10/25/2019	FHLMC Multi-Family			44,303.99	44,303.99
39342	COMM	3137BQR90	FHLMCM 2.272% Interest	Redemption	10/25/2019	FHLMC Multi-Family		656,506.30		656,506.30
39457	COMM	3138EXK67	FNMAM 2.537% MAT	Interest	10/25/2019	FNMA Multi-Family			32,490.43	32,490.43
39457	COMM	3138EXK67	FNMAM 2.537% MAT	Redemption	10/25/2019	FNMA Multi-Family		34,020.92		34,020.92
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Interest	10/25/2019	FNMA Multi-Family			30,909.25	30,909.25
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Redemption	10/25/2019	FNMA Multi-Family		31,871.64		31,871.64
39609	COMM	3138LGF8	FNMAM 2.15% MAT	Interest	10/25/2019	FNMA Multi-Family			8,958.33	8,958.33
39609	COMM	3138LGF8	FNMAM 2.15% MAT	Redemption	10/25/2019	FNMA Multi-Family		116,533.42		116,533.42
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Interest	10/25/2019	FNMA Multi-Family			13,126.76	13,126.76
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Redemption	10/25/2019	FNMA Multi-Family		13,395.53		13,395.53
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Interest	10/25/2019	FHLMC Multi-Family			46,194.53	46,194.53
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Redemption	10/25/2019	FHLMC Multi-Family		259,653.76		259,653.76
39721	COMM	3137BQR90	FHLMCM 2.272% Interest	Interest	10/25/2019	FHLMC Multi-Family			6,778.13	6,778.13
39721	COMM	3137BQR90	FHLMCM 2.272% Interest	Redemption	10/25/2019	FHLMC Multi-Family		102,349.00		102,349.00
39734	COMM	3138L0U90	FNMAM 2.59% MAT	Interest	10/25/2019	FNMA Multi-Family			10,791.67	10,791.67
39734	COMM	3138L0U90	FNMAM 2.59% MAT	Redemption	10/25/2019	FNMA Multi-Family		314,130.40		314,130.40
39735	COMM	31381VB3	FNMAM 2.83% MAT	Interest	10/25/2019	FNMA Multi-Family			11,974.48	11,974.48
39735	COMM	31381VB3	FNMAM 2.83% MAT	Redemption	10/25/2019	FNMA Multi-Family		12,213.54		12,213.54
39844	COMM	3138LORM5	FNMAM 2.135% MAT	Interest	10/25/2019	FNMA Multi-Family			15,811.56	15,811.56
39844	COMM	3138LORM5	FNMAM 2.135% MAT	Redemption	10/25/2019	FNMA Multi-Family		21,224.42		21,224.42
39844	COMM	3138LORM5	FNMAM 2.135% MAT	Redemption	10/25/2019	FNMA Multi-Family			-6,324.63	-6,324.63
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Interest	10/25/2019	BMW VEHICLE			34,039.99	34,039.99
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Redemption	10/25/2019	BMW VEHICLE		321,168.62		321,168.62
39972	COMM	SYS39972	BCREPO 1.79% MAT	Purchase	10/28/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
39975	COMM	22533UXV0	CACPNY DISC NOTE	Purchase	10/28/2019	Credit Agricole Corp	99,995,055.56			99,995,055.56
39976	COMM	313384NP0	FHDN DISC NOTE	Purchase	10/28/2019	FHLB DISCOUNT	99,995,138.88			99,995,138.88
39977	COMM	313384NP0	FHDN DISC NOTE	Purchase	10/28/2019	FHLB DISCOUNT	49,997,569.44			49,997,569.44
39973	COMM	46640PFS7	JPMSCC ZERO CPN	Purchase	10/28/2019	JP Morgan Securities	49,381,555.56			49,381,555.56
39974	COMM	21687BXV8	RABO DISC NOTE	Purchase	10/28/2019	RABOBANK	99,995,027.78			99,995,027.78
36729	COMM	3134G9AV9	FEDERAL HOME LN	Redemption	10/28/2019	FHLMC NOTES		15,000,000.00		15,000,000.00
36730	COMM	3134G9AV9	FEDERAL HOME LN	Redemption	10/28/2019	FHLMC NOTES		4,250,000.00		4,250,000.00

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39966	COMM	SYS39966	BCREPO 1.81% MAT Redemption		10/28/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39967	COMM	21687BXU0	RABO DISC NOTE Redemption		10/28/2019	RABOBANK		100,000,000.00		100,000,000.00
39968	COMM	313384NN5	FHDN DISC NOTE Redemption		10/28/2019	FHLB DISCOUNT		100,000,000.00		100,000,000.00
39969	COMM	09659CXU7	BNP P DISC NOTE Redemption		10/28/2019	BNP PARIBAS NY		50,000,000.00		50,000,000.00
39970	COMM	313384NN5	FHDN DISC NOTE Redemption		10/28/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39971	COMM	22533UXU2	CACPNY DISC NOTE Redemption		10/28/2019	Credit Agricole Corp		75,000,000.00		75,000,000.00
36729	COMM	3134G9AV9	FEDERAL HOME LN Interest		10/28/2019	FHLMC NOTES			93,750.00	93,750.00
36730	COMM	3134G9AV9	FEDERAL HOME LN Interest		10/28/2019	FHLMC NOTES			26,562.50	26,562.50
37696	COMM	3134GBEF5	FEDERAL HOME LN Interest		10/28/2019	FHLMC NOTES			30,940.00	30,940.00
38081	COMM	3133EHJ95	FEDERAL FARM CR Interest		10/28/2019	FFCB NOTES			87,500.00	87,500.00
38082	COMM	3133EHJ95	FEDERAL FARM CR Interest		10/28/2019	FFCB NOTES			43,750.00	43,750.00
38529	COMM	191216BT6	KOPP 1.875% MAT Interest		10/28/2019	Coca-Cola Co			70,312.50	70,312.50
38921	COMM	3130AFA72	FEDERAL HOME Interest		10/28/2019	FHLB NOTES			195,000.00	195,000.00
39966	COMM	SYS39966	BCREPO 1.81% MAT Interest		10/28/2019	BARCLAYS CAPITAL			30,166.66	30,166.66
39978	COMM	SYS39978	BCREPO 1.77% MAT Purchase		10/29/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39986	COMM	05586FKT3	BNP P 1.86% MAT Purchase		10/29/2019	BNP PARIBAS NY	50,000,000.00			-50,000,000.00
39984	COMM	06417MEF8	BNSHOU 1.88% MAT Purchase		10/29/2019	BANK OF NOVA	50,000,000.00			-50,000,000.00
39981	COMM	313384NQ8	FHDN DISC NOTE Purchase		10/29/2019	FHLB DISCOUNT	99,995,194.44			-99,995,194.44
39983	COMM	313384NQ8	FHDN DISC NOTE Purchase		10/29/2019	FHLB DISCOUNT	49,997,597.22			-49,997,597.22
39985	COMM	3130A2UW4	FEDERAL HOME Purchase		10/29/2019	FHLB NOTES	31,662,108.33			-31,662,108.33
39979	COMM	43707MXW2	HOME D DISC NOTE Purchase		10/29/2019	HOME DEPOT	99,995,138.88			-99,995,138.88
39982	COMM	63873KXW2	NATXNY DISC NOTE Purchase		10/29/2019	Natixis NY Branch	49,997,513.89			-49,997,513.89
39980	COMM	21687BXW6	RABO DISC NOTE Purchase		10/29/2019	RABOBANK	99,995,055.56			-99,995,055.56
39972	COMM	SYS39972	BCREPO 1.79% MAT Redemption		10/29/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39974	COMM	21687BXV8	RABO DISC NOTE Redemption		10/29/2019	RABOBANK		100,000,000.00		100,000,000.00
39975	COMM	22533UXV0	CACPNY DISC NOTE Redemption		10/29/2019	Credit Agricole Corp		100,000,000.00		100,000,000.00
39976	COMM	313384NP0	FHDN DISC NOTE Redemption		10/29/2019	FHLB DISCOUNT		100,000,000.00		100,000,000.00
39977	COMM	313384NP0	FHDN DISC NOTE Redemption		10/29/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
38090	COMM	3134GBU83	FEDERAL HOME LN Interest		10/29/2019	FHLMC NOTES			150,000.00	150,000.00
39972	COMM	SYS39972	BCREPO 1.79% MAT Interest		10/29/2019	BARCLAYS CAPITAL			9,944.44	9,944.44
39987	COMM	SYS39987	BCREPO 1.8% MAT Purchase		10/30/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
39988	COMM	17327AAA5	CITIGR DISC NOTE Purchase		10/30/2019	CITIGROUP GLOBAL	24,907,500.00			-24,907,500.00
39990	COMM	22549LC37	CRSNY 1.91% MAT Purchase		10/30/2019	Credit Suisse NY	50,000,000.00			-50,000,000.00
39991	COMM	313384NR6	FHDN DISC NOTE Purchase		10/30/2019	FHLB DISCOUNT	49,997,597.22			-49,997,597.22
39992	COMM	313384NS4	FHDN DISC NOTE Purchase		10/30/2019	FHLB DISCOUNT	49,995,833.33			-49,995,833.33
39993	COMM	43707MXX0	HOME D DISC NOTE Purchase		10/30/2019	HOME DEPOT	99,995,138.88			-99,995,138.88
39994	COMM	55379W5A0	MUFG 1.92% MAT Purchase		10/30/2019	MUFG Mitsubishi	50,000,000.00			-50,000,000.00
39995	COMM	63763PB73	NSCCPP DISC NOTE Purchase		10/30/2019	NATL SEC	49,759,722.22			-49,759,722.22
39989	COMM	21687BXK4	RABO DISC NOTE Purchase		10/30/2019	RABOBANK	99,995,055.56			-99,995,055.56
39996	COMM	931142EK5	WALMART INC. SR Purchase		10/30/2019	WALMART	10,642,711.11			-10,642,711.11

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39978	COMM	SYS39978	BCREPO 1.77% MAT Redemption		10/30/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39979	COMM	43707MXW2	HOME D DISC NOTE Redemption		10/30/2019	HOME DEPOT		100,000,000.00		100,000,000.00
39980	COMM	21687BXW6	RABO DISC NOTE Redemption		10/30/2019	RABOBANK		100,000,000.00		100,000,000.00
39981	COMM	313384NQ8	FHDN DISC NOTE Redemption		10/30/2019	FHLB DISCOUNT		100,000,000.00		100,000,000.00
39982	COMM	63873KXW2	NATXNY DISC NOTE Redemption		10/30/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
39983	COMM	313384NQ8	FHDN DISC NOTE Redemption		10/30/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39978	COMM	SYS39978	BCREPO 1.77% MAT Interest		10/30/2019	BARCLAYS CAPITAL			9,833.34	9,833.34
39999	COMM	59157TCL5	METSHR DISC NOTE Purchase		10/31/2019	METLIFE SHORT	29,686,862.83			-29,686,862.83
39997	COMM	21687BY13	RABO DISC NOTE Purchase		10/31/2019	RABOBANK	99,995,694.44			-99,995,694.44
39998	COMM	30231GAC6	EXXON MOBIL Purchase		10/31/2019	EXXON MOBIL	5,270,041.11			-5,270,041.11
34292	COMM	SYS34292	MSTI 0. % Purchase		10/31/2019	MORGAN STANLEY	135,000,000.00			-135,000,000.00
37590	COMM	SYS37590	JPM TE 0.44% Purchase		10/31/2019	JP MORGAN US	135,000,000.00			-135,000,000.00
39279	COMM	46640QXX4	JPMSCC ZERO CPN Redemption		10/31/2019	JP Morgan Securities		50,000,000.00		50,000,000.00
39987	COMM	SYS39987	BCREPO 1.8% MAT Redemption		10/31/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39989	COMM	21687BXX4	RABO DISC NOTE Redemption		10/31/2019	RABOBANK		100,000,000.00		100,000,000.00
39991	COMM	313384NR6	FHDN DISC NOTE Redemption		10/31/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39993	COMM	43707MXX0	HOME D DISC NOTE Redemption		10/31/2019	HOME DEPOT		100,000,000.00		100,000,000.00
39987	COMM	SYS39987	BCREPO 1.8% MAT Interest		10/31/2019	BARCLAYS CAPITAL			10,000.00	10,000.00
40000	COMM	SYS40000	BCREPO 1.54% MAT Purchase		11/01/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40004	COMM	13606BTL4	CIBCNY 1.83% MAT Purchase		11/01/2019	Canadian IMP BK	75,000,000.00			-75,000,000.00
40002	COMM	313384NV7	FHDN DISC NOTE Purchase		11/01/2019	FHLB DISCOUNT	99,988,083.34			-99,988,083.34
40005	COMM	594918BQ6	MICROSOFT CORP, Purchase		11/01/2019	MICROSOFT CORP	10,948,469.12			-10,948,469.12
40001	COMM	63873KY43	NATXNY DISC NOTE Purchase		11/01/2019	Natixis NY Branch	49,993,583.33			-49,993,583.33
40003	COMM	21687BY47	RABO DISC NOTE Purchase		11/01/2019	RABOBANK	99,987,166.66			-99,987,166.66
39992	COMM	313384NS4	FHDN DISC NOTE Redemption		11/01/2019	FHLB DISCOUNT		50,000,000.00		50,000,000.00
39997	COMM	21687BY13	RABO DISC NOTE Redemption		11/01/2019	RABOBANK		100,000,000.00		100,000,000.00
40006	COMM	SYS40006	BCREPO 1.54% MAT Purchase		11/04/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40009	COMM	3133EK4N3	FEDERAL FARM CR Purchase		11/04/2019	FFCB NOTES	25,000,000.00			-25,000,000.00
40008	COMM	313384NW5	FHDN DISC NOTE Purchase		11/04/2019	FHLB DISCOUNT	99,996,055.56			-99,996,055.56
40010	COMM	3130AHGL1	FEDERAL HOME Purchase		11/04/2019	FHLB NOTES	25,000,000.00			-25,000,000.00
40007	COMM	21687BY54	RABO DISC NOTE Purchase		11/04/2019	RABOBANK	99,995,750.00			-99,995,750.00
40000	COMM	SYS40000	BCREPO 1.54% MAT Redemption		11/04/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40001	COMM	63873KY43	NATXNY DISC NOTE Redemption		11/04/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
40002	COMM	313384NV7	FHDN DISC NOTE Redemption		11/04/2019	FHLB DISCOUNT		100,000,000.00		100,000,000.00
40003	COMM	21687BY47	RABO DISC NOTE Redemption		11/04/2019	RABOBANK		100,000,000.00		100,000,000.00
37761	COMM	3133EHHG1	FFCB 1.75% MAT Interest		11/04/2019	FFCB NOTES			43,750.00	43,750.00
37762	COMM	3133EHHG1	FFCB 1.75% MAT Interest		11/04/2019	FFCB NOTES			87,500.00	87,500.00
38126	COMM	3133EHP31	FFCB 1.95% MAT Interest		11/04/2019	FFCB NOTES			97,256.25	97,256.25
38524	COMM	742718EO8	PG 1.7% MAT Interest		11/04/2019	PROCTER &			170,000.00	170,000.00
38526	COMM	742718EO8	PG 1.7% MAT Interest		11/04/2019	PROCTER &			85,000.00	85,000.00

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40000	COMM	SYS40000	BCREPO 1.54% MAT Interest	Interest	11/04/2019	BARCLAYS CAPITAL			25,666.66	25,666.66
34292	COMM	SYS34292	MSTI 0.0%	Interest	11/04/2019	MORGAN STANLEY			182,074.36	182,074.36
34292	COMM	SYS34292	MSTI 0.0%	Purchase	11/04/2019	MORGAN STANLEY	182,074.36			-182,074.36
37590	COMM	SYS37590	JPM TE 0.44%	Interest	11/04/2019	JP MORGAN US			187,315.56	187,315.56
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	11/04/2019	JP MORGAN US	187,315.56			-187,315.56
40011	COMM	SYS40011	BCREPO 1.55% MAT Purchase	Purchase	11/05/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40013	COMM	313384NX3	FHND DISC NOTE	Purchase	11/05/2019	FHNB DISCOUNT	99,996,055.56			-99,996,055.56
40012	COMM	21687BY62	RABO DISC NOTE	Purchase	11/05/2019	RABOBANK	99,995,750.00			-99,995,750.00
40006	COMM	SYS40006	BCREPO 1.54% MAT Redemption	Redemption	11/05/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40007	COMM	21687BY54	RABO DISC NOTE	Redemption	11/05/2019	RABOBANK	100,000,000.00			100,000,000.00
40008	COMM	313384NW5	FHND DISC NOTE	Redemption	11/05/2019	FHNB DISCOUNT	100,000,000.00			100,000,000.00
40006	COMM	SYS40006	BCREPO 1.54% MAT Interest	Interest	11/05/2019	BARCLAYS CAPITAL			8,555.57	8,555.57
40014	COMM	SYS40014	BCREPO 1.53% MAT Purchase	Purchase	11/06/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40016	COMM	313384NY1	FHND DISC NOTE	Purchase	11/06/2019	FHNB DISCOUNT	99,996,055.56			-99,996,055.56
40017	COMM	44891JAC2	HIART 1.94% MAT	Purchase	11/06/2019	HYUNDAI AUTO	11,749,458.33			-11,749,458.33
40015	COMM	21687BY70	RABO DISC NOTE	Purchase	11/06/2019	RABOBANK	99,995,750.00			-99,995,750.00
40011	COMM	SYS40011	BCREPO 1.55% MAT Redemption	Redemption	11/06/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40012	COMM	21687BY62	RABO DISC NOTE	Redemption	11/06/2019	RABOBANK	100,000,000.00			100,000,000.00
40013	COMM	313384NX3	FHND DISC NOTE	Redemption	11/06/2019	FHNB DISCOUNT	100,000,000.00			100,000,000.00
40011	COMM	SYS40011	BCREPO 1.55% MAT Interest	Interest	11/06/2019	BARCLAYS CAPITAL			8,611.11	8,611.11
40018	COMM	SYS40018	BCREPO 1.53% MAT Purchase	Purchase	11/07/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40020	COMM	313384NZ8	FHND DISC NOTE	Purchase	11/07/2019	FHNB DISCOUNT	99,996,083.34			-99,996,083.34
40019	COMM	21687BY88	RABO DISC NOTE	Purchase	11/07/2019	RABOBANK	99,995,777.78			-99,995,777.78
40014	COMM	SYS40014	BCREPO 1.53% MAT Redemption	Redemption	11/07/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40015	COMM	21687BY70	RABO DISC NOTE	Redemption	11/07/2019	RABOBANK	100,000,000.00			100,000,000.00
40016	COMM	313384NY1	FHND DISC NOTE	Redemption	11/07/2019	FHNB DISCOUNT	100,000,000.00			100,000,000.00
34292	COMM	SYS34292	MSTI 0.0%	Redemption	11/07/2019	MORGAN STANLEY	255,773,335.71			255,773,335.71
40014	COMM	SYS40014	BCREPO 1.53% MAT Interest	Interest	11/07/2019	BARCLAYS CAPITAL			8,500.01	8,500.01
40022	COMM	SYS40022	BCREPO 1.53% MAT Purchase	Purchase	11/08/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40023	COMM	313384PD5	FHND DISC NOTE	Purchase	11/08/2019	FHNB DISCOUNT	99,984,333.34			-99,984,333.34
40025	COMM	3130AHJY0	FEDERAL HOME	Purchase	11/08/2019	FHNB NOTES	9,982,900.00			-9,982,900.00
40024	COMM	21687BYC9	RABO DISC NOTE	Purchase	11/08/2019	RABOBANK	99,983,111.12			-99,983,111.12
38272	COMM	3133EHKT9	FEDERAL FARM CR Redemption	Redemption	11/08/2019	FFCB NOTES		10,823,314.00		10,823,314.00
38272	COMM	3133EHKT9	FEDERAL FARM CR Cap G/L		11/08/2019	FFCB NOTES		91,686.00		91,686.00
40018	COMM	SYS40018	BCREPO 1.53% MAT Redemption	Redemption	11/08/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40019	COMM	21687BY88	RABO DISC NOTE	Redemption	11/08/2019	RABOBANK	100,000,000.00			100,000,000.00
40020	COMM	313384NZ8	FHND DISC NOTE	Redemption	11/08/2019	FHNB DISCOUNT	100,000,000.00			100,000,000.00
37793	COMM	3133EHJA2	FEDERAL FARM CR Interest	Interest	11/08/2019	FFCB NOTES			271,250.00	271,250.00
38272	COMM	3133EHKT9	FEDERAL FARM CR Interest	Interest	11/08/2019	FFCB NOTES			109,713.93	109,713.93
40018	COMM	SYS40018	BCREPO 1.53% MAT Interest	Interest	11/08/2019	BARCLAYS CAPITAL			8,500.01	8,500.01

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40028	COMM	SYS40028	BCREPO 1.55% MAT Purchase	Purchase	11/12/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40029	COMM	313384PE3	FHDN DISC NOTE Purchase	Purchase	11/12/2019	FHLB DISCOUNT	99,996,055.56			-99,996,055.56
40031	COMM	478160CD4	JOHNSON & Purchase	Purchase	11/12/2019	JOHNSON &	19,712,222.91			-19,712,222.91
40030	COMM	21687BYD7	RABO DISC NOTE Purchase	Purchase	11/12/2019	RABOBANK	99,995,777.78			-99,995,777.78
40022	COMM	SYS40022	BCREPO 1.53% MAT Redemption	Redemption	11/12/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40023	COMM	313384PD5	FHDN DISC NOTE Redemption	Redemption	11/12/2019	FHLB DISCOUNT	100,000,000.00			100,000,000.00
40024	COMM	21687BYC9	RABO DISC NOTE Redemption	Redemption	11/12/2019	RABOBANK	100,000,000.00			100,000,000.00
37663	COMM	4581X0CX4	IADB 1.625% MAT Interest	Interest	11/12/2019	IADB			284,375.00	284,375.00
40022	COMM	SYS40022	BCREPO 1.53% MAT Interest	Interest	11/12/2019	BARCLAYS CAPITAL			33,999.99	33,999.99
40034	COMM	SYS40034	BCREPO 1.55% MAT Purchase	Purchase	11/13/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40037	COMM	09702LGX7	BOEING ZERO CPN Purchase	Purchase	11/13/2019	Boeing Company	49,224,250.00			-49,224,250.00
40035	COMM	313384PF0	FHDN DISC NOTE Purchase	Purchase	11/13/2019	FHLB DISCOUNT	99,996,027.78			-99,996,027.78
40036	COMM	21687BYE5	RABO DISC NOTE Purchase	Purchase	11/13/2019	RABOBANK	99,995,750.00			-99,995,750.00
40038	COMM	89233MAD5	TAOT 1.92% MAT Purchase	Purchase	11/13/2019	TOYOTA AUTO REC	19,498,592.10			-19,498,592.10
40028	COMM	SYS40028	BCREPO 1.55% MAT Redemption	Redemption	11/13/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40029	COMM	313384PE3	FHDN DISC NOTE Redemption	Redemption	11/13/2019	FHLB DISCOUNT	100,000,000.00			100,000,000.00
40030	COMM	21687BYD7	RABO DISC NOTE Redemption	Redemption	11/13/2019	RABOBANK	100,000,000.00			100,000,000.00
38124	COMM	037833DJ6	APPLE INC. SR NT Interest	Interest	11/13/2019	APPLE INC			450,000.00	450,000.00
40028	COMM	SYS40028	BCREPO 1.55% MAT Interest	Interest	11/13/2019	BARCLAYS CAPITAL			8,611.13	8,611.13
40041	COMM	SYS40041	BCREPO 1.55% MAT Purchase	Purchase	11/14/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40043	COMM	313384PG8	FHDN DISC NOTE Purchase	Purchase	11/14/2019	FHLB DISCOUNT	99,996,027.78			-99,996,027.78
40044	COMM	3135G0V75	FEDERAL NATL MTGPurchase	Purchase	11/14/2019	FNMA NOTES	25,164,625.00			-25,164,625.00
40042	COMM	21687BYF2	RABO DISC NOTE Purchase	Purchase	11/14/2019	RABOBANK	99,995,750.00			-99,995,750.00
40034	COMM	SYS40034	BCREPO 1.55% MAT Redemption	Redemption	11/14/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40035	COMM	313384PF0	FHDN DISC NOTE Redemption	Redemption	11/14/2019	FHLB DISCOUNT	100,000,000.00			100,000,000.00
40036	COMM	21687BYE5	RABO DISC NOTE Redemption	Redemption	11/14/2019	RABOBANK	100,000,000.00			100,000,000.00
40034	COMM	SYS40034	BCREPO 1.55% MAT Interest	Interest	11/14/2019	BARCLAYS CAPITAL			8,611.11	8,611.11
40045	COMM	SYS40045	BCREPO 1.55% MAT Purchase	Purchase	11/15/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40046	COMM	313384PK9	FHDN DISC NOTE Purchase	Purchase	11/15/2019	FHLB DISCOUNT	99,987,916.66			-99,987,916.66
40047	COMM	21687BYJ4	RABO DISC NOTE Purchase	Purchase	11/15/2019	RABOBANK	99,987,250.00			-99,987,250.00
37108	COMM	3130AA3R7	FHLB 1.375% MAT Redemption	Redemption	11/15/2019	FHLB NOTES	35,000,000.00			35,000,000.00
40041	COMM	SYS40041	BCREPO 1.55% MAT Redemption	Redemption	11/15/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40042	COMM	21687BYF2	RABO DISC NOTE Redemption	Redemption	11/15/2019	RABOBANK	100,000,000.00			100,000,000.00
40043	COMM	313384PG8	FHDN DISC NOTE Redemption	Redemption	11/15/2019	FHLB DISCOUNT	100,000,000.00			100,000,000.00
37108	COMM	3130AA3R7	FHLB 1.375% MAT Interest	Interest	11/15/2019	FHLB NOTES			240,625.00	240,625.00
38326	COMM	3133EGG82	FEDERAL FARM CR Interest	Interest	11/15/2019	FFCB NOTES			15,200.00	15,200.00
39314	COMM	91412GWY7	UNIVERSITY CALIF Interest	Interest	11/15/2019	UNIVERSITY			56,520.00	56,520.00
39321	COMM	91412HEX7	UNIVCA 2.657% MAT Interest	Interest	11/15/2019	UNIVERSITY			17,418.11	17,418.11
39322	COMM	91412HEW9	UNIVERSITY CALIF Interest	Interest	11/15/2019	UNIVERSITY			11,369.43	11,369.43
39553	COMM	19416QEL0	CP 2.25% MAT Interest	Interest	11/15/2019	COLGATE-PALMOLI			106,942.50	106,942.50

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39553	COMM	194160EL0	CP 2.25% MAT	Acrr Int	11/15/2019	COLGATE-PALMOLI		2,970.63	-2,970.63	0.00
39565	COMM	3133EKL2	FEDERAL FARM CR Interest		11/15/2019	FFCB NOTES			110,285.00	110,285.00
39565	COMM	3133EKL2	FEDERAL FARM CR Acrr Int		11/15/2019	FFCB NOTES		4,288.86	-4,288.86	0.00
40041	COMM	SYS40041	BCREPO 1.55% MAT Interest		11/15/2019	BARCLAYS CAPITAL			8,611.10	8,611.10
36956	COMM	58769BAD6	MBART 1.26% MAT Interest		11/15/2019	MERCEDES - BENZ			1,029.00	1,029.00
36956	COMM	58769BAD6	MBART 1.26% MAT Redemption		11/15/2019	MERCEDES - BENZ		380,220.38		380,220.38
37035	COMM	89231LAD9	TAOT 1.23% MAT Interest		11/15/2019	TOYOTA AUTO REC			724.16	724.16
37035	COMM	89231LAD9	TAOT 1.23% MAT Redemption		11/15/2019	TOYOTA AUTO REC		617,815.03		617,815.03
37507	COMM	47787XAC1	JDOT 1.78% MAT Interest		11/15/2019	JOHN DEERE			3,088.42	3,088.42
37507	COMM	47787XAC1	JDOT 1.78% MAT Redemption		11/15/2019	JOHN DEERE		563,764.80		563,764.80
37568	COMM	89238MAD0	TAOT 1.73% MAT Interest		11/15/2019	TOYOTA AUTO REC			3,954.63	3,954.63
37568	COMM	89238MAD0	TAOT 1.73% MAT Redemption		11/15/2019	TOYOTA AUTO REC		524,957.35		524,957.35
37976	COMM	43811BAC8	HAROT 1.68% MAT Interest		11/15/2019	HONDA AUTO			19,671.37	19,671.37
37976	COMM	43811BAC8	HAROT 1.68% MAT Redemption		11/15/2019	HONDA AUTO		1,359,586.56		1,359,586.56
38137	COMM	89238KAD4	TAOT 1.93% MAT Interest		11/15/2019	TOYOTA AUTO REC			35,881.15	35,881.15
38137	COMM	89238KAD4	TAOT 1.93% MAT Redemption		11/15/2019	TOYOTA AUTO REC		1,716,032.76		1,716,032.76
38475	COMM	65478DAD9	NALT 2.65% MAT Interest		11/15/2019	NISSAN AUTO			40,854.16	40,854.16
38475	COMM	65478DAD9	NALT 2.65% MAT Redemption		11/15/2019	NISSAN AUTO		622,542.42		622,542.42
38476	COMM	47788CAC6	JDOT 2.66% MAT Interest		11/15/2019	JOHN DEERE			18,287.50	18,287.50
38476	COMM	47788CAC6	JDOT 2.66% MAT Redemption		11/15/2019	JOHN DEERE		847,950.23		847,950.23
38646	COMM	02582JHO6	AMXCA 2.67% MAT Interest		11/15/2019	American Express			100,125.00	100,125.00
38646	COMM	02582JHO6	AMXCA 2.67% MAT Redemption		11/15/2019	American Express		1,316,894.56		1,316,894.56
38708	COMM	161571HN7	CHAIT 2.1227% MAT Interest		11/15/2019	CHASE ISSUANCE			67,585.38	67,585.38
38708	COMM	161571HN7	CHAIT 2.1227% MAT Redemption		11/15/2019	CHASE ISSUANCE		939,282.65		939,282.65
38958	COMM	89231PAD0	TAOT 3.18% MAT Interest		11/15/2019	TOYOTA AUTO REC			26,500.00	26,500.00
38958	COMM	89231PAD0	TAOT 3.18% MAT Redemption		11/15/2019	TOYOTA AUTO REC		250,916.59		250,916.59
39013	COMM	43815AAC6	HAROT 3.16% MAT Interest		11/15/2019	HONDA AUTO			57,274.99	57,274.99
39013	COMM	43815AAC6	HAROT 3.16% MAT Redemption		11/15/2019	HONDA AUTO		559,188.28		559,188.28
39062	COMM	05522RCZ9	BACCT 3.1% MAT Interest		11/15/2019	BANK OF AMERICA			50,350.00	50,350.00
39062	COMM	05522RCZ9	BACCT 3.1% MAT Redemption		11/15/2019	BANK OF AMERICA		461,333.90		461,333.90
39082	COMM	05522RDA3	BACCT 3.1% MAT Interest		11/15/2019	BANK OF AMERICA			38,750.00	38,750.00
39082	COMM	05522RDA3	BACCT 3.1% MAT Redemption		11/15/2019	BANK OF AMERICA		316,233.25		316,233.25
39190	COMM	58772TAC4	MBALT 3.1% MAT Interest		11/15/2019	MERCEDES-BENZ			25,833.33	25,833.33
39190	COMM	58772TAC4	MBALT 3.1% MAT Redemption		11/15/2019	MERCEDES-BENZ		403,895.99		403,895.99
39220	COMM	05522RCY2	BACCT 2.7% MAT Interest		11/15/2019	BANK OF AMERICA			56,250.00	56,250.00
39220	COMM	05522RCY2	BACCT 2.7% MAT Redemption		11/15/2019	BANK OF AMERICA		598,074.00		598,074.00
39320	COMM	05522RCY2	BACCT 2.7% MAT Interest		11/15/2019	BANK OF AMERICA			45,000.00	45,000.00
39320	COMM	05522RCY2	BACCT 2.7% MAT Redemption		11/15/2019	BANK OF AMERICA		479,377.33		479,377.33
39578	COMM	65479HAC1	NAROT 2.5% MAT Interest		11/15/2019	Nissan Auto Receivab			41,666.66	41,666.66
39578	COMM	65479HAC1	NAROT 2.5% MAT Redemption		11/15/2019	Nissan Auto Receivab		407,737.36		407,737.36

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39586	COMM	14042WAC4	COPAR 2.51% MAT	Interest	11/15/2019	Capital One Prime Au			18,825.00	18,825.00
39586	COMM	14042WAC4	COPAR 2.51% MAT	Redemption	11/15/2019	Capital One Prime Au		183,450.71		183,450.71
39706	COMM	477870AC3	JDOT 2.21% MAT	Interest	11/15/2019	JOHN DEERE			16,114.59	16,114.59
39706	COMM	477870AC3	JDOT 2.21% MAT	Redemption	11/15/2019	JOHN DEERE		171,494.14		171,494.14
39777	COMM	43815WAC8	HAROT 1.78% MAT	Interest	11/15/2019	HONDA AUTO			10,754.16	10,754.16
39777	COMM	43815WAC8	HAROT 1.78% MAT	Redemption	11/15/2019	HONDA AUTO		155,966.88		155,966.88
39811	COMM	14041NFU0	COMET 1.72% MAT	Interest	11/15/2019	Capitol One Multi Ex			50,166.66	50,166.66
39811	COMM	14041NFU0	COMET 1.72% MAT	Redemption	11/15/2019	Capitol One Multi Ex		590,490.55		590,490.55
39883	COMM	58769TAD7	MBART 1.93% MAT	Interest	11/15/2019	MERCEDES -BENZ			43,650.01	43,650.01
39883	COMM	58769TAD7	MBART 1.93% MAT	Redemption	11/15/2019	MERCEDES -BENZ		497,224.16		497,224.16
40049	COMM	SYS40049	BCREPO 1.52% MAT	Purchase	11/18/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40051	COMM	313384PL7	FHDN DISC NOTE	Purchase	11/18/2019	FHLB DISCOUNT	99,995,972.22			-99,995,972.22
40050	COMM	21687BYK1	RABO DISC NOTE	Purchase	11/18/2019	RABOBANK	99,995,750.00			-99,995,750.00
40045	COMM	SYS40045	BCREPO 1.55% MAT	Redemption	11/18/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40046	COMM	313384PK9	FHDN DISC NOTE	Redemption	11/18/2019	FHLB DISCOUNT	100,000,000.00			100,000,000.00
40047	COMM	21687BYJ4	RABO DISC NOTE	Redemption	11/18/2019	RABOBANK	100,000,000.00			100,000,000.00
38135	COMM	3137EAEK1	FHLMC 1.875% MAT	Interest	11/18/2019	FHLMC NOTES			234,375.00	234,375.00
38342	COMM	3133EGAH8	FEDERAL FARM CR	Interest	11/18/2019	FFCB NOTES			84,281.25	84,281.25
39549	COMM	166764BG4	CHEVRON CORP	Interest	11/18/2019	CHEVRON CORP.			90,037.50	90,037.50
39549	COMM	166764BG4	CHEVRON CORP	Accr Int	11/18/2019	CHEVRON CORP.		500.21	-500.21	0.00
40045	COMM	SYS40045	BCREPO 1.55% MAT	Interest	11/18/2019	BARCLAYS CAPITAL			25,833.34	25,833.34
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	11/18/2019	HONDA AUTO			1,809.03	1,809.03
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	11/18/2019	HONDA AUTO		453,487.94		453,487.94
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	11/18/2019	HONDA AUTO			30,100.00	30,100.00
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	11/18/2019	HONDA AUTO		408,188.90		408,188.90
39269	COMM	43814WAC9	HAROT 2.83% MAT	Interest	11/18/2019	HONDA AUTO			32,427.08	32,427.08
39269	COMM	43814WAC9	HAROT 2.83% MAT	Redemption	11/18/2019	HONDA AUTO		332,137.20		332,137.20
40052	COMM	SYS40052	BCREPO 1.53% MAT	Purchase	11/19/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40055	COMM	313384PM5	FHDN DISC NOTE	Purchase	11/19/2019	FHLB DISCOUNT	99,995,972.22			-99,995,972.22
40056	COMM	3136A9MN5	FNWAM 2.301% MAT	Purchase	11/19/2019	FNMA Multi-Family	10,951,985.80			-10,951,985.80
40054	COMM	63873KYL5	NATXNY DISC NOTE	Purchase	11/19/2019	Natixis NY Branch	49,997,875.00			-49,997,875.00
37208	COMM	17305EFW0	RABO DISC NOTE	Redemption	11/19/2019	RABOBANK	99,995,750.00			-99,995,750.00
37208	COMM	17305EFW0	CCCIT 1.75% MAT	Cap G/L	11/19/2019	CITIBANK CREDIT		39,997,856.00		39,997,856.00
37208	COMM	17305EFW0	CCCIT 1.75% MAT	Redemption	11/19/2019	CITIBANK CREDIT		2,144.00		2,144.00
40049	COMM	SYS40049	BCREPO 1.52% MAT	Redemption	11/19/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40050	COMM	21687BYK1	RABO DISC NOTE	Redemption	11/19/2019	RABOBANK	100,000,000.00			100,000,000.00
40051	COMM	313384PL7	FHDN DISC NOTE	Redemption	11/19/2019	FHLB DISCOUNT	100,000,000.00			100,000,000.00
37208	COMM	17305EFW0	CCCIT 1.75% MAT	Interest	11/19/2019	CITIBANK CREDIT			350,000.00	350,000.00
38601	COMM	02079KAA5	GOOG 3.625% MAT	Interest	11/19/2019	GOOGLE INC			302,778.13	302,778.13
40049	COMM	SYS40049	BCREPO 1.52% MAT	Interest	11/19/2019	BARCLAYS CAPITAL			8,444.44	8,444.44

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40058	COMM	SYS40058	BCREPO 1.53% MAT Purchase		11/20/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40061	COMM	13606C2P8	CIBCNY 1.8% MAT Purchase		11/20/2019	Canadian IMP BK	50,000,000.00			-50,000,000.00
40060	COMM	313384PN3	FHDN DISC NOTE Purchase		11/20/2019	FHLB DISCOUNT	99,995,972.22			-99,995,972.22
40062	COMM	58769QAC5	MBALT 2% MAT Purchase		11/20/2019	MERCEDES-BENZ	9,998,410.00			-9,998,410.00
40059	COMM	21687BYM7	RABO DISC NOTE Purchase		11/20/2019	RABOBANK	99,995,750.00			-99,995,750.00
40052	COMM	SYS40052	BCREPO 1.53% MAT Redemption		11/20/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40053	COMM	21687BYL9	RABO DISC NOTE Redemption		11/20/2019	RABOBANK		100,000,000.00		100,000,000.00
40054	COMM	63873KYL5	NATXNY DISC NOTE Redemption		11/20/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
40055	COMM	313384PM5	FHDN DISC NOTE Redemption		11/20/2019	FHLB DISCOUNT		100,000,000.00		100,000,000.00
40052	COMM	SYS40052	BCREPO 1.53% MAT Interest		11/20/2019	BARCLAYS CAPITAL			8,500.01	8,500.01
39327	COMM	05586VAD4	BMWLT 3.38% MAT Interest		11/20/2019	BMW VEHICLE			18,250.00	18,250.00
39327	COMM	05586VAD4	BMWLT 3.38% MAT Redemption		11/20/2019	BMW VEHICLE		215,317.04		215,317.04
39612	COMM	92349GAA9	VERIZON OWNER Interest		11/20/2019	VERIZON OWNER			67,958.35	67,958.35
39612	COMM	92349GAA9	VERIZON OWNER Redemption		11/20/2019	VERIZON OWNER		690,173.99		690,173.99
39913	COMM	92867XAD8	VWALT 1.99% MAT Interest		11/20/2019	Volkswagen Auto			26,699.17	26,699.17
39913	COMM	92867XAD8	VWALT 1.99% MAT Redemption		11/20/2019	Volkswagen Auto		269,632.60		269,632.60
39920	COMM	92348AAA3	VZOT 1.94% MAT Interest		11/20/2019	VERIZON OWNER			54,319.99	54,319.99
39920	COMM	92348AAA3	VZOT 1.94% MAT Redemption		11/20/2019	VERIZON OWNER		429,585.15		429,585.15
40065	COMM	SYS40065	BCREPO 1.54% MAT Purchase		11/21/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40066	COMM	313384PP8	FHDN DISC NOTE Purchase		11/21/2019	FHLB DISCOUNT	99,995,972.22			-99,995,972.22
40067	COMM	21687BYN5	RABO DISC NOTE Purchase		11/21/2019	RABOBANK	99,995,750.00			-99,995,750.00
40058	COMM	SYS40058	BCREPO 1.53% MAT Redemption		11/21/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40059	COMM	21687BYM7	RABO DISC NOTE Redemption		11/21/2019	RABOBANK		100,000,000.00		100,000,000.00
40060	COMM	313384PN3	FHDN DISC NOTE Redemption		11/21/2019	FHLB DISCOUNT		100,000,000.00		100,000,000.00
40058	COMM	SYS40058	BCREPO 1.53% MAT Interest		11/21/2019	BARCLAYS CAPITAL			8,499.99	8,499.99
37615	COMM	43814TAC6	HAROT 1.72% MAT Interest		11/21/2019	HONDA AUTO			8,035.60	8,035.60
37615	COMM	43814TAC6	HAROT 1.72% MAT Redemption		11/21/2019	HONDA AUTO		629,664.80		629,664.80
39582	COMM	43815MAC0	HAROT 2.52% MAT Interest		11/21/2019	HONDA AUTO			35,700.00	35,700.00
39582	COMM	43815MAC0	HAROT 2.52% MAT Redemption		11/21/2019	HONDA AUTO		385,902.33		385,902.33
40068	COMM	SYS40068	BCREPO 1.55% MAT Purchase		11/22/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40070	COMM	313384PS2	FHDN DISC NOTE Purchase		11/22/2019	FHLB DISCOUNT	99,987,916.66			-99,987,916.66
40069	COMM	21687BYR6	RABO DISC NOTE Purchase		11/22/2019	RABOBANK	99,987,250.00			-99,987,250.00
40065	COMM	SYS40065	BCREPO 1.54% MAT Redemption		11/22/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40066	COMM	313384PP8	FHDN DISC NOTE Redemption		11/22/2019	FHLB DISCOUNT		100,000,000.00		100,000,000.00
40067	COMM	21687BYN5	RABO DISC NOTE Redemption		11/22/2019	RABOBANK		100,000,000.00		100,000,000.00
37804	COMM	3134GBLQ3	FEDERAL HOME LN Interest		11/22/2019	FHLMC NOTES			165,000.00	165,000.00
39564	COMM	3134GTMQ3	FEDERAL HOME LN Interest		11/22/2019	FHLMC NOTES			153,750.00	153,750.00
40065	COMM	SYS40065	BCREPO 1.54% MAT Interest		11/22/2019	BARCLAYS CAPITAL			8,555.56	8,555.56
40071	COMM	SYS40071	BCREPO 1.53% MAT Purchase		11/25/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40075	COMM	22535CJM4	CREDIT AGRICOLE Purchase		11/25/2019	Credit Agricole CIB	50,000,000.00			-50,000,000.00

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40072	COMM	313384PT0	FHDN DISC NOTE	Purchase	11/25/2019	FHLB DISCOUNT	99,995,972.22			-99,995,972.22
40074	COMM	313384PT0	FHDN DISC NOTE	Purchase	11/25/2019	FHLB DISCOUNT	99,995,972.00			-99,995,972.00
40073	COMM	21687BYS4	RABO DISC NOTE	Purchase	11/25/2019	RABOBANK	99,995,750.00			-99,995,750.00
40068	COMM	SYS40068	BCREPO 1.55% MAT	Redemption	11/25/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40069	COMM	21687BYR6	RABO DISC NOTE	Redemption	11/25/2019	RABOBANK		100,000,000.00		100,000,000.00
40070	COMM	313384PS2	FHDN DISC NOTE	Redemption	11/25/2019	FHLB DISCOUNT		100,000,000.00		100,000,000.00
37131	COMM	3130A9W49	FEDERAL HOME	Interest	11/25/2019	FHLB NOTES			93,750.00	93,750.00
37801	COMM	3134GBLR1	FEDERAL HOME LN	Interest	11/25/2019	FHLMC NOTES			175,000.00	175,000.00
40068	COMM	SYS40068	BCREPO 1.55% MAT	Interest	11/25/2019	BARCLAYS CAPITAL			25,833.34	25,833.34
38387	COMM	3137AYCE9	Interest	Interest	11/25/2019	FHLMC Multi-Family			44,700.00	44,700.00
38387	COMM	3137AYCE9	Redemption	Redemption	11/25/2019	FHLMC Multi-Family		624,153.99		624,153.99
38391	COMM	3137AYCE9	Interest	Interest	11/25/2019	FHLMC Multi-Family			16,762.50	16,762.50
38391	COMM	3137AYCE9	Redemption	Redemption	11/25/2019	FHLMC Multi-Family		233,940.17		233,940.17
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Interest	11/25/2019	BMW VEHICLE			61,372.61	61,372.61
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Redemption	11/25/2019	BMW VEHICLE		2,465,026.30		2,465,026.30
38465	COMM	3137AWQH1	FHLMCM 2.307%	Interest	11/25/2019	FHLMC Multi-Family			10,727.55	10,727.55
38465	COMM	3137AWQH1	FHLMCM 2.307%	Redemption	11/25/2019	FHLMC Multi-Family		178,282.80		178,282.80
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Interest	11/25/2019	FNMA Multi-Family			7,652.10	7,652.10
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Redemption	11/25/2019	FNMA Multi-Family		5,678.14		5,678.14
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	11/25/2019	FHLMC Multi-Family			55,333.34	55,333.34
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	11/25/2019	FHLMC Multi-Family		571,522.74		571,522.74
38664	COMM	3136B1XP4	FNMA 3.56% MAT	Interest	11/25/2019	FNMA Multi-Family			9,273.21	9,273.21
38664	COMM	3136B1XP4	FNMA 3.56% MAT	Redemption	11/25/2019	FNMA Multi-Family		66,733.56		66,733.56
38665	COMM	3136B1XP4	FNMA 3.56% MAT	Interest	11/25/2019	FNMA Multi-Family			9,273.21	9,273.21
38665	COMM	3136B1XP4	FNMA 3.56% MAT	Redemption	11/25/2019	FNMA Multi-Family		66,733.56		66,733.56
38666	COMM	3137AYCE9	Interest	Interest	11/25/2019	FHLMC Multi-Family			26,250.08	26,250.08
38666	COMM	3137AYCE9	Redemption	Redemption	11/25/2019	FHLMC Multi-Family		359,701.79		359,701.79
38744	COMM	3137AYCE9	Interest	Interest	11/25/2019	FHLMC Multi-Family			44,700.00	44,700.00
38744	COMM	3137AYCE9	Redemption	Redemption	11/25/2019	FHLMC Multi-Family		612,736.75		612,736.75
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Interest	11/25/2019	FHLMC Multi-Family			12,176.25	12,176.25
38854	COMM	3137B4WB8	FHLMCM 3.06% MAT	Redemption	11/25/2019	FHLMC Multi-Family		121,849.81		121,849.81
38864	COMM	3137B5JM6	FHLMCM 3.531%	Interest	11/25/2019	FHLMC Multi-Family			29,425.00	29,425.00
38864	COMM	3137B5JM6	FHLMCM 3.531%	Redemption	11/25/2019	FHLMC Multi-Family		257,163.13		257,163.13
38945	COMM	3137B3NA2	FHLMC REMIC	Interest	11/25/2019	FHLMC Multi-Family			28,723.99	28,723.99
38945	COMM	3137B3NA2	FHLMC REMIC	Redemption	11/25/2019	FHLMC Multi-Family		286,244.15		286,244.15
39026	COMM	3137B5KW2	FHLMCM 3.458%	Interest	11/25/2019	FHLMC Multi-Family			28,816.67	28,816.67
39026	COMM	3137B5KW2	FHLMCM 3.458%	Redemption	11/25/2019	FHLMC Multi-Family		251,694.55		251,694.55
39150	COMM	31381TYT1	FNMA 2.75% MAT	Interest	11/25/2019	FNMA Multi-Family			69,992.20	69,992.20
39150	COMM	31381TYT1	FNMA 2.75% MAT	Redemption	11/25/2019	FNMA Multi-Family		61,760.52		61,760.52
39158	COMM	31381RZ23	FNMA 3.84% MAT	Interest	11/25/2019	FNMA Multi-Family			47,433.73	47,433.73

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39158	COMM	31381RZ23	FNMM 3.84% MAT	Redemption	11/25/2019	FNMA Multi-Family		26,247.54		26,247.54
39218	COMM	31381RL6	FNMM 3.84% MAT	Interest	11/25/2019	FNMA Multi-Family			38,821.56	38,821.56
39218	COMM	31381RL6	FNMM 3.84% MAT	Redemption	11/25/2019	FNMA Multi-Family		19,999.20		19,999.20
39219	COMM	31381RL6	FNMM 3.84% MAT	Interest	11/25/2019	FNMA Multi-Family			6,480.21	6,480.21
39219	COMM	31381RL6	FNMM 3.84% MAT	Redemption	11/25/2019	FNMA Multi-Family		3,338.33		3,338.33
39295	COMM	3137B04Y7	FHLMCM 2.615% Interest	Interest	11/25/2019	FHLMCM Multi-Family			58,837.51	58,837.51
39295	COMM	3137B04Y7	FHLMCM 2.615% Interest	Redemption	11/25/2019	FHLMCM Multi-Family		775,189.06		775,189.06
39319	COMM	3138LKGK8	FNMM 2.47% MAT	Interest	11/25/2019	FNMA Multi-Family			53,173.61	53,173.61
39342	COMM	3137BQR90	FHLMCM 2.272% Interest	Redemption	11/25/2019	FHLMCM Multi-Family		582,358.71		582,358.71
39342	COMM	3137BQR90	FHLMCM 2.272% Interest	Redemption	11/25/2019	FHLMCM Multi-Family		670,485.79		670,485.79
39457	COMM	3138EKK67	FNMM 2.537% MAT	Interest	11/25/2019	FNMA Multi-Family			33,500.06	33,500.06
39457	COMM	3138EKK67	FNMM 2.537% MAT	Redemption	11/25/2019	FNMA Multi-Family		32,494.42		32,494.42
39587	COMM	3138LEV7	FNMM 1.97% MAT	Interest	11/25/2019	FNMA Multi-Family			31,885.50	31,885.50
39587	COMM	3138LEV7	FNMM 1.97% MAT	Redemption	11/25/2019	FNMA Multi-Family		30,221.44		30,221.44
39609	COMM	3138LGF8	FNMM 2.15% MAT	Interest	11/25/2019	FNMA Multi-Family			9,256.94	9,256.94
39609	COMM	3138LGF8	FNMM 2.15% MAT	Redemption	11/25/2019	FNMA Multi-Family		118,400.79		118,400.79
39632	COMM	3138L2BU0	FNMM 2.31% MAT	Interest	11/25/2019	FNMA Multi-Family			13,537.68	13,537.68
39632	COMM	3138L2BU0	FNMM 2.31% MAT	Redemption	11/25/2019	FNMA Multi-Family		12,724.88		12,724.88
39654	COMM	3137BPJ5	FNMM 2.446% MAT	Interest	11/25/2019	FHLMCM Multi-Family			45,665.28	45,665.28
39654	COMM	3137BPJ5	FNMM 2.446% MAT	Redemption	11/25/2019	FHLMCM Multi-Family		242,060.16		242,060.16
39721	COMM	3137BQR90	FHLMCM 2.272% Interest	Interest	11/25/2019	FHLMCM Multi-Family			6,778.13	6,778.13
39721	COMM	3137BQR90	FHLMCM 2.272% Interest	Redemption	11/25/2019	FHLMCM Multi-Family		104,528.41		104,528.41
39734	COMM	3138L0U90	FNMM 2.59% MAT	Interest	11/25/2019	FNMA Multi-Family			11,151.39	11,151.39
39734	COMM	3138L0U90	FNMM 2.59% MAT	Redemption	11/25/2019	FNMA Multi-Family		165,486.47		165,486.47
39735	COMM	31381VB3	FNMM 2.83% MAT	Interest	11/25/2019	FNMA Multi-Family			12,343.87	12,343.87
39735	COMM	31381VB3	FNMM 2.83% MAT	Redemption	11/25/2019	FNMA Multi-Family		11,768.46		11,768.46
39844	COMM	3138L0RM5	FNMM 2.135% MAT	Interest	11/25/2019	FNMA Multi-Family			16,299.60	16,299.60
39844	COMM	3138L0RM5	FNMM 2.135% MAT	Redemption	11/25/2019	FNMA Multi-Family		20,475.83		20,475.83
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Interest	11/25/2019	BMW VEHICLE			27,600.00	27,600.00
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Redemption	11/25/2019	BMW VEHICLE		330,500.96		330,500.96
40076	COMM	SYS40076	BCREPO 1.5% MAT	Purchase	11/26/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40080	COMM	084670BR8	BERKSHIRE	Purchase	11/26/2019	BERKSHIRE	22,342,259.06			-22,342,259.06
40079	COMM	31384QAO	FHDM DISC NOTE	Purchase	11/26/2019	FHDM DISCOUNT	149,958,583.32			-149,958,583.32
40078	COMM	63873KZ34	NATXNY DISC NOTE	Purchase	11/26/2019	Natixis NY Branch	49,984,833.33			-49,984,833.33
40077	COMM	21687B238	RABO DISC NOTE	Purchase	11/26/2019	RABOBANK	99,969,861.12			-99,969,861.12
36785	COMM	3134G9HM2	FEDERAL HOME LN	Redemption	11/26/2019	FHLMCM NOTES	9,000,000.00			9,000,000.00
36787	COMM	3134G9HW0	FEDERAL HOME LN	Redemption	11/26/2019	FHLMCM NOTES	5,250,000.00			5,250,000.00
40071	COMM	SYS40071	BCREPO 1.53% MAT	Redemption	11/26/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40072	COMM	31384PT0	FHDM DISC NOTE	Redemption	11/26/2019	FHDM DISCOUNT	100,000,000.00			100,000,000.00

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40073	COMM	21687BYS4	RABO DISC NOTE	Redemption	11/26/2019	RABOBANK		100,000,000.00		100,000,000.00
40074	COMM	313384PT0	FHDN DISC NOTE	Redemption	11/26/2019	FHLB DISCOUNT		100,000,000.00		100,000,000.00
36785	COMM	3134G9HM2	FEDERAL HOME LN Interest		11/26/2019	FHLMC NOTES			58,500.00	58,500.00
36787	COMM	3134G9HW0	FEDERAL HOME LN Interest		11/26/2019	FHLMC NOTES			32,812.50	32,812.50
36797	COMM	3136G3PR0	FEDERAL NATL MTGInterest		11/26/2019	FNMA NOTES			187,500.00	187,500.00
39999	COMM	3133EJW70	FEDERAL FARM CR Interest		11/26/2019	FFCB NOTES			503,125.00	503,125.00
39023	COMM	3133EJW70	FEDERAL FARM CR Interest		11/26/2019	FFCB NOTES			385,609.38	385,609.38
39569	COMM	90331HPC1	USB 2.65% MAT Interest		11/26/2019	U S BANK			198,750.00	198,750.00
40071	COMM	SYS40071	BCREPO 1.53% MAT Interest		11/26/2019	BARCLAYS CAPITAL			8,500.01	8,500.01
40081	COMM	SYS40081	BCREPO 1.5% MAT Purchase		11/27/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40083	COMM	3137EADB2	FEDERAL HOME LN Purchase		11/27/2019	FHLMC NOTES	20,491,605.56			-20,491,605.56
40082	COMM	7426M2FS5	PEFCO ZERO CPN Purchase		11/27/2019	PRIVATE EXPORT	24,746,777.78			-24,746,777.78
40084	COMM	912828XM7	UNITED STATES Purchase		11/27/2019	U.S. TREASURY	40,210,190.22			-40,210,190.22
39006	COMM	3134GSB95	FEDERAL HOME LN Redemption		11/27/2019	FHLMC NOTES		10,000,000.00		10,000,000.00
40076	COMM	SYS40076	BCREPO 1.5% MAT Redemption		11/27/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
38169	COMM	3133EHWS8	FFCB 1.9% MAT Interest		11/27/2019	FFCB NOTES			142,500.00	142,500.00
38170	COMM	3134GBZ70	FEDERAL HOME LN Interest		11/27/2019	FHLMC NOTES			234,375.00	234,375.00
38171	COMM	3134GBZ70	FEDERAL HOME LN Interest		11/27/2019	FHLMC NOTES			93,750.00	93,750.00
38204	COMM	3133EHWS8	FFCB 1.9% MAT Interest		11/27/2019	FFCB NOTES			204,725.00	204,725.00
39006	COMM	3134GSB95	FEDERAL HOME LN Interest		11/27/2019	FHLMC NOTES			150,000.00	150,000.00
40076	COMM	SYS40076	BCREPO 1.5% MAT Interest		11/27/2019	BARCLAYS CAPITAL			8,333.34	8,333.34
34292	COMM	SYS34292	MSTI 0.0% Purchase		11/29/2019	MORGAN STANLEY	100,000,000.00			-100,000,000.00
40081	COMM	SYS40081	BCREPO 1.5% MAT Redemption		11/29/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
37149	COMM	3130AABG2	FEDERAL HOME Interest		11/29/2019	FHLB NOTES			140,625.00	140,625.00
38178	COMM	3130ACUK8	FEDERAL HOME Interest		11/29/2019	FHLB NOTES			250,000.00	250,000.00
38733	COMM	3130AECJ7	FEDERAL HOME Interest		11/29/2019	FHLB NOTES			131,250.00	131,250.00
40081	COMM	SYS40081	BCREPO 1.5% MAT Interest		11/29/2019	BARCLAYS CAPITAL			16,666.68	16,666.68
40085	COMM	SYS40085	BCREPO 1.6% MAT Purchase		12/02/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40086	COMM	SYS40086	BCREPO 1.5% MAT Purchase		12/03/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40088	COMM	313384QOB8	FHDN DISC NOTE Purchase		12/03/2019	FHLB DISCOUNT	149,993,958.33			-149,993,958.33
40087	COMM	21687BZ46	RABO DISC NOTE Purchase		12/03/2019	RABOBANK	99,995,750.00			-99,995,750.00
40077	COMM	21687BZ38	RABO DISC NOTE Redemption		12/03/2019	RABOBANK		100,000,000.00		100,000,000.00
40078	COMM	63873KZ34	NATXNY DISC NOTE Redemption		12/03/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
40079	COMM	313384QAO0	FHDN DISC NOTE Redemption		12/03/2019	FHLB DISCOUNT		150,000,000.00		150,000,000.00
40085	COMM	SYS40085	BCREPO 1.6% MAT Redemption		12/03/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
39593	COMM	3134GTOS5	FEDERAL HOME LN Interest		12/03/2019	FHLMC NOTES			134,531.25	134,531.25
39594	COMM	3133EKNR3	FEDERAL FARM CR Interest		12/03/2019	FFCB NOTES			110,000.00	110,000.00
40085	COMM	SYS40085	BCREPO 1.6% MAT Interest		12/03/2019	BARCLAYS CAPITAL			8,888.88	8,888.88
34292	COMM	SYS34292	MSTI 0.0% Interest		12/03/2019	MORGAN STANLEY	73,381.71		73,381.71	73,381.71
34292	COMM	SYS34292	MSTI 0.0% Purchase		12/03/2019	MORGAN STANLEY			-73,381.71	-73,381.71

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37590	COMM	SYS37590	JPM TE 0.44%	Interest	12/03/2019	JP MORGAN US			394,401.80	394,401.80
37590	COMM	SYS37590	Purchase		12/03/2019	JP MORGAN US	394,401.80			-394,401.80
40089	COMM	SYS40089	BCREPO 1.5% MAT	Purchase	12/04/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40090	COMM	06367BBQ1	BMOCHI 1.83% MAT	Purchase	12/04/2019	BANK OF	50,000,000.00			-50,000,000.00
40091	COMM	313384QC6	FHDN DISC NOTE	Purchase	12/04/2019	FHLB DISCOUNT	149,994,000.00			-149,994,000.00
40093	COMM	313384QC6	FHDN DISC NOTE	Purchase	12/04/2019	FHLB DISCOUNT	99,996,000.00			-99,996,000.00
40092	COMM	21687BZ53	RABO DISC NOTE	Purchase	12/04/2019	RABOBANK	99,995,750.00			-99,995,750.00
39031	COMM	06405LAA9	BANK NEW YORK	Redemption	12/04/2019	BANK OF NEW		25,000,000.00		25,000,000.00
39032	COMM	06405LAA9	BANK NEW YORK	Redemption	12/04/2019	BANK OF NEW		5,000,000.00		5,000,000.00
40086	COMM	SYS40086	BCREPO 1.5% MAT	Redemption	12/04/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40087	COMM	21687BZ46	RABO DISC NOTE	Redemption	12/04/2019	RABOBANK	100,000,000.00			100,000,000.00
40088	COMM	313384QB8	FHDN DISC NOTE	Redemption	12/04/2019	FHLB DISCOUNT	150,000,000.00			150,000,000.00
39031	COMM	06405LAA9	BANK NEW YORK	Interest	12/04/2019	BANK OF NEW			153,736.27	153,736.27
39032	COMM	06405LAA9	BANK NEW YORK	Interest	12/04/2019	BANK OF NEW			30,747.26	30,747.26
40086	COMM	SYS40086	BCREPO 1.5% MAT	Interest	12/04/2019	BARCLAYS CAPITAL			8,333.32	8,333.32
40094	COMM	SYS40094	BCREPO 1.51% MAT	Purchase	12/05/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40095	COMM	313384QD4	FHDN DISC NOTE	Purchase	12/05/2019	FHLB DISCOUNT	149,993,958.33			-149,993,958.33
40096	COMM	313384QD4	FHDN DISC NOTE	Purchase	12/05/2019	FHLB DISCOUNT	149,993,958.33			-149,993,958.33
40098	COMM	63873KZ67	NATXNY DISC NOTE	Purchase	12/05/2019	Natixis NY Branch	49,997,875.00			-49,997,875.00
40097	COMM	21687BZ61	RABO DISC NOTE	Purchase	12/05/2019	RABOBANK	99,995,777.78			-99,995,777.78
40099	COMM	9128287F1	UNITED STATES	Purchase	12/05/2019	U.S. TREASURY	40,308,763.59			-40,308,763.59
40089	COMM	SYS40089	BCREPO 1.5% MAT	Redemption	12/05/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40091	COMM	313384QC6	FHDN DISC NOTE	Redemption	12/05/2019	FHLB DISCOUNT	150,000,000.00			150,000,000.00
40092	COMM	21687BZ53	RABO DISC NOTE	Redemption	12/05/2019	RABOBANK	100,000,000.00			100,000,000.00
40093	COMM	313384QC6	FHDN DISC NOTE	Redemption	12/05/2019	FHLB DISCOUNT	100,000,000.00			100,000,000.00
40089	COMM	SYS40089	BCREPO 1.5% MAT	Interest	12/05/2019	BARCLAYS CAPITAL			8,333.32	8,333.32
40100	COMM	SYS40100	BCREPO 1.52% MAT	Purchase	12/06/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40107	COMM	17305TM25	CITINA 1.84% MAT	Purchase	12/06/2019	CITI BANK NA	30,000,000.00			-30,000,000.00
40101	COMM	313384QG7	FHDN DISC NOTE	Purchase	12/06/2019	FHLB DISCOUNT	149,981,499.99			-149,981,499.99
40102	COMM	313384QG7	FHDN DISC NOTE	Purchase	12/06/2019	FHLB DISCOUNT	149,981,499.99			-149,981,499.99
40108	COMM	58934BZG2	MERCK DISC NOTE	Purchase	12/06/2019	MERCK & CO	49,978,333.33			-49,978,333.33
40104	COMM	63873KZ91	NATXNY DISC NOTE	Purchase	12/06/2019	Natixis NY Branch	49,993,625.00			-49,993,625.00
40105	COMM	74005JZ92	PRXAIR DISC NOTE	Purchase	12/06/2019	PRAXAIR	99,987,583.34			-99,987,583.34
40103	COMM	21687BZ95	RABO DISC NOTE	Purchase	12/06/2019	RABOBANK	99,987,250.00			-99,987,250.00
40106	COMM	912796WJ4	UNITED STATES	Purchase	12/06/2019	U S TREASURY BILL	49,976,854.17			-49,976,854.17
34292	COMM	SYS34292	MSTI 0. %	Purchase	12/06/2019	MORGAN STANLEY	75,000,000.00			-75,000,000.00
40094	COMM	SYS40094	BCREPO 1.51% MAT	Redemption	12/06/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40095	COMM	313384QD4	FHDN DISC NOTE	Redemption	12/06/2019	FHLB DISCOUNT	150,000,000.00			150,000,000.00
40096	COMM	313384QD4	FHDN DISC NOTE	Redemption	12/06/2019	FHLB DISCOUNT	150,000,000.00			150,000,000.00
40097	COMM	21687BZ61	RABO DISC NOTE	Redemption	12/06/2019	RABOBANK	100,000,000.00			100,000,000.00

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40098	COMM	63873KZ67	NATXNY DISC NOTE Redemption		12/06/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
40094	COMM	SYS40094	BCREPO 1.51% MAT Interest		12/06/2019	BARCLAYS CAPITAL			8,388.89	8,388.89
40109	COMM	SYS40109	BCREPO 1.52% MAT Purchase		12/09/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40118	COMM	06367BBX6	BMOCHI 1.8% MAT Purchase		12/09/2019	BANK OF	50,000,000.00			-50,000,000.00
40111	COMM	313384QH5	FHDN DISC NOTE Purchase		12/09/2019	FHLB DISCOUNT	149,993,958.33			-149,993,958.33
40112	COMM	313384QH5	FHDN DISC NOTE Purchase		12/09/2019	FHLB DISCOUNT	149,993,958.33			-149,993,958.33
40110	COMM	313588QH1	FNDN DISC NOTE Purchase		12/09/2019	FANNIE MAE	49,998,013.89			-49,998,013.89
40116	COMM	591577GQ0	METSHR ZERO CPN Purchase		12/09/2019	METLIFE SHORT	44,472,750.00			-44,472,750.00
40114	COMM	63873KZA8	NATXNY DISC NOTE Purchase		12/09/2019	Natixis NY Branch	49,997,875.00			-49,997,875.00
40113	COMM	21687BZA2	RABO DISC NOTE Purchase		12/09/2019	RABOBANK	99,995,777.78			-99,995,777.78
40117	COMM	90331HPF4	USB 1.95% MAT Purchase		12/09/2019	U S BANK	28,975,640.00			-28,975,640.00
40115	COMM	912828WY2	UNITED STATES Purchase		12/09/2019	U.S. TREASURY	40,700,067.93			-40,700,067.93
40100	COMM	SYS40100	BCREPO 1.52% MAT Redemption		12/09/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40101	COMM	313384QG7	FHDN DISC NOTE Redemption		12/09/2019	FHLB DISCOUNT		150,000,000.00		150,000,000.00
40102	COMM	313384QG7	FHDN DISC NOTE Redemption		12/09/2019	FHLB DISCOUNT		150,000,000.00		150,000,000.00
40103	COMM	21687BZ95	RABO DISC NOTE Redemption		12/09/2019	RABOBANK		100,000,000.00		100,000,000.00
40104	COMM	63873KZ91	NATXNY DISC NOTE Redemption		12/09/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
40105	COMM	74005JZ92	PRXAIR DISC NOTE Redemption		12/09/2019	PRAXAIR		100,000,000.00		100,000,000.00
37194	COMM	3133EGT47	FEDERAL FARM CR Interest		12/09/2019	FFCB NOTES			100,500.00	100,500.00
39016	COMM	3130AFE78	FEDERAL HOME Interest		12/09/2019	FHLB NOTES			215,250.00	215,250.00
39069	COMM	3130AOF70	FEDERAL HOME Interest		12/09/2019	FHLB NOTES			168,750.00	168,750.00
39106	COMM	3130AOF70	FEDERAL HOME Interest		12/09/2019	FHLB NOTES			59,062.50	59,062.50
39138	COMM	3130ABFD3	FEDERAL HOME Interest		12/09/2019	FHLB NOTES			98,437.50	98,437.50
39559	COMM	3133834G3	FEDERAL HOME Interest		12/09/2019	FHLB NOTES			106,250.00	106,250.00
39592	COMM	3133834G3	FEDERAL HOME Interest		12/09/2019	FHLB NOTES			106,250.00	106,250.00
39665	COMM	3133834G3	FEDERAL HOME Interest		12/09/2019	FHLB NOTES			228,490.63	228,490.63
39665	COMM	3133834G3	FEDERAL HOME Accr Int		12/09/2019	FHLB NOTES		36,812.38	-36,812.38	0.00
39689	COMM	3133EKPS9	FEDERAL FARM CR Interest		12/09/2019	FFCB NOTES			212,500.00	212,500.00
39689	COMM	3133EKPS9	FEDERAL FARM CR Accr Int		12/09/2019	FFCB NOTES		47,222.22	-47,222.22	0.00
40100	COMM	SYS40100	BCREPO 1.52% MAT Interest		12/09/2019	BARCLAYS CAPITAL			25,333.35	25,333.35
40119	COMM	SYS40119	BCREPO 1.5% MAT Purchase		12/10/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40127	COMM	17305TN65	CITINA 1.83% MAT Purchase		12/10/2019	CITI BANK NA	45,000,000.00			-45,000,000.00
40120	COMM	313384QK8	FHDN DISC NOTE Purchase		12/10/2019	FHLB DISCOUNT	161,987,400.00			-161,987,400.00
40124	COMM	313384QJ1	FHDN DISC NOTE Purchase		12/10/2019	FHLB DISCOUNT	149,993,958.33			-149,993,958.33
40125	COMM	313384QJ1	FHDN DISC NOTE Purchase		12/10/2019	FHLB DISCOUNT	199,991,944.44			-199,991,944.44
40126	COMM	313588RV9	FNDN DISC NOTE Purchase		12/10/2019	FANNIE MAE	59,911,200.00			-59,911,200.00
40121	COMM	63873KZB6	NATXNY DISC NOTE Purchase		12/10/2019	Natixis NY Branch	49,997,875.00			-49,997,875.00
40123	COMM	21687BZB0	RABO DISC NOTE Purchase		12/10/2019	RABOBANK	99,995,777.78			-99,995,777.78
40122	COMM	78012USC0	RBCNY 1.82% MAT Purchase		12/10/2019	Royal Bank of	50,000,000.00			-50,000,000.00
40109	COMM	SYS40109	BCREPO 1.52% MAT Redemption		12/10/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00

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40110	COMM	313588QH1	FNDN DISC NOTE	Redemption	12/10/2019	FANNIE MAE		50,000,000.00		50,000,000.00
40111	COMM	313384QH5	FHDN DISC NOTE	Redemption	12/10/2019	FHLB DISCOUNT		150,000,000.00		150,000,000.00
40112	COMM	313384QH5	FHDN DISC NOTE	Redemption	12/10/2019	FHLB DISCOUNT		150,000,000.00		150,000,000.00
40113	COMM	21687BZA2	RABO DISC NOTE	Redemption	12/10/2019	RABOBANK		100,000,000.00		100,000,000.00
40114	COMM	63873KZA8	NATXNY DISC NOTE	Redemption	12/10/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
39545	COMM	313379Q69	FEDERAL HOME	Interest	12/10/2019	FHLB NOTES			265,625.00	265,625.00
39552	COMM	3130A5P45	FEDERAL HOME	Interest	12/10/2019	FHLB NOTES			178,125.00	178,125.00
40109	COMM	SY540109	BCREPO 1.52% MAT	Interest	12/10/2019	BARCLAYS CAPITAL			8,444.44	8,444.44
40134	COMM	02007TAC9	ALLY 1.84% MAT	Purchase	12/11/2019	ALLY FINANCIAL	12,997,761.40			-12,997,761.40
40128	COMM	SY540128	BCREPO 1.5% MAT	Purchase	12/11/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40135	COMM	3133ELCS1	FEDERAL FARM CR	Purchase	12/11/2019	FFCB NOTES	14,397,980.00			-14,397,980.00
40136	COMM	3133ELAE4	FEDERAL FARM CR	Purchase	12/11/2019	FFCB NOTES	19,987,152.78			-19,987,152.78
40129	COMM	313384QK8	FHDN DISC NOTE	Purchase	12/11/2019	FHLB DISCOUNT	149,993,958.33			-149,993,958.33
40130	COMM	313384QK8	FHDN DISC NOTE	Purchase	12/11/2019	FHLB DISCOUNT	199,991,944.44			-199,991,944.44
40131	COMM	63873KZC4	NATXNY DISC NOTE	Purchase	12/11/2019	Natixis NY Branch	49,997,875.00			-49,997,875.00
40132	COMM	21687BZC8	RABO DISC NOTE	Purchase	12/11/2019	RABOBANK	99,995,777.78			-99,995,777.78
40133	COMM	78012USE6	RBCNY 1.82% MAT	Purchase	12/11/2019	Royal Bank of	25,000,000.00			-25,000,000.00
39107	COMM	3133EFX44	FEDERAL FARM CR	Redemption	12/11/2019	FFCB NOTES		5,332,931.10		5,332,931.10
39107	COMM	3133EFX44	FEDERAL FARM CR	Cap G/L	12/11/2019	FFCB NOTES		157,068.90		157,068.90
40119	COMM	SY540119	BCREPO 1.5% MAT	Redemption	12/11/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40121	COMM	63873KZB6	NATXNY DISC NOTE	Redemption	12/11/2019	Natixis NY Branch	50,000,000.00			50,000,000.00
40123	COMM	21687BZB0	RABO DISC NOTE	Redemption	12/11/2019	RABOBANK	100,000,000.00			100,000,000.00
40124	COMM	313384QJ1	FHDN DISC NOTE	Redemption	12/11/2019	FHLB DISCOUNT	150,000,000.00			150,000,000.00
40125	COMM	313384QJ1	FHDN DISC NOTE	Redemption	12/11/2019	FHLB DISCOUNT	200,000,000.00			200,000,000.00
37961	COMM	313379RB7	FEDERAL HOME	Interest	12/11/2019	FHLB NOTES			46,875.00	46,875.00
38102	COMM	3130A3U05	FEDERAL HOME	Interest	12/11/2019	FHLB NOTES			93,750.00	93,750.00
39107	COMM	3133EFX44	FEDERAL FARM CR	Interest	12/11/2019	FFCB NOTES			20,633.25	20,633.25
39563	COMM	3130A1W95	FEDERAL HOME	Interest	12/11/2019	FHLB NOTES			281,250.00	281,250.00
40119	COMM	SY540119	BCREPO 1.5% MAT	Interest	12/11/2019	BARCLAYS CAPITAL			8,333.32	8,333.32
40137	COMM	SY540137	BCREPO 1.49% MAT	Purchase	12/12/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40138	COMM	24023GA31	CAT DISC NOTE	Purchase	12/12/2019	CATERPILLAR	89,400,456.11			-89,400,456.11
40140	COMM	313384QO5	FHDN DISC NOTE	Purchase	12/12/2019	FHLB DISCOUNT	66,986,972.22			-66,986,972.22
40144	COMM	313384QL6	FHDN DISC NOTE	Purchase	12/12/2019	FHLB DISCOUNT	149,994,041.67			-149,994,041.67
40146	COMM	45905U5S9	IBRD 2.2% MAT	Purchase	12/12/2019	INTL BANK RECON &	25,000,000.00			-25,000,000.00
40141	COMM	63873KZD2	NATXNY DISC NOTE	Purchase	12/12/2019	Natixis NY Branch	49,997,875.00			-49,997,875.00
40145	COMM	21687BZD6	RABO DISC NOTE	Purchase	12/12/2019	RABOBANK	99,995,777.78			-99,995,777.78
40142	COMM	912796TZ2	UNITED STATES	Purchase	12/12/2019	U S TREASURY BILL	74,419,875.00			-74,419,875.00
40143	COMM	912796TZ2	UNITED STATES	Purchase	12/12/2019	U S TREASURY BILL	49,614,513.89			-49,614,513.89
40147	COMM	459052YH3	WBDN ZERO CPN	Purchase	12/12/2019	World Bank Discount	49,583,055.56			-49,583,055.56
40139	COMM	30229AAT6	XON DISC NOTE	Purchase	12/12/2019	Exxon Mobil Group	74,844,750.00			-74,844,750.00

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40120	COMM	313384QK8	FHDN DISC NOTE	Redemption	12/12/2019	FHLB DISCOUNT		162,000,000.00		162,000,000.00
40128	COMM	SYS40128	BCREPO 1.5% MAT	Redemption	12/12/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40129	COMM	313384QK8	FHDN DISC NOTE	Redemption	12/12/2019	FHLB DISCOUNT		150,000,000.00		150,000,000.00
40130	COMM	313384QK8	FHDN DISC NOTE	Redemption	12/12/2019	FHLB DISCOUNT		200,000,000.00		200,000,000.00
40131	COMM	63873KZC4	NATXNY DISC NOTE Redemption		12/12/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
40132	COMM	21687BZC8	RABO DISC NOTE	Redemption	12/12/2019	RABOBANK		100,000,000.00		100,000,000.00
36877	COMM	3133834H1	FEDERAL HOME	Interest	12/12/2019	FHLB NOTES			103,125.00	103,125.00
37037	COMM	3133834H1	FEDERAL HOME	Interest	12/12/2019	FHLB NOTES			68,750.00	68,750.00
37058	COMM	313383HU8	FHLB 1.75% MAT	Interest	12/12/2019	FHLB NOTES			131,250.00	131,250.00
38247	COMM	313383HU8	FHLB 1.75% MAT	Interest	12/12/2019	FHLB NOTES			218,750.00	218,750.00
38978	COMM	313370E38	FEDERAL HOME	Interest	12/12/2019	FHLB NOTES			337,500.00	337,500.00
39383	COMM	313370E38	FEDERAL HOME	Interest	12/12/2019	FHLB NOTES			168,750.00	168,750.00
39393	COMM	313370E38	FEDERAL HOME	Interest	12/12/2019	FHLB NOTES			393,103.13	393,103.13
40128	COMM	SYS40128	BCREPO 1.5% MAT	Interest	12/12/2019	BARCLAYS CAPITAL			8,333.34	8,333.34
40148	COMM	SYS40148	BCREPO 1.5% MAT	Purchase	12/13/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40149	COMM	313384QP7	FHDN DISC NOTE	Purchase	12/13/2019	FHLB DISCOUNT	149,981,874.99			-149,981,874.99
40152	COMM	313384QP7	FHDN DISC NOTE	Purchase	12/13/2019	FHLB DISCOUNT	199,975,833.32			-199,975,833.32
40150	COMM	63873KZG5	NATXNY DISC NOTE	Purchase	12/13/2019	Natixis NY Branch	49,993,625.00			-49,993,625.00
40151	COMM	21687BZG9	RABO DISC NOTE	Purchase	12/13/2019	RABOBANK	99,987,333.34			-99,987,333.34
40154	COMM	90331HPF4	USB 1.95% MAT	Purchase	12/13/2019	U S BANK	11,002,383.33			-11,002,383.33
40155	COMM	912828628	UNITED STATES	Purchase	12/13/2019	U.S. TREASURY	30,245,023.78			-30,245,023.78
40153	COMM	459052YH3	WBDN ZERO CPN	Purchase	12/13/2019	World Bank Discount	24,792,625.00			-24,792,625.00
40137	COMM	SYS40137	BCREPO 1.49% MAT	Redemption	12/13/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40141	COMM	63873KZD2	NATXNY DISC NOTE	Redemption	12/13/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
40144	COMM	313384QL6	FHDN DISC NOTE	Redemption	12/13/2019	FHLB DISCOUNT		150,000,000.00		150,000,000.00
40145	COMM	21687BZD6	RABO DISC NOTE	Redemption	12/13/2019	RABOBANK		100,000,000.00		100,000,000.00
40137	COMM	SYS40137	BCREPO 1.49% MAT	Interest	12/13/2019	BARCLAYS CAPITAL			8,277.78	8,277.78
37035	COMM	89231LAD9	TAOT 1.23% MAT	Interest	12/15/2019	TOYOTA AUTO REC				0.00
37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	12/15/2019	TOYOTA AUTO REC				0.00
40165	COMM	037833CU2	APPLE INC, SR GLBL	Purchase	12/16/2019	APPLE INC	10,367,508.33			-10,367,508.33
40156	COMM	SYS40156	BCREPO 1.59% MAT	Purchase	12/16/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40162	COMM	3133ELDK7	FEDERAL FARM CR	Purchase	12/16/2019	FFCB NOTES	24,998,675.50			-24,998,675.50
40163	COMM	3133ELDK7	FEDERAL FARM CR	Purchase	12/16/2019	FFCB NOTES	24,998,675.50			-24,998,675.50
40164	COMM	3133ELDK7	FEDERAL FARM CR	Purchase	12/16/2019	FFCB NOTES	24,998,675.50			-24,998,675.50
40159	COMM	313384QO5	FHDN DISC NOTE	Purchase	12/16/2019	FHLB DISCOUNT	199,991,777.76			-199,991,777.76
40160	COMM	313384QO5	FHDN DISC NOTE	Purchase	12/16/2019	FHLB DISCOUNT	149,993,833.32			-149,993,833.32
40161	COMM	459058GH0	IBRD 2.75% MAT	Purchase	12/16/2019	INTL BANK RECON &	41,083,744.44			-41,083,744.44
40158	COMM	63873KZH3	NATXNY DISC NOTE	Purchase	12/16/2019	Natixis NY Branch	49,997,875.00			-49,997,875.00
40157	COMM	21687BZH7	RABO DISC NOTE	Purchase	12/16/2019	RABOBANK	99,995,777.78			-99,995,777.78
37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	12/16/2019	TOYOTA AUTO REC		88,680.70		88,680.70

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40108	COMM	58934BZG2	MERCK DISC NOTE	Redemption	12/16/2019	MERCK & CO		50,000,000.00		50,000,000.00
40148	COMM	SYS40148	BCREPO 1.5% MAT	Redemption	12/16/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40149	COMM	313384QP7	FHDN DISC NOTE	Redemption	12/16/2019	FHLB DISCOUNT		150,000,000.00		150,000,000.00
40150	COMM	63873KZG5	NATXNY DISC NOTE	Redemption	12/16/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
40151	COMM	21687BZG9	RABO DISC NOTE	Redemption	12/16/2019	RABOBANK		100,000,000.00		100,000,000.00
40152	COMM	313384QP7	FHDN DISC NOTE	Redemption	12/16/2019	FHLB DISCOUNT		200,000,000.00		200,000,000.00
37849	COMM	3134GBRR5	FHLMC 1.8% MAT	Interest	12/16/2019	FHLMC NOTES			180,000.00	180,000.00
38076	COMM	931142EA7	WAL-MART STORES Interest	Interest	12/16/2019	WALMART			66,500.00	66,500.00
38796	COMM	3134GSMY8	FEDERAL HOME LN Interest	Interest	12/16/2019	FHLMC NOTES			206,250.00	206,250.00
39527	COMM	92826CAC6	VISA INC, SR GLBL Interest	Interest	12/16/2019	VISA			156,184.00	156,184.00
39917	COMM	92826CAB8	VISA INC, SR GLBL Interest	Interest	12/16/2019	VISA			78,485.00	78,485.00
39917	COMM	SYS40148	VISA INC, SR GLBL Accr Int	Interest	12/16/2019	VISA		49,271.14	-49,271.14	0.00
40148	COMM	92826CAB8	BCREPO 1.5% MAT	Interest	12/16/2019	BARCLAYS CAPITAL			24,999.98	24,999.98
36956	COMM	58769BAD6	MBART 1.26% MAT	Interest	12/16/2019	MERCEDES -BENZ			629.76	629.76
36956	COMM	58769BAD6	MBART 1.26% MAT	Redemption	12/16/2019	MERCEDES -BENZ		323,740.33		323,740.33
37035	COMM	89231LAD9	TAOT 1.23% MAT	Interest	12/16/2019	TOYOTA AUTO REC			90.91	90.91
37035	COMM	89231LAD9	TAOT 1.23% MAT	Redemption	12/16/2019	TOYOTA AUTO REC		11.10		11.10
37507	COMM	47787XAC1	JDOT 1.78% MAT	Interest	12/16/2019	JOHN DEERE			2,252.17	2,252.17
37507	COMM	47787XAC1	JDOT 1.78% MAT	Redemption	12/16/2019	JOHN DEERE		354,348.94		354,348.94
37568	COMM	89238MAD0	TAOT 1.73% MAT	Interest	12/16/2019	TOYOTA AUTO REC			3,197.81	3,197.81
37568	COMM	89238MAD0	TAOT 1.73% MAT	Redemption	12/16/2019	TOYOTA AUTO REC		480,946.91		480,946.91
37976	COMM	43811BAC8	HAROT 1.68% MAT	Interest	12/16/2019	HONDA AUTO			17,767.96	17,767.96
37976	COMM	43811BAC8	HAROT 1.68% MAT	Redemption	12/16/2019	HONDA AUTO		1,276,317.39		1,276,317.39
38137	COMM	89238KAD4	TAOT 1.93% MAT	Interest	12/16/2019	TOYOTA AUTO REC			33,121.20	33,121.20
38137	COMM	89238KAD4	TAOT 1.93% MAT	Redemption	12/16/2019	TOYOTA AUTO REC		1,550,361.10		1,550,361.10
38475	COMM	65478DAD9	NALT 2.65% MAT	Interest	12/16/2019	NISSAN AUTO			40,854.16	40,854.16
38475	COMM	65478DAD9	NALT 2.65% MAT	Redemption	12/16/2019	NISSAN AUTO		327,685.01		327,685.01
38476	COMM	47788CAC6	JDOT 2.66% MAT	Interest	12/16/2019	JOHN DEERE			16,407.88	16,407.88
38476	COMM	47788CAC6	JDOT 2.66% MAT	Redemption	12/16/2019	JOHN DEERE		548,437.05		548,437.05
38646	COMM	02582JHQ6	AMXCA 2.67% MAT	Interest	12/16/2019	American Express			100,125.00	100,125.00
38646	COMM	02582JHQ6	AMXCA 2.67% MAT	Redemption	12/16/2019	American Express		1,358,163.03		1,358,163.03
38708	COMM	161571HN7	CHAT 2.1227% MAT	Interest	12/16/2019	CHASE ISSUANCE			62,619.21	62,619.21
38708	COMM	161571HN7	CHAT 2.1227% MAT	Redemption	12/16/2019	CHASE ISSUANCE		968,234.07		968,234.07
38958	COMM	89231PAD0	TAOT 3.18% MAT	Interest	12/16/2019	TOYOTA AUTO REC			26,500.00	26,500.00
38958	COMM	89231PAD0	TAOT 3.18% MAT	Redemption	12/16/2019	TOYOTA AUTO REC		258,667.69		258,667.69
39013	COMM	43815AAC6	HAROT 3.16% MAT	Interest	12/16/2019	HONDA AUTO			57,274.99	57,274.99
39013	COMM	43815AAC6	HAROT 3.16% MAT	Redemption	12/16/2019	HONDA AUTO		576,725.22		576,725.22
39062	COMM	05522RCZ9	BACCT 3.1% MAT	Interest	12/16/2019	BANK OF AMERICA			50,350.00	50,350.00
39062	COMM	05522RCZ9	BACCT 3.1% MAT	Redemption	12/16/2019	BANK OF AMERICA		474,025.02		474,025.02
39082	COMM	05522RDA3	BACCT 3.1% MAT	Interest	12/16/2019	BANK OF AMERICA			38,750.00	38,750.00

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39082	COMM	05522RDA3	BACCT 3.1% MAT	Redemption	12/16/2019	BANK OF AMERICA		324,860.27		324,860.27
39190	COMM	58772TAC4	MBALT 3.1% MAT	Interest	12/16/2019	MERCEDES-BENZ			25,833.33	25,833.33
39190	COMM	58772TAC4	MBALT 3.1% MAT	Redemption	12/16/2019	MERCEDES-BENZ		422,180.70		422,180.70
39220	COMM	05522RCY2	BACCT 2.7% MAT	Interest	12/16/2019	BANK OF AMERICA			56,250.00	56,250.00
39220	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	12/16/2019	BANK OF AMERICA		614,310.13		614,310.13
39320	COMM	05522RCY2	BACCT 2.7% MAT	Interest	12/16/2019	BANK OF AMERICA			45,000.00	45,000.00
39320	COMM	05522RCY2	BACCT 2.7% MAT	Redemption	12/16/2019	BANK OF AMERICA		492,391.16		492,391.16
39578	COMM	65479HAC1	NAROT 2.5% MAT	Interest	12/16/2019	Nissan Auto Receivab			41,666.66	41,666.66
39578	COMM	65479HAC1	NAROT 2.5% MAT	Redemption	12/16/2019	Nissan Auto Receivab		419,537.83		419,537.83
39586	COMM	14042WAC4	COPAR 2.51% MAT	Interest	12/16/2019	Capital One Prime Au			18,825.00	18,825.00
39586	COMM	14042WAC4	COPAR 2.51% MAT	Redemption	12/16/2019	Capital One Prime Au		188,761.04		188,761.04
39706	COMM	477870AC3	JDOT 2.21% MAT	Interest	12/16/2019	JOHN DEERE			16,114.59	16,114.59
39706	COMM	477870AC3	JDOT 2.21% MAT	Redemption	12/16/2019	JOHN DEERE		176,502.64		176,502.64
39777	COMM	43815NAC8	HAROT 1.78% MAT	Interest	12/16/2019	HONDA AUTO			10,754.16	10,754.16
39777	COMM	43815NAC8	HAROT 1.78% MAT	Redemption	12/16/2019	HONDA AUTO		160,658.75		160,658.75
39811	COMM	14041NFU0	COMET 1.72% MAT	Interest	12/16/2019	Capitol One Multi Ex			50,166.66	50,166.66
39811	COMM	14041NFU0	COMET 1.72% MAT	Redemption	12/16/2019	Capitol One Multi Ex		606,832.77		606,832.77
39883	COMM	58769TAD7	MBART 1.93% MAT	Interest	12/16/2019	MERCEDES -BENZ			43,650.01	43,650.01
39883	COMM	58769TAD7	MBART 1.93% MAT	Redemption	12/16/2019	MERCEDES -BENZ		511,455.10		511,455.10
40017	COMM	44891JAC2	HART 1.94% MAT	Interest	12/16/2019	HYUNDAI AUTO			24,694.59	24,694.59
40017	COMM	44891JAC2	HART 1.94% MAT	Redemption	12/16/2019	HYUNDAI AUTO		223,123.76		223,123.76
40062	COMM	58769QAC5	MBALT 2. % MAT	Interest	12/16/2019	MERCEDES-BENZ			13,888.89	13,888.89
40062	COMM	58769QAC5	MBALT 2. % MAT	Redemption	12/16/2019	MERCEDES-BENZ		279,277.39		279,277.39
40166	COMM	SYS40166	BCREPO 1.5% MAT	Purchase	12/17/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40168	COMM	313384QR3	FHDN DISC NOTE	Purchase	12/17/2019	FHLB DISCOUNT	199,991,944.44			-199,991,944.44
40169	COMM	313384QR3	FHDN DISC NOTE	Purchase	12/17/2019	FHLB DISCOUNT	149,993,958.33			-149,993,958.33
40170	COMM	63873KZJ9	NATXNY DISC NOTE	Purchase	12/17/2019	Natixis NY Branch	49,997,875.00			-49,997,875.00
40167	COMM	21687BZJ3	RABO DISC NOTE	Purchase	12/17/2019	RABOBANK	99,995,777.78			-99,995,777.78
40106	COMM	912796WJ4	UNITED STATES	Redemption	12/17/2019	U S TREASURY BILL	50,000,000.00			50,000,000.00
40140	COMM	313384QQ5	FHDN DISC NOTE	Redemption	12/17/2019	FHLB DISCOUNT	67,000,000.00			67,000,000.00
40156	COMM	SYS40156	BCREPO 1.59% MAT	Redemption	12/17/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40157	COMM	21687BZH7	RABO DISC NOTE	Redemption	12/17/2019	RABOBANK	100,000,000.00			100,000,000.00
40158	COMM	63873KZH3	NATXNY DISC NOTE	Redemption	12/17/2019	Natixis NY Branch	50,000,000.00			50,000,000.00
40159	COMM	313384QQ5	FHDN DISC NOTE	Redemption	12/17/2019	FHLB DISCOUNT	200,000,000.00			200,000,000.00
40160	COMM	313384QQ5	FHDN DISC NOTE	Redemption	12/17/2019	FHLB DISCOUNT	150,000,000.00			150,000,000.00
39075	COMM	3133EJ3B3	FEDERAL FARM CR	Interest	12/17/2019	FFCB NOTES			140,000.00	140,000.00
39108	COMM	3133EJ3B3	FEDERAL FARM CR	Interest	12/17/2019	FFCB NOTES			60,200.00	60,200.00
40156	COMM	SYS40156	BCREPO 1.59% MAT	Interest	12/17/2019	BARCLAYS CAPITAL			8,833.33	8,833.33
40038	COMM	89233MAD5	TAOT 1.92% MAT	Interest	12/17/2019	TOYOTA AUTO REC			33,280.01	33,280.01
40038	COMM	89233MAD5	TAOT 1.92% MAT	Redemption	12/17/2019	TOYOTA AUTO REC		378,082.87		378,082.87

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40171	COMM	SYS40171	BCREPO 1.5% MAT	Purchase	12/18/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40172	COMM	313384QS1	FHFN DISC NOTE	Purchase	12/18/2019	FHLB DISCOUNT	199,991,944.44			-199,991,944.44
40173	COMM	313384QS1	FHFN DISC NOTE	Purchase	12/18/2019	FHLB DISCOUNT	149,993,958.33			-149,993,958.33
40175	COMM	63873KZK6	NATXNY DISC NOTE	Purchase	12/18/2019	Natixis NY Branch	49,997,875.00			-49,997,875.00
40174	COMM	21687BZK0	RABO DISC NOTE	Purchase	12/18/2019	RABOBANK	99,995,777.78			-99,995,777.78
40166	COMM	SYS40166	BCREPO 1.5% MAT	Redemption	12/18/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40167	COMM	21687BZJ3	RABO DISC NOTE	Redemption	12/18/2019	RABOBANK		100,000,000.00		100,000,000.00
40168	COMM	313384QR3	FHFN DISC NOTE	Redemption	12/18/2019	FHLB DISCOUNT		200,000,000.00		200,000,000.00
40169	COMM	313384QR3	FHFN DISC NOTE	Redemption	12/18/2019	FHLB DISCOUNT		150,000,000.00		150,000,000.00
40170	COMM	63873KZJ9	NATXNY DISC NOTE	Redemption	12/18/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
40166	COMM	SYS40166	BCREPO 1.5% MAT	Interest	12/18/2019	BARCLAYS CAPITAL			8,333.34	8,333.34
37059	COMM	43814RAC0	HAROT 1.21% MAT	Interest	12/18/2019	HONDA AUTO			1,351.77	1,351.77
37059	COMM	43814RAC0	HAROT 1.21% MAT	Redemption	12/18/2019	HONDA AUTO		424,400.04		424,400.04
38738	COMM	43814UAG4	HAROT 3.01% MAT	Interest	12/18/2019	HONDA AUTO			30,100.00	30,100.00
38738	COMM	43814UAG4	HAROT 3.01% MAT	Redemption	12/18/2019	HONDA AUTO		423,066.10		423,066.10
39269	COMM	43814WAC9	HAROT 2.83% MAT	Interest	12/18/2019	HONDA AUTO			32,427.08	32,427.08
39269	COMM	43814WAC9	HAROT 2.83% MAT	Redemption	12/18/2019	HONDA AUTO		342,390.86		342,390.86
40176	COMM	SYS40176	BCREPO 1.5% MAT	Purchase	12/19/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40178	COMM	313384QT9	FHFN DISC NOTE	Purchase	12/19/2019	FHLB DISCOUNT	149,993,958.33			-149,993,958.33
40179	COMM	313384QT9	FHFN DISC NOTE	Purchase	12/19/2019	FHLB DISCOUNT	199,991,944.44			-199,991,944.44
40180	COMM	63873KZL4	NATXNY DISC NOTE	Purchase	12/19/2019	Natixis NY Branch	49,997,875.00			-49,997,875.00
40177	COMM	21687BZL8	RABO DISC NOTE	Purchase	12/19/2019	RABOBANK	99,995,777.78			-99,995,777.78
40171	COMM	SYS40171	BCREPO 1.5% MAT	Redemption	12/19/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40172	COMM	313384QS1	FHFN DISC NOTE	Redemption	12/19/2019	FHLB DISCOUNT		200,000,000.00		200,000,000.00
40173	COMM	313384QS1	FHFN DISC NOTE	Redemption	12/19/2019	FHLB DISCOUNT		150,000,000.00		150,000,000.00
40174	COMM	21687BZK0	RABO DISC NOTE	Redemption	12/19/2019	RABOBANK		100,000,000.00		100,000,000.00
40175	COMM	63873KZK6	NATXNY DISC NOTE	Redemption	12/19/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
40171	COMM	SYS40171	BCREPO 1.5% MAT	Interest	12/19/2019	BARCLAYS CAPITAL			8,333.34	8,333.34
40181	COMM	SYS40181	BCREPO 1.48% MAT	Purchase	12/20/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40182	COMM	313384QW2	FHFN DISC NOTE	Purchase	12/20/2019	FHLB DISCOUNT	199,975,333.32			-199,975,333.32
40183	COMM	313384QW2	FHFN DISC NOTE	Purchase	12/20/2019	FHLB DISCOUNT	149,981,499.99			-149,981,499.99
40187	COMM	313384TR0	FHFN DISC NOTE	Purchase	12/20/2019	FHLB DISCOUNT	49,846,875.00			-49,846,875.00
40185	COMM	63873KZP5	NATXNY DISC NOTE	Purchase	12/20/2019	Natixis NY Branch	49,993,625.00			-49,993,625.00
40186	COMM	7426M2FW6	PEFCO ZERO CPN	Purchase	12/20/2019	PRIVATE EXPORT	14,860,879.17			-14,860,879.17
40184	COMM	21687BZP9	RABO DISC NOTE	Purchase	12/20/2019	RABOBANK	99,987,333.34			-99,987,333.34
37893	COMM	3134GBRZ7	FEDERAL HOME LN	Redemption	12/20/2019	FHLMC NOTES		15,000,000.00		15,000,000.00
40176	COMM	SYS40176	BCREPO 1.5% MAT	Redemption	12/20/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40177	COMM	21687BZL8	RABO DISC NOTE	Redemption	12/20/2019	RABOBANK		100,000,000.00		100,000,000.00
40178	COMM	313384QT9	FHFN DISC NOTE	Redemption	12/20/2019	FHLB DISCOUNT		150,000,000.00		150,000,000.00
40179	COMM	313384QT9	FHFN DISC NOTE	Redemption	12/20/2019	FHLB DISCOUNT		200,000,000.00		200,000,000.00

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40180	COMM	63873KZL4	NATXNY DISC NOTE Redemption		12/20/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
37893	COMM	3134GBRZ7	FEDERAL HOME LN Interest		12/20/2019	FHLMC NOTES			112,500.00	112,500.00
40176	COMM	SYS40176	BCREPO 1.5% MAT Interest		12/20/2019	BARCLAYS CAPITAL			8,333.34	8,333.34
39327	COMM	05586VAD4	BMWLT 3.38% MAT Interest		12/20/2019	BMW VEHICLE			18,250.00	18,250.00
39327	COMM	05586VAD4	BMWLT 3.38% MAT Redemption		12/20/2019	BMW VEHICLE		222,847.88		222,847.88
39612	COMM	92349CAA9	VERIZON OWNER Interest		12/20/2019	VERIZON OWNER			67,958.35	67,958.35
39612	COMM	92349CAA9	VERIZON OWNER Redemption		12/20/2019	VERIZON OWNER		710,218.97		710,218.97
39913	COMM	92867XAD8	VWALT 1.99% MAT Interest		12/20/2019	Volkswagen Auto			17,412.50	17,412.50
39913	COMM	92867XAD8	VWALT 1.99% MAT Redemption		12/20/2019	Volkswagen Auto		278,811.78		278,811.78
39920	COMM	92348AAA3	VZOT 1.94% MAT Interest		12/20/2019	VERIZON OWNER			38,800.01	38,800.01
39920	COMM	92348AAA3	VZOT 1.94% MAT Redemption		12/20/2019	VERIZON OWNER		441,908.59		441,908.59
40188	COMM	SYS40188	BCREPO 1.49% MAT Purchase		12/23/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40189	COMM	313384OX0	FHDN DISC NOTE Purchase		12/23/2019	FHDL DISCOUNT	199,991,944.44			-199,991,944.44
40192	COMM	313384RH4	FHDN DISC NOTE Purchase		12/23/2019	FHDL DISCOUNT	149,932,166.67			-149,932,166.67
40190	COMM	63873KZQ3	NATXNY DISC NOTE Purchase		12/23/2019	Natixis NY Branch	49,997,875.00			-49,997,875.00
40191	COMM	21687BZQ7	RABO DISC NOTE Purchase		12/23/2019	RABOBANK	99,995,777.78			-99,995,777.78
38409	COMM	90331HNU8	USB 2.35% MAT Redemption		12/23/2019	U S BANK	44,979,750.00			44,979,750.00
38409	COMM	90331HNU8	USB 2.35% MAT Cap G/L		12/23/2019	U S BANK	20,250.00			20,250.00
40181	COMM	SYS40181	BCREPO 1.48% MAT Redemption		12/23/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40182	COMM	313384QW2	FHDN DISC NOTE Redemption		12/23/2019	FHDL DISCOUNT	200,000,000.00			200,000,000.00
40183	COMM	313384QW2	FHDN DISC NOTE Redemption		12/23/2019	FHDL DISCOUNT	150,000,000.00			150,000,000.00
40184	COMM	21687BZP9	RABO DISC NOTE Redemption		12/23/2019	RABOBANK	100,000,000.00			100,000,000.00
40185	COMM	63873KZP5	NATXNY DISC NOTE Redemption		12/23/2019	Natixis NY Branch	50,000,000.00			50,000,000.00
37861	COMM	3134GBRU8	FEDERAL HOME LN Interest		12/23/2019	FHLMC NOTES		243,750.00		243,750.00
37880	COMM	3134GBSM5	FEDERAL HOME LN Interest		12/23/2019	FHLMC NOTES		46,325.00		46,325.00
37894	COMM	3134GBSW3	FEDERAL HOME LN Interest		12/23/2019	FHLMC NOTES		43,750.00		43,750.00
38409	COMM	90331HNU8	USB 2.35% MAT Interest		12/23/2019	U S BANK		440,625.02		440,625.02
38718	COMM	3133EJXP1	FEDERAL FARM CR Interest		12/23/2019	FFCB NOTES		71,875.00		71,875.00
38826	COMM	931142EG4	WALMART INC, SR Interest		12/23/2019	WALMART		570,000.00		570,000.00
39628	COMM	3133EKR3	FEDERAL FARM CR Interest		12/23/2019	FFCB NOTES		166,500.00		166,500.00
39629	COMM	3133EKR3	FEDERAL FARM CR Interest		12/23/2019	FFCB NOTES		111,000.00		111,000.00
39672	COMM	931142EG4	WALMART INC, SR Interest		12/23/2019	WALMART		107,331.00		107,331.00
39672	COMM	931142EG4	WALMART INC, SR Accr Int		12/23/2019	WALMART		10,136.82		0.00
40181	COMM	SYS40181	BCREPO 1.48% MAT Interest		12/23/2019	BARCLAYS CAPITAL			24,666.68	24,666.68
37615	COMM	43814TAC6	HAROT 1.72% MAT Interest		12/23/2019	HONDA AUTO			7,133.08	7,133.08
37615	COMM	43814TAC6	HAROT 1.72% MAT Redemption		12/23/2019	HONDA AUTO		572,027.61		572,027.61
39582	COMM	43815MAC0	HAROT 2.52% MAT Interest		12/23/2019	HONDA AUTO			35,700.00	35,700.00
39582	COMM	43815MAC0	HAROT 2.52% MAT Redemption		12/23/2019	HONDA AUTO		397,684.98		397,684.98
40193	COMM	SYS40193	BCREPO 1.48% MAT Purchase		12/24/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40195	COMM	63873KZS9	NATXNY DISC NOTE Purchase		12/24/2019	Natixis NY Branch	99,991,500.00			-99,991,500.00

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40194	COMM	21687BZS3	RABO DISC NOTE	Purchase	12/24/2019	RABOBANK	99,991,611.12			-99,991,611.12
40188	COMM	SYS40188	BCREPO 1.49% MAT	Redemption	12/24/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40189	COMM	313384QX0	FHBN DISC NOTE	Redemption	12/24/2019	FHLB DISCOUNT		200,000,000.00		200,000,000.00
40190	COMM	63873KZQ3	NATXNY DISC NOTE	Redemption	12/24/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
40191	COMM	21687BZO7	RABO DISC NOTE	Redemption	12/24/2019	RABOBANK		100,000,000.00		100,000,000.00
40188	COMM	SYS40188	BCREPO 1.49% MAT	Interest	12/24/2019	BARCLAYS CAPITAL			8,277.78	8,277.78
40196	COMM	SYS40196	BCREPO 1.48% MAT	Purchase	12/26/2019	BARCLAYS CAPITAL	200,000,000.00			-200,000,000.00
40198	COMM	313384RA9	FHBN DISC NOTE	Purchase	12/26/2019	FHLB DISCOUNT	199,991,888.88			-199,991,888.88
40197	COMM	63873KZT7	NATXNY DISC NOTE	Purchase	12/26/2019	Natixis NY Branch	99,995,750.00			-99,995,750.00
38831	COMM	3133EJSU4	FEDERAL FARM CR	Redemption	12/26/2019	FFCB NOTES		10,000,000.00		10,000,000.00
39151	COMM	3130ACF66	FEDERAL HOME	Redemption	12/26/2019	FHLB NOTES		24,440,500.00		24,440,500.00
39151	COMM	3130ACF66	FEDERAL HOME	Cap G/L	12/26/2019	FHLB NOTES		559,500.00		559,500.00
39372	COMM	3130ACF66	FEDERAL HOME	Redemption	12/26/2019	FHLB NOTES		20,535,962.05		20,535,962.05
39372	COMM	3130ACF66	FEDERAL HOME	Cap G/L	12/26/2019	FHLB NOTES		229,037.95		229,037.95
40193	COMM	SYS40193	BCREPO 1.48% MAT	Redemption	12/26/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40194	COMM	21687BZS3	RABO DISC NOTE	Redemption	12/26/2019	RABOBANK		100,000,000.00		100,000,000.00
40195	COMM	63873KZS9	NATXNY DISC NOTE	Redemption	12/26/2019	Natixis NY Branch		100,000,000.00		100,000,000.00
38831	COMM	3133EJSU4	FEDERAL FARM CR	Interest	12/26/2019	FFCB NOTES			126,500.00	126,500.00
39151	COMM	3130ACF66	FEDERAL HOME	Interest	12/26/2019	FHLB NOTES			134,375.00	134,375.00
39372	COMM	3130ACF66	FEDERAL HOME	Interest	12/26/2019	FHLB NOTES			111,611.88	111,611.88
39639	COMM	3133EKS7	FFCB 1.77% MAT	Interest	12/26/2019	FFCB NOTES			88,500.00	88,500.00
39649	COMM	3134GTXX6	FEDERAL HOME LN	Interest	12/26/2019	FHLMC NOTES			259,583.25	259,583.25
39996	COMM	931142EK5	WALMART INC, SR	Interest	12/26/2019	WALMART			170,000.00	170,000.00
39996	COMM	931142EK5	WALMART INC, SR	Accr Int	12/26/2019	WALMART		117,111.11	-117,111.11	0.00
40193	COMM	SYS40193	BCREPO 1.48% MAT	Interest	12/26/2019	BARCLAYS CAPITAL			16,444.43	16,444.43
38387	COMM	3137AYCE9	Interest	Interest	12/26/2019	FHLMC Multi-Family			44,700.00	44,700.00
38387	COMM	3137AYCE9	Redemption	Redemption	12/26/2019	FHLMC Multi-Family		639,217.52		639,217.52
38391	COMM	3137AYCE9	Interest	Interest	12/26/2019	FHLMC Multi-Family			16,762.50	16,762.50
38391	COMM	3137AYCE9	Redemption	Redemption	12/26/2019	FHLMC Multi-Family		239,586.16		239,586.16
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Interest	12/26/2019	BMW VEHICLE			56,545.25	56,545.25
38412	COMM	09659QAD9	BMWOT 2.35% MAT	Redemption	12/26/2019	BMW VEHICLE		2,190,418.82		2,190,418.82
38465	COMM	3137AWQH1	FHLMCM 2.307%	Interest	12/26/2019	FHLMC Multi-Family			10,727.55	10,727.55
38465	COMM	3137AWQH1	FHLMCM 2.307%	Redemption	12/26/2019	FHLMC Multi-Family		182,850.61		182,850.61
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Interest	12/26/2019	FNMA Multi-Family			7,393.19	7,393.19
38477	COMM	3138LAYM5	FHLMCM 2.55% MAT	Redemption	12/26/2019	FNMA Multi-Family		6,111.71		6,111.71
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Interest	12/26/2019	FHLMC Multi-Family			55,333.34	55,333.34
38643	COMM	3137B36J2	FHLMCM 3.32% MAT	Redemption	12/26/2019	FHLMC Multi-Family		583,868.79		583,868.79
38664	COMM	3136B1XP4	FNWAM 3.56% MAT	Interest	12/26/2019	FNMA Multi-Family			9,038.69	9,038.69
38664	COMM	3136B1XP4	FNWAM 3.56% MAT	Redemption	12/26/2019	FNMA Multi-Family		100,070.91		100,070.91
38665	COMM	3136B1XP4	FNWAM 3.56% MAT	Interest	12/26/2019	FNMA Multi-Family			9,038.69	9,038.69

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38665	COMM	3136B1XP4	FNMAM 3.56% MAT	Redemption	12/26/2019	FNMA Multi-Family		100,070.91		100,070.91
38666	COMM	3137AYCE9	Interest	Interest	12/26/2019	FHLMC Multi-Family			26,250.08	26,250.08
38666	COMM	3137AYCE9	Redemption	Redemption	12/26/2019	FHLMC Multi-Family		368,382.95		368,382.95
38744	COMM	3137AYCE9	Interest	Interest	12/26/2019	FHLMC Multi-Family			44,700.00	44,700.00
38744	COMM	3137AYCE9	Redemption	Redemption	12/26/2019	FHLMC Multi-Family		627,524.74		627,524.74
38854	COMM	3137BAWB8	FHLMCM 3.06% MAT	Interest	12/26/2019	FHLMC Multi-Family			12,176.25	12,176.25
38854	COMM	3137BAWB8	FHLMCM 3.06% MAT	Redemption	12/26/2019	FHLMC Multi-Family		124,141.84		124,141.84
38864	COMM	3137B5JM6	FHLMCM 3.531% Interest	Interest	12/26/2019	FHLMC Multi-Family			29,425.00	29,425.00
38864	COMM	3137B5JM6	FHLMCM 3.531% Interest	Redemption	12/26/2019	FHLMC Multi-Family		262,034.44		262,034.44
38945	COMM	3137B3NA2	FHLMCM 3.531% Interest	Interest	12/26/2019	FHLMC Multi-Family			28,723.99	28,723.99
38945	COMM	3137B3NA2	FHLMCM 3.531% Interest	Redemption	12/26/2019	FHLMC Multi-Family		292,087.95		292,087.95
39026	COMM	3137B5KW2	FHLMCM 3.458% Interest	Interest	12/26/2019	FHLMC Multi-Family			28,816.67	28,816.67
39026	COMM	3137B5KW2	FHLMCM 3.458% Interest	Redemption	12/26/2019	FHLMC Multi-Family		256,338.28		256,338.28
39150	COMM	31381TYT1	FNMAM 2.75% MAT	Interest	12/26/2019	FNMA Multi-Family			67,592.85	67,592.85
39150	COMM	31381TYT1	FNMAM 2.75% MAT	Redemption	12/26/2019	FNMA Multi-Family		65,434.08		65,434.08
39158	COMM	31381RZ23	FNMAM 3.84% MAT	Interest	12/26/2019	FNMA Multi-Family			45,819.62	45,819.62
39158	COMM	31381RZ23	FNMAM 3.84% MAT	Redemption	12/26/2019	FNMA Multi-Family		28,475.37		28,475.37
39218	COMM	31381RLL6	FNMAM 3.84% MAT	Interest	12/26/2019	FNMA Multi-Family			37,505.25	37,505.25
39218	COMM	31381RLL6	FNMAM 3.84% MAT	Redemption	12/26/2019	FNMA Multi-Family		21,596.51		21,596.51
39219	COMM	31381RLL6	FNMAM 3.84% MAT	Interest	12/26/2019	FNMA Multi-Family			6,260.49	6,260.49
39219	COMM	31381RLL6	FNMAM 3.84% MAT	Redemption	12/26/2019	FNMA Multi-Family		3,604.96		3,604.96
39295	COMM	3137B04Y7	FHLMCM 2.615% Interest	Interest	12/26/2019	FHLMC Multi-Family			58,837.51	58,837.51
39295	COMM	3137B04Y7	FHLMCM 2.615% Interest	Redemption	12/26/2019	FHLMC Multi-Family		792,259.76		792,259.76
39319	COMM	3138LKGK8	FNMAM 2.47% MAT	Interest	12/26/2019	FNMA Multi-Family			51,458.33	51,458.33
39319	COMM	3138LKGK8	FNMAM 2.47% MAT	Redemption	12/26/2019	FNMA Multi-Family		591,961.05		591,961.05
39342	COMM	3137BQR90	FHLMCM 2.272% Interest	Interest	12/26/2019	FHLMC Multi-Family			44,303.99	44,303.99
39342	COMM	3137BQR90	FHLMCM 2.272% Interest	Redemption	12/26/2019	FHLMC Multi-Family		685,182.55		685,182.55
39457	COMM	3138EXK67	FNMAM 2.537% MAT	Interest	12/26/2019	FNMA Multi-Family			32,351.58	32,351.58
39457	COMM	3138EXK67	FNMAM 2.537% MAT	Redemption	12/26/2019	FNMA Multi-Family		34,230.31		34,230.31
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Interest	12/26/2019	FNMA Multi-Family			30,807.32	30,807.32
39587	COMM	3138LEYD7	FNMAM 1.97% MAT	Redemption	12/26/2019	FNMA Multi-Family		32,043.85		32,043.85
39609	COMM	3138LGF8	FNMAM 2.15% MAT	Interest	12/26/2019	FNMA Multi-Family			8,958.33	8,958.33
39609	COMM	3138LGF8	FNMAM 2.15% MAT	Redemption	12/26/2019	FNMA Multi-Family		120,342.92		120,342.92
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Interest	12/26/2019	FNMA Multi-Family			13,076.48	13,076.48
39632	COMM	3138L2BU0	FNMAM 2.31% MAT	Redemption	12/26/2019	FNMA Multi-Family		13,477.58		13,477.58
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Interest	12/26/2019	FHLMC Multi-Family			45,171.87	45,171.87
39654	COMM	3137BP4J5	FNMAM 2.446% MAT	Redemption	12/26/2019	FHLMC Multi-Family		261,456.00		261,456.00
39721	COMM	3137BQR90	FHLMCM 2.272% Interest	Interest	12/26/2019	FHLMC Multi-Family			6,778.13	6,778.13
39721	COMM	3137BQR90	FHLMCM 2.272% Interest	Redemption	12/26/2019	FHLMC Multi-Family		106,819.61		106,819.61
39734	COMM	3138LOU90	FNMAM 2.59% MAT	Interest	12/26/2019	FNMA Multi-Family			10,791.67	10,791.67

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39734	COMM	3138LOU90	FNMAM 2.59% MAT	Redemption	12/26/2019	FNMA Multi-Family		169,741.02		169,741.02
39735	COMM	31381VBJ3	FNMAM 2.83% MAT	Interest	12/26/2019	FNMA Multi-Family			11,917.93	11,917.93
39735	COMM	31381VBJ3	FNMAM 2.83% MAT	Redemption	12/26/2019	FNMA Multi-Family		12,281.70		12,281.70
39844	COMM	3138LORM5	FNMAM 2.135% MAT	Interest	12/26/2019	FNMA Multi-Family			15,737.37	15,737.37
39844	COMM	3138LORM5	FNMAM 2.135% MAT	Redemption	12/26/2019	FNMA Multi-Family		21,338.20		21,338.20
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Interest	12/26/2019	BMW VEHICLE			27,600.00	27,600.00
39861	COMM	05588CAC6	BMWOT 1.92% MAT	Redemption	12/26/2019	BMW VEHICLE		340,096.56		340,096.56
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Interest	12/26/2019	FNMA Multi-Family			20,768.85	20,768.85
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Redemption	12/26/2019	FNMA Multi-Family		23,088.08		23,088.08
40056	COMM	3136A9MN5	FNMAM 2.301% MAT	Redemption	12/26/2019	FNMA Multi-Family			-12,461.31	-12,461.31
40199	COMM	SYS40199	BCREPO 1.5% MAT	Purchase	12/27/2019	BARCLAYS CAPITAL	200,000,000.00			0.00
40202	COMM	313384RD3	FHDM DISC NOTE	Purchase	12/27/2019	FHLB DISCOUNT	199,975,833.32			-199,975,833.32
40201	COMM	63873KZW0	NATXNY DISC NOTE	Purchase	12/27/2019	Natixis NY Branch	49,993,625.00			-49,993,625.00
40200	COMM	21687BZW4	RABO DISC NOTE	Purchase	12/27/2019	RABOBANK	99,987,333.34			-99,987,333.34
39122	COMM	3134GSL60	FHLMC 3% MAT	Redemption	12/27/2019	FHLMC NOTES		25,000,000.00		25,000,000.00
39123	COMM	3134GSJ48	FEDERAL HOME LN	Redemption	12/27/2019	FHLMC NOTES		30,000,000.00		30,000,000.00
40196	COMM	SYS40196	BCREPO 1.48% MAT	Redemption	12/27/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40197	COMM	63873KZT7	NATXNY DISC NOTE	Redemption	12/27/2019	Natixis NY Branch	100,000,000.00			100,000,000.00
39122	COMM	3134GSL60	FHLMC 3% MAT	Interest	12/27/2019	FHLMC NOTES		200,000,000.00		200,000,000.00
39123	COMM	3134GSJ48	FEDERAL HOME LN	Interest	12/27/2019	FHLMC NOTES			375,000.00	375,000.00
40196	COMM	SYS40196	BCREPO 1.48% MAT	Interest	12/27/2019	BARCLAYS CAPITAL			465,000.00	465,000.00
40203	COMM	SYS40203	BCREPO 1.51% MAT	Purchase	12/30/2019	BARCLAYS CAPITAL	200,000,000.00		8,222.22	8,222.22
40204	COMM	313384RM3	FHDM DISC NOTE	Purchase	12/30/2019	FHLB DISCOUNT	199,936,444.44			-199,936,444.44
40207	COMM	3134GUH78	FEDERAL HOME LN	Purchase	12/30/2019	FHLMC NOTES	15,000,000.00			-15,000,000.00
40208	COMM	3134GUH78	FEDERAL HOME LN	Purchase	12/30/2019	FHLMC NOTES	20,000,000.00			-20,000,000.00
40209	COMM	3134GUK66	FEDERAL HOME LN	Purchase	12/30/2019	FHLMC NOTES	8,790,000.00			-8,790,000.00
40206	COMM	63873KZX8	NATXNY DISC NOTE	Purchase	12/30/2019	Natixis NY Branch	49,997,875.00			-49,997,875.00
40205	COMM	21687BZX2	RABO DISC NOTE	Purchase	12/30/2019	RABOBANK	99,995,777.78			-99,995,777.78
36648	COMM	3134G8S83	FEDERAL HOME LN	Redemption	12/30/2019	FHLMC NOTES		20,000,000.00		20,000,000.00
40199	COMM	SYS40199	BCREPO 1.5% MAT	Redemption	12/30/2019	BARCLAYS CAPITAL	200,000,000.00			200,000,000.00
40200	COMM	21687BZW4	RABO DISC NOTE	Redemption	12/30/2019	RABOBANK	100,000,000.00			100,000,000.00
40201	COMM	63873KZW0	NATXNY DISC NOTE	Redemption	12/30/2019	Natixis NY Branch	50,000,000.00			50,000,000.00
40202	COMM	313384RD3	FHDM DISC NOTE	Redemption	12/30/2019	FHLB DISCOUNT	200,000,000.00			200,000,000.00
36648	COMM	3134G8S83	FEDERAL HOME LN	Interest	12/30/2019	FHLMC NOTES			75,000.00	75,000.00
38334	COMM	3134GSAC9	FEDERAL HOME LN	Interest	12/30/2019	FHLMC NOTES			102,500.00	102,500.00
40199	COMM	SYS40199	BCREPO 1.5% MAT	Interest	12/30/2019	BARCLAYS CAPITAL			25,000.00	25,000.00
40211	COMM	14177TA79	CARGIL DISC NOTE	Purchase	12/31/2019	CARGILL INC	64,981,547.23			-64,981,547.23
40210	COMM	9128282P4	UNITED STATES	Purchase	12/31/2019	U.S. TREASURY	40,580,570.65			-40,580,570.65

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34292	COMM	SYS34292	MST10.0%	Purchase	12/31/2019	MORGAN STANLEY	100,000,000.00			-100,000,000.00
37590	COMM	SYS37590	JPM TE 0.44%	Purchase	12/31/2019	JP MORGAN US	50,000,000.00			-50,000,000.00
40203	COMM	SYS40203	BCREPO 1.51% MAT Redemption		12/31/2019	BARCLAYS CAPITAL		200,000,000.00		200,000,000.00
40205	COMM	21687BZX2	RABO DISC NOTE	Redemption	12/31/2019	RABOBANK		100,000,000.00		100,000,000.00
40206	COMM	63873KZX8	NATXNY DISC NOTE Redemption		12/31/2019	Natixis NY Branch		50,000,000.00		50,000,000.00
40155	COMM	9128286Z8	UNITED STATES	Interest	12/31/2019	U.S. TREASURY			262,500.00	262,500.00
40155	COMM	9128286Z8	UNITED STATES	Accr Int	12/31/2019	U.S. TREASURY			-236,820.65	0.00
40203	COMM	SYS40203	BCREPO 1.51% MAT Interest		12/31/2019	BARCLAYS CAPITAL		236,820.65	8,388.88	8,388.88
Totals for COMMINGLED POOL							31,490,642,742.	29,268,309,470.	29,060,992.65	-2,193,272,279.
Grand Total							31,490,642,742.	29,268,309,470.	29,060,992.65	-2,193,272,279.